

Geoherb identification based on spectroscopic profiling data and NNRW

Test Subjects

Radix astragali (Astragalus Root; Chinese: huang-qi) is a medicinal herb usually used in traditional Chinese medicine for the treatment of conditions such as diabetes and cardiovascular diseases

Data set summary:

Y labels: ["内蒙黄芪","四川黄芪","山西黄芪","甘肃黄芪"]

7044.txt - Raman

X meaning: Raman shift / wave number

 $X \text{ range: } 100 \sim 4278 \text{ cm-1}$

Resolution: 2cm-1

7143.txt - UV

X meaning: wave length
X range: 200 ~ 800 nm

Resolution: 1 nm

the origins of these samples were the Shanxi, Neimenggu, Sichuan, and Gansu provinces of China. The Raman spectrum of each sample was collected by a portable laser Raman spectrometer ProttezRaman-D3 (Enwave Optronics, USA). The excitation wavelength of the laser was 785 nm and the spectral measurements were conducted with an exposure time of 5 s and a laser power of 450 mW. The ultraviolet-visible absorption spectrum of each sample was recorded from a T6 New century ultraviolet-visible spectrometer (Purkinje General Instrument Company Limited, Beijing, China). Sample preparation. Radix astragali samples were added into a high speed multifunction grinder for processing for 5–10 min at 25000 rpm. 3 g of the obtained powder sample was added into 30 mL of ethanol solution, and then the mixture solution was stirred at 100°C for 60 min under reflux condition. Finally, the treated sample was cooled naturally and filtered.

Install library

```
pip install pyNNRW==0.0.3
```

```
In [15]: from pyNNRW.pyNNRW import *
```

2D scatter plot

Visualize feature importance

UV (160, 602)

```
In [17]: %run feature_importance.py
```

Load Data

```
In [4]: import os
    import numpy as np
    import pandas as pd
    import matplotlib.pyplot as plt
    %matplotlib inline

    FILES = {}
    FILES['RAMAN'] = '7044X_RAMAN.csv'
    FILES['UV'] = '7143X_UV.csv'

In [5]: DS = {}
    for key in FILES:
        DS[key] = pd.read_csv("ds2/" + FILES[key])
        print(key, DS[key].shape)

RAMAN (160, 2091)
```

Preprocessing

Feature Scaling

Coefficients from LASSO or ElasticNet depend on the magnitude of each variable. It is therefore necessary to rescale, or standardize, the variables.

The result of centering the variables means that there is no longer an intercept.

Without feature scaling, the feature selection result can be quite different!

Feature selection via ElasticNet

- 1. Reduce dimension and remove unrelavent features for the classification problem
- 2. reduce the overfitting risk of successive classification model. Improve the generalization
- 3. By selecting only a few bunch of features, easier to interpret its chemcial meansings. i.e. gain a better understanding of the features and easy to notice the co-ocurrance of multiple variables (important for Raman, as one bond or molecule have muliptle peaks).

Highly recommendated for Raman, as well as other high-dimensional physio-chemical spectroscopic data, such as MALDI-TOF.

Feature Selection for Multi-Class

[Evaluating Feature Selection Methods for Multi-Label Text Classification, by Newton Spolaˆor1 and Grigorios Tsoumakas2]

"Rank features according to the average or the maximum Chi-squared score across all labels, led to most of the best classifiers while using less features."

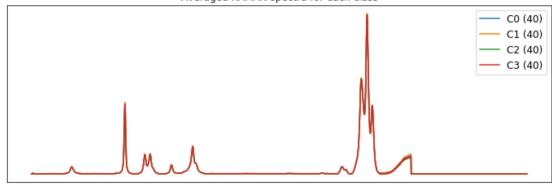
Data fusion by concatenating selected feature vectors

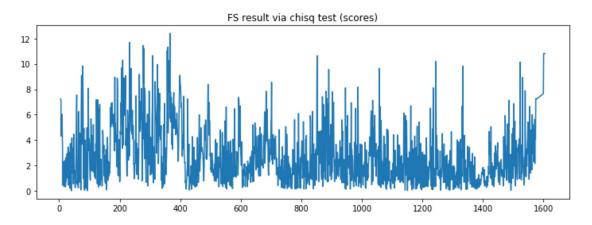
Use hstack to get the combined feature vector Xcmb

```
In [6]: dict metrics = {}
       XNAMES = []
       XFSNAMES = []
       Xcmb = None # concatenated raw feature vector
       ycmb = None
       XFS = None # concatenated selected feature vector
       for i, key in enumerate(DS):
          print('########", key, '########")
          ds = DS[key]
          # print(ds.describe())
          cols = ds.shape[1]
          # convert from pandas dataframe to numpy matrices
          X = np.matrix(ds.iloc[:,1:cols].values)
          y = np.array(ds.iloc[:,0].values.ravel(), dtype='int') # first c
       ol is y label
          ys = list(map(str, y))
          X names = list(ds.columns.values[1:])
           ###### Plot Averaged Waveform #####
           plt.figure(figsize = (12,4))
          for c in set(y):
             XC = X[Y == C]
              \lambda c = \lambda[\lambda == c]
              plt.plot(X_names, np.mean(Xc,axis=0).T, label= 'C' + str(c)
       + ' (' + str(len(yc)) + ')')
              plt.legend(fontsize=12)
              cur axes = plt.gca()
              cur axes.axes.get xaxis().set visible(False) #.set ticklabel
       s([])
              cur axes.axes.get yaxis().set visible(False) #.set ticklabel
       s([])
          plt.title(u'Averaged ' + key + ' spectra for each class')
          # plt.title(key, fontsize = 18)
          plt.show()
           ###### Preprocessing - Scaling #####
           # ! Required for feature selection (compare coefficient)
           from sklearn.preprocessing import StandardScaler, MinMaxScaler
          scaler = StandardScaler()
          scaler.fit(X)
          X scaled = scaler.transform(X)
```

```
###### Feature Selection. ElasticNet #####
   from sklearn.linear model import ElasticNetCV
   from sklearn.feature selection import chi2, SelectKBest
   mm = MinMaxScaler()
   X mm = mm.fit transform(X)
   selector = SelectKBest(chi2, k='all')
   selector.fit(X mm, ys)
   plt.figure(figsize = (12,4))
   plt.title("FS result via chisq test (scores)")
   plt.plot(selector.scores )
   plt.show()
   elastic net = ElasticNetCV(cv = 5, tol = 0.005)
                             , alphas = [0.1])
                             \# , 11 ratio = 1) \# if we want more s
parse result
   elastic net.fit(X scaled, ys) # NOTE: pass ys not y, to guarantee
it is treated as multi-class, not regression
   N = np.count nonzero(elastic net.coef)
   biggest elastic_net_fs = (np.argsort(np.abs(elastic_net.coef
))[-N:])[::-1] # take last N item indices and reverse (ord desc)
   xfs = X scaled[:,biggest elastic net fs[0:N]] # 前N个系数 non-zer
   plot feature importance(np.abs(elastic net.coef ), key + " featu
res")
   XNAMES = XNAMES + ([key + ' ' + s for s in X_names])
   xfsnames = np.array(X names)[biggest elastic net fs]
   if (i == 0):
       Xcmb = X
       ycmb = y
       XFS = xfs
       Xcmb = np.hstack((Xcmb, X))
       assert np.allclose(ycmb, y)
       XFS = np.hstack((XFS, xfs))
   XFSNAMES = XFSNAMES + ([key + ' ' + s for s in xfsnames])
   print("Selected Features (N =", str(N), "): ", xfsnames)
```



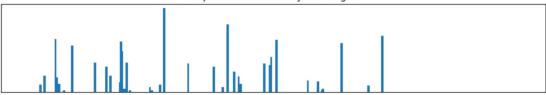








RAMAN features importance marked by bar height



Selected Features (N = 48): ['1264' '1804' '3112' '344' '2216' '900' '2768' '486' '1262' '898' '904'

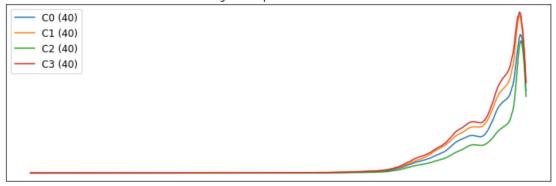
'2172' '678' '946' '2112' '1468' '896' '2162' '774' '1686' '1858' '902'

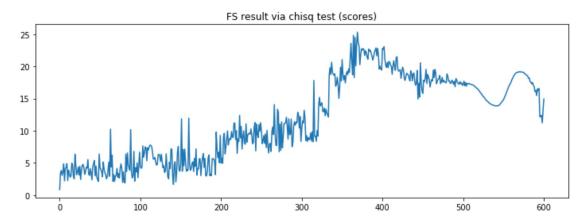
'808' '252' '1896' '358' '346' '2482' '2568' '894' '376' '1916' '216'

'1232' '2998' '1144' '1760' '930' '2612' '418' '978' '2600' '484' '1162'

'258' '906' '1762' '1970']

Averaged UV spectra for each class

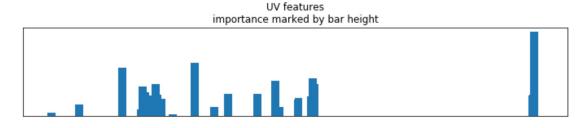




C:\Users\eleve\Documents\codex\py\machine learning\source\18. pyNN RW\feature_importance.py:20: UserWarning: Attempting to set identi cal left == right == -0.5 results in singular transformations; aut omatically expanding.

s = ax.matshow(arr.reshape(1,-1), cmap=plt.cm.Blues)





```
Selected Features (N = 32): ['794' '376' '287' '522' '475' '328' '524' '312' '315' '453' '417' '330' '793' '792' '523' '319' '520' '504' '335' '503' '318' '234' '480' '400' '310' '334' '327' '325' '200' '349' '333' '329']
```

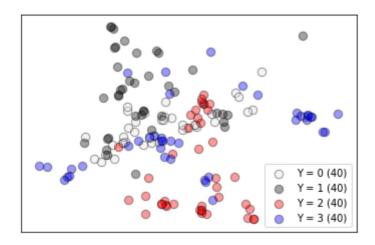
The reason we use ElasticNet:

- 1. elastic net uses L1 regularization, which can produce high level of sparsity. Other FS methods usually produce dense result (e.g., the chisq FS result, as shown above).
- 2. elastic net combines both L1 and L2 regularization. It is more versatile and flexible than the pure L1 LASSO.

原始拼接向量 concatenated raw feature vector

```
In [7]: Xcmb.shape, ycmb.shape, len(XNAMES)
Out[7]: ((160, 2691), (160,), 2691)
In [8]: N = Xcmb.shape[1]
    from sklearn.decomposition import PCA
        Xcmb_pca = PCA(n_components = min(2, N)).fit_transform(Xcmb)
        plotComponents2D(Xcmb_pca, ycmb, set(y), ax = None)
    plt.legend()
```

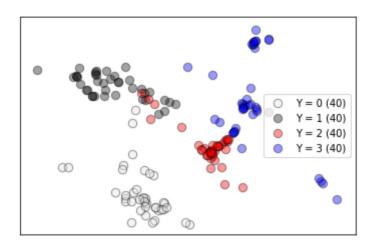
Out[8]: <matplotlib.legend.Legend at 0x1c429a32888>



关键特征的拼接向量 concatenated selected feature vector

```
In [9]: N = XFS.shape[1]
    from sklearn.decomposition import PCA
    XFS_pca = PCA(n_components = min(2, N)).fit_transform(XFS)
    plotComponents2D(XFS_pca, ycmb, set(y), ax = None)
    plt.legend()
```

Out[9]: <matplotlib.legend.Legend at 0x1c4315dca08>



Persist the intermediate objects

dic = {} dic['Xcmb'] = Xcmb dic['Xcmb_names'] = XNAMES dic['Xfs'] = XFS dic['Xfs_names'] = XFSNAMES dic['y'] = ycmb import pickle with open('fusion_data.pkl', 'wb') as f: pickle.dump(dic, f)

直接加载预处理后的数据

Performance comparison. Define a wrapper (use callback function parameter), which calls each specific classifier

Due to inherent randomness (e.g., random initialization), some algorithms (e.g., NNRW, MLP) have slightly different results for each run.

A. NNRW on the combined feature

Refer to: py/keras/1. ANN/ELM.ipynb

```
In [15]: MTacc, MVacc, MT = PerformenceTests(ELMClf, XFS, ycmb, Ls = list(ran
          ge(1, 60))
          C:\Users\eleve\Documents\codex\py\machine learning\source\18. NNR
          W\ELM.py:96: RuntimeWarning: invalid value encountered in long sca
            precision.append(tp / tp_fp)
           1.0
                 train acc
                 val acc
           0.8
           0.7
           0.6
           0.5
           0.4
           0.3
           0.2
                                                30
                          10
                                     20
                train/fit time (ms)
           1.4
           1.2
           1.0
           0.8
           0.6
           0.4
           0.2
                          10
                                                                                  60
                                     20
                                                30
                                                           40
                                                                       50
In [16]:
         IDX = 30
          print('Mean Train Acc = ', MTacc[IDX], ' Mean Test Acc = ', MVacc[ID
          X], ' Mean Consumed Time = ', MT[IDX])
          Mean Train Acc = 0.996484375 Mean Test Acc = 0.9578125 Mean Co
```

NNRW flavor 2: RVFL MTacc, MVacc, MT = PerformenceTests(RVFLClf, XFS, ycmb, Ls = list(range(1,10))) # use more time but has slightly higher acc than ELM

B. MLP

nsumed Time = 0.69869

```
In [21]: | MTacc, MVacc, MT = PerformenceTests(MLPClf, XFS, ycmb, Ls = list(ran
          ge(1, 80, 2)))
           1.0
           0.9
           0.8
           0.7
           0.6
           0.5
           0.4
           0.3
                                                                              train acc
                                                                               val acc
           0.2
               - train/fit time (ms)
           80
           70
           60
           50
           40
                                        30
                                20
                                                                                  80
In [22]: IDX = 20
          print('Mean Train Acc = ', MTacc[IDX], ' Mean Test Acc = ', MVacc[ID
          X], ' Mean Consumed Time = ', MT[IDX])
          Mean Train Acc = 0.98828125 Mean Test Acc = 0.984375 Mean Cons
          umed Time = 64.77451500000001
```

C. rbf-SVM

```
In [23]: | MTacc, MVacc, MT = PerformenceTests(SVMClf, XFS, ycmb, Ls = np.linsp
           ace(0.0001,0.0005,20).tolist())
           print("gamma = 1/(2\sigma^2)")
            1.0
                   train acc
                   val acc
            0.9
            0.8
            0.7
            0.6
                0.00010
                         0.00015
                                  0.00020
                                           0.00025
                                                    0.00030
                                                             0.00035
                                                                      0.00040
                                                                               0.00045
                                                                                        0.00050
            7.4
                                                                               --- train/fit time (ms)
            7.2
            7.0
            6.8
            6.6
            6.4
            6.2
                0.00010
                         0.00015
                                  0.00020
                                           0.00025
                                                    0.00030
                                                             0.00035
                                                                      0.00040
                                                                               0.00045
                                                                                        0.00050
           gamma = 1/(2\sigma^2)
In [24]: IDX = 10
           print('Mean Train Acc = ', MTacc[IDX], ' Mean Test Acc = ', MVacc[ID
           X], ' Mean Consumed Time = ', MT[IDX])
           Mean Train Acc = 0.9875 Mean Test Acc = 0.978125 Mean Consumed
           Time = 6.752005000000001
```

D. Decision Tree

```
In [25]: MTacc, MVacc, MT = PerformenceTests(TreeClf, XFS, ys, Ls = list(rang
          e(1,10))) # here we use ys to gurantee it is multi-class, not regres
          sion
          1.0 -
                train acc
                val acc
          0.9
          0.8
          0.7
          0.6
              train/fit time (ms)
          4.0
          3.5
          3.0
          2.5
In [26]: | IDX = 2  # best depth 3
          print('Mean Train Acc = ', MTacc[IDX], ' Mean Test Acc = ', MVacc[ID
          X], ' Mean Consumed Time = ', MT[IDX])
         Mean Train Acc = 0.9953125 Mean Test Acc = 0.940625 Mean Consu
```

Appendix

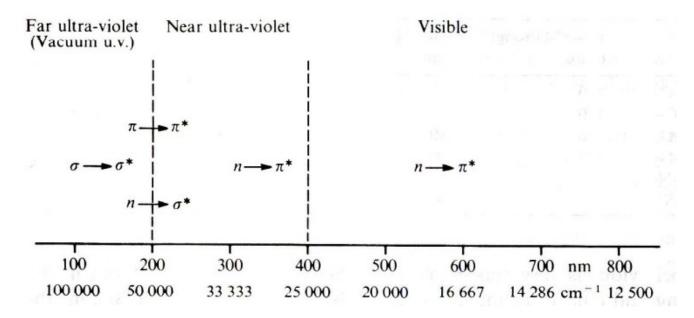
med Time = 4.25175

Raman Interpretation

| Wavenumber (cm-1) | Raman Shift Interpretation |
|----------------------|---|
| 1748 | C=O伸缩振动,主要可能源自于脂肪有关的酯基 |
| 1663 | C=O伸缩振动和C=C伸缩振动,其中C=O伸缩振动可能主要源自于蛋白质的酰胺l键,C=C伸缩振动 主要源自于不饱和脂肪酸 |
| 1465 | CH2变形振动,可能主要源自于糖类和脂肪分子 |
| 1337 | 糖类的C-H变形振动、C-O伸缩振动或/和游离胆固醇的C-C伸缩振动 |
| 1304/1260 | 糖类以及饱和脂肪酸的CH2扭曲振动 |
| 1130 | 饱和脂肪酸的C-C伸缩振动或/和糖类的C-C伸缩振动、C-O伸缩振动以及C-O-H变形振动 |
| 1080 | 游离胆固醇的C-C伸缩振动或/和糖类的C-C伸缩振动、C-O伸缩振动以及C-O-H变形振动 |
| 930 | 胆固醇或/和糖类的C-O-C变形振动、C-O-H变形振动和C-O伸缩振动 |
| 862 | 糖类的C-C-H变形振动和C-O-C变形振动 |
| 777 | C-C-O变形振动 |
| 719 | C-S伸缩振动 |
| 652 | C-C-O变形振动 |
| 591/573 | C-C-C变形振动、C-O扭曲振动 |
| 518 | 葡萄糖 |
| 484/427/363 | C-C-C变形振动、C-O扭曲振动 |

 $[\]begin{tabular}{ll} \hline \tt Perform} & Reference (Raman Bands) (\sim/Assets/Raman bands.pdf) \\ \hline \end{tabular}$

UV Interpretation



| Absorption Peak | Molar Absorption Coefficient |
|-----------------|---|
| 180nm | 10000 |
| 217nm | 21000 |
| 328nm | 51000 |
| 450nm | 140000 |
| 055 | 400 |
| 255nm | 180 |
| 286nm | 360 |
| 375nm | 7100 |
| 477nm | 110000 |
| | 180nm 217nm 328nm 450nm 255nm 286nm 375nm |

^{*} chromophore: the part of a molecule responsible for its colour. The colour is caused when a molecule absorbs certain wavelengths of visible light. It transmits or reflects only other wavelengths, which causes the colour we see

Reference (Visible and Ultraviolet Spectroscopy) (https://www2.chemistry.msu.edu/faculty/reusch/VirtTxtJml/Spectrpy/UV-Vis/spectrum.htm)

Data Science Package Version Info

```
In [27]: import sklearn
import numpy
import pandas
import matplotlib
import time

print("numpy " + numpy.__version__)
print("pandas " + pandas.__version__)
print("matplotlib " + matplotlib.__version__)

print("NNRW: self-implementation")
print("MLP: self-implementation")
print("SVM: sklearn " + sklearn.__version__)
print("DTC: sklearn " + sklearn.__version__)

numpy 1.18.1
pandas 1.0.1
matplotlib 3.1.3
```

matplotlib 3.1.3 NNRW: self-implementation MLP: self-implementation SVM: sklearn 0.22.1 DTC: sklearn 0.22.1