



# OPERATING SYSTEMS

## Classic problems of Synchronization

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## Slides Credits for all PPTs of this course

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- The slides/diagrams in this course are an **adaptation**, **combination**, and **enhancement** of material from the following resources and persons:
  1. Slides of Operating System Concepts, Abraham Silberschatz, Peter Baer Galvin, Greg Gagne - 9<sup>th</sup> edition 2013 and some slides from 10<sup>th</sup> edition 2018
  2. Some conceptual text and diagram from Operating Systems - Internals and Design Principles, William Stallings, 9<sup>th</sup> edition 2018
  3. Some presentation transcripts from A. Frank – P. Weisberg
  4. Some conceptual text from Operating Systems: Three Easy Pieces, Remzi Arpaci-Dusseau, Andrea Arpaci Dusseau

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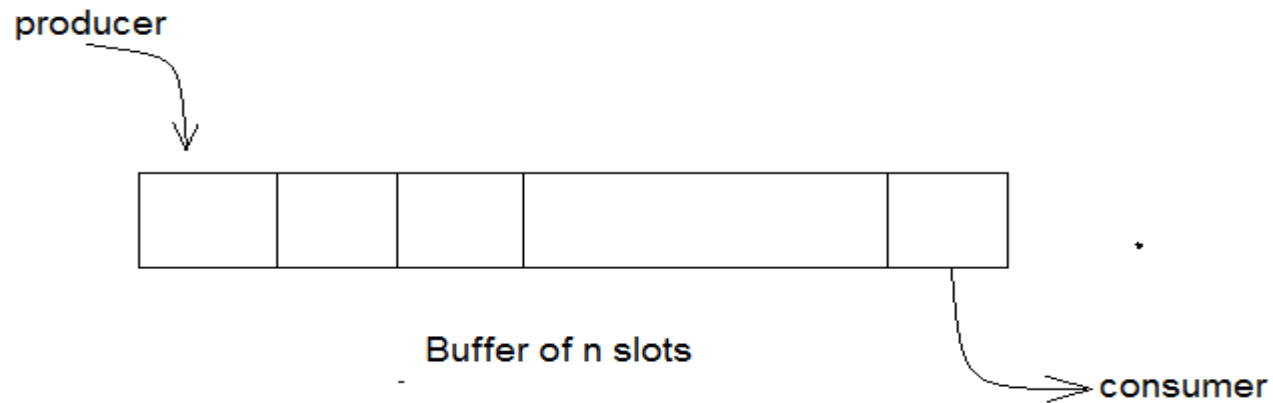
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## □ Classic problems of Synchronization

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- $n$  buffers, each can hold one item
- Semaphore **mutex** initialized to the value 1
- Semaphore **full** initialized to the value 0
- Semaphore **empty** initialized to the value  $n$



- The structure of the producer process

```
do {  
    ...  
    /* produce an item in next_produced */  
    ...  
    wait(empty); //wait until empty > 0 and then decrement 'empty'  
    wait(mutex); ///acquire lock  
    ...  
    /* add next produced to the buffer */  
    ...  
    signal(mutex); //release a lock  
    signal(full); //increment full  
} while (true);
```

❑ The structure of the consumer process

```
do {  
    wait(full); // wait until full > 0 and then decrement 'full'  
    wait(mutex); // acquire the lock  
    ...  
    /* remove an item from buffer to next_consumed */  
    ...  
    signal(mutex); // release the lock  
    signal(empty); // increment 'empty'  
    ...  
    /* consume the item in next consumed */  
    ...  
} while (true);
```

### The Problem Statement

There is a shared resource which should be accessed by multiple processes. There are two types of processes in this context. They are **reader** and **writer**. Any number of **readers** can read from the shared resource simultaneously, but only one **writer** can write to the shared resource. When a **writer** is writing data to the resource, no other process can access the resource. A **writer** cannot write to the resource if there are non zero number of readers accessing the resource at that time.

- ❑ **solution**
- ❑ Several variations of how readers and writers are considered – all involve some form of priorities
- ❑ Shared Data
  - ❑ Data set
  - ❑ Semaphore **rw\_mutex** initialized to 1(semaphore)
  - ❑ Semaphore **mutex** initialized to 1 (mutex)
  - ❑ Integer **read\_count** initialized to 0



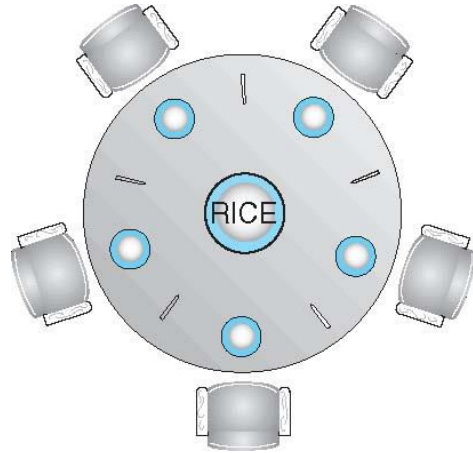
- The structure of a writer process

```
do {  
    wait(rw_mutex);  
  
    ...  
    /* writing is performed */  
  
    ...  
    signal(rw_mutex);  
} while (true);
```

- The structure of a reader process

```
do {  
    wait(mutex);  
    read_count++;  
    if (read_count == 1)  
        wait(rw_mutex);  
    signal(mutex);  
  
    ...  
    /* reading is performed */  
  
    ...  
    wait(mutex);  
    read count--;  
    if (read_count == 0)  
        signal(rw_mutex);  
    signal(mutex);  
} while (true);
```

- ❑ **First** variation – no reader kept waiting unless writer has permission to use shared object
- ❑ **Second** variation – once writer is ready, it performs the write ASAP
- ❑ Both may have starvation leading to even more variations
- ❑ Problem is solved on some systems by kernel providing reader-writer locks



- ❑ Philosophers spend their lives alternating thinking and eating
- ❑ Don't interact with their neighbors, occasionally try to pick up 2 chopsticks (one at a time) to eat from bowl
  - ❑ Need both to eat, then release both when done
- ❑ In the case of 5 philosophers
  - ❑ Shared data
    - ▶ Bowl of rice (data set)
    - ▶ Semaphore **chopstick** [5] initialized to 1

- The structure of Philosopher *i*:

```
do {  
    wait (chopstick[i] );  
    wait (chopStick[ (i + 1) % 5] );  
    // eat  
    signal (chopstick[i] );  
    signal (chopstick[ (i + 1) % 5] );  
    // think  
} while (TRUE);
```

- What is the problem with this algorithm?

- ❑ Deadlock handling
  - ❑ Allow at most 4 philosophers to be sitting simultaneously at the table.
  - ❑ Allow a philosopher to pick up the forks only if both are available (picking must be done in a critical section).
  - ❑ Use an asymmetric solution -- an odd-numbered philosopher picks up first the left chopstick and then the right chopstick. Even-numbered philosopher picks up first the right chopstick and then the left chopstick.



**THANK YOU**

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