



UNIVERSITY OF TECHNOLOGY  
IN THE EUROPEAN CAPITAL OF CULTURE  
CHEMNITZ

# Neurocomputing

Limits of deep learning

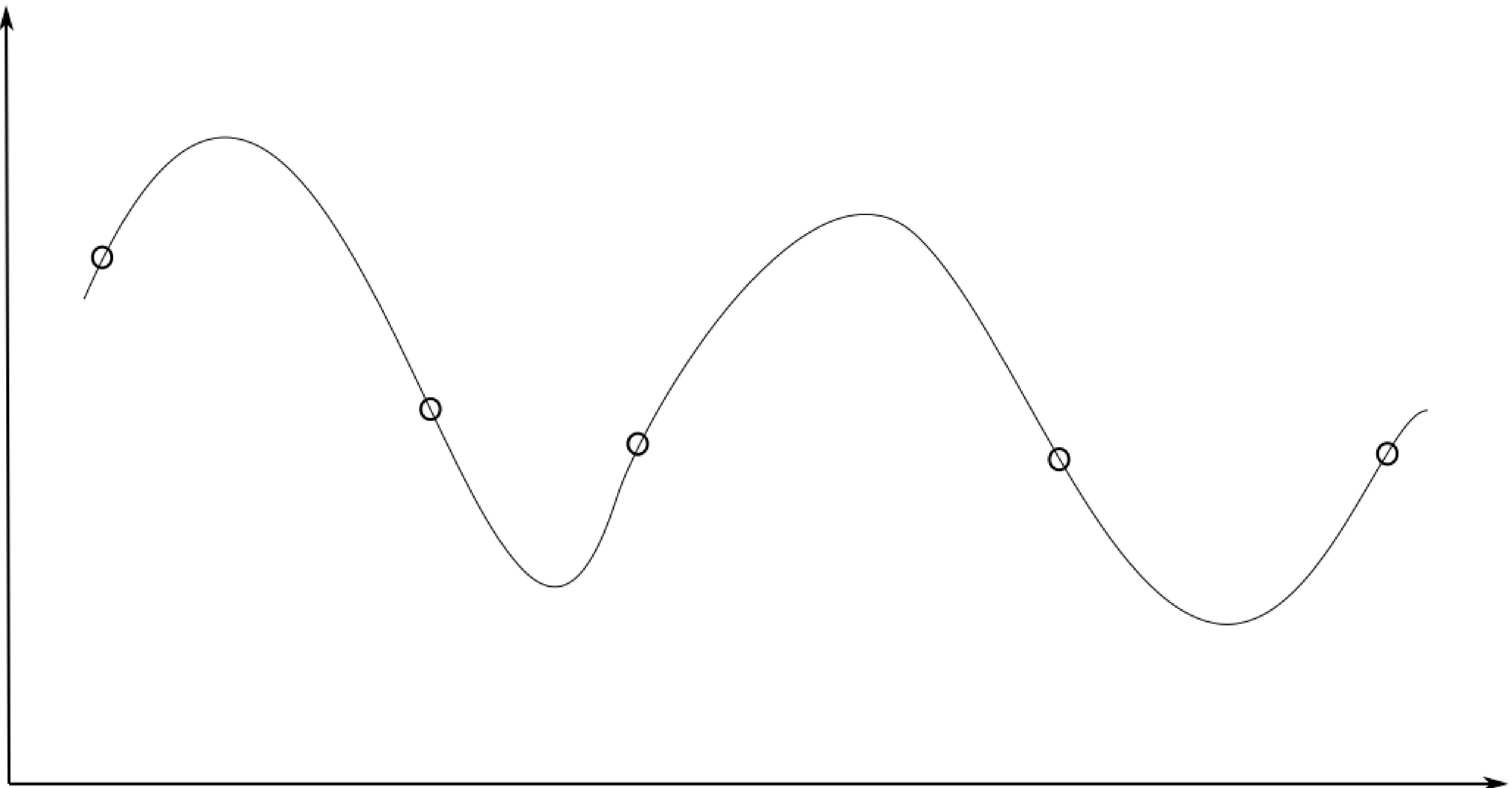
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# **1 - Data**

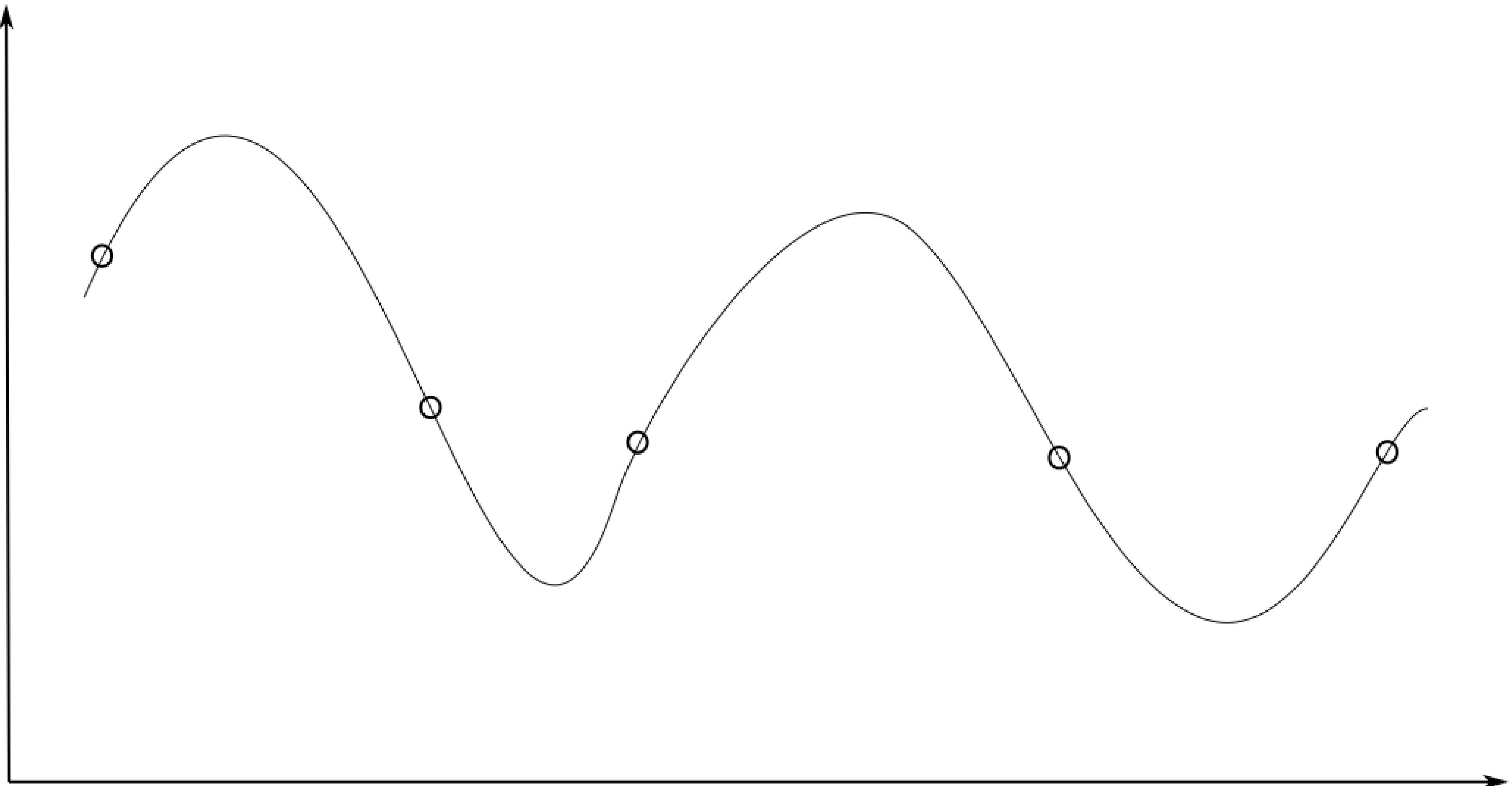
# Data is never infinite

- Deep networks are very powerful and complex models, which tend to **overfit** (bad interpolation).
- They learn their parameters from the training data only:



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# Data is never infinite

- Datasets for deep learning are typically huge:
  - ImageNet (14 million images)
  - OpenImages (9 million images)
  - Machine Translation of Various Languages (30 million sentences)
  - Librispeech (1000 hours of speech)
  - ...
- The deeper your network, the more powerful, but the more data it needs to be useful.
- Solutions:
  - data augmentation
  - transfer learning
  - unsupervised pre-training
  - self-supervised learning
  - contrastive learning



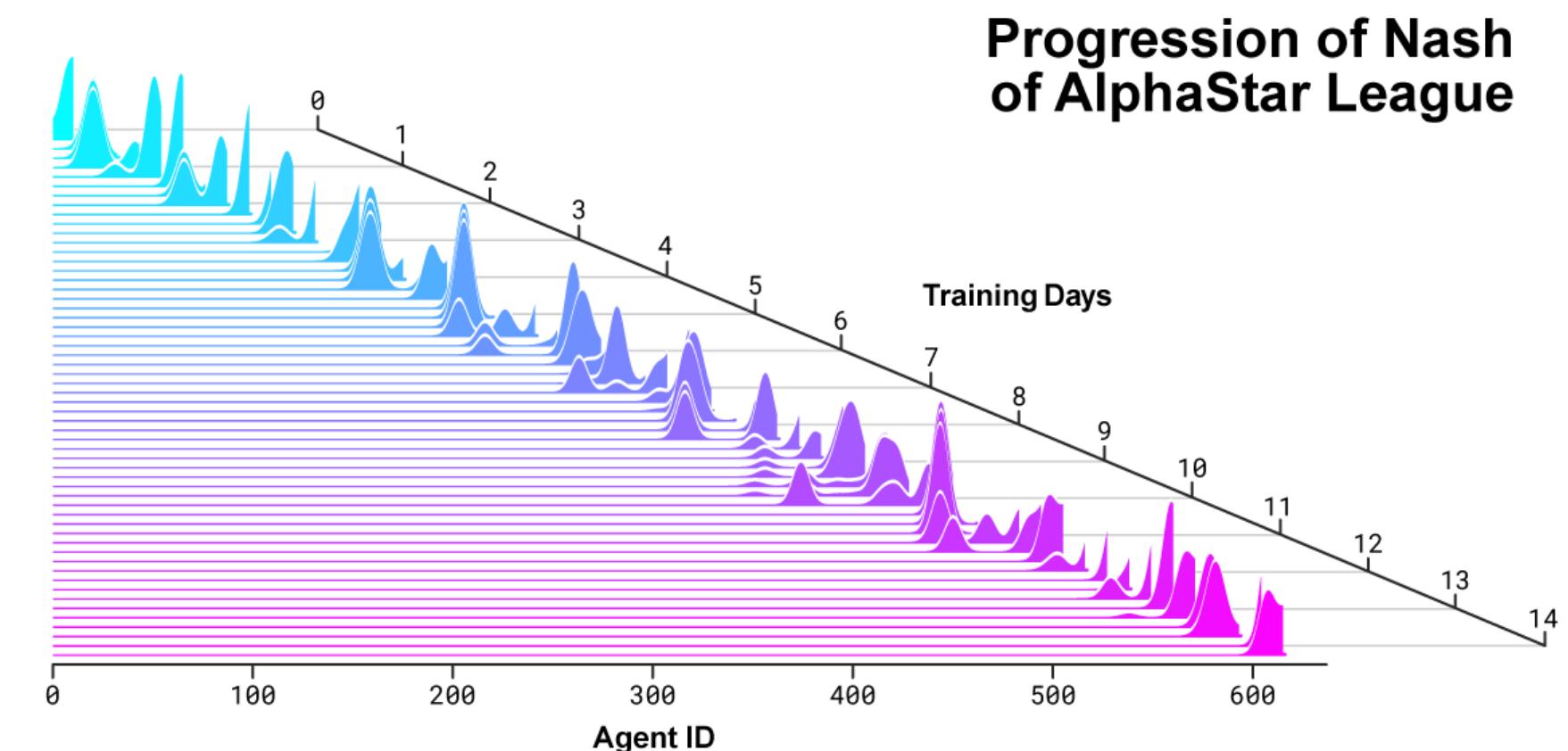
Source: <https://patrykchrabaszcz.github.io/Imagenet32/>

# Sample complexity

- Deep Reinforcement Learning has the same **sample complexity** problem: it needs many trial-and-errors to find a correct behavior.
- DQN and its variants need 200 million frames to learn to play Atari games: 38 days of uninterrupted human playing...



Source: <https://deepmind.com/blog/alphastar-mastering-real-time-strategy-game-starcraft-ii/>



- On December 18th 2018, Google Deepmind defeated the human team “Mana” on Starcraft II, a much more complex game than Go for computers.

The AlphaStar league was run for 14 days, using 16 TPUs for each agent. During training, each agent experienced up to 200 years of real-time StarCraft play.

# Data is biased

- Deep networks only learn from data, so if the data is wrong or **biased**, the predictions will reproduce it.

Garbage in, garbage out.

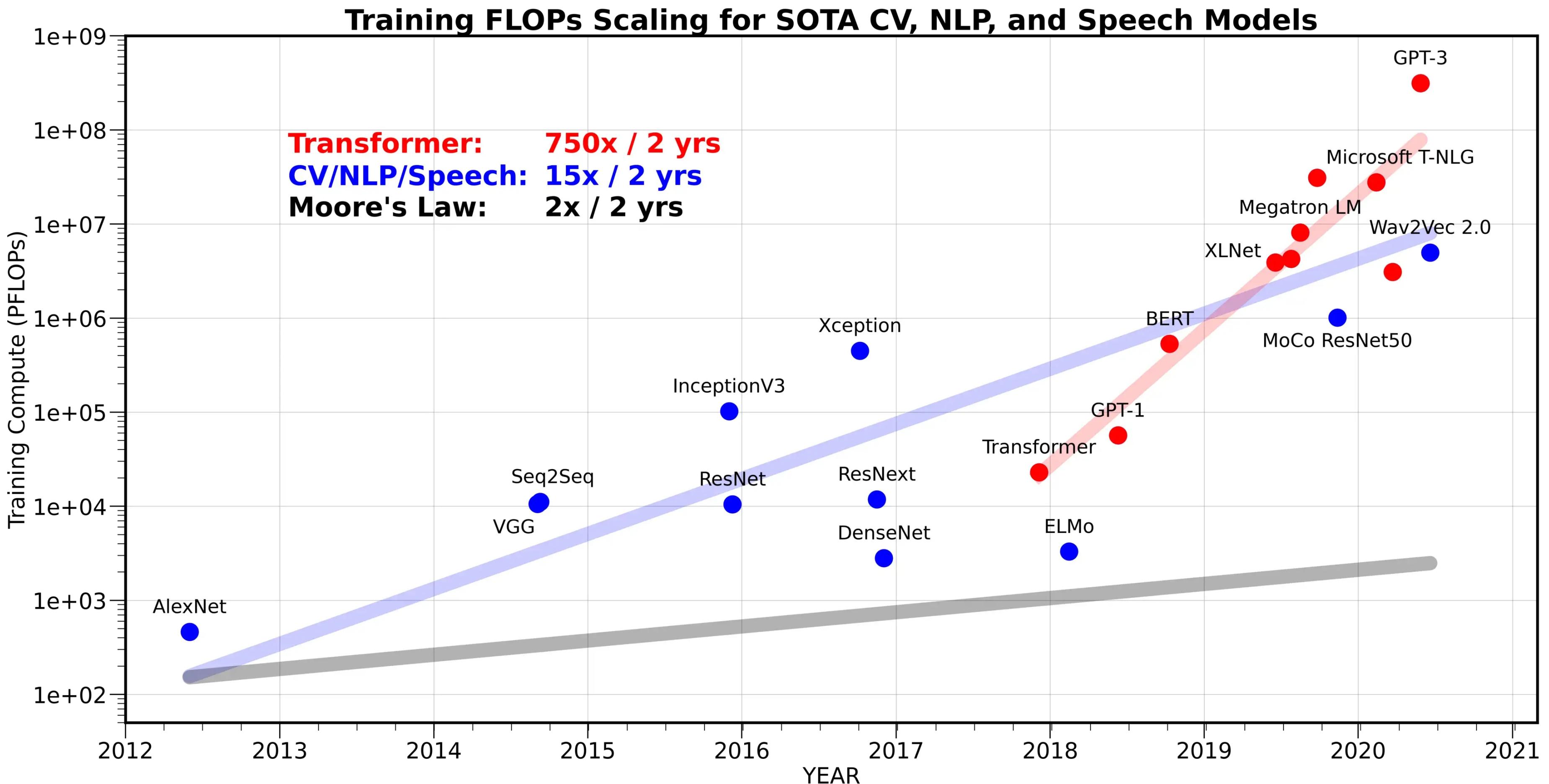
- **Scenario 1:** you use AI to sort CVs based on how well your previous employees performed.
  - If you only hired white middle-aged men in the past, the AI will discard all the others. (Amazon)
- **Scenario 2:** You train your speech recognition system on male American voices.
  - You will not recognize female voices or foreign accents well (everybody).
- **Scenario 3:** You create an AI chatbot on twitter, “Tay.ai”, learning from conversations with the twitter crowd.
  - The chatbot became in hours a horrible sexist, racist, homophobic monster (Microsoft).
- AI bias is currently taken very seriously by the major players. LLMs require expensive data cleaning by humans.

<https://www.fastcompany.com/40536485/now-is-the-time-to-act-to-stop-bias-in-ai>

<https://www.weforum.org/agenda/2019/01/to-eliminate-human-bias-from-ai-we-need-to-rethink-our-approach/>

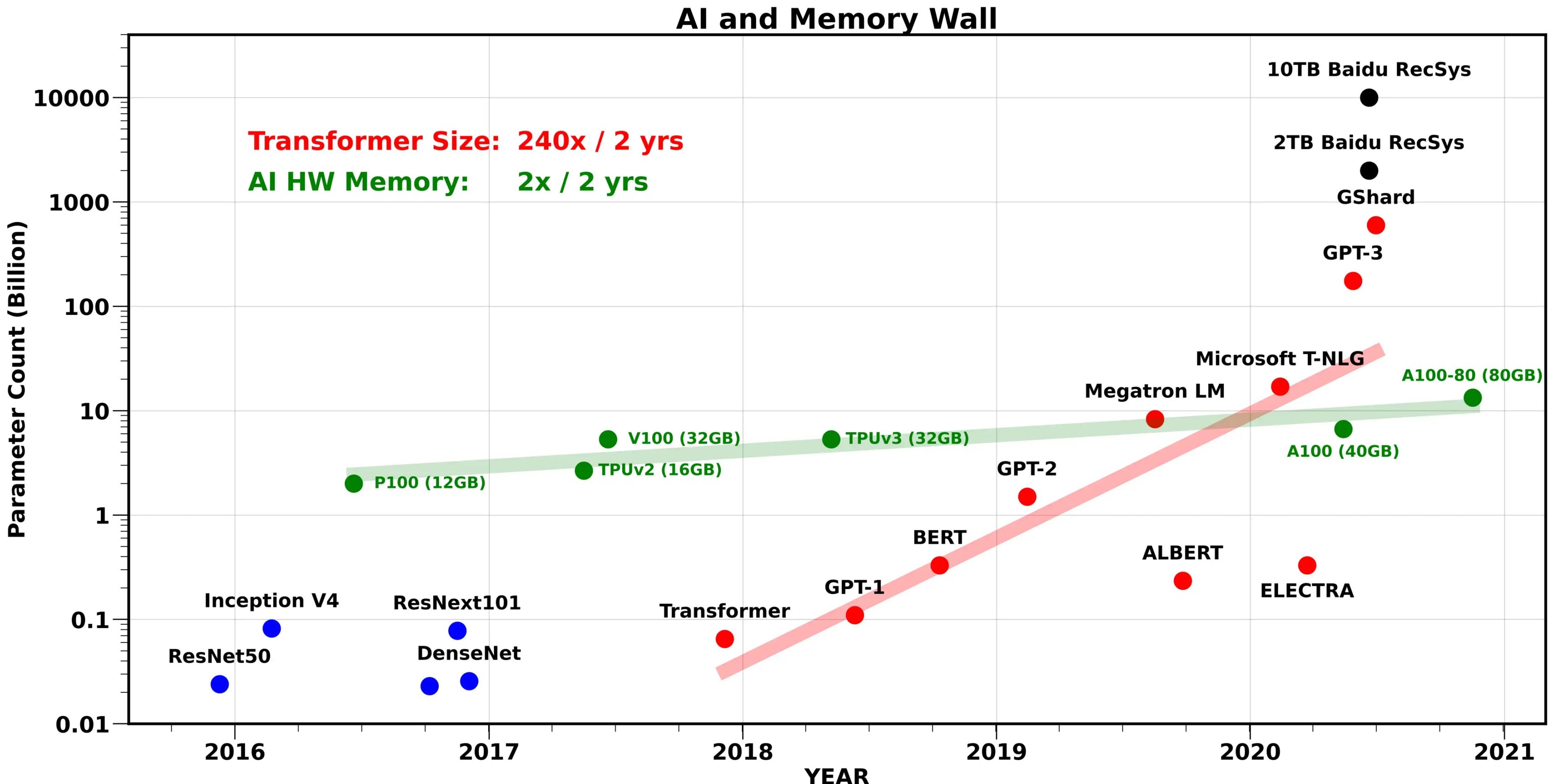
## **2 - Computational power and energy**

# Computational power and energy



Source : <https://medium.com/riselab/ai-and-memory-wall-2cb4265cb0b8>

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# Computational power and energy



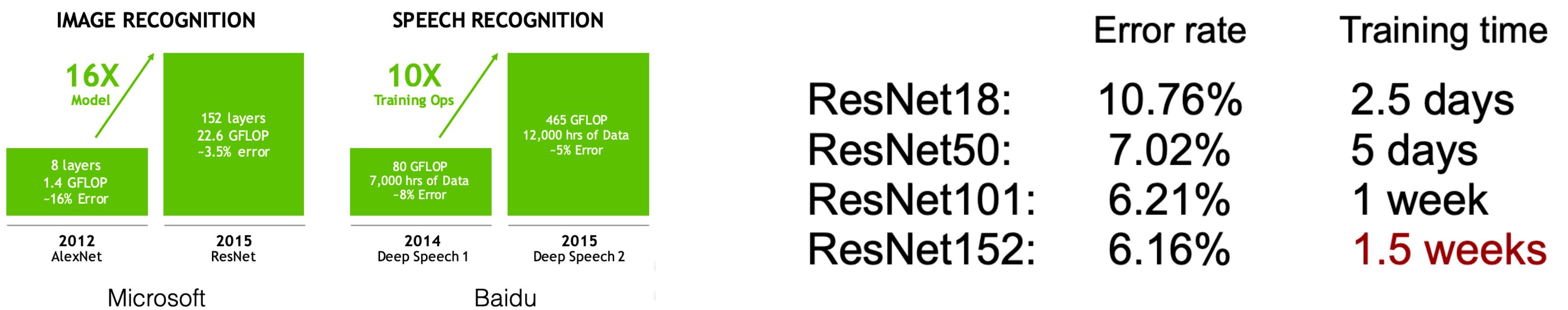
<b>AlphaGO</b> 1202 CPUs, 176 GPUs, 100+ Scientists.	<b>Lee Se-dol</b> 1 Human Brain, 1 Coffee.
------------------------------------------------------------	--------------------------------------------------

Source: <https://www.ceva-dsp.com/ourblog/artificial-intelligence-leaps-forward-mastering-the-ancient-game-of-go/>

- AlphaGo consumes 1 MW. The world total electricity production is around 25 TW (25 million AlphaGos).
- The human brain needs 20 W.

# Computational power and energy

- The computational power needed by deep networks increases exponentially: more layers, more parameters, more data, more everything.
- Training modern deep networks is now out of reach of most universities / companies.
- GPT-3 (OpenAI) was trained on 500B words (Wikipedia, Common Crawl) and has 175B parameters. Training it on a single V100 would take 355 years and cost 4.6 M\$ in the cloud.

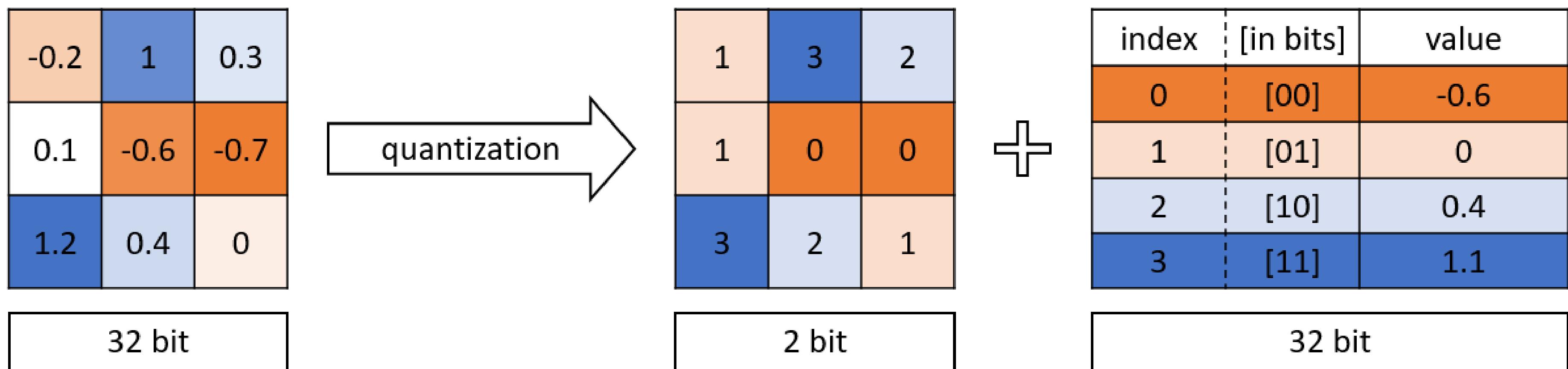


Source: <https://lambdalabs.com/blog/demystifying-gpt-3/>

- **Inference times** (making a prediction after training) become prohibitive: it is hard to use deep networks on low-budget hardware such as smartphones or embedded hardware (FPGA, DSP), computations must be deported to the cloud.
- Can't we make the networks smaller after training?

# Quantization

- NN require single or double-precision floating numbers (32 or 64 bits) to represent weights during learning, as small learning rates are used (e.g.  $10^{-5}$ ) to add very small quantities to them.
  - After learning, do we need such a high precision?  $2.378898437534897932 \approx 2.4$

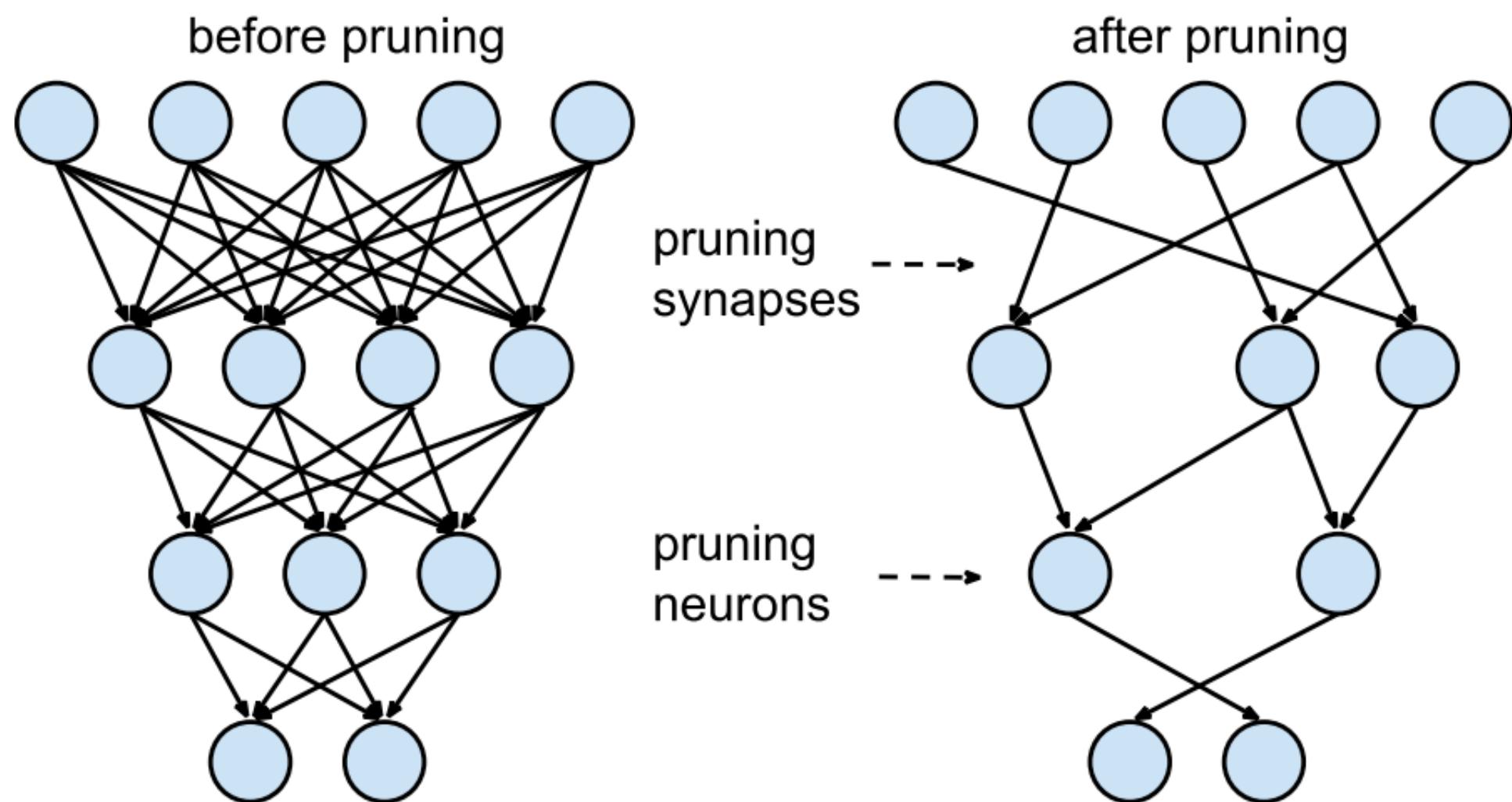


Source: <https://medium.com/@kaustavtamuly/compressing-and-accelerating-high-dimensional-neural-networks-6b501983c0c8>

- **Quantization** consists of transforming the weights into 8-bits integers or even 1 or 2 bits (binary networks) without losing (too much accuracy).
  - Frameworks such as Tensorflow Lite, TensorRT or PyTorch allow to automatically apply quantization on pretrained networks and embed, or even to use Quantization-aware training (QAT).
  - See <https://arxiv.org/pdf/2004.09602.pdf> for a review.

# Pruning

- Another technique to reduce inference times by making the networks smaller is **pruning**: removing weights, filters, neurons or even layers that are not necessary after learning.



- NN need a lot of weights/neurons to find the solution (training), but not obligatorily to implement it.
- Several metrics or techniques can be used to decide whether or not to keep parameters:
  - thresholds
  - redundancy
  - contribution to loss

Source: <https://towardsdatascience.com/pruning-deep-neural-network-56cae1ec5505>

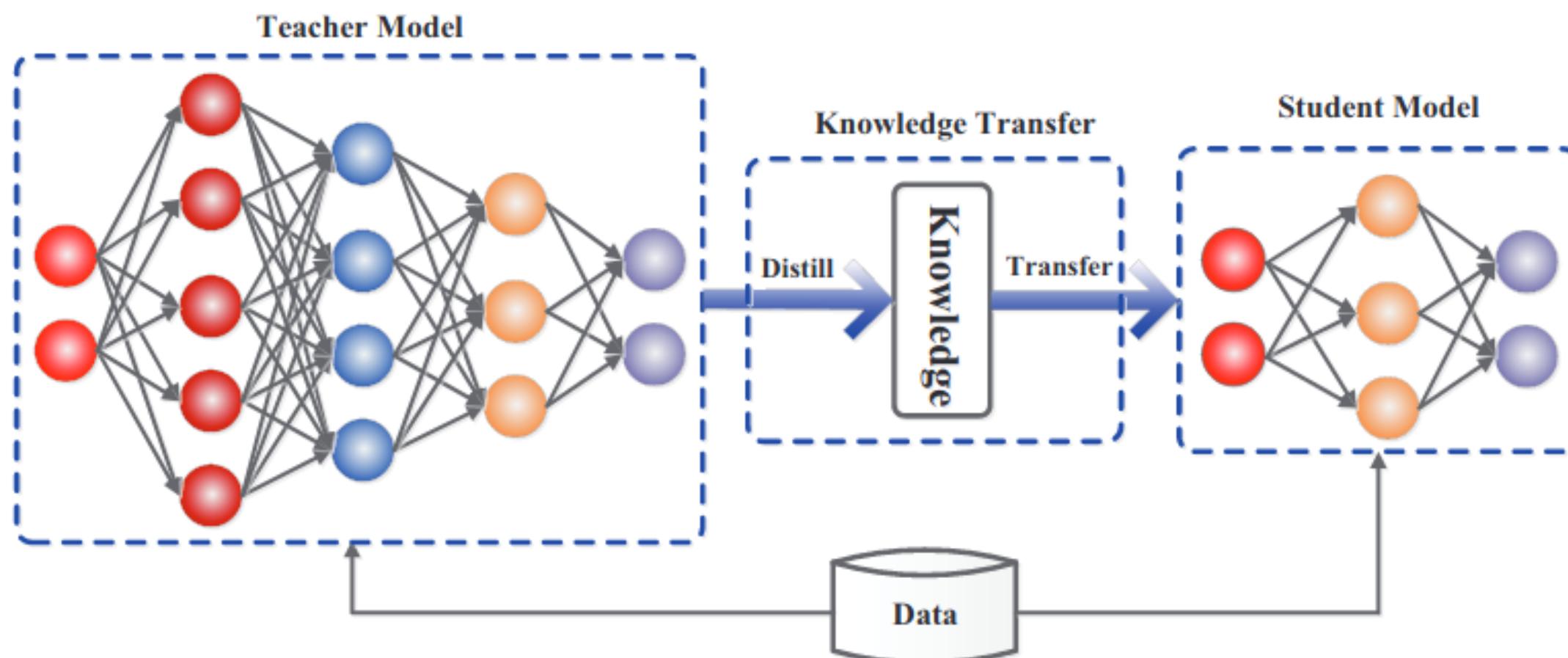
- Some methods iteratively re-train the network after pruning, leading to reductions up to 90%.
- See <https://link.springer.com/article/10.1007/s10462-020-09816-7> for a review.

# Model distillation

- The deep **teacher** learns to perform classification on the **hard** one-hot encoded labels.
- Its knowledge can be transferred (**distilled**) to a shallower network.
- The shallow **student** learns to perform **regression** on the logits  $\mathbf{z}$  of the softmax output of the teacher, which is easier and leads to the same accuracy!

$$y_j = P(\text{class} = j | \mathbf{x}) = \mathcal{S}(z_j) = \frac{\exp(z_j)}{\sum_k \exp(z_k)}$$

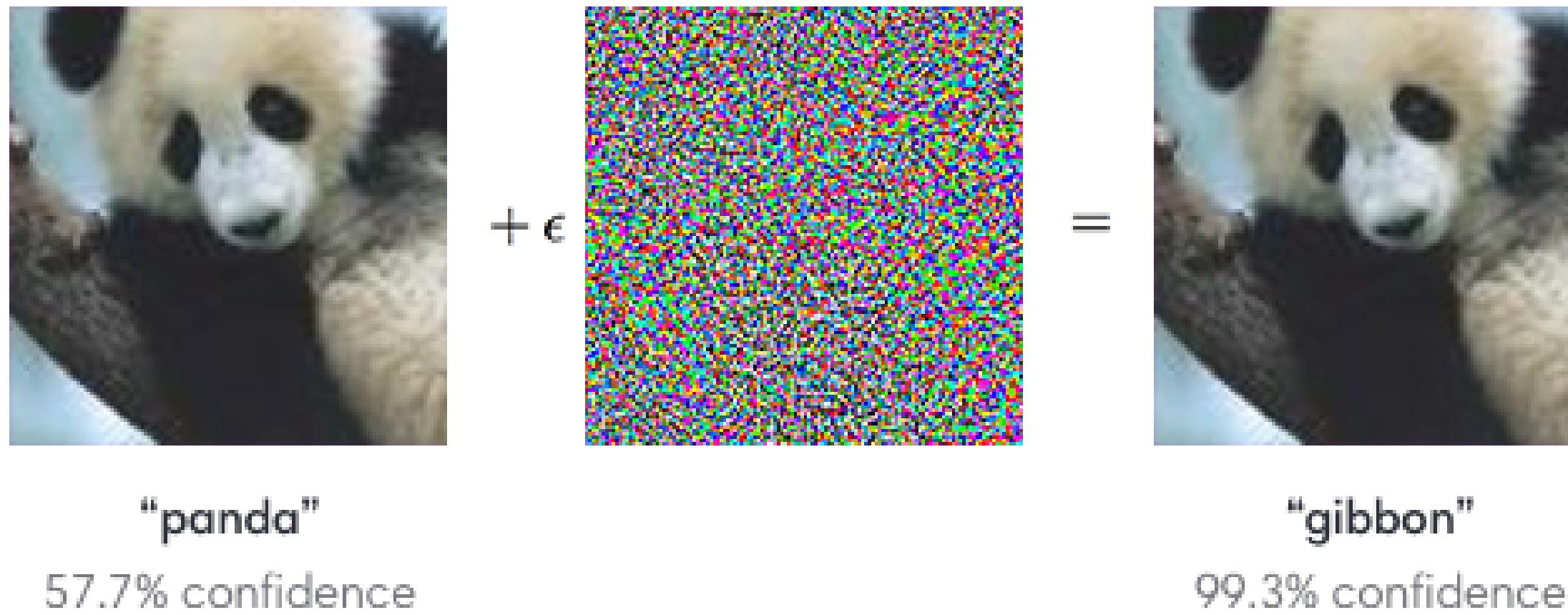
- Logits carry information about the similarity between classes: cats are closer to dogs than to cars.



Source: Gou J, Yu B, Maybank SJ, Tao D. 2020. Knowledge Distillation: A Survey. arXiv:200605525

## **3 - Trustworthiness**

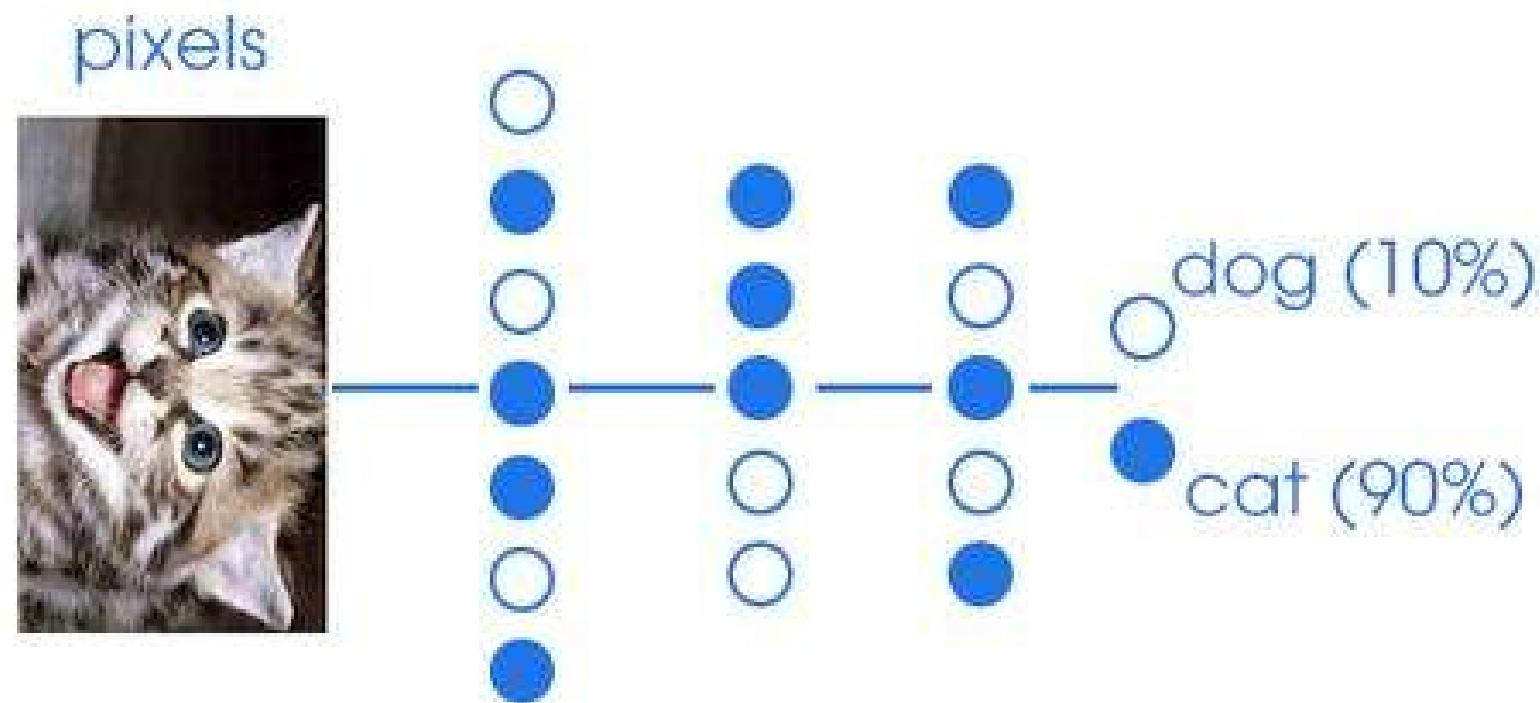
# Adversarial attacks



Source: <https://blog.openai.com/adversarial-example-research>

- One major problem of deep networks is that they are easy to fool.
- Instead of searching for the weights which produce the right output for a given image (training), you search for the image that produces a different output for a given set of trained weights (adversarial training).
- It turns out that a minimal change on the input image is enough to completely change the output of a trained network.
- Using neural networks everywhere (self-driving cars, biometric recognition) poses serious security issues which are unsolved as of now.
- Many different attacks and defenses are currently investigated <https://arxiv.org/pdf/1712.07107.pdf>.

# Adversarial attacks



- Let's suppose we have a network trained to recognize cats from dogs using the loss function  $\mathcal{L}(\theta)$ .
- As an attacker, you want to find a cat-like image  $\mathbf{x}'$  that makes the network answer **dog**.
- You define an adversarial loss making the network want to answer **dog** for a cat image:

$$\mathcal{L}_{\text{adversarial}}(\mathbf{x}) = \mathbb{E}_{\mathbf{x} \in \text{cat}} \|\mathbf{dog} - \mathbf{y}(\mathbf{x})\|^2$$

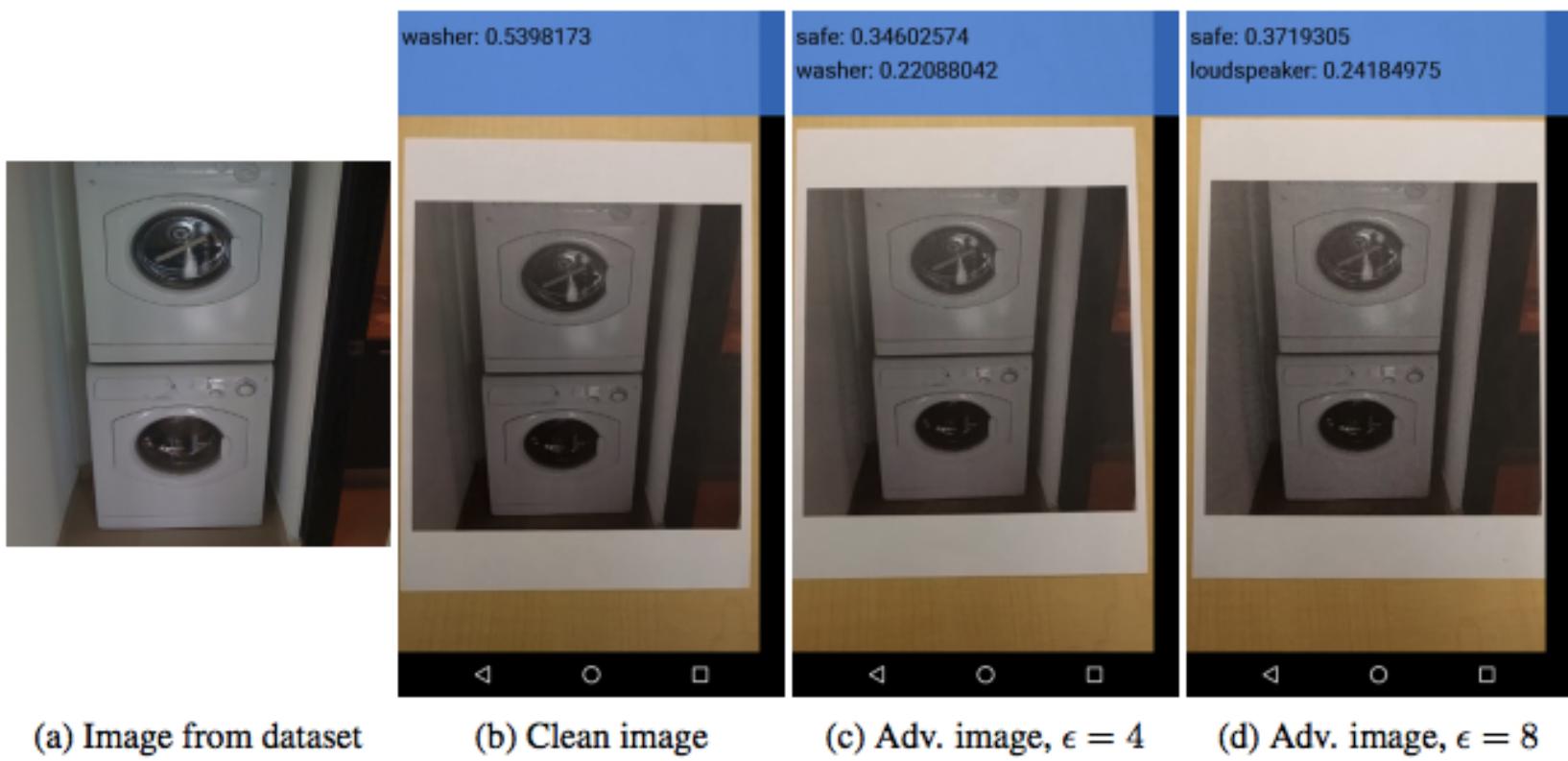
- Starting from a cat image  $\mathbf{x}$ , you can apply gradient descent **on the image space** to minimize the adversarial loss:

$$\Delta \mathbf{x} = -\eta \frac{\partial \mathcal{L}_{\text{adversarial}}(\mathbf{x})}{\partial \mathbf{x}}$$

- One should add a constraint on  $\Delta \mathbf{x}$  to keep it small (Lagrange optimization).
- You only need access to the output  $\mathbf{y}$  to attack the network, not its weights (**blackbox attack**)

# Adversarial attacks

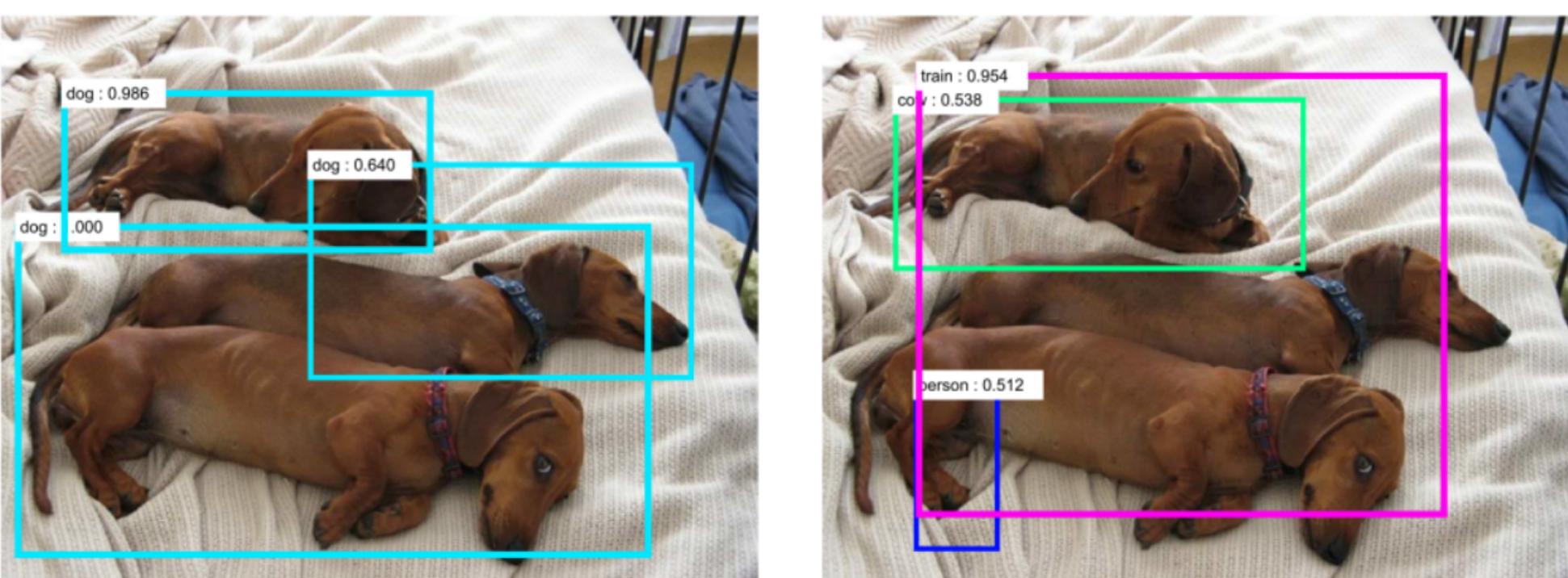
- Adversarial attacks work even when printed on paper.



- They also work in real life: a couple of stickers are enough to have this stop sign recognized as a speed limit sign by an autonomous car...



- Object detection:

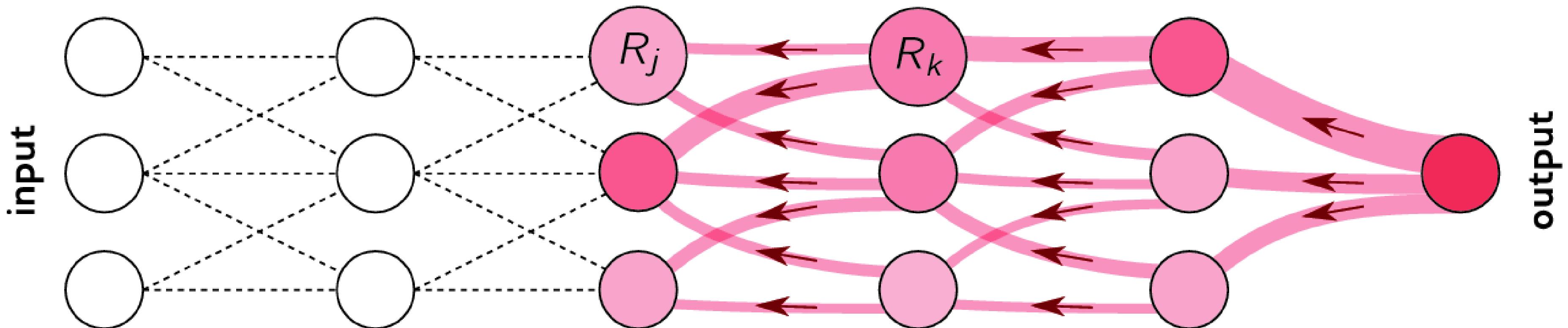


- Face identification is a major issue:



# Explainable / interpretable AI

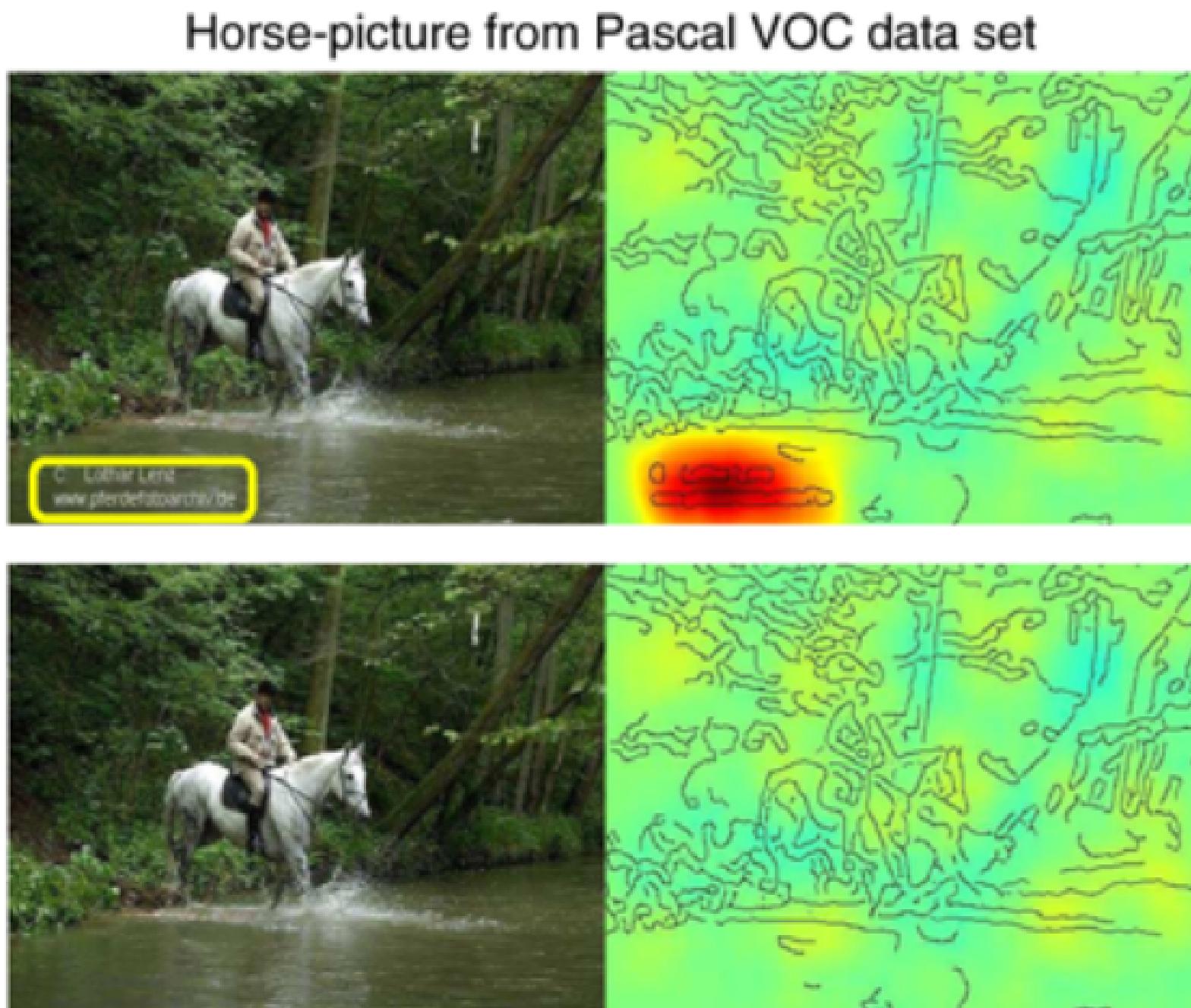
- Research on **interpretability** (XAI, explainable AI) may allow to better understand and trust how deep networks take decisions.
- Neural networks are **black box models**: they are able to learn many things, but one does not know how.
  - Can we really trust their decisions? Safety-critical applications.



- **Layer-wise relevance propagation** allows to visualize which part of the input is most responsible for the prediction.
- It is a form of backpropagation, but from the prediction  $\mathbf{y}$  to the input  $\mathbf{x}$ , instead of from the loss function  $\mathcal{L}(\theta)$  to the parameters  $\theta$ .
- See <http://www.heatmapping.org/> for explanations and code.

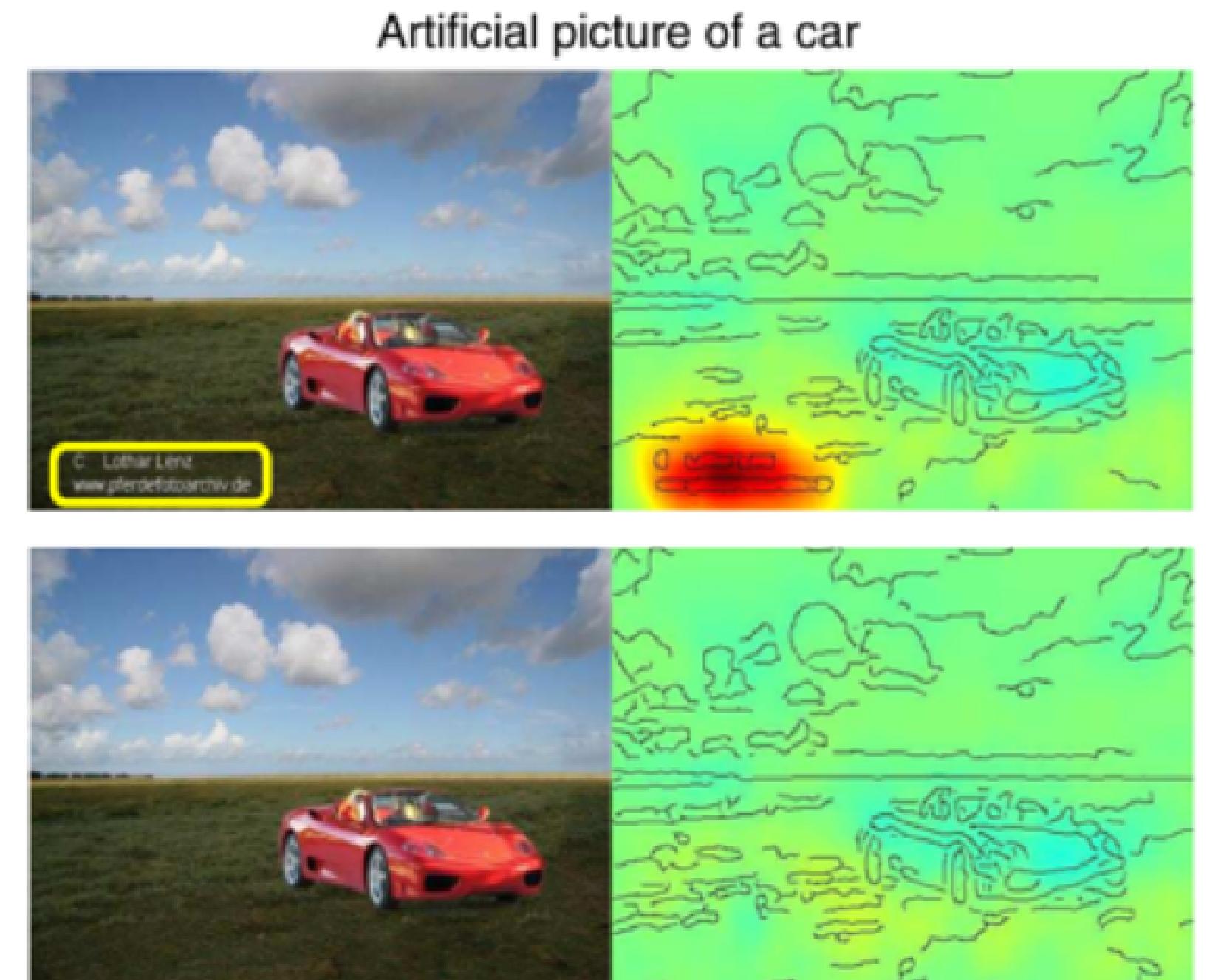
# Explainable / interpretable AI

- The results are sometimes surprising.
- Horse images in Pascal VOC all have a tag in the bottom left. The CNN has learned to detect that tag, not the horse...



Source tag present  
↓

Classified as horse



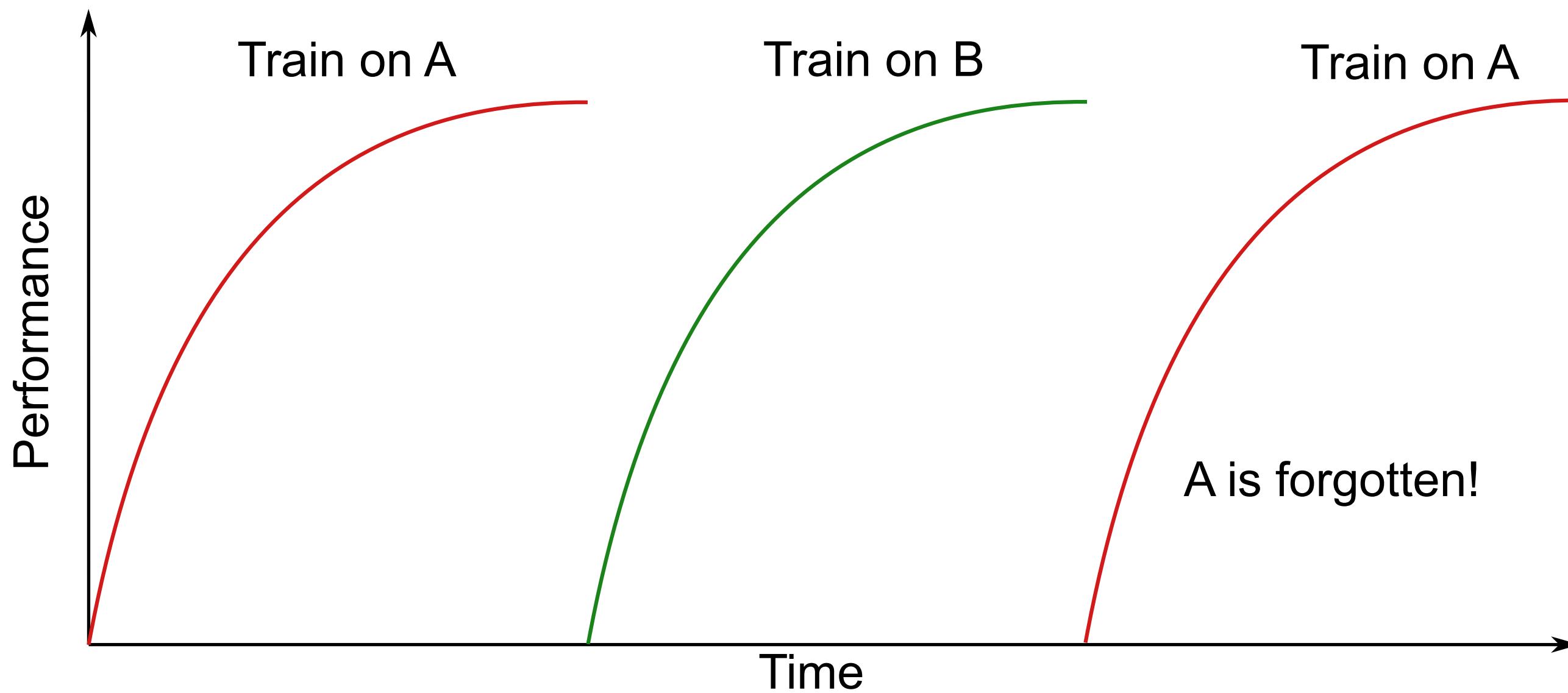
No source tag present  
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Not classified as horse

## **4 - Continuous learning**

# Catastrophic forgetting

- Neural networks are prone to **catastrophic forgetting**: if you learn A then B, you forget A.

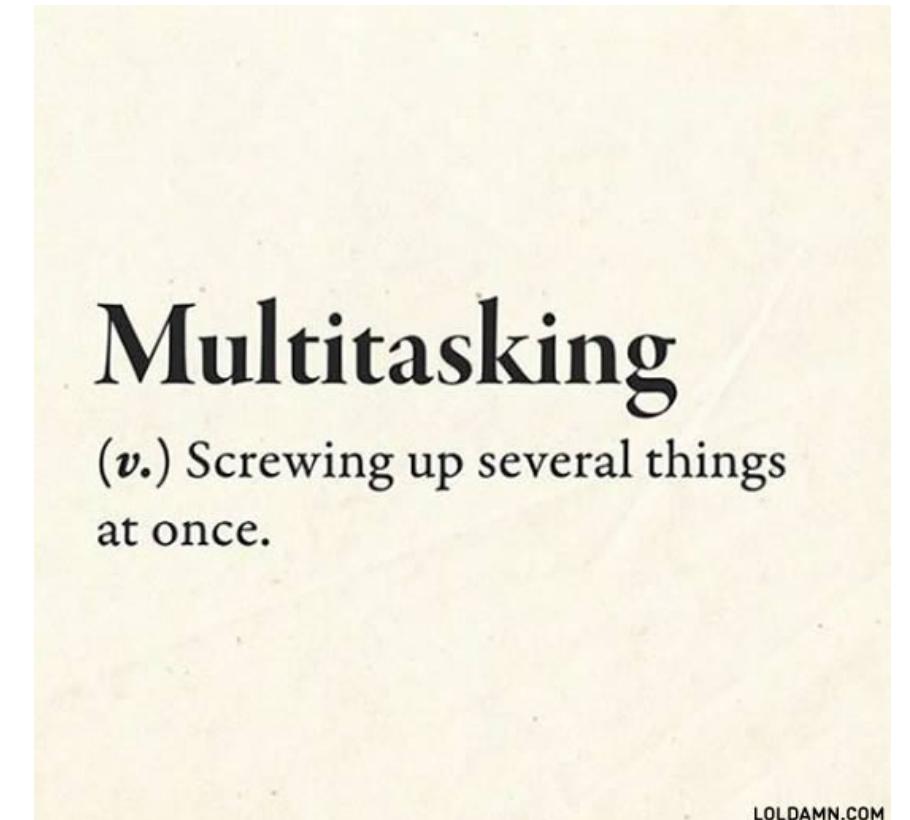


- The only solution is to mix A and B during training (**stochastic** gradient descent).
- **Online learning** or **lifelong learning** is very difficult: you can't adapt a NN once it has learned.
- Currently a hot topic of research, but not working yet.

# One task at a time

- The fact that computers can be better than humans on single tasks should not be worrying:
  - The program written by Jim Slagle for his PhD thesis with Marvin Minsky was already better than MIT students at calculus in **1961**.
- Deep networks are still highly specialized, they do either:
  - Computer Vision
  - Speech processing
  - Natural Language Processing
  - Motor Control

but rarely two at the same time.



- Some may be able to play different games at the same time (DQN, AlphaZero) but it stays in the same domain.
- The ability to perform different tasks at the same time is a criteria for **general intelligence**.
- But see Gato (Reed et al. 2022, arXiv:2205.06175) and MIA (Abramson et al. 2022, arXiv.2112.03763) from Deepmind.

## **5 - Human-like intelligence**

# Overattribution



Source: <https://www.technologyreview.com/s/609048/the-seven-deadly-sins-of-ai-predictions/>

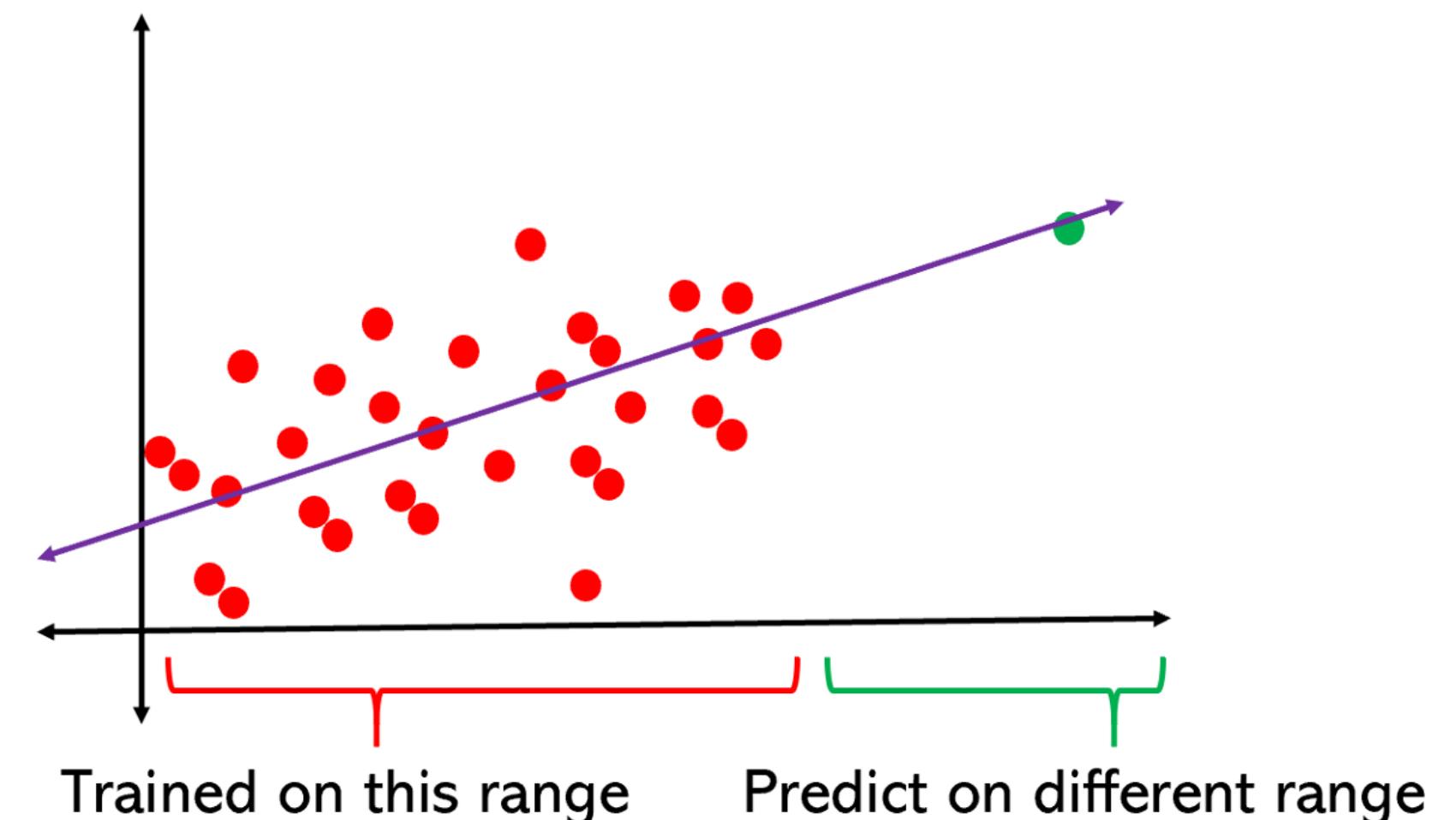
- Deep networks do not learn concepts such as cats, dogs, paddles or walls: they merely learn correlations between images and labels.
- Comparative (animal) psychology sometimes call this phenomenon **overattribution**.
- We want AI to be intelligent, so we attribute it intelligent features.
- The only way to verify this is to have deep networks **verbalize** their decisions (not there yet).

# No real generalization

- Deep networks can be forced to interpolate with **enough data** (generalization), but cannot **extrapolate**.
- For example, CNNs do not generalize to different viewpoints, unless you add them to the training data:



Source: <http://imatge-upc.github.io/telecombcn-2016-dlcv>



Source: <https://towardsdatascience.com/real-artificial-intelligence-understanding-extrapolation-vs-generalization-b8e8dcf5fd4b>

A **schmister** is a sister over the age of 10 but under the age of 21.

Do you have a schmister?

## Lack of abstraction

- Deep learning currently lacks a mechanism for learning abstractions through explicit, verbal definition.
- They would need to experience thousands of sentences with schmister before they can use it.

“Indeed even 7-month old infants can do so, acquiring learned abstract language-like rules from a small number of unlabeled examples, in just two minutes (Marcus, Vijayan, Bandi Rao, & Vishton, 1999).”

Marcus, G. (2018). Deep Learning: A Critical Appraisal. arXiv:1801.00631.

I stuck a pin in a carrot; when I pulled the pin out, it had a hole.

What has a hole, the carrot or the pin?

# Lack of common sense

- DL models do not have a **model of physics**: if the task (and the data) do not contain physics, it won't learn it.
- DL finds **correlations** between the inputs and the outputs, but not the **causation**.
- Using gigantic datasets as in GPT-3 might give the illusion of reasoning, but it sometimes fails on surprisingly simple tasks /reasoning.
- DL has no **theory of mind**: when playing against humans (Go), it does not bother inferring the opponent's mental state, it just plays its own game.

DE There's a strong correlation between sunglass sales and ice cream sales. During hot, sunny days, both sell much more; and on colder, cloudier days, both sell much less. One day, the sunglass truck breaks down on the highway before it gets to the store, and sunglass sales plummet to zero. What happens to ice cream sales?

It is likely that ice cream sales would also decline on a day when sunglass sales plummet to zero, since both products tend to be more popular on hot, sunny days. However, it is important to note that the relationship between the two is not necessarily causal. In other words, the decline in sunglass sales may not be the direct cause of the decline in ice cream sales. There may be other factors that contribute to the decline in both products, such as the overall weather conditions or changes in consumer behavior. Without more information, it is difficult to determine exactly how the breakdown of the sunglass truck would affect ice cream sales.

- Source: <https://github.com/giuvan95/chatgpt-failures>
- No DL model to date has been able to show **causal reasoning** (or at least in a generic way).
  - Other AI approaches are better at causal reasoning (hierarchical Bayesian computing, probabilistic graphical models), but they do not mix well with deep learning yet.

## Game fallacy

- Deep learning has only been successful on relatively “easy” tasks until now.
- Games like Chess or Go are easy for AI, as the rules are simple, fixed and deterministic.
- Things get much more complicated when you go in the real-world: think of where the Robocup is.
- Moravec’s paradox:

it is comparatively easy to make computers exhibit adult level performance on intelligence tests or playing checkers, and difficult or impossible to give them the skills of a one-year-old when it comes to perception and mobility.

<https://blog.piekiewski.info/2016/11/15/ai-and-the-ludic-fallacy/>



Funniest dives of RoboCup 2016

# FUNNIEST DIVES of ROBOCUP 2016



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Moravec's paradox ad2016



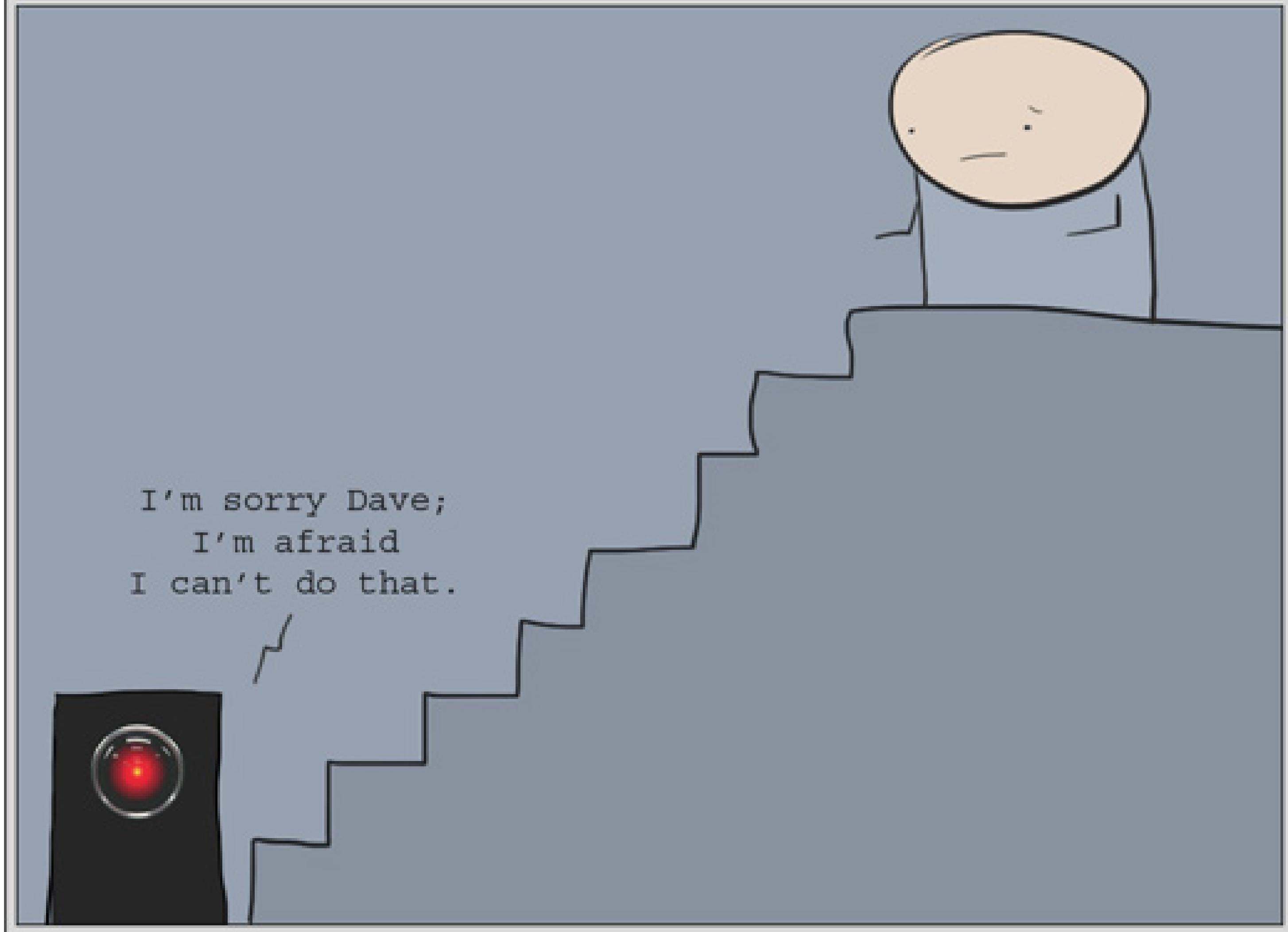
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## 2015 DARPA Robotics Challenge



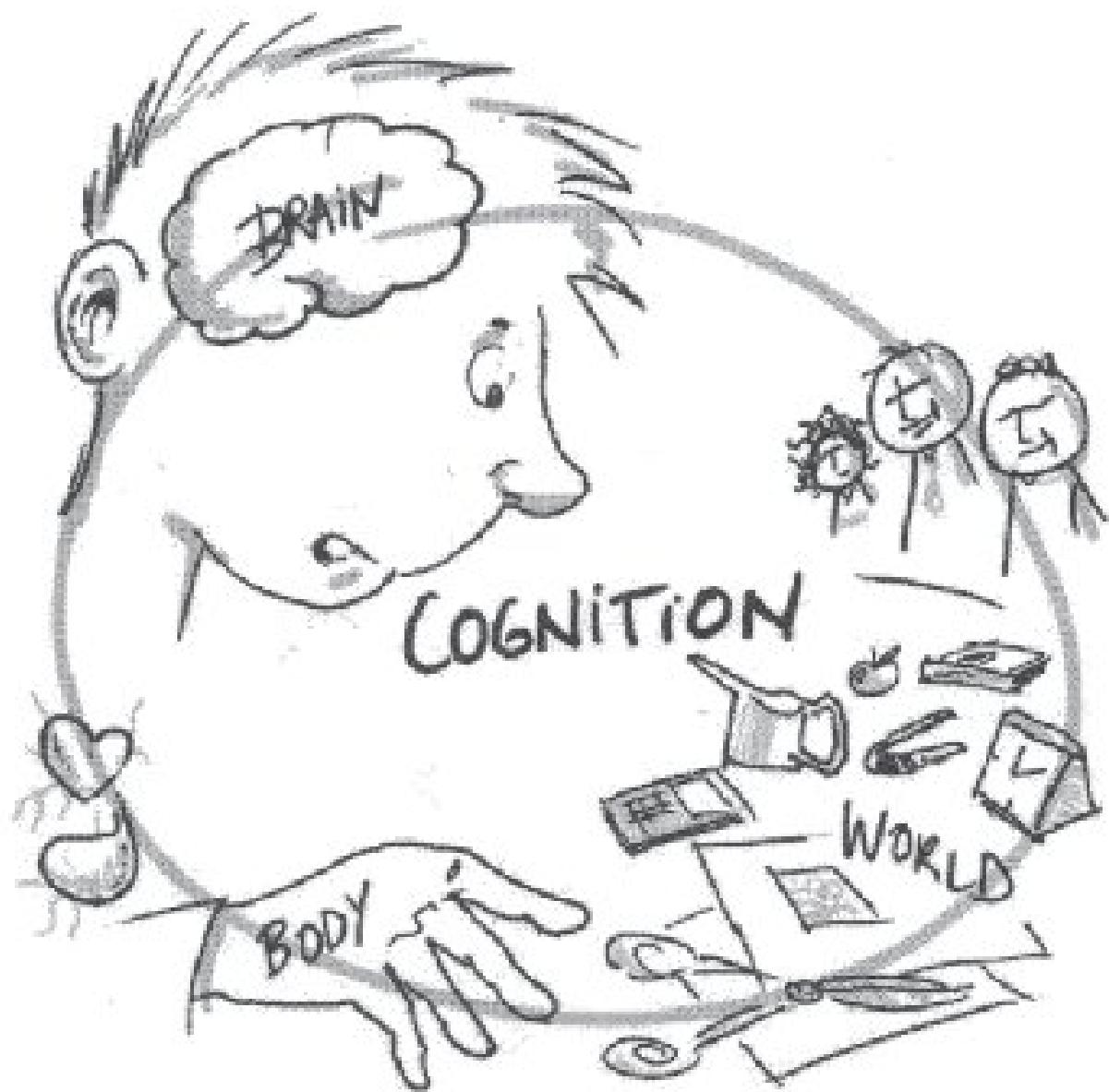
## Various recent AI achievements





Source <http://blogs.discovermagazine.com/scienconfiction/2011/06/25/towards-a-new-vision-of-the-singularity/>

# Embodiment



- Intelligence and cognition require a **body** to interact with the world.
- The brain is not an isolated number cruncher.
- The body **valuates** the world: it provides needs, goals, emotions.
- It can even be a co-processor of the brain: gut feelings.
- Emotions are totally absent from the current AI approach.
- Goals are set externally: so-called AIs do not form their own goals (desirable?).
- Deep Reinforcement Learning is a first small step in that direction.

Source:

[https://www.researchgate.net/publication/295399680\\_Impact\\_of\\_Perception\\_Theories\\_on\\_Kansei\\_Design](https://www.researchgate.net/publication/295399680_Impact_of_Perception_Theories_on_Kansei_Design)

## Conclusion on the limits of deep learning

- Deep learning methods are very powerful and have not reached yet their full potential for technological applications.
- However, there are fundamental reasons why deep learning methods may not reach **general intelligence**.
- End-to-end learning with **backpropagation** works very well, but what if it was the problem?

My view is throw it all away and start again.

*Geoffrey Hinton on backpropagation.*

- The only intelligent system we know is the **brain**.
- By taking inspiration from how the brain works, instead of stupidly minimizing loss functions, we **may** be able to reach human intelligence.

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