

# Federated Learning using PHE

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Training a Machine Learning Model on Shared Data

Presenters -

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# Motivation

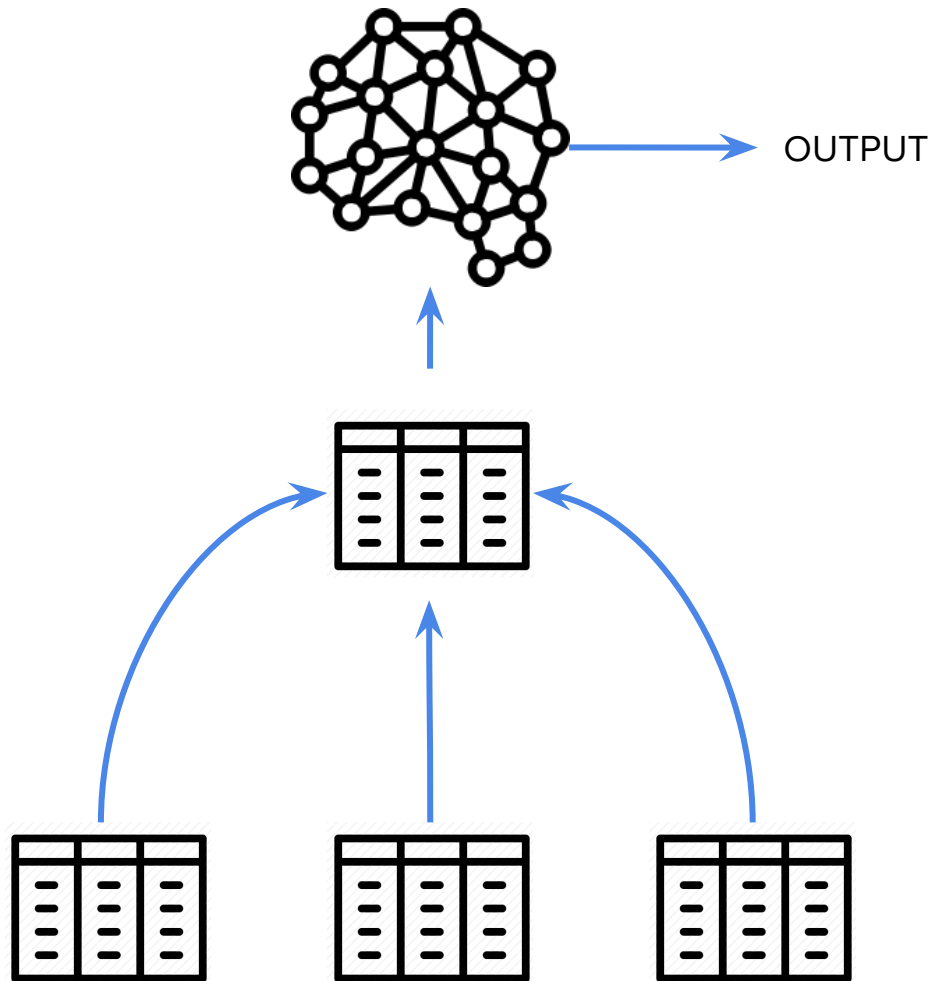
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# Motivation

Constraints -

1. Data should not leave a hospital, even in the encrypted format.
2. Origin of data should not be inferred at any time.

Each party is “honest but curious”



# Related Work

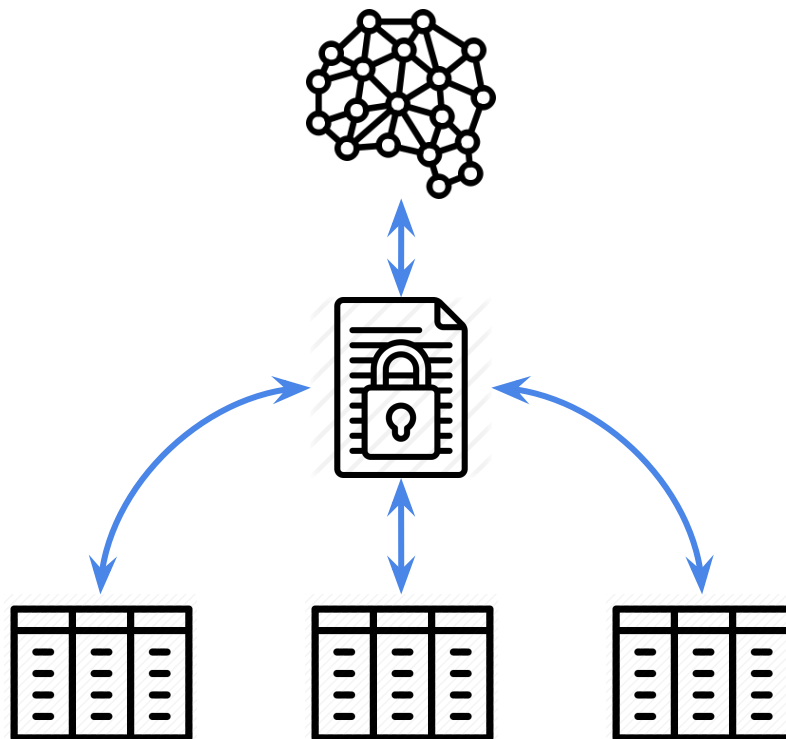
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# Secure Model Fusion for Distributed Learning Using Partial Homomorphic Encryption - 2019

- Each client computes individual weights
- Sends encrypted weights to server
- Server sums them up and sends to one of the clients
- Client decrypts it and sends it to all clients

# Privacy-Preserving Ridge Regression with only Linearly-Homomorphic Encryption - 2018

Takes about 40s to train on student dataset



# Scalable and Secure Logistic Regression via Homomorphic Encryption - 2016

- Encrypted data is sent to the server by each client
- The model is computed by server by using mathematical approximations
- Outputs the model weights
- Use R-LWE



# Approach

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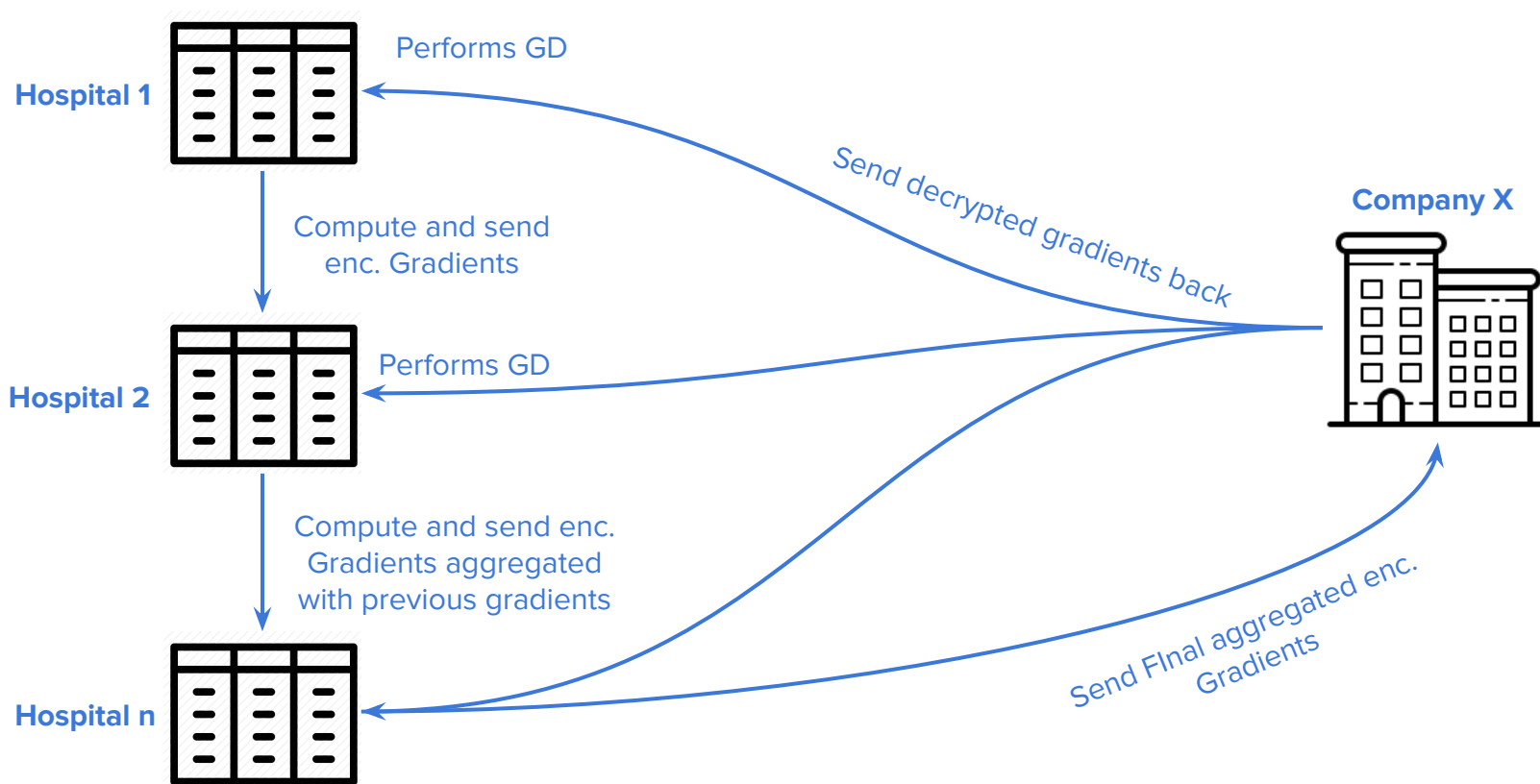
# Approach: Data

- Use the breast\_cancer dataset from scikit-learn
- The hospitals will have different patient records but with the same feature set.
- Data split into **test** and **train** set in 1:3 ratio.
- This train set is further split into **n** shares for **n** hospitals.
  - Represents the idea of horizontal split of the dataset.
- The **test** set is common for all parties and for all experiments.

# Approach: Model

- Use Logistic Regression Classification model for classifying breast cancer into benign or malignant.
- Federated learning on the complete data of all the  $n$  hospitals.
- Our approach is to not share data in its true or encrypted format
  - Instead share the derived information i.e. gradients in the encrypted format.
- The company X creates the public-private key pair using Paillier scheme (PHE).
  - Public key is shared with the hospitals for the gradient encryption
  - Private key is kept with the company itself.

# Approach: Training



# Approach: Training

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**Algorithm 1** Pseudocode

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```
for hospital  $h_i$  in  $n$  do
     $g_i \leftarrow$  compute gradient for  $h_i$  on data  $X_i$ 
     $ag_i \leftarrow g_i + ag_{i-1}$  where aggregated gradient  $ag_{i-1}$  from  $h_{i-1}$ 
end for
 $d_{ag} \leftarrow$  Company decrypts  $ag$ 
for hospital  $h_i$  in  $n$  do
     $h_i$  performs gradient descent using  $d_{ag}$ 
end for
 $\therefore$  Model is trained on the whole data
```

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# Approach: Security

- We consider all parties to be **honest but curious**.
- No hospital will be able to point out where patients' data originated.
  - True if the protocol is run by at least 3 hospitals preventing the reconstruction of each others' gradients.
- The company X cannot learn anything about the underlying data from the total aggregated gradient, thereby preserving patients' privacy.
- **Centralized aggregation vs coupled:**  $O(1)$  vs  $O(\log n)$  aggregation. Security issue in  $O(1)$  since Server can pinpoint patients' data origins.

# Results

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# Results

- 2 different datasets
- Test data is common in all scenarios (for a given dataset)
- Key length = 1024



## Results - breast cancer

Number of Hospitals (n)	Avg Acc (LL) (in %)	Train Time (LL) (in s)	Avg Acc (FL) (in %)	Train Time (FL) (in s)	Avg Acc (sklearn) (in %)	Train Time (sklearn) (in s)
3	<b>96.27</b>	0.003	95.80	2.821	95.80	0.123
7	<b>96.00</b>	0.006	95.80	6.315	95.80	0.028
10	95.38	0.008	<b>95.80</b>	9.987	<b>95.80</b>	0.033
20	94.93	0.161	<b>95.80</b>	18.343	<b>95.80</b>	0.036
27	94.17	0.209	<b>95.80</b>	24.681	<b>95.80</b>	0.038

Table 1: Comparison of our model's performance on breast cancer data with the one without combining data and also, against scikit-learn's Logistic Regression. The best performances are indicated in bold. LL-Local Learning ; FL-Federated Learning

# Results - grad admission

Number of Data Owners (n)	Avg Acc (LL) (in %)	Train Time (LL) (in s)	Avg Acc (FL) (in %)	Train Time (FL) (in s)	Avg Acc (sklearn) (in %)	Train Time (sklearn) (in s)
3	70.40	0.003	<b>86.40</b>	0.777	<b>86.40</b>	0.024
7	80.46	0.006	<b>86.40</b>	1.709	<b>86.40</b>	0.029
10	79.28	0.014	<b>86.40</b>	2.594	<b>86.40</b>	0.027
20	75.24	0.017	<b>86.40</b>	5.143	<b>86.40</b>	0.030
27	77.16	0.020	<b>86.40</b>	6.802	<b>86.40</b>	0.024

Table 2: Comparison of our model's performance on grad-admission data with the one without combining data and also, against scikit-learn's Logistic Regression.

Thank you!

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