Impact of Limping Hardware on HDFS:
An Probabilistic Estimation

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ABSTRACT

With the advent of cloud computing, thousands of machines are connected and managed collectively. This era is confronted with a new challenge: performance variability, primarily caused by large-scale management issues such as hardware failures, software bugs, and configuration mistakes. In our previous work [2] we highlighted one overlooked cause: limping hardware – hardware whose performance degrades significantly compared to its specification. We showed that limping hardware can cause many limping scenarios in current scale-out systems. In this report, we quantify how often these scenarios happen in the Hadoop Distributed File System.

1   INTRODUCTION

In our latest work [2], we highlight one overlooked cause of performance variability: limping hardware whose performance degrades significantly compared to its specification. The growing complexity of technology scaling, manufacturing, design logic, usage, and operating environment increases the occurrence of limping hardware. We believe this trend will continue, and the concept of performance perfect hardware no longer holds. We have collected reports and anecdotes on cases of limping hardware. We find that disk bandwidth can drop by 80%, network throughput by two orders of magnitude, and processor speed by 25%. Interestingly, such limping behavior is exhibited by both commodity as well as enterprise hardware. Our work shows that although todays scale-out systems employ redundancies, they are not capable of making limping hardware "fail in place". Impact of limping hardware cascades, leading to limped operation (*e.g.*, a write limps 1KB without trigger a failover), limped nodes and limped cluster (*e.g.*, a node or the whole cluster are unable to perform certain task).

In this report, we calculate how often these limping scenarios happen in HDFS [1]. Although, HDFS employs redundancies for fault-tolerance, its protocols are susceptible to limping hardware [2]. We specifically look at three protocols (*i.e.*, read, write, and regeneration) and quantify the probability that these protocols experience limping condition. We further verify our calculation by simulation. Our results show that probabilities of these scenarios are alarmingly high in small and medium (*e.g.*, 30-node) clusters. However, these probabilities reduce significantly when size of cluster increases, as "Scale can be your friend" [3].

This report is structured as follows. We highlight an overview of HDFS in Section 2, present the probability derivation in Section 3, and conclude.
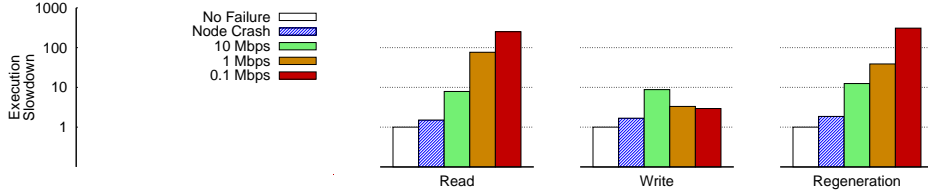
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Figure 1: **Impact of limping hardware on HDFS.** *The figures show the impact of limping network card on three HDFS protocols: read, write and regeneration.*

2 HDFS OVERIVEW

We now briefly describe the architecture and main operations of HDFS [1]. HDFS has a dedicated master, the *namenode*, and multiple workers called *datanodes*. The namenode is responsible for file-system metadata operations, which are handled by a fixed-size thread pool with 10 handlers by default. The namenode stores all metadata, including namespace structure and block locations, in memory for fast operations.

While the namenode serves metadata operations, the datanodes serve read and write requests. For fault tolerance, data blocks are replicated across datanodes. A new data block is written through a pipeline of three different nodes by default. Therefore, each data block typically has three identical replicas. On read, HDFS tries to serve the request a replica that is closest to the reader.

Since a data block can be under-replicated due to many reasons such as disk and machine failures, the namenode ensures that each block has the intended number of replicas by sending commands to datanodes, asking them to regenerate certain blocks. Block regeneration also happens when a datanode is decommissioned; all of its blocks are regenerated before it leaves. Each datanode allows maximumly two threads serving regeneration request at a time so that regeneration does not affect foreground workload.

3 PROBABILITY DERIVATION

In this section, we first show examples of limping hardware causing negative impact on three protocols of HDFS: read, write, and regeneration. We then calculate how often such scenarios happen for each protocol.

3.1 Impact of Limping Hardware

Our previous work [2] shows that HDFS is limping hardware intolerant. Here, we show examples of HDFS protocols suffering from negative impact of limping network card (NIC). Specifically, we run workloads that exercise three HDFS protocols (read, write, and regeneration), inject slowdown to NIC of a node in the cluster, and measure the resulting execution time. Figure 1 shows the results. The normal bandwidth for the network is 100Mbps. We slow down the NIC to 10, 1, and 0.1Mbps in each experiment. We inject crash to evaluate HDFS fail-stop failure tolerance. In all experiments, HDFS is not able to detect a limping NIC, hence does not trigger a failover. As a result, total execution time in case of limping NIC is orders of magnitude higher than in normal scenario.

These results confirm that HDFS protocols are not able to tolerate limping hardware. We next quantify how often such negative impacts happen for each protocol, given the cluster's size, number
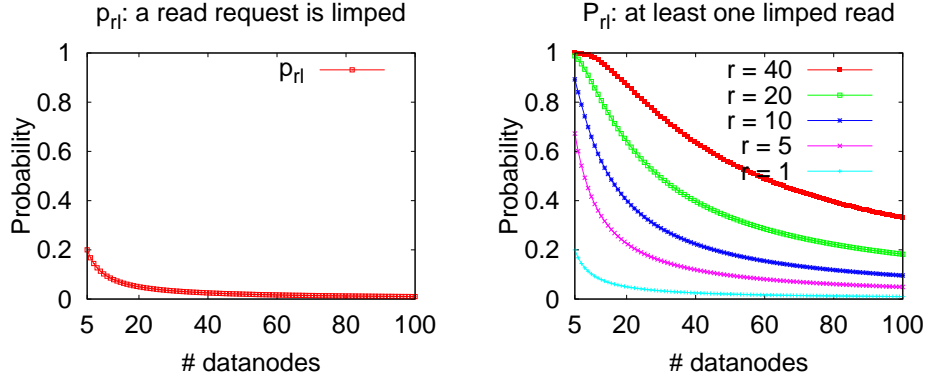
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Figure 2: **Limped Read Probability.**

of data blocks it manages, and number of user requests.

3.2 Limped Read

• **Definition.** Consider an n-node cluster which has one limping node L and $n-1$ good nodes. A user request reads data from one out of three copies (assuming 3-way replication) of certain block B. Each copy has an equal chance to be chosen. We define a *limped read* to be a read request that reads data the limping node L.

• **Derivation.** We now derive the probability of a limped read. There are two conditions for a read of block B to be limped. First, L must contain one copy of B, and second, the copy in L is chosen for reading.

Let's derive the probability for the first condition. There are $\binom{n}{3}$ ways to choose 3 out of n nodes; there are $\binom{n-1}{3}$ ways to choose 3 out of $n-1$ *good* nodes. Therefore, the number of ways to choose 3 nodes, one of which is L, out of n nodes is $\binom{n}{3} - \binom{n-1}{3}$. The probability for L to contain one copy of B is:

$$P(L\ contains\ one\ copy\ of\ B) = \frac{\binom{n}{3} - \binom{n-1}{3}}{\binom{n}{3}} = \frac{3}{n} \tag{1}$$

Since there are three copies of B, the probability for the copy in limping node L to be chosen for reading is $\frac{1}{3}$. As a result, the probability for read to be limped is:

$$P(a\ read\ to\ be\ limped) = p_{rl} = \frac{3}{n} \times \frac{1}{3} = \frac{1}{n} \tag{2}$$

Let r be the number of read requests of a user during a certain operation period (*e.g.*, a day). We now derive the probability that the user has at least one limped read. The probability for a read *not* be limped is $1 - p_{rl}$. The probability for *all* r requests *not* be limped is $(1 - p_{rl})^r$. As a result, the probability for a user to experience at least one limped read is:

$$P(user\ has\ at\ least\ one\ limped\ read) = P_{rl} = 1 - (1 - p_{rl})^r = 1 - (1 - \frac{1}{n})^r \tag{3}$$

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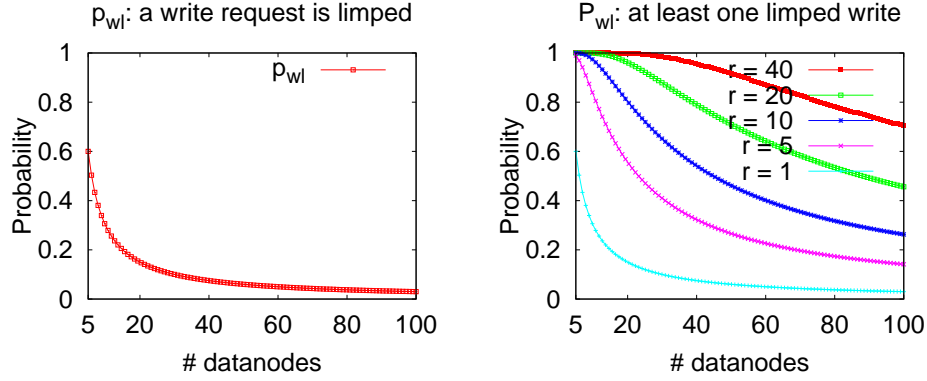Figure 3: **Limped Write Probability.**

• **Result.** Figure 2 plots probabilities for a request to be limped (p_{rl}) and for a user to experience at least one limped read (P_{rl}). As cluster size increases, these probabilities decrease since there are more healthy nodes.

3.3 Limped Write

• **Definition.** Consider an n-node cluster which has one limping node L and $n-1$ good nodes. A user write request requires HDFS to allocate 3 nodes to write to (assuming 3-way replication). Each node has an equal chance to be chosen in a write pipeline. We define a *limped write* to be a write request whose pipeline contains L.

• **Derivation.** We now derive the probability for write to be limped. It is the probability for L to be chosen as one of the nodes in the 3-node write pipeline. We follow the similar derivation as in Section 3.2. There are $\binom{n}{3}$ ways to choose 3 out of n nodes; there are $\binom{n-1}{3}$ ways to choose 3 out of $n-1$ *good* nodes. Therefore, the number of ways to choose 3 nodes, one of which is L, out of n nodes is $\binom{n}{3} - \binom{n-1}{3}$. Thus, the probability for a write to be limped is:

$$P(a\ write\ to\ be\ limped) = p_{wl} = \frac{\binom{n}{3} - \binom{n-1}{3}}{\binom{n}{3}} = \frac{3}{n} \tag{4}$$

Let r be the total number of requests that a user has during a certain working period (e.g., a day). We now derive the formula for the probability that the user experience at least one limped write, P_{wl}. The probability for a write *not* to be limped is $1 - p_{rl}$. The probability for the user does *not* have any limped write equals the probability that *all* r write requests are *not* limped, which is $(1 - p_{wl})^r$. Therefore, the probability for the user experiences at least one limped write is:

$$P(user\ has\ at\ least\ one\ limped\ write) = P_{wl} = 1 - (1 - p_{wl})^r = 1 - (1 - \frac{3}{n})^r \tag{5}$$

• **Result.** Figure 3 plots the probabilities for limped write as function of cluster size and user request. These probabilities are significant larger than those for limped read, because each write has to be written to a 3-node pipeline. Even in a cluster of 50 nodes, a user is likely to experience one limped write on every 40 requests.
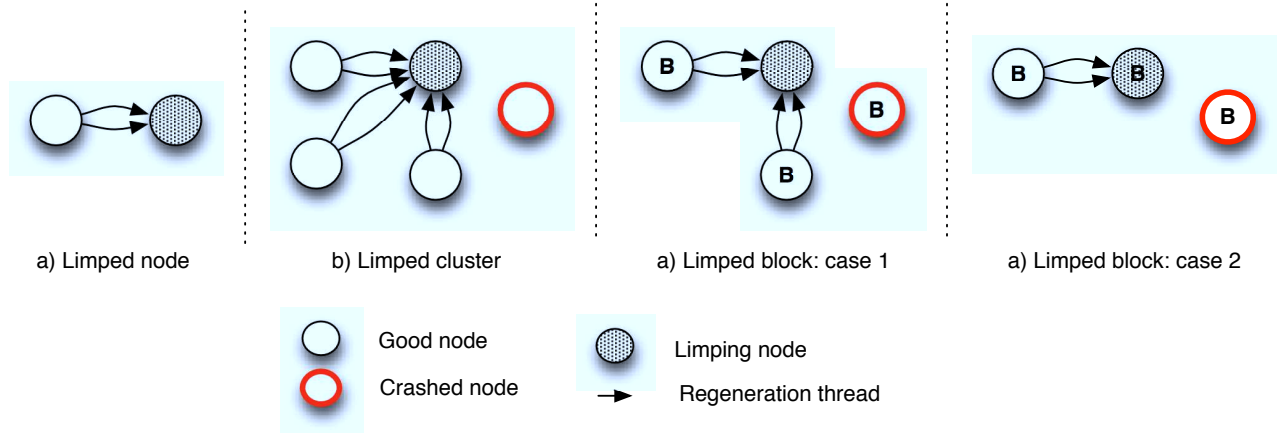
| a) Limped node | b) Limped cluster | a) Limped block: case 1 | a) Limped block: case 2 |

| ○ Good node | ⬚ Limping node |
| ⭕ Crashed node | → Regeneration thread |

Figure 4: **Limped Regeneration.** *The figures show different scenarios of limping regeneration. "B" label inside a circle represents that a copy of block B is located in the node. Arrow represents a regeneration thread, which may be copying a block other than B.*

3.4 Limped Regeneration

3.4.1 Definitions

Consider an HDFS cluster consisting of n datanodes, one of which is limping (node L). Let C be a node that crashes; there are $n-1$ surviving nodes including the limping one. Let G be the set of good nodes (nodes are neither limping nor crashed); there are $n-2$ good nodes.

Let b be the total number of blocks in node C. When node C crashes, HDFS triggers regeneration workload to regenerate those lost blocks. On average, each surviving node has to replicate $m = \frac{b}{n-1}$ blocks to other live nodes.

$$m = \frac{b}{n-1} \tag{6}$$

For each lost block, the master chooses a source and a destination datanode. The source is chosen from live nodes that still carry the block. The destination node is chosen using the write allocation policy (that uses randomness). A source datanode can only run two regeneration threads at a time.

• **Limped node.** Consider a good node $X, X \in G$. When both regeneration threads of X send blocks to a limping node L, the node is not available for new regeneration tasks until the two threads finish (which could take a long time). We define this situation a *limped node* during regeneration process. The situation is illustrated in Figure 4a.

• **Limped cluster.** When *all* good nodes are limped, as illustrated in Figure 4b, the whole system is unable to start *any* regeneration task. We define this scenario a *limped cluster*. Formally, the cluster is limped during regeneration when $\forall X \in G, X$ is limped.

• **Limped block.** The system may not be able to regenerate block B for a long time. This can happen in two cases, which are illustrated in Figures 4c and 4d. First, all remaining copies of B are in limped nodes (Figures 4c), and second, one copy of B is in a limped node, the other is in

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limping node L (Figures [4]d). Note that these cases are mutually exclusive and in the illustration, replication threads are copying different blocks other than B.

3.4.2 Derivation

We now derive the probabilities for limped node, cluster, and block scenarios. To facilitate our calculation, we first derive the probability that node L is destination of a copy task.

• L **is destination for a copy task.** Consider a scenario where good node X ($X \in G$) copies one of its blocks (*e.g.*, block B) to another node. Let p be the probability that L is selected as destination. For this to happen, there are two conditions: first, L does not have a copy of B *and* second, the master chooses L to be the destination.

We now derive the probability of the first condition. Since X and C both contain a copy of B, the probability for L to also contain B is $\frac{1}{n-2}$. Therefore, the probability for a copy of B not in L is $1 - \frac{1}{n-2} = \frac{n-3}{n-2}$.

$$P(copy\ of\ block\ B\ in\ L) = \frac{1}{n-2} \tag{7}$$

$$P(copy\ of\ block\ B\ not\ in\ L) = 1 - \frac{1}{n-2} = \frac{n-3}{n-2} \tag{8}$$

We calculate the probability that the master chooses L as destination, given that L does not contain B. Note that to the master can only choose one from $n-3$ nodes that do not have a copy of block B. Thus, given L not storing B, the probability for L to be the destination is $\frac{1}{n-3}$. As a result, the probability for X to copy block B to L is:

$$p = P(L\ is\ destination\ of\ a\ copy\ task) = \frac{n-3}{n-2} \times \frac{1}{n-3} = \frac{1}{n-2} \tag{9}$$

• **Limped node probability.** Let P_{nl} be the probability for node $X, X \in G$ to be limped during regeneration process. We assume the time to copy a block between two good nodes is inconsiderable compared to the time to copy a block between a good and a limping node L. As a result, P_{nl} is the probability that X copies *at least* two blocks to L, out of m blocks it has to regenerate.

Since the probability for X *not* to copy *any* blocks to L (out of m blocks) is $(1-p)^m$ and the probability for X to copy *exactly* one block to L (again, out of m blocks) is $\binom{m}{1} \times p \times (1-p)^{m-1}$, we have:

$$P(a\ node\ limps) = P_{nl}$$
$$= 1 - (1-p)^m - \binom{m}{1} \times p \times (1-p)^{m-1} \tag{10}$$
$$= 1 - (1 - \frac{1}{n-2})^{\frac{b}{n-1}} - \frac{b}{(n-1) \times (n-2)} \times (1 - \frac{1}{n-2})^{\frac{b-n+1}{n-1}}$$

• **Limped cluster probability.** Let P_{cl} be the probability for the whole cluster to limp during regeneration. This scenario happens when all good nodes limp. Therefore:

$$P(the\ cluster\ limps) = P_{cl} = P_{nl}{}^{n-2} \tag{11}$$

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• **Limped block probability.** Let p_{bl} be the probability for a block B to be limped. There are two mutually exclusive cases for this scenario (Figure 4c and Figure 4d). Let the probabilities of these cases are p_{bl_1} and p_{bl_2}, respectively. Because they are mutually exclusive, we have:

$$p_{bl} = p_{bl_1} + p_{bl_2} \tag{12}$$

We now calculate probability of the first case, p_{bl_1}, the case where all of block B's remaining copies are stored in limped nodes (Figure 4c). Let i be the number of good but limped nodes. The probability to have *exactly* i good but limped nodes is

$$P(having\ i\ limped\ nodes) =$$
$$p_{nl}(i) = \binom{n-2}{i} \times P_{nl}{}^i \times (1 - P_{nl})^{n-2-i} \tag{13}$$

For this first case to happen, there are two conditions: (1) $i \geq 2$; and (2) two copies of block B are stored among those i nodes. There are $\binom{n-1}{2}$ ways to place two copies of B among $n-1$ nodes (excluding the crashed one which must contain B). There are $\binom{i}{2}$ ways to place two copies of B among i limped nodes. Therefore, the probability for two copies of B be in two (out of i) limped nodes is $\frac{\binom{i}{2}}{\binom{n-1}{2}}$. As a result:

$$p_{bl_1}(i) = p_{nl}(i) \times \frac{\binom{i}{2}}{\binom{n-1}{2}}, 2 \leq i \leq n - 2 \tag{14}$$

To calculate the exact value of p_{bl_1}, we must consider all possible values of i. Because i can vary from 2 to $n - 2$, the final equation for the probability of the first case (Figure 4c) is:

$$p_{bl_1} = \sum_{i=2}^{n-2} p_{nl}(i) \times \frac{\binom{i}{2}}{\binom{n-1}{2}} \tag{15}$$

Now, let's calculate, p_{bl_2}, the probability for the second case (Figure 4d), which happens when: (1) $i \geq 1$; and (2) one remaining copy of B is in L, and the other is in one (out of i) good but limped node. Again, the probability to have *exactly* i good but limped nodes is $p_{nl}(i)$. There are $\binom{i}{1} = i$ ways to place two copies of B, one of which in L and the other one in limped node. Therefore, the probability for two copies of B be in this situation is $\frac{i}{\binom{n-1}{2}}$. As a result:

$$p_{bl_2}(i) = p_{nl}(i) \times \frac{i}{\binom{n-1}{2}}, 1 \leq i \leq n - 2 \tag{16}$$

Since i can vary from 1 to $n - 2$ in the second case, we have:

$$p_{bl_2} = \sum_{i=1}^{n-2} p_{nl}(i) \times \frac{i}{\binom{n-1}{2}} \tag{17}$$

Since two cases for a block to limp are mutually exclusive, the limped block probability is:

$$P(a\ limped\ block) = p_{bl} = p_{bl_1} + p_{bl_2}$$
$$= \sum_{i=2}^{n-2} p_{nl}(i) \times \frac{\binom{i}{2}}{\binom{n-1}{2}} + \sum_{i=1}^{n-2} p_{nl}(i) \times \frac{i}{\binom{n-1}{2}} \tag{18}$$
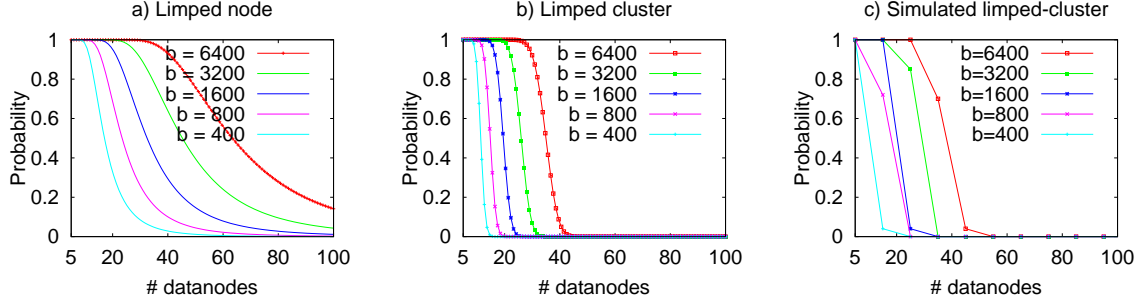
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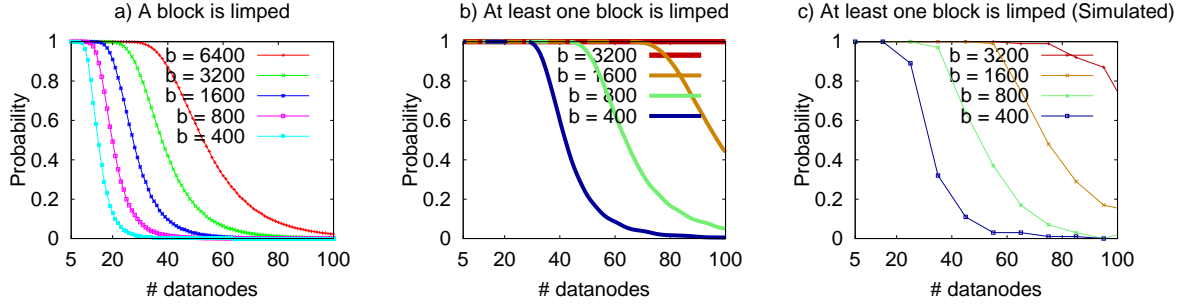Figure 5: **Limped node and cluster probabilities.**

Figure 6: **Limped block probabilities.**

We are now able to calculate the probability for the scenario where at least one block is limped during regeneration process. The probability for a block B not to be limped is $1 - p_{bl}$. The probability of having *zero* limped block is $(1 - p_{bl})^b$. Therefore, the probability of having at least one limped block:

$$P(at\ least\ one\ limped\ block) =$$
$$P_{bl} = 1 - (1 - p_{bl})^b \tag{19}$$

3.4.3 Results

To be more confident with our calculation, we simulate HDFS regeneration protocol and run regeneration workload. We vary the number of nodes in the cluster and the number of lost blocks. We run each configuration (with different cluster size and number of lost blocks) 100 times, and measures the probability of limped block and limped cluster.

Figures 5 and 6 show both our calculation and simulation results. Limped-node and limped-cluster probabilities are relatively high for a small to medium (e.g., 30-node) cluster. Limped block probability is alarmingly high: even in a 100-node cluster, a dead 20%-full 1TB node (that can store 3200 blocks) will lead to at least one limped block. Simulation results are similar to our calculation.

4 CONCLUSION

Limping hardware without doubt is a destructive failure mode. We show that HDFS fail to properly handle limping hardware. We present a probabilistic estimation of how often such negative impact of limping hardware happens to three important HDFS protocol: read, write, and regeneration. Our estimation shows that impact of limping hardware is significant, even a medium cluster of 30-40 nodes.

References

[1] HDFS Architecture. `http://hadoop.apache.org/common/docs/current/hdfs_design.html`.

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