# Simplified combinatorical analysis of burst failures

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#### Abstract

Calculating data loss probability under bursts of failures.

### I. The set up

Consider N drives such that  $N = n_i \times n_o$ , where the subscript o is the short for outer and i is the short for inner. Take  $n_i$  drives and apply an erasure coding with  $n_i = d_i + p_i$ , where  $d_i$  stands for data drives and  $p_i$  stands for parity drives. This inner layer of erasure coding in this group of drives is capable of recovering from  $p_i$  simultaneous failures. Note that the number of such groups is  $n_o$ . We can now that this already erasure coded groups and create another erasure coding on top with  $n_o = d_o + p_o$ . With this set up, we would like to study the resiliency of the data against failure bursts, i.e., will the data survive if  $N_f$  drives fail all at the same time?

It will take at least  $p_i + 1$  simultaneous failures for the inner layer to lose data. And we have to lose  $p_o + 1$  inner layers for the outer layer to lose data. Therefore the minimum number of failures that can cause data loss is:

$$n_{\min} = (p_{\rm i} + 1) \times (p_{\rm o} + 1)$$
 (1)

## II. COUNTING COMBINATIONS

Consider the first of the erasure coded inner layer group that consists of  $n_i$  drives, and assume there are  $f_0$  failed drives in this group where  $0 \le f_0 \le n_i$ . The total number of creating a configuration with  $f_0$  failed and  $n_i - f_0$  healthy drives is:

$$C(f_0) = \frac{n_i!}{(n_i - f_0)!f_0!}. (2)$$

We need to repeat this for all inner groups and multiply them together to get the total number of combinations

$$C(f_0, f_1, \dots, f_{n_0-1}) = \prod_{k=0}^{n_0-1} C(f_k) = \prod_{k=0}^{n_0-1} \frac{n_i!}{(n_i - f_k)! f_k!} = (n_i!)^{n_0} \prod_{k=0}^{n_0-1} \frac{1}{(n_i - f_k)! f_k!}.$$
 (3)

Equation (3) is the total number of combinations for an arbitrary collection of failures per inner layer, i.e.,  $\{f_0, f_1, \dots, f_{n_o-1}\}$  with the only constraint being  $0 \le f_k \le n_i$ . We want to consider cases where the total number of failed drives is a fixed number by imposing the following condition on  $f_k$ :

$$\sum_{k=0}^{n_{\rm o}-1} f_k = N_{\rm f}.\tag{4}$$

We can now sum over every possible value of  $f_k$  satisfying Eq. (4) to get the total number of combinations with total  $N_f$  failed drives.

$$C = \sum_{f_0} \sum_{f_1} \cdots \sum_{f_{n_o-1}} C(f_0, f_1, \cdots, f_{n_o-1}) \delta\left(\sum_{l=0}^{n_o-1} f_l - N_f\right)$$

$$= (n_i!)^{n_o} \sum_{f_0} \sum_{f_1} \cdots \sum_{f_{n_o-1}} \prod_{k=0}^{n_o-1} \frac{1}{(n_i - f_k)! f_k!} \delta\left(\sum_{l=0}^{n_o-1} f_l - N_f\right),$$
(5)

where we imposed the condition in Eq. (4) using the Kronecker delta function,  $\delta$ .

For computational purposes, we can eliminate the eliminate Kronecker delta function by carefully defining the range of the summation indices, i.e.,  $f_k$  so that Eq. (4) is satisfied by definition:

$$C = (n_{i}!)^{n_{o}} \sum_{f_{0}=0}^{N_{f}} \sum_{f_{1}=0}^{N_{f}-f_{0}} \cdots \sum_{f_{n_{o}-2}=0}^{N_{f}-\sum_{l=0}^{n_{o}-3} f_{l}} \prod_{n_{o}-1} \frac{1}{(n_{i}-f_{k})!f_{k}!} \Big|_{f_{n_{o}-1}=N_{f}-\sum_{l=0}^{n_{o}-2} f_{l}}.$$
 (6)

Note that we can use this equation as is although the summation indices  $f_k$  may exceed  $n_i$ . For those cases we will get 0 from the product term since negative factorials  $f_k > n_i$ , become infinite. For numeric computations, the upper limit of the summation  $f_k$  can be truncated at  $\min(N_f - \sum_{l=0}^{k-1} f_l, n_i)$ .

## III. COUNTING DATA LOSS INSTANCES

Not all of these combinations result in data loss. If  $f_k > p_i$  then the inner layer k has lost data. And if the number of inner layers that lost data is larger than  $p_o$ , the overall system has lost data. We can put this in using nested  $\Theta$  functions:

Data Loss = 
$$\Theta\left[\sum_{l=0}^{n_{\rm o}-1}\Theta\left[f_l-p_{\rm i}\right]-p_{\rm o}\right],$$
 (7)

where  $\Theta[m]$  returns 1 for  $m \ge 1$  and 0 otherwise.

#### IV. Probability of data loss

We have been counting the combinations that give data loss cases. We need to normalize that against the total number of combinations with  $N_{\rm f}$  failed and  $N-N_{\rm f}$  healthy drives which is simply:

$$C_{\mathrm{T}} = \frac{N!}{(N - N_{\mathrm{f}})!N_{\mathrm{f}}!}.\tag{8}$$

And finally, the probability of losing data becomes:

$$\mathcal{P} = \frac{\mathcal{C}_{DL}}{\mathcal{C}_{T}}$$

$$= \frac{(N - N_{f})! N_{f}! (n_{i}!)^{n_{o}}}{N!} \sum_{f_{0}=0}^{N_{f}} \sum_{f_{1}=0}^{N_{f}-f_{0}} \cdots \sum_{f_{n_{o}-2}=0}^{N_{f}-\sum_{l=0}^{n_{o}-3} f_{l}} \prod_{n_{o}-1}^{n_{o}-1} \frac{\Theta\left[\sum_{l=0}^{n_{o}-1} \Theta\left[f_{l}-p_{i}\right]-p_{o}\right]}{(n_{i}-f_{k})! f_{k}!} \bigg|_{f_{n_{o}-1=N_{f}}-\sum_{l=0}^{n_{o}-2} f_{l}}.$$
(9)

Numerically evaluating Eq. (9) is somewhat convoluted due to the changing number of summations as  $n_o$  changes. Below are the result for probability of losing data vs number of failures for selected erasure coding as shown in the title. Two traces (which are on top of each other) show the results from brute force counting and the formula. The code is somewhat hard coded to handle  $n_o = 3$  case.

# V. Probability of data loss with fixed number of failed racks

Assume that in addition to fixing the number of failure, we want to fix the number of racks that failed. We can do this easily by modifying Eq. (9) where we multiplied combinations from 1 to  $n_{\rm o}$ . We should truncate this at  $n_{\rm r}$ , i.e., the number of failing racks. All we need to do is to replace  $n_{\rm o}$  with  $n_{\rm r}$ .

Since we are looking at  $n_r$  racks, the number of drives we are considering is now  $N^r = n_i \times n_r$ . The normalization factor becomes:

$$C_{\rm T}^r = \frac{N^r!}{(N^r - N_{\rm f})! N_{\rm f}!}.$$
(10)

Equation (10) is almost correct. However it includes the cases where failures don't span  $n_r$  racks. For example, for  $n_r = 2$ , if all failures fall into a single rack, we need to subtract them out. The number of such configurations is:

$$C_{\mathrm{T}}^{\mathrm{omit}} = 2 \times \frac{n_{\mathrm{i}}!}{(n_{\mathrm{i}} - N_{\mathrm{f}})! N_{\mathrm{f}}!}.$$
(11)

Therefore the correct normalization for  $n_r = 2$  is:

$$C_{\rm T}^r = \frac{N^r!}{(N^r - N_{\rm f})!N_{\rm f}!} - 2 \times \frac{n_{\rm i}!}{(n_{\rm i} - N_{\rm f})!N_{\rm f}!}.$$
(12)

We need to extend this to generic  $n_{\rm r}$  case, which is a work in progress. Plugging this in, we get the following:

$$\mathcal{P}^{r} = \frac{\mathcal{C}_{DL}}{\mathcal{C}_{T}^{r}}$$

$$= \frac{(n_{i}!)^{n_{r}}}{\mathcal{C}_{T}^{r}} \sum_{f_{0}=0}^{N_{f}} \sum_{f_{1}=0}^{N_{f}-f_{0}} \cdots \sum_{f_{n_{r}-2}=0}^{N_{f}-\sum_{l=0}^{n_{r}-3} f_{l}} \prod_{n_{r}-1}^{n_{r}-1} \frac{\Theta\left[\sum_{l=0}^{n_{r}-1} \Theta\left[f_{l}-p_{i}\right]-p_{o}\right]}{(n_{i}-f_{k})! f_{k}!} \Big|_{f_{n_{r}-1=N_{f}-\sum_{l=0}^{n_{r}-2} f_{l}}}.$$
(13)
$$VI. \ PLOTS$$

Probability of data loss vs number of drives failing; EC:(2+1)/(6+1); Racks Affected:2

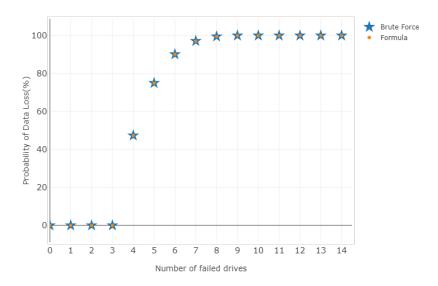


Figure 1: Data loss probability with 2 racks affected. Stars show the results from formula and the dots are from brute force counting.)

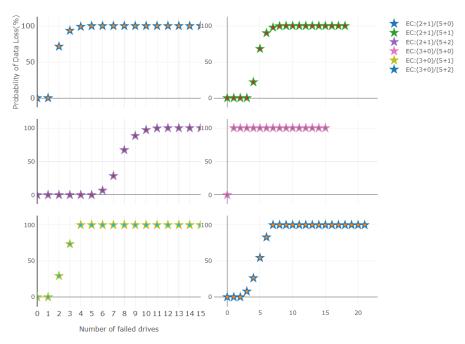


Figure 2: Data loss probability for various ECs. Stars show the results from formula and the dots are from brute force counting.)



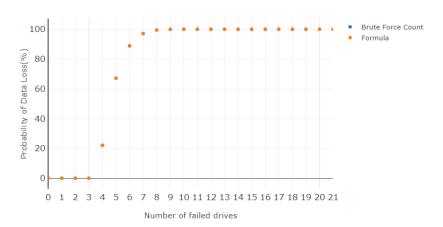


Figure 3: EC:(2+1)/(6+1)

# Probability of data loss vs number of drives failing EC:(2+1)/(6+2)

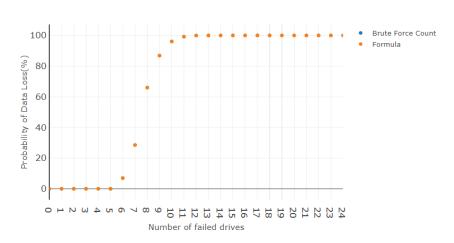


Figure 4: EC:(2+1)/(6+2)

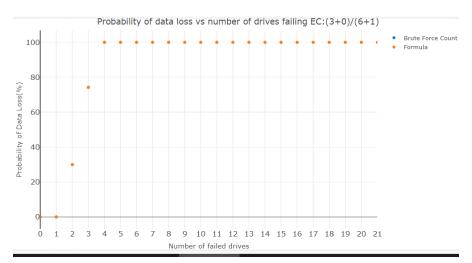


Figure 5: EC:(3+0)/(6+1)

# Probability of data loss vs number of drives failing EC:(3+0)/(6+2)

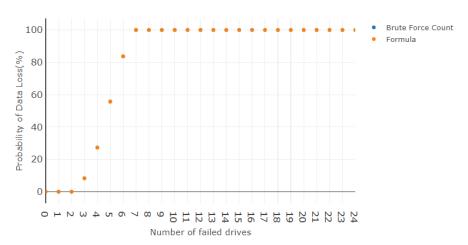


Figure 6: EC:(3+0)/(6+2)