

Combining indicators for decision making in planning issues: A theoretical approach to perform sustainability assessment

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ABSTRACT

In order to achieve sustainability objectives, spatial modeling and simulations dedicated to land and urban planning are increasingly useful for producing prospective scenarios to guide stakeholder decisions and actions. These scenarios are usually assessed by way of numerous economic, social, and environmental indicators, but they are rarely combined within a synthetic approach for global assessment and scenario comparison. From an example applied to the Greater Besançon area, this paper presents a methodology for generating synthetic indicators. The paper is innovative in that it proposes a method for combining such indicators. This consists of three steps: (i) evaluation, (ii) aggregation, and (iii) combination, leading to decision support for decision-making. Based on this method, a grid analysis map of the study area (Besançon) is produced from which the strengths and weaknesses of the territory can be identified in terms of sustainability. The resulting maps are relevant and useful, although they inevitably raise some fundamental and theoretical questions about the implementation of sustainable development principles at the scale of an urban region. These are discussed in the conclusion with news maps.

1. Introduction

1.1. General context

The Brundtland report (Brundtland, 1987) specifies three separate but overlapping spheres in which sustainable development principles must be applied. These principles are summarized where economic (ECO), social (SOC), and environmental (ENV) domains (level 1) lead to livable, viable, and equitable situations (level 2), and finally to sustainable development (level 3). Beginning from this basis, the Rio Summit and Agenda 21 (in 1992) initiated an ambitious overhaul of governance and public policies in order to ensure these principles were integrated at the local level, particularly for planning policies. The need for a participatory and collaborative approach to the planning process is also particularly emphasized (Agger & Löfgren, 2008). Governments then established programs to achieve sustainable objectives by re-thinking space and territorial organization (d'Aalborg, 1994). In France,¹ in Germany² or in the United Kingdom,³ for example, the preservation of urban green and blue corridor is a current topic in this

approach and shows that, even if natural spaces must obviously be preserved, most of the questions of sustainability turn on the form, functions, and planning of urban areas.

In urban areas, numerous tools help with decision-making to achieve sustainable goals. For instance, it is increasingly prevalent to analyze and assess the sustainability of a territory's characteristics based on spatial analysis or GIS modeling. Such analyses and modeling are often developed in an attempt to take into account the interactions between mobility systems and urban forms with increasingly powerful simulation techniques (Andreasen & Møller-Jensen, 2017; Antoni, 2011; Huby, Owen, & Cinderby, 2007; Kühne, Ruhé, & Bei, 2010; Timmermans, 2003; Tomlinson, 1969; Wegener, 1994; Yamu & Frankhauser, 2015). These techniques and the ensuing territorial analysis can be used to generate prospective scenarios for policies involving each sphere of sustainable development. These scenarios are often based on computing geosimulations (Benenson & Torrens, 2004) and can usually be assessed through numerous economic, social, or environmental indicators with weightings for how good or poor the planning proposals are.

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¹ Cf. www.irstea.fr/en/establishment-national-green-and-blue-belt-committee.

² Cf. www.bfn.de/15293+M52087573ab0.html.

³ Cf. data.london.gov.uk/datastore/package/area-designated-green-belt-land.

1.2. Issue

In this context, the global assessment of the impacts of prospective territorial scenarios remains a crucial issue for decision-makers and researchers. Even if relevant indicators have abounded in the three spheres of sustainable development to identify possible investment strategies and improve cities' livability, viability, and equity, a major difficulty now is to harmonize and interweave them. The construction of global indicators can help to evaluate and to make decisions from analysis and scenario simulations. The definition of global indicators, synthesizing all others, is therefore a current subject of discussions and several studies have been published on this topic in recent decades (Huang, Wu, & Yan, 2015; Kitchin, Lauriault, & McArdle, 2015; Pope, Annandale, & Morrison-Saunders, 2004; Reed, Fraser, & Dougill, 2006). Consequently, a whole array of sectoral elementary indicators has been drawn on to evaluate local territorial performances of sustainability complying with various criteria as for instance in the Propolis European report (Lautso et al., 2004).

Moreover, to render these indicators more useful for decision-making, many works have tried to establish conceptual guidelines, essentially in the field of decision support systems (DSS), designed as computerized information systems to support decision-making activities (Burstein & Holsapple, 2008; Giff & Cromptvoets, 2008; Massei, Rocchi, Paolotti, Greco, & Boggia, 2014). This is not the place for a detailed review of the different types of DSS, but we emphasize that in their latest developments, techniques for the treatment of indicators have been greatly improved. In particular, methodologies based on multi-criteria analysis (such as the ELECTRE method) (Roy, 1985) and analytic hierarchy processes (AHP) (Saaty, 1990) are used to aggregate multiple indicators, while enabling prioritization and/or weighting according to their importance for decision-makers. More accurately, the multicriteria spatial decision support system (MC-DSS) (Malczewski, 1999) explicitly integrates the spatial dimension and involves both geographical data and decision-makers' preferences according to specified rules (Malczewski, 2006). Furthermore, multiactor multicriteria analysis (MAMCA) (Miller, Witlox, & Tribby, 2013) provides another tool for constructing composite indicators by normalizing and aggregating elementary indicators (Cinelli, Coles, & Kirwan, 2014; Dur & Yigitcanlar, 2015; Munda, 2005; Zhou & Ang, 2008). Such methodologies can be used in assessing local territorial performances in accordance with the three spheres of sustainable development, but also with the environmental specificities of each case study (Boggia et al., 2018; Ferretti & Pomarico, 2012, 2013; González, Donnelly, Jones, Chrysoulakis, & Lopes, 2013; Li et al., 2009; Ottomano Palmisano et al., 2016). This work enables us to identify the value of a multi-criteria evaluation approach for conducting a sustainable development policy while at the same time it shows the difficulties of actually implementing this complex theoretical approach.

Two major limitations currently emerge from these promising works. First, in terms of methodology, the production of synthetic indicators raises the question of their combination rates and the production of information about their performance on a whole and complex study area in keeping with sustainability requirements. Second, from a practical standpoint, the problem remains of developing a method that is both scientifically rigorous and comprehensive enough for scientists and decision-makers alike. These two points are inevitably linked Allain, Plumecocq, and Leenhardt (2018). They require an appropriate, comprehensive and effective method, regardless of the specificities of the study area. We assume that such a method could satisfy the objectives of "good governance" and generate synthetic data that is readable and usable both by decision-makers and scientists for sustainable planning issues (Boutaud, 2010).

1.3. Objectives

Beginning with this assumption, this paper focuses on a methodological

approach for characterizing territories at a local scale, according to the synthesis of their social, economic, and environmental performances. This method combines scientific rigor (choice of indicators), expert opinion (evaluation of indicators), and end-users participation (aggregation of indicators) (Fraser, Dougill, Mabee, Reed, & McAlpine, 2006; Reed, 2005). Its main objective is to propose a methodological process for evaluating and analyzing a territory in terms of its sustainable development requirements. The paper's main contribution is a method proposed to combine such indicators. It breaks down into three central steps: (i) evaluation, (ii) aggregation, and (iii) combination. Based upon the background previously identified, in particular concerning the aggregation of indicators, we propose to go further by constructing a combination leading to a single synthetic indicator capable of categorizing urban and regional spaces according to their characteristics. For this we adopt a simplified means of aggregation in the methodological process compared with other existing methods. This is meant to provide subsequently an innovative combination stage revealing a spatial analysis of the sustainability of the territory that can be reproduced over different types of spaces. As has been done for other synthetic indicators (Yigitcanlar & Dur, 2010), this work uses grid mapping. The approach is original in that it uses a combinatorial method, which consists in determining the overall performance of a cell by combining its respective performances (synthetic indicators) in each sphere of sustainability. Presented in the form of a map, this final indicator can be easily read by decision-makers, and used for discussing planning policy. The method should also be capable of involving the different actors in a planning project at each step, so as to provide a complete prototype tool for decision support. Here it is applied to a case study of Greater Besançon.

2. Data and material

2.1. Study area and data

The study area is the urban region of Besançon known as Greater Besançon (Grand Besançon), in eastern France (Fig. 1). Greater Besançon is an "intercommunal" authority with responsibility for a range of policy areas including planning and transport. This urban region is located on the edge of the Jura Mountains and includes a core city (117,000 inhabitants) managed by a local authority (Ville de Besançon) surrounded by residential areas with low population densities spread across 58 smaller local authority areas.

For about a decade now, Greater Besançon has been studied using the MobiSim simulation platform (Antoni, Lunardi, & Vuidel, 2016; Antoni & Vuidel, 2010; Tannier et al., 2016). MobiSim is an agent-based simulation tool for geographical analysis of daily and residential mobility dynamics. It supports decision-making for sustainable planning of French and European cities. MobiSim simulates realistic prospective scenarios of spatial change. These scenarios encompass changes in a global context (e.g. demographic change, energy costs, household incomes), a local context (e.g. creation of transport infrastructure, new planning rules), and in terms of behavior (e.g. residential household preferences, modal choice preferences). Further information about the MobiSim project and the indicators produced by the model is available at www.mobisim.org.

From the MobiSim results calculated under a "business as usual" scenario for 2016 (Antoni et al., 2014), three elementary indicators by sphere ($3 \times 3 = 9$) were chosen for their relevance to sustainable development, based on different fields of literature (Bell & Morse, 2008; Miller et al., 2013; Munda, 2005; Zhou & Ang, 2008). The selection and the number of indicators is voluntarily limited to facilitate the theoretical demonstration and does not claim to be an absolute reference. It will not be discussed in this paper.

2.2. Sustainability indicators

Fig. 2 presents the selected indicators. They are all calculated, aggregated, and displayed within a GIS grid composed of regular square

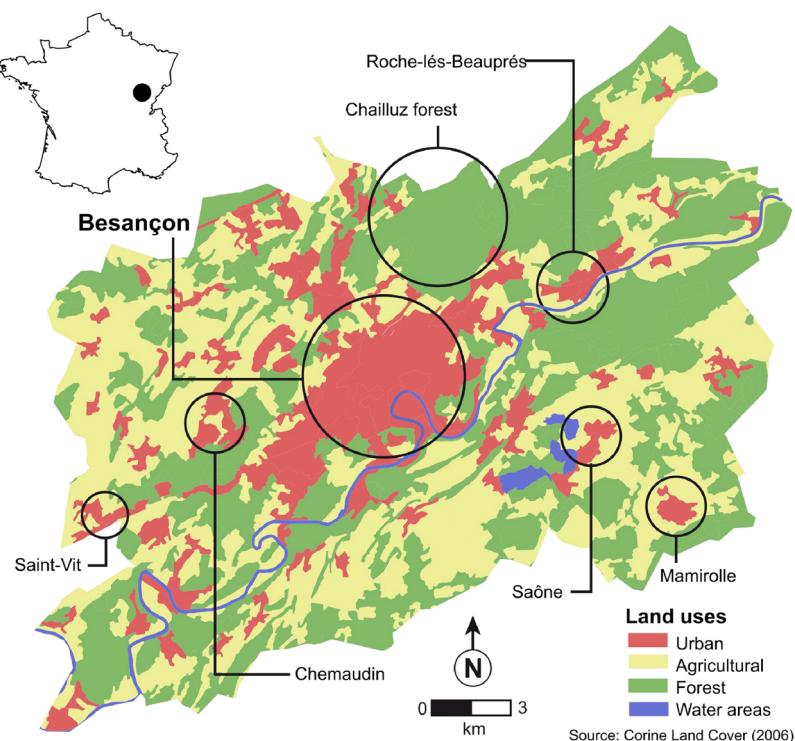


Fig. 1. Study area: land uses in Greater Besan  n.

| Sphere | Code | Name | Description |
|--------------------------------|------|----------------------------|---|
| Economic indicators (ECO) | 1.1 | Travel costs | Calculates the generalized cost (texteuro) from a point to all others (by route) for all transportation modes, and determines the most attractive (less expensive) mode for each different location |
| | 1.2 | Transport performance | Determines the areas fast connected (time-distance) by public transports and "soft modes" (walking and biking) compared with private cars |
| | 1.3. | Jobs availability | Determines the time-distance necessary to reach the 100 nearest jobs in the neighborhood from each place of residence |
| Social indicators (SOC) | 2.1. | Diversity index | Determines the heterogeneity of the population of a place (400m cells), compared with its composition for the whole study area, on the basis of the Shannon index |
| | 2.2 | Segregation index | Determines the proportion of unemployed people in a place (400m cells) according to the unemployment rate of the whole study area |
| | 2.3 | Accessibility to amenities | Evaluates the number of local shops and services available within a 400 m radius |
| Environmental indicators (ENV) | 3.1 | Proximity to green spaces | Evaluates the quality of the landscape in terms of proximity to parks and forests, by calculating the proportion of green spaces in a local neighborhood of 400 m. |
| | 3.2 | Agricultural impacts | Identifies residential spill-over areas (ha) from the proportion of farmland surrounding a built-up zone within a 400 m radius |
| | 3.3 | Atmospheric pollution | Assesses pollution due to road traffic (emission of greenhouse gases (GHG) calculated from the Copert 4 model) |

Fig. 2. Selected indicators.

cells with sides of 400 m. These grid cells allow results to overlap and can be considered as local parts of the entire territory. They can be used to compare local performances for each of the nine indicators. These indicators are derived from MobiSim input data. These inputs were initially based on databases provided by different French public institutions, such as INSEE⁴ and IGN.⁵

3. Methods

As shown in Fig. 3, the methodology proposed for combining indicators is composed of three major steps: (1) evaluation, (2)

aggregation, and (3) the combination of indicators, leading to (4) a prototype decision support system for planning issues. Points (1), (2), and (3) are described in this section. The discussion of the results, in section 5, addresses issues relating to (4).

3.1. Evaluation

The first methodological step is to evaluate the indicators in order to assess how sustainable the territory is. But the nature of the nine indicators selected does not fit in with a simple binary logic characterizing the territory's cells as either "very good" or "very poor". Their evaluation corresponds more to a "gradual logic", in which each assessment depends on a threshold based on the indicator's nature and thematic significance for sustainable planning. To integrate this gradation, we refer to the principles of fuzzy logic (Zadeh, 1965) which

⁴ Institut national de la statistique et des ´ tudes ´ conomiques (www.insee.fr).

⁵ Institut g  ographique national (www.ign.fr).

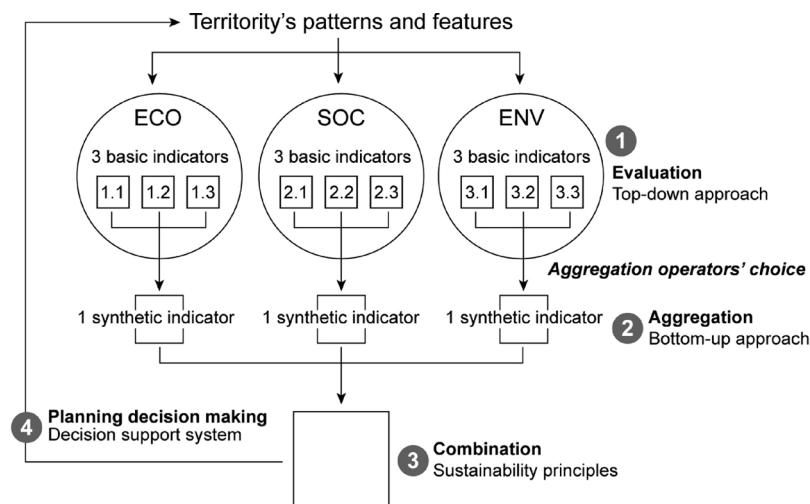


Fig. 3. General methodological framework.

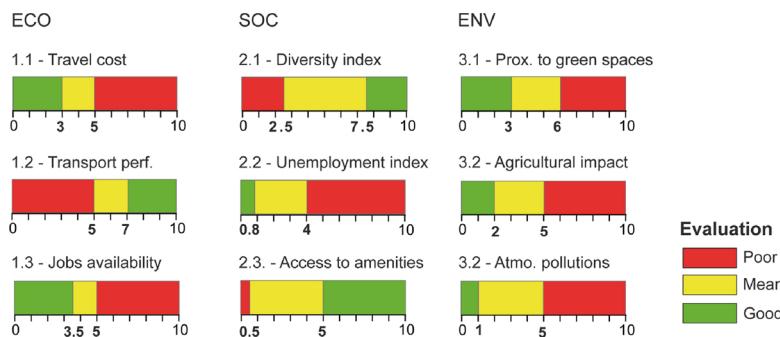


Fig. 4. Thresholds selected for evaluating the basic indicators.

allows for "intermediate situations between everything and nothing" (i.e. "good" or "poor"). From this principle, each element must be associated with a greater or lesser degree of membership of a class within a defined range. In the case of Boolean values, for example, the end points of this range are 0 ("poor") and 1 ("good"). In our case, we choose to standardize all indicator values on a common scale ranging from 0 to 10, where 0 and 10 are very poor or very good and all other values are located somewhere in-between good and poor, according to experts' appraisals of their significance for sustainability issues.

The values retained in the context of this paper are shown in Fig. 4. For each indicator, the diagrams are developed based on an evaluation, presented here theoretically. In the case of a concrete application, this evaluation should be carried out by an expert in the fields covered by the indicators. From expert evaluations, the normalization mentioned above could be carried out on a scale from 0 to 10. For instance, for the indicator of atmospheric pollution, a value approaching 10 would mean a high concentration of pollutants, and therefore a poor performance. Conversely, for the indicator of diversity, a low value tending towards 0 would mean a poor performance. The level of performance could thus be defined by multiple categories; here we choose to use three: good (green), average (yellow), and poor (red). These three categories enable us to use a standard ordinal scale, inspired by methods such as the Likert scale which measures the degree of agreement or disagreement of an individual (very low, low, moderate, high, and very high) (Yigitcanlar & Dur, 2010). Here, the question is to measure how the indicator performs against the expectations of the planning actors. The scale is deliberately simplified and reduced to three categories, in order to make the tool more easily exploitable. The limits of these categories could also be collected from specific and local surveys by experts, stakeholders, and decision-makers to take into account the specificity of the territory. In this way, the evaluation

process can integrate experts' viewpoints and scientific measures of the phenomena, based on their expected impact on territorial sustainability. An example of such impacts is given in Fig. 5 representing each indicator's evaluation within 400 m cells.

At this stage, the combination of different indicators for a comprehensive analysis of the sustainability of the territory remains poorly considered and cannot take into account the complementary character of territorial performances and the decision makers' opinions about good planning and investments practices and strategies. As shown in Fig. 5, the indicators' evaluations form a basic set of results but they remain difficult to read and to handle in an operative way.

3.2. Aggregation

The aggregation of basic indicators into synthetic indicators raises the question of the weight and value of each basic indicator. As already mentioned in Section 1.2., this aggregation step is the subject of much research. Many methods exist, using different approaches, notably synthetic approaches such as AHP or outranking methods such as PROMETHEE or ELECTRE (Rowley, Peters, Lundie, & Moore, 2012). Among these methods, which are complex to implement, a major difference can be found between the possibility of compensating or not for a disadvantage in terms of certain criteria by a great advantage in terms of another criterion (Munda, 2005). The choice to be made here is already subjective and depends on the vision of sustainability of decision-makers (Rowley et al., 2012). Our approach being part of the production of a tool that is easily exploitable by planners, we choose to use the simplest aggregation operators by resorting to arithmetic and geometric means. The three categories "good", "average", and "poor" are normalized with the respective values of 0, 2, and 4 (Fig. 6). A simple method consists in synthesizing the three indicators I using an



Fig. 5. Evaluation map: visualization of the basic indicators.

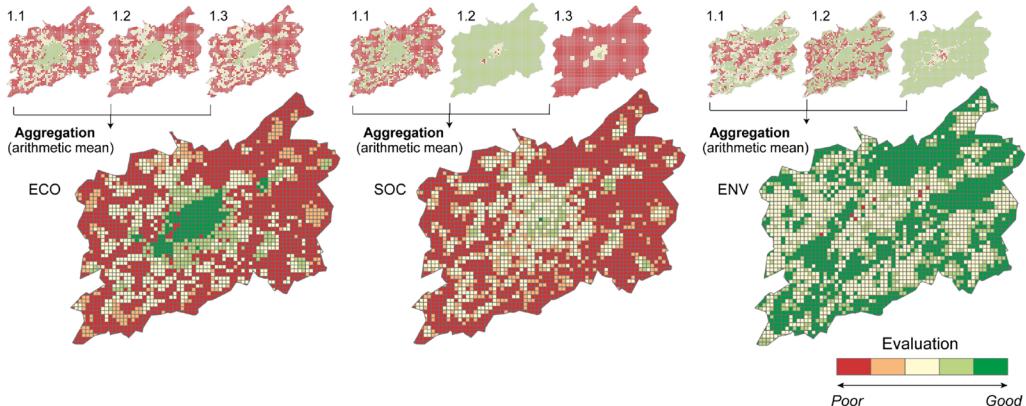


Fig. 6. Aggregation map: visualization of the synthetic indicators.

arithmetic mean (\bar{I}), giving them equal weight with a possibility of reciprocal compensation. In our case, aggregation may then rely on the following operation:

$$\bar{I} = \frac{1}{n} \sum_{i=1}^n I_i = \frac{I_1 + I_2 + I_3}{3} \quad (1)$$

Nevertheless, in some cases, decision-makers can estimate that an evaluation cannot be positive when one indicator at least is negative (e.g. air pollution). It will then be more appropriate to assign an eliminatory value to each basic indicator. These different options lead to the use of different aggregation operators (Tannier, 2000) such as geometric mean (\bar{I}^g) or weighted mean (\bar{I}^w):

$$\bar{I}^g = \sqrt[n]{\prod_{i=1}^n I_i} = \sqrt[3]{I_1, I_2, I_3} \quad (2)$$

$$\bar{I}^w = \frac{\sum_{i=1}^n \alpha_i \cdot I_i}{\sum_{i=1}^n \alpha_i} = \frac{\alpha_1 \cdot I_1 + \alpha_2 \cdot I_2 + \alpha_3 \cdot I_3}{1} \quad (3)$$

In the example above, which is an illustrative case of a general protocol, we use the arithmetic mean (\bar{I}) as an aggregation operator to calculate the results presented in Fig. 6. This figure shows the aggregation of the 3x3 indicators in each sphere (ECO, SOC, ENV) of sustainability. During the discussion (section 5), we will quickly analyze the opportunity of replacing arithmetic mean by geometric or weighted means to improve the eliminatory dimension of the aggregation. Other aggregation methods based on more complex operators than the RMS or OWA operator (Tannier, 2000) could obviously be considered, although their complexity would be a difficulty in terms of decision-makers actually handling this tool.

3.3. Combination

Having designed a synthetic indicator for each of the spheres, the next step is to combine them to assess the overall performance of each part of the territory. Following the main principles of sustainable development that requires a balance between the economic, social, and environmental spheres, we start from the point that each sphere must

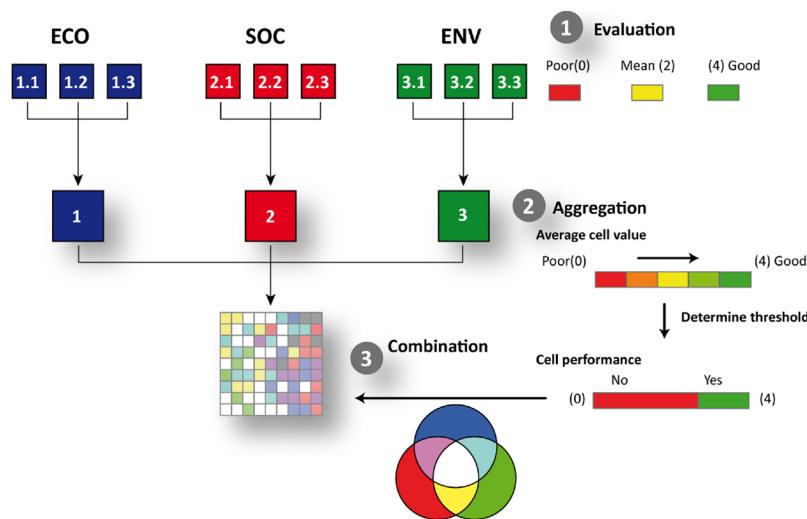


Fig. 7. Methodology of evaluation and aggregation.

have equal weight: $(1/3) + (1/3) + (1/3) = 1$. The purpose is then to identify the performance of different areas, so as to deduce the compensations necessary to achieve a good balance between each sphere, capable of meeting the requirements of sustainable development. From the values of the three synthetic indicators, a threshold can be determined to assess the performance of each cell, as described in Fig. 7. This threshold is established according to stakeholders' opinions and viewpoints, but the cells' performance remains based on the diagram from the Brundtland Report, which identifies different levels of combinations (from a to G) and assumes that the highest level of sustainability (G) is reached when the three synthetic indicators are considered to be "good", that is, when the value of each indicator is between 3 and 4. For example, if a cell is associated with a value greater than 3 for the three indicators, it will be categorized as a "sustainable" (G) cell (white), combining a good performance in all three spheres. If a value greater than 3 is available for the environmental sphere only, it will be categorized as an "environmental" cell (green).

After this combination, each cell is categorized according to its level of sustainability. It should be noted that the method used in the previous stage (Section 3.2.) to aggregate the basic indicators has a direct impact on the categorization of cells after combination: the decision of stakeholders to promote a particular indicator, or to associate eliminatory values with the evaluation, will lead to different results, as will be shown in the discussion.

4. Results

From this methodological approach, we can ultimately map the main results, i.e. the combinations of sustainability of the study area, measured within 400 m cells (Fig. 8). Results show that high performance areas are very rare (0.2% combining more than two spheres) and that most of the cells are only associated with one sustainable criterion (51.83%). The distribution of the cells presents a net imbalance for two of them: the "environmental" category (c) (45%) and the "zero sustainability" category which does not include any combination, i.e. has no sustainable aspect (44%). For other categories, the map shows that category (a) (economic) stands with 5.6%, and that all others represent less than 2%. Maximal sustainability category (G) is the least represented with only seven cells (0.2%). In total, cells combining one sphere or more represent just over half of the total area (55%) and are mainly located in populated districts. Conversely, cells without any sustainable capacity are mostly uninhabited or sparsely populated (rural areas) and are usually remote from green amenities. Focusing on the spatial distribution of the sustainability categories obtained, the

final map (Fig. 8) shows spatial distributions that seem geographically structured into relevant districts of Great Besançon when compared with land use (Fig. 1, Table 1):

- Cells grouped in category (c) (environmental sphere) logically appear to be located in and around woodlands (especially the Forest of Chailluz to the north of the city)
- Periurban centers and villages (e.g. St-Vit, Mamirolle, Franois, Roche-les-Beauprés, etc.) appear more satisfactory in terms of combinations of criteria and can clearly be identified on the map.
- Concerning the central city of Besançon, we observe the emergence of cells corresponding to the *equitable* category (D), combining good economic and social performances.
- On the south side of the city, corresponding to the first forested foothills of the Jura mountains, *viable* cells (E) combine good economic performance and environmental advantages.
- In Besançon, Urban areas bordered by forest usually contain the cells which provide a maximum sustainability (G).
- Other parts of the city of Besançon are usually economically powerful (category (a)) with good access to jobs and efficient transportation networks.
- Category (b) is located almost exclusively in peri-urban spaces and corresponds to cells characterized by good social indicators, but that are less attractive than Besançon in terms of access to jobs and transport networks.
- The working-class district of Besançon (Planoise) has good economic potential due to its geographical position but is at a disadvantage in the social sphere, because of social segregation

These results confirm both intuitive and observed realities of Greater Besançon, and seem to make the method credible. After the aggregation and combination steps, the method leads to the identification and the location of coherent and representative areas. Logically, the cells associated with satisfactory combinations in all three spheres are located in urban areas, near green amenities, but they are very scarce. In the light of this analysis, we can conclude that sustainable areas (comprising one or more spheres) are urban areas with high densities of population and human activities, and which benefit from proximity to green amenities. But such a primary conclusion obviously raises several questions and has its limits.

5. Discussion

The main methodological limitation of the approach obviously

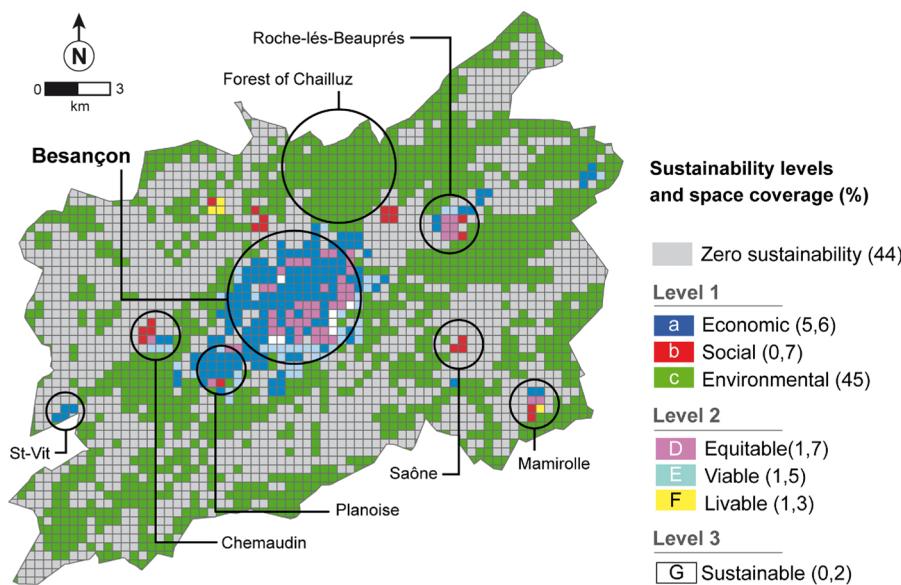


Fig. 8. Final Map: Greater Besançon sustainability levels after combination.

Table 1
Greater Besançon: levels of sustainability.

| Type of combination | Percentage |
|---------------------|------------|
| No combination | 44 |
| One combination | 51.3 |
| a. Economic | 5.6 |
| b. Social | 0.7 |
| c. Environmental | 45 |
| Two combinations | 4.61 |
| D. Equitable | 1.7 |
| E. Viable | 1.5 |
| F. Livable | 1.3 |
| Three combinations | 0.2 |
| G. Sustainable | 0.2 |
| Total combinations | 56 |

concerns the scale of the sustainability analysis. In order to reduce the imbalance between the different categories observed in 3.2, and for the reasons explained in 2.1, it has been chosen to consider space within cells of 400 m. But it is clear that, at this scale, sustainability (Category (G)) cannot be found in every cell and it would be aberrant to implement economic activities, social services, or green spaces everywhere to offset such local "gaps". It is thus self-evident that relevant thinking about compensatory measures must be conducted at the scale of the whole territory in order to ensure the global sustainability outlined in the introduction. It seems obvious that this paper presents a relevant approach for feeding this reflection from local measures of performance, but it also raises two major questions about sustainability principles. First, the scale of analysis must be carefully and cautiously determined to ensure a relevant evaluation of the overall sustainability. While the method was successfully applied to 400 m cells, it can also be used at other scales. As shown in Fig. 9, these other scales highlight different territorial performances depending on the level of analysis, and can lead to different planning decisions and actions. For instance, a transformation at the local level (400 m cells) can change the performance of several cells, which may imply a modification in the performance at the global level (3600 m). In this example, a cell with a good economic performance is transformed into an *equitable* cell, combining good economic and social performances. The decomposition of the study area at different levels can clearly act, then, as a means to make it easier to strike a good balance, but it is also very confusing when it comes to making an unbiased assessment. Consequently, a multi-scalar

approach appears essential. As shown in other research (Yigitcanlar, Dur, & Dizdaroglu, 2015), sustainability must be assessed across different scales. But beyond the question of the scale of analysis and intervention, the problem of the scale of sustainability in itself remains: How will a local development impact its neighborhood? How is a global sustainable policy to be devised by interleaving different levels of intervention (Dur, Yigitcanlar, & Bunker, 2014).

Second, the method directly questions the equality between the three spheres promoted by the Brundtland Report. This equality is fiercely criticized at present by some anti-globalization movements or proponents of de-growth (Brunel, 2004; Latouche, 2006; Sauvé, 2007), who believe that social and economic aspects must be subordinated to environmental protection. Conversely, others may favor an approach in which the economy takes precedence. These different political approaches lead us to consider "strong sustainability" or "low sustainability" (Turner, 1992). In any case, the equivalence between the three spheres is replaced by subordination to the sphere favored by the stakeholder's vision. This subordination, which substitutes for equality, can of course be methodologically integrated by modifying the weight of the three spheres previously considered equal in the evaluation process, but this weighting must be clearly defined before undertaking the method.

Beyond the methodological aspects, these two questions lead to the discussion being centered on the ideological aspects of decision-making and their impact on planning choices related to sustainability. As said above, the concept of "sustainability" can be considered in different ways. Consequently, the choices made to weight each indicator should also make it possible to produce different results by using different operators, as shown in Fig. 11. In this figure, the clearest difference occurs between the geometric mean (see formula (2)) and the arithmetic mean (see formula (1)). Logically, assigning an eliminatory value makes the evaluation much more stringent. In this case, only the spaces corresponding to the urban center perform satisfactorily. Important nuances and real differences can also be seen when a weighted mean is used. We can see that the segregation linked to unemployment will clearly highlight the working-class district of Planoise. Fig. 11 actually provides a concrete example of the importance of the choices of policy-makers and experts. The perception of sustainability and the inevitable associated bias may therefore have a significant impact on decision-making. Possible compensations are somehow already influenced by the decision-maker's initial choices. It therefore seemed important to study the impact of these initial choices on the final result.

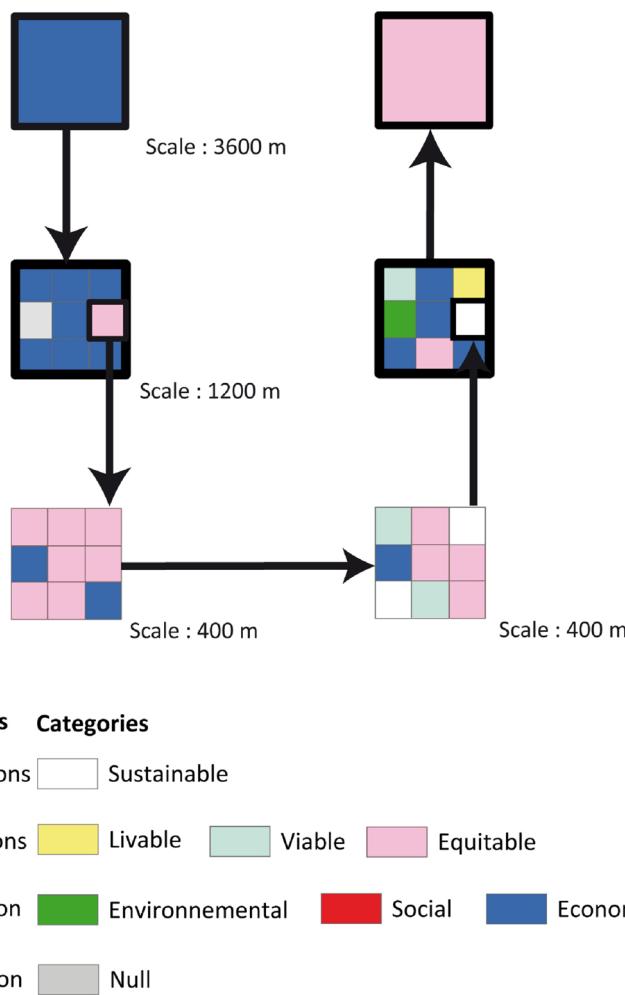


Fig. 9. Scales of evaluation: a theoretical example.

Here we present results obtained from synthetic indicators after the variable weightings presented previously. Of course, there is a multitude of possible results that cannot be represented here. By way of illustration, we have selected three final outcome maps influenced by synthetic indicators designed to favor one of the basic indicators. In the aggregation process, this indicator has a weighting of 50% (the other two of 25%). We present three scenarios here (named intuitively and arbitrarily, therefore questionably, given the preferred indicators) the results of which differ (Fig. 10). In this figure, for each scenario, the basic indicator given precedence in each of the three spheres is weighted up to 50% during the aggregation in the synthetic indicator.

- a “welfare” scenario: promotion of lower cost of travel, good access to urban amenities and proximity to green spaces;
- an “ecologist” scenario: in every sphere, the privileged indicators are those of atmospheric pollution, the performance of public transit, and diversity;
- an “efficiency” scenario: promotion of access to jobs, preservation of agricultural areas and weak segregation linked to unemployment.

The results are shown in Table 2, where the “baseline” scenario corresponds to the final results presented in Section 4. We can see that variations exist but are still limited. The comparison of the number of cells belonging to each category shows a rather limited variation. The number of cells that do not change categories comes to 2209 cells out of 2901 or 78.9%. Variations do occur therefore, but they are limited variations for the whole territory studied. The question, therefore, is

whether to focus more on the issue of aggregation, i.e. on the calibration of the process that we propose. In other words, does the question of weighting affect the final results so much that it becomes the central question of the methodological process? We are tempted to answer negatively.

These choices are obviously not trivial and clearly affect the results; but we assume that the methodology proposed here is capable of managing a wide range of opinions, and that it ultimately provides better answers to fundamental planning questions: How are decisions made and who makes them? Many reflections about these questions are currently being conducted in the literature on sustainable planning issues in order to establish social norms for guiding stakeholders and for making meaningful policy decisions and actions (Voinov et al., 2016). For instance, interviews or survey techniques can help calibrate the method and take into account actors’ opinions about indicator weightings or specific definitions of local sustainability.

6. Conclusion

In conclusion, we provide a prototype of a decision-support tool based on a method that seeks to rely on the complementary character of general (sustainability principles) and local (stakeholders’ opinions) approaches. After normalization and evaluation of various selected indicators, their aggregation is based on a participatory approach and yields synthetic indicators. These synthetic indicators can be very different depending on the chosen aggregation process, showing the importance and the influence of stakeholders’ opinions. Completing the

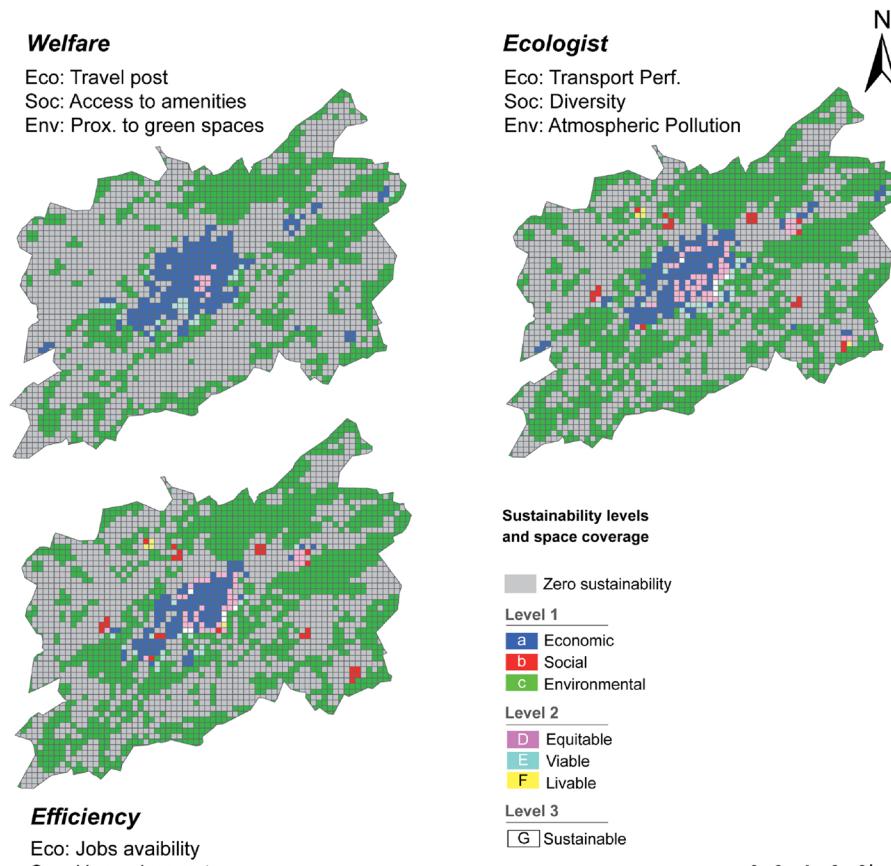


Fig. 10. Variation of the final results according to scenarios favoring different indicators.

results by such a methodological approach could contribute to consolidating the quality of this work (Haider et al., 2018; Ligmann-Zielinska & Jankowski, 2014; Lineker et al., 2017). The combination of synthetic indicators can be displayed as a map evaluating the study area in relation to sustainability requirements. This map produces a new global indicator for evaluating the overall performance of each part of the territory and is the main innovative feature of this work.

But even if the map may be associated with an operational decision-making tool for compensatory measures and policies, in practice it raises the question of the scale and balance of sustainability. In addition, its operability for planning issues requires two approaches to be articulated that may appear contradictory but that we actually view as complementary. The first of these is a general approach that can provide a universal method that is transposable to other situations and

Table 2
Proportion of cells in each category according to the choice of weighting.

| Cat. | Confort | % | Ecolo. | % | Efficace | % | Standard | % |
|------|---------|-------|--------|-------|----------|-------|----------|-------|
| 0 | 1708 | 58.88 | 1308 | 45.09 | 1363 | 46.98 | 1289 | 44.43 |
| 1 | 0 | 0.00 | 5 | 0.17 | 6 | 0.21 | 7 | 0.24 |
| 2 | 0 | 0.00 | 4 | 0.14 | 4 | 0.14 | 4 | 0.14 |
| 3 | 24 | 0.83 | 32 | 1.10 | 17 | 0.59 | 45 | 1.55 |
| 4 | 9 | 0.31 | 53 | 1.83 | 38 | 1.31 | 51 | 1.76 |
| 5 | 933 | 32.16 | 1314 | 45.29 | 1321 | 45.54 | 1318 | 45.43 |
| 6 | 0 | 0.00 | 21 | 0.72 | 27 | 0.93 | 21 | 0.72 |
| 7 | 227 | 7.82 | 164 | 5.65 | 125 | 4.31 | 166 | 5.72 |

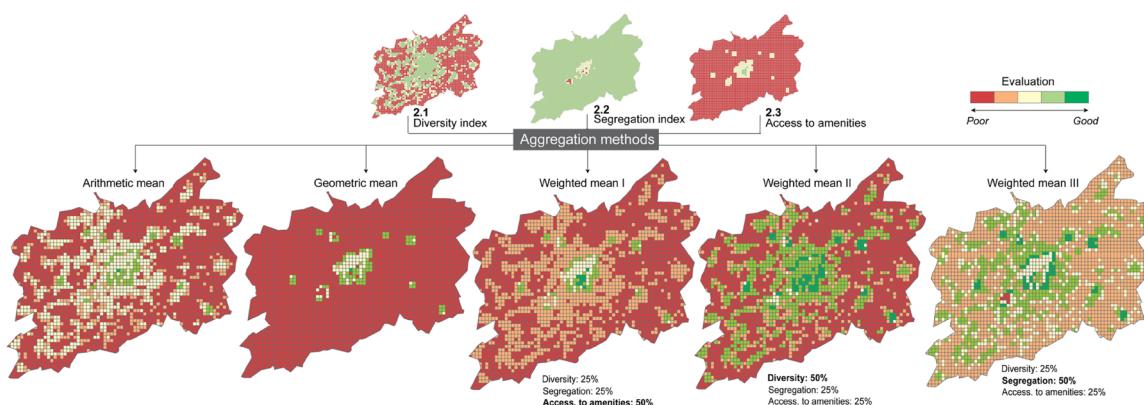


Fig. 11. Examples of weightings for a social synthetic indicator.

leads to “sustainability labels”. Being based on standard indicators it is easily transferable and can be used for comparing territories and different kinds of policies. The second is a territorial and participative approach, based on an evaluation using methods and co-produced tools shared between stakeholders and actors, and adapted to each territory-specific case. Indeed, in the broad objectives of sustainability development (Brundtland, 1987), it is currently common knowledge that sustainability is not to be measured by standardized criteria for every territory, but involves specific characteristics and potentials of each study area (Watson, 2016). To this end, the concept of *metaplanning*, first developed in the 1970's with to promote long-term business strategies (Emshoff, 1978) and recently adapted to urban planning policies (Campagna, 2014), seems to provide a useful framework within which to identify the actors, activities, tools, and methods involved in decisions about planning, in order to organize and optimize decision-making over the long term, and to make it as operative as possible.

Given the complexity of coming up with a unanimous valid definition of sustainable development, there is no question here of proposing a magic formula for definitively evaluating the sustainability of a territory on a strictly scientifically neutral basis (Voinov et al., 2016). The method must merely be thought of as a new protocol for use in planning models, to make their results more readable, to synthesize the multitude of data and indicators they produce, and finally to achieve greater cohesion among stakeholders. The main research perspective arising from our work would be to make a comparison with other methods of evaluating the sustainability of territories, especially those mentioned in Section 1.2 (AHP, ELECTRE, TOPSIS, etc.). In this way it would be possible to compare the application of the different methods to a single territory and to analyze whether these methods could be transposed to other territories.

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