

# Deep Learning Unsupervised Learning

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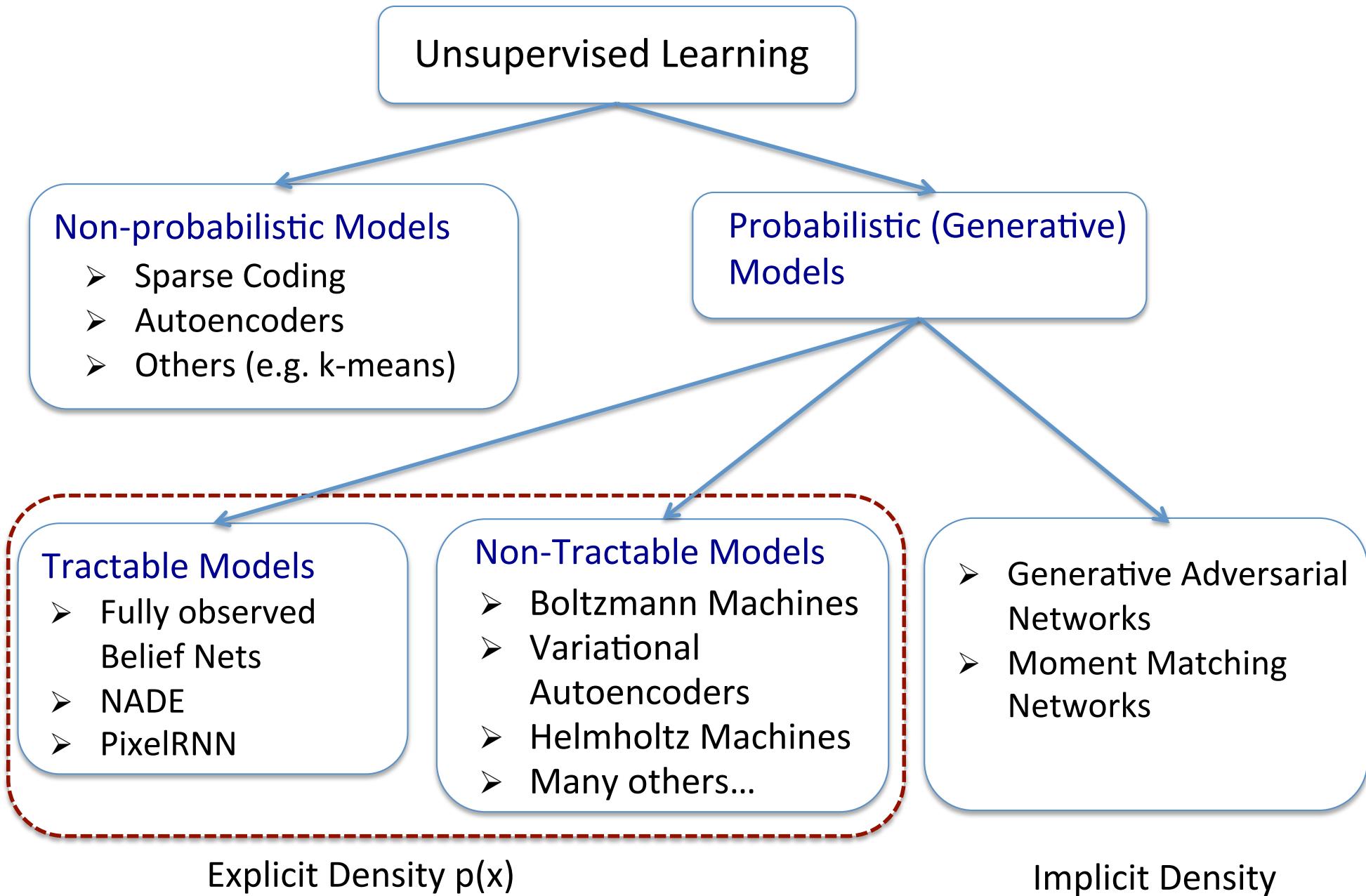


# Tutorial Roadmap

Part 1: Supervised (Discriminative) Learning: Deep Networks

Part 2: Unsupervised Learning: Deep Generative Models

Part 3: Open Research Questions



# Tutorial Roadmap

- Basic Building Blocks:

- Sparse Coding
- Autoencoders

- Deep Generative Models

- Restricted Boltzmann Machines
- Deep Boltzmann Machines
- Helmholtz Machines / Variational Autoencoders

- Generative Adversarial Networks

# Tutorial Roadmap

- Basic Building Blocks:
  - Sparse Coding
  - Autoencoders
- Deep Generative Models
  - Restricted Boltzmann Machines
  - Deep Boltzmann Machines
  - Helmholtz Machines / Variational Autoencoders
- Generative Adversarial Networks

# Sparse Coding

- Sparse coding (Olshausen & Field, 1996). Originally developed to explain early visual processing in the brain (edge detection).
- **Objective:** Given a set of input data vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N\}$ , learn a dictionary of bases  $\{\phi_1, \phi_2, \dots, \phi_K\}$ , such that:

$$\mathbf{x}_n = \sum_{k=1}^K a_{nk} \phi_k,$$

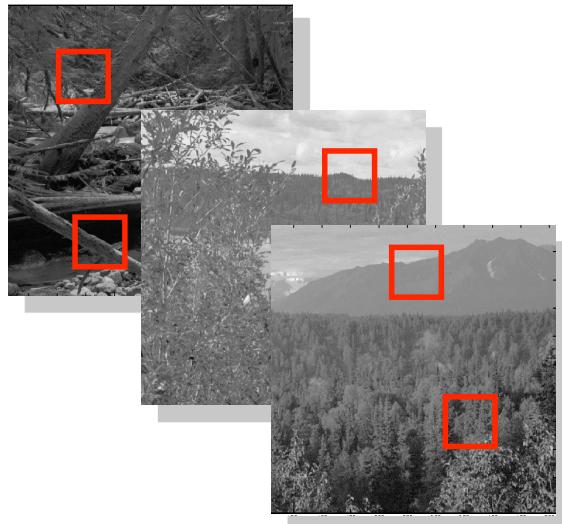
Sparse: mostly zeros



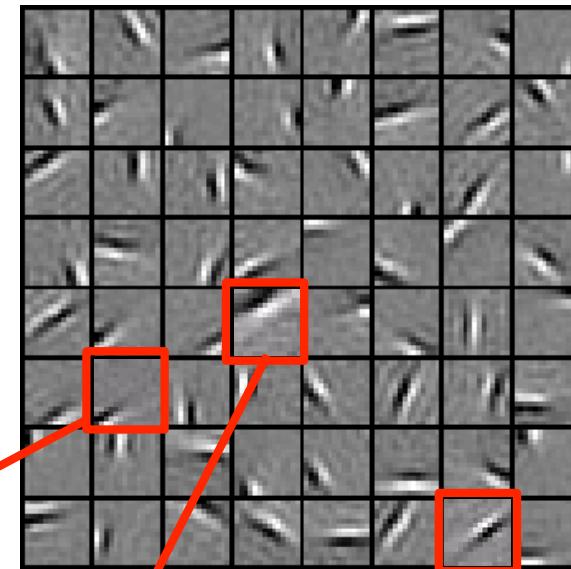
- Each data vector is represented as a sparse linear combination of bases.

# Sparse Coding

Natural Images



Learned bases: “Edges”



New example

$$\begin{aligned} x &= 0.8 * \text{basis}_1 + 0.3 * \text{basis}_2 + 0.5 * \text{basis}_3 \\ &= 0.8 * \phi_{36} + 0.3 * \phi_{42} + 0.5 * \phi_{65} \end{aligned}$$

[0, 0, ... **0.8**, ..., **0.3**, ..., **0.5**, ...] = coefficients (feature representation)

# Sparse Coding: Training

- Input image patches:  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N \in \mathbb{R}^D$
- Learn dictionary of bases:  $\phi_1, \phi_2, \dots, \phi_K \in \mathbb{R}^D$

$$\min_{\mathbf{a}, \phi} \sum_{n=1}^N \left\| \mathbf{x}_n - \sum_{k=1}^K a_{nk} \phi_k \right\|_2^2 + \lambda \sum_{n=1}^N \sum_{k=1}^K |a_{nk}|$$


Reconstruction error      Sparsity penalty

- Alternating Optimization:
  1. Fix dictionary of bases  $\phi_1, \phi_2, \dots, \phi_K$  and solve for activations  $\mathbf{a}$  (a standard Lasso problem).
  2. Fix activations  $\mathbf{a}$ , optimize the dictionary of bases (convex QP problem).

# Sparse Coding: Testing Time

- Input: a new image patch  $\mathbf{x}^*$ , and  $K$  learned bases  $\phi_1, \phi_2, \dots, \phi_K$
- Output: sparse representation  $\mathbf{a}$  of an image patch  $\mathbf{x}^*$ .

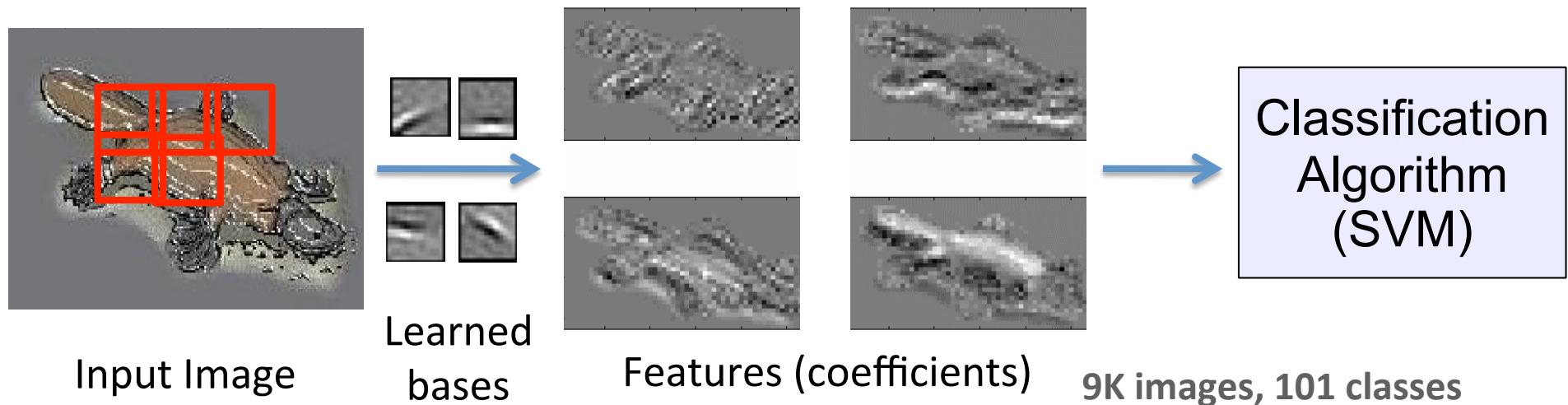
$$\min_{\mathbf{a}} \left\| \mathbf{x}^* - \sum_{k=1}^K a_k \phi_k \right\|_2^2 + \lambda \sum_{k=1}^K |a_k|$$

$$\begin{array}{c} \text{[Image patch]} = 0.8 * \text{[Image patch]} + 0.3 * \text{[Image patch]} + 0.5 * \text{[Image patch]} \\ x^* = 0.8 * \phi_{36} + 0.3 * \phi_{42} + 0.5 * \phi_{65} \end{array}$$

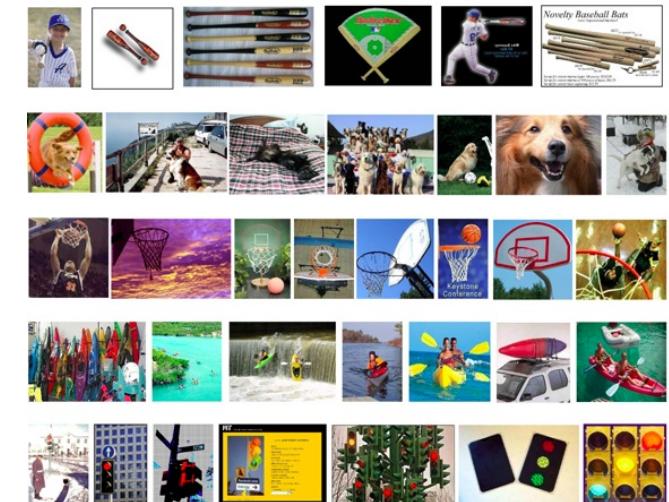
[0, 0, ... **0.8**, ..., **0.3**, ..., **0.5**, ...] = coefficients (feature representation)

# Image Classification

Evaluated on Caltech101 object category dataset.

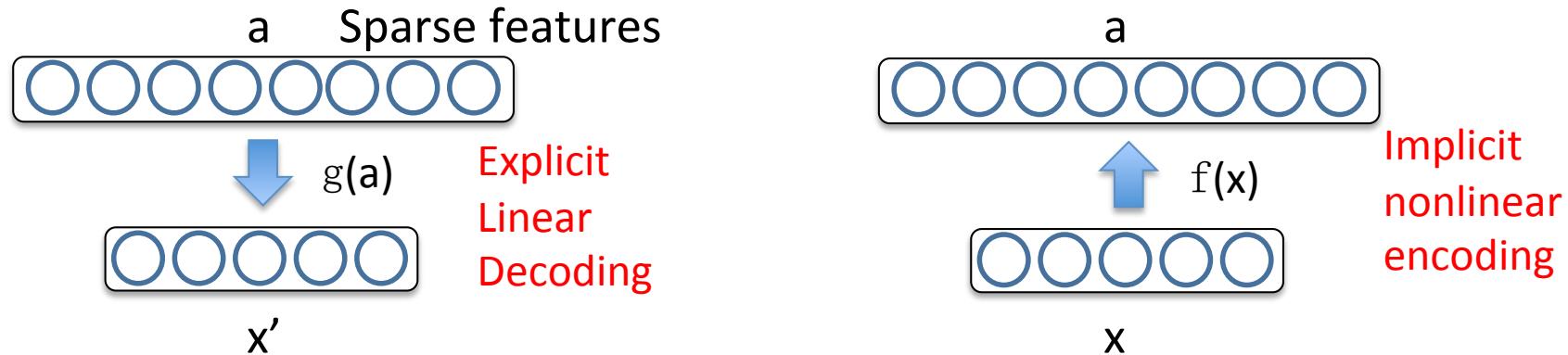


Algorithm	Accuracy
Baseline (Fei-Fei et al., 2004)	16%
PCA	37%
<b>Sparse Coding</b>	<b>47%</b>



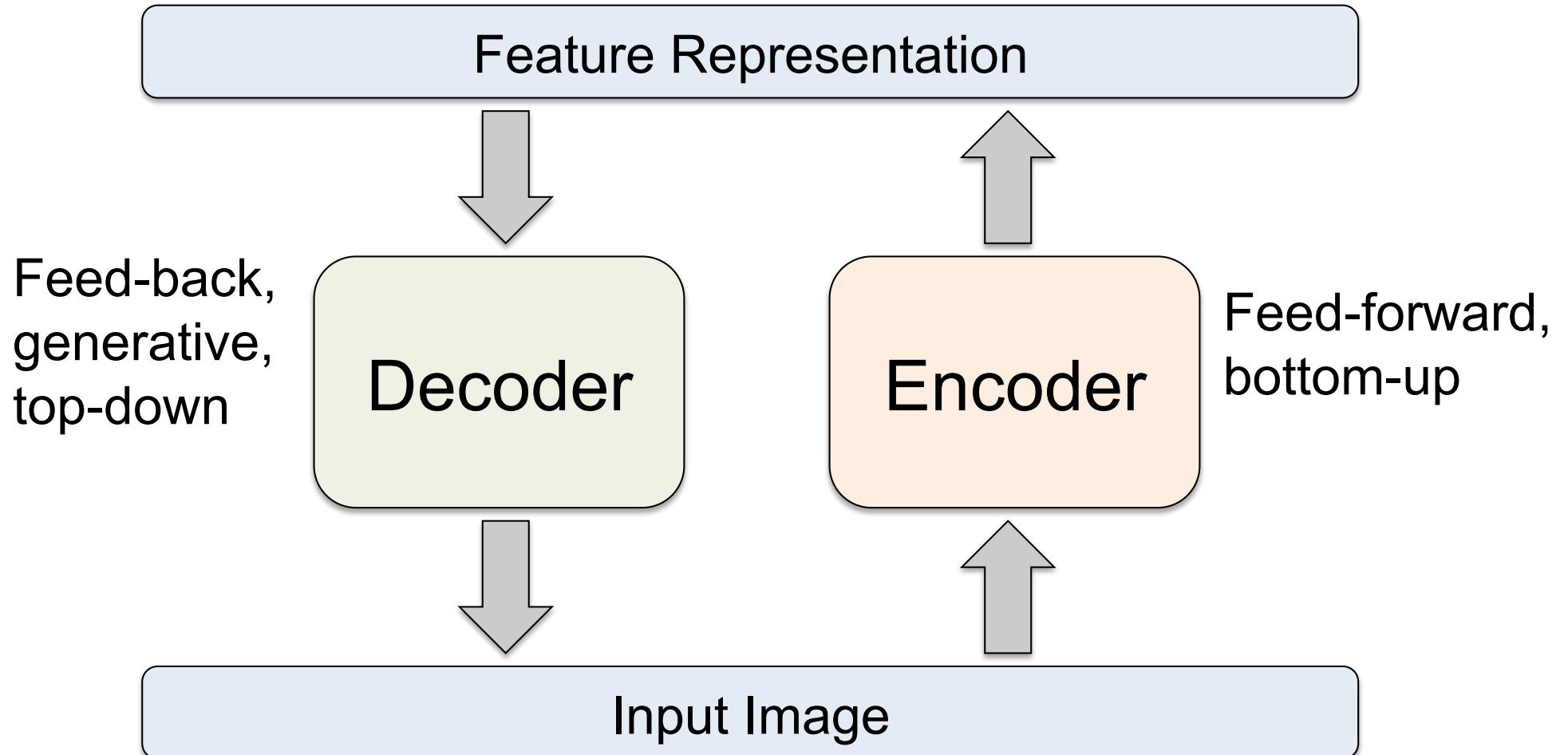
# Interpreting Sparse Coding

$$\min_{\mathbf{a}, \phi} \sum_{n=1}^N \left\| \mathbf{x}_n - \sum_{k=1}^K a_{nk} \phi_k \right\|_2^2 + \lambda \sum_{n=1}^N \sum_{k=1}^K |a_{nk}|$$



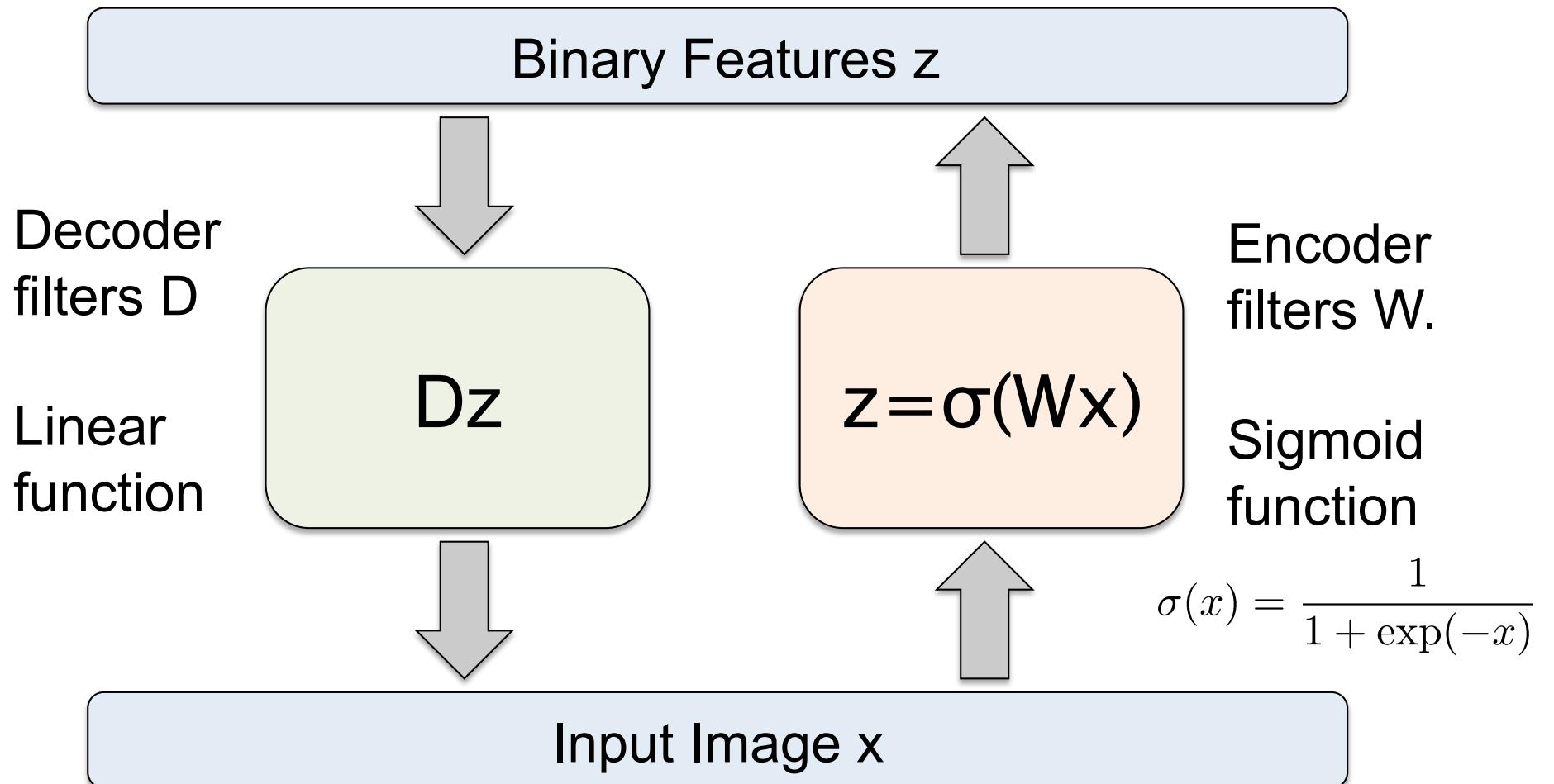
- Sparse, over-complete representation  $\mathbf{a}$ .
- Encoding  $\mathbf{a} = f(\mathbf{x})$  is implicit and nonlinear function of  $\mathbf{x}$ .
- Reconstruction (or decoding)  $\mathbf{x}' = g(\mathbf{a})$  is linear and explicit.

# Autoencoder

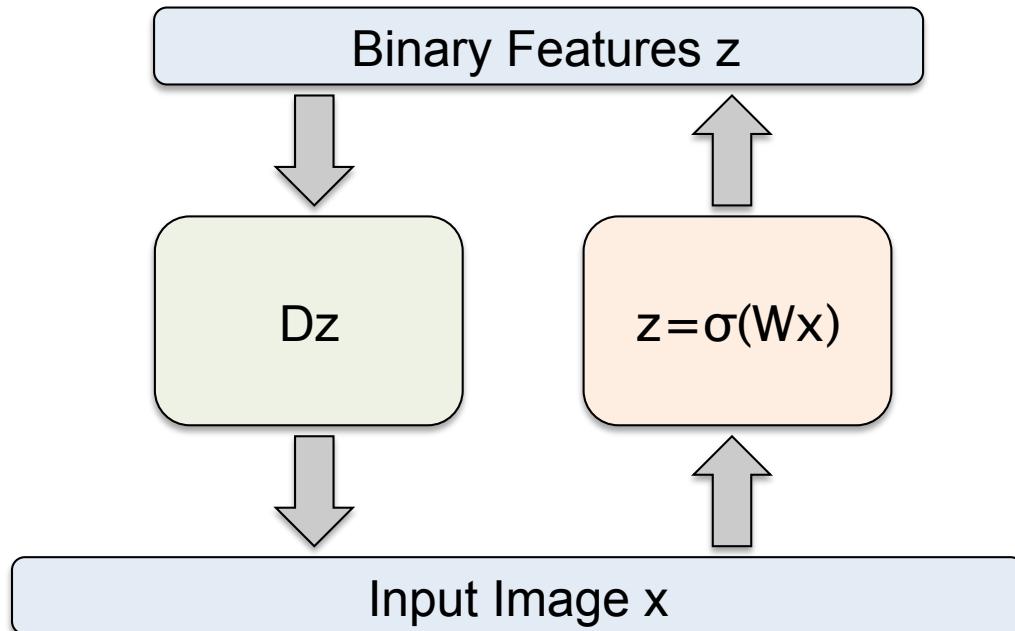


- Details of what goes inside the encoder and decoder matter!
- Need constraints to avoid learning an identity.

# Autoencoder



# Autoencoder



- An autoencoder with D inputs, D outputs, and K hidden units, with K< D.

- Given an input  $x$ , its reconstruction is given by:

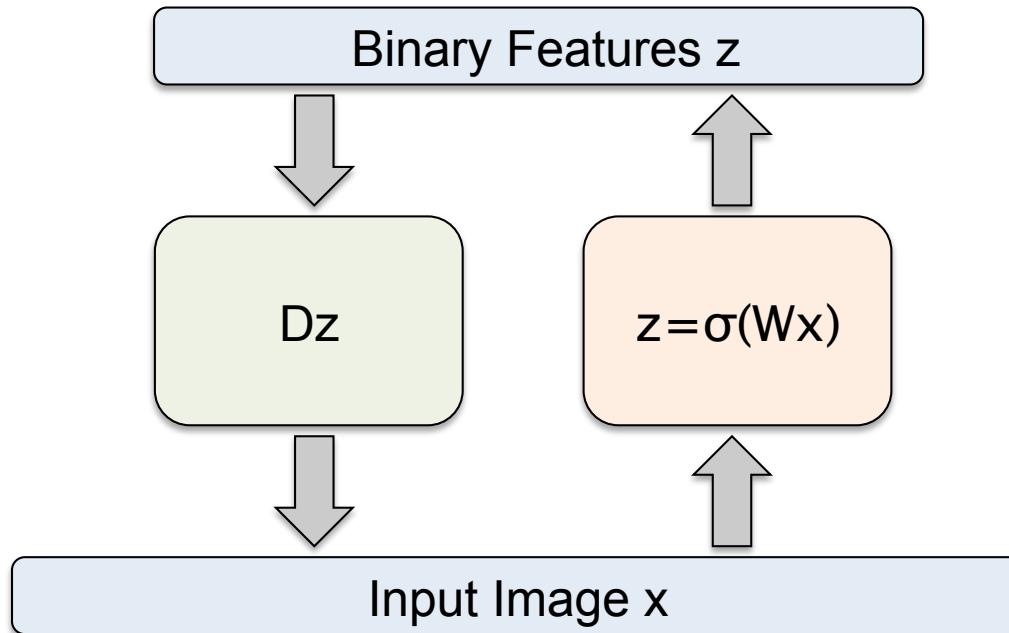
$$y_j(\mathbf{x}, W, D) = \underbrace{\sum_{k=1}^K D_{jk} \sigma}_{\text{Decoder}} \left( \underbrace{\sum_{i=1}^D W_{ki} x_i}_{\text{Encoder}} \right), \quad j = 1, \dots, D.$$

Decoder

$$y_j = \sum_{k=1}^K D_{jk} z_k \quad z_k = \sigma \left( \sum_{i=1}^D W_{ki} x_i \right)$$

Encoder

# Autoencoder

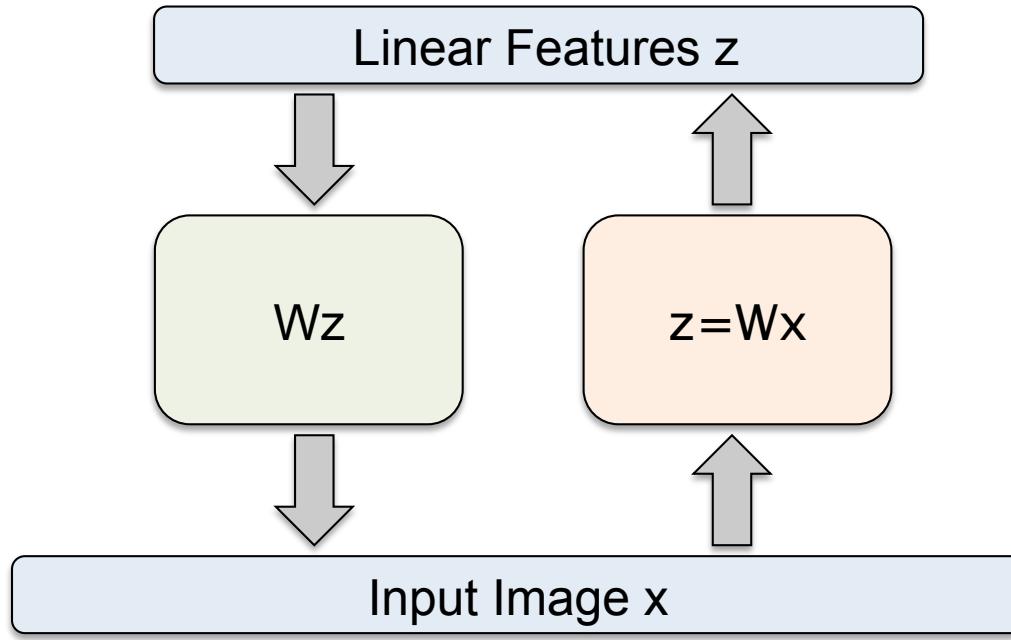


- An autoencoder with D inputs, D outputs, and K hidden units, with K< D.

- We can determine the network parameters W and D by minimizing the reconstruction error:

$$E(W, D) = \frac{1}{2} \sum_{n=1}^N \|y(\mathbf{x}_n, W, D) - \mathbf{x}_n\|^2.$$

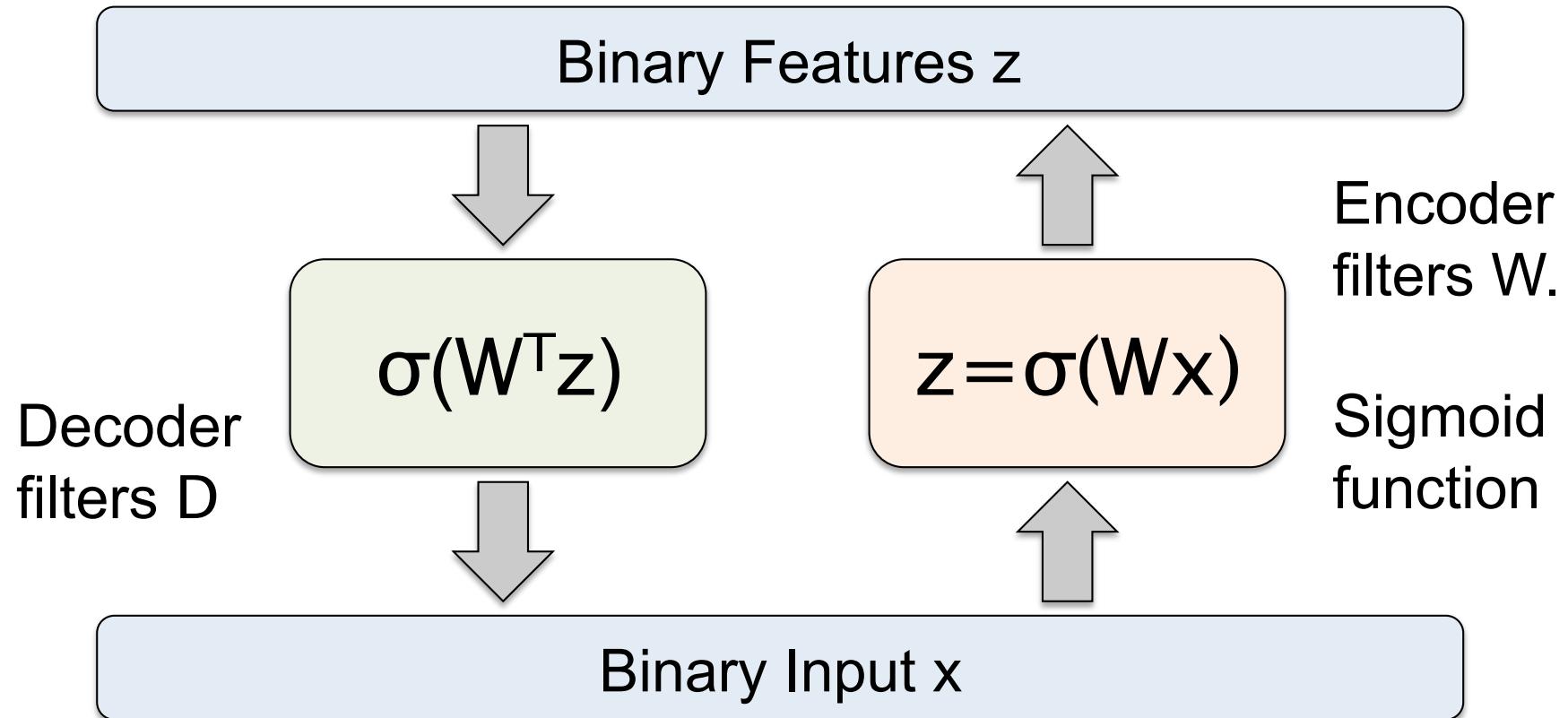
# Autoencoder



- If the hidden and output layers are linear, it will learn hidden units that are a linear function of the data and minimize the squared error.
- The K hidden units will span the same space as the first k principal components. The weight vectors may not be orthogonal.

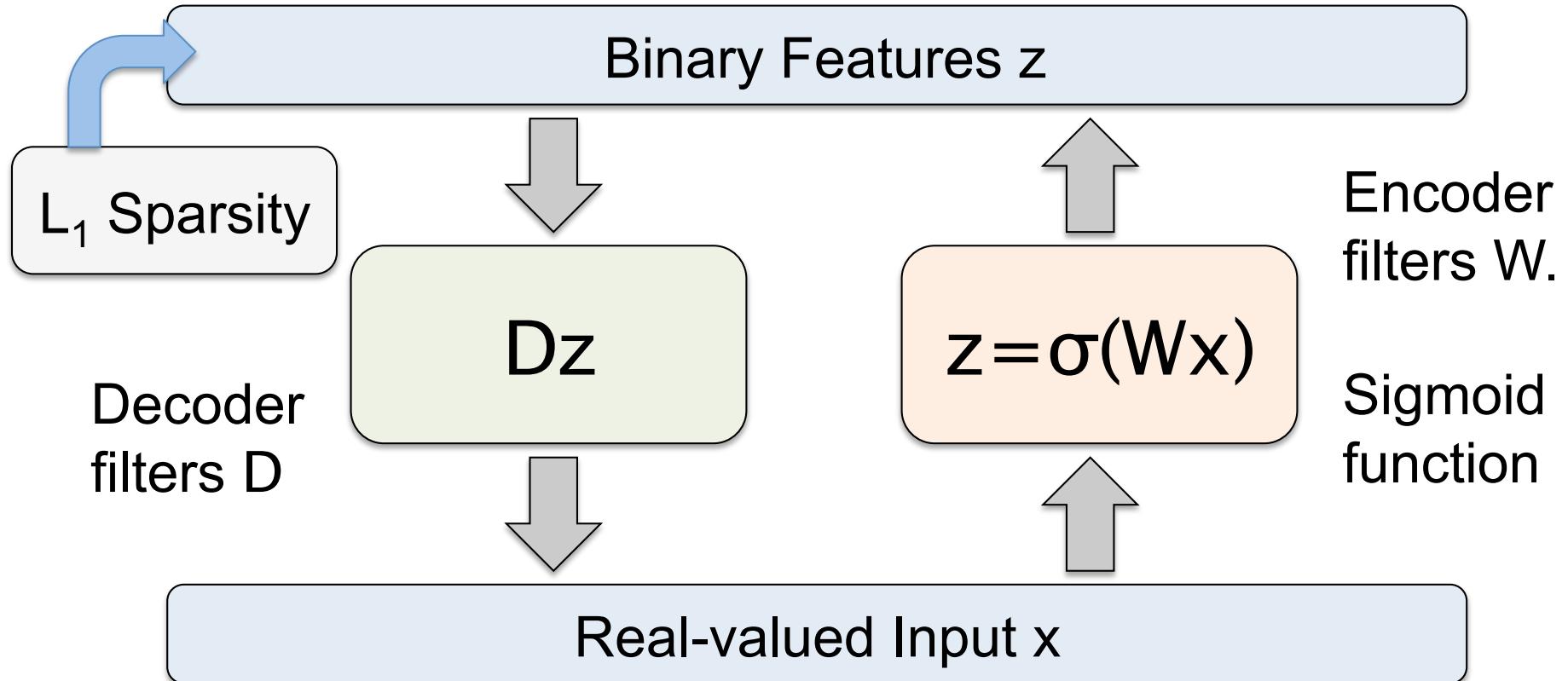
- With nonlinear hidden units, we have a nonlinear generalization of PCA.

# Another Autoencoder Model



- Need additional constraints to avoid learning an identity.
- Relates to Restricted Boltzmann Machines (later).

# Predictive Sparse Decomposition



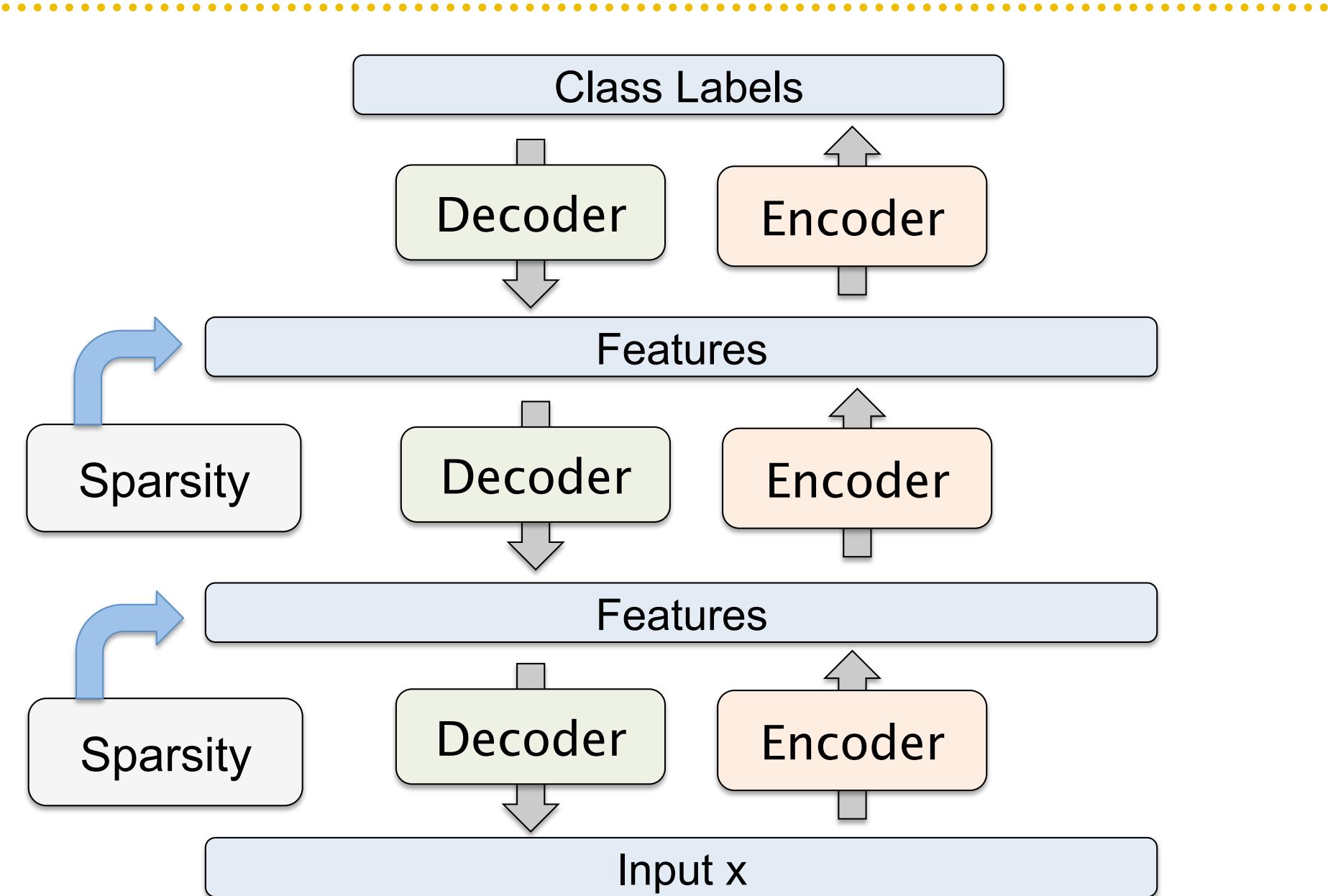
At training time

$$\min_{D, W, z} ||Dz - x||_2^2 + \lambda|z|_1 + ||\sigma(Wx) - z||_2^2$$

Decoder

Encoder

# Stacked Autoencoders



The diagram illustrates a Stacked Autoencoder architecture with three layers of features. Each layer consists of an Encoder (orange box) at the top and a Decoder (green box) at the bottom. Between the first two layers, there is a Sparsity constraint (gray box) on the left and a blue curved arrow pointing from the second layer's Features to the first layer's Sparsity constraint. Above the top layer, there is a Decoder (green box) receiving Class Labels (gray box) and an Encoder (orange box) that receives input from the top layer's Features.

Class Labels

Decoder

Encoder

Features

Sparsity

Decoder

Encoder

Features

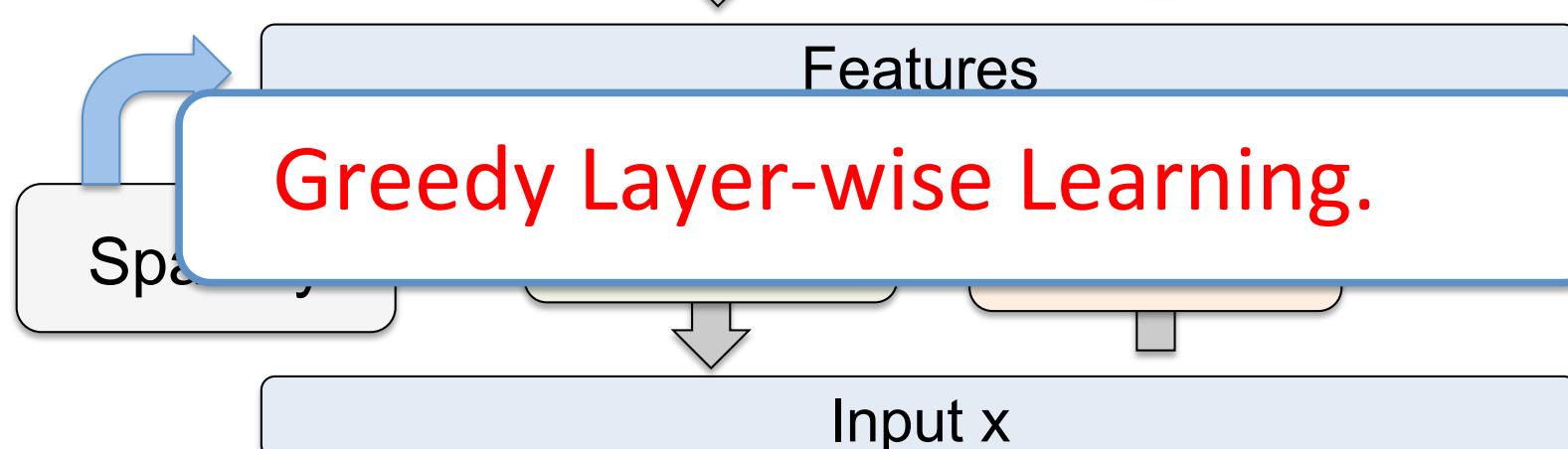
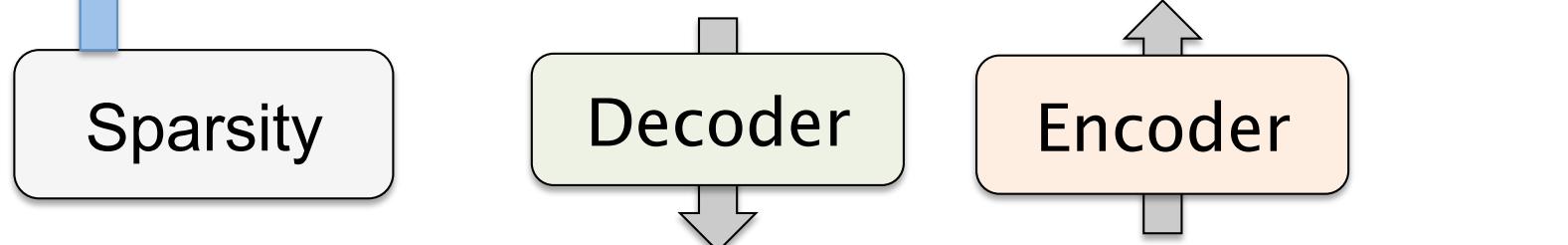
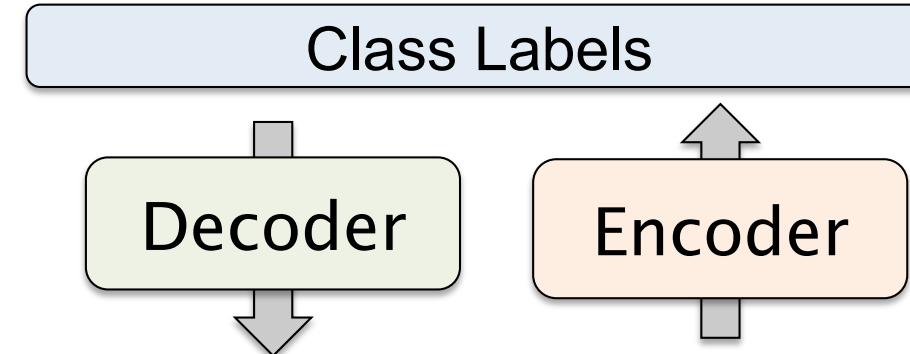
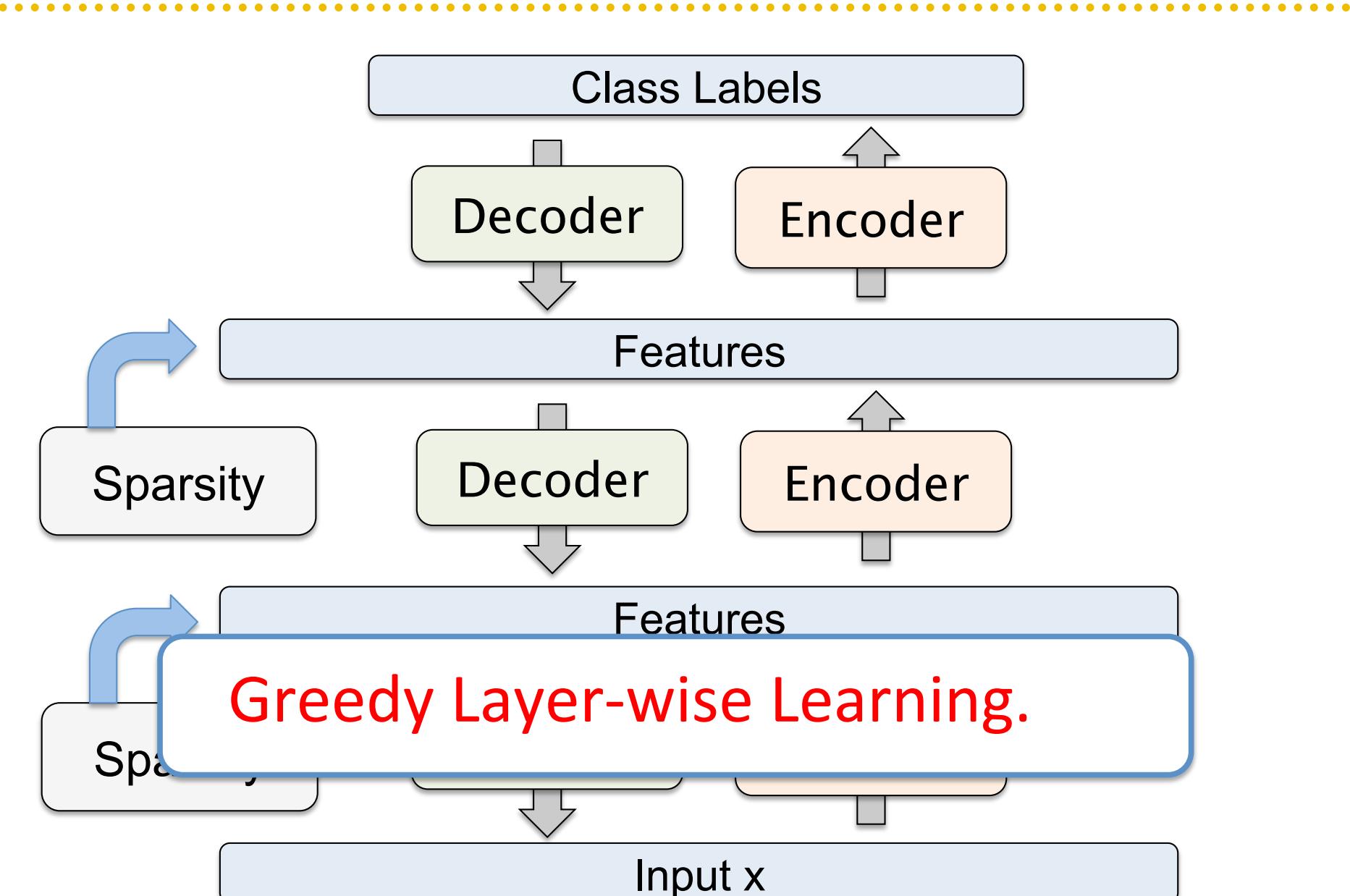
Sparsity

Decoder

Encoder

Input  $x$

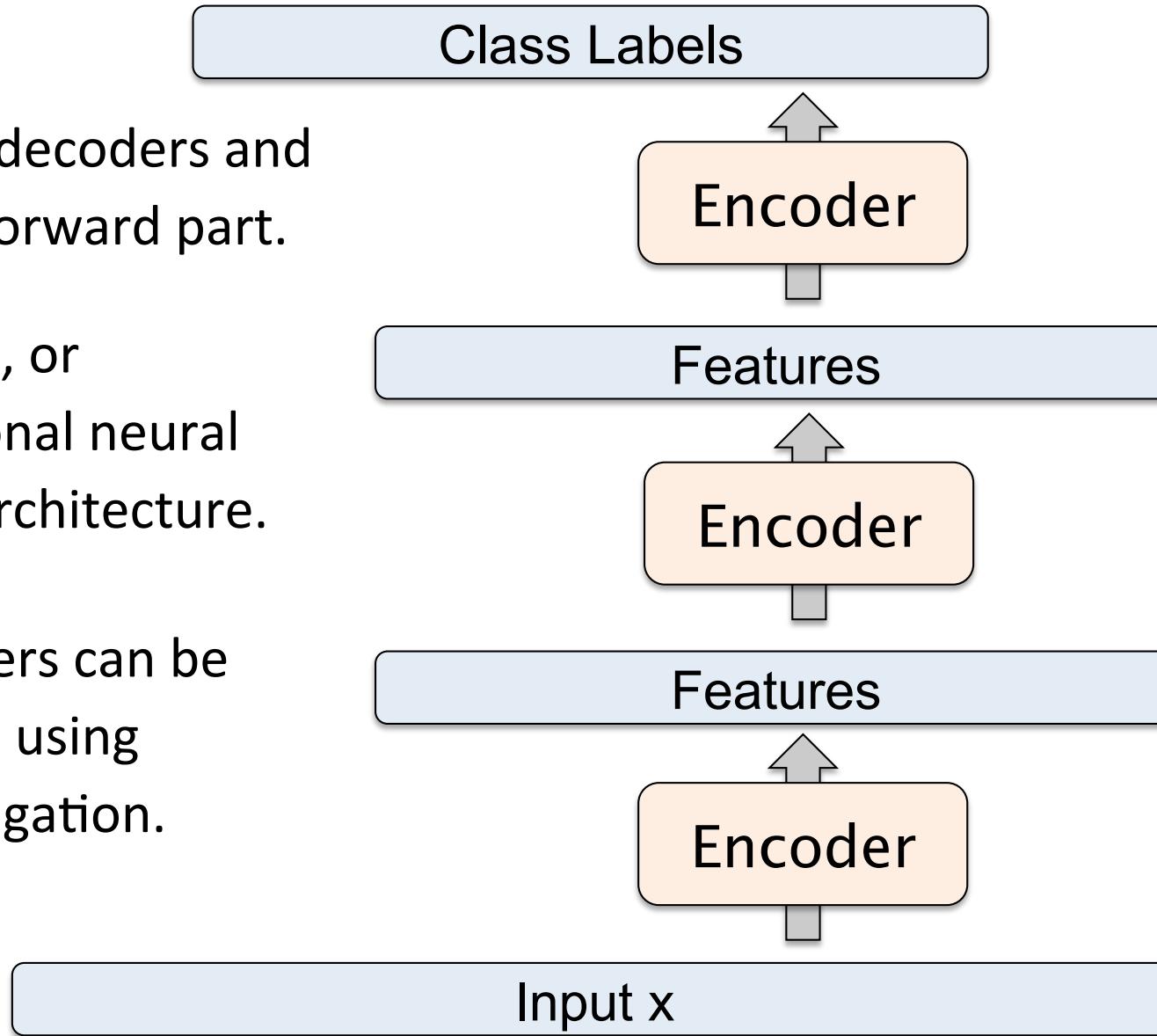
# Stacked Autoencoders



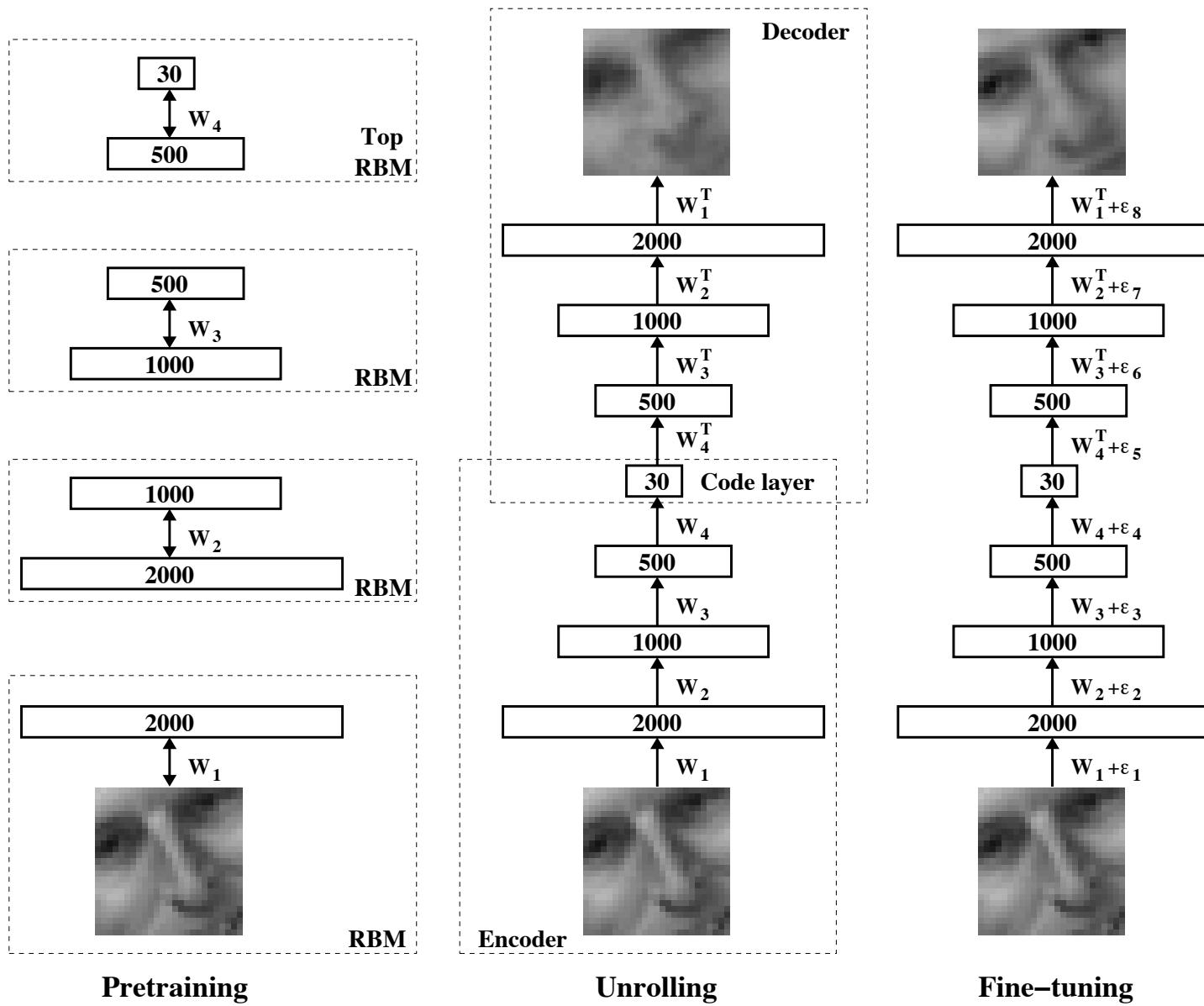
# Stacked Autoencoders

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- Remove decoders and use feed-forward part.
- Standard, or convolutional neural network architecture.
- Parameters can be fine-tuned using backpropagation.

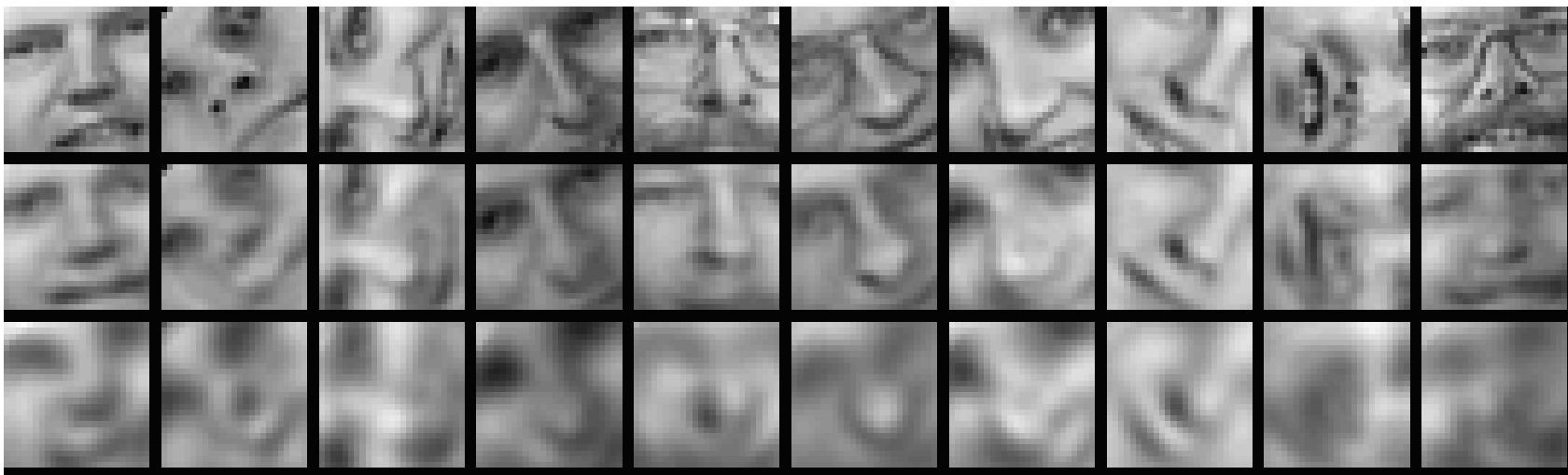


# Deep Autoencoders



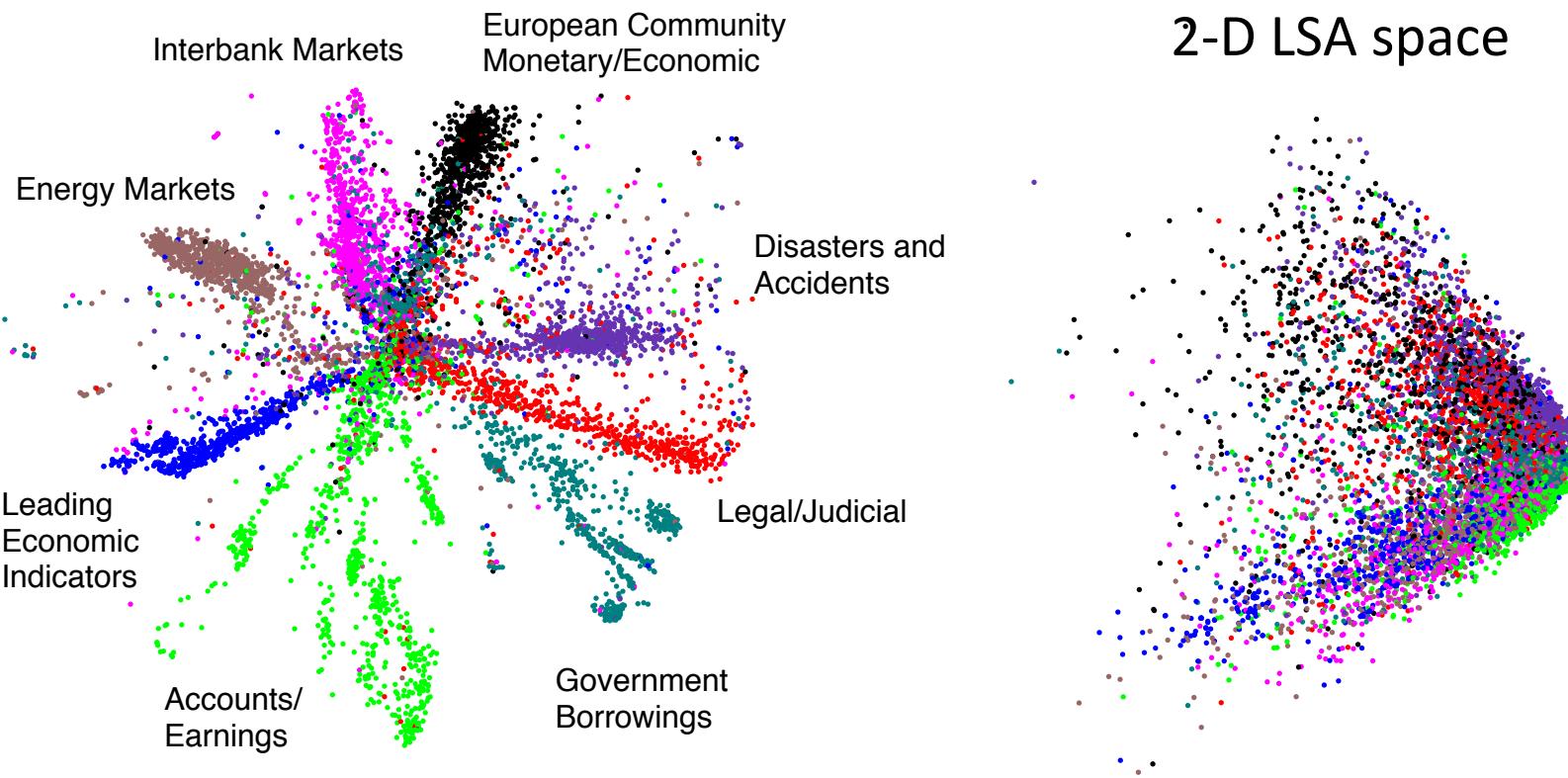
# Deep Autoencoders

- $25 \times 25 - 2000 - 1000 - 500 - 30$  autoencoder to extract 30-D real-valued codes for Olivetti face patches.



- **Top:** Random samples from the test dataset.
- **Middle:** Reconstructions by the 30-dimensional deep autoencoder.
- **Bottom:** Reconstructions by the 30-dimensional PCA.

# Information Retrieval



- The Reuters Corpus Volume II contains 804,414 newswire stories (randomly split into **402,207 training** and **402,207 test**).
- “Bag-of-words” representation: each article is represented as a vector containing the counts of the most frequently used 2000 words.

(Hinton and Salakhutdinov, Science 2006)

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# Fully Observed Models

- Explicitly model conditional probabilities:

$$p_{\text{model}}(\mathbf{x}) = p_{\text{model}}(x_1) \prod_{i=2}^n p_{\text{model}}(x_i \mid x_1, \dots, x_{i-1})$$



Each conditional can be a  
complicated neural network

- A number of successful models, including

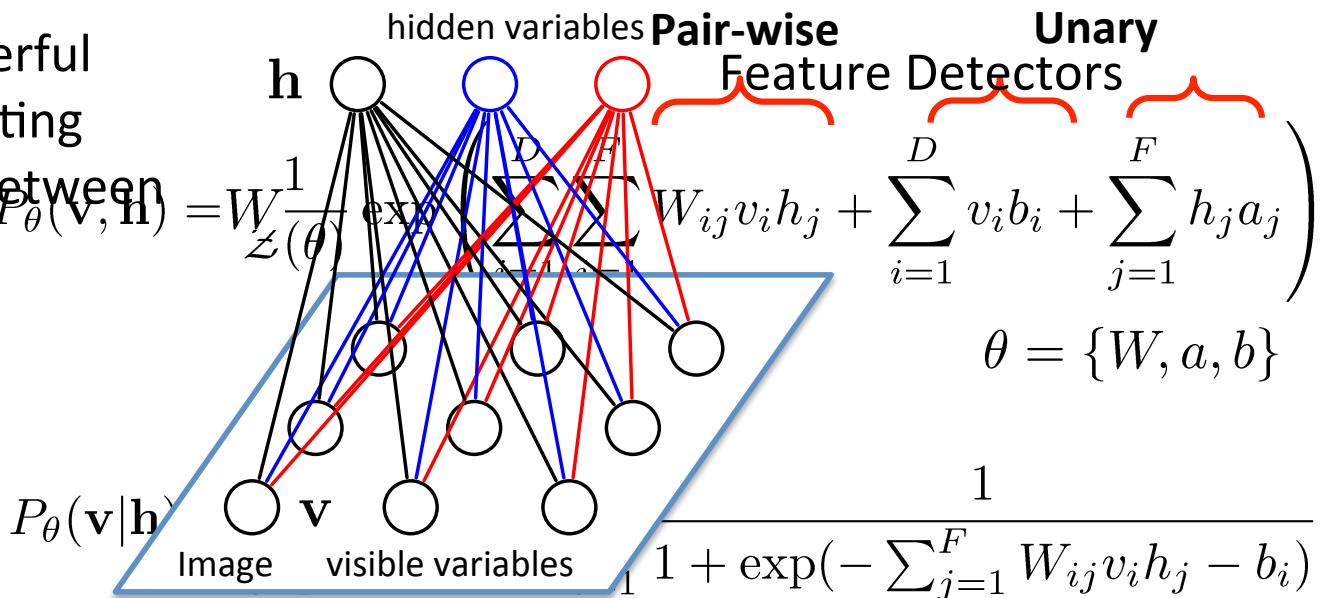
- NADE, RNADE (Larochelle, et.al.  
2001)
- Pixel CNN (van den Ord et. al. 2016)
- Pixel RNN (van den Ord et. al. 2016)



Pixel CNN

# Restricted Boltzmann Machines

**Graphical Models:** Powerful framework for representing dependency structure between random variables.

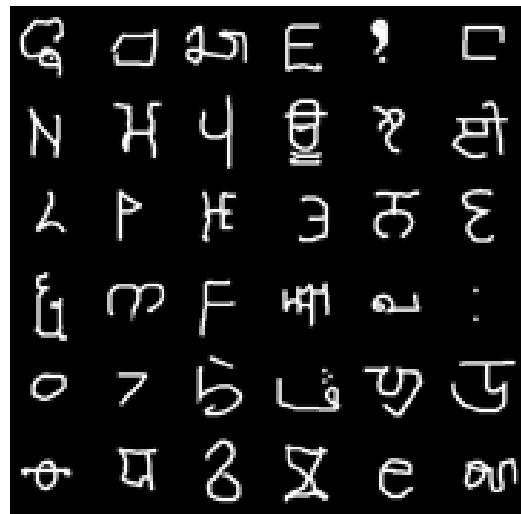


RBM is a Markov Random Field with:

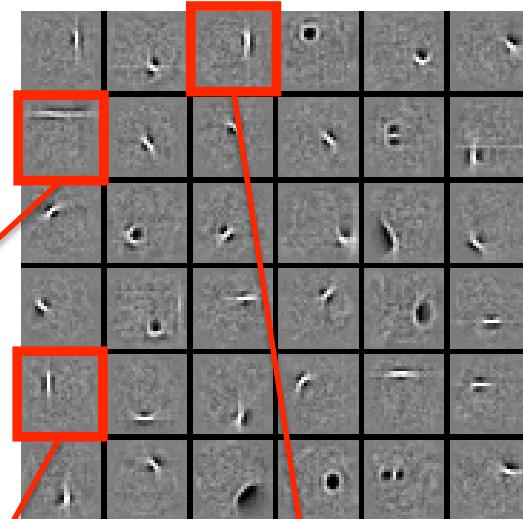
- Stochastic binary visible variables  $v \in \{0, 1\}^D$ .
- Stochastic binary hidden variables  $h \in \{0, 1\}^F$ .
- Bipartite connections.

# Learning Features

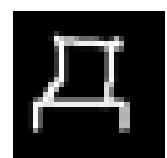
Observed Data  
Subset of 25,000 characters



Learned W: “edges”  
Subset of 1000 features



New Image:  $p(h_7 = 1|v)$



$$= \sigma\left(0.99 \times \begin{array}{c} \text{[Small image of a horizontal stroke]} \\ \downarrow \end{array} + 0.97 \times \begin{array}{c} \text{[Small image of a vertical stroke]} \\ \downarrow \end{array} + 0.82 \times \begin{array}{c} \text{[Small image of a diagonal stroke]} \\ \dots \end{array}\right)$$

$$\sigma(x) = \frac{1}{1+\exp(-x)}$$

Logistic Function: Suitable for  
modeling binary images

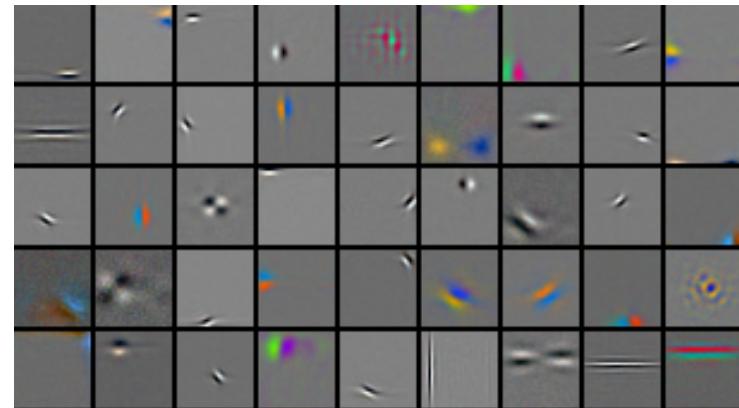
**Sparse  
representations**

# RBM<sup>s</sup> for Real-valued & Count Data

4 million **unlabelled** images



Learned features (out of 10,000)



**REUTERS**   
**AP** Associated Press

Reuters dataset:  
804,414 **unlabeled**  
newswire stories  
Bag-of-Words

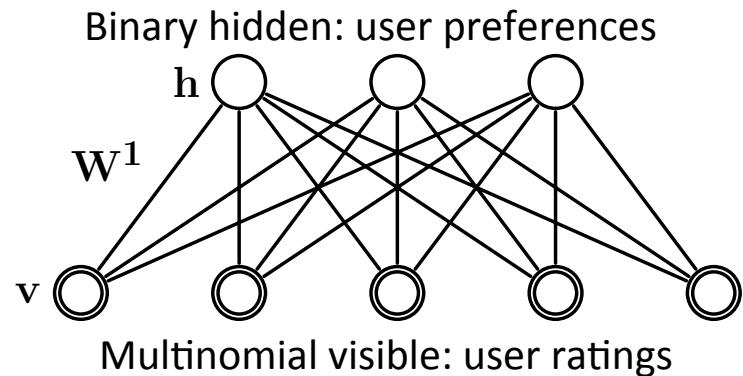


Learned features: ``topics''

russian	clinton	computer	trade	stock
russia	house	system	country	wall
moscow	president	product	import	street
yeltsin	bill	software	world	point
soviet	congress	develop	economy	dow

# Collaborative Filtering

$$P_{\theta}(\mathbf{v}, \mathbf{h}) = \frac{1}{\mathcal{Z}(\theta)} \exp \left( \sum_{ijk} W_{ij}^k v_i^k h_j + \sum_{ik} b_i^k v_i^k + \sum_j a_j h_j \right)$$



Netflix dataset:

480,189 users



17,770 movies

Over 100 million ratings



Learned features: ``genre''

Fahrenheit 9/11  
Bowling for Columbine  
The People vs. Larry Flynt  
Canadian Bacon  
La Dolce Vita

Friday the 13th  
The Texas Chainsaw Massacre  
Children of the Corn  
Child's Play  
The Return of Michael Myers

Independence Day  
The Day After Tomorrow  
Con Air  
Men in Black II  
Men in Black

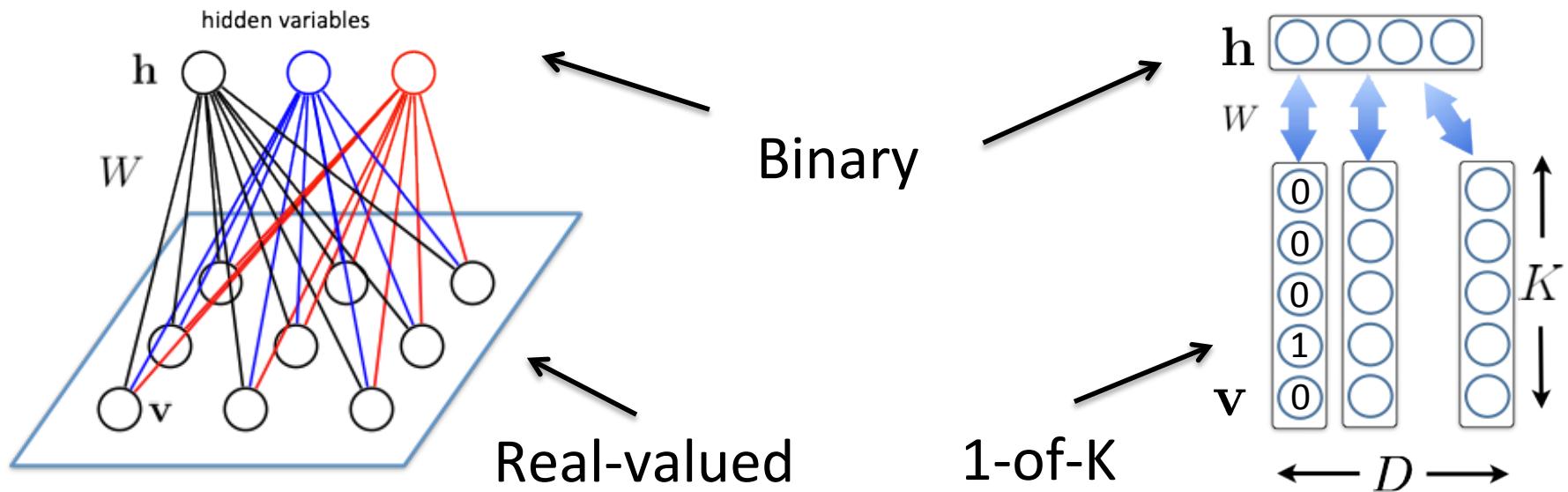
Scary Movie  
Naked Gun  
Hot Shots!  
American Pie  
Police Academy

**State-of-the-art** performance  
on the Netflix dataset.

(Salakhutdinov, Mnih, Hinton, ICML 2007)

# Different Data Modalities

- Binary/Gaussian/Softmax RBMs: All have binary hidden variables but use them to model different kinds of data.



- It is easy to infer the states of the hidden variables:

$$P_{\theta}(\mathbf{h}|\mathbf{v}) = \prod_{j=1}^F P_{\theta}(h_j|\mathbf{v}) = \prod_{j=1}^F \frac{1}{1 + \exp(-a_j - \sum_{i=1}^D W_{ij} v_i)}$$

# Product of Experts

The joint distribution is given by:

$$P_{\theta}(\mathbf{v}, \mathbf{h}) = \frac{1}{Z(\theta)} \exp \left( \sum_{ij} W_{ij} v_i h_j + \sum_i b_i v_i + \sum_j a_j h_j \right)$$

Marginalizing over hidden variables:

$$P_{\theta}(\mathbf{v}) = \sum_{\mathbf{h}} P_{\theta}(\mathbf{v}, \mathbf{h}) = \frac{1}{Z(\theta)} \prod_i \exp(b_i v_i) \prod_j \left( 1 + \exp(a_j + \sum_i W_{ij} v_i) \right)$$

government	clinton	bribery	mafia	stock	...
authority	house	corruption	business	wall	
power	president	dishonesty	gang	street	
empire	bill	corrupt	mob	point	
federation	congress	fraud	insider	dow	

**Product of Experts**

Silvio Berlusconi

Topics “government”, “corruption” and “mafia” can combine to give very high probability to a word “Silvio Berlusconi”.

# Product of Experts

The joint distribution is given by:

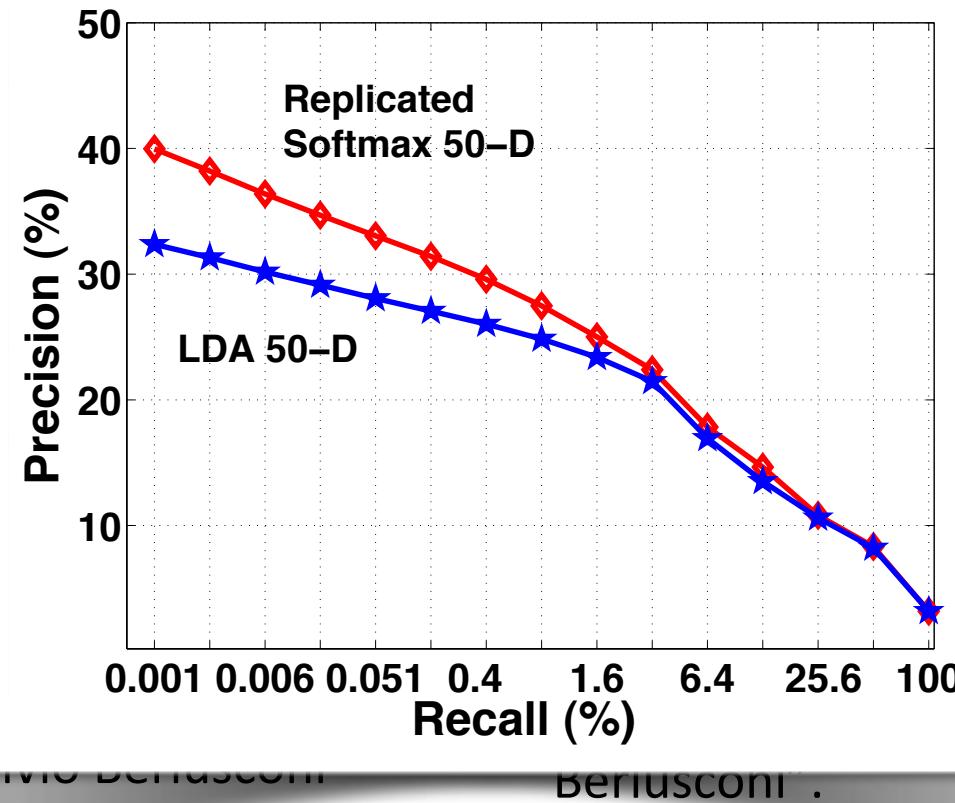
$$P_{\theta}(\mathbf{v}, \mathbf{h}) = \frac{1}{Z(\theta)} \exp \left( \sum_{ij} W_{ij} v_i h_j + \sum_i b_i v_i + \sum_j a_j h_j \right)$$

Marginalizing over  $\mathbf{h}$ :

$$P_{\theta}(\mathbf{v}) = \sum_{\mathbf{h}}$$

government  
authority  
power  
empire  
federation

clint  
hou  
pres  
bill  
congr

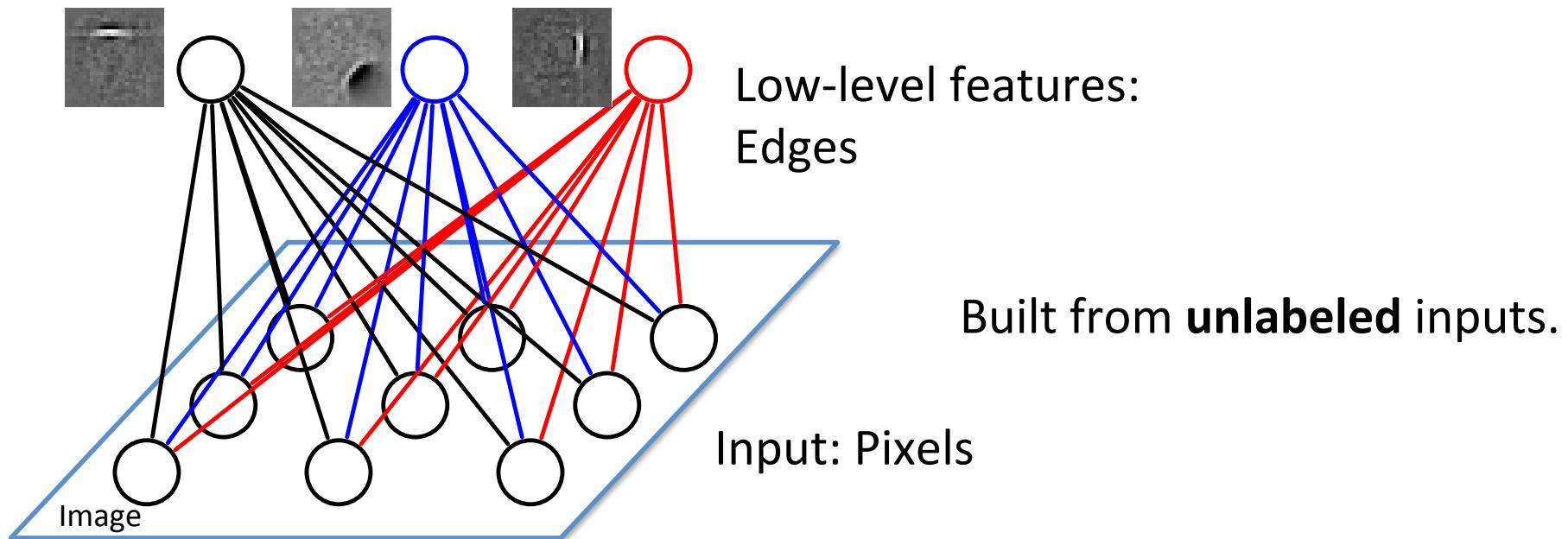


Product of Experts

$$W_{ij} v_i)$$

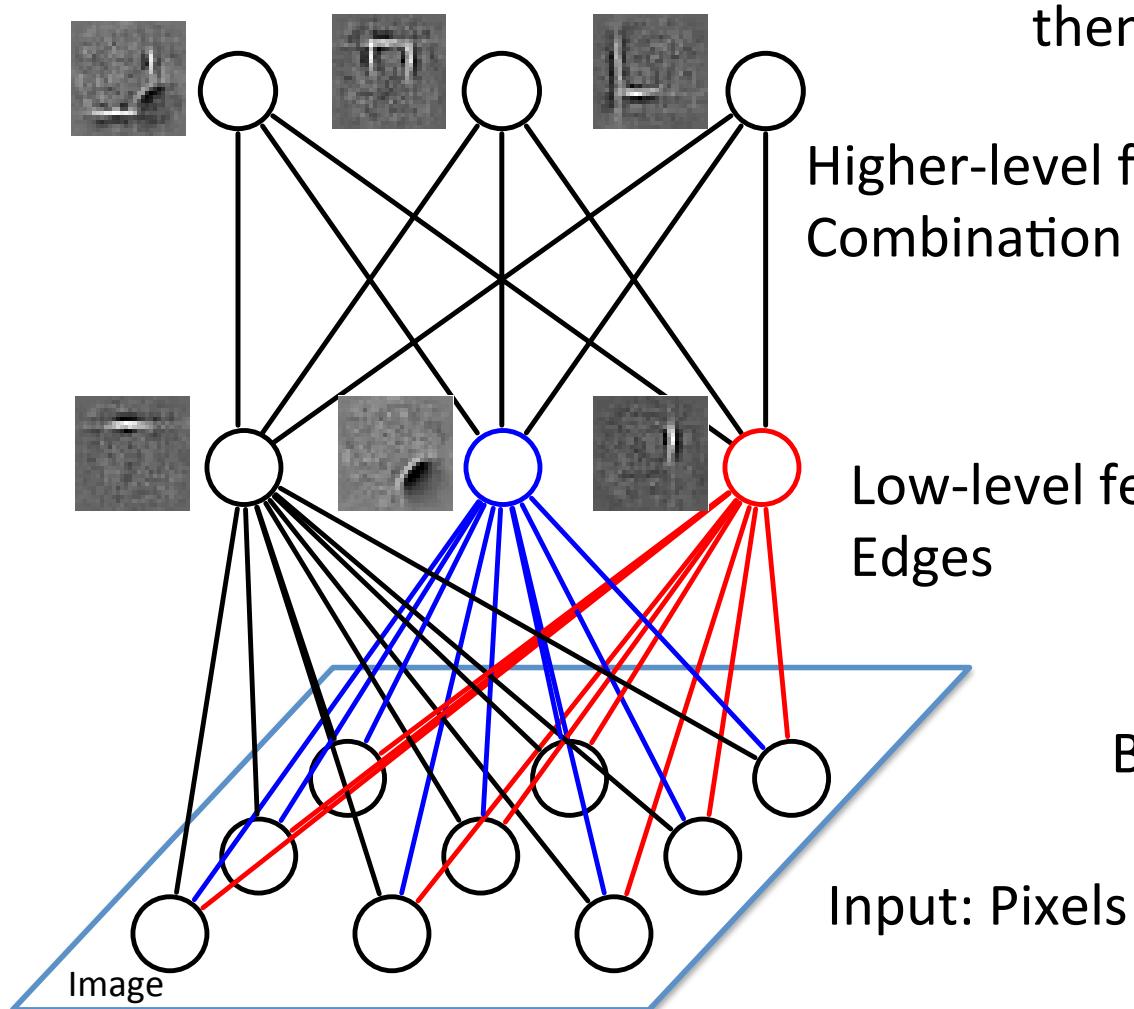
, "corruption"  
bine to give very  
word "Silvio

# Deep Boltzmann Machines



(Salakhutdinov 2008, Salakhutdinov & Hinton 2012)

# Deep Boltzmann Machines



Learn simpler representations,  
then compose more complex ones

Higher-level features:  
Combination of edges

Low-level features:  
Edges

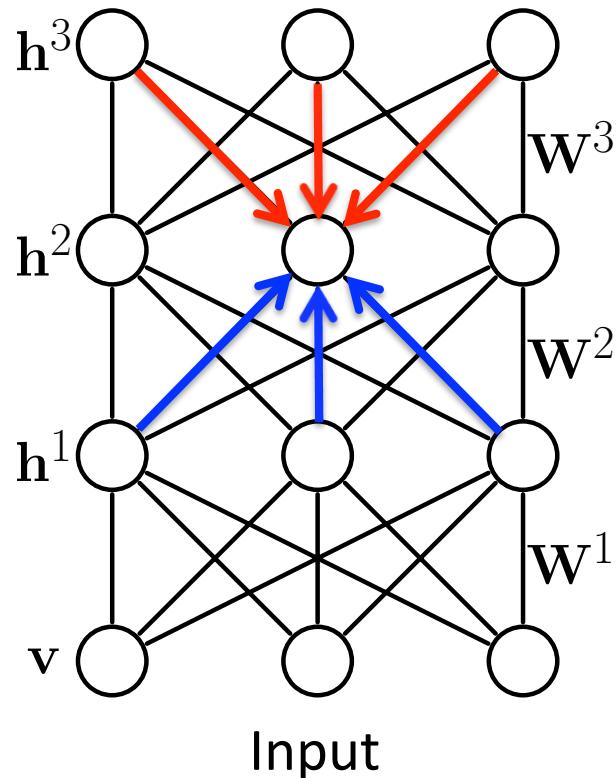
Built from **unlabeled** inputs.

Input: Pixels

(Salakhutdinov 2008, Salakhutdinov & Hinton 2009)

# Model Formulation

$$P_{\theta}(\mathbf{v}, \mathbf{h}^{(1)}, \mathbf{h}^{(2)}, \mathbf{h}^{(3)}) = \frac{1}{Z(\theta)} \exp \left[ \underbrace{\mathbf{v}^{\top} W^{(1)} \mathbf{h}^{(1)}}_{\text{Same as RBMs}} + \underbrace{\mathbf{h}^{(1)\top} W^{(2)} \mathbf{h}^{(2)}}_{\text{Same as RBMs}} + \underbrace{\mathbf{h}^{(2)\top} W^{(3)} \mathbf{h}^{(3)}}_{\text{Same as RBMs}} \right]$$



Same as RBMs

$\theta = \{W^1, W^2, W^3\}$  model parameters

- Dependencies between hidden variables.
- All connections are undirected.
- Bottom-up and Top-down:

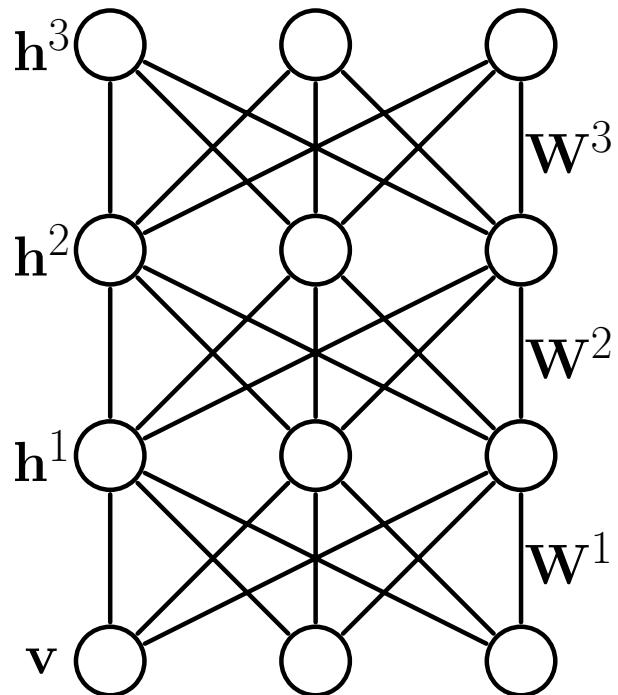
$$P(h_j^2 = 1 | \mathbf{h}^1, \mathbf{h}^3) = \sigma \left( \sum_k W_{kj}^3 h_k^3 + \sum_m W_{mj}^2 h_m^1 \right)$$

Top-down                      Bottom-up

- Hidden variables are dependent even when **conditioned on the input**.

# Approximate Learning

$$P_{\theta}(\mathbf{v}, \mathbf{h}^{(1)}, \mathbf{h}^{(2)}, \mathbf{h}^{(3)}) = \frac{1}{Z(\theta)} \exp \left[ \mathbf{v}^{\top} W^{(1)} \mathbf{h}^{(1)} + \mathbf{h}^{(1)\top} W^{(2)} \mathbf{h}^{(2)} + \mathbf{h}^{(2)\top} W^{(3)} \mathbf{h}^{(3)} \right]$$



(Approximate) Maximum Likelihood:

$$\frac{\partial \log P_{\theta}(\mathbf{v})}{\partial W^1} = \mathbb{E}_{P_{data}} [\mathbf{v} \mathbf{h}^{1\top}] - \mathbb{E}_{P_{\theta}} [\mathbf{v} \mathbf{h}^{1\top}]$$

- Both expectations are intractable!

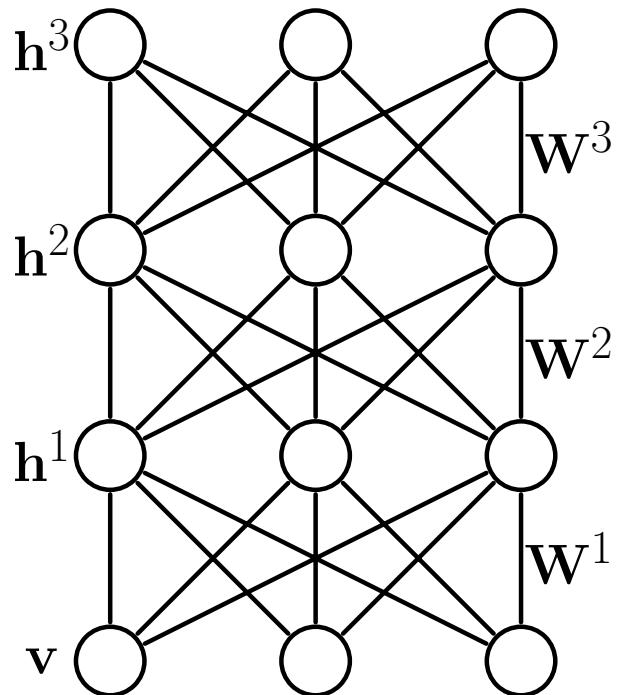
$$P_{data}(\mathbf{v}, \mathbf{h}^1) = P_{\theta}(\mathbf{h}^1 | \mathbf{v}) P_{data}(\mathbf{v})$$

$$P_{data}(\mathbf{v}) = \frac{1}{N} \sum_{n=1}^N \delta(\mathbf{v} - \mathbf{v}_n)$$

Not factorial any more!

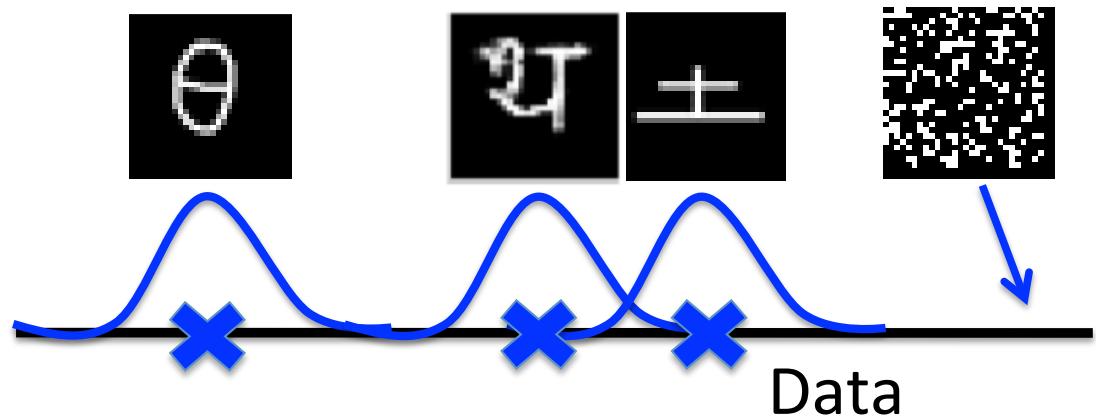
# Approximate Learning

$$P_{\theta}(\mathbf{v}, \mathbf{h}^{(1)}, \mathbf{h}^{(2)}, \mathbf{h}^{(3)}) = \frac{1}{Z(\theta)} \exp \left[ \mathbf{v}^T W^{(1)} \mathbf{h}^{(1)} + \mathbf{h}^{(1)\top} W^{(2)} \mathbf{h}^{(2)} + \mathbf{h}^{(2)\top} W^{(3)} \mathbf{h}^{(3)} \right]$$



(Approximate) Maximum Likelihood:

$$\frac{\partial \log P_{\theta}(\mathbf{v})}{\partial W^1} = \mathbb{E}_{P_{data}} [\mathbf{v} \mathbf{h}^{1\top}] - \mathbb{E}_{P_{\theta}} [\mathbf{v} \mathbf{h}^{1\top}]$$



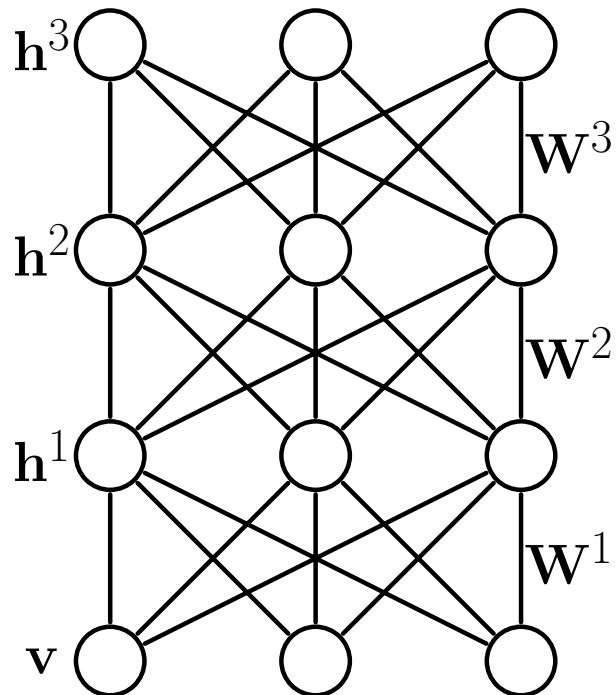
$$P_{data}(\mathbf{v}, \mathbf{h}^1) = P_{\theta}(\mathbf{h}^1 | \mathbf{v}) P_{data}(\mathbf{v})$$

$$P_{data}(\mathbf{v}) = \frac{1}{N} \sum_{n=1}^N \delta(\mathbf{v} - \mathbf{v}_n)$$

Not factorial any more!

# Approximate Learning

$$P_\theta(\mathbf{v}, \mathbf{h}^{(1)}, \mathbf{h}^{(2)}, \mathbf{h}^{(3)}) = \frac{1}{\mathcal{Z}(\theta)} \exp \left[ \mathbf{v}^\top W^{(1)} \mathbf{h}^{(1)} + \mathbf{h}^{(1)\top} W^{(2)} \mathbf{h}^{(2)} + \mathbf{h}^{(2)\top} W^{(3)} \mathbf{h}^{(3)} \right]$$



(Approximate) Maximum Likelihood:

$$\frac{\partial \log P_\theta(\mathbf{v})}{\partial W^1} = \mathbb{E}_{P_{data}} [\mathbf{v} \mathbf{h}^{1\top}] - \mathbb{E}_{P_\theta} [\mathbf{v} \mathbf{h}^{1\top}]$$

Variational  
Inference

Stochastic  
Approximation  
(MCMC-based)

$$P_{data}(\mathbf{v}, \mathbf{h}^1) = P_\theta(\mathbf{h}^1 | \mathbf{v}) P_{data}(\mathbf{v})$$

$$P_{data}(\mathbf{v}) = \frac{1}{N} \sum_{n=1}^N \delta(\mathbf{v} - \mathbf{v}_n)$$

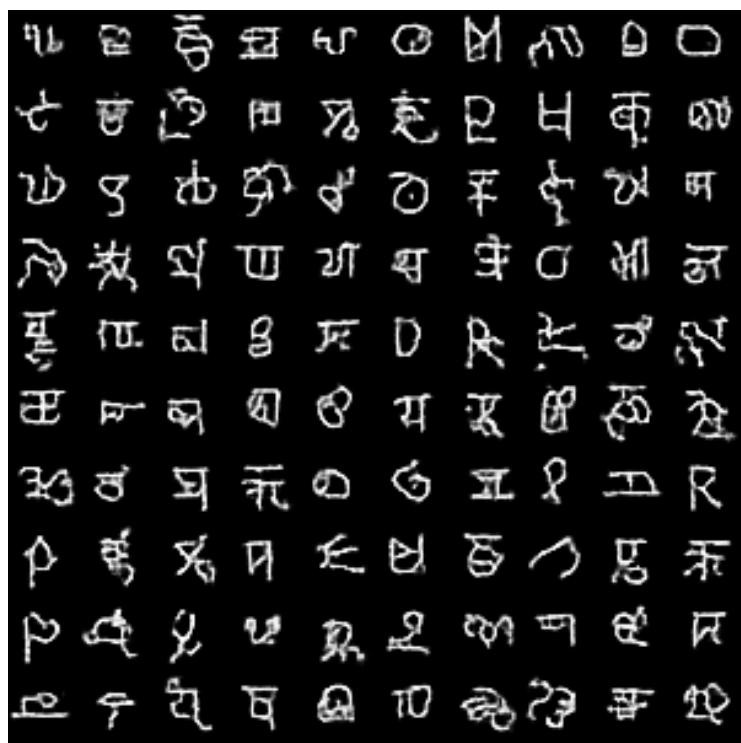
Not factorial any more!

# Good Generative Model?

Handwritten Characters

# Good Generative Model?

# Handwritten Characters



# Good Generative Model?

Handwritten Characters

Simulated

Real Data

# Good Generative Model?

Handwritten Characters

Real Data

Simulated

# Good Generative Model?

## Handwritten Characters

手 書 か ら で は る と  
た ち て ま す く な い  
し う か い て は じ ま  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い

手 書 ま め ; は じ ま  
た ち て ま す く な い  
し う か い て は じ ま  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い  
し ま せ ば あ は い

# Handwriting Recognition

MNIST Dataset

60,000 examples of 10 digits

Learning Algorithm	Error
Logistic regression	12.0%
K-NN	3.09%
Neural Net (Platt 2005)	1.53%
SVM (Decoste et.al. 2002)	1.40%
Deep Autoencoder (Bengio et. al. 2007)	1.40%
Deep Belief Net (Hinton et. al. 2006)	1.20%
<b>DBM</b>	<b>0.95%</b>

Optical Character Recognition

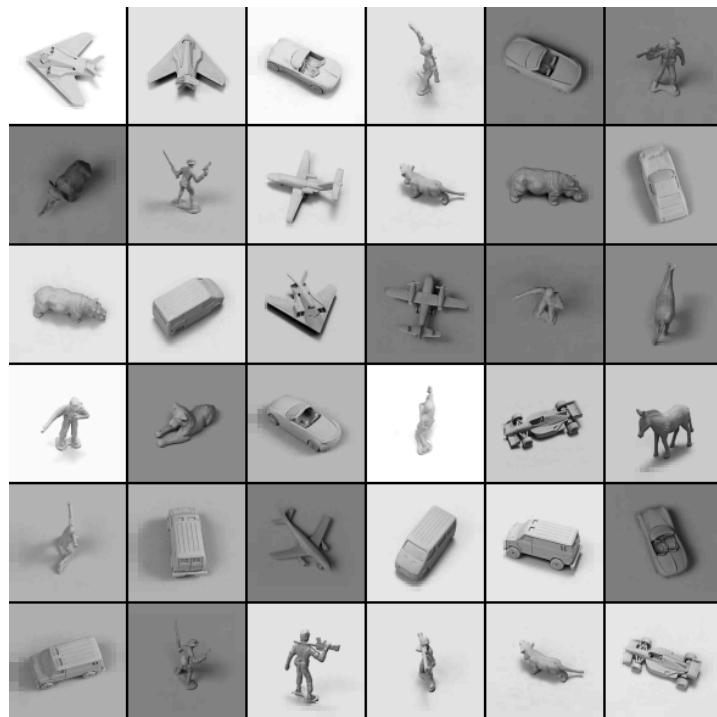
42,152 examples of 26 English letters

Learning Algorithm	Error
Logistic regression	22.14%
K-NN	18.92%
Neural Net	14.62%
SVM (Larochelle et.al. 2009)	9.70%
Deep Autoencoder (Bengio et. al. 2007)	10.05%
Deep Belief Net (Larochelle et. al. 2009)	9.68%
<b>DBM</b>	<b>8.40%</b>

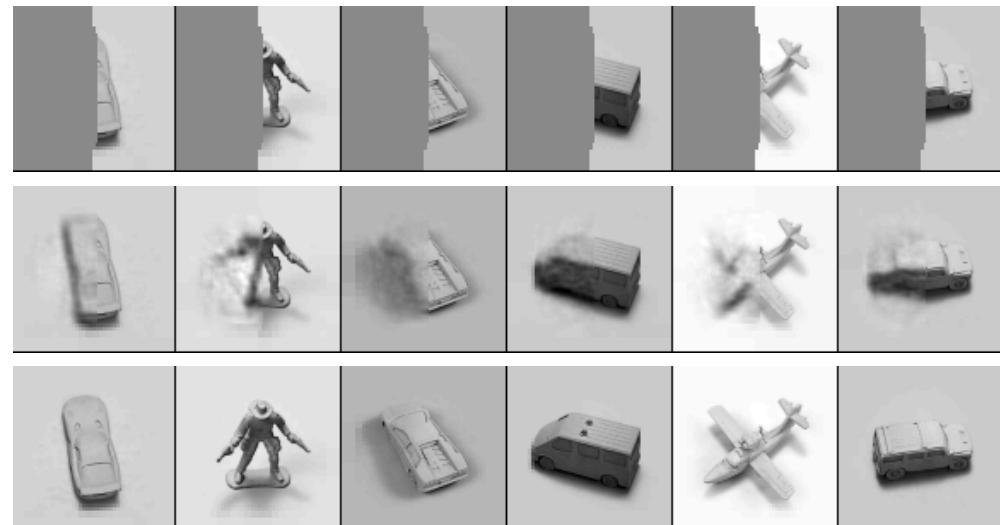
Permutation-invariant version.

# 3-D object Recognition

NORB Dataset: 24,000 examples



Learning Algorithm	Error
Logistic regression	22.5%
K-NN (LeCun 2004)	18.92%
SVM (Bengio & LeCun 2007)	11.6%
Deep Belief Net (Nair & Hinton 2009)	9.0%
<b>DBM</b>	<b>7.2%</b>

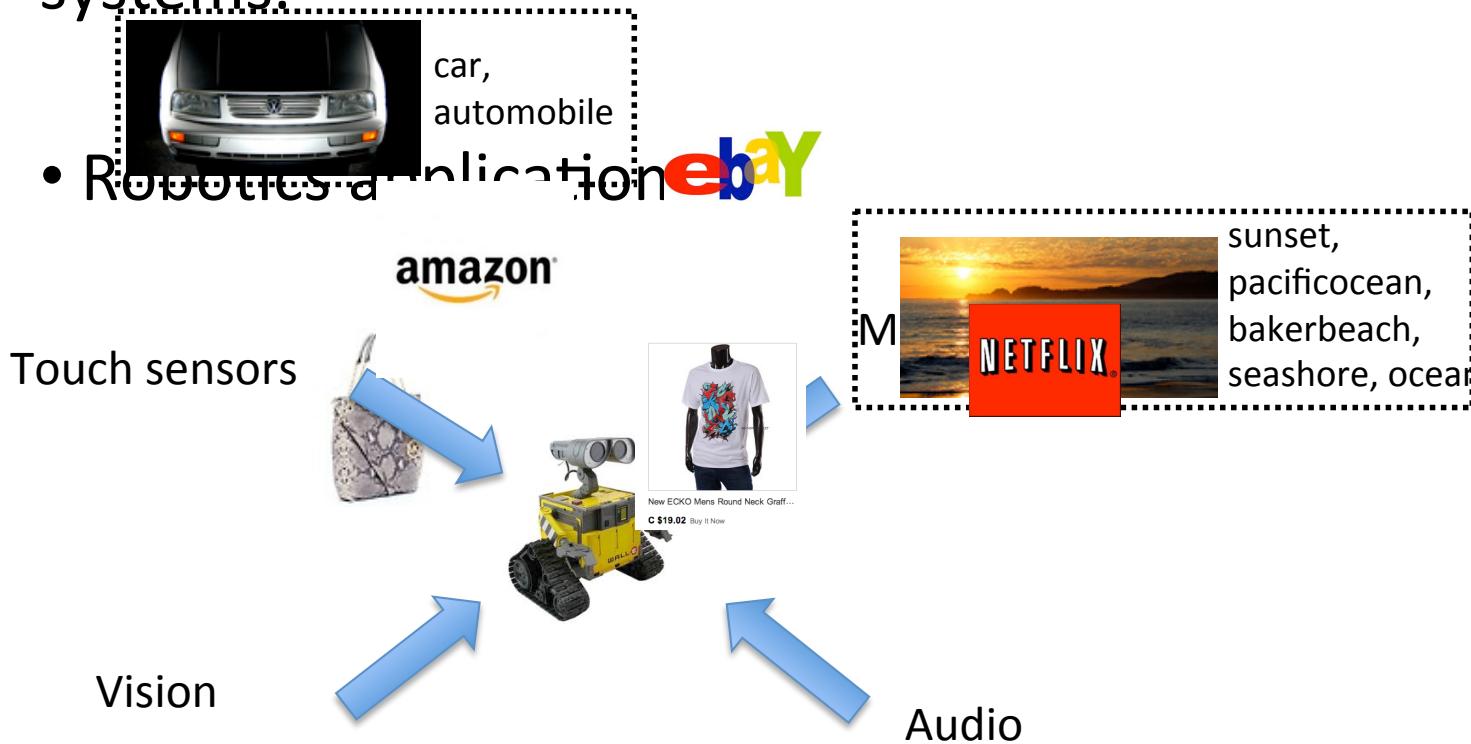


Pattern  
Completion

# Data – Collection of Modalities

- Multimedia content on the web - image + text + audio.

- Product recommendation systems.

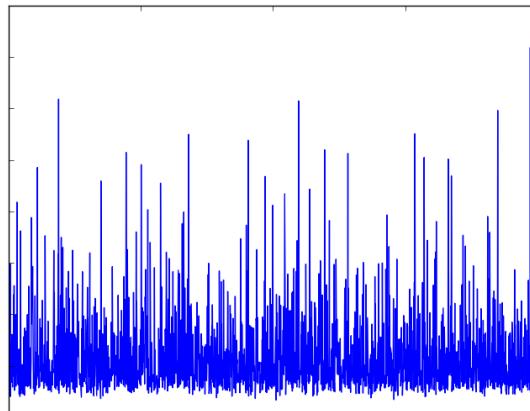


# Challenges - I

Image



Dense



Text

sunset, pacific ocean,  
baker beach, seashore,  
ocean



Very different input representations

- Images – real-valued, dense
- Text – discrete, sparse

Difficult to learn cross-modal features from low-level representations.

# Challenges - II

Image



Text

pentax, k10d,  
pentaxda50200,  
kangarooisland, sa,  
australiansealion

Noisy and missing data



mickikrimmel,  
mickipedia,  
headshot



< no text>



unseulpixel,  
naturey

# Challenges - II

## Image



## Text

pentax, k10d,  
pentaxda50200,  
kangarooisland, sa,  
australiansealion

## Text generated by the model

beach, sea, surf, strand,  
shore, wave, seascape,  
sand, ocean, waves



mickikrimmel,  
mickipedia,  
headshot

portrait, girl, woman, lady,  
blonde, pretty, gorgeous,  
expression, model



< no text>

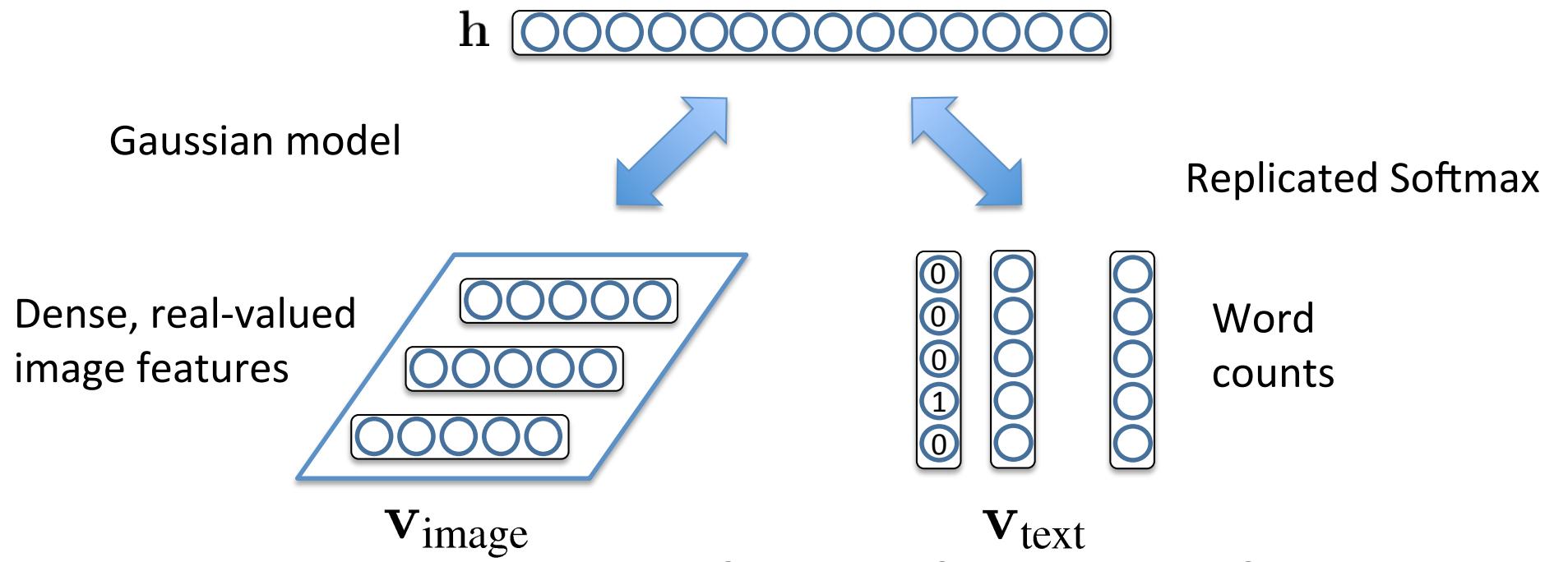
night, notte, traffic, light,  
lights, parking, darkness,  
lowlight, nacht, glow



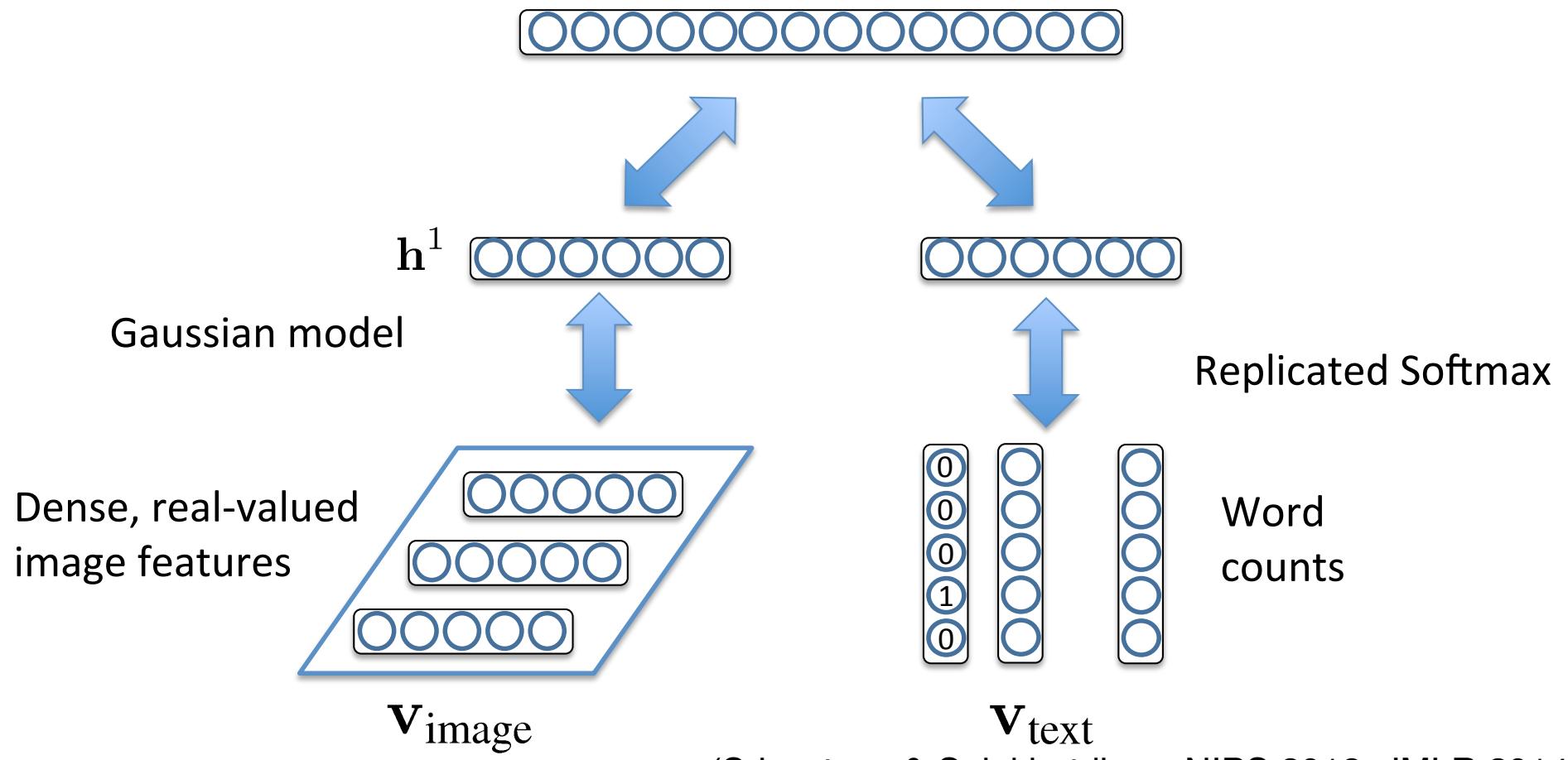
unseulpixel,  
naturey

fall, autumn, trees, leaves,  
foliage, forest, woods,  
branches, path

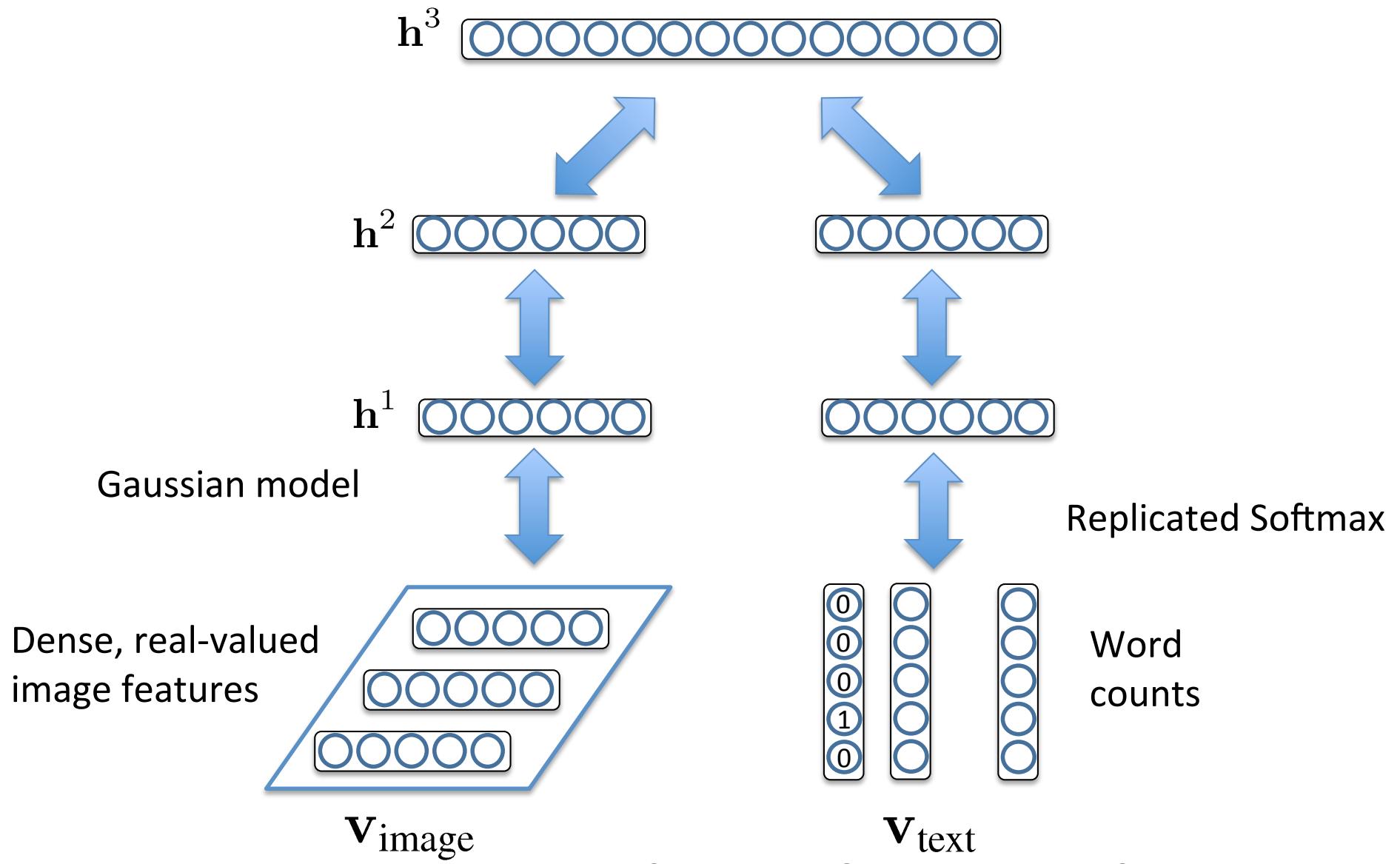
# Multimodal DBM



# Multimodal DBM



# Multimodal DBM



(Srivastava & Salakhutdinov, NIPS 2012, JMLR 2014)

# Text Generated from Images

Given



Generated

dog, cat, pet, kitten,  
puppy, ginger, tongue,  
kitty, dogs, furry



sea, france, boat, mer,  
beach, river, bretagne,  
plage, brittany



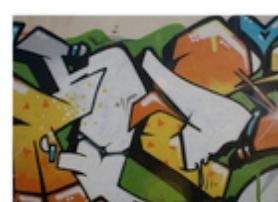
portrait, child, kid,  
ritratto, kids, children,  
boy, cute, boys, italy

Given



Generated

insect, butterfly, insects,  
bug, butterflies,  
lepidoptera



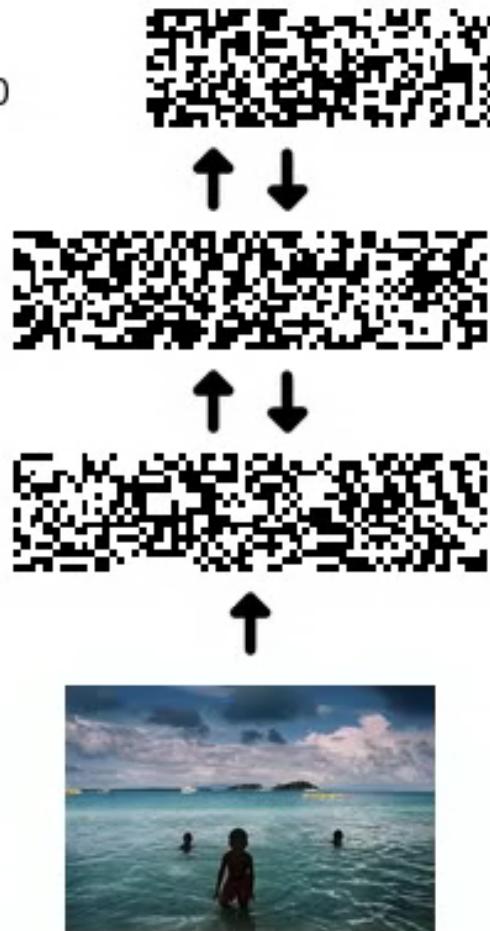
graffiti, streetart, stencil,  
sticker, urbanart, graff,  
sanfrancisco



canada, nature,  
sunrise, ontario, fog,  
mist, bc, morning

# Generating Text from Images

Step 0



↑ ↓  
wool  
blume  
closeup  
locomotive  
sun  
delete3  
negative  
sardegna  
5photosaday  
nb

Samples drawn after  
every 50 steps of  
Gibbs updates



Sample at step 0  
wool  
blume  
closeup  
locomotive  
sun  
delete3  
negative  
sardegna  
5photosaday  
nb

# Text Generated from Images

Given



Generated

portrait, women, army, soldier,  
mother, postcard, soldiers

Given

  
A photograph of a white heron with long legs and a long beak, standing on a wire mesh fence that spans across a body of blue water. The heron is facing towards the left of the frame.

Generated

obama, barackobama, election,  
politics, president, hope, change,  
sanfrancisco, convention, rally



Generated

water, glass, beer, bottle,  
drink, wine, bubbles, splash,  
drops, drop

# Images from Text

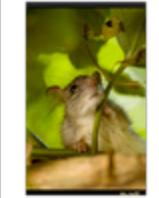
Given

water, red,  
sunset

Retrieved



nature, flower,  
red, green



blue, green,  
yellow, colors



chocolate, cake



# MIR-Flickr Dataset

- 1 million images along with user-assigned tags.



sculpture, beauty, stone



d80



nikon, abigfave, goldstaraward, d80, nikond80



food, cupcake, vegan



anawesomeshot, theperfectphotographer, flash, damniwishidtakenthat, spiritofphotography



nikon, green, light, photoshop, apple, d70



white, yellow, abstract, lines, bus, graphic



sky, geotagged, reflection, cielo, bilbao, reflejo

# Results

- Logistic regression on top-level representation.
  - Multimodal Inputs

Learning Algorithm	MAP	Precision@50
Random	0.124	0.124
LDA [Huiskes et. al.]	0.492	0.754
SVM [Huiskes et. al.]	0.475	0.758
DBM-Labelled	0.526	0.791
Deep Belief Net	0.638	0.867
Autoencoder	0.638	0.875
DBM	0.641	0.873

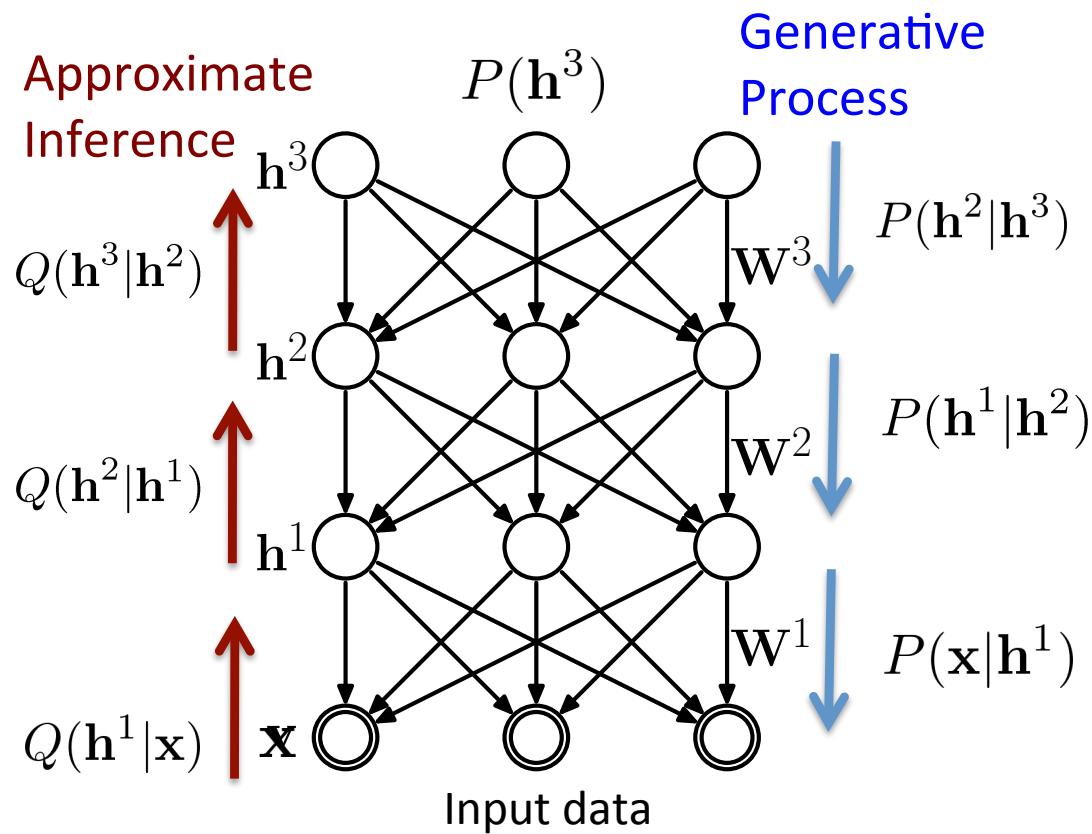
# Mean Average Precision

Labeled  
25K  
examples

+ 1 Million  
unlabelled

# Helmholtz Machines

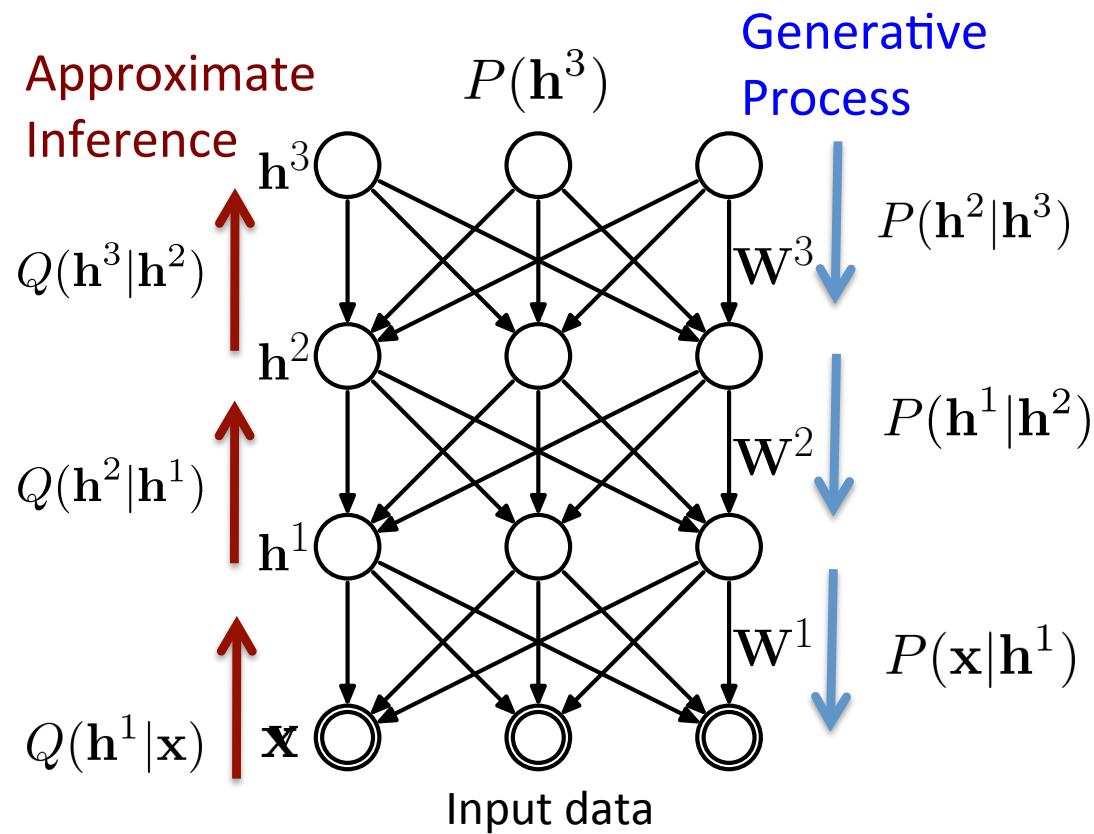
- Hinton, G. E., Dayan, P., Frey, B. J. and Neal, R., Science 1995



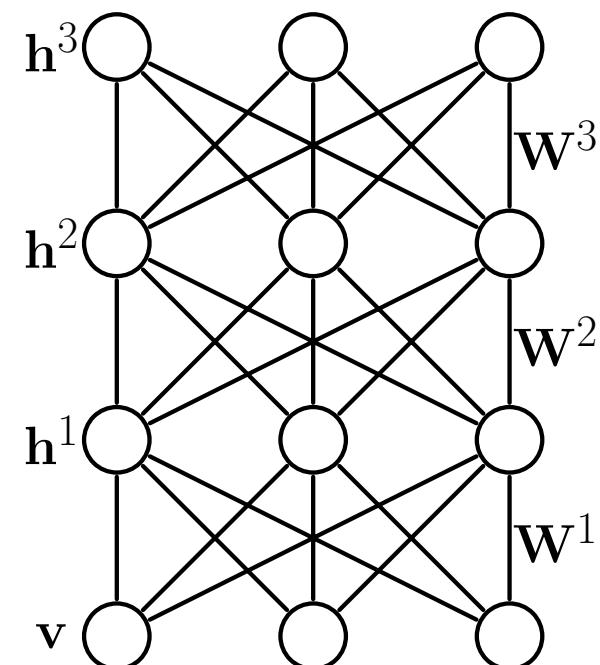
- Kingma & Welling, 2014
- Rezende, Mohamed, Daan, 2014
- Mnih & Gregor, 2014
- Bornschein & Bengio, 2015
- Tang & Salakhutdinov, 2013

# Helmholtz Machines vs. DBMs

Helmholtz Machine



Deep Boltzmann Machine



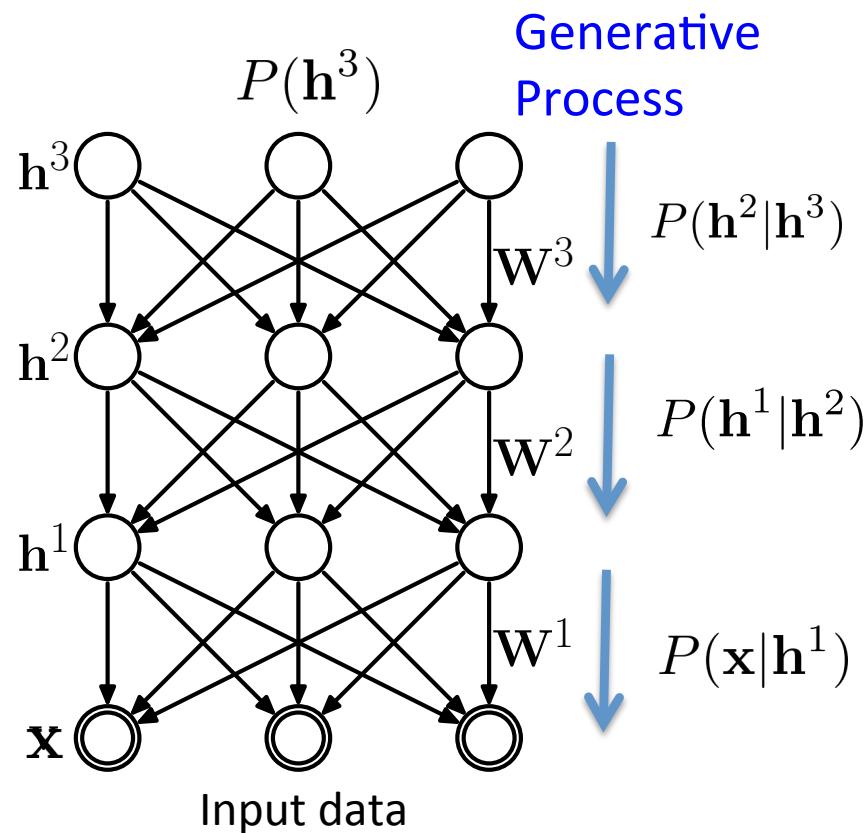
# Variational Autoencoders (VAEs)

- The VAE defines a generative process in terms of ancestral sampling through a cascade of hidden stochastic layers:

$$p(\mathbf{x}|\boldsymbol{\theta}) = \sum_{\mathbf{h}^1, \dots, \mathbf{h}^L} p(\mathbf{h}^L|\boldsymbol{\theta})p(\mathbf{h}^{L-1}|\mathbf{h}^L, \boldsymbol{\theta}) \cdots p(\mathbf{x}|\mathbf{h}^1, \boldsymbol{\theta})$$



Each term may denote a complicated nonlinear relationship



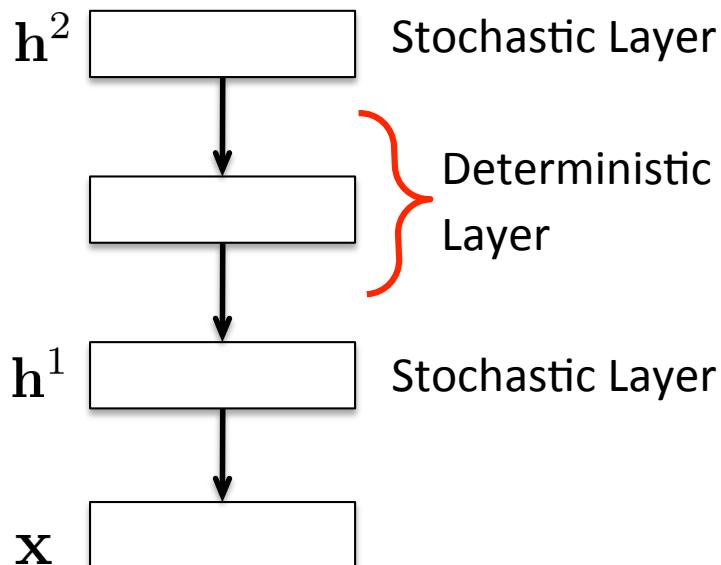
- $\boldsymbol{\theta}$  denotes parameters of VAE.
- $L$  is the number of **stochastic** layers.
- Sampling and probability evaluation is tractable for each  $p(\mathbf{h}^\ell|\mathbf{h}^{\ell+1})$ .

# VAE: Example

- The VAE defines a generative process in terms of ancestral sampling through a cascade of hidden stochastic layers:

$$p(\mathbf{x}|\boldsymbol{\theta}) = \sum_{\mathbf{h}^1, \mathbf{h}^2} p(\mathbf{h}^2|\boldsymbol{\theta})p(\mathbf{h}^1|\mathbf{h}^2, \boldsymbol{\theta})p(\mathbf{x}|\mathbf{h}^1, \boldsymbol{\theta})$$

This term denotes a one-layer neural net.



- $\boldsymbol{\theta}$  denotes parameters of VAE.
- $L$  is the number of **stochastic** layers.
- Sampling and probability evaluation is tractable for each  $p(\mathbf{h}^\ell|\mathbf{h}^{\ell+1})$ .

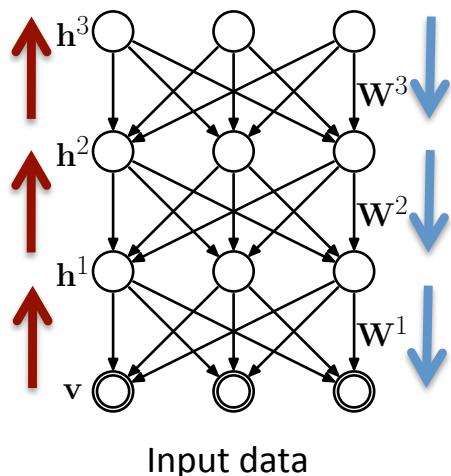
# Variational Bound

- The VAE is trained to maximize the variational lower bound:

$$\log p(\mathbf{x}) = \log \mathbb{E}_{q(\mathbf{h}|\mathbf{x})} \left[ \frac{p(\mathbf{x}, \mathbf{h})}{q(\mathbf{h}|\mathbf{x})} \right] \geq \mathbb{E}_{q(\mathbf{h}|\mathbf{x})} \left[ \log \frac{p(\mathbf{x}, \mathbf{h})}{q(\mathbf{h}|\mathbf{x})} \right] = \mathcal{L}(\mathbf{x})$$

$$\mathcal{L}(\mathbf{x}) = \log p(\mathbf{x}) - D_{KL}(q(\mathbf{h}|\mathbf{x}))||p(\mathbf{h}|\mathbf{x}))$$

- Trading off the data log-likelihood and the KL divergence from the true posterior.



- Hard to optimize the variational bound with respect to the recognition network (high-variance).
- Key idea of Kingma and Welling is to use reparameterization trick.

# Reparameterization Trick

- Assume that the recognition distribution is Gaussian:

$$q(\mathbf{h}^\ell | \mathbf{h}^{\ell-1}, \boldsymbol{\theta}) = \mathcal{N}(\boldsymbol{\mu}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta}), \boldsymbol{\Sigma}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta}))$$

with mean and covariance computed from the state of the hidden units at the previous layer.

- Alternatively, we can express this in term of auxiliary variable:

$$\boldsymbol{\epsilon}^\ell \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

$$\mathbf{h}^\ell (\boldsymbol{\epsilon}^\ell, \mathbf{h}^{\ell-1}, \boldsymbol{\theta}) = \boldsymbol{\Sigma}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta})^{1/2} \boldsymbol{\epsilon}^\ell + \boldsymbol{\mu}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta})$$

# Reparameterization Trick

- Assume that the recognition distribution is Gaussian:

$$q(\mathbf{h}^\ell | \mathbf{h}^{\ell-1}, \boldsymbol{\theta}) = \mathcal{N}(\boldsymbol{\mu}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta}), \boldsymbol{\Sigma}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta}))$$

- Or

$$\boldsymbol{\epsilon}^\ell \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

$$\mathbf{h}^\ell (\boldsymbol{\epsilon}^\ell, \mathbf{h}^{\ell-1}, \boldsymbol{\theta}) = \boldsymbol{\Sigma}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta})^{1/2} \boldsymbol{\epsilon}^\ell + \boldsymbol{\mu}(\mathbf{h}^{\ell-1}, \boldsymbol{\theta})$$

- The recognition distribution  $q(\mathbf{h}^\ell | \mathbf{h}^{\ell-1}, \boldsymbol{\theta})$  can be expressed in terms of a deterministic mapping:

$$\underbrace{\mathbf{h}(\boldsymbol{\epsilon}, \mathbf{x}, \boldsymbol{\theta})}_{\text{Deterministic Encoder}}, \quad \text{with} \quad \boldsymbol{\epsilon} = \underbrace{(\boldsymbol{\epsilon}^1, \dots, \boldsymbol{\epsilon}^L)}_{\text{Distribution of } \boldsymbol{\epsilon}}$$

Deterministic  
Encoder

Distribution of  $\boldsymbol{\epsilon}$   
does not depend on  $\boldsymbol{\theta}$

# Computing the Gradients

- The gradient w.r.t the parameters: both recognition and generative:

$$\nabla_{\theta} \mathbb{E}_{\mathbf{h} \sim q(\mathbf{h}|\mathbf{x}, \theta)} \left[ \log \frac{p(\mathbf{x}, \mathbf{h}|\theta)}{q(\mathbf{h}|\mathbf{x}, \theta)} \right]$$

Autoencoder



$$= \nabla_{\theta} \mathbb{E}_{\epsilon^1, \dots, \epsilon^L \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \left[ \log \frac{p(\mathbf{x}, \mathbf{h}(\epsilon, \mathbf{x}, \theta)|\theta)}{q(\mathbf{h}(\epsilon, \mathbf{x}, \theta)|\mathbf{x}, \theta)} \right]$$

$$= \mathbb{E}_{\epsilon^1, \dots, \epsilon^L \sim \mathcal{N}(\mathbf{0}, \mathbf{I})} \left[ \nabla_{\theta} \log \frac{p(\mathbf{x}, \mathbf{h}(\epsilon, \mathbf{x}, \theta)|\theta)}{q(\mathbf{h}(\epsilon, \mathbf{x}, \theta)|\mathbf{x}, \theta)} \right]$$



Gradients can be computed by backprop

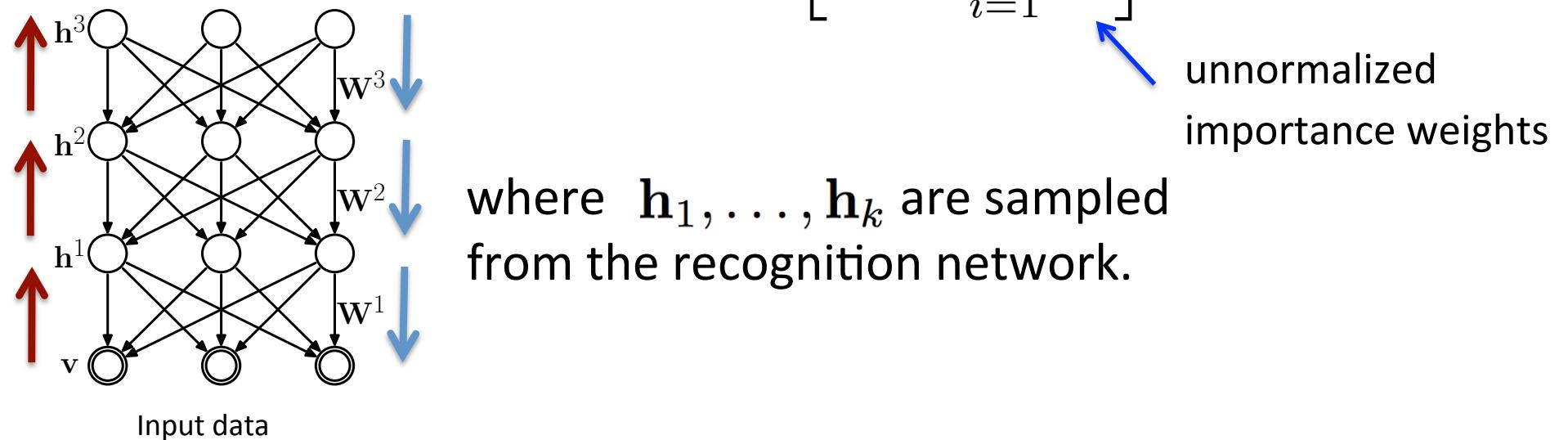
The mapping  $\mathbf{h}$  is a deterministic neural net for fixed  $\epsilon$ .

# Importance Weighted Autoencoders

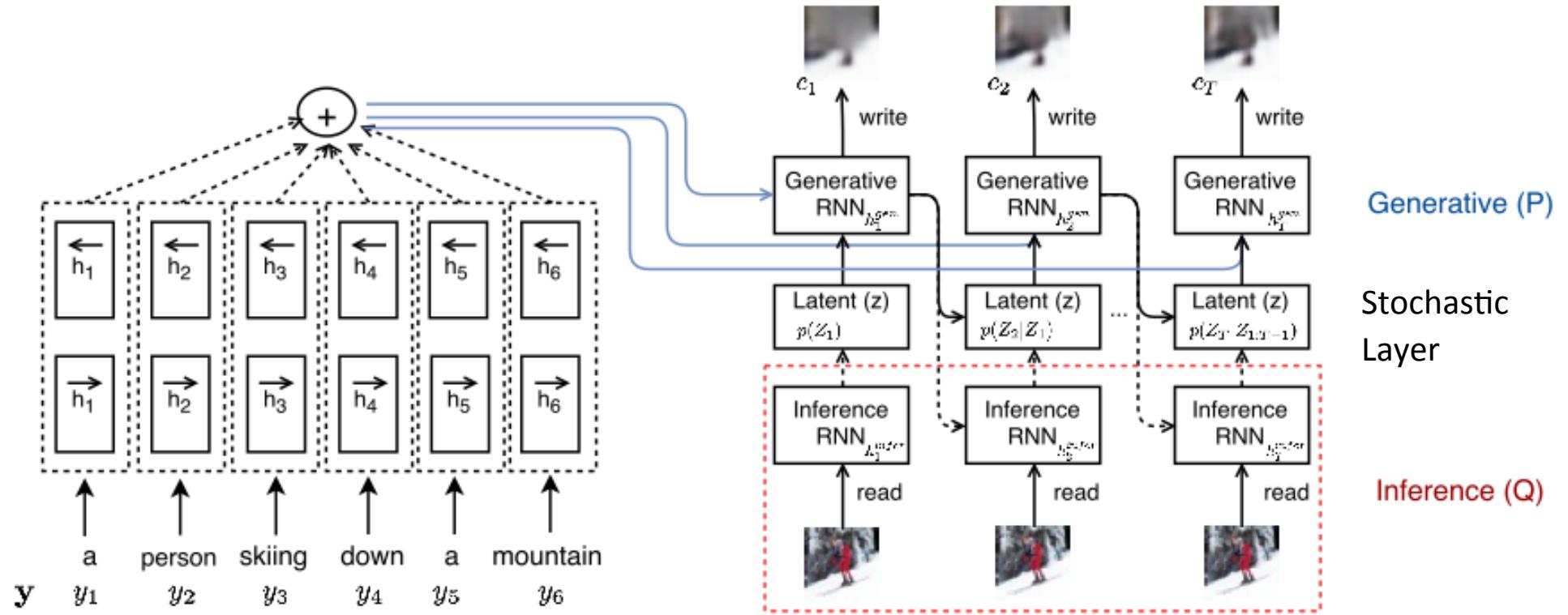
- Can improve VAE by using following k-sample importance weighting of the log-likelihood:

$$\mathcal{L}_k(\mathbf{x}) = \mathbb{E}_{\mathbf{h}_1, \dots, \mathbf{h}_k \sim q(\mathbf{h}|\mathbf{x})} \left[ \log \frac{1}{k} \sum_{i=1}^k \frac{p(\mathbf{x}, \mathbf{h}_i)}{q(\mathbf{h}_i|\mathbf{x})} \right]$$

$$= \mathbb{E}_{\mathbf{h}_1, \dots, \mathbf{h}_k \sim q(\mathbf{h}|\mathbf{x})} \left[ \log \frac{1}{k} \sum_{i=1}^k w_i \right]$$



# Generating Images from Captions

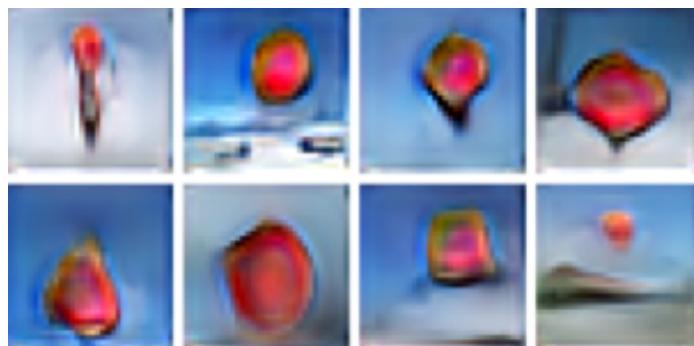


- **Generative Model:** Stochastic Recurrent Network, chained sequence of Variational Autoencoders, with a single stochastic layer.
- **Recognition Model:** Deterministic Recurrent Network.

# Motivating Example

- Can we generate images from natural language descriptions?

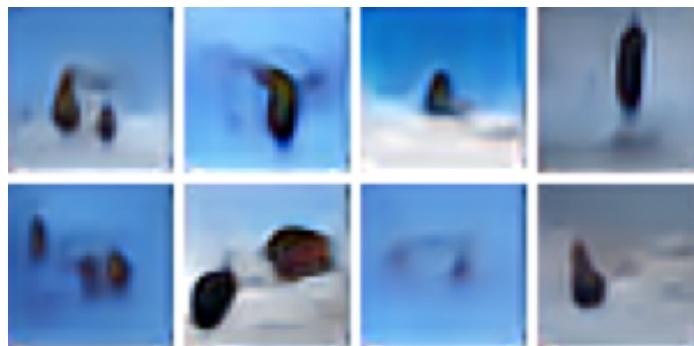
A **stop sign** is flying in blue skies



A **pale yellow school bus** is flying in blue skies



A **herd of elephants** is flying in blue skies



A **large commercial airplane** is flying in blue skies



(Mansimov, Parisotto, Ba, Salakhutdinov, 2015)

# Flipping Colors

A **yellow school bus** parked in the parking lot



A **red school bus** parked in the parking lot



A **green school bus** parked in the parking lot



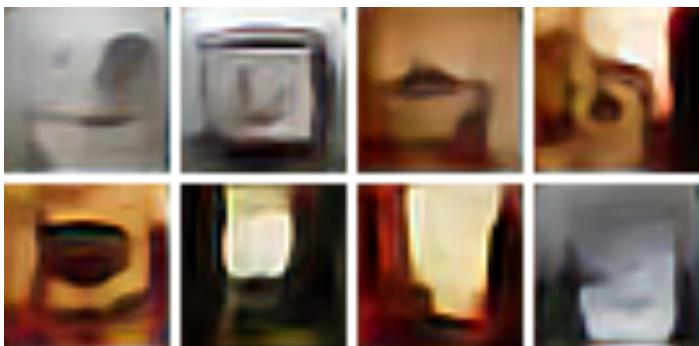
A **blue school bus** parked in the parking lot



(Mansimov, Parisotto, Ba, Salakhutdinov, 2015)

# Novel Scene Compositions

A toilet seat sits open in the bathroom



A toilet seat sits open in the grass field



Ask Google?



# (Some) Open Problems

- Reasoning, Attention, and Memory
- Natural Language Understanding
- Deep Reinforcement Learning
- Unsupervised Learning / Transfer Learning / One-Shot Learning

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- Reasoning, Attention, and Memory
- Natural Language Understanding
- Deep Reinforcement Learning
- Unsupervised Learning / Transfer Learning / One-Shot Learning

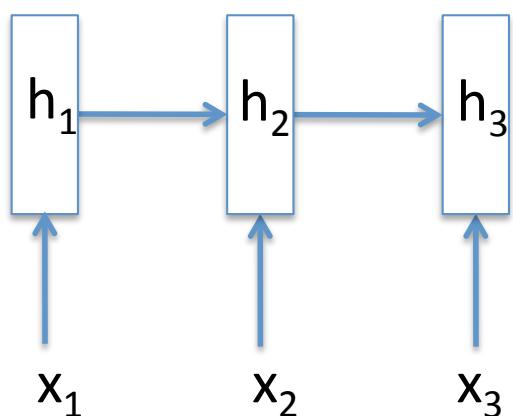
# Who-Did-What Dataset

- **Document:** “...arrested Illinois governor **Rod Blagojevich** and his chief of staff John Harris on corruption charges ... included **Blagojevich** allegedly conspiring to sell or trade the senate seat left vacant by President-elect Barack Obama...”
- **Query:** President-elect Barack Obama said Tuesday he was not aware of alleged corruption by **X** who was arrested on charges of trying to sell Obama’s senate seat.
- **Answer:** Rod Blagojevich

# Recurrent Neural Network

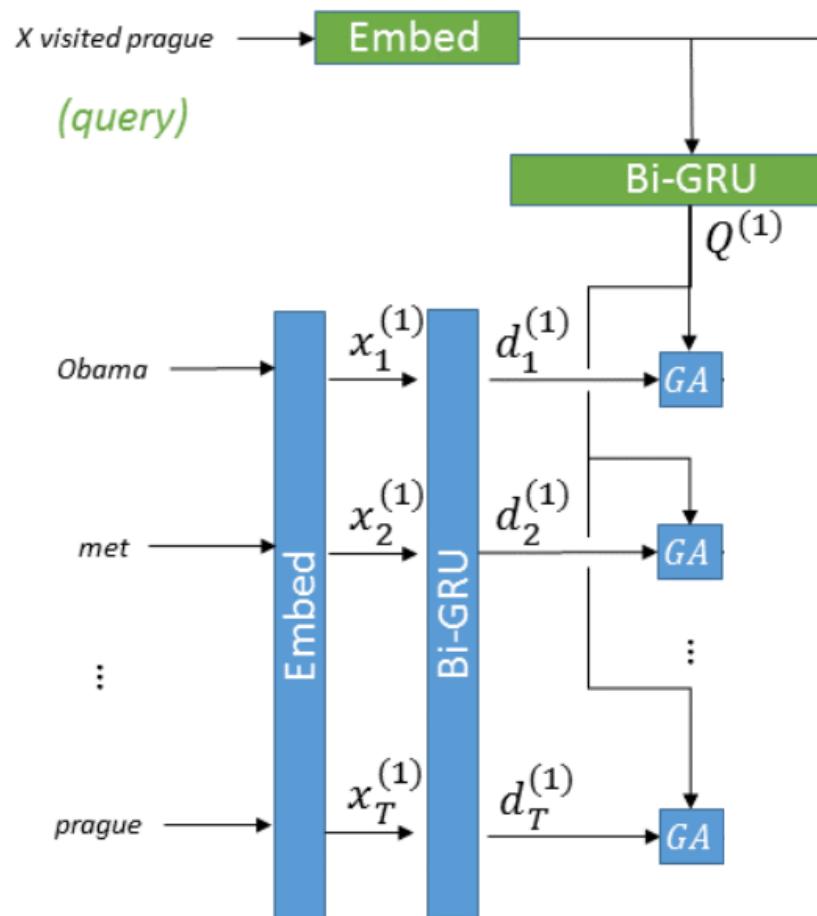
$$\mathbf{h}_t = \phi(\mathbf{U}\mathbf{h}_{t-1} + \mathbf{W}\mathbf{x}_t + \mathbf{b})$$

Nonlinearity                      Hidden State at previous time step              Input at time step t



# Gated Attention Mechanism

- Use Recurrent Neural Networks (RNNs) to encode a document and a query:

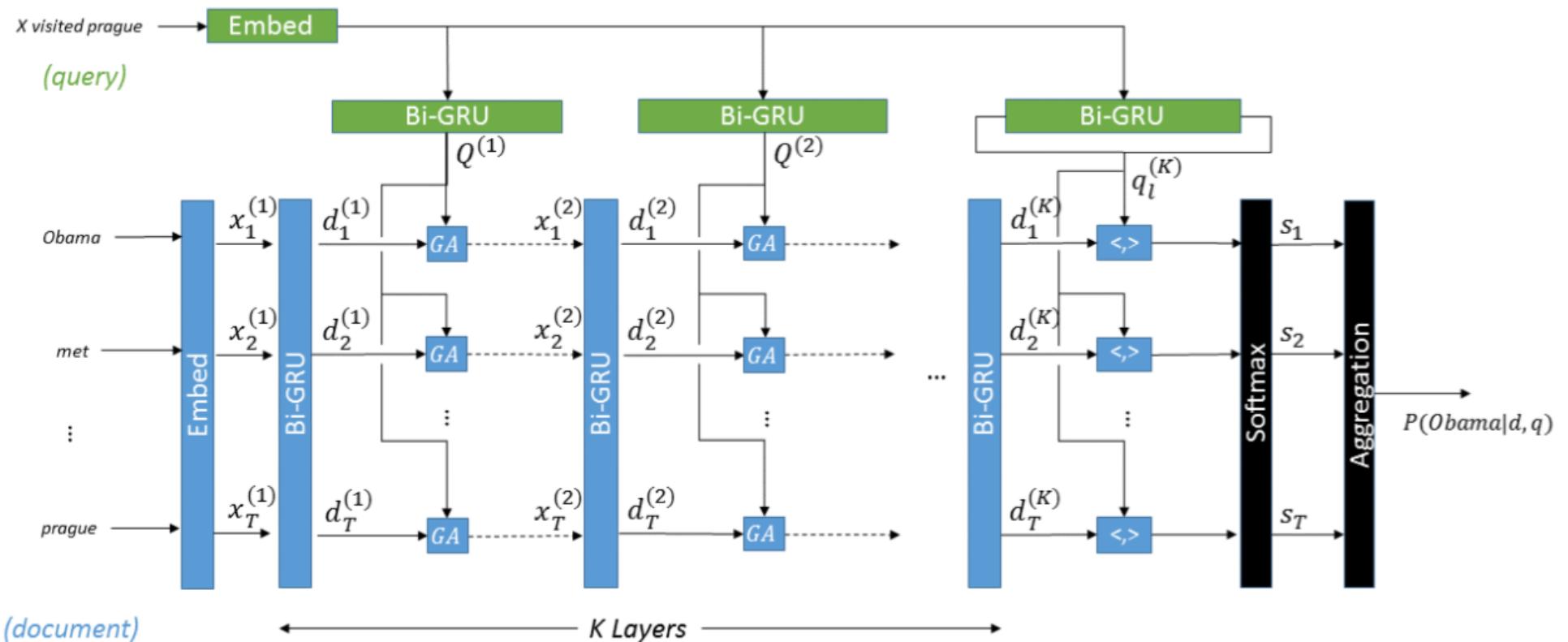


- Use element-wise multiplication to model the interactions between document and query:

$$x_i = d_i \odot q_i$$

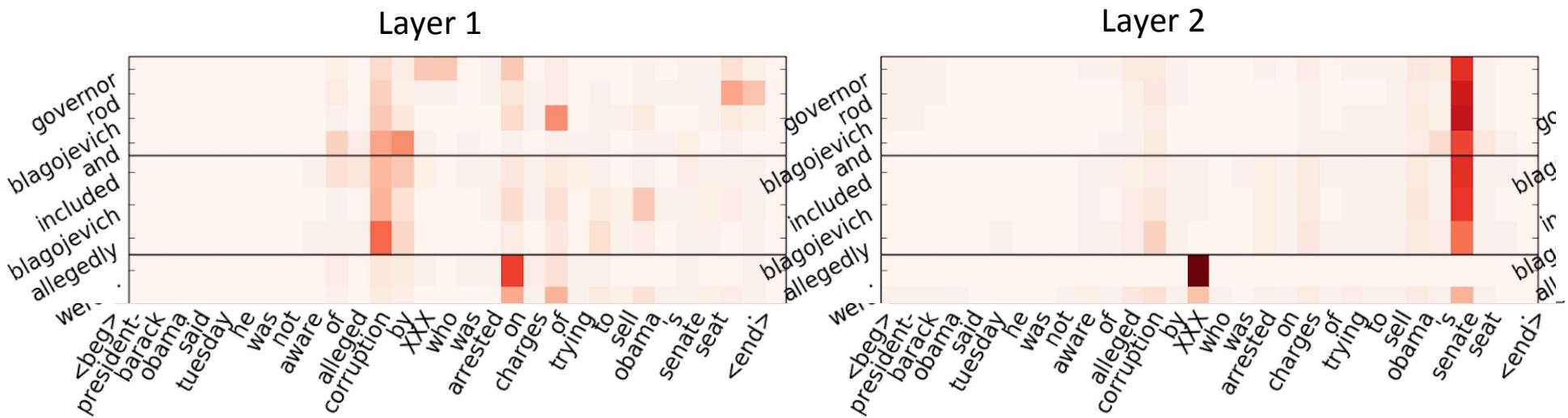
# Multi-hop Architecture

- Reasoning requires several passes over the context



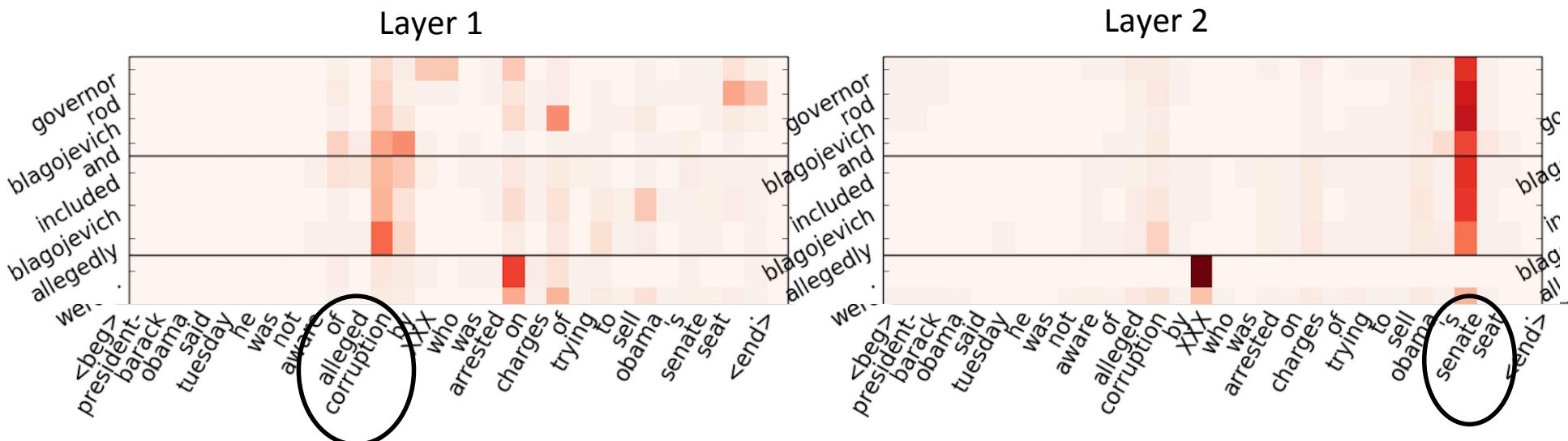
# Analysis of Attention

- **Context:** “...arrested Illinois governor **Rod Blagojevich** and his chief of staff John Harris on corruption charges ... included **Blagojevich** allegedly conspiring to sell or trade the senate seat left vacant by President-elect Barack Obama...”
- **Query:** “President-elect Barack Obama said Tuesday he was not aware of alleged corruption by X who was arrested on charges of trying to sell Obama’s senate seat.”
- **Answer: Rod Blagojevich**



# Analysis of Attention

- **Context:** “...arrested Illinois governor **Rod Blagojevich** and his chief of staff John Harris on corruption charges ... included **Blagojevich** allegedly conspiring to sell or trade the **senate seat** left vacant by President-elect Barack Obama...”
  - **Query:** “President-elect Barack Obama said Tuesday he was not aware of **alleged corruption** by **X** who was arrested on charges of trying to sell Obama’s **senate seat**.”
  - **Answer: Rod Blagojevich**



Code + Data: <https://github.com/bdhingra/ga-reader>

# Incorporating Prior Knowledge

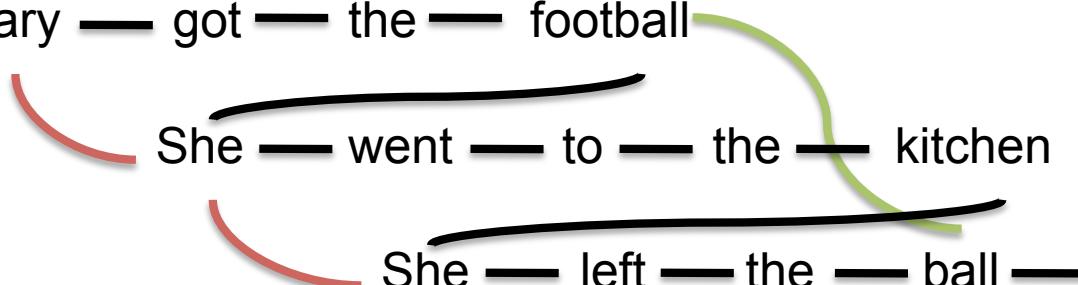
Mary — got — the — football  
She — went — to — the — kitchen  
She — left — the — ball — there

The diagram illustrates the flow of information across three sentences. It uses three types of lines: black horizontal lines for RNN connections, red curved lines for coreference, and green curved lines for hyper/hyponymy. In the first sentence, 'Mary' is connected to 'the' and 'football'. In the second sentence, 'She' is connected to 'the' and 'kitchen'. In the third sentence, 'She' is connected to 'the' and 'ball'. Additionally, 'the' in the first sentence is connected to 'the' in the second sentence, and 'the' in the second sentence is connected to 'the' in the third sentence, forming a chain of hyper/hyponymy relations.

- RNN
- Coreference
- Hyper/Hyponymy

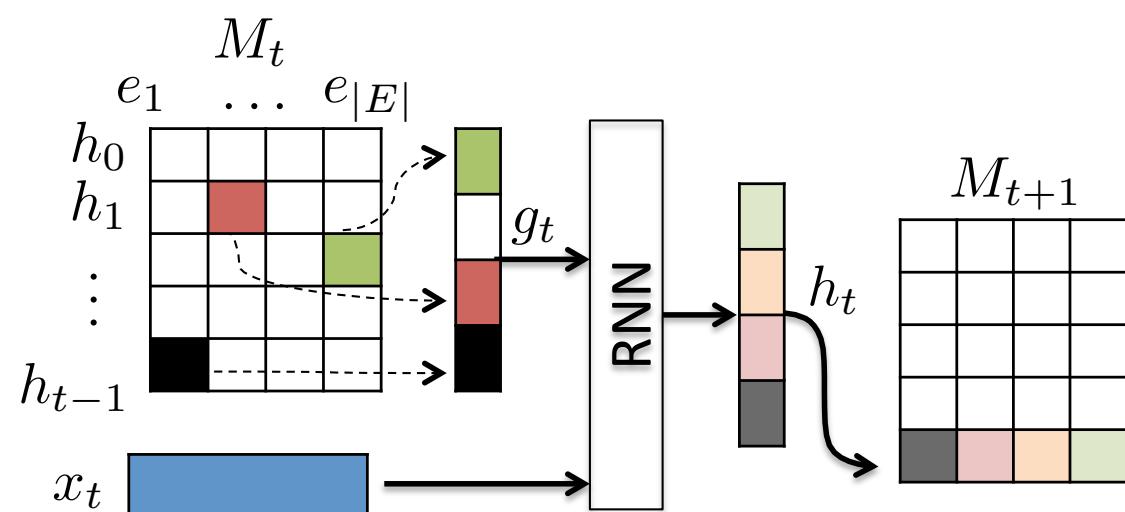
# Incorporating Prior Knowledge

Mary — got — the — football  
She — went — to — the — kitchen  
She — left — the — ball — there

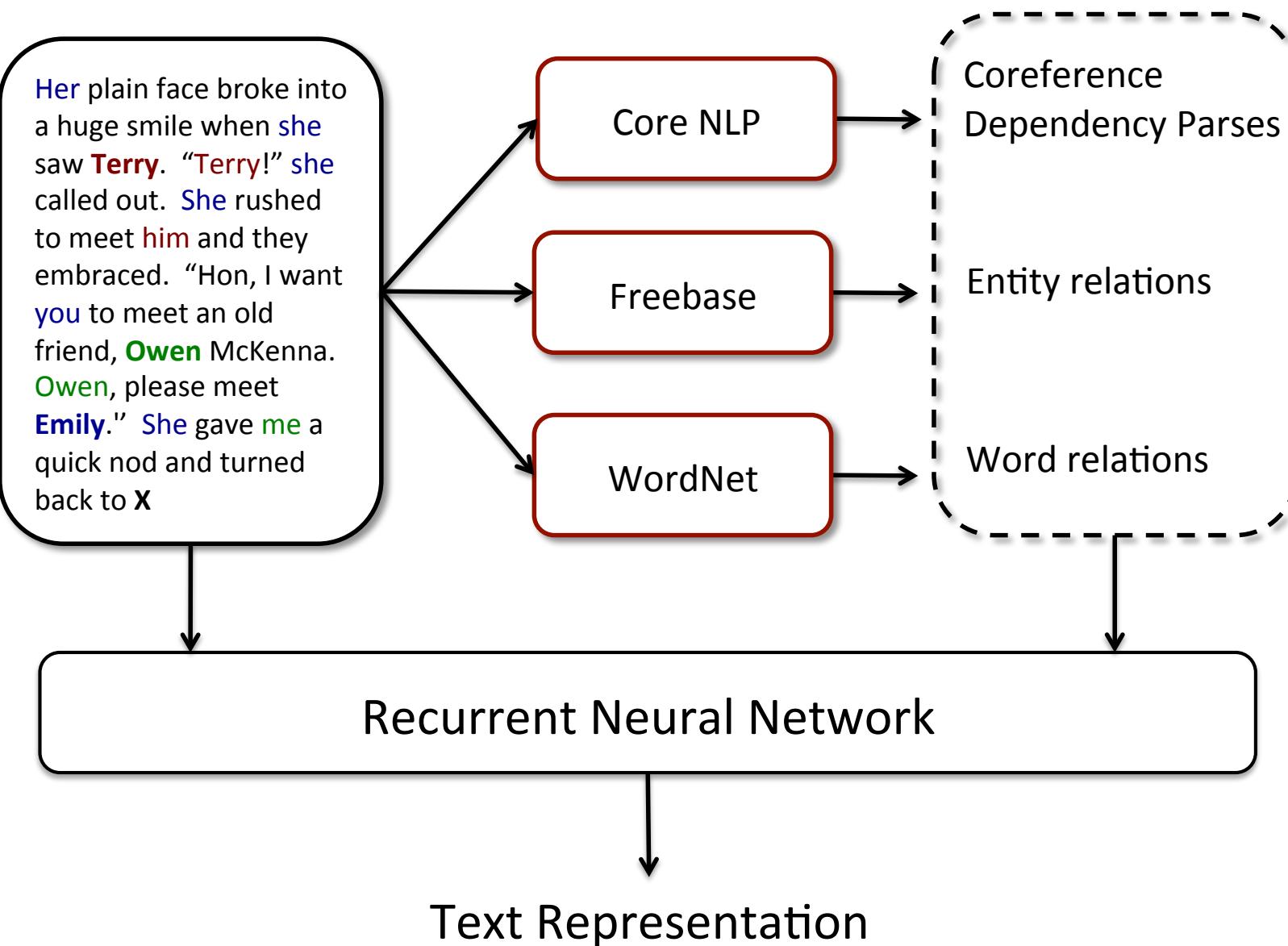


- RNN
- Coreference
- Hyper/Hyponymy

Memory as Acyclic Graph  
Encoding (MAGE) - RNN



# Incorporating Prior Knowledge



# Neural Story Telling



**Sample from the Generative Model  
(recurrent neural network):**

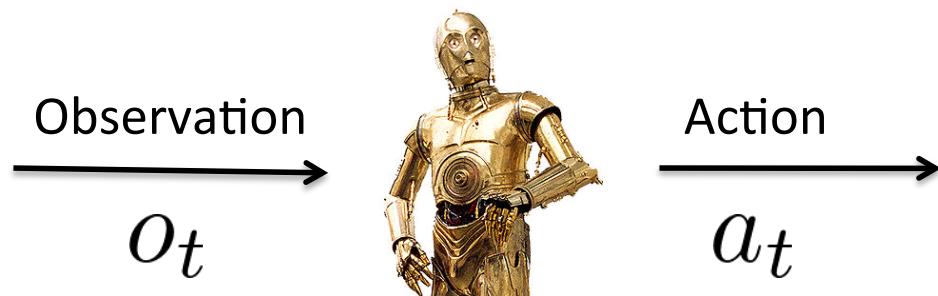
She was in love with him for the first time in months, so she had no intention of escaping.

The sun had risen from the ocean, making her feel more alive than normal. She is beautiful, but the truth is that I do not know what to do. The sun was just starting to fade away, leaving people scattered around the Atlantic Ocean.

# (Some) Open Problems

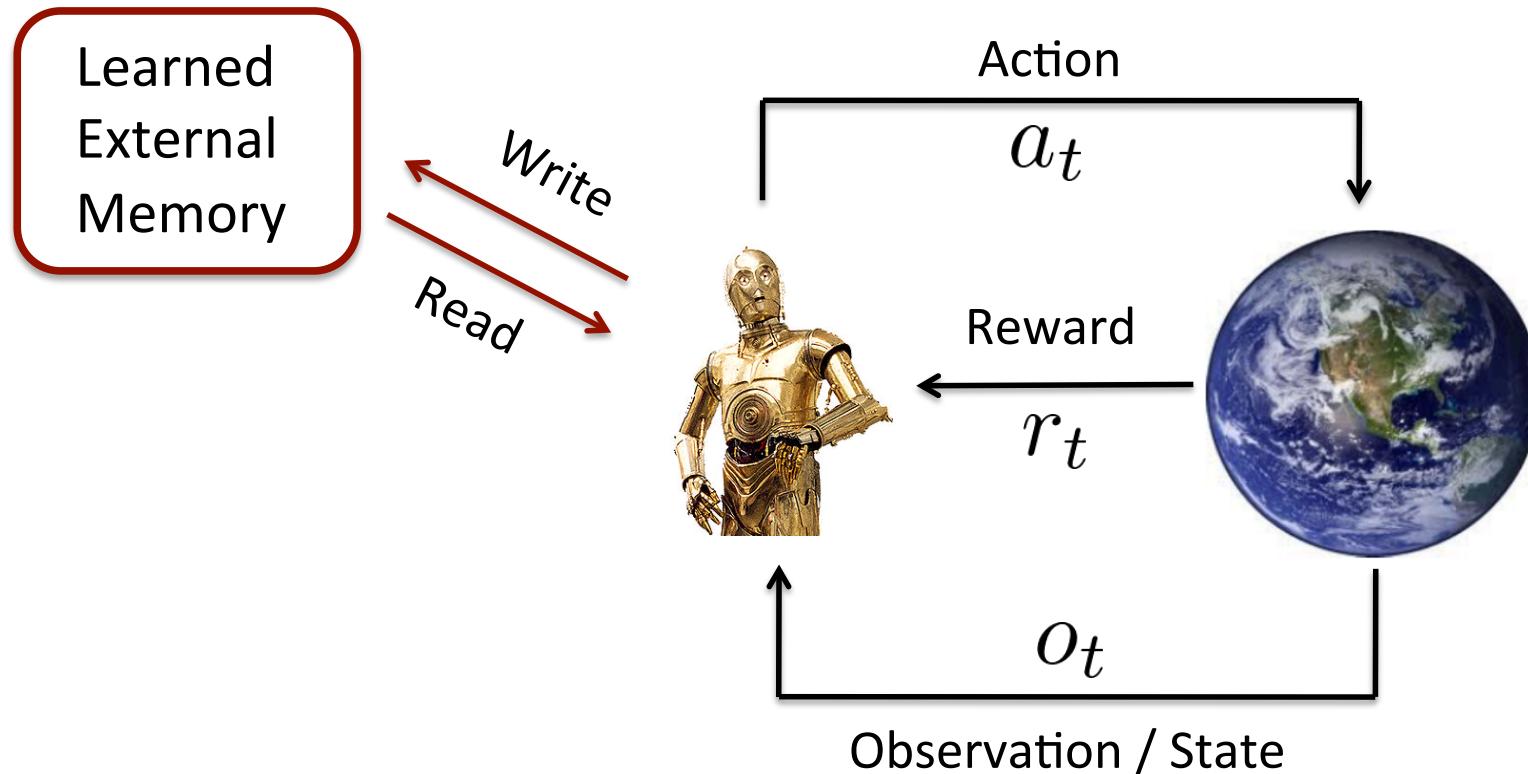
- Reasoning, Attention, and Memory
- Natural Language Understanding
- Deep Reinforcement Learning
- Unsupervised Learning / Transfer Learning / One-Shot Learning

# Learning Behaviors



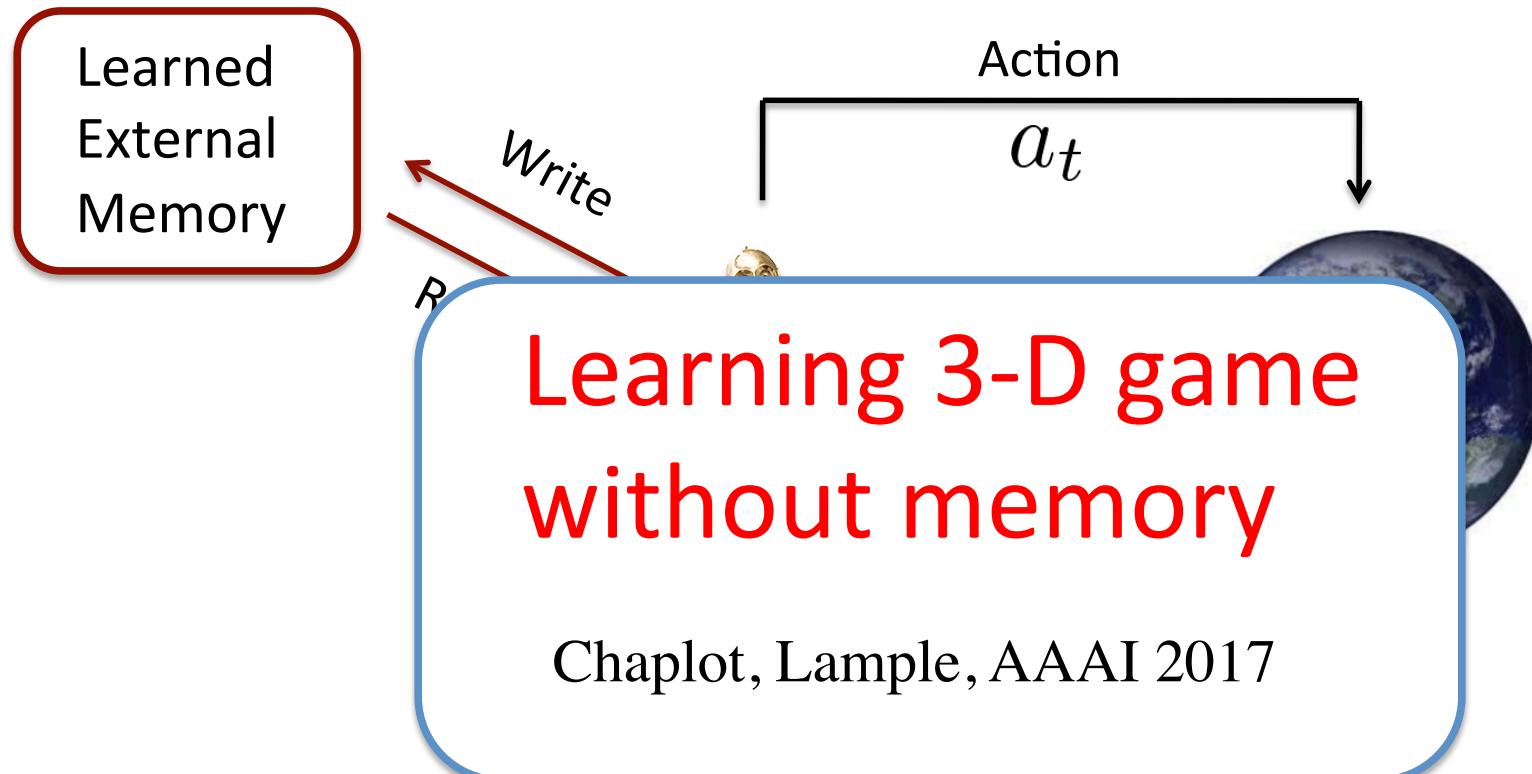
Learning to map sequences of observations to actions,  
for a particular goal

# Reinforcement Learning with Memory



Differentiable Neural Computer, Graves et al., Nature, 2016;  
Neural Turing Machine, Graves et al., 2014

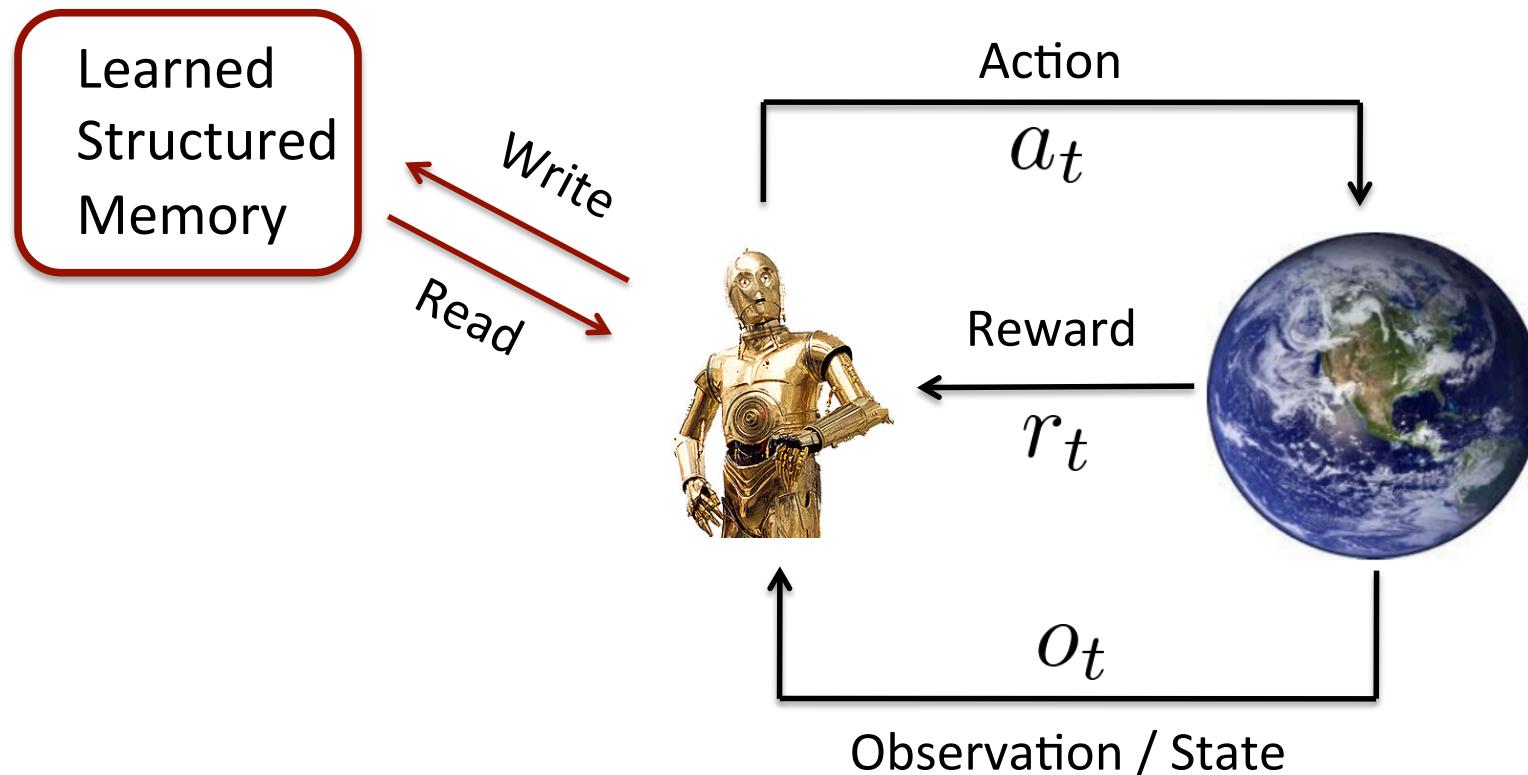
# Reinforcement Learning with Memory



Differentiable Neural Computer, Graves et al., Nature, 2016;  
Neural Turing Machine, Graves et al., 2014

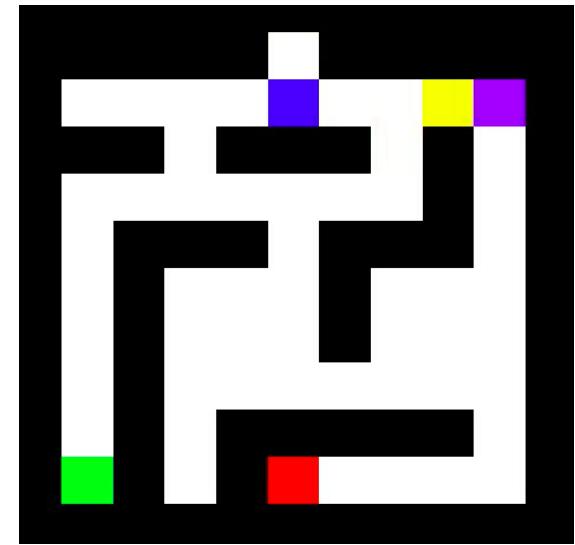


# Deep RL with Memory

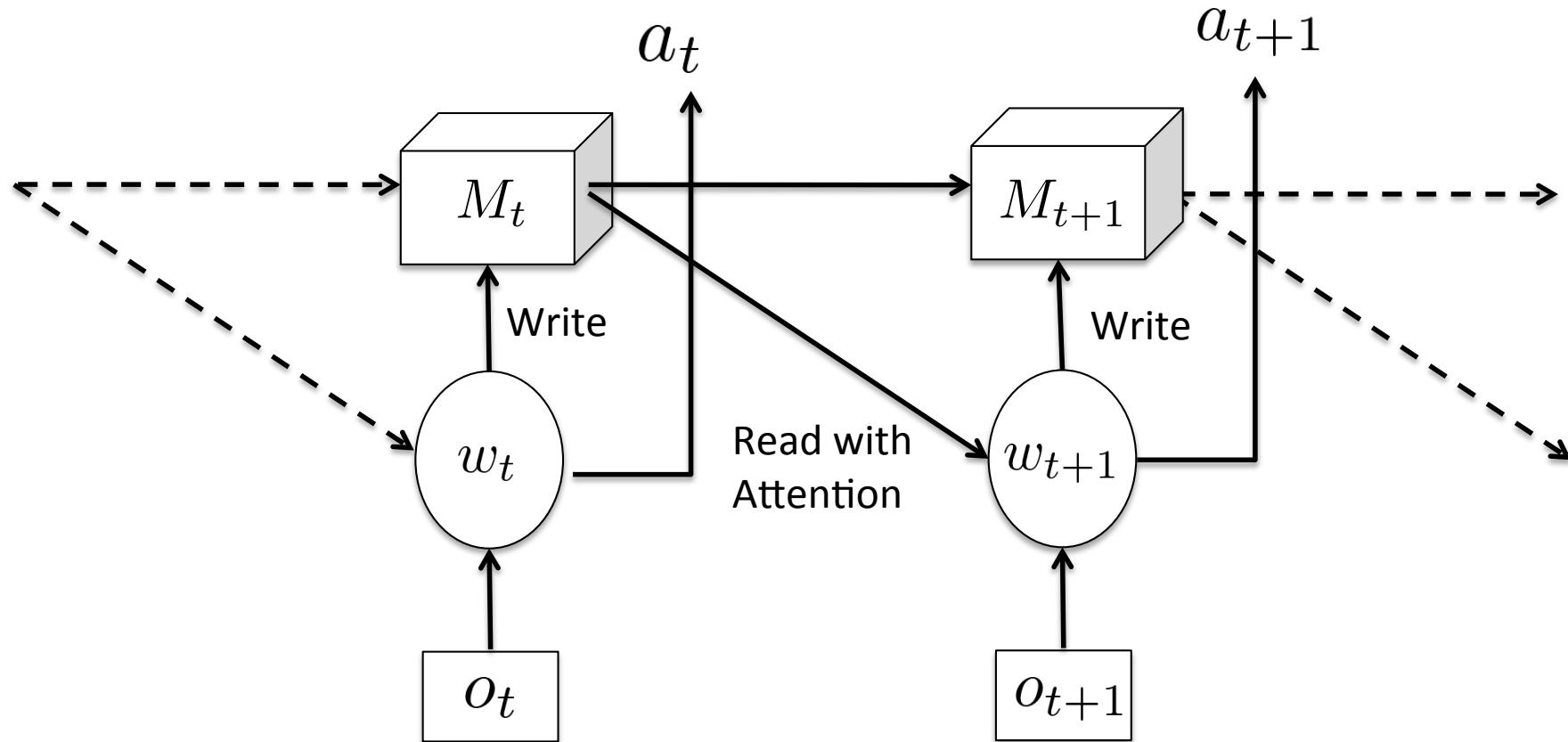


# Random Maze with Indicator

- **Indicator:** Either blue or pink
  - If blue, find the green block
  - If pink, find the red block
- **Negative reward** if agent does not find correct block in N steps or goes to wrong block.



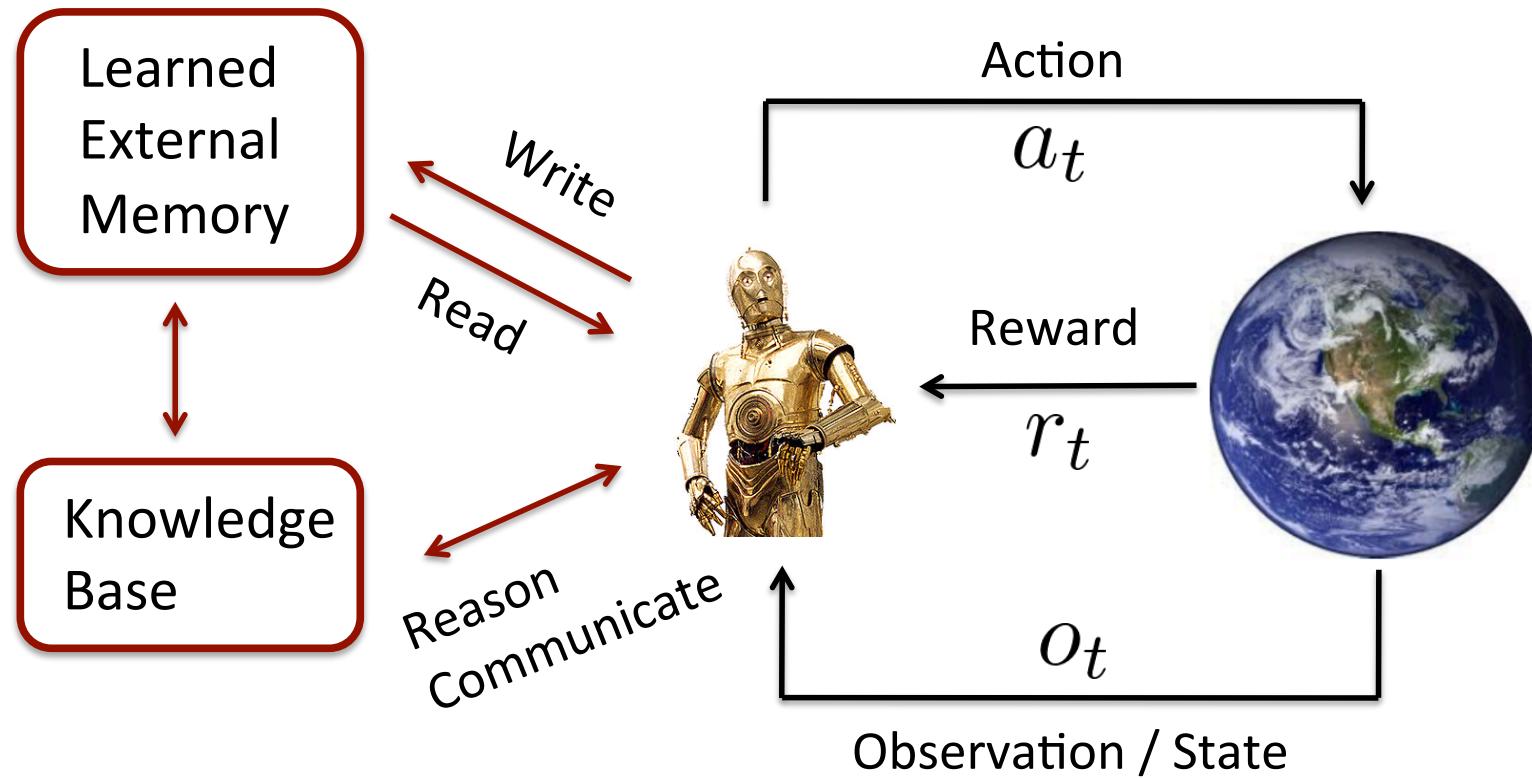
# Random Maze with Indicator



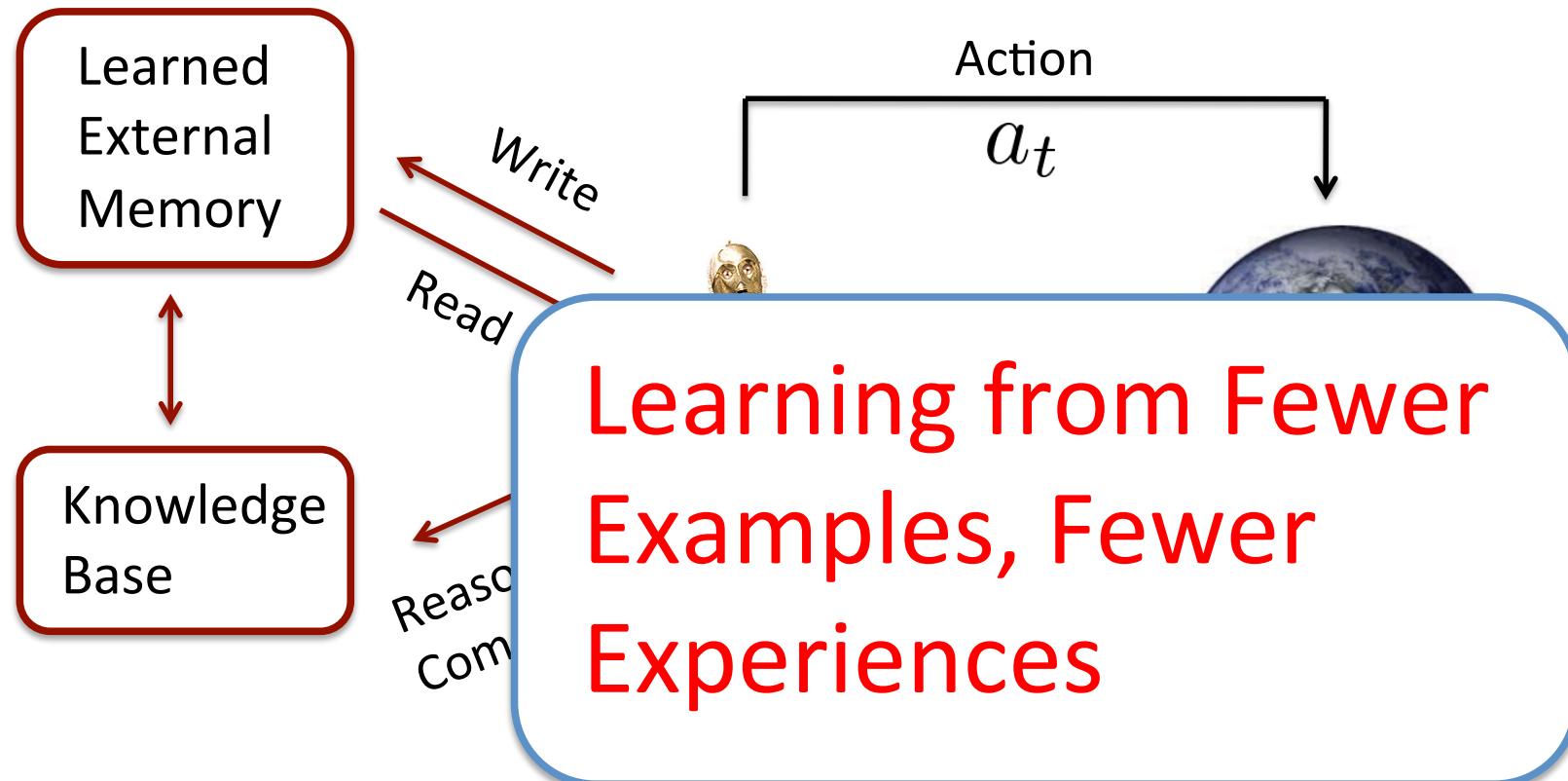
# Random Maze with Indicator



# Building Intelligent Agents



# Building Intelligent Agents



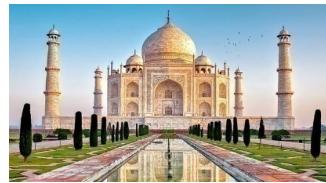
# Summary

- Efficient learning algorithms for Deep Unsupervised Models

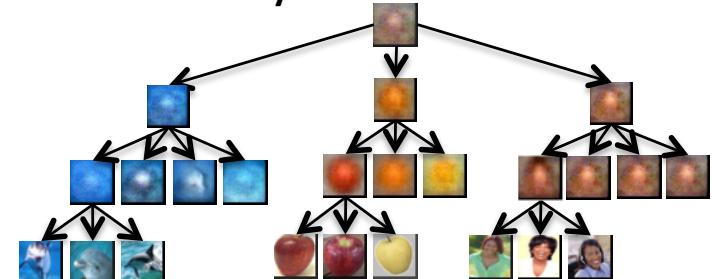
Text & image retrieval /  
Object recognition



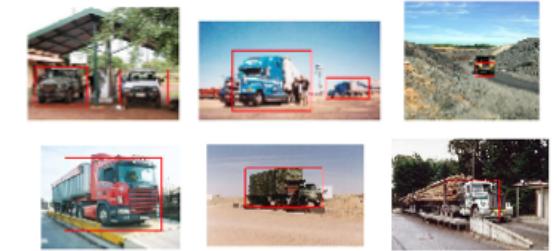
Image Tagging



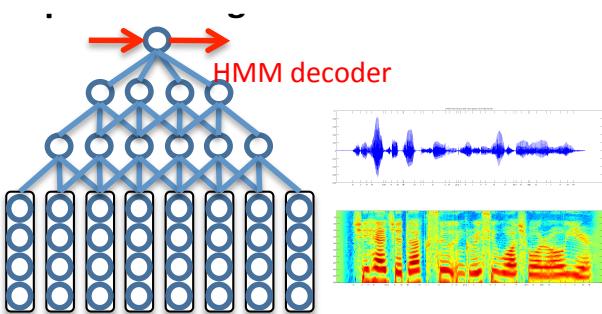
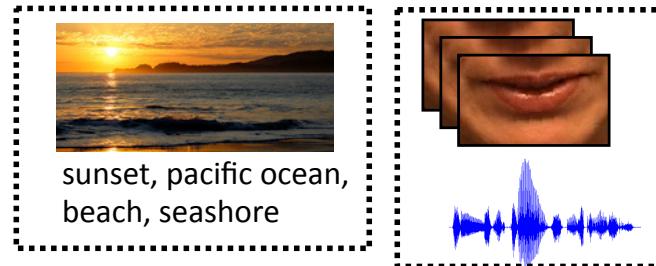
Learning a Category  
Hierarchy



Object Detection



Multimodal Data



- Deep models improve the current state-of-the art in many application domains:
  - Object recognition and detection, text and image retrieval, handwritten character and speech recognition, and others.

Thank you