# Combinatorical analysis of burst failures for large-scale cluster

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# 1 Setup

We consider a storage system of N drives such that  $N = X \cdot Y \cdot Z$ , where there are X racks in the system, each rack contains Y enclosures, and each enclosure contains Z drives.

Let  $M = Y \cdot Z$ , so M denotes the number of drives per rack.

In particular, we are considering ORNL Alpine system, which is composed of 39 racks. 38 racks have 8 enclosures each, and 1 rack has 4 enclosures. Each enclosure has 106 drives.

For simplicity, we assume the system contains 40 racks. Each rack contains 8 enclosures. Each enclosure contains 100 drives.

## 2 Total instances with fixed number of affected racks

Consider f failures happen in r racks.

We first choose r racks from all the X racks, which has  $C_X^r$  combinations.

Given r racks 1, 2, ..., r, denote T(f, r) as the total number of instances for f failures to happen in r racks, such that each rack has at least one failure.

Consider the r-th rack. If it has i failures, then the rest r-1 racks must have (f-i) failures in total with each rack having at least one failure.

Therefore, we have the following recurrence relation:

$$T(f,r) = \sum_{\substack{1 \le i \le f \\ i \le M}} C_M^i \cdot T(f-i,r-1) \tag{1}$$

The base case is

$$T(f,1) = \begin{cases} C_M^f & \text{if } 1 \le f \le M \\ 0 & \text{otherwise} \end{cases}$$
 (2)

Therefore, we can compute T(f,r) using dynamic programming, with time complexity  $O(f \cdot r)$ , and memory complexity  $O(f \cdot r)$ . An implementation can be found at: https://github.com/ucare-uchicago/mlec-sim/blob/main/src/theory/policies/total.py

The total number of instances is:

$$C_X^r \cdot T(f, r) \tag{3}$$

# 3 Survival instances under local clustered (RAID)

Consider  $k_l + p_l$  local-only RAID SLEC. For easier deployment we assume  $n_l = k_l + p_l$  is divisible by 7.

 $n_l$  drives in the same enclosure compose a RAID disk group. Therefore a rack contains  $g=M/n_l$  RAID groups.

### 3.1 Survival counting in a single RAID group

Denote  $\Gamma(f,g)$  as the number of instances for one single rack to survive f failures in a rack containing  $g \ k_l + p_l$  RAID groups.

For  $\Gamma(f,g)$ , we have the following recurrence relation (which is derived by considering what will happen if g-th group contains i failures):

$$\Gamma(f,g) = \sum_{\substack{0 \le i \le p_l \\ a \le f}} \Gamma(f-i,g-1) \cdot C_{n_l}^i \tag{4}$$

The base case is

$$\Gamma(f,1) = \begin{cases} C_{n_l}^f & \text{if } 0 \le f \le n_l \\ 0 & \text{otherwise} \end{cases}$$
 (5)

We can then compute  $\Gamma(f_i, g_l)$  based on recurrence relation 4 and dynamic programming, with  $O(f \cdot g)$  time complexity and  $O(f \cdot g)$  memory complexity.

## 3.2 Survival counting in entire system

Given r racks 1, 2, ..., r, denote S(f, r) as the total number of instances for  $k_l + p_l$  local-only RAID to survive f failures to in r racks, such that each rack has at least one failure.

For S(f,r), we have the following recurrence relation (which is derived by considering what will happen if r-th rack contains i failures):

$$S(f,r) = \sum_{\substack{1 \le i \le f \\ i \le M}} \Gamma(i,g) \cdot S(f-i,r-1)$$
(6)

The base case is

$$S(f,1) = \begin{cases} \Gamma(f,g) & \text{if } 1 \le f \le M\\ 0 & \text{otherwise} \end{cases}$$
 (7)

Since we have already computed  $\Gamma(f,g)$ , we can further compute S(f,r) based on recurrence relation 6 and dynamic programming. The total time complexity  $O(f \cdot (g+r))$ , and total memory complexity and  $O(f \cdot (g+r))$ .

Here is an example implementation: https://github.com/ucare-uchicago/mlec-sim/blob/main/src/theory/policies/raid.py

Therefore, the total number of survival instances in the whole system is:

$$C_X^r \cdot S(f, r) \tag{8}$$

Therefore, the probability of data loss under f failures on r racks for RAID is:

RAID data loss = 
$$\frac{C_X^r \cdot S(f, r)}{C_X^r \cdot T(f, r)} = \frac{S(f, r)}{T(f, r)}$$
(9)

# 4 Survival instances under local declustered parity

It's similar to local clustered erasure in Section 3, but now the size of the disk group is usually larger than  $n_l$ .

Suppose the size of the disk group is D, usually  $n_l \leq D \leq Z$ , where Z is the size of the enclosure.

If any disk group has more than  $p_l$  disk failures, then there is data loss.

So this time a rack contains g = M/D disk groups.

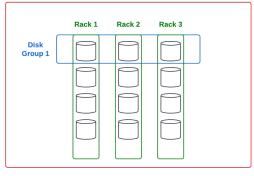
We can then compute the data loss in the same way that we did for RAID. Therefore, the probability of data loss under f failures on r racks for local-only Declustered erasure is:

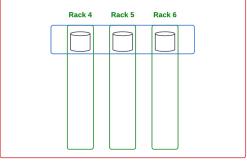
Declustered data loss = 
$$\frac{C_X^r \cdot S(f, r)}{C_X^r \cdot T(f, r)} = \frac{S(f, r)}{T(f, r)}$$
(10)

## 5 Survival instances under network clustered erasure

Consider  $n_n = k_n + p_n$  network-only clustered erasure.

We first divide all the racks into  $\alpha = X/n_n$  rack groups, each rack group with  $n_n = k_n + p_n$  racks. In each rack group, we further divide the disks into disk groups. Each disk group contains  $n_n = k_n + p_n$  disks spread on  $n_n$  racks, with each disk on a different rack.





Rack Group 1

Rack Group 2

#### 5.1 Survival counting in a single rack group

Denote  $\Gamma(f, r, m)$  as the number of instances for one rack group to survive f failures in r racks in this rack group, with each rack contains m disks.

Thus there are m disk groups in the rack group: 1, 2, ..., m. Suppose the m-th disk group has i failures spread in i racks. Then disk group 1, 2, ..., m-1 should have f-i failures in total. Suppose these f-i failures spread in j racks. Then the i racks affected by disk group m and the j racks affected by disk groups 1...m-1 must overlap on i+j-r racks. And there are r-j racks which are affected by disk group m only.

If we consider of the possible values of i and j, we can derive the following recurrence relation for  $\Gamma(f,r,m)$ :

$$\Gamma(f,r,m) = \sum_{\substack{0 \le i \le p_n \\ i < r}} \sum_{\substack{0 \le j \le r \\ r-i \le j}} C_j^{i+j-r} \cdot C_{n_n-j}^{r-j} \cdot \Gamma(f-i,j,m-1)$$

$$\tag{11}$$

The base case is

$$\Gamma(f, 1, m) = \begin{cases} C_m^f \cdot C_{n_n}^1 & \text{if } 0 \le f \le m \\ 0 & \text{otherwise} \end{cases}$$
 (12)

We can then compute  $\Gamma(f, r, M)$  based on recurrence relation 11 and dynamic programming, with  $O(f \cdot r \cdot M)$  time complexity and  $O(f \cdot r \cdot M)$  memory complexity.

### 5.2 Survival counting in the entire system

Given  $\alpha$  rack groups  $1, 2, ..., \alpha$ , denote  $S(f, r, \alpha)$  as the total number of instances for  $n_n = k_n + p_n$  network-only RAID to survive f failures in r racks, such that each rack has at least one failure.

For  $S(f, r, \alpha)$ , we have the following recurrence relation (which is derived by considering what will happen if  $\alpha$ -th rack group contains i failures affecting j racks):

$$S(f, r, \alpha) = \sum_{\substack{0 \le i \le f \\ i \le M * p_n}} \sum_{\substack{0 \le j \le r \\ j \le i \\ j \le n_n}} \Gamma(i, j, M) \cdot S(f - i, r - j, \alpha - 1)$$

$$(13)$$

The base case is

$$S(f, r, 1) = \Gamma(f, r, M) \tag{14}$$

Since we have already computed  $\Gamma(f, r, m)$ , we can further compute  $S(f, r, \alpha)$  based on recurrence relation 13 and dynamic programming. The total time complexity  $O(f \cdot r \cdot \alpha)$ , and total memory complexity and  $O(f \cdot r \cdot \alpha)$ .

An example implementation can be found at: https://github.com/ucare-uchicago/mlec-sim/blob/main/src/theory/policies/netraid.py

# 6 Survival instances under network declustered erasure

This is easy.

Consider  $n_n = k_n + p_n$  network-only declustered erasure.

There is data loss whenever there are more than  $p_n$  affected racks.

Therefore:

Net-declus Data loss = 
$$\begin{cases} 1 & \text{if } r > p_n \\ 0 & \text{otherwise} \end{cases}$$
 (15)

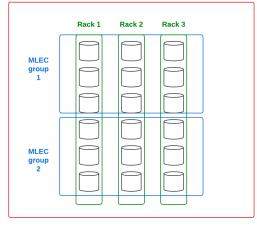
where r is the number of affected racks.

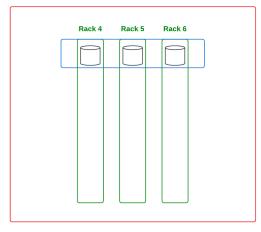
# 7 Survival instances under MLEC clustered

Consider  $(k_n + p_n)/(k_l + p_l)$  clustered MLEC. We first divide all the racks into  $\alpha = X/n_n$  rack groups, each rack group with  $n_n = k_n + p_n$  racks.

In each rack group, we further divide the disks into mlec groups. Each mlec group contains  $n_n = k_n + p_n$  disks on a different rack, thus  $n_n \cdot n_l$  disks in total.

The following figure shows (2+1)/(2+1) clustered MLEC layout among 6 racks.





Rack Group 1

Rack Group 2

### 7.1 Count survivals in a single mlec group

Consider a disk group spread among a racks, with each rack containing  $n_l = k_l + p_l$  disks. Each rack can survive at most  $p_l$  disk failures. The whole disk group can survive at most  $p_n$  rack failures.

Denote  $\Theta(a, p_n, f, r)$  as the number of instances for such a disk group to survive f failures in r racks in this rack group.

Assume the a-th rack contains i disk failures. If we consider of the possible values of i, we can derive the following recurrence relation for  $\Theta(a, p_n, f, r)$ :

$$\Theta(a, p_n, f, r) = \sum_{\substack{0 \le i \le n_l \\ i \le f}} \Delta(a, p_n, f, r, i)$$
(16)

where:

$$\Delta(a, p_n, f, r, i) = \begin{cases} \Theta(a - 1, p_n, f, r) & \text{if } i = 0\\ C_{n_l}^i \cdot \Theta(a - 1, p_n, f - i, r - 1) & \text{if } i \le p_l\\ C_{n_l}^i \cdot \Theta(a - 1, p_n - 1, f - i, r - 1) & \text{if } i > p_l \end{cases}$$
(17)

The base case is

$$\Theta(a, p_n, f, 0) = \begin{cases} 1 & \text{if } f = 0\\ 0 & \text{otherwise} \end{cases}$$
 (18)

Then the survival counting for a mlec group is  $\Theta(n_n, p_n, f, r)$ .

With dynamic programming, the time complexity and space complexity to compute  $\Theta(a, p_n, f, r)$  are both  $O(n_n \cdot p_n \cdot f \cdot r)$ 

## 7.2 Count survivals in a single rack group

Denote  $\Gamma(f, r, m)$  as the number of instances for one rack group to survive f failures in r racks in this rack group.

The rack group contains  $m = M/n_l$  mlec groups. Suppose the m-th rack group has i failures spread in j racks. Then mlec group 1, 2, ..., m-1 should have f-i failures in total. Suppose these f-i failures spread in h racks. Then the j racks affected by disk group m and the h racks affected by disk groups 1...m-1 must overlap on j+h-r racks. And there are r-h racks which are affected by disk group m only.

If we consider of the possible values of i,j, and h, we can derive the following recurrence relation for  $\Gamma(f,r,m)$ :

$$\Gamma(f,r,m) = \sum_{0 \le i \le f} \sum_{\substack{0 \le j \le r \\ r-j \le h}} C_j^{j+h-r} \cdot C_{n_n-h}^{r-h} \cdot \Theta(j,p_n,i,j) \cdot \Gamma(f-i,h,m-1)$$

$$\tag{19}$$

The base case is

$$\Gamma(0, h, m) = \begin{cases} 1 & \text{if } h = 0\\ 0 & \text{otherwise} \end{cases}$$
 (20)

With dynamic programming, the time complexity and space complexity to compute  $\Gamma(f,r,m)$  are both  $O(f \cdot r \cdot m)$ 

#### 7.3 Survival counting in the entire system

Given  $\alpha$  rack groups  $1, 2, ..., \alpha$ , denote  $S(f, r, \alpha)$  as the total number of survivals under f failures in raffected racks.

For  $S(f, r, \alpha)$ , we have the following recurrence relation (which is derived by considering what will happen if  $\alpha$ -th rack group contains *i* failures affecting *j* racks):

$$S(f, r, \alpha) = \sum_{\substack{0 \le i \le f \\ i \le M * n_n}} \sum_{\substack{0 \le j \le r \\ j \le i \\ j < n_n}} \Gamma(i, j, M/n_l) \cdot S(f - i, r - j, \alpha - 1)$$
(21)

The base case is

$$S(f, r, 1) = \Gamma(f, r, M/n_l) \tag{22}$$

Since we have already computed  $\Gamma(f,r,m)$ , we can further compute  $S(f,r,\alpha)$  based on recurrence relation 21 and dynamic programming. The total time complexity  $O(f \cdot r \cdot \alpha)$ , and total memory complexity and  $O(f \cdot r \cdot \alpha)$ .

The aggregate time complexity is  $O(f \cdot r \cdot (n_n p_n + \frac{M}{n_l} + \frac{X}{n_n}))$ An example implementation can be found at: https://github.com/ucare-uchicago/mlec-sim/ blob/main/src/theory/policies/mlec.py

#### 8 Survival instances under MLEC Declustered

TBD