Barriers asm/barrier.h Compiler barrier barrier() Full system (I/O) memory barrier mb() rmb() \hookrightarrow reads only wmb() \hookrightarrow writes only smp_mb() SMP (conditional) memory barrier \hookrightarrow reads only smp_rmb() \hookrightarrow writes only smp_wmb() smp_store_mb(v, val) Write val to v; then full memory barrier smp_load_acquire() Order preceding accesses against following read smp_store_release() Order following accesses against preceding write smp_mb__before_atomic() Order preceding accesses against atomic op. smp_mb__after_atomic() Order following accesses against atomic op. Barriers must always be *paired* to be effective, although some operations (e.g. acquiring a lock) contain memory barriers implicitly.

Atomic operations

READ ONCE(x) Emit single instruction to load x WRITE_ONCE(x, val) Emit single instruction to store x

asm/atomic.h Atomic 32-bit (signed) integer type atomic t atomic_read(v) Read from v atomic_set(v, i) Write i to v atomic_inc(v) Increment by 1 atomic_inc_not_zero(v) \hookrightarrow if the original value $\neq 0$ Decrement by 1 atomic dec (v) atomic_dec_and_test(v) \hookrightarrow return true if the new value = 0 Add i to (and write to) v atomic add(1(i, v) atomic_add_return*(i, v) \hookrightarrow return the new value \hookrightarrow return the old value atomic fetch add*(i, v) $atomic_add_unless(v, i, u) \hookrightarrow unless the existing value is u$ atomic sub(i, v) Subtract i from (and write to) v atomic_sub_and_test() \hookrightarrow return true if the new value is 0 atomic_and((i, v) v &= i: atomic_andnot(1, v) v &= ~i: atomic or (i, v) v |= i: atomic_xor(1, v) v ^= i; atomic_xchg(v, n) Swap v and n; return original value atomic_cmpxchg(v, o, n) \hookrightarrow if the original value = \circ atomic try cmpxchg $(v, \&o, n) \hookrightarrow$ return true if swapped Variants: 1 relaxed unordered **0**_acquire read is ordered against subsequent reads ①_release write is ordered against preceding writes

asm/atomic-long.h

atomic_long_t Atomic 64-bit (signed) integer type

Overflow/underflow is defined as two's complement.

Operations are the same as for atomic_t, i.e. atomic_inc() becomes atomic_long_inc().

Reference counters

linux/refcount.h Atomic reference count type

refcount t $r = REFCOUNT_INIT(n)$ Initialize r refcount read(r) Read from r refcount_set(r, i) Write i to r refcount_inc()(r) Increment r by 1 refcount_add(1, r) Add i to r refcount_dec2(r) Decrement r by 1 \hookrightarrow return true if new value is 0 refcount dec and test(r) refcount_dec_and_lock(r, mut) \hookrightarrow lock mutex if new value is 0 refcount_dec_and_lock_irqsave(r, spin, flags) refcount_dec_and_mutex_lock(r, spin) \hookrightarrow lock mutex if new value is 0 refcount sub and test(i, r) Variants:

0_not_zero only if the original value is not 0 2_not_one only if the original value is not 1 2_if_one only if the original value is 1 2 and test return true if the new value is 0

Spinlocks

asm/rwonce.h

asm/spinlock.h

Spinlock type spinlock_t DEFINE SPINLOCK() Variable definition Initialize spinlock spin lock init() spin_is_locked() Return true if spinlock is held (by any CPU) Try to acquire spinlock without spinning; spin_trylock() A returns true if spinlock was acquired Acquire spinlock; busy-looping spin_lock() spin_unlock2() Release spinlock Variants: **10**2_bh

Disable soft-IROs while locked 12
ira Disable interrupts while locked 0_irgsave Conditionally disable interrupts if enabled 2 irgrestore Conditionally reenable interrupts if originally enabled In general, the variants must be paired, e.g. spin_lock_bh() with spin_unlock_bh() or spin_lock_irqsave() with spin_unlock_irgrestore().

linux/rwlock.h

rwlock t Reader-writer spinlock type Variable definition DEFINE RWLOCK() rwlock_init Initialize read_trylock() → see spin_trylock() read lock() → see spin_lock() read unlock2() \rightarrow see spin_unlock() write_trylock() → see spin_trylock() write_lock() → see spin_lock() write_unlock $() \rightarrow see spin_unlock()$ **Variants:** ↑ see spinlocks.

The lock can be held by either a single writer or multiple readers.

Mutexes (sleeping)

linux/mutex.h

```
struct mutex
                        Mutex type
DEF INE_MUTEX(name)
                       Variable definition
mutex_init(mut)
                        Initialize mut
mutex_is_locked(mut) True when mut is locked (by any thread)
mutex_trylock(mut)
                       Try to acquire mut without sleeping;
                        A returns true if mutex was acquired
mutex lock 123 (mut) Acquire mut: sleeping
                       Release mut (may schedule)
mutex_unlock(mut)
Variants:
• interruptible Return - EINTR if a signal arrives
0_killable
                    Return - EINTR if killed
2 io3
                    Account sleeping time as IO wait time
3_nested(mut, c) Used when acquiring two mutexes of the same class;
                    c is a nesting level/class
```

Semaphores (sleeping)

linux/semaphore.h

-		
struct semaphore	Semaphore type	
<pre>DEF INE_SEMAPHORE(name)</pre>	Variable definition	
sema_init(sem, val)	Initialize	
down_trylock(sem)	Try to acquire sem without sleeping; ▲ returns 0 if semaphore was acquired	
down <mark>•</mark> 20(sem)	Acquire sem; sleeping	-
up(sem)	Release sem	
Variants: ↑ see mutexes;		
timeout(sem, timeout) Return if timeout expire	es

Mutexes cannot be held, acquired, or released in atomic contexts.

linux/rwsem.h

Reader-writer semaphore type struct rw_semaphore DECLARE_RWSEM(name) Variable definition init_rwsem(sem) Initialize rwsem_is_locked(sem) Return true if sem is locked down_read_trylock(sem) → see down_trylock() down_read (sem) \rightarrow see down() up read(sem) \rightarrow see up() down_write_trylock(sem) → see down_trylock() down write (sem) \rightarrow see down() up_write(sem) \rightarrow see up() Variants: ↑ see mutexes.

Linux kernel concurrency cheat sheet

The lock can be held by either a single writer or multiple readers.

Interrupts & preemption

linux/irqflags.h

```
Unconditionally disable interrupts
local irg disable()
                            Unconditionally enable interrupts
local_irq_enable()
                            Conditionally disable interrupts
local_irq_save(flags)
local_irq_restore(flags) Conditionally reenable interrupts
                            True when interrupts are disabled
irgs disabled()
```

Interrupt handlers run with interrupts disabled, are non-preemptible, and are atomic (cannot sleep).

Disabling interrupts implicitly disables soft-IROs. Disabling interrupts implicitly disables preemption.

linux/bottom_half.h

```
local_bh_disable() Disable soft-IRQs (on this CPU)
local bh enable() Enable soft-IROs (on this CPU)
local_bh_blocked() True when soft-IROs are disabled (on this CPU)
```

Soft-IRQs (also known as bottom halves or bh) run with interrupts enabled.

linux/preempt.h

in_nmi()	True when in NMI context	
in_hardirq()	True when in interrupt context	
in_serving_softirq()	True when in soft-IRQ context	
in_task()	True when in task context	
in_atomic()	True when the caller cannot sleep	
	(A with exceptions)	
preemptible()	True when in preemptible context	
preempt_disable()	Disable preemption (nested)	
<pre>preempt_enable()</pre>	Enable preemption (nested)	
in_irq() (deprecated)	Same as in_hardirq()	
in_softirq() (deprecated)	True when in soft-IRQ or soft-IRQ disable	
<pre>in_interrupt() (deprecated)</pre>	True when in NMI, interrupt, soft-IRQ, or	
	soft-IRQ disabled	

Preemption refers to being scheduled out. A non-preemptible context cannot be scheduled out, but may be interrupted.

preempt_disable() and preempt_enable() nest in such a way that preemption remains disabled as long as there is at least one unmatched call to preempt_disable() active.

Completions

linux/completion.h

struct completion	Туре	
DECLARE_COMPLETION(nam	ne) Variable definition	
init_completion(work)	Initialize work	
reinit_completion(work	x) Reinitialize after completion	
completion_done(w)	True when completion is done	
wait_for_completion 12	(w) Wait for a completion (sleeping)	
try_wait_for_completion	$on(w) \hookrightarrow without blocking; return 1 if done$	
complete(w)	Wake up a single waiting thread	
complete_all(w)	Wake up all waiting threads	
Variants:		
<pre>①_interruptible</pre>	Return - ERESTARTSYS if a signal arrives	
<pre>0_killable</pre>	Return - ERESTARTSYS if killed	
0 _io	Account sleeping time as IO wait time	
2_timeout(w, timeout)) Return if timeout expires	

Per-CPU variables

linux/percpu.h

```
cpu = get cpu() Disable preemption; return CPU number
put_cpu()
                  Reenable preemption
DECLARE_PER_CPU(type, name) Variable declaration
DEFINE_PER_CPU(type, name)
                                Variable definition
EXPORT PER CPU SYMBOL(name)
                                 Export symbol
                                 Dereference per-CPU variable
per_cpu(var, cpu)
                                 get_cpu_var(var)
put_cpu_var(var)
                                 \hookrightarrow enabling preemption
                                 Get address of per-CPU variable
per_cpu_ptr(var, cpu)
get_cpu_ptr(var)
                                 \hookrightarrow disabling preemption
put_cpu_ptr(var)
                                 \hookrightarrow enabling preemption
this_cpu_ptr(var)
                                 Get address of this CPU's value
                                 Read this CPU's value
this_cpu_read(var)
this_cpu_write(var)
                                 Write this CPU's value
this_cpu_*()
                                 \rightarrow see atomic operations
Variants:
0_ALIGNED
```

- Cacheline-aligned
- **1** SHARED_ALIGNED

 → accessible by other CPUs
- 1 PAGE ALIGNED Page-aligned O_READ_MOSTLY Rarely written to

RCU (Read-Copy-Update)

linux/rcupdate.h

```
Enter critical section
rcu read lock ()
rcu_read_unlock()
                             Leave critical section
                             Dereference p
rcu dereference (p)
rcu_access_pointer(p)
                             Fetch pointer p without dereferencing
                            Assign v to *p
rcu_assign_pointer(p, v)
rcu_replace_pointer(p, v) 

→ return original value
struct rcu_head
                             RCU head type
                            Initialize
rcu head init(head)
                             Call fn after grace period
call_rcu(head, fn)
                             Free p after grace period, using p->name
kfree_rcu(p, name)
                             Wait for readers to complete
synchronize_rcu()
```

Variants:

- Also disable (reenable) soft-IRQs 0_bh()
- 9_sched() Also disable (reenable) preemption

Writers must always use either a single atomic update or exclude other writers using other synchronization mechanisms (like spinlocks).

Sequence locks

linux/seglock.h

```
seacount t
                  Type
SECCOUNT ZERO
                  Static initializer
seqcount_init(s) Initialize
Writer:
write_seqcount_begin(&s);
write_seqcount_end(&s);
Reader:
do {
   seq = read_seqcount_begin(&s);
} while (read_segcount_retry(&s, seg));
```

Wait queues

Oueues:

linux/wait.h

```
wait_queue_head_t
                                           Wait queue type
DECLARE_WAIT_QUEUE_HEAD(name)
                                           Variable definition
DECLARE WAIT QUEUE HEAD ONSTACK(name) \hookrightarrow for local variables
init_waitqueue_head(wq)
                                           Initialize
wait_event 023 (wq, cond) Sleep until condition is true
io_wait_event(wg, cond) 

→ using io_schedule()
wake up(wa)
                            Wake up waiters
Variants: (▲ incomplete)
1 interruptible 23
                               Returns - ERESTARTSYS if interrupted
0_killable2
                               Returns - ERESTARTSYS if killed
1 freezable2
                              Allow freezing while waiting
2_timeout(wq, cond, t)
                               Also returns when timeout expires
3_lock_irq(wq, cond, lock) Hold spinlock while checking condition
Entries:
                                   Wait queue entry type
wait_queue_entry_t
                                   Variable definition
DEFINE_WAIT(e)
DEFINE WAIT FUNC(e. fn)
                                   \hookrightarrow using custom wake function
init_wait(e)
                                   Initialize
                                   Enqueue wait-queue entry
prepare_to_wait(wq, e, state)
prepare_to_wait_exclusive(...) \hookrightarrow only wake the first thread
finish wait(wg. e)
                                   Dequeue wait-queue entry
```

Lists

linux/list.h

```
struct list_head
                               Type
                               Define
LIST HEAD()
INIT_LIST_HEAD(head)
                               Initialize
list_add(e, head)
                               Add e to the start of head
                               Add e to the end of head
list_add_tail(e, head)
list del(e)
                               Remove e
list del init(e)

→ reinitialize e

list_replace(old, new)
                               Replace old by new
list_replace_init(old, new) \hookrightarrow reinitialize old
list_swap(e1, e2)
                               Swap e1 and t2
                               Remove e; add to the start of head
list_move(e, head)
list move tail(e, head)
                               Remove e: add to the end of head
list_is_head(e, head, member)
                         True when e is the head of the list
list_is_first(e, head) True when e is the first element of head
list_is_last(e, head) True when e is the last element of head
list_empty(head)
                         True when head is an empty list
list_is_singular(head) True when head contains one element
list_for_each_entry(...) Iterate over list
```

Variants:

```
(e, head, member)
                                          Forward iteration
                                          \hookrightarrow allow node deletion
_safe(e, tmp, head, member)
①_reverse(e, head, member)
                                          Backwards iteration
```

 $0_{\text{safe}_{\text{reverse}}}(e, \text{ tmp, head, member}) \hookrightarrow \text{allow node deletion}$