

# Simulating Real-World Challenges: Blind Face Restoration and Upscaling

Rodrigo Golan  
Elena Zoppellari



UNIVERSITÀ  
DEGLI STUDI  
DI PADOVA

# Outline

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- 4** Objective functions
- 5** Results
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# Introduction

**Blind Face Restoration:** reconstruct high-quality face images with unknown deterioration.

We use a progressive 3-step GAN architecture with **attention mechanism** based on facial **landmarks**.

Two distinct objectives:

- 1 Restore & Upscale  $16 \times 16$  blind face images to  $128 \times 128$
- 2 Restore  $128 \times 128$  blind face images to the same resolution

# Dataset pre-processing (1)

*Labeled Faces in the Wild* dataset:

- contains 13,233 face photographies collected from the web, we randomly select 10,586 images for train and 2,650 for test.
- It was originally built for **face recognition task**, thus poses are natural and unconstrained: presence of sunglasses, hats, multiple faces, emotive expressions, objects that hide part of the face...
- Target images for both objectives are resized to  $128 \times 128$ .

# Dataset pre-processing (2)

To create **blind images** from target images, we apply a combination of degradation techniques:

$$I_{blind} = \text{JPEG}_q[(I_{orig} \star k_\sigma) \downarrow_{s \times s} + n_\delta] \uparrow_{u \times u} \quad (1)$$

where  $q$ ,  $k$ ,  $\sigma$ ,  $s$  and  $\delta$  are randomly chosen for each image from:

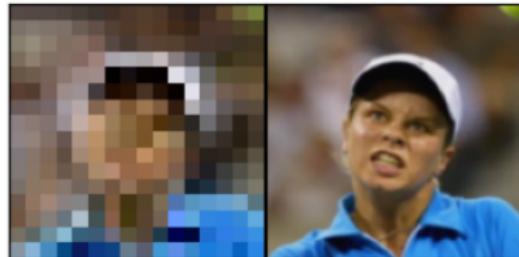
$u \times u$	$k$	$\sigma$	$s$	$\delta$	$q$
$16 \times 16$	[1, 5]	[0, 5]	[43, 128]	[0, 10]	[60, 100]
$128 \times 128$	[1, 11]	[0, 10]	[16, 128]	[0, 30]	[50, 100]

**Table:** Random parameters ranges

# Dataset pre-processing (3)

batch size: 32

Blind image 16x16 GT, 128x128



batch size: 16

Blind image 128x128 GT, 128x128

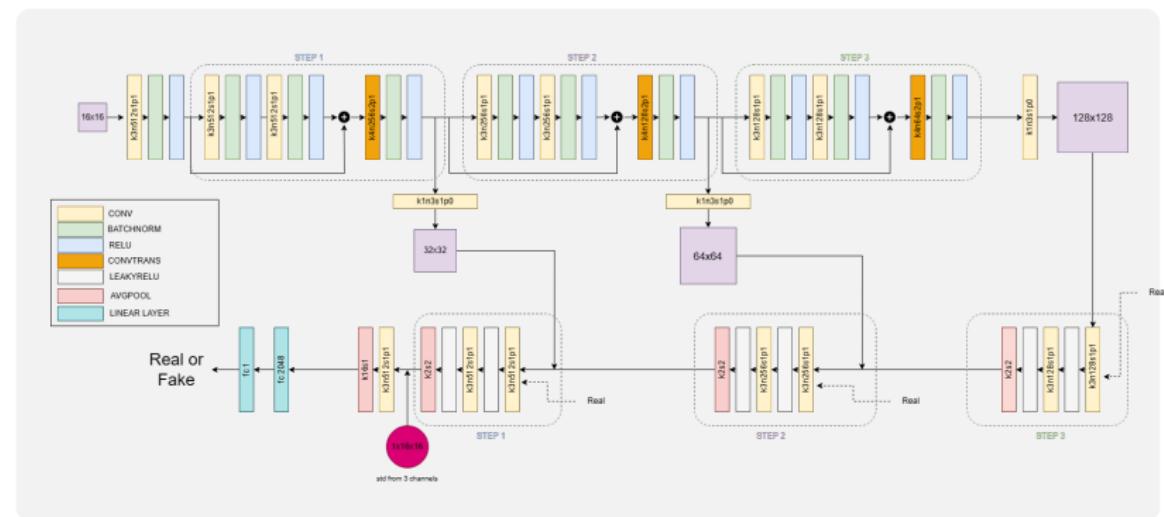


	PSNR↑	SSIM↑	MS-SSIM↑	LPIPS↓	NIQE↓	FID↓
16x16	20.1 ± 0.2	0.59 ± 0.01	0.818 ± 0.002	0.468 ± 0.003	16.78 ± 0.05	240 ± 4
128x128	21.1 ± 0.7	0.57 ± 0.03	0.83 ± 0.02	0.52 ± 0.03	9.8 ± 0.5	151 ± 14

Table: Batch metrics for input blind images

# Progressive GAN architecture

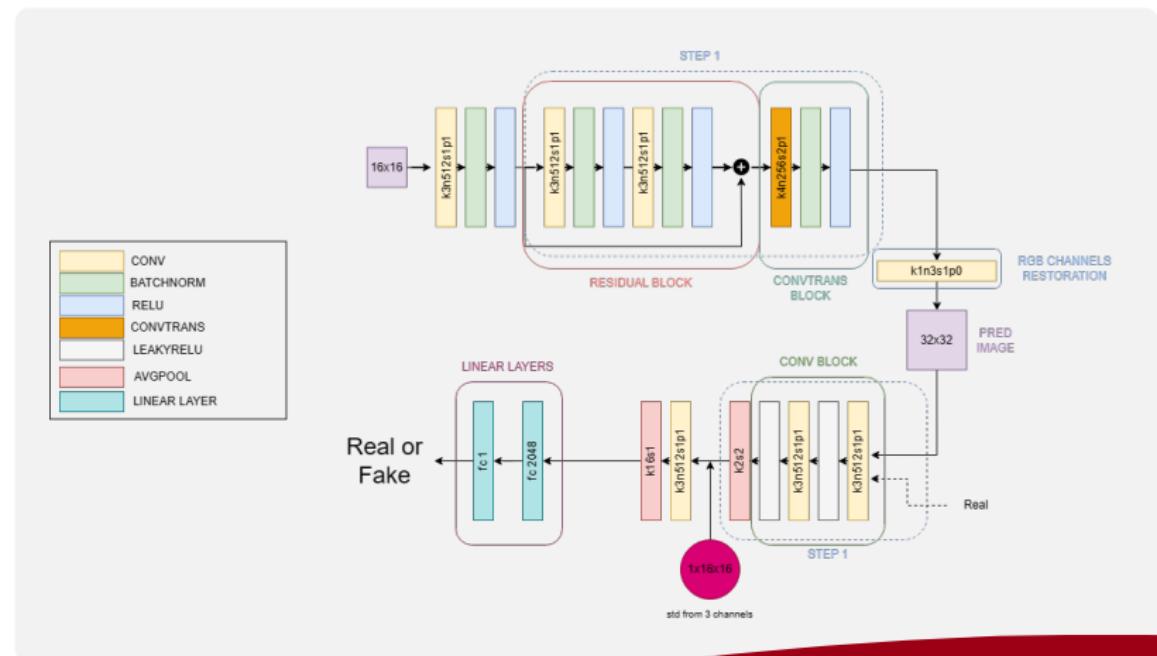
For the first objective, we employ a **3-step GAN architecture**, similar to the one proposed by Kim et al.<sup>1</sup> for Super Resolution.



<sup>1</sup>Kim et al., Progressive face super-resolution via attention to facial landmark, 2019

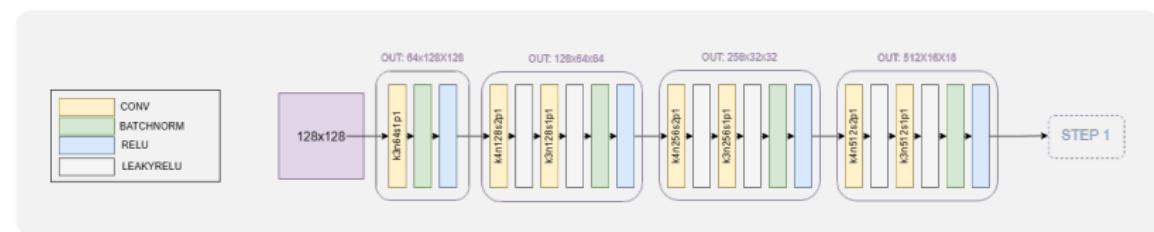
# Focus on step 1

To better understand the progressive architecture, we focus on the blocks in **step 1** for both Generator and Discriminator.



# Encoder

To deal with the second objective, **128 × 128 blind image restoration**, we incorporate an encoder into the previous model.



The output of the encoder is a **tensor of size 512 × 16 × 16** which is passed to **step 1** of the progressive GAN architecture.

# Progressive training

Given an epoch, **each batch** of the train set:

- 1 passes through **STEP 1** of both generator and discriminator and **parameters are updated** alternately;
- 2 passes through **STEP 1 and 2** of both generator and discriminator and **parameters are updated** alternately;
- 3 passes through the **whole architecture** and **parameters are updated** alternately;

In this way the model is trained to restore gradually the final output image with resolution 128x128.

# Progressive images visualization



# Losses overview

Multiple losses are used in training, aiming at stability and visually pleasing results.

## ■ Adversarial loss:

$$L_{adv} = \mathbb{E}_{I_{orig} \sim P_r} [D(I_{orig})] - \mathbb{E}_{I_{blind} \sim P_g} [D(I_{blind})] + \lambda \mathbb{E}_{\hat{I} \sim P_{I_{blind}}} [||\nabla D(\hat{I})_2 - 1||^2] \quad (2)$$

## ■ Mean-Absolute-Error loss:

$$L_{pixel} = \frac{1}{WH} \sum_{x=1}^W \sum_{y=1}^H |(I_{orig})_{x,y} - (G(I_{blind}))_{x,y}| \quad (3)$$

## ■ Perceptual loss:

$$L_{percep} = \sum_i \frac{1}{W_i H_i} \sum_{x=1}^{W_i} \sum_{y=1}^{H_i} |\phi_i(I_{orig})_{x,y} - \phi_i(G(I_{blind}))_{x,y}| \quad (4)$$

# FAN-based attention loss

A pre-trained FAN model is employed to locate **facial landmarks** which are extracted during the pre-processing phase from the original and  $2\times$  downsampled images.



## ■ Attention loss:

$$L_{atten} = \sum_{(x_I, y_I), I \in \Lambda} (\|I_{orig} - G(I_{blind})\| * h_{5x5})[x_I, y_I] \quad (5)$$

We apply **attention** around each landmark's coordinates using a gaussian kernel  $h$ , independently for steps 2 & 3.

# Dynamic weighting and overall training loss

The loss strategy must balance between **stability** and **visual performance**.

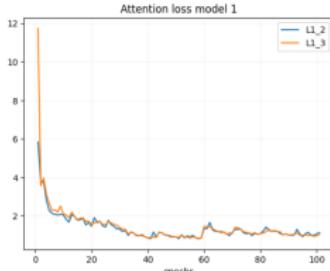
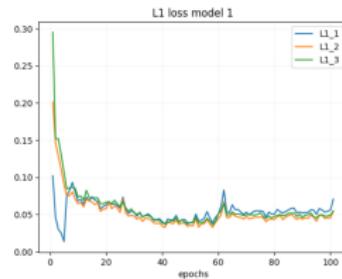
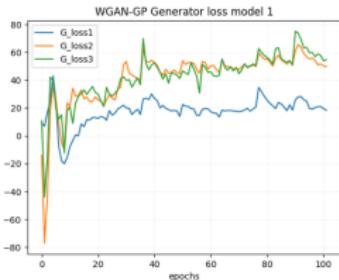
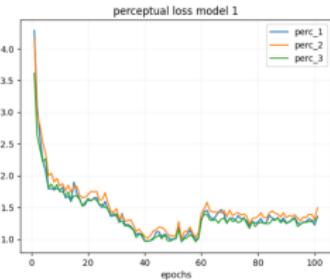
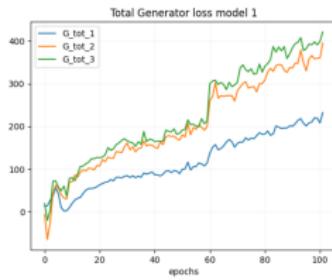
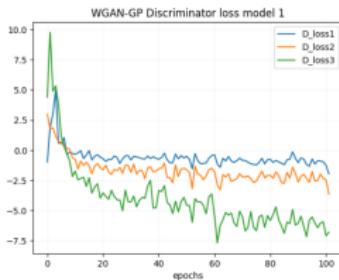
In the primary phase of training the adversarial loss is prioritized, while the weights of the other losses are a function of the epoch, increasing at most linearly.

The **overall training loss** at step  $k$  is given by:

$$L_{train} = L_{adv} + \alpha_k L_{pixel} + \beta_k L_{percep} + \gamma_k L_{atten} \quad (6)$$

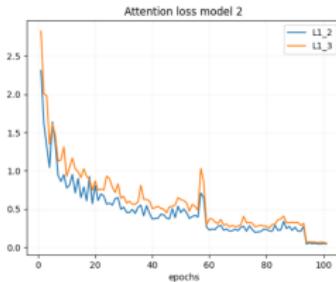
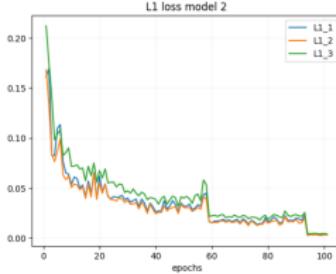
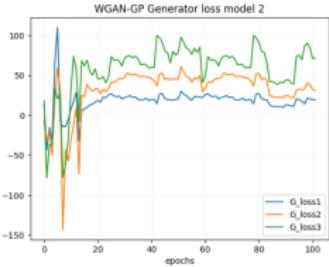
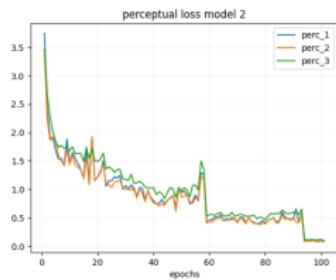
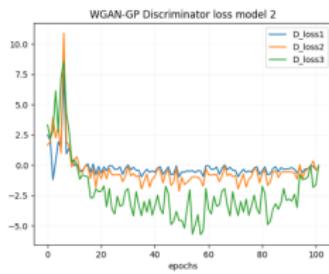
# Training convergence for model 1

Training for 102 epochs on NVIDIA T4 Tensor Core GPU



# Training convergence for model 2

Training for 102 epochs on NVIDIA T4 Tensor Core GPU



# Results for objective 1

The assessment on the test set is shown. While pixel-wise metrics do not exhibit great improvement, **visual quality** is enhanced.

pred img	PSNR↑	SSIM↑	MS-SSIM↑	LPIPS↓	NIQE↓	FID↓
16x16	20.6 ± 0.3	0.65 ± 0.01	0.862 ± 0.004	0.295 ± 0.006	7.9 ± 0.3	40 ± 2

**Table:** Batch metrics for generated images (1)



# Results for objective 2

We find similar improvements also for objective 2:

pred img	PSNR↑	SSIM↑	MS-SSIM↑	LPIPS↓	NIQE↓	FID↓
128x128	22.0 ± 0.4	0.64 ± 0.01	0.862 ± 0.009	0.31 ± 0.01	6.8 ± 0.2	35 ± 2

**Table:** Batch metrics for generated images (2)



# Critical issues

We investigate some common causes for visually poor generated images.

## Causes of systematic underperformance

### a) Multiple faces



# Critical issues

We investigate some common causes for visually poor generated images.

## Causes of systematic underperformance

### b) Difficult poses and rare expressions



# Critical issues

We investigate some common causes for visually poor generated images.

## Causes of systematic underperformance

### c) Face interference with other objects



# Critical issues

We investigate some common causes for visually poor generated images.

## Causes of systematic underperformance

### d) Ethnic groups underrepresentation



# Critical issues

We investigate some common causes for visually poor generated images.

## Causes of systematic underperformance

### e) Gender assessment



# Critical issues

We investigate some common causes for visually poor generated images.

## Causes of systematic underperformance

- f) Fine details smoothing, e.g. wrinkles



# Results combining models

We investigate the possibility of employing **both models sequentially**. Such possibility could be further researched in the future to enhance small resolution crops inside images with blind-type deterioration.



# Conclusions

This work has explored the Blind Face Restoration problem from two different angles.

As demonstrated by the visual metrics, our models improve the photo-realism of the images.

Possible future enhancements:

- a combination of the two models both sequentially and in parallel
- an extended training time, a more robust and precise prior, a larger dataset
- a more complex architecture, i.e. residual blocks in the encoder.