

Scene Text Detection and Recognition: The Deep Learning Era

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Abstract—With the rise and development of deep learning, computer vision has been tremendously transformed and reshaped. As an important research area in computer vision, scene text detection and recognition has been inescapably influenced by this wave of revolution, consequentially entering the era of deep learning. In recent years, the community has witnessed substantial advancements in mindset, approach and performance. This survey is aimed at summarizing and analyzing the major changes and significant progresses of scene text detection and recognition in the deep learning era. Through this article, we devote to: (1) introduce new insights and ideas; (2) highlight recent techniques and benchmarks; (3) look ahead into future trends. Specifically, we will emphasize the dramatic differences brought by deep learning and the grand challenges still remained. We expect that this review paper would serve as a reference book for researchers in this field. Related resources are also collected and compiled in our Github repository: <https://github.com/Jyouhou/SceneTextPapers>.

Index Terms—Scene Text, Detection, Recognition, Deep Learning, Survey

1 INTRODUCTION

TEXT is one of the most brilliant creations of the humankind. It's the written form of human language, and bear cultural inheritance. On the one hand, extracting text from media such as documents and financial bills can save time and improve productivity in office and other application scenarios. On the other hand, text in image can provide extra information of the scene, and assist the understanding of it, which can be used in a wide range of applied Computer Vision (CV) tasks, such as *image-based search* [125], [144], e.g. for e-commerce and geolocation, *instant translation* [25], [111], *robots navigation* [23], [85], [86], [126], and *industrial automation* [18], [44], [52]. Therefore, scene text detection and recognition¹, as shown in Fig.1, have become a popular research topic.

Though we have seen rapid progresses as well as large-scale commercial deployment of this technology in the last several years, detecting and recognizing text from real world scene has been a non-trivial and challenging task ever since the machine learning era. Before deep learning rose to the main stream, researchers focused mainly on designing features by hand. This has been a brain-teasing task, as text are highly variant and complex in the following ways:

- **Complex Background** Scene text can appear in a variety of backgrounds, including but not limited to signs, walls, glasses and even hung in the air, which means that its background can be anything. Some backgrounds are noisy and disturbing in themselves, e.g. billboards that are glowing; glasses that you can see through; walls that have patterns or strips that look like text. Distinguishing text from its background is not a trivial task.

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1. In the industry, it has another more widely known name: Optical Character Recognition (OCR).

- **Varying Text** In contrast to document scanning, extracting text from natural scene is much more difficult as scene text are diverse. One characteristic is that, they have a diversity of shapes, colors, fonts, sizes, and orientations, while text in documents are usually clear and horizontally or vertically aligned, and have single color, size and font. In some conditions, the text are even decorated with varying patterns and LEDs.

- **Sensitivity and Interference** In general object detection, the shapes of the targets are unique to some extent. For example, it's unlikely that humans mistake a panda for an airplane. However, text have roughly the same shape, and only differ in details and minute patterns. Some characters share similar physical appearance. Environment noise can even obfuscate one character for another. Therefore, detection and recognition of text are sensitive to environment interference, e.g. lighting condition, blur, low resolution and partial occlusion.

- **Unique Characteristics of Text as a Special Object Type** Although the detection of text can be considered as a special case of object detection, it's distinguished by its unique complications. Text usually have varying aspect ratios, different orientations and even irregular shapes, i.e. curved text. Besides, since the recognition step depends on the quality of detected text region, the detection module is expected to extract text regions that are as tight and precise as possible. Varying aspect ratio is a challenge in itself. Tight prediction required by oriented and even curved text is also non-trivial.

These difficulties run through the years before deep learning showed its potential in CV as well as tasks in other fields. As deep learning came to prominence after AlexNet [72] won the ILSVRC2012 [124] contest, researchers can turn to deep learning models for automatic feature extraction and start with more in-depth researches. The community are now working on ever more challenging targets. The progresses made in recent years can be summarized as



Fig. 1: The concept of scene text detection and recognition. The image sample is from the Total-Text [17] dataset.

follows:

- **Incorporation of Deep Learning** Nearly all recent methods are built based on deep learning models. Most importantly, deep learning frees researchers from the exhausting work of designing and testing hand-crafted features, which gives rise to a blossom of works that push the envelope further. To be specific, the use of deep learning substantially simplifies the overall pipeline. Besides, these algorithms provide significant improvements over previous ones. Gradient-based training routines also give rise to end-to-end trainable methods, further simplifying the traditional detector-recognizer split.
- **Target-Oriented Algorithms and Datasets** Researchers are now turning to more specific aspects and targets. Grounded in difficulties in real-scenario, newly published datasets are collected with unique and representative characteristics. For example, there are datasets that feature long text, blurred text, and curved text respectively. Driven by these datasets, almost all algorithms published in recent years are designed to tackle specific challenges. For example, some are proposed to detect oriented text, while others aim at blurred and unfocused scene images. These particular ideas are also combined to make more general purpose methods.
- **Advances in Auxiliary Technologies** Apart from new datasets and new models devoted to the main task, auxiliary technologies that do not solve the task directly also find their places in this field, e.g. synthetic datasets, bootstrapping, and etc..

In this survey, we present an overview of the recent development in the field of text detection and recognition, with focus on the deep learning era. We look back on these methods from different perspectives, and list the up-to-date datasets. We also analyze the status quo and predict future research trends.

There have already been several well-written and informative review papers [146], [165], [171], [184]. However, these papers are published before deep learning came to prominence in this field. Therefore, they mainly focus on more traditional and feature-based methods. We refer readers to these paper as well for a more comprehensive view and knowledge of the history of this field.

The remaining parts of this paper would be arranged as follows. In Section 2, we would briefly review the methods before the deep learning era. In Section 3, we talk about the development of deep learning techniques and introduce algorithms that are closely related to text detection and recog-

nition. In Section 4, we list and summarize the algorithms based on deep learning in a hierarchical order. In Section 5, we take a look at the datasets and evaluation protocols. Finally, we list some newly developed applications and our opinions on the current status and future trends.

2 METHODS BEFORE THE DEEP LEARNING ERA

2.1 Overview

In this section, we take a brief glance retrospectively at text detection and recognition methods before the deep learning era. More detailed and comprehensive coverage of these works can be found in [146], [165], [171], [184]. For text detection and recognition, the attention has been the design of features. For end-to-end system, the design of pipeline is the main focus.

In this period of time, most text detection methods either adopt **Connected Components Analysis** (CCA) [26], [57], [63], [107], [145], [167], [170] or **Sliding Window** (SW) based classification [19], [75], [153], [155]. CCA based methods first extract candidate components through a variety of ways (e.g., color clustering or extreme region extraction), and then filter out non-text components using manually designed rules or classifiers automatically trained on hand-crafted features (see Fig.2). In sliding window classification methods, windows of varying sizes slide over the input image, where each window is classified as text segments/regions or not. Those classified as positive are further grouped into text regions with morphological operations [75], **Conditional Random Field** (CRF) [153] and other alternative graph based methods [19], [155].

For text recognition, one branch adopted the feature-based methods. Shi *et al.* [135] and Yao *et al.* [164] proposed **character segments** based recognition algorithms. Rodriguez *et al.* [118], [119] and Gordo *et al.* [40] and Almazan *et al.* [4] utilized **label embedding** to directly perform matching between strings and images. Stoke [12] and **character key-points** [113] are also detected as features for classification. Another discomposed the recognition process into a series of sub-problems. Various methods have been proposed to tackle these **sub-problems**, which includes text binarization [76], [102], [150], [179], text line segmentation [166], character segmentation [110], [123], [136], single character recognition [14], [129] and word correction [66], [103], [149], [156], [177].

There have been efforts devoted to integrated (i.e. end-to-end as we call it today) systems as well [106], [153]. In



Fig. 2: Illustration of traditional methods based on hand-crafted features: (1) Top: **Maximally Stable Extremal Regions** (MSER) based methods [107], assuming chromatic consistency within each character; (2) Bottom: **Stroke Width Transform** (SWT) based methods [26], assuming consistent stroke width within each character.

Wang *et al.* [153], characters are considered as a special case in object detection and detected by a nearest neighbor classifier trained on HOG features [21] and then grouped into words through a Pictorial Structure (PS) based model [28]. Neumann and Matas [106] proposed a decision delay approach by keeping multiple segmentations of each character until the last stage when the context of each character is known. They detected character segmentations using extremal regions and decoded recognition results through a dynamic programming algorithm.

In summary, text detection and recognition methods before the deep learning era mainly extract low-level or mid-level hand crafted image features, which entails demanding and repetitive pre-processing and post-processing steps. Constrained by the limited representation ability of hand crafted features and the complexity of pipelines, those methods can hardly handle intricate circumstances, e.g. blurred images in the ICDAR2015 dataset [67].

3 DEVELOPMENT OF DEEP LEARNING

Recent years have witnessed the rapid rise of deep learning [38], which ultimately revolutionised the AI industry, including text detection and recognition. Deep learning is a set of learning algorithms that approximate a given mapping function by automatically learning to extract features from raw inputs and fit the output labels. A deep learning

model usually consists a sequence of computation steps that are fully differentiable, so that the whole model can be optimized end-to-end with gradient descent methods applied to a proper training target.

Deep learning is applied in many fields in artificial intelligence, and has shown significant improvements over traditional machine learning methods. In this section, we briefly introduce the tasks and models that are closely related and fundamental to text detection and recognition.

3.1 Image Classification Task

Given an image and a set of candidate categories, an *Image Classification* model predicts the correct category that the image belongs to, e.g. *dog*, *car*, and etc.. Algorithms based on deep learning have gradually surpassed traditional methods and finally achieved better performance than human do [48], [56], [72], [138]. AlexNet [72] was the winner of the ImageNet Large Scale Visual Recognition Challenge [124] in 2012, achieving 10.8% less top-5 error rate than the runner-up. It uses a sequence of *Convolutional Neural Networks* (CNN), followed by several *Fully-Connected* (FC) layers, and predicts a probability distribution over all candidate categories. The filter size of lower-level CNNs is larger, while those in higher-level layers are smaller. Similarly, VGG [138] is composed of a sequence of CNN layers, but only 3×3 filter sizes except the first layer are used. It stacks a total number of 16 or 19 layers to increase the CNN's receptive field. To train deeper neural network, the 151-layered ResNet [48] was proposed. A residual connection (identity mapping in practice) from the input is added to the output for each CNN block (several layers of CNN). ResNet is the first algorithm that surpasses human performance.

Progresses in Image Classification have laid solid foundations for other CV tasks, as models in these tasks usually take advantage of off-the-shelf models from Image Classification works, which are termed as *base-net*, *backbone network*, or *stem-network*. The Image Classification task demonstrates the possibility of performing end-to-end learning, which can be shared among various tasks.

3.2 Object Detection Task

Object detection aims to detect, i.e. localize and recognize, objects of a given set of classes from an input image. There are mainly two branches, i.e. region-proposal based methods [34], [35], [116] and anchor-based methods [82], [114]. Both branches have indirectly inspired text detection algorithms based on them [78], [97].

3.2.1 Region-proposal based

The Region-based CNN (R-CNN) approach extracts a manageable number of candidate regions, and uses image classification model to predict whether it's a semantic object as well as its category. Fast-RCNN [34] accelerates the pipeline by applying region proposal to the extracted feature maps instead of the original images, in order to avoid repetitive computation. Faster-RCNN [116] consists of two stages. In the first stage, a Region Proposal Network (RPN) proposes candidate object bounding boxes. The second stage is similar to that of Fast-RCNN.

3.2.2 Anchor based

Anchor-based methods give predictions in one pass. Single Shot Detector (SSD) [82] and You-Only-Look-Once (YOLO) [114] uses similar structures. After passing the image into a sequence of CNNs, the final output is a feature map, where each position (x, y) is a feature vector representing the corresponding region in the input image. A classifier and position-regression are applied to the feature vector, predicting whether there is a semantic object, its class, and its precise position.

3.3 Semantic Segmentation Task

Semantic Segmentation is a task similar to object detection, where we need to predict the semantic category of *each pixel* in the original image instead. Fully Convolutional Network (FCN) [91] is proposed for this task. It consists of a sequence of CNN and pooling layers alternatively, followed by deconvolutional layers [175] so that the size of output layer is the same as the input image. The output layer is a feature map. The feature vector at each position is fed into a classifier that predicts the category of the pixel. Deconvolutional layer, in essence, is a modified CNN where the feed-forward and back-propagation are swapped. Therefore, the output feature map can be larger than input feature map, namely *up-sampling*. Later works introduce a pyramid-structure architecture [80], [101], [122], where feature maps from the *down-sampling* parts are added to the up-sampling side, to restore lower-level features. The incorporation of pyramid-structure connections is very important, as accurate pixel-level prediction would require more local features. Such techniques have been widely deployed in text detection and recognition models, e.g. EAST [180].

3.4 Sequence Modeling

Sequence modeling is an important task in natural language processing. In text detection and recognition, as the targets are themselves sequences of characters, it's necessary to consider sequence modeling methods. While previous methods use CRF or rule-based matching, deep learning based methods including sequence-to-sequence (Seq2Seq) learning [140] and attention-based Seq2Seq [6] are proposed and have achieved considerable improvements in the task of machine translation. Seq2Seq uses an encoder-decoder structure. The input sequence is first transformed into a sequence of word vector by word embedding method [100]. The encoder is an LSTM that reads the input sequence. The last hidden state of the encoder is used to initialized the decoder, which is also an LSTM. The decoder generates output sequence until it hits a stop symbol. We also refer readers to the following papers for recent advances in machine translation: Transformer [148], Convolutional Seq2Seq [31], [32], and the architecture evaluation survey [11]. These sequence modeling modules allow end-to-end gradient-ased learning for text recognition algorithms.

3.5 Initial Attempts in Text Detection and Recognition

Actually deep learning has already been used in a similar task decades ago: LeNet-5 [73] by LeCun *et al.* on the MNIST hand-written digit recognition task. It achieves an error rate

of less than 1%, showing the ponderable potential of deep learning in CV tasks. The method of LeCun *et al.* credibly demonstrates the possibility for deep learning technology in CV tasks, where the input image is first represented as a 3-D array of real-valued numbers, and then passed to neural networks for subsequent tasks.

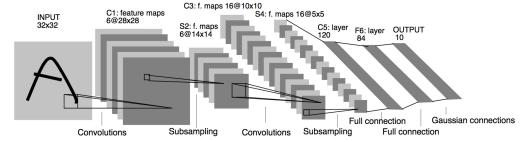


Fig. 3: Overview of LeNet-5, reprinted from [73].

4 METHODOLOGY IN THE DEEP LEARNING ERA

As implied by the title of this section, we would like to address recent advances as changes in *methodology* instead of proposals of new *methods*. Our reason for this conclusion is grounded in the observations as explained in the following paragraph.

Methods in the recent years are characterized by the following two distinctions: (1) Most methods utilizes deep-learning based models; (2) Most researchers are approaching the problem from a diversity of perspectives. Methods driven by deep-learning enjoy the advantage that automatic feature learning can save us from designing and testing the large amount potential hand-crafted features. At the same time, researchers from different viewpoints are enriching and promoting the community into more in-depth work, aiming at different targets, e.g. faster and simpler pipeline [180], text of varying aspect ratios [130], and synthetic data [43]. As we can also see further in this section, the incorporation of deep learning has totally changed the way researchers approach the task, and has enlarged the scope of research by far. This is the most significant change compared to the former epoch.

In a nutshell, recent years have witness a blossoming expansion of research into subdivisible trends. We summarize these changes and trends in Fig.4, and we would follow this diagram in our survey.

In this section, we would classify existing methods into a hierarchical taxonomy, and introduce in a top-down style. First, we divide them into four kinds of systems: (1) text detection that detects and localizes the existence of text in natural image; (2) recognition system that transcribes and converts the content of the detected text region into linguistic symbols; (3) end-to-end system that performs both text detection and recognition in one single pipeline; (4) auxiliary methods that aim to support the main task of text detection and recognition, e.g. synthetic data generation, and deblurring of image. Under each system, we review recent methods from different perspectives.

4.1 Detection

There are three main trends in the field of text detection, and we would introduce them in the following sub-sections one by one. They are: (1) pipeline simplification; (2) changes in prediction units; (3) specified targets.

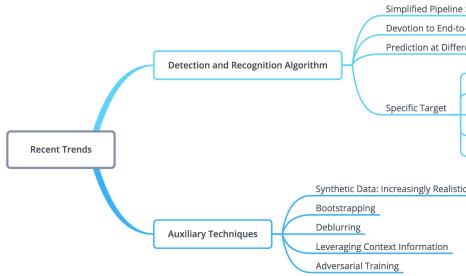


Fig. 4: Overview of recent progress and dominant trends.

4.1.1 Pipeline Simplification

One of the important trends are the simplification of the system pipeline. Most methods before the era of deep-learning, and some early methods that use deep-learning, have multi-step pipelines. More recent methods have simplified and much shorter pipelines, which is a key to reduce error propagation and simplify the training process. However, the main components of these methods are all **end-to-end** differentiable modules, i.e. deep learning models, which is an outstanding characteristic.

Multi-step methods: Early deep-learning based methods [163], [178]², [46] cast the task of text detection into a multi-step process. In [163], a convolutional neural network is used to predict whether each pixel in the input image (1) belongs to a character, (2) is inside the text region, and (3) the text orientation around the pixel. As shown in Fig.x(a), connected positive responses are considered as a detection of character or text region. For characters belonging to the same text region, Delaunay triangulation [65] is applied, after which graph partition based on the predicted orientation attribute groups characters into text lines.

Similarly, [178] first predicts a **dense map** indicating which pixels are within text line regions. For each text line region, MSER [108] is applied to extract character candidates. Character candidates reveal information of the scale and orientation of the underlying text line. As the last step, minimum bounding box is extracted as the final text line candidate.

In [46], the detection process also consists of several steps. First, text blocks are extracted. Then the model crops and only focuses on the extracted text block to extract text center line(TCL), which is defined to be a shrunk version of the original text line. Each text line represents the existence of one text instance. The extracted TCL map is then split into several TCLs. Each split TCL is then concatenated to the original image. A semantic segmentation model then classifies each pixel into ones that belong to the same text instance as the given TCL, and ones that do not.

Simplified pipeline: More recent methods [49]³, [64], [78]⁴, [88], [130]⁵, [174]⁶, [97]⁷, [120], [79]⁸, [128] follow a 2-step pipeline, consisting of an end-to-end

2. Code: https://github.com/stupidZZ/FCN_Text
3. Code: <https://github.com/BestSonny/SSTD>
4. Code: <https://github.com/MhLiao/TextBoxes>
5. Code: <https://github.com/bgshih/semlink>
6. Code: <https://github.com/Yuliang-Liu/Curve-Text-Detector>
7. Code: <https://github.com/mjq11302010044/RRPN>
8. Code: <https://github.com/MhLiao/RRD>

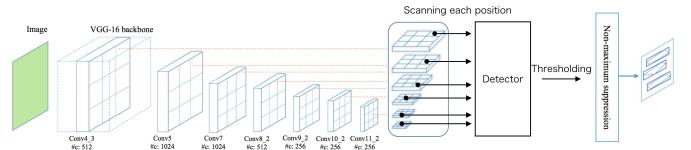


Fig. 5: Overview of TextBox, reprinted from [78].

trainable neural network model and a **post-processing step** that is usually much simpler than previous ones. These methods mainly draw inspiration from techniques in general object detection [29], [34], [35], [47], [82], [116], and benefit from the highly integrated neural network modules that can predict text instances directly. There are mainly two branches: (1) **Anchor-based methods** [49], [78], [88], [130] that predict the existence of text and regress the location offset only at pre-defined grid points of the input image; (2) **Region proposal methods** [64], [79], [97], [120], [128], [174] that predict and regress on the basis of extracted image region.

Since the original targets of most of these works are not merely the simplification of pipeline, we only introduce some representative methods here. Other works will be introduced in the following parts.

Anchor-based methods draw inspiration from SSD [82], a general object detection network. As shown in Fig.5, a representative work, TextBoxes [78], adapts **SSD** network specially to fit the **varying orientations** and **aspect-ratios** of text line. Specifically, at each anchor point, default boxes are replaced by *default quadrilaterals*, which can capture the text line tighter and reduce noise.

A variant of the standard anchor-based default box prediction method is EAST [180]⁹. In the standard SSD network, there are several feature maps of different sizes, on which default boxes of different receptive fields are detected. In EAST, all feature maps are integrated together by gradual upsampling, or U-Net [122] structure to be specific. The size of the final feature map is $\frac{1}{4}$ of the original input image, with c -channels. Under the assumption that each pixel only belongs to one text line, each pixel on the final feature map, i.e. the $1 \times 1 \times c$ feature tensor, is used to regress the rectangular or quadrilateral bounding box of the underlying text line. Specifically, the existence of text, i.e. text/non-text, and geometries, e.g. orientation and size for rectangles, and vertex coordinates for quadrilaterals, are predicted. EAST makes a difference to the field of text detection with its highly simplified pipeline and the efficiency. Since EAST is most famous for its speed, we would re-introduce EAST in later parts, with emphasis on its efficiency.

Region proposal methods usually follow the standard object detection framework of **r-cnn** [34], [35], [116], where a simple and fast pre-processing method is applied, extracting a set of region proposals that could contain text lines. A neural network then classifies it as text/non-text and corrects the localization by regressing the boundary offsets. However, adaptations are necessary.

Rotation Region Proposal Networks [97] follows and adapts the standard Faster RCNN framework. In order to fit into text of arbitrary orientations, rotating region proposals

9. Code: <https://github.com/zxytim/EAST>

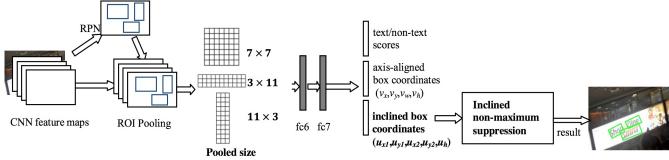


Fig. 6: Overview of R2CNN, reprinted from [64].

are generated instead of the standard axis-aligned rectangles.

Similarly, R2CNN [64] makes modifications to the standard region proposal based object detection methods. As shown in Fig.6, to adapt to the varying aspects ratios, three **Region of Interests Poolings** of different sizes are performed, and concatenated for further prediction and regression. In FEN [128], adaptively weighted poolings are applied to integrated different pooling sizes. Textness scores are computed for poolings of 4 different sizes. The final prediction is made by leveraging the 4 scores.

4.1.2 Different Prediction Units

A main distinction between text detection and general object detection is that, text are homogeneous as a whole and show locality, while general object detection are not. By homogeneity and locality, we refer to the property that any part of a text instance is still text. Human do not have to see the whole text instance to find out the image is a text instance.

Such a property lays a cornerstone for a new branch of text detection methods that only predict sub-text components and then assemble them into a text instance.

In this part, we take the perspective of the granularity of text detection. There are two main level of prediction granularity, ***text instance level*** and ***sub-text level***.

In ***text instance level*** methods [20], [51], [64], [78], [79], [88], [97], [128], [174], [180], detection of text follows the standard routine of general object detection, where a region-proposal network and a refinement network are combined to make predictions. The region-proposal network produces initial and coarse guess for the localization of possible text instance, and then a refinement part discriminates the proposals as text/non-text and also correct the localization of the text.

Contrarily, ***sub-text level*** detection methods [96], [22]¹⁰, [46], [159], [163], [49]¹¹, [45], [130], [178], [143]¹², [151], [183] only predicts parts that are combined to make a text instance. Such sub-text mainly includes *pixel-level* and *components-level*.

In ***pixel-level*** methods [22], [46], [49], [159], [163], [178], an end-to-end fully convolutional neural network learns to generate a dense prediction map indicating whether each pixel in the original image belongs to any text instances or not. Post-processing methods then groups pixels together depending on which pixels belong to the same text instance. Since text can appear in clusters which makes predicted pixels connected to each other, the core of pixel-level methods is to separate text instances from each other. PixelLink

10. Code: https://github.com/ZJULearning/pixel_link
 11. Code: <https://github.com/BestSonny/SSTD>
 12. Code: <https://github.com/tianzhi0549/CTPN>

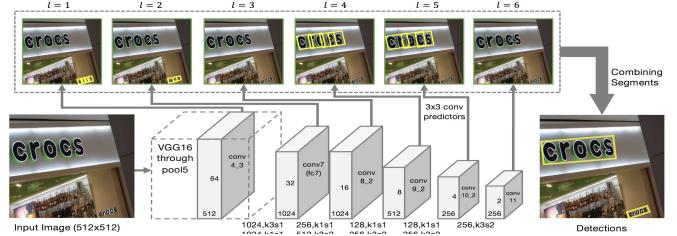


Fig. 7: Overview of SegLink, reprinted from [130].

[22] learns to predict whether two adjacent pixels belong to the same text instance by adding link prediction to each pixel. Border learning method [159] casts each pixels into three categories: text, border, and background, assuming that border can well separate text instances. In Holistic [163], pixel-prediction maps include both text-block level and character center levels. Since the centers of characters do not overlap, the separation is done easily.

Since in this part we only intend to introduce the concept of prediction units, we would go back to details regarding the separation of text instances in the section of *Specific Targets*.

Components-level methods [45], [96], [130], [143], [151], [183] usually predicts at a medium granularity. Component refer to a local region of text instance, sometimes containing one or more characters.

As shown in Fig.7, SegLink [130] modified the original framework of SSD [82]. Instead of default boxes that represent whole objects, default boxes used in SegLink have only one aspect ratio and predict whether the covered region belongs to any text instances or not. The region is called *text segment*. Besides, links between default boxes are predicted, indicating whether the linked segments belong to the same text instance.

Corner localization methods [96] proposes to detect the corners of each text instance. Since each text instance only has 4 corners, the prediction results and their relative position can indicate which corners should be grouped into the same text instance.

SegLink [130] and Corner localization [96] are proposed specially for long and multi-oriented text. We only introduce the idea here and discuss more details in the section of *Specific Targets*, regarding how they are realized.

In a clustering based method [151], pixels are clustered according to their color consistency and edge information. The fused image segments are called *superpixel*. These superpixels are further used to extract characters and predict text instance.

Another branch of component-level method is **Connectionist Text Proposal Network (CTPN)** [143], [158], [183]. The CTPN models inherit the idea of anchoring and recurrent neural network for sequence labeling. These models usually consist of a CNN-based image classification network, e.g. VGG, and stack an RNN on top of it. Each position in the final feature map represents features in the region specified by the corresponding anchor. By assuming that text appear horizontally, each row of features are fed into a RNN or LSTM and labeled as text/non-text. Geometries are also predicted.

4.1.3 Specific Targets

Another characteristic of current text detection system is that, most of them are designed for special purposes, attempting to approach unique difficulties in detecting scene text. We broadly classify them into the following aspects.

4.1.3.1 Long Text: Unlike general object detection, text usually come in varying aspect ratios. They have much larger height-width ratio, and thus general object detection framework would fail. Several methods have been proposed [64], [96], [130], specially designed to detect long text.

R^2CNN [64] gives an intuitive solution, where ROI pooling with different sizes are used. Following the framework of Faster R-CNN [116], three ROI-poolings with varying pooling sizes, 7×7 , 3×11 , and 11×3 , are performed for each box generated by region-proposal network, and the pooled features are concatenated for textness score.

Another branch learns to detect local sub-text components which are independent from the whole text [22], [96], [130]. SegLink [130] proposes to detect components, i.e. square areas that are text, and how these components are linked to each other. PixelLink [22] predicts which pixels belong to any text and whether adjacent pixels belong to the same text instances. Corner localization [96] detects text corners. All these methods learn to detect local components and then group them together to make final detections.

4.1.3.2 Multi-Oriented Text: Another distinction from general text detection is that text detection is rotation-sensitive and skewed text are common in real-world, while using traditional axis-aligned prediction boxes would incorporate noisy background that would affect the performance of the following text recognition module. Several methods have been proposed to adapt to it [64], [78], [79], [88], [97], [130], [180], [152]¹³.

Extending from general anchor-based methods, rotating default boxes [78], [88] are used, with predicted rotation offset. Similarly, rotating region proposals [97] are generated with 6 different orientations. Regression-based methods [64], [130], [180] predict the rotation and positions of vertexes, which are insensitive to orientation. Further, in Liao *et al.* [79], rotating filters [181] are incorporated to model orientation-invariance explicitly. The peripheral weights of 3×3 filters rotate around the center weight, to capture features that are sensitive to rotation.

While the aforementioned methods may entail additional post-processing, Wang *et al.* [152] proposes to use a parametrized *Instance Transformation Network* (ITN) that learns to predict appropriate affine transformation to perform on the last feature layer extracted by the base network, to rectify oriented text instances. Their method, with ITN, can be trained end-to-end.

The core ideas behind these different methods are summarized in Fig.8

4.1.3.3 Text of Irregular Shapes: Apart from varying aspect ratios, another distinction is that text can have a diversity of shapes, e.g. curved text. Curved text poses a new challenge, since regular rectangular bounding box would incorporate a large proportion of background and even other text instances, making it difficult for recognition.

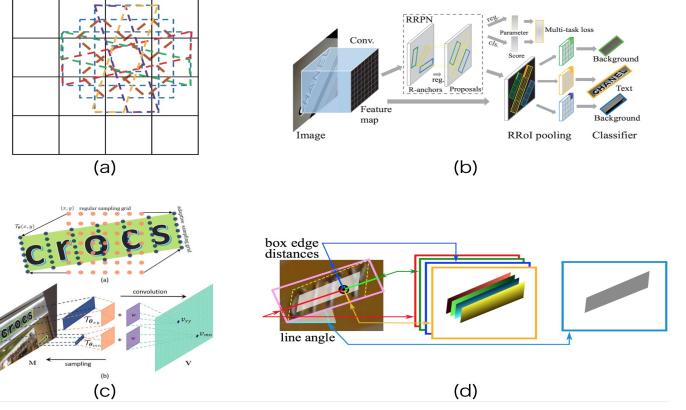


Fig. 8: Overview of different methods proposed for detecting multi-oriented text: (a) Rotating bounding boxes [88]; (b) Rotating regions of interest [97]; (c) Parametrized affine transformation layer [152]. Images are obtained from the original papers; (d) Direct regression of size and orientation [180].

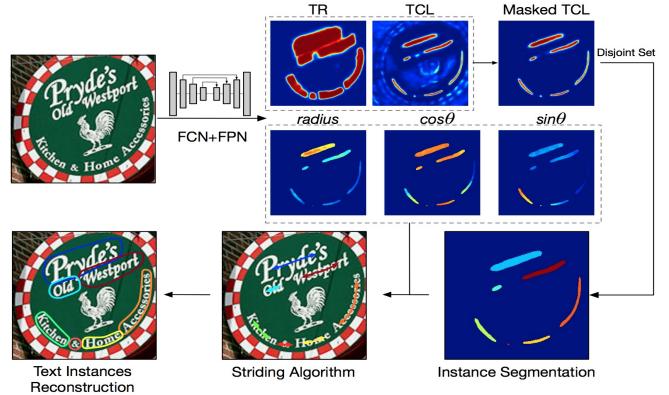


Fig. 9: Overview of TextSnake, reprinted from [92].

Extending from quadrilateral bounding box, it's natural to use bounding 'boxes' with more than 4 vertexes. Bounding polygons [174] with as many as 14 vertexes are proposed, followed by a bi-lstm [53] layer to refine the coordinates of the predicted vertexes. In their framework, however, axis-aligned rectangles are extracted as intermediate results in the first step, and the location bounding polygons are predicted upon them.

Similarly, Lyu *et al.* [95] modifies the Mask R-CNN [47] framework, so that for each region of interest—in the form of axis-aligned rectangles—character masks are predicted solely for each type of alphabets. These predicted characters are then aligned together to form a polygon as the detection results. Notably, they propose their method as an end-to-end system. We would refer to it again in the following part.

Viewing the problem from a different perspective, Long *et al.* [92] argues that text can be represented as a series of sliding round disks along the text center line (TCL), which accord with the running direction of the text instance. With the novel representation, they present a new model, *TextSnake*, as shown in Fig.9, that learns to predict local attributes, including TCL/non-TCL, text-region/non-text-region, radius, and orientation. The intersection of TCL

13. Code: <https://github.com/zlmzju/itn>

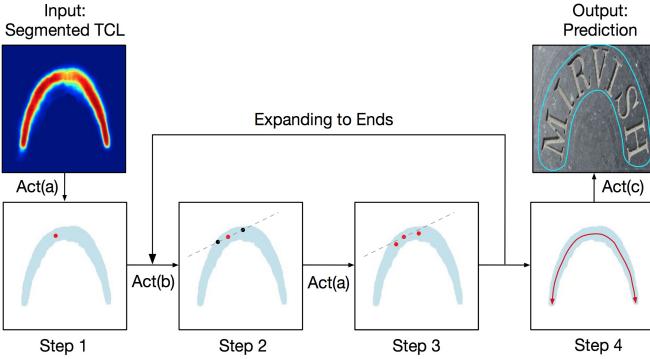


Fig. 10: Overview of the post-processing of TextSnake, reprinted from [92].

pixels and text region pixels gives the final prediction of pixel-level TCL. Local geometries are then used to extract the TCL in the form of ordered point list, as demonstrated in Fig.10. With TCL and radius, the text line is reconstructed. It achieves state-of-the-art performance on several curved text dataset as well as more widely used ones, e.g. ICDAR2015 [67] and MSRA-TD500 [145]. Notably, Long *et al.* proposes a cross-validation test across different datasets, where models are only fine-tuned on datasets with straight text instances, and tested on the curved datasets. In all existing curved datasets, TextSnake achieves improvements by up to 20% over other baselines in F1-Score.

4.1.3.4 Speedup: Current text detection methods place more emphasis on speed and efficiency, which is necessary for application in mobile devices.

The first work to gain significant speedup is EAST [180], which makes several modifications to previous framework. Instead of VGG [138], EAST uses PVANet [71] as its base network, which strikes a good balance between efficiency and accuracy in the ImageNet competition. Besides, it simplifies the whole pipeline into a prediction network and a non-maximum suppression step. The prediction network is a U-shaped [122] fully convolutional network that maps an input image $I \in R^{H,W,C}$ to a feature map $F \in R^{H/4,W/4,K}$, where each position $f = F_{i,j,:} \in R^{1,1,K}$ is the feature vector that describes the predicted text instance. That is, the location of the vertexes or edges, the orientation, and the offsets of the center, for the text instance corresponding to that feature position (i, j) . Feature vectors that corresponds to the same text instance are merged with the non-maximum suppression. It achieves state-of-the-art speed with FPS of 16.8 as well as leading performance on most datasets..

4.1.3.5 Easy Instance Segmentation: As mentioned above, recent years have witnessed methods with dense predictions, i.e. pixel level predictions [22], [46], [112], [159]. These methods generate a prediction map classifying each pixel as text or non-text. However, as text may come near each other, pixels of different text instances may be adjacent in the prediction map. Therefore, separating pixels become important.

Pixel-level text center line is proposed [46], since the center lines are far from each other. In [46], a prediction map indicating text lines is predicted. These text lines can be easily separated as they are not adjacent. To produce

prediction for text instance, a binary map of text center line of a text instance is attached to the original input image and fed into a classification network. A saliency mask is generated indicating the detected text. However, this method involves several steps. The text-line generation step and the final prediction step can not be trained end-to-end, and error propagates.

Another way to separate different text instances is to use the concept of border learning [112], [159], [160], where each pixel is classified into one of the three classes: text, non-text, and text border. The text border then separates text pixels that belong to different instances. Similarly, in the work of Xue *et al.* [160], text are considered to be enclosed by 4 segments, i.e. a pair of long-side borders (*abdomen* and *back*) and a pair of short-side borders (*head* and *tail*). The method of Xue *et al.* is also the first to use DenseNet [56] as their basenet, which provides a consistant 2 – 4% performance boost in F1-score over that with ResNet [48] on all datasets that it's evaluated on.

Following the linking idea of SegLink, PixelLink [22] learns to link pixels belonging to the same text instance. Text pixels are classified into groups for different instances efficiently via disjoint set algorithm. Treating the task in the same way, Liu *et al.* [90] proposes a method for predicting the composition of adjacent pixels with Markov Clustering [147], instead of neural networks. The Markov Clustering algorithm is applied to the saliency map of the input image, which is generated by neural networks and indicates whether each pixel belongs to any text instances or not. Then, the clustering results give the segmented text instances.

4.1.3.6 Retrieving Designated Text: Different from the classical setting of scene text detection, sometimes we want to retrieve a certain text instance given the description. Rong *et al.* [121] a multi-encoder framework to retrieve text as designated. Specifically, text is retrieved as required by a natural language query. The multi-encoder framework includes a Dense Text Localization Network (DTLN) and a Context Reasoning Text Retrieval (CRTR). DTLN uses an LSTM to decode the features in a FCN network into a sequence of text instance. CRTR encodes the query and the features of scene text image to rank the candidate text regions generated by DTLN. As much as we are concerned, this is the first work that retrieves text according to a query.

4.1.3.7 Against Complex Background: Attention mechanism is introduced to silence the complex background [49]. The stem network is similar to that of the standard SSD framework predicting word boxes, except that it applies inception blocks on its cascading feature maps, obtaining what's called Aggregated Inception Feature (AIF). An additional text attention module is added, which is again based on inception blocks. The attention is applied on all AIF, reducing the noisy background.

4.2 Recognition

In this section, we introduce methods that tackle the text recognition problem. Input of these methods are cropped text instance images which contain one word or one text line.

In traditional text recognition methods [9], [136], the task is divided into 3 steps, including **image pre-processing**,

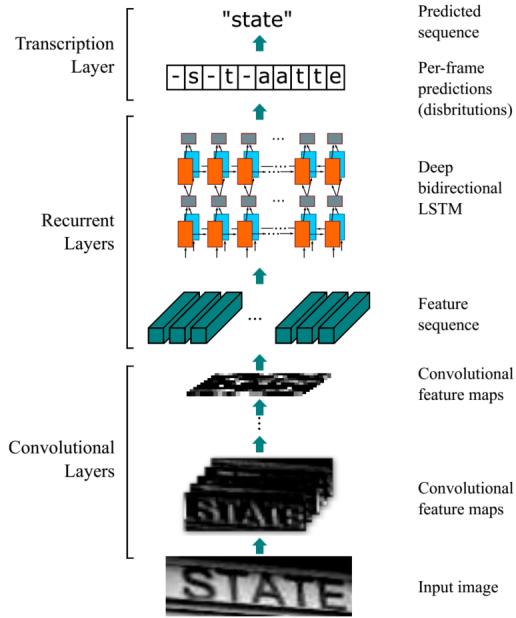


Fig. 11: The network architecture of CRNN [131].

character segmentation and character recognition. Character segmentation is considered the most challenging part due to the complex background and irregular arrangement of scene text, and largely constrained the performance of the whole recognition system. Two major techniques are adopted to avoid segmentation of characters, namely **Connectionist Temporal Classification** [41] and **Attention mechanism**. We introduce recognition methods in the literature based on which technique they employ, while other novel work will also be presented.

4.2.1 CTC-based Methods

CTC computes the conditional probability $P(L|Y)$, where $Y = y_1, \dots, y_T$ represent the per-frame prediction of RNN and L is the label sequence, so that the network can be trained using only sequence level label as supervision. The first application of CTC in the OCR domain can be traced to the handwriting recognition system of Graves *et al.* [42]. Now this technique is widely adopted in scene text recognition [139], [84], [131]¹⁴, [30], [168].

Shi *et al.* [131] proposes a model that stacks CNN with RNN to recognize scene text images. As illustrated in Fig.11, CRNN consists of three parts: (1) convolutional layers, which extract a feature sequence from the input image; (2) recurrent layers, which predict a label distribution for each frame; (3) transcription layer (CTC layer), which translates the per-frame predictions into the final label sequence.

Instead of RNN, Gao *et al.* [30] adopt the stacked convolutional layers to effectively capture the contextual dependencies of the input sequence, which is characterized by lower computational complexity and easier parallel computation. Overall difference with other frameworks are illustrated in Fig. 12

Yin *et al.* [168] also avoids using RNN in their model, they simultaneously detects and recognizes characters by

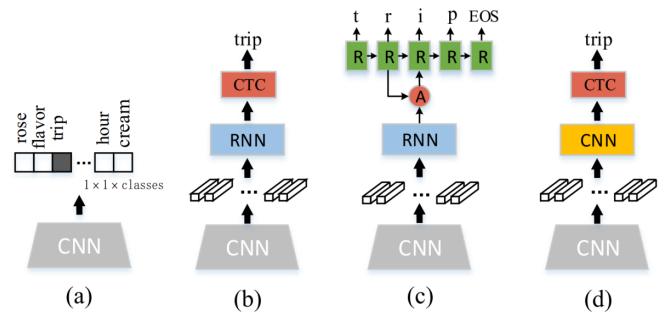


Fig. 12: Comparison of network architecture for scene text recognition. (a) CNN + softmax. (b) RNN + CTC. (c) RNN + Attention. (d) CNN + CTC. [30].

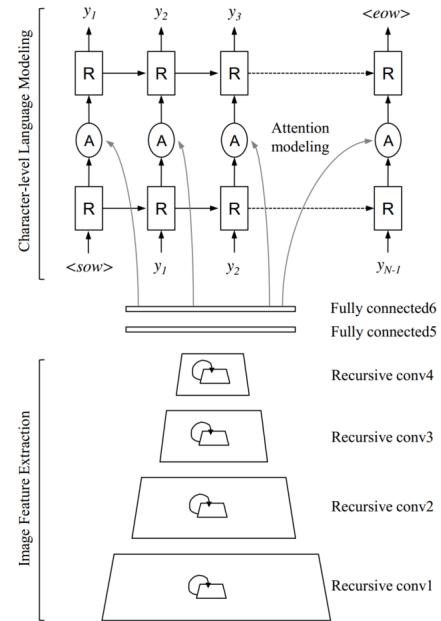


Fig. 13: The network architecture of R2AM [74].

sliding the text line image with character models, which are learned end-to-end on text line images labeled with text transcripts.

4.2.2 Attention-based methods

The attention mechanism was first presented in [6] to improve the performance of neural machine translation systems, and flourished in many machine learning application domains including Scene text recognition [15], [16], [33], [74], [89], [132], [161].

Lee *et al.* [74] presented a recursive recurrent neural networks with attention modeling (R2AM) for lexicon-free scene text recognition. the model first passes input images through recursive convolutional layers to extract encoded image features I , and then decodes them to output characters by recurrent neural networks with implicitly learned character-level language statistics. Attention-based mechanism performs soft feature selection for better image feature usage. The network architecture is depicted in Fig.13

Cheng *et al.* [15] observed the attention drift problem in existing attention-based methods and proposed an Focus

14. Code: <https://github.com/bgshih/crnn>

Attention Network (FAN) to attenuate it. The main idea is to add localization supervision to the attention module, while the alignment between image features and target label sequence are usually automatically learned in previous work.

In [7], Bai *et al.* proposed an edit probability (EP) metric to handle the misalignment between the ground truth string and the attention's output sequence of probability distribution. Unlike aforementioned attention-based methods, which usually employ a frame-wise maximal likelihood loss, EP tries to estimate the probability of generating a string from the output sequence of probability distribution conditioned on the input image, while considering the possible occurrences of missing or superfluous characters.

In [89], Liu *et al.* proposed an efficient attention-based encoder-decoder model, in which the encoder part is trained under binary constraints. Their recognition system achieves state-of-the-art accuracy while consumes much less computation costs than aforementioned methods.

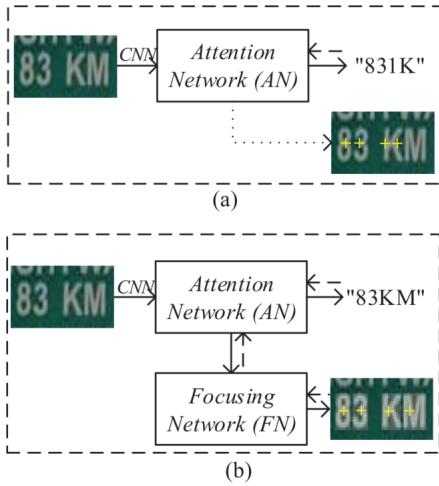


Fig. 14: Focusing network proposed in Cheng *et al.* [15] to tackle the attention drift problem.

Among those attention-based methods, some work made efforts to accurately recognize irregular (perspectively distorted or curved) text. Shi *et al.* [132], [133] proposed a text recognition system which combined a Spatial Transformer Network (STN) [61] and an attention-based Sequence Recognition Network. The STN predict a Thin-Plate-Spline transformations which rectify the input irregular text image into a more canonical form.

Yang *et al.* [161] introduced an auxiliary dense character detection task to encourage the learning of visual representations that are favorable to the text patterns. And they adopted an alignment loss to regularize the estimated attention at each time-step. Further, they use a coordinate map as a second input to enforce spatial-awareness.

In [16], Cheng *et al.* argue that encoding a text image as a 1-D sequence of features as implemented in most methods is not sufficient. They encode an input image to four feature sequences of four directions: horizontal, reversed horizontal, vertical and reversed vertical. And a weighting mechanism is designed to combine the four feature sequences.

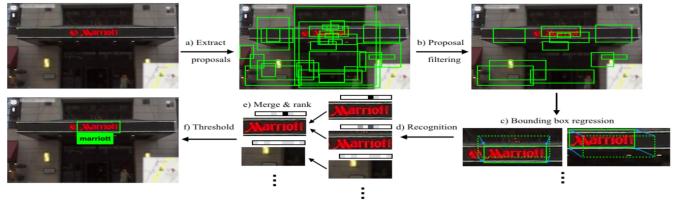


Fig. 15: The end-to-end text spotting pipeline introduced in [60].

Liu *et al.* [83] presented a hierarchical attention mechanism (HAM) which consists of a recurrent ROI-Warp layer and a character-level attention layer. They adopt a local transformation to model the distortion of individual characters, resulting in an improved efficiency, and can handle different types of distortion that are hard to be modeled by a single global transformation.

4.2.3 Other Efforts

Jaderberg *et al.* [58], [59] performs word recognition on the whole image holistically. They train a deep classification neural network solely on data produced by a synthetic text generation engine, and achieve state-of-the-art performance on some benchmarks containing English words only. But application of this method is quiet limited since it cannot be applied to recognize long sequences such as phone numbers.

4.3 End-to-End System

In the past, text detection and recognition are usually cast as two independent sub-problems that are combined together to perform text retrieval from images. Recently, many end-to-end text detection and recognition systems (also known as text spotting systems) have been proposed, profiting a lot from the idea of designing differentiable computation graphs. Efforts to build such systems have gained considerable momentum as a new trend.

While earlier work [153], [155] first detect single characters in the input image, recent systems usually detect and recognize text in word level or line level. Some of these systems first generate text proposals using a text detection model and then recognize them with another text recognition model [43], [60], [78]. Jaderberg *et al.* [60] use a combination of Edge Box proposals [185] and a trained aggregate channel features detector [24] to generate candidate word bounding boxes. Proposal boxes are filtered and rectified before being sent into their recognition model proposed in [59]. In [78], Liao *et al.* combined an SSD [82] based text detector and CRNN [131] to spot text in images. Lyu *et al.* [95] proposes a modification of Mask R-CNN that is adapted to produce shape-free recognition of scene text, as shown in Fig.17. For each region of interest, character maps are produced, indicating the existence and location of a single character. A post-processing that links these character together gives the final results.

One major drawbacks of the two-step methods is that the propagation of error between the text detection models and the text recognition models will lead to less satisfactory performance. Recently, more end-to-end trainable networks

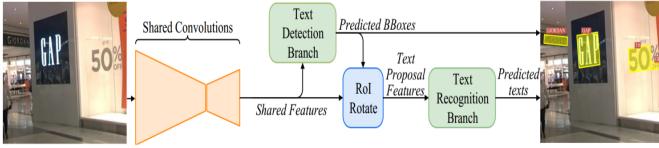


Fig. 16: End-to-End text spotting system in [87].

are proposed to tackle the this problem [8]¹⁵, [13]¹⁶, [50], [77], [87].

Bartz *et al.* [8] presented an solution which utilize a STN [61] to circularly attend to each word in the input image, and then recognize them separately. The united network is trained in a weakly-supervised manner that no word bounding box labels are used. Li *et al.* [77] substitute the object classification module in Faster-RCNN [116] with an encoder-decoder based text recognition model and make up their text spotting system. Lui *et al.* [87], Busta *et al.* [13] and He *et al.* [50] developed a unified text detection and recognition systems with a very similar overall architecture which consist of a detection branch and a recognition branch. Liu *et al.* [87] and Busta *et al.* [13] adopt EAST [180] and YOLOv2 [115] as their detection branch respectively, and have a similar text recognition branch in which text proposals are mapped into fixed height tensor by bilinear sampling and then transcribe in to strings by a CTC-based recognition module. He *et al.* [50] also adopted EAST [180] to generate text proposals, and they introduced character spatial information as explicit supervision in the attention-based recognition branch.

4.4 Auxiliary Techniques

Recent advances are not limited to detection and recognition models that aim to solve the task directly. We should also give credit to those auxiliary techniques that have played an important role. In this part, we briefly introduce some of the promising trends: **synthetic data**, **bootstrapping**, **text deblurring**, **incorporating context information**, and **adversarial training**.

4.4.1 Synthetic Data

Most deep learning models are data-thirsty. Their performance is guaranteed only when enough data are available. Therefore, artificial data generation has been a popular research topic, e.g. Generative Adversarial Nets (GAN) [39]. In the field of text detection and recognition, this problem is more urgent since most human-labeled datasets are small, usually containing around merely $1K - 2K$ data instances. Fortunately, there have been work [43], [59], [176] that can generate data instances of relatively high quality, and they have been widely used for pre-training models for better performance.

Jaderberg et at. [59] first proposes synthetic data for text recognition. Their method blends text with randomly cropped natural image from human-labeled datasets after rendering of font, border/shadow, color, and distortion. The

15. Code: <https://github.com/Bartzi/see>

16. Code: <https://github.com/MichalBusta/DeepTextSpotter>

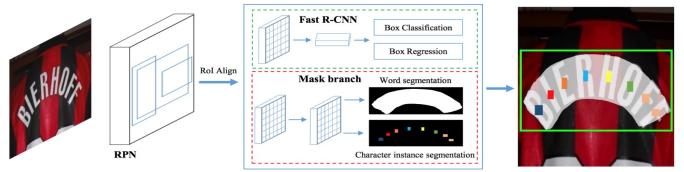


Fig. 17: Shape-free end-to-end text spotting system in [95].

results show that training merely on these synthetic data can achieve state-of-the-art performance and that synthetic data can act as augmentative data sources for all datasets.

SynthText [43]¹⁷ first proposes to embed text in natural scene images for training of text detection, while most previous work only print text on a cropped region and these synthetic data are only for text recognition. Printing text on the whole natural images poses new challenges, as it needs to maintain semantic coherence. To produce more realistic data, SynthText makes use of depth prediction [81] and semantic segmentation [5]. Semantic segmentation groups pixels together as semantic clusters, and each text instance is printed on one semantic surface, not overlapping multiple ones. Dense depth map is further used to determine the orientation and distortion of the text instance. Model trained only on SynthText achieves state-of-the-art on many text detection datasets. It's later used in other works [130], [180] as well for initial pre-training.

Further, Zhan *et al.* [176]¹⁸ equips text synthesis with other deep learning techniques to produce more realistic samples. They introduce selective semantic segmentation so that word instances would only appear on sensible objects, e.g. a desk or wall in stead of someone's face. Text rendering in their work is adapted to the image so that they fit into the artistic styles and do not stand out awkwardly.

4.4.2 Bootstrapping

Bootstrapping, or Weakly and semi supervision, is also important in text detection and recognition [55], [120], [142]. It's mainly used in word [120] or character [55], [142] level annotations.

Bootstrapping for word-box Rong *et al.* [120] proposes to combine an FCN-based text detection network with Maximally Stable Extremal Region (MSER) features to generate new training instances annotated on box-level. First, they train an FCN, which predicts the probability of each pixel belonging to text. Then, MSER features are extracted from regions where the text confidence is high. Using single linkage criterion (SLC) based algorithms [36], [137], final prediction is made.

Bootstrapping for character-box Character level annotations are more accurate and better. However, most existing datasets do not provide character-level annotating. Since character is smaller and close to each other, character-level annotation is more costly and inconvenient. There have been some work on semi-supervised character detection [55], [142]. The basic idea is to initialize a character-detector, and applies rules or threshold to pick the most reliable

17. Code: <https://github.com/ankush-me/SynthText>

18. Code: <https://github.com/fnzhan/Verisimilar-Image-Synthesis-for-Accurate-Detection-and-Recognition-of-Texts-in-Sc>

predicted candidates. These reliable candidates are then used as additional supervision source to refine the character-detector. Both of them aim to augment existing datasets with character level annotations. They only differ in details.

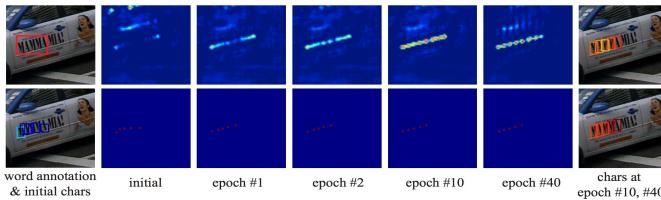


Fig. 18: Overview of the training process of WordSup, reprinted from [55].

WordSup [55] first initializes the character detector by training 5K warm-up iterations on synthetic dataset, as shown in Fig.18. For each image, WordSup generates character candidates, which are then filtered with word-boxes. For characters in each word box, the following score is computed to select the most possible character list:

$$\begin{aligned} s &= w \cdot s_1 + (1 - w) \cdot s_2 \\ &= w \cdot \frac{\text{area}(B_{\text{chars}})}{\text{area}(B_{\text{word}})} + (1 - w) \cdot (1 - \frac{\lambda_2}{\lambda_1}) \end{aligned} \quad (1)$$

where B_{chars} is the union of the selected character boxes; B_{word} is the enclosing word bounding box; λ_1 and λ_2 are the first and second largest eigenvalues of a covariance matrix C , computed by the coordinates of the centers of the selected character boxes; w is a weight scalar. Intuitively, the first term measures how complete the selected characters can cover the word boxes, while the second term measures whether the selected characters are located on a straight line, which is a main characteristic for word instances in most datasets.

WeText [142] starts with a small datasets annotated on character level. It follows two paradigms of bootstrapping: semi-supervised learning and weakly-supervised learning. In the semi-supervised setting, detected character candidates are filtered with a high thresholding value. In the weakly-supervised setting, ground-truth word boxes are used to mask out false positives outside. New instances detected in either way is added to the initial small datasets and re-train the model.

4.4.3 Text Deblurring

By nature, text detection and recognition are more sensitive to blurring than general object detection. Some methods [54]¹⁹, [70] have been proposed for text deblurring.

Hradis *et al.* [54] proposes an FCN-based deblurring method. The core FCN maps the input image which is blurred and generates a deblurred image. They collect a dataset of well-taken images of documents, and process them with kernels designed to mimic hand-shake and defocus.

Khare *et al.* [70] proposes a quite different framework. Given a blurred image, g , it aims to alternatively optimize the original image f and kernel k by minimizing the following energy value:

19. Code: <http://www.fit.vutbr.cz/~ihradis/CNN-Deblur/>

$$E = \int (k(x, y) * f(x, y) - g(x, y))^2 dx dy + \lambda \int w R(k(x, y)) dx dy$$

where λ is the regularization weight, with operator R as the Gaussian weighted (w) $L1$ norm. The optimization is done by alternatively optimizing over the kernel k and the original image f .

4.4.4 Context Information

Another way to make more accurate predictions is to take into account the context information. Intuitively, we know that text only appear on a certain surfaces, e.g. billboards, books, and etc.. Text are less likely to appear on the face of a human or an animal. Following this idea, Zhu *et al.* [182] proposes to incorporate the semantic segmentation result as part of the input. The additional feature filters out false positives where the patterns look like text.

4.4.5 Adversarial Attack

Text detection and recognition has a broad range of application. In some scenarios, the security of the applied algorithms becomes a key factor, e.g. autonomous vehicles and identity verification. Yuan *et al.* [173] proposes the first adversarial attack algorithm for text recognition. They propose a white-box attack algorithm that induces a trained model to generate a desired wrong output. Specifically, they aim to optimize a joint target of: (1) $D(x, x')$ for minimizing the alteration applied to the original image; (2) $L(x_{\text{targeted}})$ for the loss function with regard to the probability of the targeted output. They adapt the automated weighting method proposed by Kendall *et al.* [69] to find the optimum weight of the two targets. Their method realizes a success rate over 99.9% with $3 - 6 \times$ speedup compared to other state-of-the-art attack methods. Most importantly, their method showed a way to carry out sequential attack.

5 BENCHMARK DATASETS AND EVALUATION PROTOCOLS

As cutting edge algorithms achieved better on previous datasets, researchers were able to tackle more challenging aspects of the problems. New datasets aimed at different real-world challenges have been and are being crafted, benefiting the development of detection and recognition methods further.

In this section, we list and briefly introduce the existing datasets and the corresponding evaluation protocols. We also identify current state-of-the-art performance on the widely used datasets when applicable.

5.1 Benchmark Datasets

We collect existing datasets and summarize their features in Tab.1. We also select some representative image samples from some of the datasets, which are demonstrated in Fig.19.

5.1.1 Datasets with both detection and recognition tasks

- The ICDAR 2003&2005 and 2011&2013

Held in 2003, the ICDAR 2003 Robust Reading Competition [94] is the first such benchmark dataset that's ever released for scene text detection and recognition²⁰. Among

20. http://www.iapr-tc11.org/mediawiki/index.php/ICDAR_2003_Robust_Reading_Competitions



Fig. 19: Selected samples from ICDAR2013/2015/2017, Total-Text, CTW, CTW1500, and MSRA-TD500. Note that Total-Text provides two sets of annotations: rectangle (in red) and polygon (in green).

the 509 images, 258 are used for training and 251 for testing. The dataset is also used in ICDAR 2005 Text Locating Competition [93]. ICDAR 2015 also includes a digit recognition track²¹.

In the ICDAR 2011²² and 2013²³ Robust Reading Competitions, previous datasets are modified and extended, which make the new ICDAR 2011 [127] and 2013 [68] datasets. Problems in previous datasets are corrected, e.g. imprecise bounding boxes. State-of-the-art results are shown in Tab.2 for detection and Tab.?? for recognition.

- ICDAR 2015²⁴

In real world application, images containing text may be too small, blurred, or occluded. To represent such a challenge, ICDAR 2015 is proposed as the Challenge 4 of the 2015 Robust Reading Competition [67] for incidental scene text detection. Scene text images in this dataset are taken by Google Glasses without taking care of the image quality. A large proportion of images are very small, blurred, and multi-oriented. There are 1000 images for training and 500 images for testing. The text instances from this dataset are labeled as word level quadrangles. State-of-the-art results are shown in Tab.3 for detection and Tab.?? for recognition.

- ICDAR 2017 RCTW²⁵

In ICDAR2017 Competition on Reading Chinese Text in the Wild [134], Shi *et al.* propose a new dataset, called CTW-12K, which mainly consists of Chinese. It is comprised of 12,263 images in total, among which 8,034 are for training and 4,229 are for testing. Text instances are annotated with parallelograms. It's the first large scale Chinese dataset, and was also the largest published one by then.

21. http://www.iapr-tc11.org/mediawiki/index.php?title=ICDAR_2005_Robust_Reading_Competitions

22. <http://www.cvc.uab.es/icdar2011competition/>

23. <http://dagdata.cvc.uab.es/icdar2013competition/>

24. <http://rrc.cvc.uab.es/?ch=4&com=introduction>

25. http://u-pat.org/ICDAR2017/program_competitions.php

- CTW²⁶

The Chinese Text in the Wild (CTW) dataset proposed by Yuan *et al.* [172] is the largest annotated dataset to date. It has 32,285 high resolution street view image of Chinese text, with 1,018,402 character instances in total. All images are annotated at the character level, including its underlying character type, bounding box, and 6 other attributes. These attributes indicate whether its background is complex, whether it's raised, whether it's hand-written or printed, whether it's occluded, whether it's distorted, whether it uses word-art. The dataset is split into a training set of 25,887 images with 812,872 characters, a recognition test set of 3,269 images with 103,519 characters, and a detection test set of 3,129 images with 102,011 characters.

- Total-Text²⁷

Unlike most previous datasets which only include text that are in straight lines, Total-Text consists of 1555 images with more than 3 different text orientations: Horizontal, Multi-Oriented, and Curved. Text instances in Total-Text are annotated with both quadrilateral boxes and polygon boxes of a variable number of vertexes. State-of-the-art results for Total-Text are shown in Tab.4 for detection and Tab.5 for recognition.

- SVT²⁸

The Street View Text (SVT) dataset [153], [154] is a collection of street view images. SVT has 350 images. It only has word-level annotations.

- CUTE80 (CUTE)²⁹

CUTE is proposed in [117]. The dataset focuses on curved text. It contains 80 high-resolution images taken in natural scenes. No lexicon is provided.

26. <https://ctwdataset.github.io>

27. <https://github.com/cs-chan/Total-Text-Dataset>

28. http://www.iapr-tc11.org/mediawiki/index.php?title=The_Street_View_Text_Dataset

29. http://cs-chan.com/downloads_CUTE80_dataset.html

TABLE 1: Existing datasets: * indicates datasets that are the most widely used across recent publications. Newly published ones representing real-world challenges are marked in **bold**. En stands for English and Ch stands for Chinese.

Dataset (Year)	Image Num (train/test)	Text Num (train/test)	Orientation	Language	Characteristics	Detection Task	Recognition Task
ICDAR03 (2003)	509 (258/251)	2276 (1110/1156)	Horizontal	En	-	✓	✓
*ICDAR13 Scene Text(2013)	462 (229/233)	(848/1095)	Horizontal	En	-	✓	✓
*ICDAR15 Incidental Text(2015)	1500 (1000/500)	- (-/-)	Multi-Oriented	En	<i>Blur</i> <i>Small</i> <i>Defocused</i>	✓	✓
ICDAR17 RCTW(2017)	12263 (8034/4229)	- (-/-)	Multi-Oriented	Ch	-	✓	✓
Total-Text (2017)	1555 (1255/300)	- (-/-)	<i>Multi-Oriented Curved</i>	En, Ch	Irregular polygon label	✓	✓
SVT (2010)	350 (100/250)	904 (257/647)	Horizontal	En	-	✓	✓
*CUTE (2014)	80 (-/80)	- (-/-)	Curved	En	-	✓	✓
CTW (2017)	32K (25K/6K)	1M (812K/205K)	Multi-Oriented	Ch	<i>Fine-grained annotation</i>	✓	✓
*MSRA-TD500 (2012)	500 (300/200)	1719 (1068/651)	Multi-Oriented	En, Ch	<i>Long text</i>	✓	-
HUST-TR400 (2014)	400 (400/-)	- (-/-)	Multi-Oriented	En, Ch	<i>Long text</i>	✓	-
ICDAR17 RRC-MLT(2017)	18000 (9000/9000)	- (-/-)	Multi-Oriented	9 langanges	-	✓	-
CTW1500 (2017)	1500 (1000/500)	- (-/-)	<i>Multi-Oriented Curved</i>	En	Bounding box with 14 vertexes	✓	-
IIIT 5K-Word (2012)	5000 (-/-)	5000 (2000/3000)	Horizontal	-	-	-	✓
SVTP (-)	639 (-/-)	639 (-/-)	Multi-Oriented	En	<i>Perspective text</i>	-	✓
SVHN (2010)	- (-/-)	600000 (-/-)	Horizontal	-	House number digits	-	✓

5.1.2 Datasets with only detection task

- MSRA-TD500³⁰ and HUST-TR400³¹

The MSRA Text Detection 500 Dataset (MSRA-TD500) [145] is a benchmark dataset featuring **long and multi-oriented** text. Text instances in MSRA-TD500 have much **larger aspect ratios** than other datasets. Later, an additional set of images, called HUST-TR400, are published. HUST-TR400 [162] are collected in the same way as MSRA-TD500, usually used as a supplement to the MSRA-TD500 training data.

- ICDAR2017 RRC-MLT³²

The dataset of ICDAR2017 RRC-MLT Challenge [104] contains 18K images with scripts of **9 languages**, 2K for

30. [http://www.iapr-tc11.org/mediawiki/index.php/MSRA_Text_Detection_500_Database_\(MSRA-TD500\)](http://www.iapr-tc11.org/mediawiki/index.php/MSRA_Text_Detection_500_Database_(MSRA-TD500))

31. <http://mclab.eic.hust.edu.cn/UpLoadFiles/dataset/HUST-TR400.zip>

32. <http://rrc.cvc.uab.es/?ch=8>

each. It features the largest number of languages up till now.

- SCUT-CTW1500 (CTW1500)³³

CTW1500 is another dataset which features **curved text**. It consists of 1000 training images and 500 test images. Annotations in CTW1500 are polygons with 14 vertexes. Performances on CTW1500 are shown in Tab.6 for detection.

5.1.3 Datasets with only recognition task

- IIIT 5K-Word³⁴

IIIT 5K-Word [103] is the largest dataset, containing both **digital and natural scene** images. Its variance in font, color, size and other noises makes it the most challenging one to date. There are 5000 images in total, 2000 for training and 3000 for testing.

33. <https://github.com/Yuliang-Liu/Curve-Text-Detector>

34. <http://cvit.iiit.ac.in/projects/SceneTextUnderstanding/IIIT5K.html>

5.2 Evaluation Protocols

In this part, we briefly summarize the evaluation protocols for text detection and recognition.

As metrics for performance comparison of different algorithms, we usually refer to their precision, recall and F1-score. To compute these performance indicators, the list of predicted text instances should be matched to the ground truth labels in the first place. Precision, denoted as P , is calculated as the proportion of predicted text instances that can be matched to ground truth labels. Recall, denoted as R , is the proportion of ground truth labels that have correspondents in the predicted list. F1-score is a then computed by $F_1 = \frac{2*P*R}{P+R}$, taking both precision and recall into account. Note that the matching between the predicted instances and ground truth ones comes first.

5.2.1 Evaluation Protocols for Text Detection

There are mainly two different protocols for text detection, the IOU based PASCAL Eval and overlap based DetEval. They differ in the criterion of matching predicted text instances and ground truth ones. In the following part, we use these notations: S_{GT} is the area of the ground truth bounding box, S_P is the area of the predicted bounding box, S_I is the area of the intersection of the predicted and ground truth bounding box, S_U is the area of the union.

- PASCAL [27]: The basic idea is that, if the intersection-over-union value, i.e. $\frac{S_I}{S_U}$, is larger than a designated threshold, the predicted and ground truth box are matched together.
- DetEval: DetEval imposes constraints on both precision, i.e. $\frac{S_I}{S_P}$ and recall, i.e. $\frac{S_I}{S_{GT}}$. Only when both are larger than their respective thresholds, are they matched together.

Most datasets follow either of the two evaluation protocols, but with small modifications. We only discuss those that are different from the two protocols mentioned above.

5.2.1.1 ICDAR2003/2005: The match score m is calculated in a way similar to IOU. It's defined as the ratio of the area of intersection over that of the minimum bounding rectangular bounding box containing both. The precision and recall is calculated as the mean match scores for the predicted instances and ground truth ones respectively:

$$\text{precision} = \frac{\sum_{r_P} m(r_P; GT)}{|P|}$$

and

$$\text{recall} = \frac{\sum_{r_{GT}} m(r_{GT}; P)}{|GT|}$$

where P is the set of predicted text instances and GT is the set of ground truth ones.

5.2.1.2 ICDAR2011/13: One major drawback of the evaluation protocol of ICDAR2003/2005 is that it only considers one-to-one match. It does not consider one-to-many, many-to-many, and many-to-one matchings, which underestimates the actual performance. Therefore, ICDAR2011/2013 follows the method proposed by Wolf *et al.* [157]. Precision and recall are computed as follows:

35. [http://www.iapr-tc11.org/mediawiki/index.php?title=The_Street_View_House_Numbers_\(SVHN\)_Dataset](http://www.iapr-tc11.org/mediawiki/index.php?title=The_Street_View_House_Numbers_(SVHN)_Dataset)

$$\text{precision}(G, D, t_r, t_p) = \frac{\sum_j \text{Match}_D(D_j, G, t_r, t_p)}{|D|}$$

and

$$\text{recall}(G, D, t_r, t_p) = \frac{\sum_i \text{Match}_D(G_i, D, t_r, t_p)}{|G|}$$

where G and D are the ground truth set and detection set; t_r and t_p are thresholds value for area precision and recall respectively, set to 0.8 and 0.4 in practice.

The match score function, Match_D and Match_G , gives different score for each types of matching:

$$\text{Match}_{D/G}(D_j, G, t_r, t_p) = \begin{cases} 1, & \text{one-to-one match} \\ 0, & \text{if no match} \\ f_{sc}(k), & \text{if many matches} \end{cases} \quad (2)$$

$f_{sc}(k)$ is a function for punishment of many-matches, controlling the amount of splitting or merging. In practice, it's set to a constant function of 0.8.

5.2.1.3 MSRA-TD500: Yao *et al.* [145] proposes a new evaluation protocol for rotated bounding box, where both the predicted and ground truth bounding box are revolved horizontal around its center. They are matched only when the standard IOU score is higher than the threshold and the rotation of the original bounding boxes are less a pre-defined value (in practice $\frac{pi}{4}$).

5.2.2 Evaluation Protocols for Text Recognition and End-to-End System

Text recognition is another task where a cropped image is given which contains exactly one text instance, and we need to extract the text content from the image in a form that a computer program can understand directly, e.g. *string* type in C++ or *str* type in Python. There is not need for matching in this task. The predicted text string is compared to the ground truth directly. The performance evaluation is in either character-level recognition rate (i.e. how many characters are recognized) or word level (whether the predicted word is 100% correct). ICDAR also introduces an edit-distance based performance evaluation. Note that in end-to-end evaluation, matching is first performed in a similar way to that of text detection. State-of-the-art recognition performance on the most widely used datasets are summarized in Tab.9

The evaluation for end-to-end system is a combination of both detection and recognition. Given output from the system to be evaluated, i.e. text location and recognized content, predicted text instances are first matched with ground truth instances, followed by comparison of the text content.

The most widely used datasets for end-to-end systems are ICDAR2013 [68] and ICDAR2015 [67]. The evaluation over these two datasets are carried out under two different settings [1], the *Word Spotting* setting and the *End-to-End* setting. Under *Word Spotting*, the performance evaluation only focuses on the text instances from the scene image that appear in a predesignated vocabulary, while other text instances are ignored. On the contrary, all text instances that

TABLE 9: State-of-the-art recognition performance across a number of datasets. “50”, “1k”, “Full” are lexicons. “0” means no lexicon. “90k” and “ST” are the Synth90k and the SynthText datasets, respectively. “ST⁺” means including character-level annotations. “Private” means private training data.

Methods	ConvNet, Data	IIIT5k			SVT		IC03			IC13	IC15	SVTP	CUTE
		50	1k	0	50	0	50	Full	0	0	0	0	0
Wang <i>et al.</i> [153]	-	-	-	-	57.0	-	76.0	62.0	-	-	-	-	-
Bissacco <i>et al.</i> [9]	-	-	-	-	-	-	90.4	78.0	-	87.6	-	-	-
Almazan <i>et al.</i> [4]	-	91.2	82.1	-	89.2	-	-	-	-	-	-	-	-
Yao <i>et al.</i> [164]	-	80.2	69.3	-	75.9	-	88.5	80.3	-	-	-	-	-
Rodríguez-Serrano <i>et al.</i> [118]	-	76.1	57.4	-	70.0	-	-	-	-	-	-	-	-
Jaderberg <i>et al.</i> [62]	-	-	-	-	86.1	-	96.2	91.5	-	-	-	-	-
Su and Lu [139]	-	-	-	-	83.0	-	92.0	82.0	-	-	-	-	-
Gordo [40]	-	93.3	86.6	-	91.8	-	-	-	-	-	-	-	-
Jaderberg <i>et al.</i> [60]	VGG, 90k	97.1	92.7	-	95.4	80.7	98.7	98.6	93.1	90.8	-	-	-
Jaderberg <i>et al.</i> [58]	VGG, 90k	95.5	89.6	-	93.2	71.7	97.8	97.0	89.6	81.8	-	-	-
Shi <i>et al.</i> [131]	VGG, 90k	97.8	95.0	81.2	97.5	82.7	98.7	98.0	91.9	89.6	-	-	-
*Shi <i>et al.</i> [132]	VGG, 90k	96.2	93.8	81.9	95.5	81.9	98.3	96.2	90.1	88.6	-	71.8	59.2
Lee <i>et al.</i> [74]	VGG, 90k	96.8	94.4	78.4	96.3	80.7	97.9	97.0	88.7	90.0	-	-	-
Yang <i>et al.</i> [161]	VGG, Private	97.8	96.1	-	95.2	-	97.7	-	-	-	-	75.8	69.3
Cheng <i>et al.</i> [15]	ResNet, 90k+ST ⁺	99.3	97.5	87.4	97.1	85.9	99.2	97.3	94.2	93.3	70.6	-	-
Shi <i>et al.</i> [133]	ResNet, 90k+ST	99.6	98.8	93.4	99.2	93.6	98.8	98.0	94.5	91.8	76.1	78.5	79.5

appear in the scene image are included under *End-to-End*. Three different vocabulary lists are provided for candidate transcriptions. They include *Strongly Contextualised*, *Weakly Contextualised*, and *Generic*. The three kinds of lists are summarized in Tab.8. Note that under *End-to-End*, these vocabulary can still serve as reference.

State-of-the-art performance of End-to-End and Word Spotting tasks on ICDAR2013 and ICDAR2015 are summarized in Tab.11 and Tab.10 respectively.

6 APPLICATION

The detection and recognition of text—the visual and physical carrier of human civilization—allows the connection between vision and the understanding of its content further. Apart from the applications we have mentioned at the beginning of this paper, there have been numerous specific application scenarios across various industries and in our daily lives. In this part, we list and analyze the most outstanding ones that have, or are to have, significant impact, improving our productivity and life quality.

Document Digitization So far, paper-based documents are the main storage medium for data in a wide diversity of industries. These documents, across multiple languages and various formats, can be scanned and digitized into structured forms with proper OCR techniques that machines can read. Potential beneficiaries may include: medical records, banking records, wills, financial records, history materials, paper books and etc.. Despite the recent digitization of information, there are still a large amount of paper-based documents from dozens of years ago. Neither are all the data produced today created in digital form. Digitization via OCR can make storage easier (consider the space needed, fireproofing, pest-control, oxidation damage, and etc.), and also more accessible to users. It also allows massive data analysis on them. A famous example is the Project Gutenberg [2] that digitizes and makes archives for books.

Automatic Data Entry Apart from an electronic archive of existing documents, OCR can also improve our productivity in the form of automatic data entry. Some industries involve time-consuming data type-in, e.g. express orders written by customers in the delivery industry, and handwritten information sheets in the financial and insurance industries. Applying OCR techniques can accelerate the data entry process as well as protect customer privacy. Some companies have already been using this technologies, e.g. SF-Express³⁶. Another potential application is note taking, such as NEBO³⁷, a note-taking software on tablets like iPad that can perform instant transcription as user writes down notes.

Identity Authentication Automatic identity authentication is yet another field where OCR can give a full play to. In fields such as Internet finance and Customs, users/passengers are required to provide identification (ID) information, such as identity card and passport. Automatic recognition and analysis of the provided documents would require OCR that reads and extracts the textual content, and can automate and greatly accelerate such processes. There are companies that have already started working on identification based on face and ID card, e.g. Megvii(Face++)³⁸.

Augmented Computer Vision As text is an essential element for the understanding of scene, OCR can assist computer vision in many ways. In the scenario of autonomous vehicle, text-embedded panels carry important information, e.g. geo-location, current traffic condition, navigation, and etc.. There have been several works on text detection and recognition for autonomous vehicle [98], [99]. The largest dataset so far, CTW [172], also places extra emphasis on traffic signs. Another example is instant translation, where

36. Official website: <http://www.sf-express.com/cn/sc/>

37. Official website: <https://www.myscript.com/nebo/>

38. Megvii’s AI cloud platform:
<https://www.faceplusplus.com/face-based-identification/>

TABLE 10: State-of-the-art performance of End-to-End and Word Spotting tasks on ICDAR2015. * means multi-scale, † stands for the base net of the model is not VGG16.

Method	Word Spotting			End-to-End			FPS
	S	W	G	S	W	G	
Baseline OpenCV3.0+Tesseract [67]	14.7	12.6	8.4	13.8	12.0	8.0	-
TextSpotter [78]	37.0	21.0	16.0	35.0	20.0	16.0	1
Stradvision [67]	45.9	-	-	43.7	-	-	-
Deep2Text-MO [60], [169], [170]	17.58	17.58	17.58	16.77	16.77	16.77	-
TextProposals+DictNet [37], [59]	56.0	52.3	49.7	53.3	49.6	47.2	0.2
HUST_MCLAB [130], [131]	70.6	-	-	67.9	-	-	-
Deep Text Spotter [13]	58.0	53.0	51.0	54.0	51.0	47.0	9.0
FOTS* [87]	87.01	82.39	67.97	83.55	79.11	65.33	3.7
He <i>et al.</i> [50]	85	80	65	82	77	63	-
Mask TextSpotter [95]	79.3	74.5	64.2	79.3	73.0	62.4	2.6

TABLE 11: State-of-the-art performance of End-to-End and Word Spotting tasks on ICDAR2013. * means multi-scale, † stands for the base net of the model is not VGG16.

Method	Word Spotting			End-to-End			FPS
	S	W	G	S	W	G	
Jaderberg <i>et al.</i> [60]	90.5	-	76	86.4	-	-	-
FCRNall+multi-filt [43]	-	-	84.7	-	-	-	-
Textboxes [78]	93.9	92.0	85.9	91.6	89.7	83.9	
Deep text spotter [13]	92	89	81	89	86	77	9
Li <i>et al.</i> [77]	94.2	92.4	88.2	91.1	89.8	84.6	1.1
FOTS* [87]	95.94	93.90	87.76	91.99	90.11	84.77	11.2
He <i>et al.</i> [50]	93	92	87	91	89	86	-
Mask TextSpotter [95]	92.5	92.0	88.2	92.2	91.1	86.5	4.8

OCR is combined with a translation model. This can be extremely helpful and time-saving as people travel or consult documents written in foreign languages. Google’s Translate application³⁹ can perform such instant translation. A similar application is instant text-to-speech equipped with OCR, which can help those with visual disability and those who are illiterate [3].

Intelligent Content Analysis OCR also allows the industries to perform more intelligent analysis, mainly for platforms like video-sharing websites and e-commerce. Text can be extracted from images and subtitles as well as real-time commentary subtitles (a kind of floating comments added by users, e.g. those in Bilibili⁴⁰ and Niconico⁴¹). On the one hand, such extracted text can be used in automatic content tagging and recommendation system. They can also be used to perform user sentiment analysis, e.g. which part of the video attracts the users most. On the other hand, website administrator can impose supervision and filtration for inappropriate and illegal content, such as terrorist advocacy.

7 DISCUSSION

7.1 Status Quo

The past several years have witnessed the significant development of algorithms for text detection and recognition. As deep learning rose, the methodology of research has changed from searching for patterns and features, to architecture designs that takes up challenges one by one. We’ve seen and recognize how deep learning has resulted in great

progress in terms of the performance of the benchmark datasets. Following a number of newly-designed datasets, algorithms aimed at different targets have attracted attention, e.g. for blurred images and irregular text. Apart from efforts towards a general solution to all sorts of images, these algorithms can be trained and adapted to more specific scenarios, e.g. bank card, ID card, and driver’s license. Some companies have been providing such scenario-specific APIs, including Baidu Inc., Tencent Inc. and Megvii Inc.. Recent development of fast and efficient methods [116], [180] has also allowed the deployment of large-scale systems [10]. Companies including Google Inc. and Amazon Inc. are providing text extraction APIs.

Despite the success so far, algorithms for text detection and recognition are still confronted with several challenges. While human have barely no difficulties localizing and recognizing text, current algorithms are not designed and trained effortlessly. They have not yet reached human-level performance. Besides, most datasets are monolingual. We have no idea how these models would perform on other languages. What exacerbates it is that, the evaluation metrics we use today may be far from perfect. Under PASCAL evaluation, a detection result which only covers slightly more than half of the text instance would be judged as successful as it passes the IoU threshold of 0.5. Under DetEval, one can manually enlarge the detected area to meet the requirement of pixel recall, as DetEval requires a high pixel recall (0.8) but rather low pixel precision (0.4). Both cases would be judged as failure from oracle’s viewpoint, as the former can not retrieve the whole text, while the later encloses too much background. A new and more appropriate evaluation protocol is needed. Finally, few works except for TextSnake [92] have considered the problem of generalization ability across

39. <https://translate.google.com/intl/en/about/>

40. <https://www.bilibili.com>

41. www.nicovideo.jp

datasets. Generalization ability is important as we aim to some application scenarios would require the adaptability to changing environments. For example, instant translation and OCR in autonomous vehicles should be able to perform stably under different situations: zoomed-in images with large text instances, far and small words, blurred words, different languages and shapes. However, these scenarios are only represented by different datasets individually. We should expect a more diverse dataset.

7.2 Future Trends

History is a mirror for the future. What we lack today tells us about what we can expect tomorrow.

Diversity among Datasets: More Powerful Model Text detection and recognition is different from generic object detection in the sense that, it's faced with unique challenges. We expect that new datasets aimed at new challenges, as we have seen so far [17], [67], [174], would draw attention to these aspects and solve more real world problems.

Diversity inside Datasets: More Robust Model Despite the success we've seen so far, current methods are only evaluated on single datasets after being trained on them separately. Tests of authentic generalization are needed, where a single trained model is evaluated on a more diverse held-out set, e.g. a combination of current datasets. Naturally, a new dataset representing several challenges would also provide extra momentum for this field. Evaluation of cross dataset generalization ability is also preferable, where the model is trained only on one dataset and then tested of another, as done in recent work in curved text [92].

Suitable Evaluation Metrics: a Fairer Play As discussed above, an evaluation metric that fits the task more appropriately would be better. Current evaluation metrics (DetEval and PASCAL-Eval) are inherited from the more generic task of object detection, where detection results are all represented in rectangular bounding boxes. However, in text detection and recognition, the shapes and orientations matter. Tighter and noiseless bounding region would also be more friendly to recognizers. Neglecting some parts in object detection may be acceptable as it remains semantically the same, but it would be disastrous for the final text recognition results as some characters may be missing, resulting in different words.

Towards Stable Performance: as Needed in Security As we have seen work that breaks sequence modeling methods [173] and attacks that interfere image classification models [141], we should pay more attention to potential security risks. Especially, text detection and recognition methods themselves are applied in security services e.g. identity check.

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