

Appendix A: The CFTC's Robust Enforcement Program in the Crypto Space

Fiscal Year	Total Number of Digital Asset Cases	Number of Digital Asset Cases Alleging Fraud or Manipulation
2023	7	7
2022	19	9
2021	23	8
2020	10	8
2019	4	3
2018	6	5
2017	1	1
2016	1	0
2015	2	0
Total	73	41

FY2023 Digital Asset Cases

1. **CFTC v. Jeremy Rounsville**, CFTC Docket No. 23-02 (Nov. 3, 2022)
2. **CFTC v. Samuel Bankman-Fried, FTX Trading Ltd. d/b/a FTX.com (FTX), and Alameda Research LLC (Alameda), Caroline Ellison, and Gary Wang**, No. 22-cv-10503 (S.D.N.Y. Dec. 13, 2022)
3. **CFTC v. Avraham Eisenberg**, No. 23-cv-173 (S.D.N.Y. Jan. 9, 2023)
4. **CFTC v. Vista Network Technologies and Armen Temurian**, No. 23-cv-01235 (E.D.N.Y. Feb. 15, 2023)
5. **CFTC v. Nishad Singh**, No. 23-cv-01684 (S.D.N.Y. Feb. 28, 2023)
6. **CFTC v. Changpeng Zhao, Binance Holdings Limited, Binance Holdings (IE) Limited, and Binance (Services) Holdings Limited**, No. 23-cv-01887 (N.D. Ill. Feb. 27, 2023)
7. **CFTC v. Rashawn Russel**, No. 23-cv-02691 (E.D.N.Y. April 11, 2023)

Y2022 Digital Asset Cases

1. **CFTC v. Tether Holdings Limited, et al.**, CFTC Docket No. 22-04 (Oct. 15, 2021)
2. **CFTC v. iFinex Inc., BFXNA Inc., and BFXWW**, CFTC Docket No. 22-05 (Oct. 15, 2021)
3. **CFTC v. Blockratize, Inc. d/b/a Polymarket.com**, CFTC Docket No. 22-09 (Jan. 03, 2022)
4. **CFTC v. Dwayne Golden, et. al.**, No. 22-cv-1252 (E.D.N.Y. filed March 8, 2022)
5. **CFTC v. James Ward**, CFTC Docket No. 22-12 (Mar. 8, 2022)
6. **CFTC v. Eddy Alexandre and Eminifx, Inc.**, No. 22-cv-03822 (S.D.N.Y. filed May 5, 2022)
7. **CFTC v. Sam Ikkurty a/k/a Sreenivas I Rao, Ravishankar Avadhanam, and Jafia LLC**, No. 22-cv-2465 (N.D. Ill. Filed May 10, 2022)
8. **CFTC v. Gemini Trust Co., LLC**, No. 22-cv-04563 (S.D.N.Y. filed June 2, 2022)
9. **CFTC v. Mirror Trading International Proprietary Limited and Cornelius Johannes Steynberg**, No. 22-cv-00635 (W.D. Tex. filed June 30, 2022)
10. **CFTC v. Emerson Pires, Flavio Goncalves, Joshua Nicholas and Empires Consulting Corp.**, No. 22-cv-21997 (S.D. Fla. filed June 30, 2022)

11. CFTC v. Rathnakishore Giri, NBD Eidetic Capital, LLC, and SR Private Equity, LLC, No. 22-cv-3091 (D. Ohio Aug. 12, 2022)
12. CFTC v. bZeroX, Tom Bean, and Kyle Kistner, CFTC Docket No. 22-31 (Sept. 22, 2022)
13. CFTC v. Ooki DAO, No. 22-cv-5416 (N.D. Cal. Sept. 22, 2022)
14. CFTC v. Cryptostockoptionstrade Ltd., CFTC Docket No. 22-26 (Sept. 22, 2022)
15. CFTC v. Global Smart Option Broker Ltd., CFTC Docket No. 22-27 (Sept. 22, 2022)
16. CFTC v. Hypertradingoption Ltd., CFTC Docket No. 22-28 (Sept. 22, 2022)
17. CFTC v. Stockbrokertechiniques Ltd., CFTC Docket No. 22-29 (Sept. 22, 2022)
18. CFTC v. SprintTrade, CFTC Docket No. 22-26 (Sept. 25, 2022)
19. CFTC v. Adam Todd, Digitex LLC, Digitex Limited, Digitex Software Limited, and Blockster Holdings Limited Corporation, No. 22-cv-23174 (S.D. Fla. Sept. 30, 2022)

FY 2021 Digital Asset Cases

1. CFTC v. HDR Global Trading Limited, 100x Holdings Limited, ABS Global Trading Limited, Shine Effort Inc Limited, HDR Global Services(Bermuda) Limited, Arthur Hayes, Benjamin Delo, and Samuel Reed, No. 1:20-cv-08132 (S.D.N.Y. filed Oct. 1, 2020)
2. CFTC v. Jeremy Spence, No. 1:21-cv-00699 (S.D.N.Y. filed Jan. 26, 2021)
3. CFTC v. John David McAfee; Jimmy Gale Watson, No. 1:21-cv-01919 (S.D.N.Y. filed Mar. 5, 2021)
4. CFTC v. Coinbase Inc., CFTC Docket No. 21-03 (Mar. 19, 2021)
5. CFTC v. Glenn Olson, CFTC Docket No. 21-05 (Apr. 6, 2021)
6. CFTC v. Josef Gherman and J Squared LLC, CFTC Docket No. 21-06 (Apr. 20, 2021)
7. CFTC v. Abner Alejandro Tinoco and Kikit & Mess Investments, No. 3:21-cv-00237-DCG (W.D. Tex. Sept. 28, 2021)
8. CFTC v. Payward Ventures, Inc. d/b/a Kraken, CFTC Docket No. 21-20 (Sept. 29, 2021)
9. CFTC v. Tradingforexpay, CFTC Docket No. 21-32 (Sept. 30, 2021)
10. CFTC v. Cryptofxtrader, CFTC Docket No. 21-23 (Sept. 30, 2021)
11. CFTC v. Bitfxprofit, CFTC Docket No. 21-22 (Sept. 30, 2021)
12. CFTC v. Globalnationfx, CFTC Docket No. 21-25 (Sept. 30, 2021)
13. CFTC v. BinanceFxTrade, CFTC Docket No. 21-21 (Sept. 30, 2021)
14. CFTC v. MaxForexOption, CFTC Docket No. 21-26 (Sept. 30, 2021)
15. CFTC v. ProCryptoMinners, CFTC Docket No. 21-28 (Sept. 30, 2021)
16. CFTC v. ProFX-Capitals, CFTC Docket No. 21-29 (Sept. 30, 2021)
17. CFTC v. Smarter Signals, CFTC Docket No. 21-30 (Sept. 30, 2021)
18. CFTC v. Prime Expert Trade, CFTC Docket No. 21-27 (Sept. 30, 2021)
19. CFTC v. Star Fx Pro, CFTC Docket No. 21-31 (Sept. 30, 2021)
20. CFTC v. Excotradeoptions, CFTC Docket No. 21-24 (Sept. 30, 2021)
21. CFTC v. Climax Capital FX, CFTC Docket No. 21-33 (Sept. 30, 2021)
22. CFTC v. Digitalexchange24.com, CFTC Docket No. 21-34 (Sept. 30, 2021)

23. **CFTC v. Uduakobong Udo Inyangudo**, No. 1:21-cv-11615 (Sept. 30, 2021)

FY 2020 Digital Asset Cases (*exclusive of administrative matters*)

1. **CFTC v. XBT Corp. SARL d/b/a First Global Credit**, CFTC Docket No. 20-04 (Oct. 31, 2019)
2. **CFTC v. Q3 Holdings, LLC, Q3 I, LP, and Ackerman**, No. 1:20-CV-01183 (S.D.N.Y. Feb. 11, 2020)
3. **CFTC v. Joshua Christian McDonald and Perfection PR Firm LLC**, No. 20-cv-00261 (E.D. Mo. filed February 14, 2020)
4. **CFTC v. Clark, and Venture Capital Investments Ltd.**, No. 1:20-cv-00382 (D. Colo. Feb. 14, 2020)
5. **CFTC v. Alan Friedland, Fintech Investment Group, Inc. and Compcoin LLC**, No. 6:20-cv-00652 (M.D. Fla. filed Apr. 16, 2020)
6. **CFTC v. Daniel Fingerhut, Digital Platinum, Inc., Digital Platinum, Ltd., Huf Mediya Ltd., Tal Valariola and Itay Barak**, No. 1:20-cv-21887-DPG (S.D. Fla. filed May 5, 2020)
7. **In re Plutus Financial, Inc. d/b/a Abra, and Plutus Technologies Philippines Corp. d/b/a Abra International**, CFTC Docket No. 20-23 (Jul. 13, 2020)
8. **CFTC v. Dennis Jali, Arley Ray Johnson, and John Frimpong, 1st Million LLC, Smart Partners LLC, and Access to Assets LLC**, No. 8:20-cv-02492-GJH (D. Md. filed Aug. 28, 2020)
9. **CFTC v. Mayco Alexis Maldonado Garcia, Cesar Castaneda, Joel Castaneda Garcia, and Rodrigo Jose Castro Molina, jointly d/b/a Global Trading Club**, No. 4:20-cv-03185 (S.D. Tex. filed Sep. 11, 2020)
10. **CFTC v. Laino Group Limited d/b/a PaxForex**, No. 20-cv-03317 (S.D. Tex. Filed Sept. 28, 2020)

FY 2019 Digital Asset Cases

1. **In re Joseph Kim**, CFTC Docket No. 19-02 (CFTC filed October 29, 2018)
2. **CFTC v. Control-Finance Limited**, and Reynolds, No. 1:19-cv-05631 (S.D.N.Y. filed Jun. 17, 2019)
3. **CFTC v. Jon Barry Thompson**, No. 1:19-cv-09052 (S.D.N.Y. filed Sep. 30, 2019)
4. **CFTC v. Circle Society Corp. and David Saffron**, No. 19-cv-01697 (D. Nev. Filed Sept. 30, 2019)

FY 2018 Digital Assets Cases

1. **CFTC v. Patrick K. McDonnell and CabbageTech, Corp. d/b/a Coin Drop Markets**, No. 1:18-cv-00361 (E.D.N.Y. filed Jan. 18, 2018)
2. **CFTC v. Dillon Michael Dean and The Entrepreneurs Headquarters Limited**, No. 18-cv-345 (E.D.N.Y. filed Jan. 18, 2018)
3. **CFTC v. Blake Harrison Kantor, Nathan Mullins, Blue Bit Banc, Blue Bit Analytics** (E.D.N.Y. filed April 16, 2018)
4. **CFTC v. Randall Crater, Mark Gillespie and My Big Coin Pay, Inc.**, No. 1:18-cv-10077-RWZ (D. Ma. filed Jan. 16, 2018, amended Apr. 20, 2018)
5. **CFTC v. 1pool Ltd**, No. 18-cv-2243 (D.D.C. filed Sept. 27, 2018)

6. **CFTC v. Diamonds Trading Investment House and First Options Trading**, No. 18-cv-00807-O (N.D. Tex. Filed Sept. 28, 2018)

FY 2017 Digital Asset Cases

1. **CFTC vs. Gelfman Blueprint, Inc.**, et al., Case 1:17-cv-07181 (S.D.N.Y. filed Sept. 21, 2017)

FY 2016 Digital Asset Cases

1. **In re BFXNA Inc. d/b/a BITFINIX**, CFTC Docket No. 16-19 (Filed 6/2/2016)

FY 2015 Digital Asset Cases

1. **In re Coinflip, Inc. d/b/a Derivabit and Francisco Riordan**, CFTC Docket No. 15-29 (CFTC filed Sep. 17, 2015)

In re TeraExchange LLC, CFTC Docket No. 15-33 (CFTC filed Sep. 24, 2015)