

Lecture 6: Graphs

CS 5006/7: Algorithms, C and Systems

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1 Basic Definitions

2 Path Finding

3 Topological Ordering

4 Strongly Connected Components

5 Summary

- Intro/definitions
- Paths and Djikstra (REVIEW)
- Acyclic graphs and topological ordering
- Connectivity in Directed Graphs

What is a Graph?

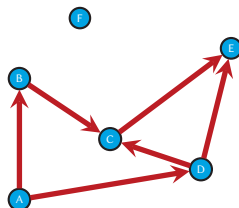
A graph is simply a collection of nodes plus edges

- Linked lists, trees, and heaps are all special cases of graphs
- The nodes are known as vertices (node = vertex)
- Formal Definition:
 - A graph G is a pair (V, E) where
 - V is a set of vertices or nodes
 - E is a set of edges that connect vertices

An Example

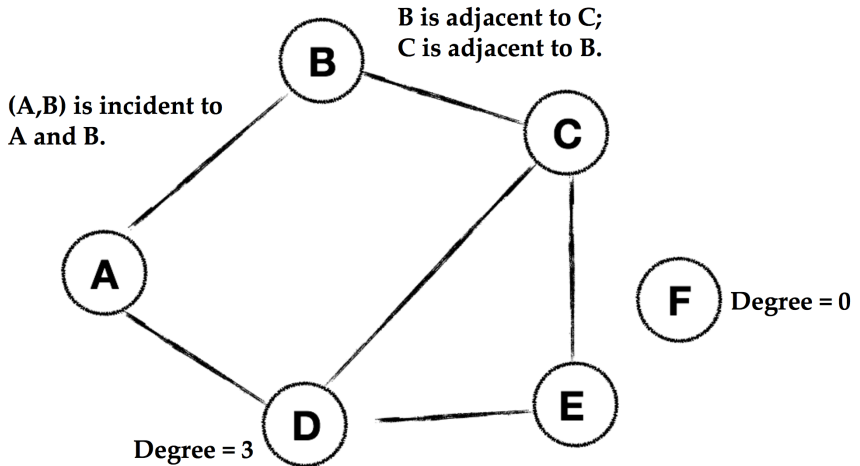
Here is a directed graph $G = (V, E)$

- Each edge is a pair (v_1, v_2) , where v_1, v_2 are vertices in V
 - $V = \{A, B, C, D, E, F\}$
 - $E = \{(A, B), (A, D), (B, C), (C, D), (C, E), (D, E)\}$



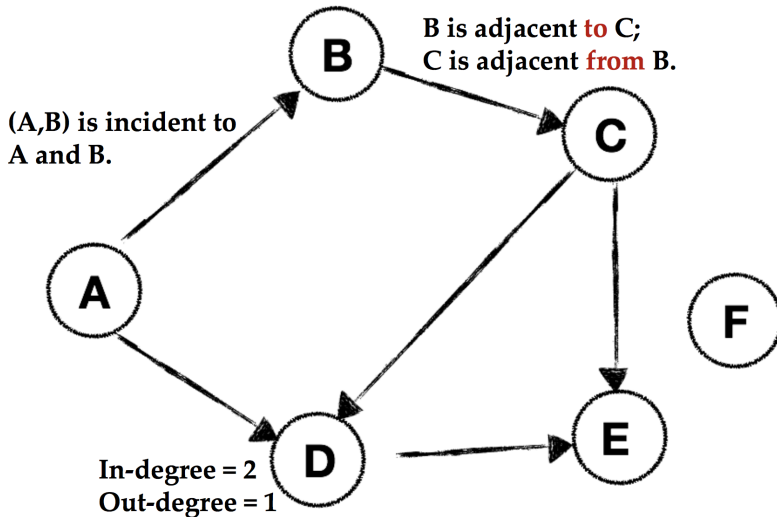
Terminology: Undirected Graph

- Two vertices u and v are **adjacent** in an undirected graph G if $\{u, v\}$ is an edge in G
 - edge $e = \{u, v\}$ is **incident** with vertex u and vertex v
- The **degree** of a vertex in an undirected graph is the number of edges incident with it
 - a self-loop counts twice (both ends count)
 - denoted with $\deg(v)$



Terminology: Directed Graph

- Vertex u is **adjacent to** vertex v in a directed graph G if (u, v) is an edge in G
 - vertex u is the initial vertex of (u, v)
- Vertex v is **adjacent from** vertex u
 - vertex v is the **terminal** (or end) vertex of (u, v)
- Degree
 - **in-degree** is the number of edges with the vertex as the terminal vertex
 - **out-degree** is the number of edges with the vertex as the initial vertex

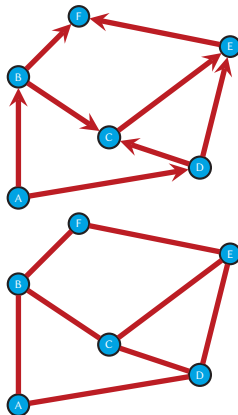


Kinds of Graphs

- directed vs undirected
- weighted vs unweighted
- simple vs non-simple
- sparse vs dense
- cyclic vs acyclic
- labeled vs unlabeled

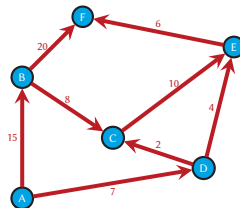
Directed vs Undirected

- Undirected if edge (x, y) implies edge (y, x) .
 - otherwise directed
- Roads between cities are usually undirected (go both ways)
- Streets in cities tend to be directed (one-way)



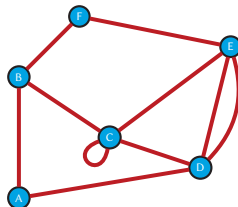
Weighted vs Unweighted

- Each edge or vertex is assigned a numerical value (weight).
- A road network might be labeled with:
 - length
 - drive-time
 - speed-limit
- In an unweighted graph, there is no distinction between edges.



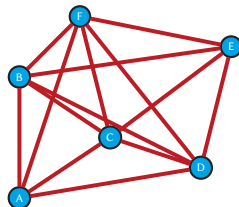
Simple vs Not simple

- Some kinds of edges make working with graphs complicated
- A **self-loop** is an edge (x, x) (one vertex).
- An edge (x, y) is a **multiedge** if it occurs more than once in a graph.



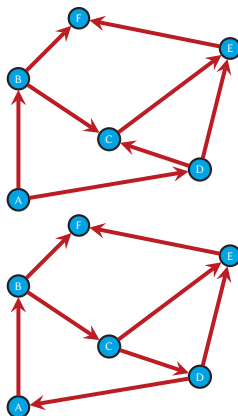
Sparse vs Dense

- Graphs are sparse when a small fraction of vertex pairs have edges between them
- Graphs are dense when a large fraction of vertex pairs have edges
- There's no formal distinction between sparse and dense



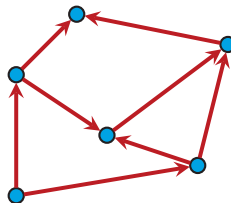
Cyclic vs Acyclic

- An **acyclic** graph contains no cycles
- A **cyclic** graph contains a cycle
- Trees are *connected, acyclic, undirected* graphs
- Directed acyclic graphs are called **DAGs**



Labeled vs Unlabeled

- Each vertex is assigned a unique name or identifier in a **labeled** graph
 - In an unlabeled graph, there are no named nodes
- Graphs usually have names—e.g., city names in a transportation network
- We might ignore names in graphs to determine if they are isomorphic (similar in structure)



Graph Representation

Two ways to represent a graph in code:

- Adjacency List

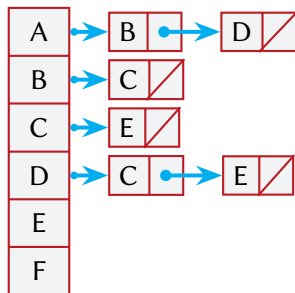
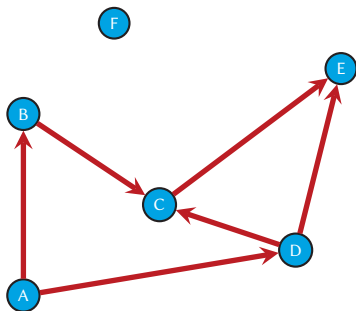
- A list of nodes
- Every node has a list of adjacent nodes

- Adjacency Matrix

- A matrix has a column and a row to represent every node
- All entries are 0 by default
- An entry $G[u, v]$ is 1 if there is an edge from node u to v

Adjacency List

For each v in V , $L(v)$ = list of w such that (v, w) is in E :



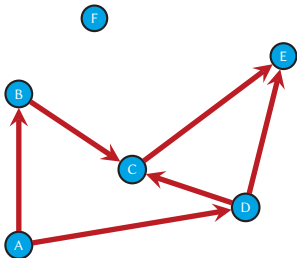
Storage space:

$$a|V| + b|E|$$

$$a = \text{sizeof}(\text{node})$$

$$b = \text{sizeof}(\text{linked list element})$$

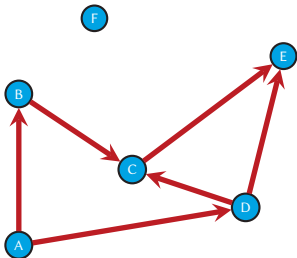
Adjacency Matrix



	A	B	C	D	E	F
A	0	1	0	1	0	0
B	1	0	1	0	0	0
C	0	1	0	1	1	0
D	1	0	1	0	1	0
E	0	0	1	1	0	0
F	0	0	0	0	0	0

Storage space: $|V|^2$

Adjacency Matrix



	A	B	C	D	E	F
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D	1	0	1	0	1	0
E	0	0	1	1	0	0
F	0	0	0	0	0	0

Storage space: $|V|^2$

Does this matrix represent a directed or undirected graph?

Comparing Matrix vs List

- 1 Faster to test if (x, y) is in a graph?

Comparing Matrix vs List

❶ Faster to test if (x, y) is in a graph?

❶ adjacency matrix

Comparing Matrix vs List

- 1 Faster to test if (x, y) is in a graph?
- 2 Faster to find the degree of a vertex?

- 1 adjacency matrix

Comparing Matrix vs List

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- 1 adjacency matrix
- 2 adjacency list

Comparing Matrix vs List

- 1 Faster to test if (x, y) is in a graph?
- 2 Faster to find the degree of a vertex?
- 3 Less memory on small graphs?

- 1 adjacency matrix
- 2 adjacency list

Comparing Matrix vs List

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- ❶ adjacency matrix
- ❷ adjacency list
- ❸ adjacency list $(m+n)$ vs (n^2)

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Comparing Matrix vs List

- | | |
|---|-------------------------------------|
| ❶ Faster to test if (x, y) is in a graph? | ❶ adjacency matrix |
| ❷ Faster to find the degree of a vertex? | ❷ adjacency list |
| ❸ Less memory on small graphs? | ❸ adjacency list $(m+n)$ vs (n^2) |
| ❹ Less memory on big graphs? | ❹ adjacency matrices (a little) |

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Comparing Matrix vs List

- 1 Faster to test if (x, y) is in a graph?
- 2 Faster to find the degree of a vertex?
- 3 Less memory on small graphs?
- 4 Less memory on big graphs?
- 5 Edge insertion or deletion?
- 6 Faster to traverse the graph?
- 7 Better for most problems?

- 1 adjacency matrix
- 2 adjacency list
- 3 adjacency list $(m+n)$ vs (n^2)
- 4 adjacency matrices (a little)
- 5 adjacency matrices $O(1)$ vs $O(d)$
- 6 adjacency list

Comparing Matrix vs List

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- ➐ adjacency list

Analyzing Graph Algorithms

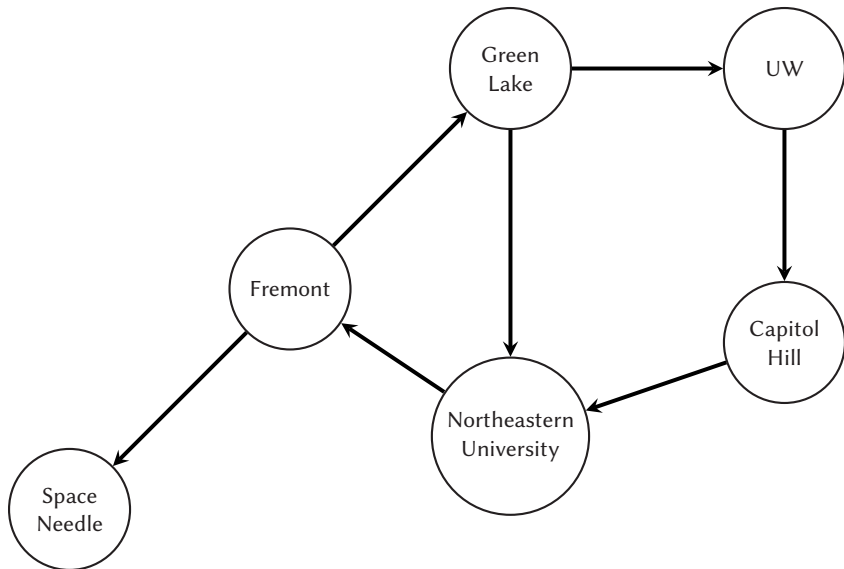
- Space and time are analyzed in terms of:
 - Number of vertices $m = |V|$
 - Number of edges $n = |E|$
- Aim for polynomial running times.
- But: is $O(m^2)$ or $O(n^3)$ a better running time?
 - depends on what the relation is between n and m
 - the number of edges m can be at most $n^2 \leq n^2$.
 - connected graphs have at least $m \geq n - 1$ edges
- Stil do not know which of two running times (such as m^2 and n^3) are better,
- Goal: implement the basic graph search algorithms in time $O(m + n)$.
 - This is linear time, since it takes $O(m + n)$ time simply to read the input.
- Note that when we work with connected graphs, a running time of $O(m + n)$ is the same as $O(m)$, since $m \geq n - 1$.

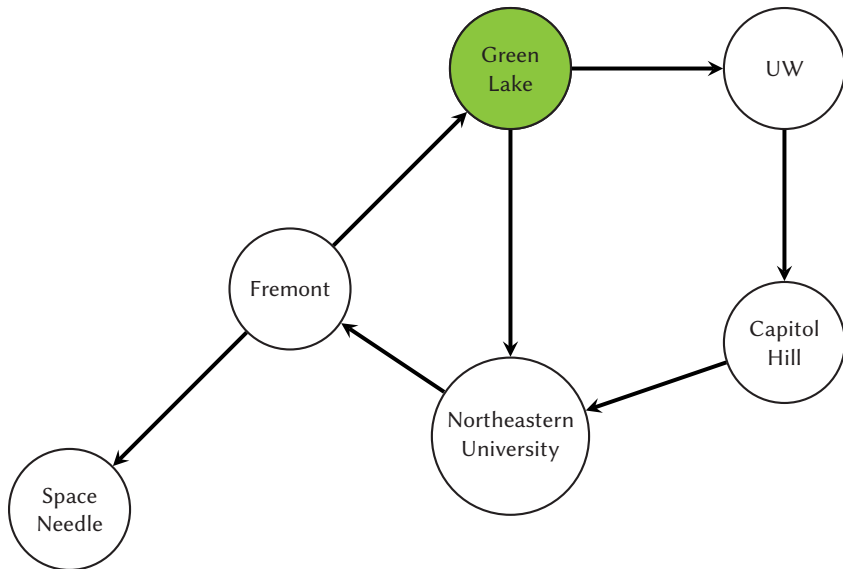
Graph Traversals

Two basic traversals:

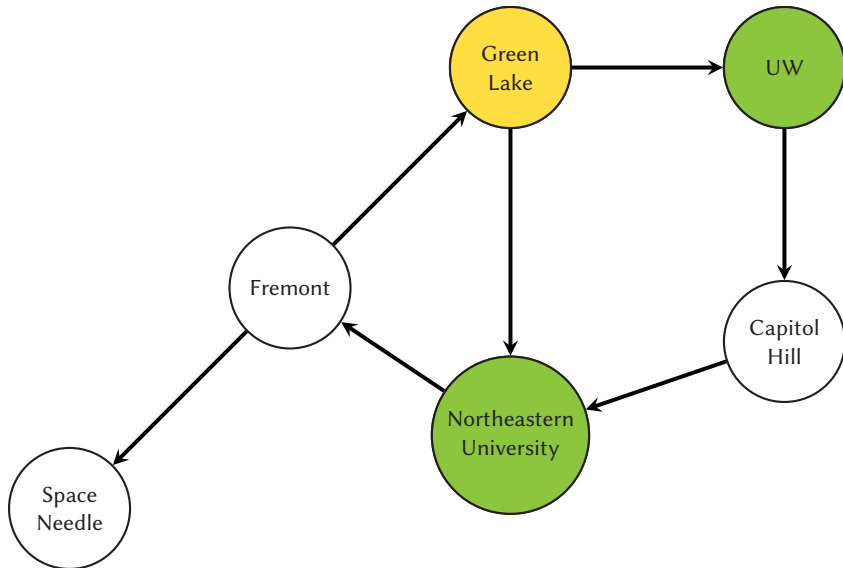
- Breadth First Search (BFS)
- Depth First Search (DFS)

Example...

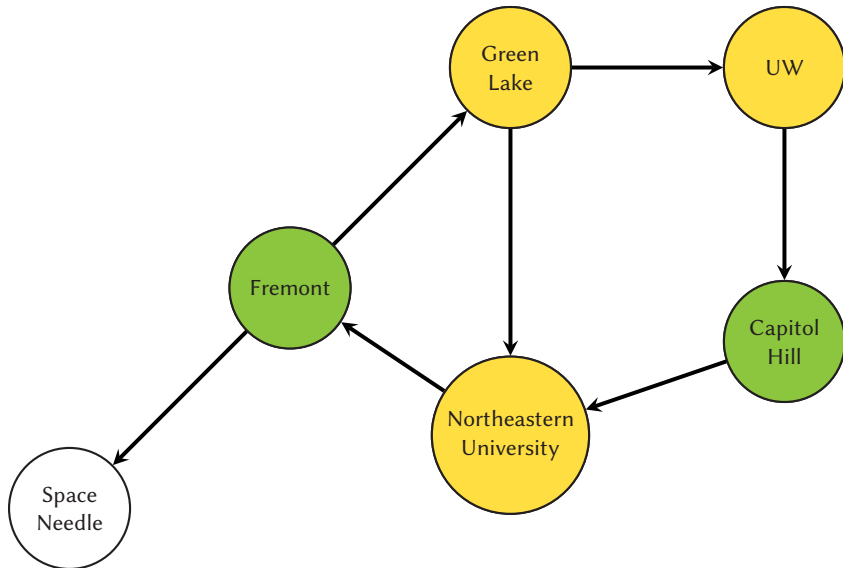




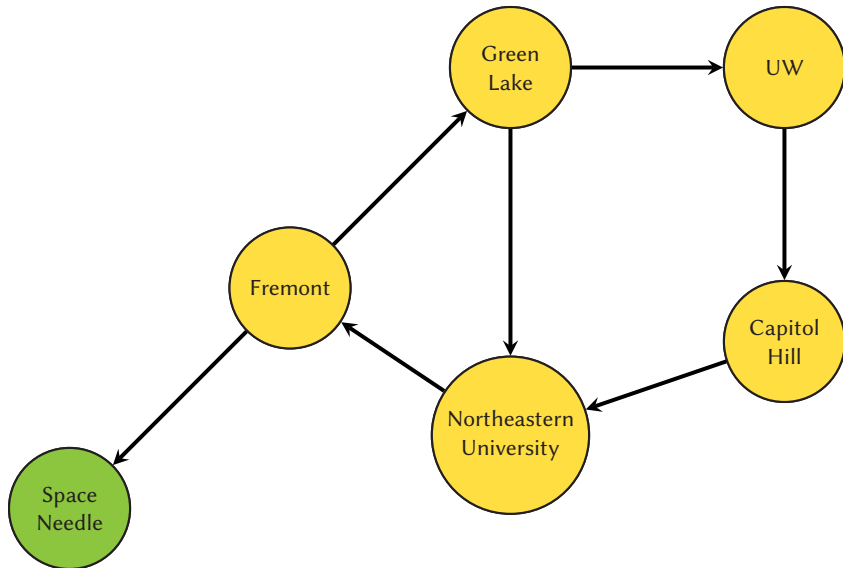
What's the best way for me to get from Green Lake to Space Needle?



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What's the best way for me to get from Green Lake to Space Needle?

BFS: The Algorithm

- Start at the start.
- Look at all the neighbors. Are any of them the destination?
- If no:
 - Look at all the neighbors of the neighbors. Are any of them the destination?
 - Look at all the neighbors of the neighbors of the neighbors. Are any of them the destination?

BFS: Runtime

- If you search the entire network, you traverse each edge at least once: $O(|E|)$
 - That is, $O(\text{number of edges})$
- Keeping a queue of who to visit in order.
 - Add single node to queue: $O(1)$
 - For all nodes: $O(\text{number of nodes})$
 - $O(|V|)$
- Together, it's $O(V + E)$

- Depth first search needs to check which nodes have been output or else it can get stuck in loops.
- In a connected graph, a BFS will print all nodes, but it will repeat if there are cycles and may not terminate
- As an aside, in-order, pre-order and postorder traversals only make sense in binary trees, so they aren't important for graphs. However, we do need some way to order our out-vertices (left and right in BST).

Traverse, psuedocode

```
1 void traverseGraph(Node start) {  
2     Set pending = emptySet()  
3     pending.add(start)  
4     mark start as visited  
5     while(pending is not empty) {  
6         next = pending.remove()  
7         for each node u adjacent to next  
8             if (u is not marked visited) {  
9                 mark u  
10                pending.add(u)  
11            }  
12        }  
13    }
```

- Assuming we can add and remove from our “pending” DS in $O(1)$ time, the entire traversal is $O(|E|)$
- Traversal order depends on what we use for our pending DS.
 - Stack : DFS
 - Queue: BFS
- These are the main traversal techniques in CS, but there are others!

- Breadth-first always finds shortest length paths, i.e., “optimal solutions”
- Better for “what is the shortest path from x to y ”
 - But depth-first can use less space in finding a path
- If longest path in the graph is p and highest out- degree is d then DFS stack never has more than $d * p$ elements
- But a queue for BFS may hold $O(|V|)$ nodes

BFS vs DFS: Problems

BFS Applications

- Connected components
- Two-coloring graphs

DFS Applications

- Finding cycles
- Topological Sorting
- Strongly Connected Components

Single-Source Shortest Path

Input Directed graph with non-negative weighted edges, a starting node s and a destination node d

Problem Starting at the given node s , find the path with the lowest total edge weight to node d

Example A map with cities as nodes and the edges are distances between the cities. Find the shortest distance between city 1 and city 2.

Dijkstra's Algorithm: Overview

- Find the “cheapest” node— the node you can get to in the shortest amount of time.
- Update the costs of the neighbors of this node.
- Repeat until you've done this for each node.
- Calculate the final path.

Dijkstra's Algorithm: Formally

DJIKSTRA(G, w, s)

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2   $S = \emptyset$ 
3   $Q = G.V$ 
4  while  $Q \neq \emptyset$ 
5       $u = \text{EXTRACT-MIN}(Q)$ 
6       $S = S \cup \{u\}$ 
7      for each vertex  $v \in G.Adj[u]$ 
8          RELAX( $u, v, w$ )
```

DJIKSTRA(G, w, s)

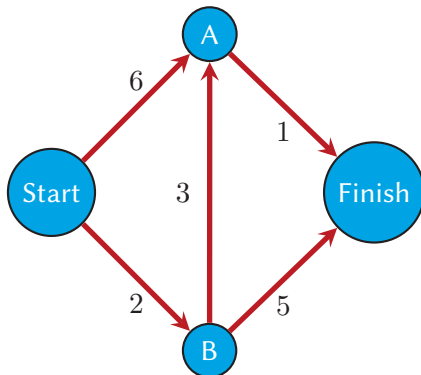
```
1  ▷  $G$  is a graph
2  ▷  $w$  is the weighting function such that  $w(u, v)$  returns the weight of the
3  ▷  $s$  is the starting node
4  for each vertex  $u \in G$ 
5       $u.d = w(s, u)$  ▷ where  $w(s, u) = \infty$  if there is no edge  $(s, u)$ .
6   $S = \emptyset$  ▷ Nodes we know the distance to
7   $Q = G.V$  ▷ min-PriorityQueue starting with all our nodes, ordered by dist
8  while  $Q \neq \emptyset$ 
9       $u = \text{EXTRACT-MIN}(Q)$  ▷ Greedy step: get the closest node
10      $S = S \cup \{u\}$  ▷ Set of nodes that have shortest-path-distance found
11     for each vertex  $v \in G.Adj[u]$ 
12         RELAX( $u, v, w$ )
```

RELAX(u, v, w)

```
1  ▷  $u$  is the start node
2  ▷  $v$  is the destination node
3  ▷  $w$  is the weight function
```

Dijkstra's: A walkthrough

- Find the “cheapest” node— the node you can get to in the shortest amount of time.
- Update the costs of the neighbors of this node.
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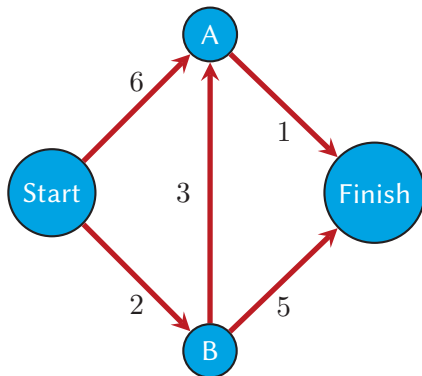
Breadth First Search: distance = 7

Step 1: Find the cheapest node

1 Should we go to A or B?

- Make a table of how long it takes to get to each node from this node.
- We don't know how long it takes to get to Finish, so we just say infinity for now.

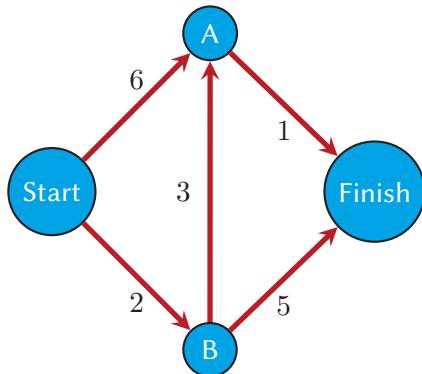
Node	Time to Node
A	6
B	2
Finish	∞



Step 2: Take the next step

- 1 Calculate how long it takes to get (from Start) to B's neighbors by following an edge from B
 - We chose B because it's the fastest to get to.
 - Assume we started at Start, went to B, and then now we're updating Time to Nodes.

Node	Time to Node
A	6 5
B	2
Finish	∞ 7

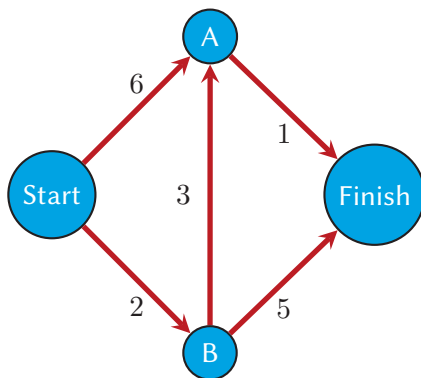


Step 3: Repeat!

① Find the node that takes the least amount of time to get to.

- We already did B, so let's do A.
- Update the costs of A's neighbors
 - Takes 5 to get to A; 1 more to get to Finish

Node	Time to Node
A	6 5
B	2
Finish	7 6



Section 3

Topological Ordering

Topological Ordering

Input: Directed acyclic graph $G = (V, E)$

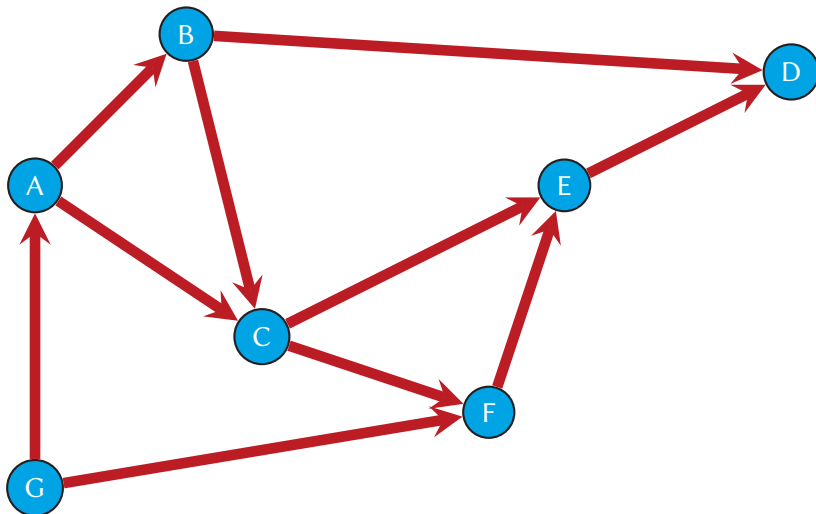
Problem: Find a linear ordering of the vertices V such that for each edge (i, j) in E , vertex i is to the left of j .

Example: Scheduling of tasks that have precedence constraints

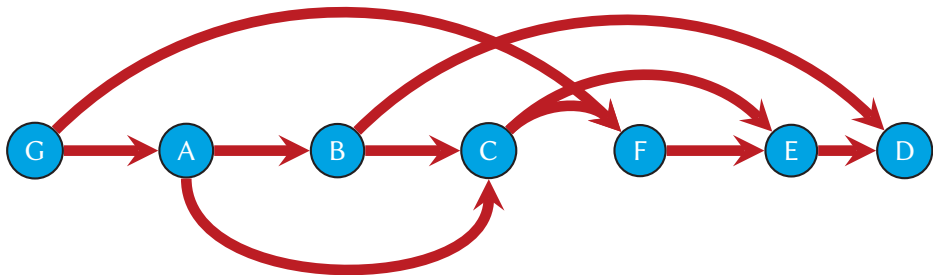
Example: Class Ordering

- Vertices are classes, and edges represent pre-reqs
- A ***topological ordering*** is any ordering that is a valid sequence of courses

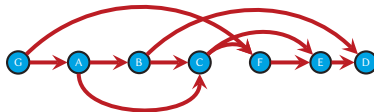
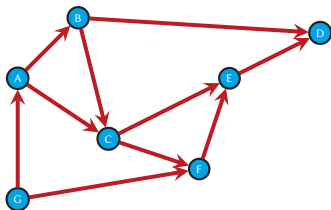
Toposort: Example Input



Toposort: Example Output



Toposort: Convince yourself



- Nodes are ordered in a linear fashion
- All the (directed) edges point to “future” nodes
- No edges are “pointing back”

Things to Know

■ Why only DAGs?

Terminology: A DAG represents a ***partial order*** and a topological sort produces a ***total order*** that is consistent with it

Things to Know

- Why only DAGs?
 - A cycle means there's no correct answer

Terminology: A DAG represents a ***partial order*** and a topological sort produces a ***total order*** that is consistent with it

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- Can every DAG be topo sorted?

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Things to Know

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 - Yes.

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Things to Know

- Why only DAGs?
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- Can every DAG be topo sorted?
 - Yes.
- Is there always a unique answer?

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Things to Know

- Why only DAGs?
 - A cycle means there's no correct answer
- Can every DAG be topo sorted?
 - Yes.
- Is there always a unique answer?
 - No, DAGs can be sorted in different ways

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Things to Know

- Why only DAGs?
 - A cycle means there's no correct answer
- Can every DAG be topo sorted?
 - Yes.
- Is there always a unique answer?
 - No, DAGs can be sorted in different ways
 - Especially when there are fewer constraints

Terminology: A DAG represents a ***partial order*** and a topological sort produces a ***total order*** that is consistent with it

Using topological sort

- Figuring out how to graduate

Using topological sort

- Figuring out how to graduate
- Computing an order in which to recompute cells in a spreadsheet

Using topological sort

- Figuring out how to graduate
- Computing an order in which to recompute cells in a spreadsheet
- Determining an order to compile files using a Makefile

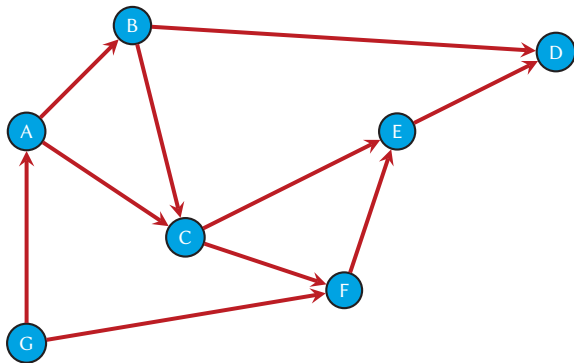
Using topological sort

- Figuring out how to graduate
- Computing an order in which to recompute cells in a spreadsheet
- Determining an order to compile files using a Makefile
- In general, taking a dependency graph and finding an order of execution

Toposort: The Algorithm

- Mark each vertex with its in-degree
- While there are vertices not yet in the final output:
 - Choose a vertex v with labeled in-degree of 0
 - Output v and conceptually remove it from the graph
 - For each vertex u adjacent to v :
 - Decrement the in-degree of u

An Example...

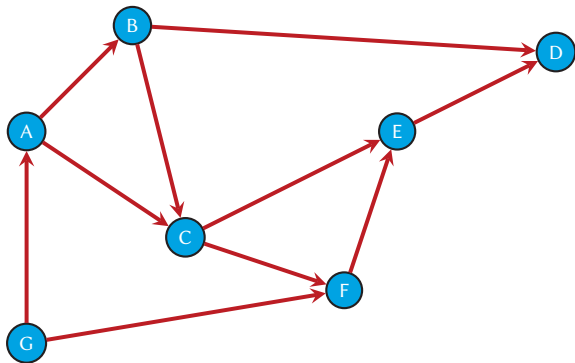


Node	In-Degree
A	1
B	1
C	2
D	2
E	2
F	2
G	0

Output order:

< >
First, calculate the in-degree of each node.

An Example...

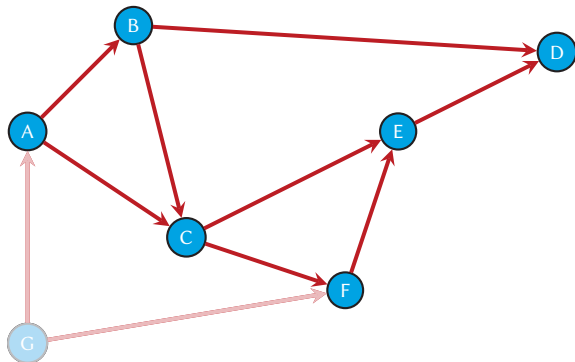


Node	In-Degree
A	1
B	1
C	2
D	2
E	2
F	2
G	0

Output order:

< Start with the node with in-degree of 0 >

An Example...



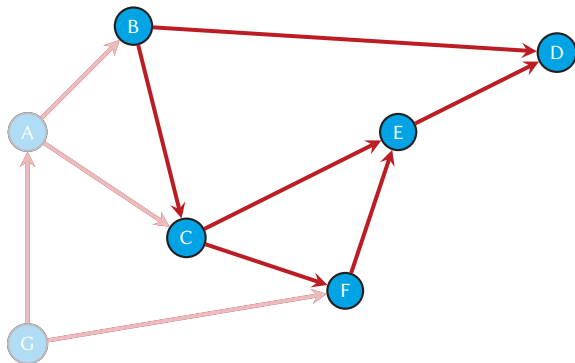
Node	In-Degree
A	1 0
B	1
C	2
D	2
E	2
F	2 1
G	0

Output order:

<G >

Output that node, “remove it from the graph”, decrementing the in-degree for each node it points to.

An Example...



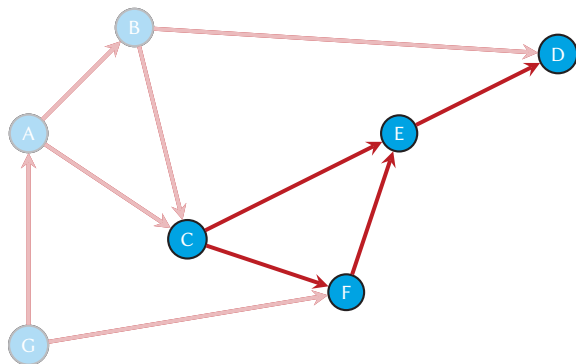
Repeat.

Node	In-Degree
A	1 0
B	1 0
C	2 1
D	2
E	2
F	2 1
G	0

Output order:

<G , A >

An Example...

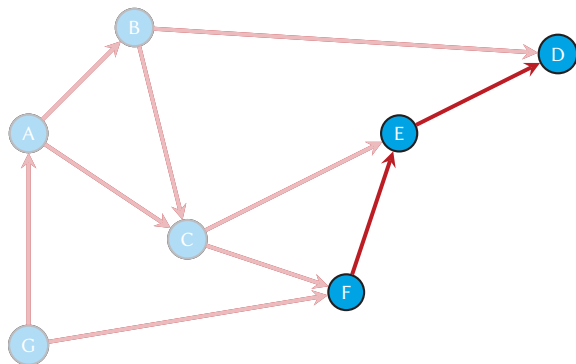


Node	In-Degree
A	1 0
B	1 0
C	2 1 0
D	2 1
E	2
F	2 1
G	0

Output order:

<G , A , B >

An Example...

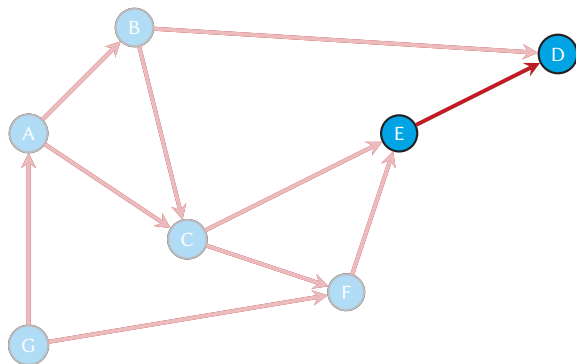


Node	In-Degree
A	1 0
B	1 0
C	2 1 0
D	2 1
E	2 1
F	2 1 0
G	0

Output order:

<G , A , B , C >

An Example...

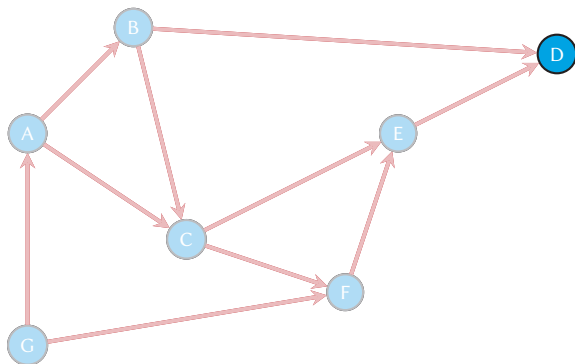


Node	In-Degree
A	1 0
B	1 0
C	2 1 0
D	2 1
E	2 1 2 1 0
F	2 1 0
G	0

Output order:

<G , A , B , C , F >

An Example...

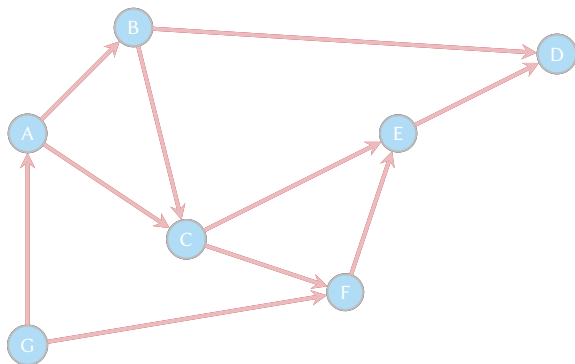


Node	In-Degree
A	1 0
B	1 0
C	2 1 0
D	2 1 2 1 0
E	2 1 2 1 0
F	2 1 0
G	0

Output order:

<G , A , B , C , F , E >

An Example...



Node	In-Degree
A	1 0
B	1 0
C	2 1 0
D	2 1 2 1 0
E	2 1 2 1 0
F	2 1 0
G	0

Output order:
<G , A , B , C , F , E , D >

- Always need a vertex with in-deg 0 to start
- And we will always have one because there are no cycles!
 - When we have more than one vertex with in-deg 0, it doesn't matter which we choose.
- This is how we get more than one correct answer

Toposort: Implementation Details

- Don't want to have to search for a zero-degree node every time
- Keep the “pending” 0-deg nodes in a list/stack/queue/etc
- Note, your choice of data structure impacts order or output, but not correctness or efficiency
 - ...as long as push/pop = $O(1)$

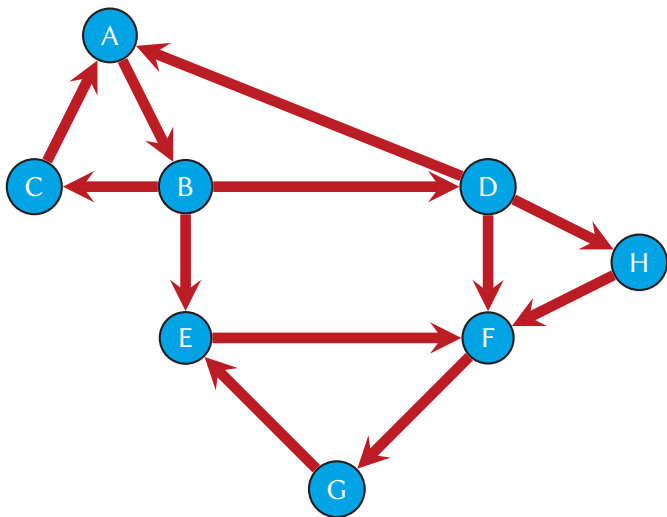
Section 4

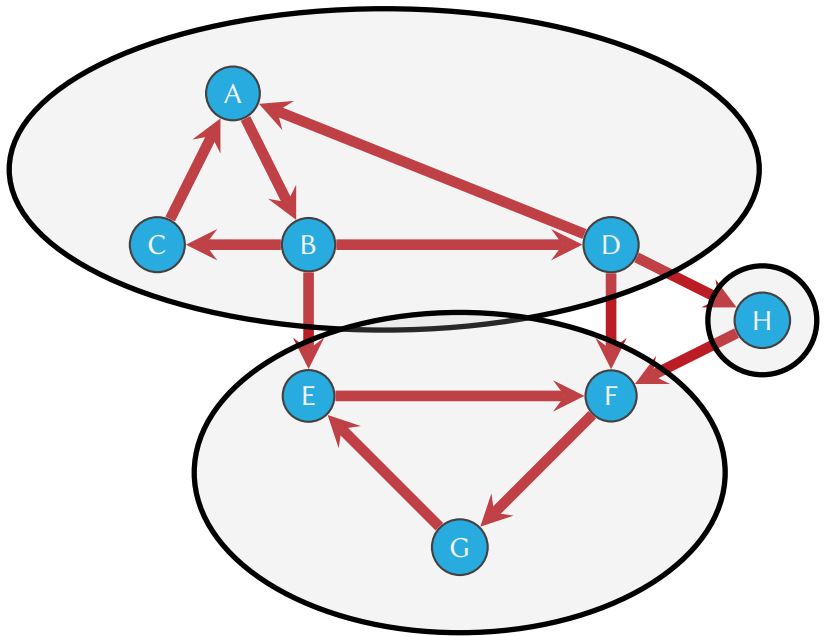
Strongly Connected Components

A directed graph is ***strongly connected*** if there is a directed path between any two vertices.

A directed graph is *strongly connected* if there is a directed path between any two vertices.

The *strongly connected components* of a graph is a partition of the vertices into subsets (maximal) such that each subset is strongly connected.





Strongly Connected Components

The Input: Directed or Undirected graph G

The Problem: Identify the components of G where vertices x and y are members of different components if no path exists from x to y in G .

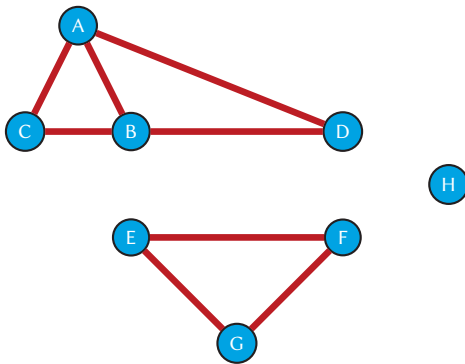
In an undirected graph G , components are **connected** or not.

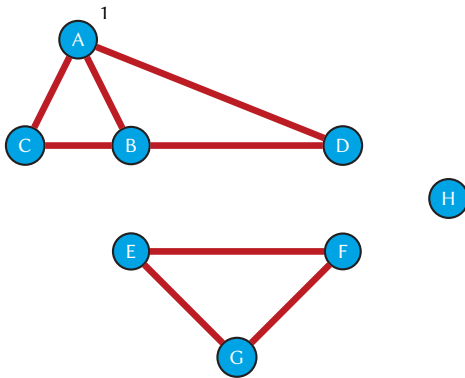
In a directed graph G , components can be **strongly connected** or not.

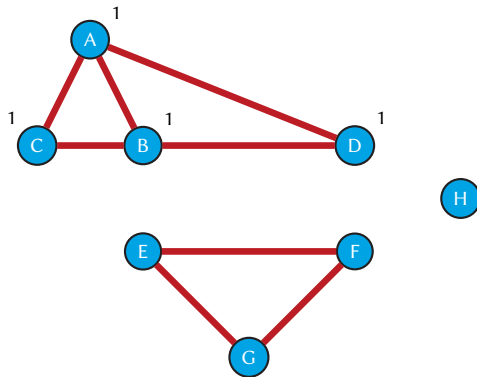
Connected Components: Algorithm Overview

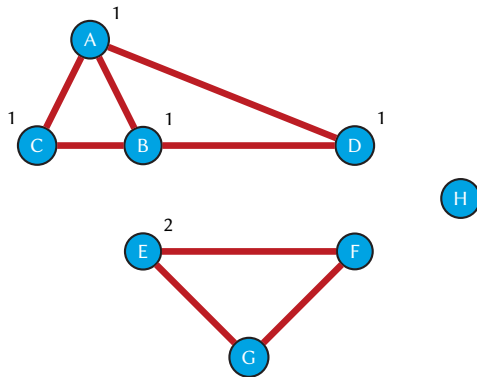
Connected Components in an undirected graph

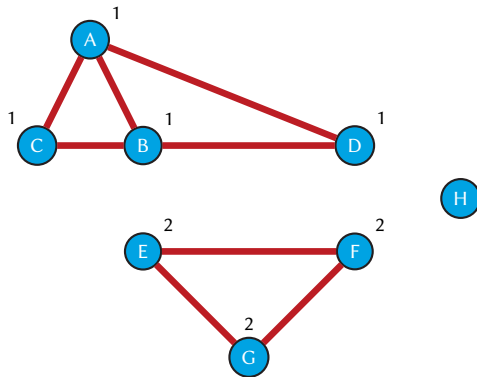
- Use either DFS or BFS
- Set the `component_id` for each node to 0.
- Set `cur_component` = 1.
- Start traversing:
 - For every node, set the `component_id` = `cur_component`.
 - When there are no more nodes to traverse, increment `cur_component`.

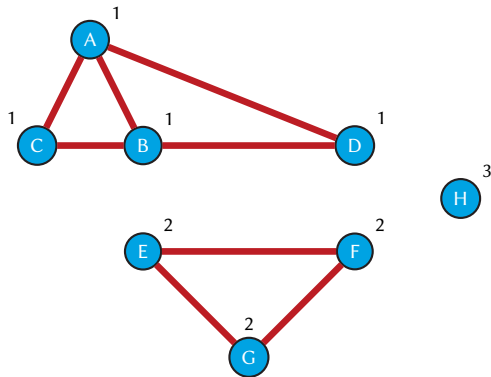










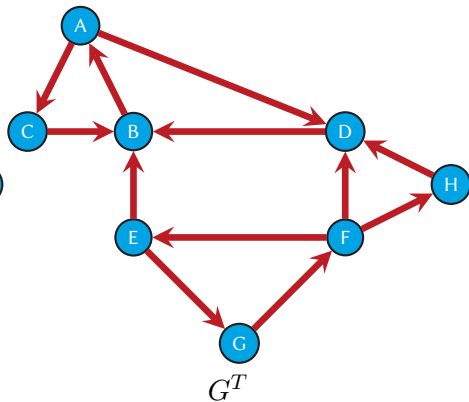
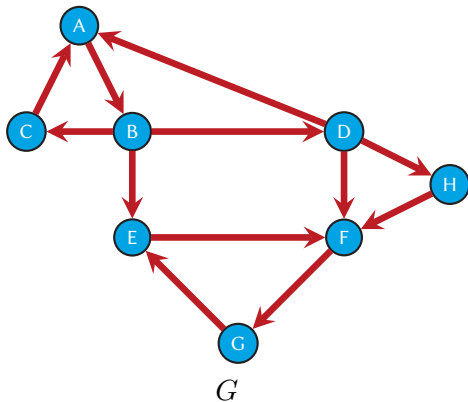


Simple for undirected... but what about directed??

Transpose Graph

- $G^T = (V, E^T)$:
 - $E^T = \{(u, v) : (v, u) \text{ in } E\}$
 - E^T consists of the edges in G with their directions reversed
- G and G^T have the same strongly connected components

G and G^T

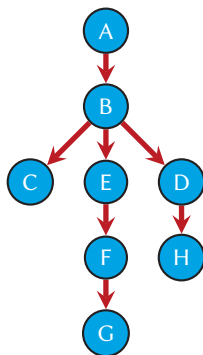


Strongly Connected Components: The Algorithm

- 1 Call $DFS(G)$ to compute finishing times $f[u]$ for each vertex u
- 2 Compute G^T
- 3 Call $DFS(G^T)$, but follow the vertices in order of decreasing $f[u]$
- 4 Output the vertices of each tree in the depth- first forest of step 3 as a separate component

Calculating Finish time

- When doing a graph traversal, there's a step that you start evaluating a node v and it's adjacency list.
- The finish time marks when the search finish's exploring v 's adjacency list.

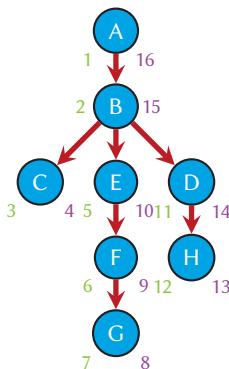


Node ID	$f(u)$
A	
B	
C	
D	
E	
F	
G	
H	

A DFS tree representing a traversal of the graph starting at Node A.

Calculating Finish time

- When doing a graph traversal, there's a step that you start evaluating a node v and it's adjacency list.
- The finish time marks when the search finish's exploring v 's adjacency list.
- In the following graph, the start times are marked in green; the finish times are marked in purple.

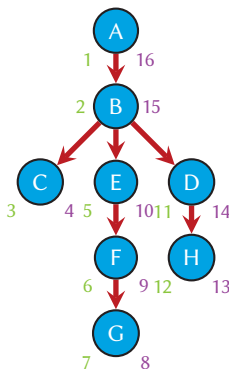


A DFS tree representing a traversal of the graph starting at Node A.

Node ID	$f(u)$
A	
B	
C	
D	
E	
F	
G	
H	

Calculating Finish time

- When doing a graph traversal, there's a step that you start evaluating a node v and it's adjacency list.
- The finish time marks when the search finish's exploring v 's adjacency list.
- In the following graph, the start times are marked in green; the finish times are marked in purple.



A DFS tree representing a traversal of the graph starting at Node A.

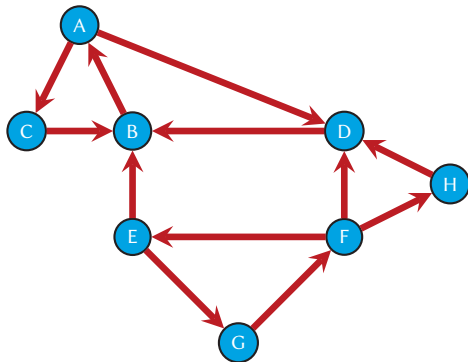
Node ID	$f(u)$
A	16
B	15
C	4
D	14
E	10
F	9
G	8
H	13

Step 2: Computer G^T

- A number of ways to do this, but whatever way, make sure the edges are reversed.

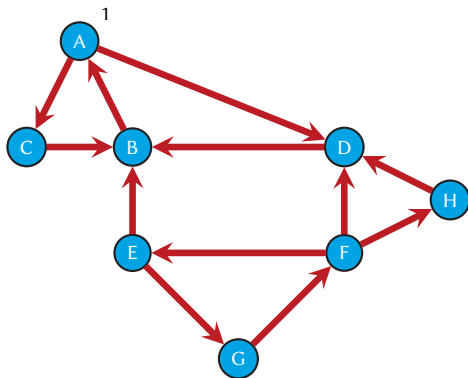
Step 3: Do DFS on G^T

- Find the node with the LAST finish time
- DFS to all the other nodes you can, without visiting a node twice
- Those are all Component 1.
- While there are more nodes that haven't been visited, repeat.



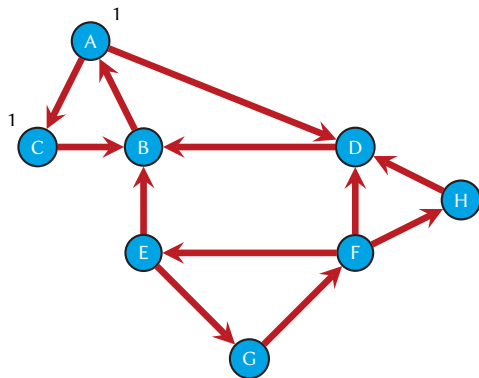
G^T

Node ID	$f(u)$
A	16
B	15
C	4
D	14
E	10
F	9
G	8
H	13



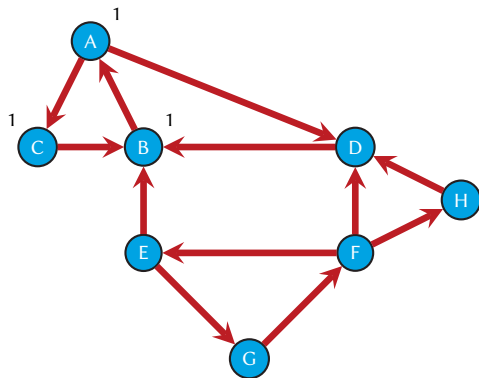
G^T

Node ID	$f(u)$
A	16
B	15
C	4
D	14
E	10
F	9
G	8
H	13



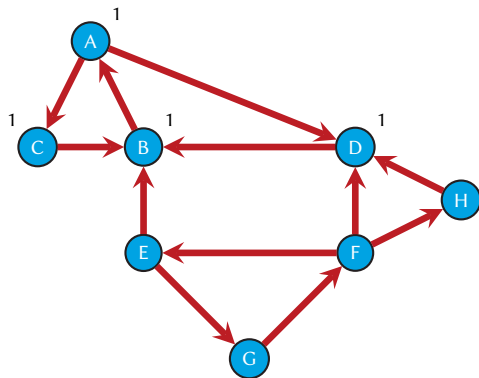
G^T

Node ID	$f(u)$
A	16
B	15
C	4
D	14
E	10
F	9
G	8
H	13



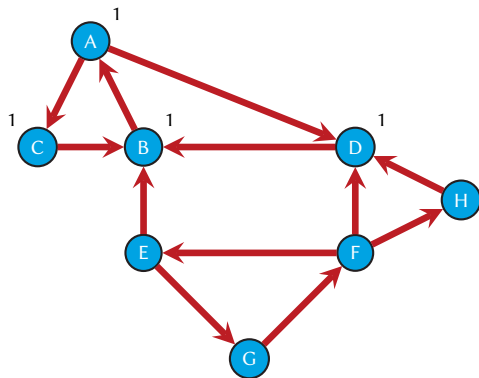
G^T

Node ID	$f(u)$
A	16
B	15
C	4
D	14
E	10
F	9
G	8
H	13



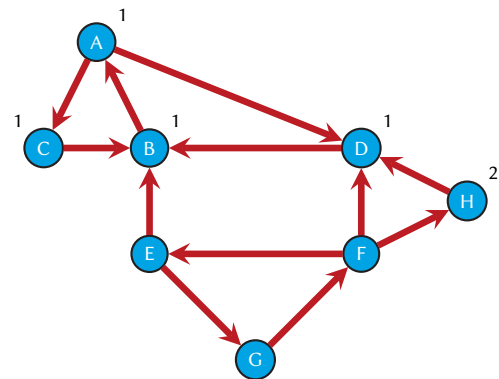
G^T

Node ID	$f(u)$
A	16
B	15
C	4
D	14
E	10
F	9
G	8
H	13



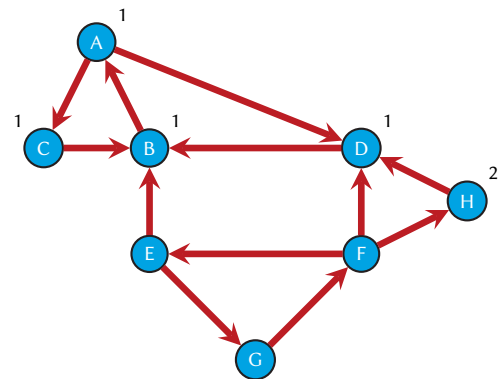
G^T

Node ID	$f(u)$
A	16 -1
B	15 -1
C	4 -1
D	14 -1
E	10
F	9
G	8
H	13

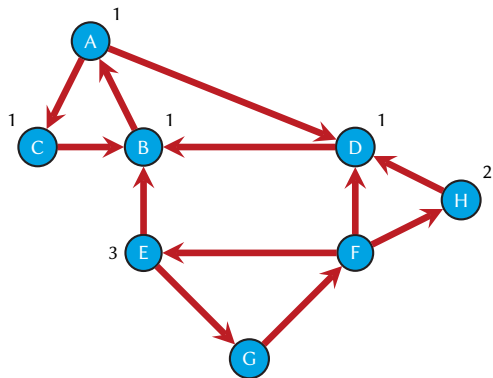


G^T

Node ID	$f(u)$
A	16 -1
B	15 -1
C	4 -1
D	14 -1
E	10
F	9
G	8
H	13

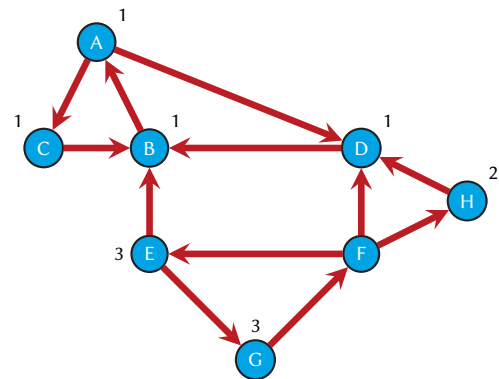


Node ID	$f(u)$
A	16 -1
B	15 -1
C	4 -1
D	14 -1
E	10
F	9
G	8
H	13 -1



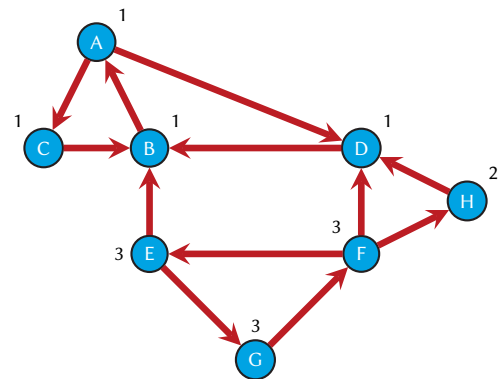
G^T

Node ID	$f(u)$
A	16 -1
B	15 -1
C	4 -1
D	14 -1
E	10
F	9
G	8
H	13 -1



G^T

Node ID	$f(u)$
A	16 -1
B	15 -1
C	4 -1
D	14 -1
E	10
F	9
G	8
H	13 -1



Node ID	$f(u)$
A	16 -1
B	15 -1
C	4 -1
D	14 -1
E	10
F	9
G	8
H	13 -1

Section 5

Summary

Summary

What problems did we work on today?

- Sorting
- Strongly Connected Components

1 Basic Definitions

2 Path Finding

3 Topological Ordering

4 Strongly Connected Components

5 Summary