# Model Transformation with Triple Graph Grammars and Non-terminal Symbols

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**Abstract.** This work proposes a new graph grammar formalism, that introduces non-terminal symbols to triple graph grammars (TGG), and shows how to apply it for solving the model transformation problem. Our proposed formalism seems to suit code generation from models very well, outperforms the standard TGG in the grammar size in one evaluated case and is able to express a transformation that we could not express with TGG

**Keywords:** NCE Graph Grammars  $\cdot$  Triple Graph Grammars  $\cdot$  Model Transformation  $\cdot$  Model-based Development.

### 1 Introduction

Quality of service is a very common requirement for software and engineering projects, specially for safety-critical systems. A technique that aims to assure and enhance quality of software is the model-based development approach, which consists of the use of abstract models to specify aspects of the system under construction. The use of such models often allows for cheaper tests and verification as well as easier discussions about the system.

The construction of a system with model-based development commonly requires the creation of various models in different levels of abstraction, in which case we are interested in generating models automatically from other models. One example of such a situation is the transformation of a UML diagram into source-code or the compilation of source-code into machine-code. This problem is known as model transformation and several approaches to solve it have been proposed so far. Some of them consist of using the theory of graph grammars to formalize models and describe relations between them. One of which is the triple graph grammar (TGG) approach [20], which consists of building context-sensitive-like grammars of so-called triple graphs.

Triple graphs are composed of three graphs, the source and the target graphs, representing two models, and the correspondence graph that connects the source and the target through morphisms. A triple graph can be used to express the relationship between two graphs through the morphisms between their vertices. In this sense, a TGG describes a language of pairs of graphs which vertices have

a certain relationship. For the context of model transformation, in which one is interested in defining a translator from a source model to a target model, a TGG can be used to describe the set of all correctly translated source models and its correspondents target models, in form of a language of triple graphs.

Despite the various positive aspects of TGGs, like a well-founded theory and a reasonable tool support [1], they may sometimes get too big or too difficult to be constructed correctly. We judge, this downside stems from the absence of the concept of non-terminal symbols in the TGG formalism. This concept allows, in the theory of formal languages, for a very effective representation of abstract entities in string grammars.

So, motivated by this benefit, we present in this paper a novel formalism that redefines the standard triple graph grammars and introduces the notion of non-terminal symbols to create a context-free-like triple graph grammar formalism, that has in some cases a smaller size and with which we could describe one transformation that we could not with standard TGG.

Our approach consists of (1) mixing an already existent context-free-like graph grammar formalism, called BNCE graph grammar from [13], with the standard TGG formalism from [20], to create the BNCE TGG and (2) showing an argumentation of how it can be used to solve the model transformation problem.

The remainder of this paper is as follows, in Section 2, we present the research publications related to the topic, in Section 3, we give the main definitions necessary to build our approach, in Section 4, we propose our modified version of TGG, the BNCE TGG, in Section 5 we argument that our approach can be used for model transformation, in Section 6 we evaluate our results and, finally, in Section 7 we summarize and close our discussion.

#### 2 Related Works

In this section, we offer a literary review on the topics of graph grammars and triple graph grammars as well as we indicate published works that are related with our approach. Here, we focus on the node label approach for graph grammars. Nevertheless, the field does not restrict to this topic, instead, there is a myriad of different approaches to it, for example, the algebraic approach [6]. We refer to context-free and context-sensitive grammars, inspired by the use of such classification for string grammars, in a relaxed way without any compromise to the correct definition of context-freeness for graph grammars.

We divide the node label replacement approaches into context-sensitive and context-free. The former includes the *layered graph grammars*, which semantics consists of the replacement of graphs by other graphs governed by morphisms [18] and for which exponential-time bottom-up parsing algorithms are proposed in [17, 4, 10]. Another context-sensitive formalism is the *reserved graph grammar*, that is based on the replacement of directed graphs by necessarily greater directed graphs governed by simple embedding rules [24] and for which exponential and polynomial-time bottom-up algorithms have been proposed in [23, 25].

In node label replacement context-free formalisms stand out the node label controlled (NLC) grammars and its successor graph grammar with neighborhood-controlled embedding (NCE). NLC is based on the replacement of one vertex by a graph, governed by embedding rules written in terms of the vertex's label [19]. For various classes of these grammars, there are polynomial-time top-down and bottom-up parsing algorithms proposed in [8, 9, 19, 22]. The recognition complexity and generation power of such grammars are analyzed in [7,15]. NCE occurs in several formulations, including a context-sensitive one, but here we focus on the formulation where one vertex is replaced by a graph, and the embedding rules are written in terms of the vertex's neighbors [13,21]. For some classes of these grammars, also polynomial-time bottom-up parsing algorithms and automaton formalisms were proposed and analyzed in [14,5]. In special, one of these classes is the boundary graph grammar with neighborhood-controlled embedding (BNCE), that is used to construct our own formalism.

Regarding TGGs, a lot of advances has been made since its first appearance [20], a 20 years review of the realm is put forward in [1]. In special, but not restricted to, advances are made in the direction of expressiveness with the introduction of application conditions [16] and of modularization [2]. Furthermore, in the algebraic approach for graph grammars, we have found proposals that introduce inheritance [3, 11] and variables [12] to the formalisms. Nevertheless, it is not of our knowledge an approach that introduces non-terminal symbols to TGGs with the purpose of gaining expressiveness or usability. In this sense our proposal brings something new to the current state-of-the-art.

# 3 Graph Grammars and Triple Graph Grammars

In this section, we introduce important definitions that are used throughout this paper. First, we present the definitions, taken mainly from [19], regarding graphs, second, we introduce the families of graph grammars NCE and BNCE taken from [13,14] and then, we express our understanding of TGG, backed by [20].

**Definition 1.** A directed labeled graph G over the set of symbols  $\Sigma$ ,  $G = (V, E, \phi)$  consists of a finite set of vertices V, a set of labeled directed edges  $E \subseteq V \times \Sigma \times V$  and a total vertex labeling function  $\phi : V \to \Sigma$ .

Directed labeled graphs are often referred to simply as graphs. For a fixed graph G we refer to its components as  $V_G$ ,  $E_G$  and  $\phi_G$ . Moreover, we define the special empty graph as  $\varepsilon := (\emptyset, \emptyset, \emptyset)$  and we denote the set of all graphs over  $\Sigma$  by  $\mathcal{G}_{\Sigma}$ . Two graphs G and H are disjoint iff  $V_G \cap V_H = \emptyset$ . If  $\phi_G(v) = a$  we say v is labeled by a. In special, we do not allow loops (vertices of the form (v, l, v)), but multi-edges with different labels are allowed.

**Definition 2.** Two vertices v and w are neighbors (also adjacent) iff there is one or more edges between them, that is,  $(v,l,w) \in E_G \vee (w,l,v) \in E_G$ . And function  $\operatorname{neigh}_G: 2^{V_G} \to 2^{V_G}$ , applied to U gives the set of neighbors of the

vertices in U minus U. That is  $\operatorname{neigh}_G(U) = \{v \in V_G \setminus U \mid \text{ exists } a\ (v,l,u) \in E_G \text{ or } a\ (u,l,v) \in E_G \text{ with } u \in U\}$ 

**Definition 3.** A morphism of graphs G and H is a total mapping  $m: V_G \to V_H$ .

**Definition 4.** An isomorphism of directed labeled graphs G and H is a bijective mapping  $m: V_G \to V_H$  that maintains the connections between vertices and their labels, that is,  $(v, l, w) \in E_G$  if, and only if,  $(m(v), l, m(w)) \in E_H$  and  $\phi_G(v) = \phi_H(m(v))$ . In this case, G and H are said to be isomorphic, we write  $G \cong H$ , and we denote the equivalence class of all graphs isomorphic to G by [G].

Notice that, contrary to isomorphisms, morphisms do not require bijectivity nor label or edge-preserving properties.

**Definition 5.** A  $\Gamma$ -boundary graph G is such that vertices labeled with any symbol from  $\Gamma$  are not neighbors. That is, the graph G is  $\Gamma$ -boundary iff,  $\not\supseteq (v, l, w) \in E_G$ .  $\phi_G(v) \in \Gamma \land \phi_G(w) \in \Gamma$ .

**Definition 6.** A graph grammar with neighborhood-controlled embedding (NCE graph grammar)  $GG = (\Sigma, \Delta \subseteq \Sigma, S \in \Sigma, P)$  consists of a finite set of symbols  $\Sigma$  that is the alphabet, a subset of the alphabet  $\Delta \subseteq \Sigma$  that holds the terminal symbols (we define the complementary set of non-terminal symbols as  $\Gamma := \Sigma \setminus \Delta$ ), a special symbol of the alphabet  $S \in \Sigma$  that is the start symbol, and a finite set of production rules P of the form  $(A \to R, \omega)$  where  $A \in \Gamma$  is the so-called left-hand side,  $R \in \mathcal{G}_{\Sigma}$  is the right-hand side and  $\omega : V_R \to 2^{\Sigma \times \Sigma}$  is the partial embedding function from the R's vertices to pairs of edge and label symbols.

Notice that, in the original definition of NCE graph grammars [13], the left-hand side of the productions were allowed to contain any connected graph. Moreover, only undirected graphs without edge labels were allowed. So, strictly speaking, the definition above characterizes actually a 1-edNCE graph grammar, that contains only one element in the left-hand side and a directed edge-labeled graph in the right-hand side. Nevertheless, for simplicity, we use the denomination NCE graph grammar, or simply graph grammar, to refer to a 1-edNCE graph grammar along this paper. Moreover, vertices from the right-hand sides of rules labeled by non-terminal (terminal) symbols are said to be non-terminal (terminal) vertices. And finally, define, for convenience, the start graph of GG as  $Z_{GG} := (\{v_s\}, \emptyset, \{v_s \mapsto S\})$ .

**Definition 7.** A boundary graph grammar with neighborhood-controlled embedding (BNCE graph grammar) GG is such that non-terminal vertices of the right-hand sides of rules are not neighbors. That is, the graph grammar GG is boundary iff all its rules' right-hand sides are  $\Gamma$ -boundary graphs.

In the following, we present our concrete syntax inspired by the well-known backus-naur form to denote BNCE graph grammar rules. Let  $GG = (\{A, a, b, c\}, \{a, b, c\}, A, \{p, q\})$  be a graph grammar with production rules  $p = (A \to G, \omega)$ 

and  $q = (A \to H, \zeta)$  where  $G = (\{v_1, v_2, v_3\}, \{(v_1, l, v_2), (v_2, m, v_3)\}, \{v_1 \mapsto B, v_2 \mapsto b, v_3 \mapsto c\}), \omega = \{v_1 \mapsto \{(l, a), (l, b), (m, c)\}\}, \text{ and } H = (\{u_1\}, \emptyset, \{u_1 \mapsto a\}) \text{ and } \zeta = \emptyset, \text{ we denote } p \text{ and } q \text{ together as}$ 

Notice that, we use squares for non-terminal vertices, circles for terminal vertices, position the respective label inside the shape and the (possibly omitted) identifier over it. Over each edge is positioned its respective label. To depict the embedding function, we place near the respective vertex a small circle labeled with the image pairs of the embedding function for this node aligned vertically and separated by semi-colons, which in certain circumstances may also be omitted.

With these syntactic notions of the formalism presented, we introduce below its semantics by means of the concepts of derivation step, derivation and language.

**Definition 8.** Let  $GG = (\Sigma, \Delta, S, P)$  be a graph grammar and G and H be two graphs over  $\Sigma$  that are disjoint to all right-hand sides from P, G concretely derives in one step into H with rule r and vertex v, we write  $G \stackrel{r,v}{\Rightarrow}_{GG} H$  and call it a concrete derivation step, if, and only if, the following holds:

$$\begin{split} r &= (A \to R, \omega) \in P \ \ and \ A = \phi_G(v) \ \ and \\ V_H &= (V_G \setminus \{v\}) \cup V_R \ \ and \\ E_H &= (E_G \setminus \{(w, l, t) \in E_G \mid v = w \lor v = t\}) \\ &\cup E_R \\ &\cup \{(w, l, t) \mid (w, l, v) \in E_G \land (l, \phi_G(w)) \in \omega(t)\} \\ &\cup \{(t, l, w) \mid (v, l, w) \in E_G \land (l, \phi_G(w)) \in \omega(t)\} \ \ and \\ \phi_H &= (\phi_G \setminus \{(v, x)\}) \cup \phi_R \end{split}$$

Notice that, without loss of generalization, we set  $\omega(t) = \emptyset$  for all vertices t without an image defined in  $\omega$ . Furthermore, let H' be isomorphic to H, if G concretely derives in one step into H, we say it derives in one step into H' and write  $G \stackrel{r,v}{\Rightarrow}_{GG} H'$ .

When GG, r or v are clear in the context or irrelevant we might omit them and simply write  $G \stackrel{!}{\Rightarrow} H$  or  $G \stackrel{!}{\Rightarrow} H$ . Moreover, we denote the reflexive transitive closure of  $\stackrel{!}{\Rightarrow}$  by  $\Rightarrow^*$  and, for  $G \Rightarrow^* H'$ , we say G derives in one or more steps into H', or simply G derives into H'.

A concrete derivation can be informally understood as the replacement of a non-terminal vertex v and all its adjacent edges in G by a graph R plus edges e from former neighbors w of v to some vertices t of R, provided e's label and w's label are in the embedding specification  $\omega(t)$ . That is, the embedding function  $\omega$  of a rule specifies which neighbors of v are to be connected with which vertices of R, according to their labels and the adjacent edges' labels. The process that

governs the creation of these edges is called embedding and can occur in various forms in different graph grammar formalisms. We opted for a rather simple approach, in which the edges' directions and labels are maintained and cannot be used to define embedding.

**Definition 9.** A derivation D in GG is a sequence of derivation steps and is written as

$$D = (G_0 \overset{r_0, v_0}{\Rightarrow} G_1 \overset{r_1, v_1}{\Rightarrow} G_2 \overset{r_2, v_2}{\Rightarrow} \dots \overset{r_{n-1}, v_{n-1}}{\Rightarrow} G_n)$$

**Definition 10.** The language L(GG) generated by the grammar GG is the set of all graphs containing only terminal vertices derived from the start graph  $Z_{GG}$ , that is

$$L(GG) = \{H \text{ is a graph over } \Delta \text{ and } Z_{GG} \Rightarrow^* H\}$$

Notice that for every graph  $G \in L(GG)$ , there is at least one finite derivation  $(Z_{GG} \stackrel{r_0,v_0}{\Rightarrow} \dots \stackrel{r_{n-1},v_{n-1}}{\Rightarrow} G)$ , but it is not guaranteed that this derivation be unique. In the case that there are more than one derivation for a G, we say that the grammar GG is ambiguous.

Below we give one example of a grammar whose language consists of all chains of one or more vertices with interleaved vertices labeled with a and b.

Example 1.  $GG = (\{S, A, B, a, b, c\}, \{a, b, c\}, S, P)$ , where P is

The graph  $G = {}^{\textcircled{a}} \xrightarrow{c} {}^{\textcircled{b}} \xrightarrow{c} {}^{\textcircled{a}}$  belongs to L(GG) because it contains only terminal vertices and  $Z_{GG}$  derives into it using the following derivation:

$$Z_{GG} \stackrel{v_1}{\Rightarrow} \stackrel{v_2}{\Rightarrow} \stackrel{v_2}{\Rightarrow} \stackrel{v_3}{\Rightarrow} \stackrel{v_2}{\Rightarrow} \stackrel{v_4}{\Rightarrow} \stackrel{v_5}{\Rightarrow} \stackrel{v_2}{\Rightarrow} \stackrel{v_4}{\Rightarrow} \stackrel{v_6}{\Rightarrow} \stackrel{v_6}{$$

Building upon the concepts of graphs and graph grammars, we present, in the following, our understanding over triple graphs and triple graph grammars (TGGs), supported by the TGG specification from [20].

**Definition 11.** A directed labeled triple graph  $TG = G_s \stackrel{m_s}{\leftarrow} G_c \stackrel{m_t}{\rightarrow} G_t$  over  $\Sigma$  consists of three disjoint directed labeled graphs over  $\Sigma$  (see 1), respectively, the source graph  $G_s$ , the correspondence graph  $G_c$  and the target graph  $G_t$ , together with two injective morphisms (see 3)  $m_s: V_{G_c} \rightarrow V_{G_s}$  and  $m_t: V_{G_c} \rightarrow G_{G_t}$ .

Directed labeled triple graphs are often referred to simply as triple graphs in this paper and we might omit the morphisms' names in the notation. Moreover, we denote the set of all triple graphs over  $\Sigma$  as  $\mathcal{TG}_{\Sigma}$ . We might refer to all vertices of TG by  $V_{TG} := V_s \cup V_c \cup V_t$ , all edges by  $E_{TG} := E_s \cup E_c \cup E_t$  and the complete labeling function by  $\phi_{TG} := \phi_{G_s} \cup \phi_{G_c} \cup \phi_{G_t}$ . We also advise that in

literature, TGGs are often modeled as typed graphs, but we judge that for our circumstance labeled graphs fit better and we are convinced that such divergence does not threat the validity of our approach.

**Definition 12.** A  $\Gamma$ -boundary triple graph  $TG = G_s \leftarrow G_c \rightarrow G_t$  is such that  $G_s$ ,  $G_c$  and  $G_t$  are  $\Gamma$ -boundary graphs.

Below we introduce the standard definition of TGG. As the reader should notice, this definition of TGG does not fit our needs optimally, because it defines a context-sensitive-like graph grammar whilst we wish a context-free-like graph grammar to use together with the NCE graph grammar formalism. Hence, after presenting the conventional TGG definition, we refine it, in the next Section, to create a NCE TGG, that fits our context best.

**Definition 13.** A triple graph grammar  $TGG = (\Sigma, \Delta \subseteq \Sigma, S \in \Sigma, P)$  consists of, analogously to graph grammars (see 6), an alphabet  $\Sigma$ , a set of terminal symbols  $\Delta$  (also define  $\Gamma := \Sigma \setminus \Delta$ ), a start symbol S and a set of production rules P of the form  $L \to R$  with  $L = L_s \leftarrow L_c \to L_t$  and  $R = R_s \leftarrow R_c \to R_t$  and  $L \subseteq R$ .

# 4 BNCE TGG: A TGG with Non-terminal Symbols

In this section, we put forward our first contribution, that is the result of mixing the NCE and the TGG grammars.

**Definition 14.** A triple graph grammar with neighborhood-controlled embedding (NCE TGG) TGG =  $(\Sigma, \Delta \subseteq \Sigma, S \in \Sigma, P)$  consists of, an alphabet  $\Sigma$ , a set of terminal symbols  $\Delta$  (also define  $\Gamma := \Sigma \setminus \Delta$ ), a start symbol S and a set of production rules P of the form  $(A \to (R_s \leftarrow R_c \to R_t), \omega_s, \omega_t)$  with  $A \in \Gamma$  being the left-hand side,  $(R_s \leftarrow R_c \to R_t) \in \mathcal{TG}_{\Sigma}$  the right-hand side and  $\omega_s : V_{R_s} \to 2^{\Sigma \times \Sigma}$  and  $\omega_t : V_{R_t} \to 2^{\Sigma \times \Sigma}$  the partial embedding functions from the right-hand side's vertices to pairs of edge and label symbols.

We might refer to the complete embedding function of a rule by  $\omega := \omega_s \cup \omega_t$ . For convenience, define also the start triple graph of TGG as  $Z_{TGG} := Z_s \stackrel{ms}{\leftarrow} Z_c \stackrel{mt}{\rightarrow} Z_t$  where  $Z_s = (\{s_0\}, \emptyset, \{s_0 \mapsto S\}), Z_c = (\{c_0\}, \emptyset, \{c_0 \mapsto S\}), Z_t = (\{t_0\}, \emptyset, \{t_0 \mapsto S\}), ms = \{c_0 \mapsto s_0\}$  and  $mt = \{c_0 \mapsto t_0\}$ .

**Definition 15.** A boundary triple graph grammar with neighborhood-controlled embedding (BNCE TGG) is such that non-terminal vertices of the right-hand sides of rules are not neighbors. That is, the triple graph grammar TGG is boundary iff all its rules' right-hand sides are  $\Gamma$ -boundary triple graphs.

The most important difference between the traditional TGG and the NCE TGG, is that the former allows any triple graph to occur in the left-hand sides, whereas the latter only one symbol. In addition to that, traditional TGG requires that the whole left hand side occur also in the right-hand side, that is to say,

the rules are monotonic crescent. Therewith, embedding is not an issue, because an occurrence of the left-hand side is not effectively replaced by the right-hand side, instead, only new vertices are added. On the other hand, NCE TGG has to deal with embedding through the embedding function.

In the following, the semantics for NCE TGG is presented analogously to the semantics for NCE graph grammars.

**Definition 16.** Let  $TGG = (\Sigma, \Delta, S, P)$  be a NCE TGG and  $G = G_s \stackrel{q_s}{\leftarrow} G_c \stackrel{g_t}{\rightarrow} G_t$  and  $H = H_s \stackrel{h_s}{\leftarrow} H_c \stackrel{h_t}{\rightarrow} H_t$  be two triple graphs over  $\Sigma$  that are disjoint to all right-hand sides from P, G concretely derives in one step into H with rule r and distinct vertices  $v_s, v_c, v_t$ , we write  $G \stackrel{r,v_s,v_c,v_t}{\Rightarrow}_{TGG} H$  if, and only if, the following holds:

$$r = (A \rightarrow (R_s \stackrel{r_s}{\leftarrow} R_c \stackrel{r_t}{\rightarrow} R_t), \omega_s, \omega_t) \in P \text{ and }$$

$$A = \phi_{G_s}(v_s) = \phi_{G_c}(v_c) = \phi_{G_t}(v_t) \text{ and }$$

$$V_{H_s} = (V_{G_s} \setminus \{v_s\}) \cup V_{R_s} \text{ and }$$

$$V_{H_c} = (V_{G_c} \setminus \{v_c\}) \cup V_{R_c} \text{ and }$$

$$V_{H_t} = (V_{G_t} \setminus \{v_t\}) \cup V_{R_t} \text{ and }$$

$$E_{H_s} = (E_{G_s} \setminus \{(w, l, t) \in E_{G_s} \mid w \in \{v_s\} \lor t \in \{v_s\}\}) \cup E_{R_s}$$

$$\cup \{(w, l, t) \mid (w, l, v) \in E_{G_s} \land (l, \phi_{G_s}(w)) \in \omega_s(t)\}$$

$$\cup \{(t, l, w) \mid (v, l, w) \in E_{G_s} \land (l, \phi_{G_s}(w)) \in \omega_s(t)\} \text{ and }$$

$$E_{H_c} = (E_{G_c} \setminus \{(w, l, t) \in E_{G_c} \mid w = v_c \lor t = v_c\}) \cup E_{R_c} \text{ and }$$

$$E_{H_t} = (E_{G_t} \setminus \{(w, l, t) \in E_{G_t} \mid w = v_t \lor t = v_t\}) \cup E_{R_t}$$

$$\cup \{(w, l, t) \mid (w, l, v) \in E_{G_t} \land (l, \phi_{G_t}(w)) \in \omega_t(t)\}$$

$$\cup \{(t, l, w) \mid (v, l, w) \in E_{G_t} \land (l, \phi_{G_t}(w)) \in \omega_t(t)\} \text{ and }$$

$$h_s = (g_s \setminus \{(v_c, x)\}) \cup r_s$$

$$h_t = (g_t \setminus \{(v_c, x)\}) \cup r_t$$

$$\phi_{H_s} = (\phi_{G_s} \setminus \{(v_c, x)\}) \cup \phi_{R_s} \text{ and }$$

$$\phi_{H_c} = (\phi_{G_c} \setminus \{(v_c, x)\}) \cup \phi_{R_c} \text{ and }$$

$$\phi_{H_c} = (\phi_{G_c} \setminus \{(v_t, x)\}) \cup \phi_{R_c} \text{ and }$$

$$\phi_{H_t} = (\phi_{G_t} \setminus \{(v_t, x)\}) \cup \phi_{R_t}$$

Notice that, without loss of generalization, we set  $\omega(t) = \emptyset$  for all vertices t without an image defined in  $\omega$ . And, analogously to graph grammars, if  $G \stackrel{r,v_s,v_c,v_t}{\Rightarrow}_{TGG} H$  and  $H' \in [H]$ , then  $G \stackrel{r,v_s,v_c,v_t}{\Rightarrow}_{TGG} H'$ , moreover the reflexive transitive closure of  $\Rightarrow$  is denoted by  $\Rightarrow^*$  and we call these relations by the same names as before, namely, derivation in one step and derivation. We might also omit identifiers along this paper.

A concrete derivation of a triple graph  $G = G_s \stackrel{g_s}{\leftarrow} G_c \stackrel{g_t}{\rightarrow} G_t$  can de informally understood as concrete derivations (see 8) of  $G_s$ ,  $G_c$  and  $G_t$  according to the right-hand sides  $R_s$ ,  $R_c$  and  $R_t$ . The only remark is the absence of an

embedding mechanism for the correspondence graph, which edges are not important for our application. Nevertheless, the addition of such a mechanism for the correspondence graph should not be a problem if it is desired.

**Definition 17.** A derivation D in TGG is a sequence of derivation steps

$$D = (G_0 \overset{r_0, s_0, c_0, t_0}{\Rightarrow} G_1 \overset{r_1, s_1, c_1, t_1}{\Rightarrow} G_2 \overset{r_2, s_2, c_2, t_2}{\Rightarrow} \dots \overset{r_{n-1}, s_{n-1}, c_{n-1}, t_{n-1}}{\Rightarrow} G_n)$$

**Definition 18.** The language L(TGG) generated by the triple grammar TGG is the set of all triple graphs containing only terminal vertices derived from the start triple graph  $Z_{TGG}$ , that is

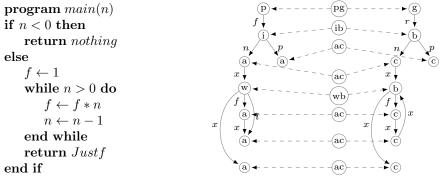
$$L(TGG) = \{H \text{ is a triple graph over } \Delta \text{ and } Z_{TGG} \Rightarrow^* H\}$$

Our concrete syntax for NCE TGG is similar to the one for NCE graph grammars and is presented below by means of the Example 2. The only difference is at the right-hand sides, that include the morphisms between the correspondence graph and source and target graphs depicted with dashed lines.

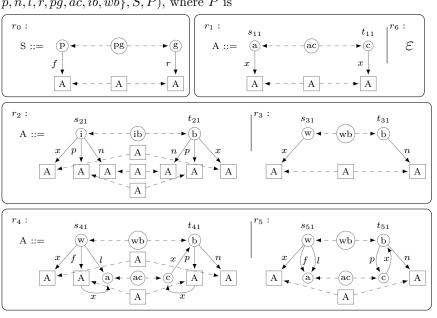
Example 2. This example illustrates the definition of a BNCE TGG that characterizes the language of all Pseudocode graphs together with their respective Controlflow graphs. A Pseudocode graph is an abstract representation of a program written in a pseudo-code where vertices refer to actions, ifs or whiles and edges connect these items together according to how they appear in the program. A Controlflow graph is a more abstract representation of a program, where vertices can only be either a command or a branch.

Consider, for instance, the program main below on the left, written in a pseudo-code. The triple graph TG on the right consists of the Pseudocode graph of main connected to the Control flow graph of the same program through the correspondence graph in the middle of them. In such graph, the vertex labels of the Pseudocode graph p, i, a, w correspond to the concepts of program, if, action and while, respectively. The edge label f is given to the edge from the vertex p to the program's first statement, x stands for next and indicates that a statement is followed by another, p and n stand for positive and negative and indicate which assignments correspond to the positive of negative case of the if's evaluation, finally l stands for last and indicates the last action of a loop. In the Control flow graph, the vertex labels g, b, c stand for the concepts of graph, branch and command, respectively. The edge label r is given to the edge from the vertex g to the first program's statement, x, p and n mean, analogous to the former graph, next, positive and negative. In the correspondence graph, the labels pg, ib, ac, wb serve to indicate which labels in the source and target graphs are being connected through the triple graph's morphism.

The main difference between the two graphs is the absence of the w label in the Controlflow graph, what makes it encode loops through the combination of b-labeled vertices and x-labeled edges.



The TGG that specifies the relation between these two types of graphs is  $TGG = (\{S,A,p,a,i,w,g,b,c,f,x,p,n,l,r,pg,ac,ib,wb\},\{p,a,i,w,g,b,c,f,x,p,n,l,r,pg,ac,ib,wb\},S,P)$ , where P is



with  $\sigma_1(s_{11}) = \sigma_2(s_{21}) = \sigma_3(s_{31}) = \sigma_4(s_{41}) = \sigma_5(s_{51}) = \{(f, p), (x, a), (x, i), (x, w), (p, i), (n, i), (l, w), (f, w)\}$  and  $\tau_1(t_{11}) = \tau_2(t_{21}) = \tau_3(t_{31}) = \tau_4(t_{41}) = \tau_5(t_{51}) = \{(r, g), (x, c), (x, b), (p, b), (n, b)\}$  being the complete definition of the source and target embedding functions of the rules, respectively.

The rule  $r_0$  relates programs to graphs,  $r_1$  actions to commands,  $r_2$  ifs to branches,  $r_3$  empty whiles to simple branches,  $r_4$  filled whiles to filled loops with branches,  $r_5$  whiles with one action to loops with branches with one command and, finally,  $r_6$  produces an empty graph from a symbol A, what allows any derivation in the grammar to finish.

The aforementioned triple graph TG is in L(TGG), because the derivation  $Z_{TGG} \stackrel{r_0}{\Rightarrow} G_1 \stackrel{r_2}{\Rightarrow} G_2 \stackrel{r_6}{\Rightarrow} G_3 \stackrel{r_1}{\Rightarrow} G_4 \stackrel{r_6}{\Rightarrow} G_5 \stackrel{r_1}{\Rightarrow} G_6 \stackrel{r_4}{\Rightarrow} G_7 \stackrel{r_4}{\Rightarrow} G_8 \stackrel{r_6}{\Rightarrow} G_9 \stackrel{r_6}{\Rightarrow} G_{10} \stackrel{r_6}{\Rightarrow} TG$  is a derivation in TGG with appropriate  $G_i$  for  $1 \le i \le 10$ .

# 5 Model Transformation with BNCE TGG

As already introduced, TGGs can be used to characterize languages of triple graphs holding correctly transformed models. That is, one can interpret a TGG as the description of the correctly-transformed relation between two sets of models  $\mathcal{S}$  and  $\mathcal{T}$ , where two models  $G \in \mathcal{S}$  and  $T \in \mathcal{T}$  are in the relation if and only if G and G are respectively, source and target graphs of any triple graph of the language G and G are interested in this section on defining a model transformation algorithm that interprets a BNCE TGG G to transform a source model G into one of its correspondent target models G according to the correctly-transformed relation defined by G.

For that end, let  $TGG = (\Sigma = \Sigma_s \cup \Sigma_t, \Delta, S, P)$  be a triple graph grammar defining the correctly-transformed relation between two arbitrary sets of graphs S over  $\Sigma_s$  and T over  $\Sigma_t$ . And let  $G \in S$  be a source graph. We want to find a target graph  $T \in T$  such that  $G \leftarrow C \rightarrow T \in L(TGG)$ . To put in words, we wish to find a triple graph holding G and T that is in the language of all correctly transformed models. Hence, the model transformation problem is reduced— according to the definition of triple graph language (see Definition 18)— to the problem of finding a derivation  $Z_{TGG} \Rightarrow_{TGG}^* G \leftarrow C \rightarrow T$ .

Our strategy to solve this problem is, first, to get a derivation for G with the source part of TGG and, then, construct the derivation  $Z_{TGG} \Rightarrow_{TGG}^* G \leftarrow C \rightarrow T$ . For this purpose, consider the definition of the s function, that extract the source part of a production rule.

**Definition 19.** Let  $r = (A \to (G_s \leftarrow G_c \to G_t), \omega_s, \omega_t)$  be a production rule of a triple graph grammar,  $s(r) = (A \to G_s, \omega_s)$  gives the source part of r. Moreover,  $s^- 1((A \to G_s, \omega_s)) = r$  is the inverse of it.

**Definition 20.** Let  $TGG = (\Sigma, \Delta, S, P)$  be a triple graph grammar,  $S(TGG) = (\Sigma, \Delta, S, s(P))$  gives the source grammar of TGG.

Furthermore, consider the definition of the non-terminal consistent (NTC) property of TGGs, which assures that non-terminal vertices of the correspondent graph are connected to vertices with the same label in the source and target graphs.

**Definition 21.** A triple graph grammar  $TGG = (\Sigma, \Delta, S, P)$  is non-terminal consistent (NTC) if and only if, for all rules  $(A \to (G_s \stackrel{ms}{\leftarrow} G_c \stackrel{mt}{\to} G_t), \omega_s, \omega_t) \in P$ , the following holds:

- 1.  $\forall c \in V_{G_c}$  if  $\phi_{G_c}(c) \in \Gamma$  then  $\phi_{G_c}(c) = \phi_{G_s}(ms(c)) = \phi_{G_t}(mt(c))$  and
- 2. For the sets  $N_s = \{v \mid \phi_{G_s}(v) \in \Gamma\}$  and  $N_t = \{v \mid \phi_{G_t}(v) \in \Gamma\}$ , the range-restricted functions  $(ms \triangleright N_s)$  and  $(mt \triangleright N_t)$  are bijective.

Finally, the following result gives us an equivalence between a derivation in TGG and a derivation in its source grammar S(TGG), which allows us to construct our goal derivation of  $G \leftarrow C \rightarrow T$  in TGG using the derivation of G in S(TGG).

**Theorem 1.** Let  $TGG = (\Sigma, \Delta, S, P)$  be a NTC TGG.

 $D = Z \xrightarrow{r_0, s_0, c_0, t_0} G^1 \xrightarrow{r_1, s_1, c_1, t_1} \dots \xrightarrow{r_{k-1}, s_{k-1}, c_{k-1}, t_{k-1}} G^k \text{ is a derivation in } TGG$   $if, \text{ and only if, } \overline{D} = Z \xrightarrow{s(r_0), s_0} G^1_s \xrightarrow{s(r_1), s_1} \dots \xrightarrow{s(r_{k-1}), s_{k-1}} G^k_s \text{ is a derivation in } S(TGG).$ 

*Proof.* We want to show that if D is a derivation in  $TGG = (\Sigma, \Delta, S, P)$ , then  $\overline{D}$  is a derivation in  $SG := S(TGG) = (\Sigma, \Delta, S, SP)$ , and vice-versa. We prove it by induction in the following.

First, since, by Def. 18,  $Z_{TGG} \overset{r_0, s_0, c_0, t_0}{\Longrightarrow}_{TGG} G^1$ , that is

$$Z_s \leftarrow Z_c \rightarrow Z_t \stackrel{r_0, s_0, c_0, t_0}{\Longrightarrow}_{TGG} G_s^1 \leftarrow G_c^1 \rightarrow G_t^1$$
, then, by Def. 16,  
 $r_0 = (S \rightarrow (R_s \leftarrow R_c \rightarrow R_t), \omega_s, \omega_t) \in P$  and, by Def. 19,  
 $\mathbf{s}(r_0) = (S \rightarrow R_s, \omega_s) \in SP$ 

Hence, using it, the configuration of  $\phi_{Z_s}(s_0)$ ,  $V_{G_s^1}$ ,  $E_{G_s^1}$  and  $\phi_{G_s^1}$  and the equality  $Z_s = Z_{SG}$ , we have  $Z_{SG} \stackrel{s(r_0), s_0}{\Rightarrow} {}_{SG} G_s^1$ .

In the other direction, we choose  $c_0, t_0$  from the definition of  $Z_{TGG}$ , with  $\phi_{Z_c}(c_0) = S$  and  $\phi_{Z_t}(t_0) = S$ . In this case, since, by Def. 10,

$$Z_{SG} \stackrel{s(r_0),s_0}{\Rightarrow} {}_{SG} G_s^1$$
, then by Def. 8,  
 $s(r_0) = (S \to R_s, \omega_s) \in SP$  and, using the bijectivity of s, we get  
 $r_0 = s^{-1}(s(r_0)) = (S \to (R_s \leftarrow R_c \to R_t), \omega_s, \omega_t) \in P$ 

Hence, using it, the configuration of  $\phi_{Z_{SG}}(s_0)$ ,  $V_{G_s^1}$ ,  $E_{G_s^1}$  and  $\phi_{G_s^1}$ , the equality  $Z_s = Z_{SG}$  and constructing  $V_{G_c^1}$ ,  $V_{G_t^1}$ ,  $E_{G_c^1}$ ,  $E_{G_t^1}$ ,  $\phi_{G_c^1}$ ,  $\phi_{G_t^1}$  from  $Z_c$  and  $Z_t$  according to the Def. 16, we have  $Z_{TGG} \stackrel{r_0, s_0, c_0, t_0}{\Rightarrow} T_{GG} G_s^1 \leftarrow G_c^1 \rightarrow G_t^1$ .

Now, for the induction step, we want to show that if  $Z_{TGG} \Rightarrow_{TGG}^* G^i \xrightarrow{r_i, s_i, c_i, t_i} T_{GG} G^{i+1}$  is a derivation in TGG, then  $Z_{TGG} \Rightarrow_{SG}^* G_s^i \xrightarrow{s(r_i), s_i} T_{GG} G_s^{i+1}$  is a derivation in SG and vice-versa, provided that the equivalence holds for the first i steps, so we just have to show it for the step i+1.

So, since, 
$$G^i \stackrel{r_i, s_i, c_i, t_i}{\Rightarrow}_{TGG} G^{i+1}$$
, that is

$$G_s^i \overset{ms_i}{\leftarrow} G_c^i \overset{mt_i}{\rightarrow} G_t^i \overset{r_i, s_i, c_i, t_i}{\Rightarrow} TGG G_s^{i+1} \leftarrow G_c^{i+1} \rightarrow G_t^{i+1}$$
, then, by Def. 16,  $r_i = (S \rightarrow (R_s \leftarrow R_c \rightarrow R_t), \omega_s, \omega_t) \in P$ , and by Def. 19,  $s(r_i) = (S \rightarrow R_s, \omega_s) \in SP$ 

Hence, using it and the configuration of  $\phi_{G_s^i}(s_i)$ ,  $V_{G_s^{i+1}}$ ,  $E_{G_s^{i+1}}$  and  $\phi_{G_s^{i+1}}$ , we have  $G^i \overset{s(r_i),s_i}{\Rightarrow}_{SG} G_s^{i+1}$ .

In the other direction, we choose, using the bijectivity from the range restricted function s, stemming from the NTC property,  $c_i = ms_i^{-1}(s_i), t_i = mt_i(c_i)$ . Moreover, since TGG is NTC, and because, by induction hypothesis,  $Z_{TGG} \Rightarrow_{TGG}^* G^i$  is a derivation in TGG and  $\phi_{G_s^i}(s_i) \in \Gamma$ , it is clear that  $\phi_{G_s^i}(s_i) = \phi_{G_s^i}(c_i) = \phi_{G_s^i}(t_i)$ .

In this case, since

$$G_s^i \stackrel{s(r_i),s_i}{\Rightarrow}_{SG} G_s^{i+1}$$
, then, by Def. 8,  
 $s(r_i) = (A \to R_s, \omega_s) \in SP$  and, using the bijectivity of s, we get  
 $r_i = s^{-1}(s(r_i)) = (A \to (R_s \leftarrow R_c \to R_t), \omega_s, \omega_t) \in P$ 

Hence, using, additionally, the configuration of  $\phi_{G_s^i}(s_i)$ ,  $\phi_{G_c^i}(c_i)$ ,  $\phi_{G_t^i}(t_i)$   $V_{G_s^{i+1}}$ ,  $E_{G_s^{i+1}}$  and  $\phi_{G_s^{i+1}}$  and constructing  $V_{G_c^{i+1}}$ ,  $V_{G_t^{i+1}}$ ,  $E_{G_c^{i+1}}$ ,  $E_{G_t^{i+1}}$ ,  $\phi_{G_c^{i+1}}$ ,  $\phi_{G_t^{i+1}}$  from  $G_c^i$  and  $G_t^i$  according to the Def. 16, we have

$$G_s^i \leftarrow G_c^i \rightarrow G_t^i \overset{r_i,s_i,c_i,t_i}{\Rightarrow}_{TGG} G_s^{i+1} \leftarrow G_c^{i+1} \rightarrow G_t^{i+1}$$

This finishes the proof.

Therefore, the problem of finding a derivation  $D = Z_{TGG} \Rightarrow^* G \leftarrow C \rightarrow T$  in TGG is reduced to finding a derivation  $\overline{D} = Z \Rightarrow^* G$  in S(TGG), what can be done with the procedure in [19]. The final construction of the triple graph  $G \leftarrow C \rightarrow T$  becomes then just a matter of creating D out of  $\overline{D}$ .

The complete transformation procedure is presented in the Algorithm 1. Thereby, it is required that the TGG be neighborhood preserving (NP) [19, 21], what poses no problem to our procedure, once any NCE graph grammar can be transformed into the neighborhood preserving normal form.

```
Algorithm 1 Transformation Algorithm for NP NTC BNCE TGGs
```

```
Require: TGG is a valid NP NTC BNCE triple graph grammar
Require: G is a valid graph over \Sigma
    function transform(TGG = (\Sigma, \Delta, S, P), G = (V_G, E_G, \phi_G)): Graph
        GG \leftarrow S(TGG)
                                                                                         ⊳ see Def. 19
        \overline{D} \leftarrow parse(GG, G)
                                                                            ▷ use procedure in [19]
        if \overline{D} = Z_{S(TGG)} \Rightarrow^* S(TGG)G then
                                                                            ▶ if parsed successfully
            From \overline{D}, construct D = Z_{TGG} \Rightarrow^* TGGG \leftarrow C \rightarrow T
                                                                                    ⊳ see Theorem 1
            \mathbf{return} Just T
        else
                                                     \triangleright no T satisfies (G \leftarrow C \rightarrow T) \in L(TGG)
            return Nothing
        end if
    end function
Ensure: return is either Nothing or Just T, such that (G \leftarrow C \rightarrow T) \in L(TGG)
```

#### 6 Evaluation and Discussion

In order to evaluate the proposed BNCE TGG formalism, we compare the amount of rules and elements (vertices, edges and mappings) we needed to describe some model transformations in BNCE TGG and in standard TGG without application conditions. Table 1 presents these results.

	Stand	ard TGG	BNC	$^{\circ}\mathrm{E}\ \mathrm{TGG}$
Transformation	${\bf Rules}$	Elements	Rules	Elements
Pseudocode2Controlflow	45	1061	7	185
BTree2XBTree	4	50	5	80
Star2Wheel	-	-	6	89
Class2Database	6	98	-	-

**Table 1.** Results of the usability evaluation of the BNCE TGG formalism in comparison with the standard TGG

In the case of Pseudocode2Controlflow, our proposed approach shows a clear advantage against the standard TGG formalism. We judge that, similarly to what happens to programming languages, this advantage stems from the very nested structure of Pseudocode and Controlflow graphs, that is, for instance, in rule the  $r_2$  of this TGG (see Example 2), a node in a positive branch of an if-labeled vertex is never connected with a node in the negative branch. This disjunctive aspect allows every branch to be defined in the rule (as well as effectively parsed) independently of the other branch. This characteristic makes it possible for BNCE TGG rules to be defined in a very straightforward manner and reduces the total amount of elements necessary.

In addition to that, the use of non-terminal symbols gives BNCE TGGs the power to represent abstract concepts very easily. For example, whereas the rule  $r_1$  encodes, using only few elements, that after each action comes any statement A, which can be another action, an if, a while or nothing (an empty graph), in the standard TGG without application condition or any special inheritance-like treatment, we need to write a different rule for each of these cases. For the whole grammar, we need to consider all combinations of actions, ifs and whiles in all rules, what causes the great amount of rules and elements.

The Star2Wheel transformation consists of transforming star graphs, which are complete bipartite graphs  $K_{1,k}$ — where the partitions are named center and border— to wheel graphs, that can be constructed from star graphs by adding edges between border vertices to form a minimal cycle. We could not describe this transformation in standard TGG, specially because of the monotonicity aspect of it (see Definition 13). That is, we missed the possibility to erase edges in a rule, feature that we do have in the semantics of BNCE TGG through the embedding functions.

The Class2Database transformation consists of transforming class diagrams, similar to UML class diagrams, to database diagrams, similar to physical entity-relationship diagrams. We could not describe this transformation in BNCE TGG by the fact that the information about the production of a terminal vertex is owned exclusively by one derivation step. That is, this information cannot be used by other derivation steps (remember, the BNCE grammar is "context-free"). Thus, in the case of Class2Database, it was at least difficult, to create associations between classes.

#### 7 Conclusion

We present in this paper a new triple graph grammar formalism, called NCE TGG, that is the result from mixing NCE graph grammars [13] with TGG [20] and that introduces for the first time, as far as we know, non-terminal symbols to TGGs. Furthermore, we demonstrate how NCE TGGs can be used in the practice to solve the model transformation problem.

An experimental evaluation in Section 6 assesses the usability of NCE TGGs in comparison with standard TGGs and reveals that our proposed approach has potential. In special, we could express a transformation with NCE TGGs that we could not with TGGs. And, from the other two transformations, NCE TGGs outperformed TGGs with a much smaller grammar.

We are aware that this disadvantage for TGGs comes from the absence of (negative and positive) application conditions, but we also argue that such mechanisms are often unhandy for tools and researchers that want to reason about it. In this sense, the use of non-terminal symbols seems to be a neater alternative to it.

As a future work, we intend to carry out a broader usability and performance evaluation of our approach and extend NCE graph grammars with a look-ahead mechanism that should allow it to express more languages than it can now. Finally, although the extension of our approach to the bidirectional transformation problem— that consists of performing transformation not only from source to target but also the other way around — is straightforward, the same does not seem to be true for the model synchronization problem— that consists of transforming already generated models after a modification in one or more of them. Therefore, we are also interested in studying how our approach can be used to solve it.

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