#### CSL7480 Cryptography

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# Lecture 3: Cryptography

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## 3.1 History

There were two area of study -

• Cryptography: designing secure communication schemes

• Cryptoanalysis: attacking above schemes to break it

Nowadays, we study both under Cryptography

### 3.2 Attack Models

The goal of the attacker is to gain some information about plaintext m\* corresponding to a given cipher text c\*. We categorise the attack models based on the resources accessible to the attacker.

- 1. Ciphertext Only
  - The attacker has access to only ciphertext c\*.
- 2. Known Plaintext Attack
  - The attacker has access to some  $(m_i, c_i)$  pairs s.t.  $Enc_k(m_i) = c_i$  and  $c_i \neq c*$ .
- 3. Chosen Plaintext Attack (CPA)
  - The attacker has access to some  $(m_i, c_i)$  pairs s.t.  $Enc_k(m_i) = c_i$  and  $c_i \neq c*$ . The attacker can choose specific  $m_i$  and ask for its corresponding encryption  $c_i$ .
- 4. Chosen Ciphertext Attack (CCA)
  - The attacker has access to some  $(m_i, c_i)$  pairs s.t.  $Enc_k(m_i) = c_i$  and  $c_i \neq c*$ . Here, the attacker can choose specific either  $m_i$  (and ask for the corresponding encryption  $c_i$ ) or  $c_i$ (and ask for the corresponding decryption  $m_i$ ) for each pair.
  - CCA is further divided into two categories:
    - CCA-1: The c\* will be given at the end of after all pair-exchanges and no further query will be allowed.
    - CCA-2: The c\* can be asked by the attacker at any point of time. He/she can query more pairs even after c\* is revealed.

Clearly, the power(resources) of the attacker increases from top to bottom in the list. Our ultimate goal is to design a cryptographic scheme which will be secure under **CCA-2** attack model.

## 3.3 Encryption Scheme Model

- An encryption scheme consists of three spaces:
  - **Key Space** ( $\mathcal{K}$ ): The key k is generated at random using KeyGen() algorithm. It may have some security parameter as input (for eg. length of the key).
  - Message Space (M): Messages come from some distribution; let D be a random variable for sampling the messages from the message space M. Distribution D is known to the adversary. This captures a priori information about the messages.
  - Ciphertext Space (C): The ciphertext c = Enc(k, m) depends on:
    - \* m chosen according to D.
    - \* k chosen randomly (according to KeyGen())
    - \* Enc may also use some randomness

These induce a distribution C over the ciphertexts c.

• For correctness of the scheme, following must hold:  $\forall k \in \mathcal{K}, \forall m \in \mathcal{M}, \ Dec(k, Enc(k, m)) = m.$ 

## 3.4 'Unbreakable Cryptosystem'

- Intuitively, we might want to define perfect security of an encryption scheme as follows: **Given a ciphertext all messages are equally likely**.
- This can be formulated as:

$$\forall m_0, m_1 \in M, c \in C$$

$$Pr[M = m_0|C = c] = Pr[M = m_1|C = c]$$

- The probability here is over the randomness used in the KeyGen() and Enc algorithms and the probability distribution over the message space.
- But this definition has a problem. It might be a priori known that the message  $m_0$  is more likely than  $m_1$ . We do not want 'seeing the ciphertext' to change this information.
- We want the ciphertext to provide **no additional information** about the message.

### 3.4.1 Shannon's Secrecy

A cipher  $(\mathcal{M}, \mathcal{K}, KeyGen, Enc, Dec)$  is Shannon secure w.r.t a distribution D over M if

$$\forall m' \in \mathcal{M}, \forall c \in \mathcal{C}$$

$$Pr[m \leftarrow \mathcal{D} : m = m'] = Pr[k \leftarrow KeyGen() : m = m'|Enc(m, k) = c]$$

where the first probability is taken over  $\mathcal{M}$  chosen according to distribution D, over random keys K chosen in  $\mathcal{K}$ , and over the possible random choices of the (possibly) probabilistic encryption algorithm Enc, while the second probability is taken over  $M \leftarrow D$ .

It is Shannon secure if it is Shannon secure w.r.t all distributions D over  $\mathcal{M}$ .

### 3.4.2 Perfect Security

- Suppose we have two messages:  $m_1, m_2 \in \mathcal{M}$ .
- What is the distribution of ciphertexts for  $m_1$ ?

$$C_1 := \{ Enc(m_1, k) \mid k \leftarrow KeyGen() \}$$

• What is the distribution of ciphertexts for  $m_2$ ?

$$C_2 := \{ Enc(m_2, k) \mid k \leftarrow KeyGen() \}$$

• For perfect secrecy:

 $C_1$  and  $C_2$  must be identical for every pair  $m_1, m_2$ .

 $\Rightarrow$  Ciphertexts are *independent* of the plaintext(s)

**Definition:** A Scheme  $(\mathcal{M}, \mathcal{K}, KeyGen, Enc, Dec)$  is **perfectly secure** if

$$\forall m_1, m_2 \in \mathcal{M}, \forall c \in \mathcal{C}$$

$$Pr[k \leftarrow KeyGen() : Enc(m_1, k) = c] = Pr[k \leftarrow KeyGen() : Enc(m_2, k) = c]$$

where both probabilities are taken over the choice of K in K and over the coin tosses of the (possibly) probabilistic algorithm Enc()

- So much simpler than Shannon Secrecy!
- No mention of distributions, a priori or posteriori.
- Much easier to work with.

#### 3.4.3 Which notion is better?

- We have two definitions: Shannon secrecy and Perfect secrecy.
- Both of them intuitively seem to guarantee great security!
- Is one better than the other?
- If our intuition is right, shouldn't they offer 'same level' of security?

### 3.4.4 Equivalence of Shannon Secrecy and Perfect Secrecy

**Theorem:** A private-key encryption scheme is *perfectly secure* if and only if it is *Shannon secure*.

$$Perfect \ Secrecy \Leftrightarrow Shannon \ Secrecy$$

#### Simplifying Notation

- We drop KeyGen and D when clear from context.
- $Enc_k(m)$  will be shorthand for Enc(k, m).

• For example:

$$-Pr_{m}[...] = Pr[m \leftarrow D : ...]$$

$$-Pr_{k}[...] = Pr[k \leftarrow KeyGen() : ...]$$

$$-Pr_{k,m}[...] = Pr[m \leftarrow D, k \leftarrow KeyGen() : ...]$$

**Proof**: Perfect Secrecy  $\Rightarrow$  Shannon Secrecy

Given:  $\forall (m_1, m_2) \in \mathcal{M} \times \mathcal{M}, \ \forall c \in \mathcal{C}$ :

$$Pr_k[Enc_k(m_1) = c] = Pr_k[Enc_k(m_2) = c]$$

To Show: for every D over  $\mathcal{M}, m' \in \mathcal{M}, c \in \mathcal{C}$ 

$$Pr_{k,m}[m = m' \mid Enc_k(m) = c] = Pr_m[m = m']$$

$$L.H.S = Pr_{k,m}[m = m' \mid Enc_k(m) = c]$$

$$(3.1)$$

$$=\frac{Pr_{k,m}[m=m'\cap Enc_k(m)=c]}{Pr_{k,m}[Enc_k(m)=c]}$$
(3.2)

(3.3)

$$= \frac{Pr_{k,m}[m=m' \cap Enc_k(m')=c]}{Pr_{k,m}[Enc_k(m)=c]} (\because m=m')$$
(3.4)

(3.5)

 $\therefore Pr[m=m']$  is independent of k and  $Pr[Enc_k(m')=c]$  is independent of m

$$= \frac{Pr_{k,m}[m=m'].Pr[Enc_k(m')=c]}{Pr_{k,m}[Enc_k(m)=c]}$$
(3.6)

$$= R.H.S \times \frac{Pr[Enc_k(m') = c]}{Pr_{k,m}[Enc_k(m) = c]}$$
(3.7)

(3.8)

Now, we will show that  $\frac{Pr[Enc_k(m')=c]}{Pr_{k,m}[Enc_k(m)=c]} = 1$ 

The probability that we get a cipher-text c from any message m is the sum of the probabilities of each test in the message set  $\mathcal{M}$  leading to c on encryption using Enc

$$Pr[Enc_k(m') = c] = \sum_{m=m''} Pr_m[m = m''] Pr_k[Enc_k(m'') = c]$$
(3.9)

(3.10)

 $\therefore$  probability of getting ciphertext c is equal for every message in  $\mathcal{M}$ 

$$= \sum_{m=m"} Pr_m[m=m"] Pr_k[Enc_k(m') = c]$$
 (3.11)

$$= Pr_k[Enc_k(m') = c] \sum_{m=m"} Pr_m[m = m"]$$
 (3.12)

$$= Pr_k[Enc_k(m') = c] \times 1 \text{ (QED)}$$
(3.13)

(3.14)

**Proof**: Perfect Secrecy  $\Leftarrow$  Shannon Secrecy

Given: for every D over  $\mathcal{M}, m' \in \mathcal{M}, c \in \mathcal{C}$ 

$$Pr_{k,m}[m = m' \mid Enc_k(m) = c] = Pr_m[m = m']$$

To Show:  $\forall (m_1, m_2) \in \mathcal{M} \times \mathcal{M}, \ \forall c \in \mathcal{C}$ :

$$Pr_k[Enc_k(m_1) = c] = Pr_k[Enc_k(m_2) = c]$$

Now,

$$Pr_{k,m}[m = m_1 \mid Enc_k(m) = c] = \frac{Pr_{k,m}[m = m_1 \cap Enc_k(m) = c]}{Pr_{k,m}[Enc_k(m) = c]}$$
(3.15)

$$= \frac{Pr_{k,m}[m = m_1 \cap Enc_k(m_1) = c]}{Pr_{k,m}[Enc_k(m) = c]} (\because m = m_1)$$
 (3.16)

$$= \frac{Pr_{k,m}[Enc_k(m) = c]}{Pr_{k,m}[Enc_k(m) = c]}$$

$$= \frac{Pr_{k,m}[m = m_1] \cdot Pr[Enc_k(m_1) = c]}{Pr_{k,m}[Enc_k(m) = c]}$$
(3.17)

(3.18)

$$Pr_{k,m}[m = m_1 \mid Enc_k(m) = c] = Pr_{k,m}[m = m']$$
 (3.19)

$$\frac{Pr_{k,m}[m=m_1].Pr[Enc_k(m_1)=c]}{Pr_{k,m}[Enc_k(m)=c]} = Pr_{k,m}[m=m']$$
(3.20)

$$Pr[Enc_k(m_1) = c] = Pr_{k,m}[Enc_k(m) = c]$$
 (3.21)

$$Pr[Enc_k(m_1) = c] = Pr_{k,m}[Enc_k(m) = c]$$
 (3.22)

Similarly, 
$$Pr[Enc_k(m_2) = c] = Pr_{k,m}[Enc_k(m) = c]$$
 (3.23)

$$\therefore Pr[Enc_k(m_1) = c] = Pr[Enc_k(m_2) = c] \ \forall m_1, m_2 \in \mathcal{M} \ (QED)$$

### 3.4.5 Perfect Security: Key Size Requirement

**Theorem**: For Perfect Security,  $|\mathcal{K}| \ge |\mathcal{M}|$  must hold. **Proof by Contradiction** 

- Assume that there is a perfectly secure cipher with  $|\mathcal{K}| < |\mathcal{M}|$ .
- Choose any random  $m_1 \in \mathcal{M}, k \in \mathcal{K}$  and let  $c = Enc_k(m)$ .
- Now let  $M = \{Dec'_k(c)\}$  for all possible keys k'
- Clearly,  $|M| \leq |\mathcal{K}|$
- Since  $|\mathcal{K}| < |\mathcal{M}|$ , this means  $\exists m_2 \notin M$ .
- Hence,  $Pr[Enc_k(m_2) = c] = 0$
- But,  $Pr[Enc_k(m_1) = c] > 0$
- Note: The above probability will be 1 for a deterministic encryption scheme.
- There exist  $m_1, m_2, c$  s.t.  $Pr[Enc_k(m_1) = c] \neq Pr[Enc_k(m_2) = c]$ .
- Contradiction.

## 3.4.6 One Time Pad: A perfect secure scheme

- let n be an integer = length of plaintext messages.
- Message space  $\mathcal{M} := \{0,1\}^n$  (bit-strings of length n)
- Key space  $\mathcal{K} := \{0,1\}^n$  (keys too are length n bit-strings)
- The key is as long as the message. A random key is used **only once**.
- The Encryption Scheme:
  - KeyGen(): samples a key uniformly at random  $k \leftarrow \{0,1\}^n \Rightarrow Pr_k[k=k'] = 2^{-n}$
  - $Enc(m, k) = m \oplus k$  (bit-by-bit xor) Let  $m = m_1 m_2 ... m_n$  and  $k = k_1 k_2 ... k_n$ ; Output  $c = c_1 c_2 ... c_n$  where  $c_i = m_i \oplus k_i \forall i \in [n]$
  - $Dec(c, k) = c \oplus k.$
  - Return m where  $m_i = c_i \oplus k_i \forall i$

```
ENCRYPT

0 0 1 1 0 1 0 1 Plaintext
11 1 0 0 0 1 1 Secret Key
= 11 0 1 0 1 1 0 Ciphertext

DECRYPT

1 1 0 1 0 1 1 0 Ciphertext
1 1 1 0 0 0 1 1 Secret Key
= 0 0 1 1 0 1 0 1 Plaintext
```

**Theorem**: One Time Pad is a perfectly secure private-key encryption scheme. **Proof** 

Fix  $m \in \{0, 1\}^n$  and  $c \in \{0, 1\}^n$ .

$$Pr_k[Enc(m) = c] = Pr[m \oplus k = c]$$
 (3.24)

$$= Pr[k = m \oplus c] = 2^{-n} \tag{3.25}$$

(3.26)

$$\Rightarrow \forall (m_1, m_2) \in \{0, 1\}^{n \times n}, \forall c : \\ Pr[Enc_k(m_1) = c] = Pr_k[Enc_k(m_2) = c] = 2^{-n} \text{ (QED)}$$

The One Time Pad (OTP) scheme is also known as the Vernam Cipher.

## References

- $\bullet \ https://www.ics.uci.edu/{\sim} stasio/fall04/lect1.pdf$
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