

#### Lecture 10

# Independence, Basis and Dimension

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#### Strang Sections 3.4 – Independence, Basis and Dimension



#### Row Space of a Matrix

#### **Theorem**

The row space of an  $m \times n$  matrix A is the span of the nonzero rows in REF(A).

For example, if 
$$A = \begin{bmatrix} 1 & 2 \\ 2 & 4 \end{bmatrix}$$
, then  $\text{REF}(A) = \begin{bmatrix} 1 & 2 \\ 0 & 0 \end{bmatrix} \Longrightarrow \text{Row } A = \text{span} \left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right\}$ .

Another way to compute the row space of A is by finding the column space of  $A^T$ , since the columns of  $A^T$  are equal to the rows of A.

 $\operatorname{Row} A = \operatorname{Col} A^T = \operatorname{span} \left\{ \text{linearly independent columns of } A^T \right\}$ 

Describe the column space and the row space of 
$$A = \begin{bmatrix} 1 & 4 \\ 2 & 7 \\ 3 & 5 \end{bmatrix}$$
.



#### Basis of a Vector Space

### What is a Basis?

A basis  $\beta$  for a vector space V is a set of vectors  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$ , such that

- (1)  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n$  are linearly independent, and
- (2)  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n \text{ span } V$ .

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- (2)  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n \text{ span } V.$ 
  - standard basis for  $\mathbb{R}^2$  is  $\beta = \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right\}$

• standard basis for 
$$\mathbb{R}^3$$
 is  $\beta = \left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 0\\1\\0 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix} \right\}$ 

:

• standard basis for 
$$\mathbb{R}^n$$
 is  $\beta = \left\{ \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix} \right\}$ 

A basis  $\beta$  for a vector space V is a set of vectors  $\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$ , such that

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- (2)  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n \text{ span } V$ .

• another basis for 
$$\mathbb{R}^2$$
 is  $\beta = \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ 3 \end{bmatrix} \right\}$ 

- the pivot columns form a basis for  $\operatorname{Col} A$
- ullet the null space solutions form a basis for Nul A

#### Vector as a Linear Combination of Basis Vectors

**Theorem**: If  $\vec{v} \in V$ , then there is a unique way to write  $\vec{v}$  as a linear combination of the basis vectors of V.

Find bases for the column and row spaces of 
$$A = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 3 & 1 \\ 3 & 1 & -1 \end{bmatrix}$$
.

Find a basis for  $\mathbb{M}_{2\times 2}$ , the vector space of all  $2\times 2$  matrices.

Find a basis for the vector space of all  $3 \times 3$  diagonal matrices.



#### Dimension of a Vector Space

# Meaning of Dimension

The dimension of a vector space V is the number of vectors in a basis  $\beta$  for V.

- $\dim(\mathbb{R}^n) = n$
- For an  $m \times n$  matrix A with rank(A) = r.
  - $-\dim(\operatorname{Col} A) = r$
  - $-\dim(\operatorname{Row} A) = r$
  - $-\dim(\operatorname{Nul} A) = n r$

### Theorem and Proof Outline

If  $\vec{v}_1, \ldots, \vec{v}_m$  and  $\vec{w}_1, \ldots, \vec{w}_n$  are basis for a vector space V, then m = n.

# Theorem and Full Proof (Optional Reading)

If  $\vec{v}_1, \ldots, \vec{v}_m$  and  $\vec{w}_1, \ldots, \vec{w}_n$  are basis for a vector space V, then m = n.

#### Suppose n > m

Then  $\beta_v = \{\vec{v}_1, \dots, \vec{v}_m\}$  is a basis. Then for  $\vec{w}_1 \in V$  we have  $\vec{w}_1 = a_{11}\vec{v}_1 + a_{21}\vec{v}_2 + \dots + a_{m1}\vec{v}_m$ . Similarly, for  $\vec{w}_2 \in V$  we have  $\vec{w}_2 = a_{12}\vec{v}_1 + a_{22}\vec{v}_2 + \dots + a_{m2}\vec{v}_m$  In general, any  $\vec{\omega}_i$  can be written as a linear combination of  $\vec{v}_1, \dots, \vec{v}_m$ .

$$\underbrace{[\vec{w}_1 \vec{w}_2 \dots \vec{w}_n]}_{W} = \underbrace{[\vec{v}_1 \vec{v}_2 \dots \vec{v}_m]}_{V} \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & & & & \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{bmatrix}$$
Notice

Notice that the matrix (call it A) is short and wide since we assumed n > m. Thus  $A\vec{x} = \vec{0}$  has a nonzero solution.

$$A\vec{x} = \vec{0} \Rightarrow VA\vec{x} = \vec{0} \Rightarrow W\vec{x} = \vec{0}$$

The columns of W are not linearly independent, they can't form a basis (contradiction with the initial assumption)

Suppose m > n.

Repeat the same steps and eventually we have:

$$\underbrace{[\vec{v}_1\vec{v}_2\dots\vec{v}_n]}_{V} = \underbrace{[\vec{w}_1\vec{w}_2\dots\vec{w}_m]}_{W} \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1m} \\ b_{21} & b_{22} & \cdots & b_{2m} \\ \vdots & & & & \\ b_{n1} & b_{m2} & \cdots & b_{nm} \end{bmatrix}$$

Notice that the matrix (call it B) is short and wide since we assumed m > n. Thus  $B\vec{x} = \vec{0}$  has a nonzero solution.

$$B\vec{x} = \vec{0} \Rightarrow WB\vec{x} = \vec{0} \Rightarrow V\vec{x} = \vec{0}$$

The columns of V are not linearly independent, they can't form a basis (contradiction with the initial assumption)

Conclusion: The only way to avoid these contradictions is to have m = n.

Find a basis and the dimension of  $\operatorname{Col} A$  and  $\operatorname{Nul} A$ , where

$$A = \begin{bmatrix} 1 & -3 & -6 & 0 \\ 5 & 0 & 0 & 4 \\ 0 & 1 & 2 & -1 \\ 0 & 0 & 0 & 5 \end{bmatrix}.$$

Find a basis and the dimension of  $\operatorname{Col} A$  and  $\operatorname{Nul} A$ , where

$$A = \begin{bmatrix} 1 & -3 & -6 & 0 \\ 5 & 0 & 0 & 4 \\ 0 & 1 & 2 & -1 \\ 0 & 0 & 0 & 5 \end{bmatrix}.$$

Find a basis and the dimension of  $\operatorname{Col} A$  and  $\operatorname{Nul} A$ , where

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