INTEROPERATION FOR INCOMPATIBLE EVALUATION STRATEGIES

A Thesis

Presented to

the Faculty of California Polytechnic State University

San Luis Obispo

In Partial Fulfillment

of the Requirements for the Degree

Master of Science in Computer Science

by

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June 2008

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Abstract

Interoperation for Incompatible Evaluation Strategies

by

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Software components written in different programming languages can cooperate through interoperation. Differences between languages—incompatibilities—complicate interoperation. This paper explores and resolves incompatible type systems, support for parametricity, and evaluation strategies with a model of computation, gives a thorough proof of its type soundness, and describes an implementation of it. The model uses contracts for higher-order functions and lump types to resolve incompatible type systems, label types to resolve incompatible support for parametricity, and delayed conversions for list constructions to resolve incompatible evaluation strategies. These mechanisms enable the interoperation of Haskell, ML, and Scheme without compromising their semantics.

Acknowledgements

I want to thank my parents, Jerry and Jo Ann, for their encouragement, advice, and support, without which this would not have been possible.

I want to thank my adviser, John Clements, for helping me along the way. I very much appreciate the time he set aside for me and his advice.

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Chapter 1

Introduction

The complexities of software interoperation in part engender the proverbial reinvention of the wheel. Programmers forgo preexisting solutions to problems where interoperation proves too cumbersome; they reimplement software components, rather than reuse them. Disparate programming language features complicate the conversion of values exchanged between components of different languages. Resolving language incompatibilities transparently at boundaries between component languages facilitates interoperation by unburdening programmers. This paper explores and resolves two such incompatibilities with a model of computation and then proves its type soundness and describes its implementation.

The first incompatibility is type systems. Static type systems calculate and validate the types of expressions before run time, thereby ensuring that well-typed programs do not encounter type errors during run time. Dynamic type systems detect invalid operations on values using value predicates during run time and do not calculate or validate the types of expressions at compile time. Statically-typed languages—languages that use static type systems—that use values from

dynamically-typed languages must verify that the values match their expected types. Languages are assumed to exchange a common set of values that can be checked straightforwardly without coercion. Mismatched values and expected types could cause type errors during run time and violate type soundness. Ad-hoc polymorphism in dynamically-typed languages enables argument types to determine polymorphic function behavior. Since determining function behavior is undecidable [?], actual types for these functions cannot be reliably calculated at language boundaries and compared to expected types. Instead, they are wrapped in contracts [?] that defer the checking of their parameter and result types until they are used during run time. If they are never used, their types cannot be checked, but neither can they cause type errors.

The second incompatibility is parametricity. Parametric polymorphism in statically-typed languages enables function types to be abstracted with type variables and then instantiated into concrete types. Parametricity constrains the behavior of parametric polymorphic functions by ensuring that they behave the same regardless of the types and values of their arguments, and that functions with instantiated result types produce as their results the arguments associated with the same instantiated types. Functions from dynamically-typed languages that use value predicates or conditions on arguments and are used as parametric polymorphic functions by languages that have parametricity can violate their parametricity. Arguments for these functions must be obscured such that value predicates and conditions cannot examine them and annotated to ensure the correct ones are produced as results.

The third incompatibility is evaluation strategies. Evaluation strategies determine the order in which languages evaluate expressions. Eager evaluation evaluates expressions regardless of necessity, and lazy evaluation evaluates expressions

only where necessary. Lazy languages—languages that use lazy evaluation—can construct infinite streams as lists because they do not evaluate list elements when lists are constructed, but eager languages cannot because they do. Since there exist lazy lists—lists in lazy languages—for which no naturally equivalent eager lists exist, lazy lists crossing to eager languages are not converted to eager lists. Instead, elements of lazy lists are converted when accessed by eager languages if they are not lazy lists too.

The languages in the model must be able to express programs in which the aforementioned three incompatibilities arise. Haskell, ML, and Scheme each possess a unique combination of properties that together are sufficient for this purpose: Haskell and ML use static type systems and have parametricity, Scheme uses a dynamic type system, ML and Scheme use eager evaluation, and Haskell uses lazy evaluation.

The rest of the paper is organized as follows: Chapter 2 defines the model of computation, Chapter 3 proves the type soundness of the model, Chapter 4 describes an implementation of the model, Chapter 5 discusses related work, Chapter 6 discusses future work, and Chapter 7 discusses the conclusions.

Chapter 2

Model of Computation

The model of computation represents Haskell, ML, and Scheme with lambda calculus extended in various ways. Expressions represent software components, and nesting component expressions expresses interoperation between them, where the inner component expression evaluates to the value given to the outer component expression. Boundary expressions separate interoperating component expressions of different languages, indicate inner and outer languages, and declare the expected and actual types of the given values. The reduction of boundary expressions converts values between languages. The model extends the model of Kinghorn [?], which extended the model of Matthews and Findler [?].

The Haskell and ML models extend System F, which extends lambda calculus with explicit types that simplify the type soundness proofs and parametric polymorphism that approximates the type systems of Haskell and ML. The Scheme model extends lambda calculus with a simple type system to detect unbound variables.

Hereafter the names Haskell, ML, and Scheme refer to their corresponding

models, unless otherwise stated.

2.1   Grammars

Haskell types T comprise lumps L, natural numbers N, variables X, lists $[T]$, labels T^a, functions $T \rightarrow T$, and foralls $\forall X.T$. Haskell values v_H comprise functions $\lambda x : T.e_H$, type abstractions $\Lambda X.e_H$, natural numbers $\overline{n}$, empty lists $\mathtt{nil}^T$, list constructions $\mathtt{cons}\ e_H\ e_H$, Scheme boundaries with lump expected types $^L HS\ v_S$, and Scheme boundaries with forall expected types $^{\forall X.T} HS\ v_S$. Haskell expressions e_H comprise variables x, function applications $e_H\ e_H$, type applications $e_H\ \{T\}$, arithmetic operations $+\ e_H\ e_H$ and $-\ e_H\ e_H$, empty list predicates $\mathtt{null?}\ e_H$, conditions $\mathtt{if0}\ e_H\ e_H\ e_H$, list operations $\mathtt{hd}\ e_H$ and $\mathtt{tl}\ e_H$, fixed-point operations $\mathtt{fix}\ e_H$, error reports $\mathtt{wrong}^T$ string, ML boundaries $^T HM^T\ e_M$, and Scheme boundaries $^T HS\ e_S$. Haskell evaluation contexts E_H conform to a call-by-name (lazy) evaluation strategy. Haskell holes are denoted $[\ ]_H$. Figure 2.6 defines the Haskell grammar and evaluation contexts.

$\overline{n}$ syntactically represents the natural number n. The first subexpression in list constructions is the head and the second is the tail. Tails that are empty lists signify the ends of lists. Empty list predicates determine whether lists are empty. The first subexpression in conditions is the test, the second is the true alternative, and the third is the false alternative. Empty list predicates and conditions use the natural number zero as true and all other natural numbers as false. List operations produce the heads and tails of list constructions. Fixed-point operations render functions recursive. Error reports signal error conditions. ML and Scheme boundaries embed expressions from those languages in Haskell. Functions, empty lists, and error reports have type annotations that enable the

calculation of their types.

ML and Scheme have unforced values, which are forced values and Haskell boundaries, and forced values, which are

ML unforced values u_M comprise forced values v_M and Haskell boundaries $^T M H^T\ e_H$. ML forced values comprise functions, type abstractions, natural numbers, empty lists, list constructions $\mathtt{cons}\ v_M\ v_M$, Scheme boundaries with lump expected types $^L M S\ v_S$, Scheme boundaries with forall expected types $^{\forall X.T} M S\ v_S$, and Haskell boundaries with list expected types $^{[T]} M H^{[T]}\ (\mathtt{cons}\ e_H\ e_H)$. ML expressions comprise unforced values u_M, variables, function applications, type applications, arithmetic operations, empty list predicates, conditions, list constructions $\mathtt{cons}\ e_M\ e_M$, list operations, fixed-point operations, error reports, and ML boundaries $^T M S\ e_S$. ML unforced evaluation contexts U_M do not force the reduction of Haskell boundaries and conform to an extended call-by-value (eager) evaluation strategy. ML forced evaluation contexts E_M force the reduction of Haskell boundaries. ML holes are denoted $[\,]_M$. Figure 2.11 defines the ML grammar and evaluation contexts.

Scheme unforced values comprise forced values and Haskell boundaries $S H^T$ e_H. Scheme forced values comprise functions, natural numbers, empty lists, list constructions $\mathtt{cons}\ v_S\ v_S$, Haskell boundaries with list actual types $S H^{[T]}\ (\mathtt{cons}\ e_H\ e_H)$, Haskell boundaries with label actual types $S H^{T^a}\ v_H$, and ML boundaries with label actual types $S M^{T^a}\ v_M$. First, it does not have type abstractions, type applications, and fixed-point operations and the evaluation contexts that contain them. Second, it does not have types. Third, it does not have type annotations for functions, empty lists, and error reports. Fourth, it has three value predicate expressions that determine whether values are functions $\mathtt{fun?}\ e_S$, lists $\mathtt{list?}\ e_S$, and natural numbers $\mathtt{nat?}\ e_S$. Figure 2.16 defines the Scheme grammar and

evaluation contexts.

Letter subscripts of grammar non-terminals denote the language to which they belong, and numbered superscripts denote individual instances of them. Variable and type variable names must be unique across all languages.

2.2 Typing Rules

Sch doesn't have Sys F stuff and , typing rules, and reduction rules that contain them

set membership

$\Gamma \vdash_H e_H : T$ denotes the Haskell typing relation. An expression e_H is well-typed within the context Γ if there is some type T such that $\Gamma \vdash_H e_H : T$ is derivable. $\Gamma \vdash_H T$ asserts the type T is well-formed within the context Γ. Where the context is empty, it is omitted from typing judgments. Programs that contain free variables or free type variables are ill-typed. Type equivalence is computed up to alpha-equivalence on bound type variables. Letter subscripts of type relations denote the language to which they belong. $T_1[T_2/X]$ denotes the substitution of type T_2 for free occurrences of type variable X within type T_1. Number subscripts and superscripts of grammar non-terminals in typing rules denote individual instances of them, but are absent where instances are unambigious.

It has a single type is The Scheme Type, TST.

2.3   Operational Semantics

$e_H^1[e_H^2/x]$ denotes the substitution of expression e_H^2 for free occurrences of variable x within expression e_H^1. Variable instances that occur on the right side of a reduction rule, but not its left, are new and unique. Error reports reduce to errors and terminate the computation. All reduction rules are defined with an unspecified evaluation context $\mathscr{E}$. The evaluation of a single language instantiates $\mathscr{E}$ to E_H for Haskell, to E_M for ML, and to E_S for Scheme. Language interoperation instantiates $\mathscr{E}$ according to the language in which programs begin and end. $\mathscr{E}$ is implicitly instantiated correctly in later examples.

2.4   Interoperation Models

The interoperation calculi extend the core calculi with new expressions, evaluation contexts, typing rules, and reduction rules to enable interoperation. They add boundary expressions, which represent values with actual and expected types crossing between languages. Boundaries are denoted by two-letter acronyms, where the first letter names clients and the second letter names servers. Expected types are superscripts to the left of the first letters, and actual types are superscripts to the right of the second letters. Expressions to be reduced to values and cross languages are to the right of the letters and types, separated by a space. For example, the expression $^{T_1}HM^{T_2}\ e_M$ denotes e_M with expected (Haskell) type T_1 and actual (ML) type T_2 crossing from ML to Haskell. Boundaries can be nested within each other to express interoperation between more than two languages. Since a set of n interoperable languages requires $n \times (n-1)$ boundaries, this model requires six boundaries.

They add evaluation contexts for the subexpressions of boundaries ($^T HM^T E_M$ for example).

They add typing rules for boundaries. Boundaries are well-typed if their expected and actual types are well-formed and equivalent and the types of their subexpressions equal their actual types. The types of boundaries are their expected types. TST is omitted from boundary notation because all well-typed Scheme expressions have type TST.

They add reduction rules for every combination of boundary, expected and actual types, and syntactic forms of values. Rewrite rules for boundaries that contain Scheme values that do not match their expected types reduce to type error reports.

The expected and actual types of boundaries determine their reduction.

2.4.1 Natural Number Types

Natural numbers do not change when they are converted because the languages share the same number domain. For example, $^N HM^N \ \overline{n}$ reduces to $\overline{n}$.

2.4.2 List Types

If a boundary has expected and actual list types ($^{[T]} HM^{[T]} \ v_M$ for example), the value is either an empty list (nil^T for example), a list construction ($\text{cons} \ v_M^1 \ v_M^2$ for example), or a Haskell list construction embedded in ML ($^{[T]} MH^{[T]} \ (\text{cons} \ e_H^1 \ e_H^2)$ for example). If it is an empty list, the boundary reduces to the empty list. For example, $^{[T]} HM^{[T]} \ \text{nil}^T$ reduces to nil^T. If it is a list construction crossing from ML to Haskell, the boundary reduces to a list construction of the

old head and tail wrapped in boundaries. For example, $^{[T]}HM^{[T]}$ (cons v_M^1 v_M^2) reduces to cons ($^THM^T$ v_M^1) ($^{[T]}HM^{[T]}$ v_M^2). If it is a list construction crossing from Haskell to ML, the boundary is irreducible. Since Haskell list constructions can be infinite, they cannot be mechanically converted to equivalent ML list constructions. Therefore $^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2) is a value. Instead, ML head and tail operations on embedded Haskell list constructions reduce to embedded heads and tails. For example, hd ($^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2)) reduces to $^TMH^T$ e_H^1. If it is a Haskell list construction embedded in ML, the boundary reduces to the list construction. For example, $^{[T]}HM^{[T]}$ ($^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2)) reduces to cons e_H^1 e_H^2.

If a boundary has an expected Scheme type and an actual list type ($SH^{[T]}$ v_H for example), the value is either an empty list, a list construction, or a Haskell list construction embedded in ML ($^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2) for example). If it is an empty list, the boundary reduces to an empty list. For example, $SH^{[T]}$ nil^T reduces to nil. If it is an ML list construction, the boundary reduces to a list construction of the old head and tail wrapped in boundaries. For example, $SM^{[T]}$ (cons v_M^1 v_M^2) reduces to cons (SM^T v_M^1) ($SM^{[T]}$ v_M^2). If it is a Haskell list construction, it is irreducible for the same reason that $^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2) is irreducible, as discussed above. Therefore $SH^{[T]}$ (cons e_H^1 e_H^2) is a value. Instead, Scheme head and tail operations on embedded Haskell list constructions reduce to embedded heads and tails. For example, hd ($SH^{[T]}$ (cons e_H^1 e_H^2)) reduces to SH^T e_H^1. If it is a Haskell list construction embedded in ML, the boundary reduces to the list construction embedded in Scheme. For example, $SM^{[T]}$ ($^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2)) reduces to $SH^{[T]}$ (cons e_H^1 e_H^2).

If a boundary has an expected list type and an actual Scheme type ($^{[T]}HS$ v_S for example), the value is either an empty list, a list construction, or a Haskell

list construction embedded in Scheme ($SH^{[T]}$ (cons e_H^1 e_H^2) for example). If it is an empty list, the boundary reduces to an empty list of the corresponding type. For example, $^{[T]}HS$ nil reduces to nil^T. If it is a list construction, the boundary reduces to a list construction of the old head and tail wrapped in boundaries. For example, $^{[T]}HS$ (cons v_S^1 v_S^2) reduces to cons ($^T HS$ v_S^1) ($^{[T]}HS$ v_S^2). If it is a Haskell list construction embedded in Scheme crossing to Haskell, the boundary reduces to the list construction. For example, $^{[T]}HS$ ($SH^{[T]}$ (cons e_H^1 e_H^2)) reduces to cons e_H^1 e_H^2. If it is a Haskell list construction embedded in Scheme crossing to ML, the boundary reduces to the list construction embedded in ML. For example, $^{[T]}MS$ ($SH^{[T]}$ (cons e_H^1 e_H^2)) reduces to $^{[T]}MH^{[T]}$ (cons e_H^1 e_H^2).

2.4.3   Function Types

Functions cannot be mechanically converted as they cross languages because the language grammars are different, Haskell and ML do not have a reasonable equivalent for every Scheme function, and functions may behave differently with different evaluation strategies. Instead, server functions are wrapped in client functions. The client functions apply the server function to their arguments and produce the results as their own. This is made possible by languages performing substitution within themselves across boundaries.

In Figure 2.1, a single boundary with an expected function type is split into two boundaries that convert the Haskell argument to an equivalent Scheme argument and the Scheme result to an equivalent Haskell result. Every boundary with a function type is split into two boundaries in this fashion. The Scheme-to-Haskell boundary verifies the syntactic form of its value matches its expected

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$$(^{N \to [N]}HS \; \lambda x_1.\texttt{nil}) \; \overline{0}$$

$$\to \quad (\lambda x_2 : N.(^{[N]}HS \; ((\lambda x_1.\texttt{nil}) \; (SH^N \; x_2)))) \; \overline{0}$$

$$\to \quad ^{[N]}HS \; ((\lambda x_1.\texttt{nil}) \; (SH^N \; \overline{0}))$$

$$\to \quad ^{[N]}HS \; ((\lambda x_1.\texttt{nil}) \; \overline{0})$$

$$\to \quad ^{[N]}HS \; \texttt{nil}$$

$$\to \quad \texttt{nil}^N$$

Figure 2.1: Conversion of a function

type. If its value is not some list of natural numbers, it reports a type error. If the body of the Scheme function had been $\overline{0}$ instead of $\texttt{nil}$, the computation would have reduced to $^{[N]}HS \; \overline{0}$ instead of $^{[N]}HS \; \texttt{nil}$. Since $\overline{0}$ is not a list, $^{[N]}HS$ $\overline{0}$ reduces to $\texttt{wrong}$ "Not a list" to report the type error.

The case for higher-order functions is more complex, but straightforward. See Figure 2.2 for an example.

2.4.4 Forall Types

If a boundary has expected and actual forall types ($^{\forall X.T}HM^{\forall X.T} \; v_M$ for example), the value is either a type abstraction ($\Lambda X.e_M$ for example) or a Scheme value wrapped in an inner boundary ($^{\forall X.T}MS \; v_S$ for example). If it is a type abstraction, the boundary moves inside the type abstraction and wraps the expression. For example, $^{\forall X.T}HM^{\forall X.T} \; \Lambda X.e_M$ reduces to $\Lambda X.(^T HM^T \; e_M)$. If it is a Scheme value wrapped in an inner boundary, the outer boundary reduces to a new boundary bridging the outer language and Scheme that contains the Scheme value. For example, $^{\forall X.T}HM^{\forall X.T} \; (^{\forall X.T}MS \; v_S)$ reduces to $^{\forall X.T}HS \; v_S$.

If a boundary has an expected forall type and an actual Scheme type ($^{\forall X.T}HS$

$$\left(^{(N \to N) \to N} HS \; \lambda x_1.(x_1 \; \overline{0})\right) (\lambda x_2 : N.x_2)$$

$$\to \quad (\lambda x_3 : N \to N.(^N HS \; ((\lambda x_1.(x_1 \; \overline{0})) \; (SH^{N \to N} \; x_3)))) \; (\lambda x_2 : N.x_2)$$

$$\to \quad ^N HS \; ((\lambda x_1.(x_1 \; \overline{0})) \; (SH^{N \to N} \; (\lambda x_2 : N.x_2)))$$

$$\to \quad ^N HS \; ((\lambda x_1.(x_1 \; \overline{0})) \; (\lambda x_4.(SH^N \; ((\lambda x_2 : N.x_2) \; (^N HS \; x_4)))))$$

$$\to \quad ^N HS \; ((\lambda x_4.(SH^N \; ((\lambda x_2 : N.x_2) \; (^N HS \; x_4)))) \; \overline{0})$$

$$\to \quad ^N HS \; (SH^N \; ((\lambda x_2 : N.x_2) \; (^N HS \; \overline{0})))$$

$$\to \quad ^N HS \; (SH^N \; ((\lambda x_2 : N.x_2) \; \overline{0}))$$

$$\to \quad ^N HS \; (SH^N \; \overline{0})$$

$$\to \quad ^N HS \; \overline{0}$$

$$\to \quad \overline{0}$$

Figure 2.2: Conversion of a higher-order function

v_S for example), the value is a Scheme value. Such a boundary is irreducible because Scheme does not have type abstractions. Therefore $^{\forall X.T} HS \; v_S$ and $^{\forall X.T} MS \; v_S$ are values. Nevertheless, there are useful Scheme values that correspond to forall types, and they ought to be convertible. If the expected forall type is instantiated and the result is not a forall type, the boundary is reducible and the Scheme value is convertible. However, Haskell and ML preserve parametricity, and instantiating the expected forall type does nothing to prevent the Scheme value, if it is a function, from breaking parametricity once converted.

Scheme functions with expected forall types can break parametricity by using value predicates and conditions to determine their behavior by the types and values of their arguments. Haskell and ML must wrap their arguments for these functions such that Scheme value predicates and conditions cannot examine them. Expected forall types of boundaries can be instantiated by applying those boundaries to types. These type applications label their type arguments with

$$((^{\forall X.(X \to X)}HS \ \lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)) \ \{N\}) \ \overline{0}$$

$$\to \quad (^{N^a \to N^a}HS \ \lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)) \ \overline{0}$$

$$\to \quad (\lambda x_2 : N.(^{N^a}HS \ ((\lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)) \ (SH^{N^a} \ x_2)))) \ \overline{0}$$

$$\to \quad ^{N^a}HS \ ((\lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)) \ (SH^{N^a} \ \overline{0}))$$

$$\to \quad ^{N^a}HS \ (\texttt{if0} \ (SH^{N^a} \ \overline{0}) \ \overline{1} \ (SH^{N^a} \ \overline{0}))$$

$$\to \quad ^{N^a}HS \ (SH^{N^a} \ \overline{0})$$

$$\to \quad \overline{0}$$

Figure 2.3: Labels protect parametricity

unique labels, denoted T^a, before instantiating the expected forall types with them. Boundaries with actual label types ($SH^{T^a} \ e_H$ for example) are irreducible; Scheme value predicates and conditions cannot examine them. Therefore SH^{T^a} e_H and $SM^{T^a} \ v_M$ are values. Scheme can return these wrapped arguments to Haskell and ML if the expected and actual types match. For example, $^{T^a}HS$ ($SH^{T^a} \ e_H$) reduces to e_H. If they do not match, the outer boundary reduces to a parametricity error report. See Figure 2.3 for an example.

Since the Haskell and ML typing relations expect type applications to substitute types unchanged, they expect $\forall X.(X \to X)$, instantiated with N, to be $N \to N$. Observe that the application of $^{\forall X.(X \to X)}HS \ \lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)$, which has type $\forall X.(X \to X)$, to N reduces to $^{N^a \to N^a}HS \ \lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)$, which appears to have type $N^a \to N^a$. The Haskell and ML typing relations resolve this conflict by removing all labels from expected and actual types before making typing judgements. Therefore $^{N^a \to N^a}HS \ \lambda x_1.(\texttt{if0} \ x_1 \ \overline{1} \ x_1)$ has type $N \to N$, as expected. Rewrite rules remove labels where required to resolve type conflicts. $T[T_i/T_i^a]$ denotes the replacement of every label type T_i^a with its underlying type T_i within T.

$$((((^{\forall X_1.(\forall X_2.(X_1 \to (X_2 \to X_2)))}HS\ \lambda x_1.(\lambda x_2.x_1))\ \{N\})\ \{N\})\ \overline{0})\ \overline{1}$$

$$\to\quad (((^{\forall X_2.(N^a \to (X_2 \to X_2))}HS\ \lambda x_1.(\lambda x_2.x_1))\ \{N\})\ \overline{0})\ \overline{1}$$

$$\to\quad ((^{N^a \to (N^b \to N^b)}HS\ \lambda x_1.(\lambda x_2.x_1))\ \overline{0})\ \overline{1}$$

$$\to\quad ((\lambda x_3 : N.(^{N^b \to N^b}HS\ ((\lambda x_1.(\lambda x_2.x_1))\ (SH^{N^a}\ x_3))))\ \overline{0})\ \overline{1}$$

$$\to\quad (^{N^b \to N^b}HS\ ((\lambda x_1.(\lambda x_2.x_1))\ (SH^{N^a}\ \overline{0})))\ \overline{1}$$

$$\to\quad (\lambda x_4 : N.(^{N^b}HS\ (((\lambda x_1.(\lambda x_2.x_1))\ (SH^{N^a}\ \overline{0}))\ (SH^{N^b}\ x_4))))\ \overline{1}$$

$$\to\quad ^{N^b}HS\ (((\lambda x_1.(\lambda x_2.x_1))\ (SH^{N^a}\ \overline{0}))\ (SH^{N^b}\ \overline{1}))$$

$$\to\quad ^{N^b}HS\ ((\lambda x_2.(SH^{N^a}\ \overline{0}))\ (SH^{N^b}\ \overline{1}))$$

$$\to\quad ^{N^b}HS\ (SH^{N^a}\ \overline{0})$$

$$\to\quad \texttt{wrong}\ \text{``Parametricity violated''}$$

$$\to\quad \textbf{Error}:\ \text{``Parametricity violated''}$$

Figure 2.4: Labels detect parametricity violations

Scheme functions with expected forall types can also break parametricity by producing the wrong argument as their results. Haskell and ML assume type variables for result types are instantiated along with one or more type variables for argument types. For example, Haskell and ML assume a function with type $\forall X_1.(\forall X_2.(X_1 \to (X_2 \to X_2)))$ reduces to its second argument because the second argument and the result share the same type variable. Labels enable Haskell and ML to detect and report violations of these assumptions during run time. Since unique labels are used for each application of a boundary to a type, they group argument and result types together. Mismatched labels for expected and actual types of boundaries indicates that Scheme broke parametricity. See Figure 2.4 for an example.

If a boundary has an expected Scheme type and an actual forall type ($SH^{\forall X.T}\ e_H$ for example), the value is either a type abstraction ($\Lambda X.e_H$ for example)

or a Scheme value wrapped in an inner boundary ($^{\forall X.T}HS\ v_S$ for example).
If the value is a type abstraction, the boundary is irreducible because Scheme
does not have type abstractions. Instead, the actual type is insantiated with,
and the type abstraction is applied to, the lump type, denoted L. If the result
is not another type abstraction, the boundary is reducible. Boundaries with
expected lump types are irreducible; $^{L}HS\ v_S$ and $^{L}MS\ v_S$ are values. Empty
lists instantiated with the lump type convert as with other types because their
conversions discard their type annotations. Likewise, error reports instantiated
with the lump type terminate the computation as with other types. Polymorphic
functions instantiated with the lump type satisfy the expectations of all languages
because they convert to Scheme functions that can be applied to arguments of
various types, but do not break parametricity. These polymorphic functions can
return their arguments to Scheme if the expected and actual types are lump types.
For example, $SH^{L}\ (^{L}HS\ v_S)$ reduces to v_S. See Figure 2.5 for an example.

If it is a Scheme value wrapped in an inner boundary, the outer boundary
reduces to the Scheme value. For example, $SH^{\forall X.T}\ (^{\forall X.T}HS\ v_S)$ reduces to v_S.

$(\lambda x_1.(\texttt{cons}\ (x_1\ \overline{0})\ (x_1\ \texttt{nil})))\ (SH^{\forall X.(X \to X)}\ \Lambda X.(\lambda x_2 : X.x_2))$

$\to\quad (\lambda x_1.(\texttt{cons}\ (x_1\ \overline{0})\ (x_1\ \texttt{nil})))\ (SH^{L \to L}\ ((\Lambda X.(\lambda x_2 : X.x_2))\ \{L\}))$

$\to\quad (\lambda x_1.(\texttt{cons}\ (x_1\ \overline{0})\ (x_1\ \texttt{nil})))\ (SH^{L \to L}\ \lambda x_2 : L.x_2)$

$\to\quad (\lambda x_1.(\texttt{cons}\ (x_1\ \overline{0})\ (x_1\ \texttt{nil})))\ (\lambda x_3.(SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ x_3))))$

$\to\quad \texttt{cons}\ ((\lambda x_3.(SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ x_3))))\ \overline{0})$

$\qquad ((\lambda x_3.(SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ x_3))))\ \texttt{nil})$

$\to\quad \texttt{cons}\ (SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ \overline{0})))$

$\qquad ((\lambda x_3.(SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ x_3))))\ \texttt{nil})$

$\to\quad \texttt{cons}\ (SH^{L}\ (^{L}HS\ \overline{0}))\ ((\lambda x_3.(SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ x_3))))\ \texttt{nil})$

$\to\quad \texttt{cons}\ \overline{0}\ ((\lambda x_3.(SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ x_3))))\ \texttt{nil})$

$\to\quad \texttt{cons}\ \overline{0}\ (SH^{L}\ ((\lambda x_2 : L.x_2)\ (^{L}HS\ \texttt{nil})))$

$\to\quad \texttt{cons}\ \overline{0}\ (SH^{L}\ (^{L}HS\ \texttt{nil}))$

$\to\quad \texttt{cons}\ \overline{0}\ \texttt{nil}$

Figure 2.5: Polymorphic function converted to Scheme function

$$
\begin{aligned}
e^h \ &= \ x^h \mid v^h \mid e^h\ e^h \mid e^h\ \{t^h\} \mid \texttt{fix}\ e^h \mid o\ e^h\ e^h \mid \texttt{if0}\ e^h\ e^h\ e^h \mid f\ e^h \mid \texttt{null?}\ e^h \\
&\quad\ \texttt{wrong}\ t^h\ string \mid \texttt{hm}\ t^h\ e^m \mid \texttt{hs}\ t^h\ e^s \\
v^h \ &= \ \lambda x^h : t^h.e^h \mid \Lambda x^h.e^h \mid \overline{n} \mid \texttt{nil}\ t^h \mid \texttt{cons}\ e^h\ e^h \mid \texttt{hs L}\ v^s \mid \texttt{hs}\ (\forall x^h.t^h)\ v^s \\
t^h \ &= \ \texttt{L} \mid \texttt{N} \mid x^h \mid [t^h] \mid t^h.x^h \mid t^h \to t^h \mid \forall x^h.t^h \\
o \ &= \ + \mid - \\
f \ &= \ \texttt{hd} \mid \texttt{tl} \\
E^h \ &= \ []^h \mid E^h\ e^h \mid E^h\ \{t^h\} \mid \texttt{fix}\ E^h \mid o\ E^h\ e^h \mid o\ v^h\ E^h \mid \texttt{if0}\ E^h\ e^h\ e^h \\
&\quad\ f\ E^h \mid \texttt{null?}\ E^h \mid \texttt{hm}\ t^h\ E^m \mid \texttt{hs}\ t^h\ E^s
\end{aligned}
$$

Figure 2.6: Haskell grammar and evaluation contexts

$$
\overline{\vdash^h \texttt{L}} \quad \overline{\vdash^h \texttt{N}} \quad \overline{\Gamma, x^h \vdash^h x^h}
$$

$$
\frac{\Gamma \vdash^h t^h}{\Gamma \vdash^h [t^h]} \quad
\frac{\Gamma \vdash^h t^h}{\Gamma \vdash^h t^h.x^h} \quad
\frac{\Gamma \vdash^h t^h_1 \quad \Gamma \vdash^h t^h_2}{\Gamma \vdash^h t^h_1 \to t^h_2} \quad
\frac{\Gamma, x^h \vdash^h t^h}{\Gamma \vdash^h \forall x^h.t^h}
$$

$$
\frac{\vdash^h t^h_1 \quad \Gamma, x^h : t^h_1 \vdash^h e^h : t^h_2}{\Gamma \vdash^h (\lambda x^h : t^h_1.e^h) : \forall t^h_1.t^h_2} \quad
\frac{\Gamma, x^h \vdash^h e^h : t^h}{\Gamma \vdash^h \Lambda x^h.e^h : \forall x^h.t^h} \quad
\overline{\vdash^h \overline{n} : \texttt{N}}
$$

$$
\frac{\Gamma \vdash^h t^h :}{\Gamma \vdash^h \texttt{nil}\ t^h : [t^h]} \quad
\frac{\Gamma \vdash^h e^h_1 : t^h \quad \Gamma \vdash^h e^h_2 : [t^h]}{\Gamma \vdash^h \texttt{cons}\ e^h_1\ e^h_2 : [t^h]} \quad
\overline{\Gamma, x^h : t^h \vdash^h x^h : t^h}
$$

$$
\frac{\Gamma \vdash^h e^h_1 : t^h_1 \to t^h_2 \quad \Gamma \vdash^h e^h_2 : t^h_1}{\Gamma \vdash^h e^h_1\ e^h_2 : t^h_2} \quad
\frac{\Gamma \vdash^h e^h : t^h \to t^h}{\Gamma \vdash^h \texttt{fix}\ e^h : t^h}
$$

$$
\frac{\Gamma \vdash^h t^h_1 \quad \Gamma \vdash^h e^h : \forall x^h.t^h_2}{\Gamma \vdash^h e^h\ \{t^h_1\} : t^h_2[t^h_1/x^h]} \quad
\frac{\Gamma \vdash^h e^h : [t^h]}{\Gamma \vdash^h \texttt{hd}\ e^h : t^h} \quad
\frac{\Gamma \vdash^h e^h : [t^h]}{\Gamma \vdash^h \texttt{tl}\ e^h : [t^h]}
$$

$$
\frac{\Gamma \vdash^h e^h_1 : \texttt{N} \quad \Gamma \vdash^h e^h_2 : \texttt{N}}{\Gamma \vdash^h o\ e^h_1\ e^h_2 : \texttt{N}} \quad
\frac{\Gamma \vdash^h e^h : [t^h]}{\Gamma \vdash^h \texttt{null?}\ e^h : \texttt{N}}
$$

$$
\frac{\Gamma \vdash^h e^h_1 : \texttt{N} \quad \Gamma \vdash^h e^h_2 : t^h \quad \Gamma \vdash^h e^h_3 : t^h}{\Gamma \vdash^h \texttt{if0}\ e^h_1\ e^h_2\ e^h_3 : t^h} \quad
\frac{\Gamma \vdash^h t^h}{\Gamma \vdash^h \texttt{wrong}\ t^h\ string : t^h}
$$

$$
\frac{\Gamma \vdash^h t^h \quad \Gamma \vdash^m e^m : t^m \quad t^h = t^m}{\Gamma \vdash^h \texttt{hm}\ t^h\ e^m : t^h} \quad
\frac{\Gamma \vdash^h t^h \quad \Gamma \vdash^h e^s : \texttt{TST}}{\Gamma \vdash^h \texttt{hs}\ t^h\ e^s : t^h[t^h_i/t^h_i.x^h]}
$$

Figure 2.7: Haskell typing rules

$$\mathscr{E}[(\lambda x^h : t^h.e_1^h) \ e_2^h]^h \rightarrow \mathscr{E}[e_1^h[e_2^h/x^h]]$$

$$\mathscr{E}[(\Lambda x^h.e^h) \ \{t^h\}]^h \rightarrow \mathscr{E}[e^h[t^h/x^h]]$$

$$\mathscr{E}[\texttt{fix} \ (\lambda x^h : t^h.e^h)]^h \rightarrow \mathscr{E}[e^h[\texttt{fix} \ (\lambda x^h : t^h.e^h)/x^h]]$$

$$\mathscr{E}[+ \ \overline{n}_1 \ \overline{n}_2]^h \rightarrow \mathscr{E}[\overline{n_1 + n_2}]$$

$$\mathscr{E}[- \ \overline{n}_1 \ \overline{n}_2]^h \rightarrow \mathscr{E}[\overline{max(n_1 - n_2, 0)}]$$

$$\mathscr{E}[\texttt{if0} \ \overline{0} \ e_1^h \ e_2^h]^h \rightarrow \mathscr{E}[e_1^h]$$

$$\mathscr{E}[\texttt{if0} \ \overline{n} \ e_1^h \ e_2^h]^h \rightarrow \mathscr{E}[e_2^h] \ (n \neq 0)$$

$$\mathscr{E}[\texttt{hd} \ (\texttt{nil} \ t^h)]^h \rightarrow \mathscr{E}[\texttt{wrong} \ t^h \ \text{``Empty list''}]$$

$$\mathscr{E}[\texttt{tl} \ (\texttt{nil} \ t^h)]^h \rightarrow \mathscr{E}[\texttt{wrong} \ [t^h] \ \text{``Empty list''}]$$

$$\mathscr{E}[\texttt{hd} \ (\texttt{cons} \ e_1^h \ e_2^h)]^h \rightarrow \mathscr{E}[e_1^h]$$

$$\mathscr{E}[\texttt{tl} \ (\texttt{cons} \ e_1^h \ e_2^h)]^h \rightarrow \mathscr{E}[e_2^h]$$

$$\mathscr{E}[\texttt{null?} \ (\texttt{nil} \ t^h)]^h \rightarrow \mathscr{E}[\overline{0}]$$

$$\mathscr{E}[\texttt{null?} \ (\texttt{cons} \ e_1^h \ e_2^h)]^h \rightarrow \mathscr{E}[\overline{1}]$$

$$\mathscr{E}[\texttt{wrong} \ t^h \ string]^h \rightarrow \textbf{Error:} \ string$$

Figure 2.8: Haskell operational semantics

$$\mathscr{E}[\texttt{hm L} \ (\texttt{ms L} \ v^s)]^h \rightarrow \mathscr{E}[\texttt{hs L} \ v^s]$$

$$\mathscr{E}[\texttt{hm N} \ \overline{n}]^h \rightarrow \mathscr{E}[\overline{n}]$$

$$\mathscr{E}[\texttt{hm} \ [t^h] \ (\texttt{nil} \ t^m)]^h \rightarrow \mathscr{E}[\texttt{nil} \ t^h]$$

$$\mathscr{E}[\texttt{hm} \ [t^h] \ (\texttt{cons} \ v_1^m \ v_2^m)]^h \rightarrow \mathscr{E}[\texttt{cons} \ (\texttt{hm} \ t^h \ v_1^m) \ (\texttt{hm} \ [t^h] \ v_2^m)]$$

$$\mathscr{E}[\texttt{hm} \ (t_1^h \rightarrow t_2^h) \ (\lambda x^m : t_1^m.e^m)]^h \rightarrow \mathscr{E}[\lambda x^h : t_1^h.\texttt{hm} \ t_2^h \ ((\lambda x^m : t_1^m.e^m) \ (\texttt{mh} \ t_1^m \ x^h))]$$

$$\mathscr{E}[\texttt{hm} \ (\forall x^h.t^h) \ (\Lambda x^m.e^m)]^h \rightarrow \mathscr{E}[\Lambda x^h.\texttt{hm} \ t^h \ e^m]$$

$$\mathscr{E}[\texttt{hm} \ (\forall x^h.t^h) \ (\texttt{ms} \ (\forall x^h.t^h) \ v^s)]^h \rightarrow \mathscr{E}[\texttt{hs} \ (\forall x^h.t^h) \ v^s]$$

Figure 2.9: Haskell-ML operational semantics

$$\mathscr{E}[\texttt{hs N} \ \overline{n}]^h \rightarrow \mathscr{E}[\overline{n}]$$

$$\mathscr{E}[\texttt{hs N} \ v^s]^h \rightarrow \mathscr{E}[\texttt{wrong N} \ \text{``Not a number''}] \ (v^s \neq \overline{n})$$

$$\mathscr{E}[\texttt{hs} \ [t^h] \ \texttt{nil}]^h \rightarrow \mathscr{E}[\texttt{nil} \ t^h[t_i^h/t_i^h.x^h]]$$

$$\mathscr{E}[\texttt{hs} \ [t^h] \ (\texttt{cons} \ v_1^s \ v_2^s)]^h \rightarrow \mathscr{E}[\texttt{cons} \ (\texttt{hs} \ t^h \ v_1^s) \ (\texttt{hs} \ [t^h] \ v_2^s)]$$

$$\mathscr{E}[\texttt{hs} \ [t^h] \ v_1^s]^h \rightarrow \mathscr{E}[\texttt{wrong} \ t^h[t_i^h/t_i^h.x^h] \ \text{``Not a list''}]$$
$$(v_1^s \neq \texttt{nil} \ \text{and} \ v_1^s \neq \texttt{cons} \ v_2^s \ v_3^s)$$

$$\mathscr{E}[\texttt{hs} \ (t^h.x^h) \ (\texttt{sh} \ (t^h.x^h) \ e^h)]^h \rightarrow \mathscr{E}[e^h]$$

$$\mathscr{E}[\texttt{hs} \ (t^h.x^h) \ v^s]^h \rightarrow \mathscr{E}[\texttt{wrong} \ t^h \ \text{``Parametricity violated''}] \ (v^s \neq \texttt{sh} \ (t^h.x^h) \ e^h)$$

$$\mathscr{E}[\texttt{hs} \ (t_1^h \rightarrow t_2^h) \ (\lambda x^s.e^s)]^h \rightarrow \mathscr{E}[\lambda x^h : t_1^h[t_i^h/t_i^h.x^h].\texttt{hs} \ t_2^h \ ((\lambda x^s.e^s) \ (\texttt{sh} \ t_1^h \ x^h))]$$

$$\mathscr{E}[\texttt{hs} \ (t_1^h \rightarrow t_2^h) \ v^s]^h \rightarrow \mathscr{E}[\texttt{wrong} \ (t_1^h \rightarrow t_2^h)[t_i^h/t_i^h.x^h] \ \text{``Not a function''}]$$
$$(v^s \neq \lambda x^s.e^s)$$

$$\mathscr{E}[(\texttt{hs} \ (\forall x^h.t_1^h) \ v^s) \ \{t_2^h\}]^h \rightarrow \mathscr{E}[\texttt{hs} \ t_1^h[t_2^h.x^h/x^h] \ v^s]$$

Figure 2.10: Haskell-Scheme operational semantics

$$e^m = x^m \mid v^m \mid e^m \; e^m \mid e^m \; \{t^m\} \mid \mathtt{fix} \; e^m \mid o \; e^m \; e^m \mid \mathtt{if0} \; e^m \; e^m \; e^m$$
$$\mathtt{cons} \; e^m \; e^m \mid f \; e^m \mid \mathtt{null?} \; e^m \mid \mathtt{wrong} \; t^m \; string \mid \mathtt{ms} \; t^m \; e^s$$
$$v^m = w^m \mid \mathtt{mh} \; t^m \; e^h$$
$$w^m = \lambda x^m : t^m.e^m \mid \Lambda x^m.e^m \mid \overline{n} \mid \mathtt{nil} \; t^m \mid \mathtt{cons} \; v^m \; v^m \mid \mathtt{ms} \; \mathtt{L} \; v^s$$
$$\mathtt{ms} \; (\forall x^m.t^m) \; v^s$$
$$t^m = \mathtt{L} \mid \mathtt{N} \mid x^m \mid [t^m] \mid t^m.x^m \mid t^m \to t^m \mid \forall x^m.t^m$$
$$o = + \mid -$$
$$f = \mathtt{hd} \mid \mathtt{tl}$$
$$E^m = U^m \mid \mathtt{mh} \; t^m \; E^h$$
$$U^m = [\,]^m \mid E^m \; e^m \mid w^m \; U^m \mid E^m \; \{t^m\} \mid \mathtt{fix} \; E^m \mid o \; E^m \; e^m \mid o \; w^m \; E^m$$
$$\mathtt{if0} \; E^m \; e^m \; e^m \mid \mathtt{cons} \; U^m \; e^m \mid \mathtt{cons} \; v^m \; U^m \mid f \; E^m \mid \mathtt{null?} \; E^m$$
$$\mathtt{ms} \; t^m \; E^s$$

Figure 2.11: ML grammar and evaluation contexts

$$\frac{}{\vdash^m \mathtt{L}} \quad \frac{}{\vdash^m \mathtt{N}} \quad \frac{}{\Gamma, x^m \vdash^m x^m}$$

$$\frac{\Gamma \vdash^m t^m}{\Gamma \vdash^m [t^m]} \quad \frac{\Gamma \vdash^m t^m}{\Gamma \vdash^m t^m.x^m} \quad \frac{\Gamma \vdash^m t_1^m \quad \Gamma \vdash^m t_2^m}{\Gamma \vdash^m t_1^m \to t_2^m} \quad \frac{\Gamma, x^m \vdash^m t^m}{\Gamma \vdash^m \forall x^m.t^m}$$

$$\frac{\vdash^m t_1^m \quad \Gamma, x^m : t_1^m \vdash^m e^m : t_2^m}{\Gamma \vdash^m (\lambda x^m : t_1^m.e^m) : \forall t_1^m.t_2^m} \quad \frac{\Gamma, x^m \vdash^m e^m : t^m}{\Gamma \vdash^m \Lambda x^m.e^m : \forall x^m.t^m} \quad \frac{}{\vdash^m \overline{n} : \mathtt{N}}$$

$$\frac{\Gamma \vdash^m t^m :}{\Gamma \vdash^m \mathtt{nil} \; t^m : [t^m]} \quad \frac{\Gamma \vdash^m e_1^m : t^m \quad \Gamma \vdash^m e_2^m : [t^m]}{\Gamma \vdash^m \mathtt{cons} \; e_1^m \; e_2^m : [t^m]} \quad \frac{}{\Gamma, x^m : t^m \vdash^m x^m : t^m}$$

$$\frac{\Gamma \vdash^m e_1^m : t_1^m \to t_2^m \quad \Gamma \vdash^m e_2^m : t_1^m}{\Gamma \vdash^h e_1^m \; e_2^m : t_2^m} \quad \frac{\Gamma \vdash^m e^m : t^m \to t^m}{\Gamma \vdash^m \mathtt{fix} \; e^m : t^m}$$

$$\frac{\Gamma \vdash^m t_1^m \quad \Gamma \vdash^m e^m : \forall x^m.t_2^m}{\Gamma \vdash^m e^m \; \{t_1^m\} : t_2^m[t_1^m/x^m]} \quad \frac{\Gamma \vdash^m e^m : [t^m]}{\Gamma \vdash^m \mathtt{hd} \; e^m : t^m} \quad \frac{\Gamma \vdash^m e^m : [t^m]}{\Gamma \vdash^m \mathtt{tl} \; e^m : [t^m]}$$

$$\frac{\Gamma \vdash^m e_1^m : \mathtt{N} \quad \Gamma \vdash^m e_2^m : \mathtt{N}}{\Gamma \vdash^m o \; e_1^m \; e_2^m : \mathtt{N}} \quad \frac{\Gamma \vdash^m e^m : [t^m]}{\Gamma \vdash^m \mathtt{null?} \; e^m : \mathtt{N}}$$

$$\frac{\Gamma \vdash^m e_1^m : \mathtt{N} \quad \Gamma \vdash^m e_2^m : t^m \quad \Gamma \vdash^m e_3^m : t^m}{\Gamma \vdash^m \mathtt{if0} \; e_1^m \; e_2^m \; e_3^m : t^m} \quad \frac{\Gamma \vdash^m t^m}{\Gamma \vdash^m \mathtt{wrong} \; t^m \; string : t^m}$$

$$\frac{\Gamma \vdash^m t^m \quad \Gamma \vdash^h e^h : t^h \quad t^m = t^h}{\Gamma \vdash^m \mathtt{mh} \; t^m \; e^h : t^m} \quad \frac{\Gamma \vdash^m t^m \quad \Gamma \vdash^s e^s : \mathtt{TST}}{\Gamma \vdash^m \mathtt{ms} \; t^m \; e^s : t^m[t_i^m/t_i^m.x^m]}$$

Figure 2.12: ML typing rules

$$\mathscr{E}[(\lambda x^m : t^m.e^m)\ v^m]^m \rightarrow \mathscr{E}[e^m[v^m/x^m]]$$

$$\mathscr{E}[(\Lambda x^m.e^m)\ \{t^m\}]^m \rightarrow \mathscr{E}[e^m[t^m/x^m]]$$

$$\mathscr{E}[\mathtt{fix}\ (\lambda x^m : t^m.e^m)]^m \rightarrow \mathscr{E}[e^m[\mathtt{fix}\ (\lambda x^m : t^m.e^m)/x^m]]$$

$$\mathscr{E}[+\ \overline{n}_1\ \overline{n}_2]^m \rightarrow \mathscr{E}[\overline{n_1 + n_2}]$$

$$\mathscr{E}[-\ \overline{n}_1\ \overline{n}_2]^m \rightarrow \mathscr{E}[\overline{max(n_1 - n_2, 0)}]$$

$$\mathscr{E}[\mathtt{if0}\ \overline{0}\ e_1^m\ e_2^m]^m \rightarrow \mathscr{E}[e_1^m]$$

$$\mathscr{E}[\mathtt{if0}\ \overline{n}\ e_1^m\ e_2^m]^m \rightarrow \mathscr{E}[e_2^m]\ (n \neq 0)$$

$$\mathscr{E}[\mathtt{hd}\ (\mathtt{nil}\ t^m)]^m \rightarrow \mathscr{E}[\mathtt{wrong}\ t^m\ \text{``Empty list''}]$$

$$\mathscr{E}[\mathtt{tl}\ (\mathtt{nil}\ t^m)]^m \rightarrow \mathscr{E}[\mathtt{wrong}\ [t^m]\ \text{``Empty list''}]$$

$$\mathscr{E}[\mathtt{hd}\ (\mathtt{cons}\ v_1^m\ v_2^m)]^m \rightarrow \mathscr{E}[v_1^m]$$

$$\mathscr{E}[\mathtt{tl}\ (\mathtt{cons}\ v_1^m\ v_2^m)]^m \rightarrow \mathscr{E}[v_2^m]$$

$$\mathscr{E}[\mathtt{null?}\ (\mathtt{nil}\ t^m)]^m \rightarrow \mathscr{E}[\overline{0}]$$

$$\mathscr{E}[\mathtt{null?}\ (\mathtt{cons}\ v_1^m\ v_2^m)]^m \rightarrow \mathscr{E}[\overline{1}]$$

$$\mathscr{E}[\mathtt{wrong}\ t^m\ string]^h \rightarrow \textbf{Error: } string$$

Figure 2.13: ML operational semantics

$$\mathscr{E}[\texttt{mh L }(\texttt{hs L }v^s)]^m \rightarrow \mathscr{E}[\texttt{ms L }v^s]$$

$$\mathscr{E}[\texttt{mh N }\overline{n}]^m \rightarrow \mathscr{E}[\overline{n}]$$

$$\mathscr{E}[\texttt{mh }[t^m]\ (\texttt{nil }t^m)]^m \rightarrow \mathscr{E}[\texttt{nil }t^m]$$

$$\mathscr{E}[\texttt{mh }[t^m]\ (\texttt{cons }v_1^m\ v_2^m)]^m \rightarrow \mathscr{E}[\texttt{cons }(\texttt{mh }t^m\ v_1^m)\ (\texttt{mh }[t^m]\ v_2^m)]$$

$$\mathscr{E}[\texttt{mh }(t_1^m \rightarrow t_2^m)\ (\lambda x^m : t_1^m.e^m)]^m \rightarrow \mathscr{E}[\lambda x^h : t_1^m.\texttt{mh }t_2^m\ ((\lambda x^m : t_1^m.e^m)\ (\texttt{mh }t_1^m\ x^h))]$$

$$\mathscr{E}[\texttt{mh }(\forall x^m.t^m)\ (\Lambda x^h.e^h)]^m \rightarrow \mathscr{E}[\Lambda x^m.\texttt{mh }t^m\ e^h]$$

$$\mathscr{E}[\texttt{mh }(\forall x^m.t^m)\ (\texttt{hs }(\forall x^h.t^h)\ v^s)]^m \rightarrow \mathscr{E}[\texttt{ms }(\forall x^m.t^m)\ v^s]$$

Figure 2.14: ML-Haskell operational semantics

$$\mathscr{E}[\texttt{ms N }\overline{n}]^m \rightarrow \mathscr{E}[\overline{n}]$$

$$\mathscr{E}[\texttt{ms N }v^s]^m \rightarrow \mathscr{E}[\texttt{wrong N ``Not a number''}]\ (v^s \neq \overline{n})$$

$$\mathscr{E}[\texttt{ms }[t^m]\ \texttt{nil}]^m \rightarrow \mathscr{E}[\texttt{nil }t^m[t_i^m/t_i^m.x^m]]$$

$$\mathscr{E}[\texttt{ms }[t^m]\ (\texttt{cons }v_1^s\ v_2^s)]^m \rightarrow \mathscr{E}[\texttt{cons }(\texttt{ms }t^m\ v_1^s)\ (\texttt{ms }[t^m]\ v_2^s)]$$

$$\mathscr{E}[\texttt{ms }[t^m]\ v_1^s]^m \rightarrow \mathscr{E}[\texttt{wrong }t^m[t_i^m/t_i^m.x^m]\ \texttt{``Not a list''}]$$
$$(v_1^s \neq \texttt{nil and }v_1^s \neq \texttt{cons }v_2^s\ v_3^s)$$

$$\mathscr{E}[\texttt{ms }(t^m.x^m)\ (\texttt{sm }(t^h.x^h)\ v^m)]^m \rightarrow \mathscr{E}[v^m]$$

$$\mathscr{E}[\texttt{ms }(t^m.x^m)\ v^s]^m \rightarrow \mathscr{E}[\texttt{wrong }t^m\ \texttt{``Parametricity violated''}]\ (v^s \neq \texttt{sm }(t^m.x^m)\ e^m)$$

$$\mathscr{E}[\texttt{ms }(t_1^m \rightarrow t_2^m)\ (\lambda x^s.e^s)]^m \rightarrow \mathscr{E}[\lambda x^m : t_1^m[t_i^m/t_i^m.x^m].\texttt{ms }t_2^m\ ((\lambda x^s.e^s)\ (\texttt{sm }t_1^m\ x^m))]$$

$$\mathscr{E}[\texttt{ms }(t_1^m \rightarrow t_2^m)\ v^s]^m \rightarrow \mathscr{E}[\texttt{wrong }(t_1^m \rightarrow t_2^m)[t_i^m/t_i^m.x^m]\ \texttt{``Not a function''}]$$
$$(v^s \neq \lambda x^s.e^s)$$

$$\mathscr{E}[(\texttt{ms }(\forall x^m.t_1^m)\ v^s)\ \{t_2^m\}]^m \rightarrow \mathscr{E}[\texttt{ms }t_1^m[t_2^m.x^m/x^m]\ v^s]$$

Figure 2.15: ML-Scheme operational semantics

$$
\begin{aligned}
e^s \;&=\; x^s \mid v^s \mid e^s\, e^s \mid o\, e^s\, e^s \mid p\, e^s \mid \mathtt{if0}\; e^s\, e^s\, e^s \mid \mathtt{cons}\; e^s\, e^s \mid f\, e^s \\
&\;\; \mathtt{wrong}\; \mathit{string} \mid \mathtt{sm}\; t^m\, e^m \\
v^s \;&=\; w^s \mid \mathtt{sh}\; t^h\, e^h \\
w^s \;&=\; \lambda x^s.e^s \mid \overline{n} \mid \mathtt{nil} \mid \mathtt{cons}\; v^s\, v^s \mid \mathtt{sm}\; (t^m.x^m)\, v^m \\
o \;&=\; +\mid - \\
f \;&=\; \mathtt{hd} \mid \mathtt{tl} \\
p \;&=\; \mathtt{fun?} \mid \mathtt{list?} \mid \mathtt{null?} \mid \mathtt{num?} \\
E^s \;&=\; U^s \mid \mathtt{sh}\; t^h\, E^h \\
U^s \;&=\; [\,]^s \mid E^s\, e^s \mid w^s\, U^s \mid o\, E^s\, e^s \mid o\, w^s\, E^s \mid p\, E^s \mid \mathtt{if0}\; E^s\, e^s\, e^s \\
&\;\; \mathtt{cons}\; U^s\, e^s \mid \mathtt{cons}\; v^s\, U^s \mid f\, E^s \mid \mathtt{sm}\; t^m\, E^m
\end{aligned}
$$

<div align="center">

Figure 2.16: Scheme grammar and evaluation contexts

</div>

$$
\overline{\vdash^s \mathsf{TST}}
$$

$$
\frac{\Gamma, x^s : \mathsf{TST} \vdash^s e^s : \mathsf{TST}}{\Gamma \vdash^s \lambda x^s.e^s : \mathsf{TST}} \qquad \overline{\vdash^s \overline{n} : \mathsf{TST}} \qquad \overline{\vdash^s \mathtt{nil} : \mathsf{TST}}
$$

$$
\frac{\Gamma \vdash^s e_1^s : \mathsf{TST} \quad \Gamma \vdash^s e_2^s : \mathsf{TST}}{\Gamma \vdash^s \mathtt{cons}\; e_1^s\, e_2^s : \mathsf{TST}} \qquad \overline{\Gamma, x^s : \mathsf{TST} \vdash^s x^s : \mathsf{TST}}
$$

$$
\frac{\Gamma \vdash^s e_1^s : \mathsf{TST} \quad \Gamma \vdash^s e_2^s : \mathsf{TST}}{\Gamma \vdash^h e_1^s\, e_2^s : \mathsf{TST}} \qquad \frac{\Gamma \vdash^s e^s : \mathsf{TST}}{\Gamma \vdash^s f\, e^s : \mathsf{TST}}
$$

$$
\frac{\Gamma \vdash^s e_1^s : \mathsf{TST} \quad \Gamma \vdash^s e_2^s : \mathsf{TST}}{\Gamma \vdash^s o\, e_1^s\, e_2^s : \mathsf{TST}} \qquad \frac{\Gamma \vdash^s e^s : \mathsf{TST}}{\Gamma \vdash^s p\, e^s : \mathsf{TST}}
$$

$$
\frac{\Gamma \vdash^s e_1^s : \mathsf{TST} \quad \Gamma \vdash^s e_2^s : \mathsf{TST} \quad \Gamma \vdash^s e_3^s : \mathsf{TST}}{\Gamma \vdash^s \mathtt{if0}\; e_1^s\, e_2^s\, e_3^s : \mathsf{TST}} \qquad \overline{\vdash^s \mathtt{wrong}\; \mathit{string} : \mathsf{TST}}
$$

$$
\frac{\Gamma \vdash^h t^h \quad \Gamma \vdash^h e^h : t^h[t_i^h/t_i^h.x^h]}{\Gamma \vdash^s \mathtt{sh}\; t^h\, e^h : \mathsf{TST}} \qquad \frac{\Gamma \vdash^m t^m \quad \Gamma \vdash^m e^m : t^m[t_i^m/t_i^m.x^m]}{\Gamma \vdash^s \mathtt{sm}\; t^m\, e^m : \mathsf{TST}}
$$

<div align="center">

Figure 2.17: Scheme typing rules

</div>

$\mathscr{E}[(\lambda x^s.e^s)\ v^s]^s \rightarrow \mathscr{E}[e^s[v^s/x^s]]$

$\mathscr{E}[v_1^s\ v_2^s]^s \rightarrow \mathscr{E}[\text{wrong "Not a function"}]\ (v_1^s \neq \lambda x^s.e^s)$

$\mathscr{E}[+\ \overline{n_1}\ \overline{n_2}]^s \rightarrow \mathscr{E}[\overline{n_1 + n_2}]$

$\mathscr{E}[-\ \overline{n_1}\ \overline{n_2}]^s \rightarrow \mathscr{E}[\overline{max(n_1 - n_2, 0)}]$

$\mathscr{E}[o\ v_1^s\ v_2^s]^s \rightarrow \mathscr{E}[\text{wrong "Not a number"}]\ (v_1^s \neq \overline{n}\ \text{or}\ v_2^s \neq \overline{n})$

$\mathscr{E}[\text{if0}\ \overline{0}\ e_1^s\ e_2^s]^s \rightarrow \mathscr{E}[e_1^s]$

$\mathscr{E}[\text{if0}\ \overline{n}\ e_1^s\ e_2^s]^s \rightarrow \mathscr{E}[e_2^s]\ (n \neq 0)$

$\mathscr{E}[\text{if0}\ v^s\ e_1^s\ e_2^s]^s \rightarrow \mathscr{E}[\text{wrong "Not a number"}]\ (v^s \neq \overline{n})$

$\mathscr{E}[f\ \text{nil}]^s \rightarrow \mathscr{E}[\text{wrong "Empty list"}]$

$\mathscr{E}[\text{hd}\ (\text{cons}\ v_1^s\ v_2^s)]^s \rightarrow \mathscr{E}[v_1^s]$

$\mathscr{E}[\text{tl}\ (\text{cons}\ v_1^s\ v_2^s)]^s \rightarrow \mathscr{E}[v_2^s]$

$\mathscr{E}[f\ v_1^s]^s \rightarrow \mathscr{E}[\text{wrong "Not a list"}]\ (v_1^s \neq \text{nil and } v_1^s \neq \text{cons}\ v_2^s\ v_3^s)$

$\mathscr{E}[\text{fun?}\ (\lambda x^s.e^s)]^s \rightarrow \mathscr{E}[\overline{0}]$

$\mathscr{E}[\text{fun?}\ v^s]^s \rightarrow \mathscr{E}[\overline{1}]\ (v^s \neq \lambda x^s.e^s)$

$\mathscr{E}[\text{list?}\ \text{nil}]^s \rightarrow \mathscr{E}[\overline{0}]$

$\mathscr{E}[\text{list?}\ (\text{cons}\ v_1^s\ v_2^s)]^s \rightarrow \mathscr{E}[\overline{0}]$

$\mathscr{E}[\text{list?}\ v_1^s]^s \rightarrow \mathscr{E}[\overline{1}]\ (v_1^s \neq \text{nil and } v_1^s \neq \text{cons}\ v_2^s\ v_3^s)$

$\mathscr{E}[\text{null?}\ \text{nil}]^s \rightarrow \mathscr{E}[\overline{0}]$

$\mathscr{E}[\text{null?}\ v^s]^s \rightarrow \mathscr{E}[\overline{1}]\ (v^s \neq \text{nil})$

$\mathscr{E}[\text{num?}\ \overline{n}]^s \rightarrow \mathscr{E}[\overline{0}]$

$\mathscr{E}[\text{num?}\ v^s]^s \rightarrow \mathscr{E}[\overline{1}]\ (v^s \neq \overline{n})$

$\mathscr{E}[\text{wrong}\ string]^s \rightarrow \textbf{Error}: string$

Figure 2.18: Scheme operational semantics

$$\mathscr{E}[\text{sh L }(\text{hs L }v^s)]^s \to \mathscr{E}[v^s]$$

$$\mathscr{E}[\text{sh N }\overline{n}]^s \to \mathscr{E}[\overline{n}]$$

$$\mathscr{E}[\text{sh }[t]\ (\text{nil }t[t_i/t_i.x^h])]^s \to \mathscr{E}[\text{nil}]$$

$$\mathscr{E}[\text{sh }[t]\ (\text{cons }e_1^h\ e_2^h)]^s \to \mathscr{E}[\text{cons }(\text{sh }t\ e_1^h)\ (\text{sh }[t]\ e_2^h)]$$

$$\mathscr{E}[\text{sh }(t_1 \to t_2)\ (\lambda x^h : t_1[t_i/t_i.x^h].e^h)]^s \to$$
$$\mathscr{E}[\lambda x^s.\text{sh }t_2\ ((\lambda x^h : t_1[t_i/t_i.x^h].e^h)\ (\text{hs }t_1\ x^s))]$$

$$\mathscr{E}[\text{sh }(\forall x^h.t)\ (\Lambda x^h.e^h)]^s \to \mathscr{E}[\text{sh }t[\text{L}/x^h]\ e^h[\text{L}/x^h]]$$

$$\mathscr{E}[\text{sh }(\forall x^h.t)\ (\text{hs }(\forall x^h.t)\ v^s)]^s \to \mathscr{E}[v^s]$$

Figure 2.19: Scheme-Haskell operational semantics

$$\mathscr{E}[\text{sm }t\ (\text{mh }t\ e^h)]^s \to \mathscr{E}[\text{sh }t\ e^h]$$

$$\mathscr{E}[\text{sm L }(\text{ms L }v^s)]^s \to \mathscr{E}[v^s]$$

$$\mathscr{E}[\text{sm N }\overline{n}]^s \to \mathscr{E}[\overline{n}]$$

$$\mathscr{E}[\text{sm }[t]\ (\text{nil }t[t_i/t_i.x^m])]^s \to \mathscr{E}[\text{nil}]$$

$$\mathscr{E}[\text{sm }[t]\ (\text{cons }v_1^m\ v_2^m)]^s \to \mathscr{E}[\text{cons }(\text{sm }t\ v_1^m)\ (\text{sm }[t]\ v_2^m)]$$

$$\mathscr{E}[\text{sm }(t_1 \to t_2)\ (\lambda x^m : t_1[t_i/t_i.x^m].e^m)]^s \to$$
$$\mathscr{E}[\lambda x^s.\text{sm }t_2\ ((\lambda x^m : t_1[t_i/t_i.x^m].e^m)\ (\text{ms }t_1\ x^s))]$$

$$\mathscr{E}[\text{sm }(\forall x^m.t)\ (\Lambda x^m.e^m)]^s \to \mathscr{E}[\text{sm }t[\text{L}/x^m]\ e^m[\text{L}/x^m]]$$

$$\mathscr{E}[\text{sm }(\forall x^m.t)\ (\text{ms }(\forall x^m.t)\ v^s)]^s \to \mathscr{E}[v^s]$$

Figure 2.20: Scheme-ML operational semantics

Chapter 3

Proof of Type Soundness

Proving the progress of expressions and the preservation of types proves the type soundness of the model of computation. Progress ensures that a well-typed, closed expression is either an unforced value, reducible to another expression, or reducible to an error. Preservation ensures that if a well-typed expression reduces to another expression, the other expression is well-typed and has the same type. The proof extends the proof by Kinghorn [**?**], which was based on proofs by Pierce [**?**] and Matthews and Findler [**?**].

3.1   Proof of Expression Progress

Progress will be proven by structural induction on a well-typed, closed expression of each syntactic form. In each case, the expression will be proven to be either an unforced value, reducible to another expression, or reducible to an error. The reduction of a subexpression is the reduction of its parent expression. If a subexpression reduces to an error, its parent expression reduces to the error. In some cases, the syntactic form of a subexpression must be determined

to reduce its parent expression. Determining the unique type of a subexpression determines its syntactic form.

3.1.1 Inversion Lemma

Inverting the typing relations enables the syntactic forms of well-typed expressions to determine the types of their subexpressions:

Lemma 1. *The syntactic forms of well-typed expressions determine the types of their subexpressions.*

1. *If $\Gamma \vdash_A \lambda x : T_1.e_A : T$ then $T = T_1 \to T_2$, $\Gamma \vdash_A T_1$, and $\Gamma, x : T_1 \vdash_A e_A : T_2$ where $A \in \{H, M\}$.*

2. *If $\Gamma \vdash_S \lambda x.e_S : TST$ then $\Gamma, x : TST \vdash_S e_S : TST$.*

3. *If $\Gamma \vdash_A \Lambda X.e_A : T$ then $T = \forall X.T_1$ and $\Gamma, X \vdash_A e_A : T_1$ where $A \in \{H, M\}$.*

4. *If $\vdash_A \overline{n} : T$ then $T = N$ where $A \in \{H, M\}$.*

5. *$\vdash_S \overline{n} : TST$.*

6. *If $\Gamma \vdash_A \mathtt{nil}^{T_1} : T$ then $T = [T_1]$ and $\Gamma \vdash_A T_1$ where $A \in \{H, M\}$.*

7. *$\vdash_S \mathtt{nil} : TST$.*

8. *If $\Gamma \vdash_A \mathtt{cons}\ e_A^1\ e_A^2 : T$ then $T = [T_1]$, $\Gamma \vdash_A e_A^1 : T_1$, and $\Gamma \vdash_A e_A^2 : [T_1]$ where $A \in \{H, M\}$.*

9. *If $\Gamma \vdash_S \mathtt{cons}\ e_S^1\ e_S^2 : TST$ then $\Gamma \vdash_S e_S^1 : TST$ and $\Gamma \vdash_S e_S^2 : TST$.*

10. *If $\Gamma \vdash_A x : T$ then $T = T_1$ and $x : T_1 \in \Gamma$ where $A \in \{H, M\}$.*

11. *If $\Gamma \vdash_S x : TST$ then $x : TST \in \Gamma$.*

12. If $\Gamma \vdash_A e_A^1 \; e_A^2 : T$ then $T = T_2$, $\Gamma \vdash_A e_A^1 : T_1 \rightarrow T_2$, and $\Gamma \vdash_A e_A^2 : T_1$ where $A \in \{H, M\}$.

13. If $\Gamma \vdash_S e_S^1 \; e_S^2 : TST$ then $\Gamma \vdash_S e_S^1 : TST$ and $\Gamma \vdash_S e_S^2 : TST$.

14. If $\Gamma \vdash_A \mathtt{fix} \; e_A : T$ then $T = T_1$ and $\Gamma \vdash_A e_A : T_1 \rightarrow T_1$ where $A \in \{H, M\}$.

15. If $\Gamma \vdash_A e_A \; \{T_1\} : T$ then $T = T_2[T_1/X]$, $\Gamma \vdash_A T_1$, and $\Gamma \vdash_A e_A : \forall X.T_2$ where $A \in \{H, M\}$.

16. If $\Gamma \vdash_A \mathtt{hd} \; e_A : T$ then $T = T_1$ and $\Gamma \vdash_A e_A : [T_1]$ where $A \in \{H, M\}$.

17. If $\Gamma \vdash_A \mathtt{tl} \; e_A : T$ then $T = [T_1]$ and $\Gamma \vdash_A e_A : [T_1]$ where $A \in \{H, M\}$.

18. If $\Gamma \vdash_S f \; e_S : TST$ then $\Gamma \vdash_S e_S : TST$.

19. If $\Gamma \vdash_A o \; e_A^1 \; e_A^2 : T$ then $T = N$, $\Gamma \vdash_A e_A^1 : N$, and $\Gamma \vdash_A e_A^2 : N$ where $A \in \{H, M\}$.

20. If $\Gamma \vdash_S o \; e_S^1 \; e_S^2 : TST$ then $\Gamma \vdash_S e_S^1 : TST$ and $\Gamma \vdash_S e_S^2 : TST$.

21. If $\Gamma \vdash_A \mathtt{null?} \; e_A : T$ then $T = N$ and $\Gamma \vdash_A e_A : [T_1]$ where $A \in \{H, M\}$.

22. If $\Gamma \vdash_S p \; e_S : TST$ then $\Gamma \vdash_S e_S : TST$.

23. If $\Gamma \vdash_A \mathtt{if0} \; e_A^1 \; e_A^2 \; e_A^3 : T$ then $T = T_1$, $\Gamma \vdash_A e_A^1 : N$, $\Gamma \vdash_A e_A^2 : T_1$, and $\Gamma \vdash_A e_A^3 : T_1$ where $A \in \{H, M\}$.

24. If $\Gamma \vdash_S \mathtt{if0} \; e_S^1 \; e_S^2 \; e_S^3 : TST$ then $\Gamma \vdash_S e_S^1 : TST$, $\Gamma \vdash_S e_S^2 : TST$, and $\Gamma \vdash_S e_S^3 : TST$.

25. If $\Gamma \vdash_A \mathtt{wrong}^{T_1} \; string : T$ then $T = T_1$ where $A \in \{H, M\}$.

26. $\vdash_S \mathtt{wrong} \; string : TST$.

27. If $\Gamma \vdash_M \texttt{force } e_M : T$ then $T = T_1$ and $\Gamma \vdash_M e_M : T_1$.

28. If $\Gamma \vdash_S \texttt{force } e_S : TST$ then $\Gamma \vdash_S e_S : TST$.

29. If $\Gamma \vdash_A {}^{T_1}AB^{T_1} e_B : T$ then $T = T_1$, $\Gamma \vdash_A T_1$, $\Gamma \vdash_B T_1$, and $\Gamma \vdash_B e_B : T_1$ where $(A, B) \in \{(H, M), (M, H)\}$.

30. If $\Gamma \vdash_A {}^{T_1}AS \ e_S : T$ then $T = T_1[T_i/T_i^a]$, $\Gamma \vdash_A T_1$, and $\Gamma \vdash_S e_S : TST$ where $A \in \{H, M\}$.

31. $\Gamma \vdash_S SA^{T_1} e_A : TST$, $\Gamma \vdash_A T_1$, and $\Gamma \vdash_A e_A : T_1[T_i/T_i^a]$ where $A \in \{H, M\}$.

Proof. Immediate from the definitions of the typing relations.

$\square$

3.1.2 Uniqueness of Types Lemma

Well-typed Haskell and ML expressions have unique types:

Lemma 2. *e_A has at most one type T for a given context Γ where $A \in \{H, M\}$.*

Proof. By structural induction on e_A using inversion (Lemma 1). $\square$

3.1.3 Canonical Forms Lemma

The types of Haskell and ML values determine their syntactic forms:

Lemma 3. *The syntactic forms of unforced values of various types.*

1. If $v_A : L$ then $v_A = {}^L AS \ v_S$ where $A \in \{H, M\}$.

2. If $v_A : N$ then $v_A = \overline{n}$ where $A \in \{H, M\}$.

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3. If $v_H : [T]$ then $v_H \in \{\mathtt{nil}^T, \mathtt{cons}\ e_H^1\ e_H^2\}$.

4. If $v_M : [T]$ then $v_M \in \{\mathtt{nil}^T, \mathtt{cons}\ v_M^1\ v_M^2, {}^{[T]}MH^{[T]}\ (\mathtt{cons}\ e_H^1\ e_H^2)\}$.

5. If $v_A : T_1 \to T_2$ then $v_A = \lambda x : T_1.e_A$ where $A \in \{H, M\}$.

6. If $v_A : \forall X.T$ then $v_A \in \{\Lambda X.e_A, {}^{\forall X.T}AS\ v_S\}$ where $A \in \{H, M\}$.

Proof. Immediate from the definitions of unforced values and the typing relations.

$\square$

3.1.4 Haskell and ML Progress Theorem

Theorem 1. *If $\vdash_A e_A : T$ then e_A is an unforced value or $e_A \to e_A'$ or $e_A \to$* **Error***: string where $A \in \{H, M\}$.*

Proof. By structural induction on e_A.

Case 1.1. $e_A = \lambda x : T.e_A^1$ *where $A \in \{H, M\}$*

$\lambda x : T.e_A^1$ *is an unforced value.*

Case 1.2. $e_A = \Lambda X.e_A^1$ *where $A \in \{H, M\}$*

$\Lambda X.e_A^1$ *is an unforced value.*

Case 1.3. $e_A = \overline{n}$ *where $A \in \{H, M\}$*

$\overline{n}$ *is an unforced value.*

Case 1.4. $e_A = \mathtt{nil}^T$ *where $A \in \{H, M\}$*

$\mathtt{nil}^T$ *is an unforced value.*

Case 1.5. $e_H = \mathtt{cons}\ e_H^1\ e_H^2$

$\mathtt{cons}\ e_H^1\ e_H^2$ *is an unforced value.*

Case 1.6. $e_M = \text{cons } v_M^1 \; v_M^2$

cons $v_M^1 \; v_M^2$ *is an unforced value.*

Case 1.7. $e_M = {}^T MH \; e_H$

${}^T MH \; e_H$ *is an unforced value.*

Case 1.8. $e_A = x$ *where* $A \in \{H, M\}$

Cannot occur because e_A *is closed.*

Case 1.9. $e_H = e_H^1 \; e_H^2$

e_H^1 *is an unforced value or* $e_H^1 \rightarrow e_H^3$ *or* $e_H^1 \rightarrow$ **Error**: string *by the induction hypothesis. If* e_H^1 *is an unforced value then* $e_H^1 : T_1 \rightarrow T_2$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_H^1 = \lambda x : T_1.e_H^4$ *by canonical forms (Lemma 3).* $(\lambda x : T_1.e_H^4) \; e_H^2 \rightarrow e_H^4[e_H^2/x]$. *If* $e_H^1 \rightarrow e_H^3$ *then* $e_H^1 \; e_H^2 \rightarrow e_H^3 \; e_H^2$. *If* $e_H^1 \rightarrow$ **Error**: string *then* $e_H^1 \; e_H^2 \rightarrow$ **Error**: string.

Case 1.10. $e_M = e_M^1 \; e_M^2$

e_M^1 *is an unforced value or* $e_M^1 \rightarrow e_M^3$ *or* $e_M^1 \rightarrow$ **Error**: string *by the induction hypothesis. If* e_M^1 *is an unforced value then* $e_M^1 : T_1 \rightarrow T_2$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_M^1 = \lambda x : T_1.e_M^4$ *by canonical forms (Lemma 3). If* $e_M^1 \rightarrow e_M^3$ *then* $e_M^1 \; e_M^2 \rightarrow e_M^3 \; e_M^2$. *If* $e_M^1 \rightarrow$ **Error**: string *then* $e_M^1 \; e_M^2 \rightarrow$ **Error**: string. e_M^2 *is an unforced value or* $e_M^2 \rightarrow e_M^5$ *or* $e_M^2 \rightarrow$ **Error**: string *by the induction hypothesis. If* $e_M^2 \rightarrow e_M^5$ *and* e_M^1 *is an unforced value then* $e_M^1 \; e_M^2 \rightarrow e_M^1 \; e_M^5$. *If* $e_M^2 \rightarrow$ **Error**: string *and* e_M^1 *is an unforced value then* $e_M^1 \; e_M^2 \rightarrow$ **Error**: string. *If* e_M^1 *is an unforced value and* e_M^2 *is an unforced value then* $(\lambda x : T_1.e_M^4) \; e_M^2 \rightarrow e_M^4[e_M^2/x]$.

Case 1.11. $e_A = \text{fix } e_A^1$ *where* $A \in \{H, M\}$

e_A^1 *is an unforced value or* $e_A^1 \to e_A^2$ *or* $e_A^1 \to$ **Error**: string *by the induction hypothesis. If* e_A^1 *is an unforced value then* $e_A^1 : T \to T$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_A^1 = \lambda x : T.e_A^3$ *by canonical forms (Lemma 3).* $\texttt{fix } (\lambda x : T.e_A^3) \to e_A^3[\texttt{fix } (\lambda x : T.e_A^3)/x]$. *If* $e_A^1 \to e_A^2$ *then* $\texttt{fix } e_A^1 \to \texttt{fix } e_A^2$. *If* $e_A^1 \to$ **Error**: string *then* $\texttt{fix } e_A^1 \to$ **Error**: string.

Case 1.12. $e_A = e_A^1 \ \{T_1\}$ *where* $A \in \{H, M\}$

e_A^1 *is an unforced value or* $e_A^1 \to e_A^2$ *or* $e_A^1 \to$ **Error**: string *by the induction hypothesis. If* e_A^1 *is an unforced value then* $e_A^1 : \forall X.T_2$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_A^1 \in \{\Lambda X.e_A^3, \forall X.T_2 AS \ v_S\}$ *by canonical forms (Lemma 3).* $(\Lambda X.e_A^3) \ \{T_1\} \to e_A^3[T_1/X]$. $(\forall X.T_2 AS \ v_S) \ \{T_1\} \to T_2[T_1^a/X] AS \ v_S$. *If* $e_A^1 \to e_A^2$ *then* $e_A^1 \ \{T_1\} \to e_A^2 \ \{T_1\}$. *If* $e_A^1 \to$ **Error**: string *then* $e_A^1 \ \{T_1\} \to$ **Error**: string.

Case 1.13. $e_M = \texttt{cons } e_M^1 \ e_M^2$

e_M^1 *is an unforced value or* $e_M^1 \to e_M^3$ *or* $e_M^1 \to$ **Error**: string *by the induction hypothesis. If* $e_M^1 \to e_M^3$ *then* $\texttt{cons } e_M^1 \ e_M^2 \to \texttt{cons } e_M^3 \ e_M^2$. *If* $e_M^1 \to$ **Error**: string *then* $\texttt{cons } e_M^1 \ e_M^2 \to$ **Error**: string. e_M^2 *is an unforced value or* $e_M^2 \to e_M^4$ *or* $e_M^2 \to$ **Error**: string *by the induction hypothesis. If* $e_M^2 \to e_M^4$ *and* e_M^1 *is an unforced value then* $\texttt{cons } e_M^1 \ e_M^2 \to \texttt{cons } e_M^1 \ e_M^4$. *If* $e_M^2 \to$ **Error**: string *and* e_M^1 *is an unforced value then* $\texttt{cons } e_M^1 \ e_M^2 \to$ **Error**: string. *If* e_M^1 *and* e_M^2 *are unforced values then* $\texttt{cons } e_M^1 \ e_M^2$ *is an unforced value.*

Case 1.14. $e_H = f \ e_H^1$

e_H^1 *is an unforced value or* $e_H^1 \to e_H^2$ *or* $e_H^1 \to$ **Error**: string *by the induction hypothesis. If* e_H^1 *is an unforced value then* $e_H^1 : [T]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_H^1 \in \{\texttt{nil}^T, \texttt{cons } e_H^3 \ e_H^4\}$ *by canonical forms (Lemma 3).* $\texttt{hd nil}^T \to \texttt{wrong}^T$ *"Empty list".* $\texttt{tl nil}^T \to \texttt{wrong}^{[T]}$ *"Empty*

list". $\text{hd }(\text{cons } e_H^3\ e_H^4) \to e_H^3$. $\text{tl }(\text{cons } e_H^3\ e_H^4) \to e_H^4$. If $e_H^1 \to e_H^2$ then $f\ e_H^1 \to f\ e_H^2$. If $e_H^1 \to$ **Error**: string *then* $f\ e_H^1 \to$ **Error**: string.

Case 1.15. $e_M = f\ e_M^1$

e_M^1 *is an unforced value or* $e_M^1 \to e_M^2$ *or* $e_M^1 \to$ **Error**: string *by the induction hypothesis. If* e_M^1 *is an unforced value then* $e_M^1 : [T]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_M^1 \in \{\text{nil}^T, \text{cons } v_M^1\ v_M^2, {}^{[T]}MH^{[T]}$ $(\text{cons } e_H^1\ e_H^2)\}$ *by canonical forms (Lemma 3).* $\text{hd nil}^T \to \text{wrong}^T$ "Empty list". $\text{tl nil}^T \to \text{wrong}^{[T]}$ "Empty list". $\text{hd }(\text{cons } v_M^1\ v_M^2) \to v_M^1$. $\text{tl }(\text{cons } v_M^1\ v_M^2) \to v_M^2$. $\text{hd }({}^{[T]}MH^{[T]}\ (\text{cons } e_H^1\ e_H^2)) \to {}^TMH^T\ e_H^1$. $\text{tl }({}^{[T]}MH^{[T]}\ (\text{cons } e_H^1\ e_H^2)) \to {}^{[T]}MH^{[T]}\ e_H^2$. If $e_M^1 \to e_M^2$ then $f\ e_M^1 \to f\ e_M^2$. If $e_M^1 \to$ **Error**: string *then* $f\ e_M^1 \to$ **Error**: string.

Case 1.16. $e_A = o\ e_A^1\ e_A^2$ where $A \in \{H, M\}$

e_A^1 *is an unforced value or* $e_A^1 \to e_A^3$ *or* $e_A^1 \to$ **Error**: string *by the induction hypothesis. If* $e_A^1 \to e_A^3$ *then* $o\ e_A^1\ e_A^2 \to o\ e_A^3\ e_A^2$. *If* $e_A^1 \to$ **Error**: string *then* $o\ e_A^1\ e_A^2 \to$ **Error**: string. e_A^2 *is an unforced value or* $e_A^2 \to e_A^4$ *or* $e_A^2 \to$ **Error**: string *by the induction hypothesis. If* $e_A^2 \to e_A^4$ *and* e_A^1 *is an unforced value then* $o\ e_A^1\ e_A^2 \to o\ e_A^1\ e_A^4$. *If* $e_A^2 \to$ **Error**: string *and* e_A^1 *is an unforced value then* $o\ e_A^1\ e_A^2 \to$ **Error**: string. e_A^1 *and* e_A^2 *are unforced values otherwise.* $e_A^1 : N$ *and* $e_A^2 : N$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_A^1 = \overline{n_1}$ *and* $e_A^2 = \overline{n_2}$ *by canonical forms (Lemma 3).* $+\ \overline{n_1}\ \overline{n_2} \to \overline{n_1 + n_2}$. $-\ \overline{n_1}$ $\overline{n_2} \to \overline{max(n_1 - n_2, 0)}$.

Case 1.17. $e_H = \text{null? } e_H^1$

e_H^1 *is an unforced value or* $e_H^1 \to e_H^2$ *or* $e_H^1 \to$ **Error**: string *by the induction hypothesis. If* e_H^1 *is an unforced value then* $e_H^1 : [T]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2) and* $e_H^1 \in \{\text{nil}^T, \text{cons } e_H^1\ e_H^2\}$ *by canonical forms*

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(Lemma 3). $\texttt{null? nil}^T \to \overline{0}$. $\texttt{null? (cons } e_H^1 \ e_H^2) \to \overline{1}$. If $e_H^1 \to e_H^2$ then $\texttt{null?}$ $e_H^1 \to \texttt{null? } e_H^2$. If $e_H^1 \to \textbf{Error}$: string then $\texttt{null? } e_H^1 \to \textbf{Error}$: string.

Case 1.18. $e_M = \texttt{null? } e_M^1$

e_M^1 is an unforced value or $e_M^1 \to e_M^2$ or $e_M^1 \to \textbf{Error}$: string by the induction hypothesis. If e_M^1 is an unforced value then $e_M^1 : [T]$ by inversion (Lemma 1) and uniqueness of types (Lemma 2) and $e_M^1 \in \{\texttt{nil}^T, \texttt{cons } v_M^1 \ v_M^2, {}^{[T]}MH^{[T]} \ (\texttt{cons}$ $e_H^1 \ e_H^2)\}$ by canonical forms (Lemma 3). $\texttt{null? nil}^T \to \overline{0}$. If $e_M^1 \in \{\texttt{cons}$ $v_M^1 \ v_M^2, {}^{[T]}MH^{[T]} \ (\texttt{cons } e_H^1 \ e_H^2)\}$ then $\texttt{null? } e_M^1 \to \overline{1}$. If $e_M^1 \to e_M^2$ then $\texttt{null?}$ $e_M^1 \to \texttt{null? } e_M^2$. If $e_M^1 \to \textbf{Error}$: string then $\texttt{null? } e_M^1 \to \textbf{Error}$: string.

Case 1.19. $e_A = \texttt{if0 } e_A^1 \ e_A^2 \ e_A^3$ where $A \in \{H, M\}$

e_A^1 is an unforced value or $e_A^1 \to e_A^4$ or $e_A^1 \to \textbf{Error}$: string by the induction hypothesis. If e_A^1 is an unforced value then $e_A^1 : N$ by inversion (Lemma 1) and uniqueness of types (Lemma 2) and $e_A^1 = \overline{n}$ by canonical forms (Lemma 3). $\texttt{if0}$ $\overline{0} \ e_A^2 \ e_A^3 \to e_A^2$. $\texttt{if0 } \overline{n} \ e_A^2 \ e_A^3 \to e_A^3 \ (n \neq 0)$. If $e_A^1 \to e_A^4$ then $\texttt{if0 } e_A^1 \ e_A^2 \ e_A^3 \to \texttt{if0}$ $e_A^4 \ e_A^2 \ e_A^3$. If $e_A^1 \to \textbf{Error}$: string then $\texttt{if0 } e_A^1 \ e_A^2 \ e_A^3 \to \textbf{Error}$: string.

Case 1.20. $e_A = \texttt{wrong}^T$ string where $A \in \{H, M\}$

$\texttt{wrong}^T$ string $\to \textbf{Error}$: string.

Case 1.21. $e_H = {}^T HM \ e_M^1$

e_M^1 is an unforced value or $e_M^1 \to e_M^2$ or $e_M^1 \to \textbf{Error}$: string by the induction hypothesis. If $e_M^1 \to e_M^2$ then ${}^T HM \ e_M^1 \to {}^T HM \ e_M^2$. If $e_M^1 \to \textbf{Error}$: string then ${}^T HM \ e_M^1 \to \textbf{Error}$: string. If e_M^1 is an unforced value then T determines the reduction of ${}^T HM \ e_M^1$:

Case 1.21.1. $T = L$

$e_M^1 = {}^L MS \ v_S$ by canonical forms (Lemma 3). ${}^L HM \ ({}^L MS \ v_S) \to {}^L HS \ v_S$.

Case 1.21.2. $T = N$

$e_M^1 = \overline{n}$ *by canonical forms (Lemma 3).* $^N HM\ \overline{n} \to \overline{n}$.

Case 1.21.3. $T = [T_1]$

$e_M^1 \in \{\mathtt{nil}^{T_1}, \mathtt{cons}\ v_M^1\ v_M^2, {}^{[T_1]}MH\ (\mathtt{cons}\ e_H^1\ e_H^2)\}$ *by canonical forms (Lemma 3).* $^{[T_1]}HM\ \mathtt{nil}^T \to \mathtt{nil}^T$. $^{[T_1]}HM\ (\mathtt{cons}\ v_M^1\ v_M^2) \to \mathtt{cons}\ ({}^{T_1}HM\ v_M^1)\ ({}^{[T_1]}HM\ v_M^2)$. $^{[T_1]}HM\ ({}^{[T_1]}MH\ (\mathtt{cons}\ e_H^1\ e_H^2)) \to \mathtt{cons}\ e_H^1\ e_H^2$.

Case 1.21.4. $T = T_1^a$

Cannot occur because T_1^a *occurs only in* $^{T_1^a}HS\ e_S$.

Case 1.21.5. $T = T_1 \to T_2$

$e_M^1 = \lambda x_1 : T_1.e_M^3$ *by canonical forms (Lemma 3).* $^{T_1 \to T_2}HM\ (\lambda x_1 : T_1.e_M^3) \to \lambda x_2 : T_1.({}^{T_2}HM\ ((\lambda x_1 : T_1.e_M^3)\ ({}^{T_1}MH\ x_2)))$.

Case 1.21.6. $T = \forall X.T_1$

$e_M^1 \in \{\Lambda X.e_M^3, {}^{\forall X.T_1}MS\ v_S\}$ *by canonical forms (Lemma 3).* $^{\forall X.T_1}HM\ (\Lambda X.e_M^3) \to \Lambda X.({}^{T_1}HM\ e_M^3)$. $^{\forall X.T_1}HM\ ({}^{\forall X.T_1}MS\ v_S) \to {}^{\forall X.T_1}HS\ v_S$.

Case 1.22. $e_A = {}^T AS\ e_S^1$ *where* $A \in \{H, M\}$

e_S^1 *is an unforced value or* $e_S^1 \to e_S^2$ *or* $e_S^1 \to$ **Error**: string *by Scheme progress (Theorem 2). If* $e_S^1 \to e_S^2$ *then* $^T AS\ e_S^1 \to {}^T AS\ e_S^2$. *If* $e_S^1 \to$ **Error**: string *then* $^T AS\ e_S^1 \to$ **Error**: string. *If* e_S^1 *is an unforced value then* T *determines the reduction of* $^T AS\ e_S^1$:

Case 1.22.1. $T = L$

$^L AS\ e_S^1$ *is an unforced value.*

Case 1.22.2. $T = N$

$^N AS\ \overline{n} \to \overline{n}$. $^N AS\ e_S^1 \to \mathtt{wrong}^N$ *"Not a number"* $(e_S^1 \neq \overline{n})$.

Case 1.22.3. $T = [T_1]$

$^{[T_1]}AS$ `nil` $\to$ `nil`^{T_1}. $^{[T_1]}AS$ (`cons` $v_S^1\ v_S^2$) $\to$ `cons` $(^{T_1}AS\ v_S^1)\ (^{[T_1]}AS\ v_S^2)$. $^{[T_1]}HS\ (SH^{[T_1]}$ (`cons` $e_H^1\ e_H^2$)) $\to$ `cons` $e_H^1\ e_H^2$. $^{[T_1]}MS\ (SH^{[T_1]}$ (`cons` $e_H^1\ e_H^2$)) $\to$ $^{[T_1]}MH^{[T_1]}$ (`cons` $e_H^1\ e_H^2$). $^{[T_1]}AS\ e_S^1 \to$ `wrong`$^{[T_1[T_i/T_i^a]]}$ "Not a list" $(e_S^1 \notin \{$`nil`, `cons` $v_S^1\ v_S^2, SH^{[T_1]}$ (`cons` $e_H^1\ e_H^2$)$\})$.

Case 1.22.4. $T = T_1^a$

$^{T_1^a}HS\ (SH^{T_1^a}\ e_H) \to e_H$. $^{T_1^a}HS\ e_S^1 \to$ `wrong`^{T_1} "Parametricity violated" $(e_S^1 \neq SH^{T_1^a}\ e_H)$. $^{T_1^a}MS\ (SM^{T_1^a}\ v_M) \to v_M$. $^{T_1^a}MS\ e_S^1 \to$ `wrong`^{T_1} "Parametricity violated" $(e_S^1 \neq SM^{T_1^a}\ v_M)$.

Case 1.22.5. $T = T_1 \to T_2$

$^{T_1 \to T_2}AS\ (\lambda x_1.e_S^3) \to \lambda x_2 : T_1[T_i/T_i^a].(^{T_2}AS\ ((\lambda x_1.e_S^3)\ (SA^{T_1}\ x_2)))$. $^{T_1 \to T_2}AS\ e_S^1 \to$ `wrong`$^{(T_1 \to T_2)[T_i/T_i^a]]}$ "Not a function" $(e_S^1 \neq \lambda x_1.e_S^3)$.

Case 1.22.6. $T = \forall X.T_1$

$^{\forall X.T_1}AS\ e_S^1$ *is an unforced value.*

$\square$

3.1.5 Scheme Progress Theorem

Theorem 2. *If* $\vdash_S e_S : TST$ *then* e_S *is an unforced value or* $e_S \to e_S'$ *or* $e_S \to$ **Error**: *string.*

Proof. By structural induction on e_S.

Case 2.1. $e_S = \lambda x.e_S^1$

$\lambda x.e_S^1$ *is an unforced value.*

Case 2.2. $e_S = \overline{n}$

$\overline{n}$ *is an unforced value.*

Case 2.3. $e_S = \mathtt{nil}$

$\mathtt{nil}$ *is an unforced value.*

Case 2.4. $e_S = \mathtt{cons}\ v_S^1\ v_S^2$

$\mathtt{cons}\ v_S^1\ v_S^2$ *is an unforced value.*

Case 2.5. $e_S = SH^T\ e_H$

$SH^T\ e_H$ *is an unforced value.*

Case 2.6. $e_S = x$

Cannot occur because e_S is closed.

Case 2.7. $e_S = e_S^1\ e_S^2$

e_S^1 *is an unforced value or* $e_S^1 \to e_S^3$ *or* $e_S^1 \to$ **Error**: string *by the induction hypothesis. If* $e_S^1 \to e_S^3$ *then* $e_S^1\ e_S^2 \to e_S^3\ e_S^2$. *If* $e_S^1 \to$ **Error**: string *then* $e_S^1\ e_S^2 \to$ **Error**: string. e_S^2 *is an unforced value or* $e_S^2 \to e_S^4$ *or* $e_S^2 \to$ **Error**: string *by the induction hypothesis. If* $e_S^2 \to e_S^4$ *and* e_S^1 *is an unforced value then* $e_S^1\ e_S^2 \to e_S^1\ e_S^4$. *If* $e_S^2 \to$ **Error**: string *and* e_S^1 *is an unforced value then* $e_S^1\ e_S^2 \to$ **Error**: string. e_S^1 *and* e_S^2 *are unforced values otherwise.* $(\lambda x.e_S^5)\ e_S^2 \to e_S^5[e_S^2/x]$. $e_S^1\ e_S^2 \to \mathtt{wrong}$ *"Not a function"* $(e_S^1 \neq \lambda x.e_S^5)$.

Case 2.8. $e_S = \mathtt{cons}\ e_S^1\ e_S^2$

e_S^1 *is an unforced value or* $e_S^1 \to e_S^3$ *or* $e_S^1 \to$ **Error**: string *by the induction hypothesis. If* $e_S^1 \to e_S^3$ *then* $\mathtt{cons}\ e_S^1\ e_S^2 \to \mathtt{cons}\ e_S^3\ e_S^2$. *If* $e_S^1 \to$ **Error**: string *then* $\mathtt{cons}\ e_S^1\ e_S^2 \to$ **Error**: string. e_S^2 *is an unforced value or* $e_S^2 \to e_S^4$ *or* $e_S^1 \to$ **Error**: string *by the induction hypothesis. If* $e_S^2 \to e_S^4$ *and* e_M^1 *is an unforced value*

then `cons` e_S^1 e_S^2 $\rightarrow$ `cons` e_S^1 e_S^4. *If* $e_S^2 \rightarrow$ **Error**: string *and* e_S^1 *is an unforced value then* `cons` e_S^1 e_S^2 $\rightarrow$ **Error**: string. *If* e_S^1 *and* e_S^2 *are unforced values then* `cons` e_S^1 e_S^2 *is an unforced value.*

Case 2.9. $e_S = f\ e_S^1$

e_S^1 *is an unforced value or* $e_S^1 \rightarrow e_S^2$ *or* $e_S^1 \rightarrow$ **Error**: string *by the induction hypothesis. If* $e_S^1 \rightarrow e_S^2$ *then* $f\ e_S^1 \rightarrow f\ e_S^2$. *If* $e_S^1 \rightarrow$ **Error**: string *then* $f\ e_S^1 \rightarrow$ **Error**: string. e_S^1 *is an unforced value otherwise.* f `nil` $\rightarrow$ `wrong` *"Empty list".* `hd` (`cons` v_S^1 v_S^2) $\rightarrow v_S^1$. `tl` (`cons` v_S^1 v_S^2) $\rightarrow v_S^2$. `hd` ($SH^{[T]}$ (`cons` e_H^1 e_H^2)) $\rightarrow SH^T\ e_H^1$. `tl` ($SH^{[T]}$ (`cons` e_H^1 e_H^2)) $\rightarrow SH^{[T]}\ e_H^2$. $f\ e_S^1 \rightarrow$ `wrong` *"Not a list"* ($e_S^1 \notin \{$`nil`, `cons` v_S^1 v_S^2, $SH^{[T]}$ (`cons` e_H^1 e_H^2)$\}$).

Case 2.10. $e_S = o\ e_S^1\ e_S^2$

e_S^1 *is an unforced value or* $e_S^1 \rightarrow e_S^3$ *or* $e_S^1 \rightarrow$ **Error**: string *by the induction hypothesis. If* $e_S^1 \rightarrow e_S^3$ *then* $o\ e_S^1\ e_S^2 \rightarrow o\ e_S^3\ e_S^2$. *If* $e_S^1 \rightarrow$ **Error**: string *then* $o\ e_S^1$ $e_S^2 \rightarrow$ **Error**: string. e_S^2 *is an unforced value or* $e_S^2 \rightarrow e_S^4$ *or* $e_S^2 \rightarrow$ **Error**: string *by the induction hypothesis. If* $e_S^2 \rightarrow e_S^4$ *and* e_S^1 *is an unforced value then* $o\ e_S^1$ $e_S^2 \rightarrow o\ e_S^1\ e_S^4$. *If* $e_S^2 \rightarrow$ **Error**: string *and* e_S^1 *is an unforced value then* $o\ e_S^1\ e_S^2 \rightarrow$ **Error**: string. e_S^1 *and* e_S^2 *are unforced values otherwise.* $+\ \overline{n_1}\ \overline{n_2} \rightarrow \overline{n_1 + n_2}$. $-\ \overline{n_1}\ \overline{n_2} \rightarrow \overline{max(n_1 - n_2, 0)}$. $o\ e_S^1\ e_S^2 \rightarrow$ `wrong` *"Not a number"* ($e_S^1 \neq \overline{n_1}$ *or* $e_S^2 \neq \overline{n_2}$).

Case 2.11. $e_S = p\ e_S^1$

e_S^1 *is an unforced value or* $e_S^1 \rightarrow e_S^2$ *or* $e_S^1 \rightarrow$ **Error**: string *by the induction hypothesis. If* $e_S^1 \rightarrow e_S^2$ *then* $p\ e_S^1 \rightarrow p\ e_S^2$. *If* $e_S^1 \rightarrow$ **Error**: string *then* $p\ e_S^1 \rightarrow$ **Error**: string. e_S^1 *is an unforced value otherwise.* `fun?` ($\lambda x.e_S^3$) $\rightarrow \overline{0}$. `fun?` $e_S^1 \rightarrow \overline{1}$ ($e_S^1 \neq \lambda x.e_S^3$). `list?` $e_S^1 \rightarrow \overline{0}$ ($e_S^1 \in \{$`nil`, `cons` v_S^1 v_S^2, $SH^{[T]}$ (`cons` e_H^1 e_H^2)$\}$). `list?` $e_S^1 \rightarrow \overline{1}$ ($e_S^1 \notin \{$`nil`, `cons` v_S^1 v_S^2, $SH^{[T]}$ (`cons` e_H^1 e_H^2)$\}$). `null?`

$\mathtt{nil} \to \overline{0}$. $\mathtt{null?} \ e_S^1 \to \overline{1}$ ($e_S^1 \in \{\mathtt{cons} \ v_S^1 \ v_S^2, SH^{[T]} \ (\mathtt{cons} \ e_H^1 \ e_H^2)\}$). $\mathtt{null?}$ $e_S^1 \to \mathtt{wrong}$ "Not a list" ($e_S^1 \notin \{\mathtt{nil}, \mathtt{cons} \ v_S^1 \ v_S^2, SH^{[T]} \ (\mathtt{cons} \ e_H^1 \ e_H^2)\}$). $\mathtt{num?}$ $\overline{n} \to \overline{0}$. $\mathtt{num?} \ e_S^1 \to \overline{1}$ ($e_S^1 \neq \overline{n}$).

Case 2.12. $e_S = \mathtt{if0} \ e_S^1 \ e_S^2 \ e_S^3$

e_S^1 *is an unforced value or* $e_S^1 \to e_S^4$ *or* $e_S^1 \to$ **Error**: string *by the induction hypothesis. If* $e_S^1 \to e_S^4$ *then* $\mathtt{if0} \ e_S^1 \ e_S^2 \ e_S^3 \to \mathtt{if0} \ e_S^4 \ e_S^2 \ e_S^3$. *If* $e_S^1 \to$ **Error**: string *then* $\mathtt{if0} \ e_S^1 \ e_S^2 \ e_S^3 \to$ **Error**: string. e_S^1 *is an unforced value otherwise.* $\mathtt{if0} \ \overline{0} \ e_S^2$ $e_S^3 \to e_S^2$. $\mathtt{if0} \ \overline{n} \ e_S^2 \ e_S^3 \to e_S^3$ ($n \neq 0$). $\mathtt{if0} \ e_S^1 \ e_S^2 \ e_S^3 \to \mathtt{wrong}$ "Not a number" ($e_S^1 \neq \overline{n}$).

Case 2.13. $e_S = \mathtt{wrong}$ string

$\mathtt{wrong}$ string $\to$ **Error**: string.

Case 2.14. $e_S = SM^T \ e_M^1$

e_M^1 *is an unforced value or* $e_M^1 \to e_M^2$ *or* $e_M^1 \to$ **Error**: string *by ML progress (Theorem 1). If* $e_M^1 \to e_M^2$ *then* $SM^T \ e_M^1 \to SM^T \ e_M^2$. *If* $e_M^1 \to$ **Error**: string *then* $SM^T \ e_M^1 \to$ **Error**: string. *If* e_M^1 *is an unforced value then* T *determines the reduction of* $SM^T \ e_M^1$:

Case 2.14.1. $T = L$

$e_M^1 = {}^L MS \ v_S$ *by canonical forms (Lemma 3).* $SM^L \ ({}^L MS \ v_S) \to v_S$.

Case 2.14.2. $T = N$

$e_M^1 = \overline{n}$ *by canonical forms (Lemma 3).* $SM^N \ \overline{n} \to \overline{n}$.

Case 2.14.3. $T = [T_1]$

$e_M^1 \in \{\mathtt{nil}^{T_1[T_i/T_i^a]}, \mathtt{cons} \ v_M^1 \ v_M^2, {}^{[T_1]}MH^{[T_1]} \ (\mathtt{cons} \ e_H^1 \ e_H^2)\}$ *by canonical forms (Lemma 3).* $SM^{T_1} \ \mathtt{nil}^{T_1} \to \mathtt{nil}$. $SM^{[T_1]} \ (\mathtt{cons} \ v_M^1 \ v_M^2) \to \mathtt{cons} \ (SM^{T_1} \ v_M^1)$

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$(SM^{[T_1]} \ v_M^2). \ SM^{[T_1]} \ (^{[T_1]}MH^{[T_1]} \ (\texttt{cons} \ e_H^1 \ e_H^2)) \rightarrow SH^{[T_1]} \ (\texttt{cons} \ e_H^1 \ e_H^2).$

Case 2.14.4. $T = T_1^a$

$SM^{T_1^a} \ e_M^1$ *is an unforced value.*

Case 2.14.5. $T = T_1 \rightarrow T_2$

$e_M^1 \ = \ \lambda x_1 \ : \ T_1[T_i/T_i^a].e_M^3$ *by canonical forms (Lemma 3).* $SM^{T_1 \rightarrow T_2} \ (\lambda x_1 \ :$
$T_1[T_i/T_i^a].e_M^3) \rightarrow \lambda x_2.(SM^{T_2} \ ((\lambda x_1 : T_1[T_i/T_i^a].e_M^3) \ (^{T_1}MS \ x_2))).$

Case 2.14.6. $T = \forall X.T_1$

$e_M^1 \in \{\Lambda X.e_M^3, \ ^{\forall X.T_1}MS \ v_S\}$ *by canonical forms (Lemma 3).* $SM^{\forall X.T_1} \ (\Lambda X.e_M^3) \rightarrow$
$SM^{T_1[L/X]} \ ((\Lambda X.e_M^3) \ \{L\}). \ SM^{\forall X.T_1} \ (^{\forall X.T_1}MS \ v_S) \rightarrow v_S.$

$\square$

3.2 Proof of Type Preservation

Preservation will be proven by cases on the rewrite rules. In each case, the right side will be proven to be well-typed and have the same type as the left side. Inversion (Lemma 1) and uniqueness of types (Lemma 2) are used to determine the types of the left side and its subexpressions and the type of the right side. Some rewrite rules use expression and type substitutions.

3.2.1 Expression Substitution Lemma

If e_A^1 is substituted for free occurrences of x within e_A^2, e_A^1 and x have the same type, and the result has the same type as e_A^2, where $A \in \{H, M, S\}$:

Lemma 4. *If* $\Gamma, x_1 : T_1 \vdash_A e_A : T_2$ *and* $\Gamma \vdash_A x_2 : T_1$ *then* $\Gamma \vdash_A e_A[x_2/x_1] : T_2$ *where* $A \in \{H, M\}$. *If* $\Gamma, x_1 : TST \vdash_S e_S : TST$ *and* $\Gamma \vdash_S x_2 : TST$ *then* $\Gamma \vdash_S e_S[x_2/x_1] : TST$.

Proof. By structural induction. ☐

3.2.2 Type Substitution Lemma

If T_1 is substituted for free occurrences of X within e_A of type T_2, the type of the result is T_1 substituted for free occurrences of X within T_2, where $A \in \{H, M\}$:

Lemma 5. *If* $\Gamma, X \vdash_A e_A : T_1$ *and* $\Gamma \vdash_A T_2$ *then* $\Gamma \vdash_A e_A[T_2/X] : T_1[T_2/X]$ *where* $A \in \{H, M\}$.

Proof. By structural induction. ☐

3.2.3 Evaluation Context Lemma

Lemma 6. *If* $\Gamma \vdash_A e_A^1 : T_1$, $\Gamma \vdash_A e_A^2 : T_1$, *and* $\mathscr{E}[e_A^1] : T_2$ *then* $\mathscr{E}[e_A^2] : T_2$ *where* $A \in \{H, M, S\}$.

Proof. By structural induction. ☐

3.2.4 Preservation Theorem

Theorem 3. *If* $\Gamma \vdash_A e_A^1 : T$ *and* $e_A^1 \rightarrow e_A^2$ *then* $\Gamma \vdash_A e_A^2 : T$ *where* $A \in \{H, M\}$. *If* $\Gamma \vdash_S e_S^1 : TST$ *and* $e_S^1 \rightarrow e_S^2$ *then* $\Gamma \vdash_S e_S^2 : TST$.

Proof. By cases on the reductions $e_A^1 \to e_A^2$ and $e_S^1 \to e_S^2$ and evaluation context preservation (Lemma 6). Straightforward cases of Scheme preservation are elided.

Case 3.1. $(\lambda x : T_1.e_A^1)\ e_A^2 \to e_A^1[e_A^2/x]$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A (\lambda x : T_1.e_A^1)\ e_A^2 : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A \lambda x : T_1.e_A^1 : T_1 \to T_2$, $\Gamma, x : T_1 \vdash_A e_A^1 : T_2$, $\Gamma \vdash_A e_A^2 : T_1$, $\Gamma, x : T_1 \vdash_A x : T_1$, *and* $T = T_2$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A e_A^1[e_A^2/x] : T_2$ *by term substitution (Lemma 4).* $\Gamma \vdash_A e_A^1[e_A^2/x] : T$ *because* $T_2 = T$.

Case 3.2. $\texttt{fix}\ (\lambda x : T_1.e_A) \to e_A[(\texttt{fix}\ (\lambda x : T_1.e_A))/x]$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A \texttt{fix}\ (\lambda x : T_1.e_A) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A \lambda x : T_1.e_A : T_1 \to T_2$, $\Gamma, x : T_1 \vdash_A e_A : T_1$, $\Gamma, x : T_1 \vdash_A x : T_1$, *and* $T = T_1$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A e_A[(\texttt{fix}\ (\lambda x : T_1.e_A))/x] : T_1$ *by term substitution (Lemma 4).* $\Gamma \vdash_A e_A[(\texttt{fix}\ (\lambda x : T_1.e_A))/x] : T$ *because* $T_1 = T$.

Case 3.3. $(\Lambda X.e_A)\ \{T_1\} \to e_A[T_1/X]$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A (\Lambda X.e_H)\ \{T_1\} : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A \Lambda X.e_A : \forall X.T_2$, $\Gamma, X \vdash_A e_A : T_2$, *and* $T = T_2[T_1/X]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A e_A[T_1/X] : T_2[T_1/X]$ *by type substitution (Lemma 5).* $\Gamma \vdash_A e_A[T_1/X] : T$ *because* $T_2[T_1/X] = T$.

Case 3.4. $\texttt{hd nil}^{T_1} \to \texttt{wrong}^{T_1}$ "Empty list" *where* $A \in \{H, M\}$

$\Gamma \vdash_A \texttt{hd nil}^{T_1} : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A \texttt{nil}^{T_1} : [T_1]$, $T = T_1$, *and* $\Gamma \vdash_A \texttt{wrong}^{T_1}$ "Empty list" $: T_1$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A \texttt{wrong}^{T_1}$ "Empty list" $: T$ *because* $T_1 = T$.

Case 3.5. $\texttt{tl nil}^{T_1} \to \texttt{wrong}^{[T_1]}$ "Empty list" *where* $A \in \{H, M\}$

$\Gamma \vdash_A \texttt{tl nil}^{T_1} : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A$

$\mathtt{nil}^{T_1} : [T_1]$, $T = [T_1]$, and $\Gamma \vdash_A \mathtt{wrong}^{[T_1]}$ "Empty list" $: [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A \mathtt{wrong}^{[T_1]}$ "Empty list" $: T$ *because* $[T_1] = T$.

Case 3.6. $\mathtt{hd}\ (\mathtt{cons}\ e_H^1\ e_H^2) \to e_H^1$

$\Gamma \vdash_H \mathtt{hd}\ (\mathtt{cons}\ e_H^1\ e_H^2) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_H e_H^1 : T_1$, $\Gamma \vdash_H \mathtt{cons}\ e_H^1\ e_H^2 : [T_1]$, *and* $T = T_1$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_H e_H^1 : T$ *because* $T_1 = T$.

Case 3.7. $\mathtt{hd}\ (\mathtt{cons}\ v_M^1\ v_M^2) \to v_M^1$

$\Gamma \vdash_M \mathtt{hd}\ (\mathtt{cons}\ v_M^1\ v_M^2) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_M v_M^1 : T_1$, $\Gamma \vdash_M \mathtt{cons}\ v_M^1\ v_M^2 : [T_1]$, *and* $T = T_1$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_M v_M^1 : T$ *because* $T_1 = T$.

Case 3.8. $\mathtt{tl}\ (\mathtt{cons}\ e_H^1\ e_H^2) \to e_H^2$

$\Gamma \vdash_H \mathtt{tl}\ (\mathtt{cons}\ e_H^1\ e_H^2) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_H e_H^2 : [T_1]$, $\Gamma \vdash_H \mathtt{cons}\ e_H^1\ e_H^2 : [T_1]$, *and* $T = [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_H e_H^2 : T$ *because* $[T_1] = T$.

Case 3.9. $\mathtt{tl}\ (\mathtt{cons}\ v_M^1\ v_M^2) \to v_M^2$

$\Gamma \vdash_M \mathtt{tl}\ (\mathtt{cons}\ v_M^1\ v_M^2) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_M v_M^2 : [T_1]$, $\Gamma \vdash_M \mathtt{cons}\ v_M^1\ v_M^2 : [T_1]$, *and* $T = [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_M v_M^2 : T$ *because* $[T_1] = T$.

Case 3.10. $\mathtt{hd}\ (^{[T_1]}MH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)) \to {}^{T_1}MH^{T_1}\ e_H^1$

$\Gamma \vdash_M \mathtt{hd}\ (^{[T_1]}MH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_H e_H^1 : T_1$, $\Gamma \vdash_H \mathtt{cons}\ e_H^1\ e_H^2 : [T_1]$, $\Gamma \vdash_M {}^{[T_1]}MH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2) : [T_1]$, $T = T_1$, *and* $^{T_1}MH^{T_1}\ e_H^1 : T_1$ *by inversion (Lemma 1) and uniqueness of types.* $^{T_1}MH^{T_1}\ e_H^1 : T$ *because* $T_1 = T$.

Case 3.11. $\mathtt{hd}\ (SH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)) \to SH^{T_1}\ e_H^1$

$\quad \Gamma \vdash_S \mathtt{hd}\ (SH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2))\ :\ TST$ *by premise.* $\Gamma \vdash_H e_H^1\ :\ T_1$ *and* $\Gamma \vdash_H \mathtt{cons}\ e_H^1\ e_H^2\ :\ [T_1]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2).* $\Gamma \vdash_S SH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)\ :\ TST$ *and* $\Gamma \vdash_S SH^{T_1}\ e_H^1\ :\ TST$ *by inversion.*

Case 3.12. $\mathtt{tl}\ (^{[T_1]}MH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)) \to {}^{[T_1]}MH^{[T_1]}\ e_H^2$

$\quad \Gamma \vdash_M \mathtt{tl}\ (^{[T_1]}MH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2))\ :\ T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_H e_H^2\ :\ [T_1]$, $\Gamma \vdash_H \mathtt{cons}\ e_H^1\ e_H^2\ :\ [T_1]$, $\Gamma \vdash_M {}^{[T_1]}MH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)\ :\ [T_1]$, $T = [T_1]$, *and* $^{[T_1]}MH^{[T_1]}\ e_H^2\ :\ [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $^{[T_1]}MH^{[T_1]}\ e_H^2\ :\ T$ *because* $[T_1] = T$.

Case 3.13. $\mathtt{tl}\ (SH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)) \to SH^{[T_1]}\ e_H^2$

$\quad \Gamma \vdash_S \mathtt{tl}\ (SH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2))\ :\ TST$ *by premise.* $\Gamma \vdash_H e_H^2\ :\ [T_1]$ *and* $\Gamma \vdash_H \mathtt{cons}\ e_H^1\ e_H^2\ :\ [T_1]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2).* $\Gamma \vdash_S SH^{[T_1]}\ (\mathtt{cons}\ e_H^1\ e_H^2)\ :\ TST$ *and* $\Gamma \vdash_S SH^{[T_1]}\ e_H^2\ :\ TST$ *by inversion.*

Case 3.14. $+\ \overline{n_1}\ \overline{n_2} \to \overline{n_1 + n_2}$ *where* $A \in \{H, M\}$

$\quad \vdash_A +\ \overline{n_1}\ \overline{n_2}\ :\ T$ *by premise and uniqueness of types (Lemma 2).* $\vdash_A \overline{n_1}\ :\ N$, $\vdash_A \overline{n_2}\ :\ N$, $T = N$, *and* $\vdash_A \overline{n_1 + n_2}\ :\ N$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_A \overline{n_1 + n_2}\ :\ T$ *because* $N = T$.

Case 3.15. $-\ \overline{n_1}\ \overline{n_2} \to \overline{max(n_1 - n_2, 0)}$ *where* $A \in \{H, M\}$

$\quad \vdash_A -\ \overline{n_1}\ \overline{n_2}\ :\ T$ *by premise and uniqueness of types (Lemma 2).* $\vdash_A \overline{n_1}\ :\ N$, $\vdash_A \overline{n_2}\ :\ N$, $T = N$, *and* $\vdash_A \overline{max(n_1 - n_2, 0)}\ :\ N$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_A \overline{max(n_1 - n_2, 0)}\ :\ T$ *because* $N = T$.

Case 3.16. $\mathtt{null?}\ \mathtt{nil}^{T_1} \to \overline{0}$ *where* $A \in \{H, M\}$

$\quad \vdash_A \mathtt{null?}\ \mathtt{nil}^{T_1}\ :\ T$ *by premise and uniqueness of types (Lemma 2).* $T = N$ *and* $\vdash_A \overline{0}\ :\ N$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_A \overline{0}\ :\ T$ *because*

$N = T$.

Case 3.17. `null?` $(\texttt{cons }B_A^1\ B_A^2) \to \overline{1}$ *where* $(A, B) \in \{(H, e), (M, v)\}$

$\Gamma \vdash_A$ `null?` $(\texttt{cons }B_A^1\ B_A^2) : T$ *by premise and uniqueness of types (Lemma 2). $T = N$ and $\vdash_A \overline{1} : N$ by inversion (Lemma 1) and uniqueness of types. $\vdash_A \overline{1} : T$ because $N = T$.*

Case 3.18. `null?` $(^{[T]}MH^{[T]}\ (\texttt{cons }e_H^1\ e_H^2)) \to \overline{1}$

$\Gamma \vdash_M$ `null?` $(^{[T]}MH^{[T]}\ (\texttt{cons }e_H^1\ e_H^2)) : T$ *by premise and uniqueness of types (Lemma 2). $T = N$ and $\vdash_A \overline{1} : N$ by inversion (Lemma 1) and uniqueness of types. $\vdash_A \overline{1} : T$ because $N = T$.*

Case 3.19. `if0` $\overline{0}\ e_A^1\ e_A^2 \to e_A^1$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A$ `if0` $\overline{0}\ e_A^1\ e_A^2 : T$ *by premise and uniqueness of types (Lemma 2). $\Gamma \vdash_A e_A^1 : T_1$ and $T = T_1$ by inversion (Lemma 1) and uniqueness of types. $\Gamma \vdash_A e_A^1 : T$ because $T_1 = T$.*

Case 3.20. `if0` $\overline{n}\ e_A^1\ e_A^2 \to e_A^2$ $(n \neq 0)$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A$ `if0` $\overline{n}\ e_A^1\ e_A^2 : T$ *by premise and uniqueness of types (Lemma 2). $\Gamma \vdash_A e_A^2 : T_1$ and $T = T_1$ by inversion (Lemma 1) and uniqueness of types. $\Gamma \vdash_A e_A^2 : T$ because $T_1 = T$.*

Case 3.21. `wrong`^{T_1} string $\to$ **Error**: string

Irrelevant because an error terminates the computation.

Case 3.22. `force` $v_S \to v_S$ $(v_M \neq {}^TMH^T\ e_H$ *or* $v_M = {}^{[T]}MH^{[T]}\ (\texttt{cons }e_H^1\ e_H^2))$

$\vdash_M$ `force` $v_M : T$ *by premise and uniqueness of types (Lemma 2). $\vdash_M v_M : T$ by inversion (Lemma 1) and uniqueness of types.*

Case 3.23. ${}^LAB^L\ (^LBS\ v_S) \to {}^LAS\ v_S$ *where* $(A, B) \in \{(H, M), (M, H)\}$

$\Gamma \vdash_A \,^L AB^L \,(^L BS \; v_S) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_S v_S : TST$ *by inversion (Lemma 1).* $\Gamma \vdash_B \,^L BS \; v_S : L$ *and* $T = L$ *by inversion and uniqueness of types.* $\Gamma \vdash_A \,^L AS \; v_S : L$ *by inversion and uniqueness of types.* $\Gamma \vdash_A \,^L AS \; v_S : T$ *because* $L = T$.

Case 3.24. $^N AB^N \; \overline{n} \to \overline{n}$ *where* $(A, B) \in \{(H, M), (M, H)\}$

$\vdash_A \,^N AB^N \; \overline{n} : T$ *by premise and uniqueness of types (Lemma 2).* $\vdash_A \overline{n} : N$ *and* $T = N$ *by inversion (Lemma 1) and uniqueness of types.*

Case 3.25. $^N AS \; \overline{n} \to \overline{n}$ *where* $A \in \{H, M\}$

$\vdash_A \,^N AS \; \overline{n} : T$ *by premise and uniqueness of types (Lemma 2).* $\vdash_A \overline{n} : N$ *and* $T = N$ *by inversion (Lemma 1) and uniqueness of types.*

Case 3.26. $^N AS \; v_S \to \,^N AS \; (\texttt{wrong} \text{ "Not a number"}) \; (v_S \neq \overline{n})$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A \,^N AS \; v_S : T$ *by premise and uniqueness of types (Lemma 2).* $T = N$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_S \texttt{wrong} \text{ "Not a number"} : TST$ *by inversion.* $\vdash_A \,^N AS \; (\texttt{wrong} \text{ "Not a number"}) : N$ *by inversion and uniqueness of types.* $\vdash_A \,^N AS \; (\texttt{wrong} \text{ "Not a number"}) : T$ *because* $N = T$.

Case 3.27. $^{[T_1]} AB^{[T_1]} \; \texttt{nil}^{T_1} \to \texttt{nil}^{T_1}$ *where* $(A, B) \in \{(H, M), (M, H)\}$

$\Gamma \vdash_A \,^{[T_1]} AB^{[T_1]} \; \texttt{nil}^{T_1} : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A \texttt{nil}^{T_1} : [T_1]$ *and* $T = [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A \texttt{nil}^{T_1} : T$ *because* $[T_1] = T$.

Case 3.28. $^{[T_1]} AS \; \texttt{nil} \to \texttt{nil}^{T_1}$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A \,^{[T_1]} AS \; \texttt{nil} : T$ *by premise and uniqueness of types (Lemma 2).* $T = [T_1]$ *and* $\Gamma \vdash_A \texttt{nil}^{T_1} : [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A \texttt{nil}^{T_1} : T$ *because* $[T_1] = T$.

Case 3.29. $^{[T_1]}HM^{[T_1]}$ (cons v_M^1 v_M^2) $\rightarrow$ cons ($^{T_1}HM^{T_1}$ v_M^1) ($^{[T_1]}HM^{[T_1]}$ v_M^2)

$^{[T_1]}HM^{[T_1]}$ (cons v_M^1 v_M^2) $: T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_M v_M^1 : T_1$, $\Gamma \vdash_M v_M^2 : [T_1]$, $\Gamma \vdash_M$ cons v_M^1 $v_M^2 : [T_1]$, $T = [T_1]$, $\Gamma \vdash_H {}^{T_1}HM^{T_1}$ $v_M^1 : T_1$, $\Gamma \vdash_H {}^{[T_1]}HM^{[T_1]}$ $v_M^2 : [T_1]$, *and* $\Gamma \vdash_H$ cons ($^{T_1}HM^{T_1}$ v_M^1) ($^{[T_1]}HM^{[T_1]}$ v_M^2) $: [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_H$ cons ($^{T_1}HM^{T_1}$ v_M^1) ($^{[T_1]}HM^{[T_1]}$ v_M^2) $: T$ *because* $[T_1] = T$.

Case 3.30. $^{[T_1]}AS$ (cons v_S^1 v_S^2) $\rightarrow$ cons (^{T_1}AS v_S^1) ($^{[T_1]}AS$ v_S^2) *where* $A \in \{H, M\}$

$\Gamma \vdash_A {}^{[T_1]}AS$ (cons v_S^1 v_S^2) $: T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_S v_S^1 : TST$, $\Gamma \vdash_S v_S^2 : TST$, *and* $\Gamma \vdash_S$ cons v_S^1 $v_S^2 : TST$ *by inversion (Lemma 1).* $T = [T_1]$, $\Gamma \vdash_A {}^{T_1}AS$ $v_S^1 : T_1$, $\Gamma \vdash_A {}^{[T_1]}AS$ $v_S^2 : [T_1]$, *and* $\Gamma \vdash_A$ cons (^{T_1}AS v_S^1) ($^{[T_1]}AS$ v_S^2) $: [T_1]$ *by inversion and uniqueness of types.* $\Gamma \vdash_A$ cons (^{T_1}AS v_S^1) ($^{[T_1]}AS$ v_S^2) $: T$ *because* $[T_1] = T$.

Case 3.31. $SM^{[T_1]}$ (cons v_M^1 v_M^2) $\rightarrow$ cons (SM^{T_1} v_M^1) ($SM^{[T_1]}$ v_M^2)

$\Gamma \vdash_S SM^{[T_1]}$ (cons v_M^1 v_M^2) $: TST$ *by premise.* $\Gamma \vdash_M v_M^1 : T_1$, $\Gamma \vdash_M v_M^2 : [T_1]$, *and* $\Gamma \vdash_M$ cons v_M^1 $v_M^2 : [T_1]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2).* $\Gamma \vdash_S SM^{T_1}$ $v_M^1 : TST$, $\Gamma \vdash_S SM^{[T_1]}$ $v_M^2 : TST$, *and* $\Gamma \vdash_S$ cons (SM^{T_1} v_M^1) ($SM^{[T_1]}$ v_M^2) $: TST$ *by inversion.*

Case 3.32. $^{[T_1]}HM^{[T_1]}$ ($^{[T_1]}MH^{[T_1]}$ (cons e_H^1 e_H^2)) $\rightarrow$ cons e_H^1 e_H^2

$^{[T_1]}HM^{[T_1]}$ ($^{[T_1]}MH^{[T_1]}$ (cons e_H^1 e_H^2)) $: T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_H$ cons e_H^1 $e_H^2 : [T_1]$, $\Gamma \vdash_H {}^{[T_1]}MH^{[T_1]}$ (cons e_H^1 e_H^2) $: [T_1]$, *and* $T = [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_H$ cons e_H^1 $e_H^2 : T$ *because* $[T_1] = T$.

Case 3.33. $SM^{[T_1]}$ ($^{[T_1]}MH^{[T_1]}$ (cons e_H^1 e_H^2)) $\rightarrow$ $SH^{[T_1]}$ (cons e_H^1 e_H^2)

$SM^{[T_1]}$ ($^{[T_1]}MH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2)) : TST *by premise.* $\Gamma \vdash_H \mathtt{cons}$ e_H^1 e_H^2 : $[T_1]$ *and* $\Gamma \vdash_M$ $^{[T_1]}MH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2) : $[T_1]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2).* $\Gamma \vdash_S SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2) : TST *by inversion.*

Case 3.34. $^{[T_1]}HS$ ($SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2)) $\rightarrow \mathtt{cons}$ e_H^1 e_H^2

$^{[T_1]}HS$ ($SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2)) : T *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_H \mathtt{cons}$ e_H^1 e_H^2 : $[T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_S SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2) : TST *by inversion.* $T = [T_1]$ *by inversion and uniqueness of types.* $\Gamma \vdash_H \mathtt{cons}$ e_H^1 e_H^2 : T *because* $[T_1] = T$.

Case 3.35. $^{[T_1]}MS$ ($SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2)) $\rightarrow$ $^{[T_1]}MH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2)

$^{[T_1]}MS$ ($SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2)) : T *by premise and uniqueness of types (Lemma 1).* $\Gamma \vdash_H \mathtt{cons}$ e_H^1 e_H^2 : $[T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_S SH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2) : TST *by inversion.* $T = [T_1]$ *and* $\Gamma \vdash_M$ $^{[T_1]}MH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2) : $[T_1]$ *by inversion and uniqueness of types.* $\Gamma \vdash_M$ $^{[T_1]}MH^{[T_1]}$ ($\mathtt{cons}$ e_H^1 e_H^2) : T *because* $[T_1] = T$.

Case 3.36. $^{[T_1]}AS$ $v_S^1 \rightarrow$ $^{[T_1]}AS$ ($\mathtt{wrong}$ "Not a list") ($v_S^1 \neq \mathtt{cons}$ v_S^2 v_S^3 *and* $v_S^1 \neq \mathtt{nil}$) *where* $A \in \{H, M\}$

$\Gamma \vdash_A$ $^{[T_1]}AS$ v_S^1 : T *by premise and uniqueness of types (Lemma 2).* $T = [T_1]$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_S \mathtt{wrong}$ "Not a list" : TST *by inversion.* $\Gamma \vdash_A$ $^{[T_1]}AS$ ($\mathtt{wrong}$ "Not a list") : $[T_1]$ *by inversion and uniqueness of types.* $\Gamma \vdash_A$ $^{[T_1]}AS$ ($\mathtt{wrong}$ "Not a list") : T *because* $[T_1] = T$.

Case 3.37. $^{T_1^a}AS$ ($SA^{T_1^a}$ B_A) $\rightarrow B_A$ *where* $(A, B) \in \{(H, e), (M, v)\}$

$^{T_1^a}AS$ ($SA^{T_1^a}$ B_A) : T *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_A$ B_A : $T_1^a[T_i/T_i^a]$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_S SA^{T_1^a}$ B_A : TST *by inversion.* $T = T_1^a[T_i/T_i^a]$ *by inversion and uniqueness of types.*

$\Gamma \vdash_A B_A : T$ *because* $T_1^a[T_i/T_i^a] = T$.

Case 3.38. $^{T_1^a}AS \ v_S \rightarrow {}^{T_1^a}AS$ (`wrong` "Parametricity violated") $(v_S \neq SA^{T_1^a}$ $B_A)$ *where* $(A, B) \in \{(H, e), (M, v)\}$

$\Gamma \vdash_A {}^{T_1^a}AS \ v_S : T$ *by premise and uniqueness of types (Lemma 2).* $T = T_1^a[T_i/T_i^a]$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_S$ `wrong` *"Para-metricity violated"* $: TST$ *by inversion.* $^{T_1^a}AS$ (`wrong` *"Parametricity violated"*) $:$ $T_1^a[T_i/T_i^a]$ *by inversion and uniqueness of types.* $^{T_1^a}AS$ (`wrong` *"Parametricity violated"*) $: T$ *because* $T_1^a[T_i/T_i^a] = T$.

Case 3.39. $^{T_1 \rightarrow T_2}AB^{T_1 \rightarrow T_2}$ $(\lambda x_1 : T_1.e_B) \rightarrow \lambda x_2 : T_1.(^{T_2}AB^{T_2}$ $((\lambda x_1 : T_1.e_B)$ $(^{T_1}BA^{T_1} \ x_2)))$ *where* $(A, B) \in \{(H, M), (M, H)\}$

$\Gamma \vdash_A {}^{T_1 \rightarrow T_2}AB^{T_1 \rightarrow T_2}$ $(\lambda x_1 : T_1.e_B) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_B \lambda x_1 : T_1.e_B : T_1 \rightarrow T_2$, $T = T_1 \rightarrow T_2$, $\Gamma, x_2 : T_1 \vdash_A x_2 : T_1$, $\Gamma, x_2 : T_1 \vdash_B {}^{T_1}BA^{T_1} \ x_2 : T_1$, $\Gamma, x_2 : T_1 \vdash_B (\lambda x_1 : T_1.e_B) \ (^{T_1}BA^{T_1} \ x_2) : T_2$, $\Gamma, x_2 : T_1 \vdash_A {}^{T_2}AB^{T_2} ((\lambda x_1 : T_1.e_B) \ (^{T_1}BA^{T_1} \ x_2)) : T_2$, *and* $\Gamma \vdash_A \lambda x_2 : T_1.(^{T_2}AB^{T_2}$ $((\lambda x_1 : T_1.e_B) \ (^{T_1}BA^{T_1} \ x_2))) : T_1 \rightarrow T_2$ *by inversion (Lemma 1) and uniqueness of types.* $\Gamma \vdash_A \lambda x_2 : T_1.(^{T_2}AB^{T_2} ((\lambda x_1 : T_1.e_B) \ (^{T_1}BA^{T_1} \ x_2))) : T$ *because* $T_1 \rightarrow T_2 = T$.

Case 3.40. $^{T_1 \rightarrow T_2}AS \ (\lambda x_1.e_S) \rightarrow \lambda x_2 : T_1[T_i/T_i^a].(^{T_2}AS \ ((\lambda x_1.e_S) \ (SA^{T_1} \ x_2)))$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A {}^{T_1 \rightarrow T_2}AS \ (\lambda x_1.e_S) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_S \lambda x_1.e_S : TST$ *by inversion (Lemma 1).* $T = (T_1 \rightarrow T_2)[T_i/T_i^a]$ *by inversion and uniqueness of types.* $\Gamma, x_2 : T_1[T_i/T_i^a] \vdash_A x_2 : T_1[T_i/T_i^a]$ *by inversion and uniqueness of types.* $\Gamma, x_2 : T_1[T_i/T_i^a] \vdash_S SA^{T_1} \ x_2 : TST$ *and* $\Gamma, x_2 : T_1[T_i/T_i^a] \vdash_S (\lambda x_1.e_S) \ (SA^{T_1} \ x_2) : TST$ *by inversion.* $\Gamma, x_2 : T_1[T_i/T_i^a] \vdash_A {}^{T_2}AS$ $((\lambda x_1.e_S) \ (SA^{T_1} \ x_2)) : T_2[T_i/T_i^a]$ *and* $\Gamma \vdash_A \lambda x_2 : T_1[T_i/T_i^a].(^{T_2}AS \ ((\lambda x_1.e_S)$

$(SA^{T_1}\ x_2))) : T_1[T_i/T_i^a] \rightarrow T_2[T_i/T_i^a]$ *by inversion and uniqueness of types.*
$\Gamma \vdash_A \lambda x_2 : T_1[T_i/T_i^a].(^{T_2}AS\ ((\lambda x_1.e_S)\ (SA^{T_1}\ x_2))) : T$ *because* $T_1[T_i/T_i^a] \rightarrow$
$T_2[T_i/T_i^a] = (T_1 \rightarrow T_2)[T_i/T_i^a] = T.$

Case 3.41. $^{T_1 \rightarrow T_2}AS\ v_S \rightarrow\ ^{T_1 \rightarrow T_2}AS\ (\texttt{wrong ``Not a function''})\ (v_S \neq \lambda x.e_S)$
where $A \in \{H, M\}$

$\Gamma \vdash_A\ ^{T_1 \rightarrow T_2}AS\ v_S : T$ *by premise and uniqueness of types (Lemma 2).* $T =$
$(T_1 \rightarrow T_2)[T_i/T_i^a]$ *by inversion (Lemma 1) and uniqueness of types.* $\vdash_S \texttt{wrong}$
"Not a function" $: TST$ *by inversion.* $\Gamma \vdash_A\ ^{T_1 \rightarrow T_2}AS\ (\texttt{wrong ``Not a function''}) :$
$(T_1 \rightarrow T_2)[T_i/T_i^a]$ *by inversion and uniqueness of types.* $\Gamma \vdash_A\ ^{T_1 \rightarrow T_2}AS\ (\texttt{wrong}$
"Not a function"$) : T$ *because* $(T_1 \rightarrow T_2)[T_i/T_i^a] = T.$

Case 3.42. $SA^{T_1 \rightarrow T_2}\ (\lambda x_1 : T_1[T_i/T_i^a].e_A) \rightarrow \lambda x_2.(SA^{T_2}\ ((\lambda x_1 : T_1[T_i/T_i^a].e_A)$
$(^{T_1}AS\ x_2)))$ *where* $A \in \{H, M\}$

$\Gamma \vdash_S SA^{T_1 \rightarrow T_2}\ (\lambda x_1 : T_1[T_i/T_i^a].e_A) : TST$ *by premise.* $\Gamma \vdash_A \lambda x_1 : T_1[T_i/T_i^a].e_A$
$: T_1[T_i/T_i^a] \rightarrow T_2[T_i/T_i^a]$ *by inversion (Lemma 1) and uniqueness of types (Lemma*
2). $\Gamma, x_2 : TST \vdash_S x_2 : TST$ *by inversion.* $\Gamma, x_2 : TST \vdash_A\ ^{T_1}AS\ x_2 : T_1[T_i/T_i^a]$
and $\Gamma, x_2 : TST \vdash_A (\lambda x_1 : T_1[T_i/T_i^a].e_A)\ (^{T_1}AS\ x_2) : T_2[T_i/T_i^a]$ *by inversion and*
uniqueness of types. $\Gamma, x_2 : TST \vdash_S SA^{T_2}\ ((\lambda x_1 : T_1[T_i/T_i^a].e_A)\ (^{T_1}AS\ x_2)) : TST$
and $\Gamma \vdash_S \lambda x_2.(SA^{T_2}\ ((\lambda x_1 : T_1[T_i/T_i^a].e_A)\ (^{T_1}AS\ x_2))) : TST$ *by inversion.*

Case 3.43. $^{\forall X.T_1}AB^{\forall X_1.T_1}\ (\Lambda X.e_B) \rightarrow \Lambda X.(^{T_1}AB^{T_1}\ e_B)$ *where* $(A, B) \in \{(H, M),$
$(M, H)\}$

$\Gamma \vdash_A\ ^{\forall X.T_1}AB^{\forall X.T_1}\ (\Lambda X_1.e_B) : T$ *by premise and uniqueness of types (Lemma*
2). $\Gamma, X \vdash_B e_B : T_1,\ \Gamma \vdash_B \Lambda X.e_B : \forall X.T_1,\ T = \forall X.T_1,\ \Gamma, X \vdash_A\ ^{T_1}AB^{T_1}\ e_B : T_1,$
and $\Gamma \vdash_A \Lambda X.(^{T_1}AB^{T_1}\ e_B) : \forall X.T_1$ *by inversion (Lemma 1) and uniqueness of*
types. $\Gamma \vdash_A \Lambda X.(^{T_1}AB^{T_1}\ e_B) : T$ *because* $\forall X.T_1 = T.$

Case 3.44. $^{\forall X.T_1}AB^{\forall X.T_1}\ (^{\forall X.T_1}BS\ v_S) \rightarrow\ ^{\forall X.T_1}AS\ v_S$ *where* $(A, B) \in \{(H, M),$

$(M, H)\}$

$\Gamma \vdash_A {}^{\forall X.T_1}AB^{\forall X.T_1} ({}^{\forall X.T_1}BS\ v_S) : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_S v_S : TST$ *by inversion (Lemma 1).* $\Gamma \vdash_B {}^{\forall X.T_1}BS\ v_S : \forall X.T_1$, $T = \forall X.T_1$, *and* $\Gamma \vdash_A {}^{\forall X.T_1}AS\ v_S : \forall X.T_1$ *by inversion and uniqueness of types.* $\Gamma \vdash_A {}^{\forall X.T_1}AS\ v_S : T$ *because* $\forall X.T_1 = T$.

Case 3.45. $({}^{\forall X.T_1}AS\ v_S)\ \{T_2\} \rightarrow {}^{T_1[T_2^a/X]}AS\ v_S$ *where* $A \in \{H, M\}$

$\Gamma \vdash_A ({}^{\forall X.T_1}AS\ v_S)\ \{T_2\} : T$ *by premise and uniqueness of types (Lemma 2).* $\Gamma \vdash_S v_S : TST$ *by inversion (Lemma 1).* $\Gamma \vdash_A {}^{\forall X.T_1}AS\ v_S : \forall X.T_1$ *and* $T = T_1[T_2/X]$ *by inversion and uniqueness of types.* $\Gamma \vdash_A {}^{T_1[T_2^a/X]}AS\ v_S : T_1[T_2^a/X][T_i/T_i^a]$ *by inversion and uniqueness of types.* $\Gamma \vdash_A {}^{T_1[T_2^a/X]}AS\ v_S : T$ *because* $T_1[T_2^a/X][T_i/T_i^a] = T_1[T_2/X] = T$.

Case 3.46. $SA^{\forall X.T_1} (\Lambda X.e_A) \rightarrow SA^{T_1[L/X]} ((\Lambda X.e_A)\ \{L\})$ *where* $A \in \{H, M\}$

$\Gamma \vdash_S SA^{\forall X.T_1} (\Lambda X.e_A) : TST$ *by premise.* $\Gamma \vdash_A \Lambda X.e_A : \forall X.T_1$ *and* $\Gamma \vdash_A (\Lambda X.e_A)\ \{L\} : T_1[L/X]$ *by inversion (Lemma 1) and uniqueness of types (Lemma 2).* $\Gamma \vdash_S SA^{T_1[L/X]} ((\Lambda X.e_A)\ \{L\}) : TST$ *by inversion.*

$\square$

Chapter 4

Implementation

The model was implemented in the DrScheme integrated development environment. Languages are separated into modules that export sets of definitions, one for each language to import. Haskell and ML modules are written in subsets of their real syntaxes and compile to equivalent Scheme modules. Scheme modules are written in a subset of their real syntax. Type soundness is violated if Scheme modules use language constructs (variable mutation, for example) or export values (non-integer numbers, for example) not supported by the system of interoperation. Haskell can import ML and Scheme exports, ML can import Scheme exports, and Scheme can import Haskell exports. Haskell and ML use let-polymorphism instead of System F and use type reconstruction. All languages use integers as their number domain. In addition to the model, all languages have boolean values and operations, multiplication and division operations, and lists. Haskell and Scheme have user-defined composite data types. The ML implementation extends the implementation by Kinghorn [?].

Languages interoperate by importing exports from other modules. Importation expressions specify the names and types of exports and evaluate to them.

The Haskell expressions `:ml type "name"` and `:scheme type "name"` import ML and Scheme exports, respectively, where `type` is the expected type of the export and `name` is the export name. The ML expression `name :G type` imports Scheme exports. The Scheme expression `(:haskell "name" "type")` imports Haskell exports. For example, `(:scheme a → a "identity") 0` imports the Scheme identity function to Haskell and applies it to zero.

The importation expressions verify that expected types match actual types. The expected types of Haskell and ML exports can be validated immediately because their modules provide their actual types. The actual types of Scheme exports are calculated and validated during run time by contracts [?] that are implemented by a standard library. The contracts also blame the language at fault for a type error [?]. If the actual type of a Scheme value does not match its expected type, Haskell or ML is at fault. If the actual type of a Haskell or ML value does not match its expected type, Scheme is at fault. If Scheme applies a Haskell or ML function to an argument of the wrong type, Scheme is at fault. Haskell and ML cannot apply a Scheme function to an argument of the wrong type because the application would be ill-typed.

Chapter 5

Related Work

This work extends the work of Kinghorn [**?**] by adding Haskell and lists to his model of computation, his proof of type soundness, and his implementation of the model. Kinghorn extended the work of Matthews and Findler [**?**] by adding parametric polymorphism and parametricity to their model of computation, providing a more thorough proof of its type soundness, and implementing it with a fully-featured Scheme and a subset of Objective Caml, a dialect of ML.

Guha et al. [**?**] describe a system of parametric polymorphic contracts for higher-order functions that assign blame for contract violations and protect parametricity. The system both ensures function arguments match the contract parameters and prevents functions from examining the types and values of their arguments. This work uses two separate mechanisms, boundary expressions and label types, to achieve the same result.

Perhaps the most mainstream systems of interoperation are the Common Object Request Broker Architecture (CORBA), the Component Object Model (COM), and the .NET Framework, yet not one of them supports interoperation

between Haskell, ML, and Scheme as this work does. CORBA, COM, and the .NET Framework support the interoperation of static and dynamic type systems and strict evaluation, but not higher-order functions, parametric polymorphism, parametricity, or lazy evaluation [?] [?] [?].

Tobin-Hochstadt and Felleisen [?] describe a system of mechanically translating programs written in a dynamically-typed language to an equivalent form in a similar, statically-typed language. The system has higher-order functions, static and dynamic type systems, and strict evaluation, but not parametric polymorphism, parametricity, or lazy evaluation. The system enables the interoperation of higher-order functions, dynamic type systems, and strict evaluation, but not parametric polymorphism, parametricity, static type systems, or lazy evaluation. It uses contracts for higher-order functions to assign blame to languages for type errors, which this model does not do.

Henglein and Rehof [?] describe a system of polymorphic type inference for Scheme that infers types and run-time type operations, thereby giving a high-level translation from Scheme to ML. ML programs cannot be translated to equivalent Scheme programs. The system has higher-order functions, parametric polymorphism, parametricity, static and dynamic type systems, and strict evaluation, but not lazy evaluation. The system enables the interoperation of higher-order functions, dynamic type systems, and strict evaluation, but not parametric polymorphism, parametricity, static type systems, or lazy evaluation.

Benton [?] describes a system of embedding dynamically-typed languages within the statically-typed language ML and projecting dynamically-typed values back into ML. The system has higher-order functions, parametric polymorphism, parametricity, static and dynamic type systems, and strict evaluation, but does not have lazy evaluation. The system enables the interoperation of higher-order

functions, parametric polymorphism, static and dynamic type systems, and strict

evaluation, but not parametricity or lazy evaluation.

Chapter 6

Future Work

The model of computation is sufficient to enable the interoperation of languages with incompatible evaluation strategies. Certainly other data types could be added to the model, but they would add nothing new to the method of resolving incompatible evaluation strategies and would further complicate the model. The implementation of the model would be more useful if additional language constructs and data types were added. Performance would improve if modules were compiled to bytecodes or machine code. Adding languages with other evaluation strategies, such as normal order and applicative order, or languages with static type systems that do not support parametricity, would be interesting, but the sizes of the model and proof would grow exponentially. Further explorations of incompatible evaluation strategies would best be tackled with pairs of languages to minimize complexity.

Chapter 7

Conclusions

This work resolved three language incompatibilities in a system of interoperation for three diverse languages. It resolved incompatible type systems with contracts for higher-order functions and lump types. It resolved incompatible support for parametricity with label types. It resolved incompatible evaluation strategies with delayed conversions for list constructions. It defined a model of computation that can express interoperation where the aforementioned incompatibilities arise and resolve them, provided a proof of its type soundness, and described an implementation of it that supported additional language features.