# pavo: Perceptual Analysis, Visualization andOrganization of Spectral Color Data in R

Rafael Maia<sup>1</sup>, Pierre-Paul Bitton<sup>2</sup>, and Chad Eliason<sup>1</sup>

<sup>1</sup>Integrated Bioscience PhD Program, University of Akron, Akron OH <sup>2</sup>Department of Biological Sciences, University of Windsor, Windsor ON

# December 2, 2012

# Contents

| 1 | Introduction |   |  |    |  |  |  |  |  |  |  |
|---|--------------|---|--|----|--|--|--|--|--|--|--|
| 2 | Dat          | Pataset Description                     |  |    |  |  |  |  |  |  |  |
| 3 | Org          | Organizing and Processing Spectral Data |  |    |  |  |  |  |  |  |  |
|   | 3.1          | 3.1 Importing Data                      |  |    |  |  |  |  |  |  |  |
|   | 3.2          | Proces                                  | ssing Data                                 |    |  |  |  |  |  |  |  |
|   |              | 3.2.1                                   | Averaging Spectra                          | 4  |  |  |  |  |  |  |  |
|   |              | 3.2.2                                   | Normalizing and Smoothing Spectra          | 6  |  |  |  |  |  |  |  |
|   |              | 3.2.3                                   | Binning and PCA Analysis of Spectral Shape | 9  |  |  |  |  |  |  |  |
|   |              | 3.2.4                                   | Dealing With Negative Values in Spectra    | 10 |  |  |  |  |  |  |  |
| 4 | Vist         | ualizing                                | g Spectral Data                            | 11 |  |  |  |  |  |  |  |
|   | 4.1          | The p                                   | lot.rspec Function Options                 | 11 |  |  |  |  |  |  |  |
|   |              | 4.1.1                                   | The overlay Option                         | 12 |  |  |  |  |  |  |  |
|   |              | 4.1.2                                   | The stack Option                           | 13 |  |  |  |  |  |  |  |
|   |              | 4.1.3                                   | The heatmap Option                         | 14 |  |  |  |  |  |  |  |
|   | 4.2          | The ag                                  | ggplot Function                            |    |  |  |  |  |  |  |  |
| 5 | Ana          | dyzing                                  | Spectral Data                              | 16 |  |  |  |  |  |  |  |
|   | 5.1          | Overvi                                  | iew  | 16 |  |  |  |  |  |  |  |
|   | 5.2          | Spectr                                  | al Shape Analysis                          | 16 |  |  |  |  |  |  |  |
|   |              | 5.2.1                                   | Trichromatic Variables                     | 16 |  |  |  |  |  |  |  |
|   |              | 5.2.2                                   | Peak Shape Descriptors                     | 19 |  |  |  |  |  |  |  |
|   | 5.3          | Visual                                  | System Models                              | 20 |  |  |  |  |  |  |  |
|   |              | 5.3.1                                   | Segment Classification Analysis            | 20 |  |  |  |  |  |  |  |
|   |              | 5.3.2                                   | Photon Catch & Receptor Noise Model        |    |  |  |  |  |  |  |  |
|   |              | 5.3.3                                   | Tetrahedral Color Space Model              |    |  |  |  |  |  |  |  |

# 1 Introduction

pavo is an R package developed with the goal of establishing a flexible and integrated workflow for working with spectral color data. It includes functions that take advantage of new data classes in order to work seamlessly from importing raw data to visualization and analysis.

Although pavo deals largely with spectral reflectance data from bird feathers, it is meant to be applicable for a range of taxa and applications. It provides flexible ways to input spectral data from a variety of equipment manufacturers, process these data, extract variables and produce publication-quality graphics.

pavo was written with the following workflow in mind:

- 1. **Organize** spectral data by inputting files, processing spectra (e.g., to remove noise, negative values, smooth curves, etc.)
- 2. **Analyze** the resulting files, either using typical tristimulus color variables (hue, saturation, brightness) or using visual models based on perceptual data from the taxon of interest.
- 3. Visualize the output, with multiple options provided for exploratory analyses.

Below we will show the main functions in the package in an example workflow. The development version of pavo can be found on github.

# 2 Dataset Description

The data used in this example is available from github by clicking here<sup>1</sup>. You can download and extract it to follow the vignette.

The data consists of reflectance spectra obtained using Avantes equipment and software from seven bird species: Northern Cardinal (Cardinalis cardinalis), Wattled Jacana (Jacana jacana), Baltimore Oriole (Icterus galbula), Peach-fronted Parakeet (Aratinga aurea), American Robin (Turdus migratorius), and Sayaca Tanager (Thraupis sayaca). Several individuals were measured (sample size varies by species), and 3 spectra were collected from each individual.

The samples do not have the same sample sizes and have additional peculiarities that should emphasize the flexibility pavo offers, as we'll see below.

In addition, pavo includes two datasets that can be called with the data function. data(teal) will be used in this vignette, but data(sicalis) is also available. See help for more information.

in case you printed this out and thus can't click the link: https://github.com/rmaia/pavo/blob/master/vignette\_data/vignette\_data.zip

# 3 Organizing and Processing Spectral Data

# 3.1 Importing Data

The first thing we need to do is import the spectral data into R using the funciton <code>getspec()</code>. Since the spectra were obtained using Avantes software, we will need to specify that the files have the ".ttt" extension. Further, the data is organized in subdirectories for each species. <code>getspec</code> does recursive sampling, and may include the names of the subdirectories in the spectra name if desired. A final issue with the data is that it was collected using a computer with international numbering input, which means it uses commas instead of periods as a decimal separator. We can specify that in the function call.

I have downloaded the file and placed it in a directory called "/github/pavo/vignette\_data". By default, getspec will search for files in the current folder, but a different one can be specified:

|    | wl  | cardinal.0001 | ${\tt cardinal.0002}$ | cardinal.0003 |
|----|-----|---------------|-----------------------|---------------|
| 1  | 300 | 5.7453        | 8.0612                | 8.0723        |
| 2  | 301 | 6.0181        | 8.3926                | 8.8669        |
| 3  | 302 | 5.9820        | 8.8280                | 9.0680        |
| 4  | 303 | 6.2916        | 8.7621                | 8.7877        |
| 5  | 304 | 6.6277        | 8.6819                | 9.3450        |
| 6  | 305 | 6.3347        | 9.6016                | 9.4834        |
| 7  | 306 | 6.3189        | 9.5712                | 9.3533        |
| 8  | 307 | 6.7951        | 9.4650                | 9.9492        |
| 9  | 308 | 7.0758        | 9.4677                | 9.8587        |
| 10 | 309 | 7.2126        | 10.6172               | 10.5396       |

> dim(specs) #data has 214 spectra, from 300 to 700 nm

[1] 401 214

When pavo imports spectra, it creates an object of class rspec, which inherits attributes from the data.frame class:

```
> is.rspec(specs)
```

#### [1] TRUE

If you already have multiple spectra in a single data frame that you'd like to use with pavo functions, you can use the command as.rspec to convert it to an rspec object. The function will attempt to identify the wavelength variable or, if it doesn't have one, it can be specified in the function call.

# 3.2 Processing Data

# 3.2.1 Averaging Spectra

As previously described, our data constitutes of multiple individuals, and each was measured three times, as is common in order to avoid measurement bias. A good way to visualize the repeatability of our measurements is to plot the spectra of each individual separately. The function explorespec provides an easy way of doing so. You may specify the number of spectra to be plotted in the same panel using the argument specreps, and the function will adjust the number of panels per page accordingly. We will exemplify this function using only the 12 cardinal individuals measured:

- > explorespec(specs[,1:37], by=3, lwd=2)
  > # 36 spectra plus the first (wl) column
  - 유 600 700 Reflectance (%) 유 400 500 600 700 Wavelength (nm)

Figure 1: Result from explorespec, showing the three measurements for each individual in separate panels

So our first step would be to take the average of each of these three measurements in order to obtain average individual spectra to be used in further analyses. The function aggspec does this. The by argument can be either a number (specifying how many specs should be averaged for each new sample) or a vector specifying the identities of the spectra to be combined (see below):

```
> mspecs <- aggspec(specs, by=3, FUN=mean)
> mspecs[1:5, 1:4]
```

```
wl cardinal.0001 cardinal.0004 cardinal.0007
1 300
           7.292933
                          5.676700
                                        6.387233
2 301
           7.759200
                          5.806700
                                        6.698200
3 302
           7.959333
                          5.858467
                                        6.910500
4 303
           7.947133
                          6.130267
                                        7.357567
5 304
           8.218200
                          6.127933
                                        7.195267
```

> dim(mspecs) #data now has 72 spectra, one for each individual

#### [1] 401 72

12

Now we'll use the aggspec function again, but this time to take the average spectrum for each species. However, each species has a different number of samples, so we can't use the by argument as before. Instead we will use regular expressions to create a species name vector by removing the numbers that identify individual spectra:

```
> # create a vector with species identity names
> spp <- gsub('\\.[0-9].*$','',names(mspecs))[-1]
> table(spp)

spp
cardinal jacana oriole parakeet robin tanager
```

9

Instead, we are going to use the spp vector we created to tell the aggspec function how to average the spectra in mspec:

10

18

13

```
> sppspec <- aggspec(mspecs, by=spp, FUN=mean)
> round(sppspec[1:5, ],2)
```

```
wl cardinal jacana oriole parakeet robin tanager
1 300
          7.05
                 7.33
                        3.89
                                 7.63 3.98
                                                9.02
2 301
          7.25
                 7.35
                        3.91
                                 7.75
                                       3.91
                                                9.53
3 302
          7.44
                 7.45
                        4.13
                                 7.89
                                       4.19
                                                9.41
4 303
          7.82
                 8.09
                                       4.51
                                               10.20
                        4.39
                                 8.49
5 304
          7.84
                 7.71
                        4.18
                                 8.66 4.07
                                                9.68
```

> explorespec(sppspec, by=6, 1wd=3)

9

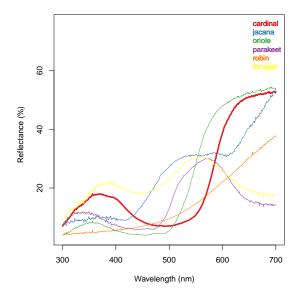


Figure 2: Result from explorespec for species means

# 3.2.2 Normalizing and Smoothing Spectra

Data obtained from spectrometers often requires further processing before analysis and/or publication. For example, electrical noise can produce unwanted "spikes" in reflectance curves. The pavo function procspec can handle a variety of processing techniques. For example, the reflectance curve from the parakeet is noisy in the short (300-400 nm) and long (650-700 nm) wavelength ranges (see Figure 4, black line). To eliminate this noise, we will use local regression smooth implemented by the loess.smooth function in R, wrapped in the opt="smooth" argument of procspec.

But first, let's use the plotsmooth function to determine a suitable smoothing parameter (span). This function allows you to set a minimum and maximum smoothing parameter to try and plots the resulting curves against the unsmoothed (raw) data in a convenient multipanel figure.

```
> data(teal)
> plotsmooth(teal, minsmooth = 0.05, maxsmooth = 0.25, curves = 5, specnum = 6,
+ ask = FALSE)
```

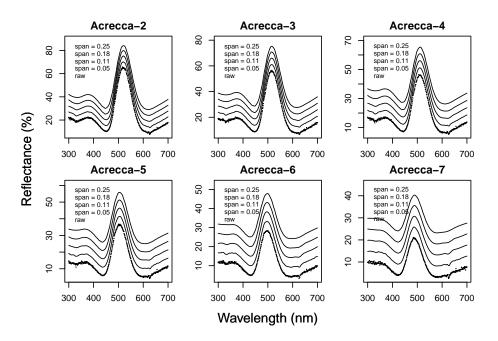


Figure 3: Diagnostic plots produced with plotsmooth to determine optimal smoothing parameter. Each panel shows raw spectral data (lower curve) and smoothed curves with sequentially higher smoothing parameters.

From the resulting plot, we can see that span=0.15 is the minimum amount of smoothing to remove spectral noise while preserving the original spectral shape (Figure 3). Based on this value, we will now use the opt argument in procspec to smooth data for further plotting and analysis (see Figure 4, red line).

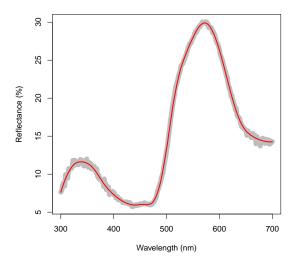


Figure 4: Result for raw (grey line) and smoothed (red line) reflectance data for the parakeet.

We can also try some other normalizations. Options include subtracting the minimum reflectance of a spectrum at all wavelengths (effectively making the minimum reflectance equal to zero, opt="min", Figure 5 left panel) and making the reflectance at all wavelength proportional to the maximum reflectance (i.e. setting maximum reflectance to 1; opt="max", Figure 5 center panel). Note that the user can specify multiple processing options that will be applied sequentially to the spectral data by procspec (Figure 5 right panel).

```
> # Run some different normalizations
> specs.max <- procspec(sppspec, opt='max')
> specs.min <- procspec(sppspec, opt='min')
> specs.str <- procspec(sppspec, opt=c('min', 'max')) # multiple options

> # plot results
> par(mfrow=c(1,3), mar=c(2,2,2,2), oma=c(3,3,0,0))
> plot(specs.max[, 5]~c(300:700), ylim=c(0,1), xlab="", ylab="", type='l')
> abline(h=1, lty=2)
> plot(specs.min[,5]~c(300:700), xlab="", ylab="", type='l')
> abline(h=0, lty=2)
> plot(specs.str[,5]~c(300:700), type='l', xlab="", ylab="")
> abline(h=c(0,1), lty=2)
> mtext("Wavelength (nm)", side=1, outer=T, line=1)
> mtext("Reflectance (%)", side=2, outer=T, line=1)
```

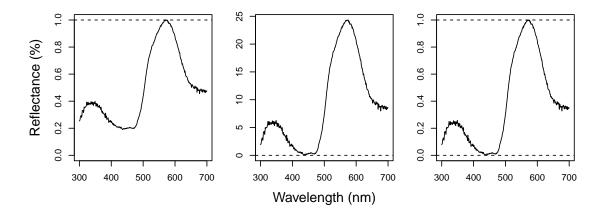


Figure 5: Results for max (left), min (center), and max and min normalizations (right).

#### 3.2.3 Binning and PCA Analysis of Spectral Shape

Another intended usage of procspec is preparation of spectral data for variable reduction (using, for example. Principal Component Analysis, or PCA). Following Cuthill, 1999 we can use opt = 'center' to center spectra to have a mean reflectance of zero (thus removing brightness as a dominant variable in the PCA) and then bin the spectra into user-defined bins (using the opt= 'bins' argument) to obtain a dataframe suitable for the PCA.

```
> # pca analysis
> spec.bin <- procspec(sppspec, opt=c('bin', 'center'))
> spec.bin <- t(spec.bin) # transpose so wavelength are variables for the PCA
> colnames(spec.bin) <- spec.bin[1,] # names variables as wavelength bins
> spec.bin <- spec.bin[-1,] # remove 'wl' column
> # spec.bin[1:6, 1:3]
> pca1 <- prcomp(spec.bin, scale=T)</pre>
```

The flexibility of pavo's plotting capabilities allows you to sort spectra by another variable (e.g., PC1 loading) and then plot in a stacked format using the plot.rspec function.

```
> # generate colors from spectra
> colr <- spec2rgb(sppspec)
> wls <- as.numeric(colnames(spec.bin))
> # rank specs by PC1
> sel <- rank(pca1$x[,1])
> sel <- match(names(sort(sel)), names(sppspec))
> # plot results
> par(mfrow=c(1,2), mar=c(2,4,2,2), oma=c(2,0,0,0))
> plot(pca1$r[,1]~wls, type='1', ylab="PC1 loading")
> abline(h=0, lty=2)
> plot(sppspec, select=sel, type='s', col=spec2rgb(sppspec))
> mtext("Wavelength (nm)", side=1, outer=T, line=1)
```

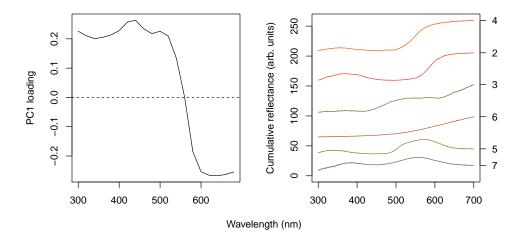


Figure 6: Plot of PC1 loading versus wavelength (left) and species mean spectra sorted vertically from lowest to highest PC1 value (right).

# 3.2.4 Dealing With Negative Values in Spectra

Negative values in spectra are unwanted, as they can affect estimates of color variables. Nonetheless, certain spectrometer manufacturers allow negative values to be saved. To handle negative values, the procspec function has the fixneg option for the opt argument. The two options available are (1) adding the absolute value of the most negative value to the whole spectrum (fi xneg = addmin) and (2) changing all negative values to zero (fixneg = zero).

```
> # Create a duplicate spectrum and add some negative values
> refl <- sppspec[, 7] - 20
> testspecs <- as.rspec(cbind(c(300:700), refl))</pre>
> # Apply three different processing options
> testspecs.fix1 <- procspec(testspecs, fixneg='addmin')</pre>
> testspecs.fix2 <- procspec(testspecs, fixneg='zero')</pre>
> par(mar=c(2,2,2,2), oma=c(3,3,0,0))
> layout(cbind(c(1,1),c(2,3)), widths=c(2,1,1))
> plot(testspecs, select = 2, ylim=c(-10,30))
> abline(h=0, lty=3)
> plot(testspecs.fix1, select = 2, ylim=c(-10,30))
> abline(h=0, lty=3)
> plot(testspecs.fix2, select = 2, ylim=c(-10,30))
> abline(h=0, lty=3)
> mtext("Wavelength (nm)", side=1, outer=T, line=1)
> mtext("Reflectance (%)", side=2, outer=T, line=1)
```

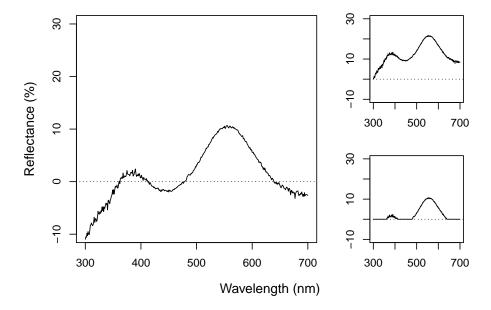


Figure 7: Plots showing original reflectance curve including negative values (left) and two processed curves using fixneg=addmin (top) and fixneg=zero (bottom).

These may have different effects on the final spectra, as can be seen in Figure 7, which the user should keep in mind and use according to the final goal of the analysis. For example, by adding the minimum reflectance to all other wavelingth, the shape of the curve is preserved, but the maximum reflectance is much higher (Figure 7, top). On the other hand, substituting negative values with zero preserves absolute reflectance values, but may cause the spectral shape to be lost (Figure 7, bottom). The "best" transformation will depend on the severity of the problem of negative values and the goal of the analysis (e.g. will reflectance intensity be used? What is more important, to preserve reflectance values or the total shape of the curve?)

# 4 Visualizing Spectral Data

pavo offers three main plotting functions. The main one is plot.rspec, which combines several different options in a flexible framework for most commonly used purposes. The explorespec function aims at providing initial exploratory analysis, as demonstrated in Section 1, Figures 1 and 2. Finally aggplot provides a simple framework for publication-quality plots of aggregated spectral data.

# 4.1 The plot.rspec Function Options

Since pavo uses the class rspec to identify spectral data, the function plot.rspec can be called simply by calling plot(data). If the object is not of class rspec the multivariate

visualization methods will not work as expected, so it might be useful to check the data using is.rspec and convert with as.rspec if necessary.

We have implemented three methods of visualizing spectral data using plot:

- Overlay all spectra plotted with same x- and y-axis
- Stack spectra plotted with same x-axis but arranged vertically along y-axis
- Heatmap false color map to show three dimensional data

Which are in addition to the exploratory plotting offered by explorespec, as seen in Figues 1 and 2. To showcase the capabilities of plot.rspec, we will use the teal dataset included in pavo. This dataset consists of reflectance spectra from the iridescent wing patch of a green-winged teal (*Anas carolinensis*). Reflectance measurements were taken between 300 and 700 nm at different incident angles, ranging from 15° to 70° (in 5° increments) (CHAD DUCK PAPER REF).

#### 4.1.1 The overlay Option

We can start out by visualizing these spectra with overlay option in plot.rspec. Another neat option pavo offers is to convert reflectance spectra to their approximate perceived color, by using the function spec2rgb. This can make for some very interesting plots and even exploratory data analysis, as shown in Figure 8.

```
> par(mar=c(4,4,2,2))
> data(teal)
> plot(teal, type='o', col=spec2rgb(teal))
```

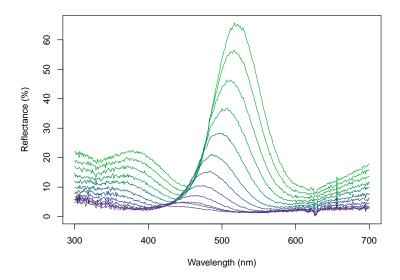


Figure 8: Overlay plot of the teal angle-dependent reflectance

### 4.1.2 The stack Option

Another option is the stack plot (again, with human vision approximations of the color produced by the spectra using spec2rgb).

```
> teal.norm <- procspec(teal, opt=c('min', 'max'))

processing options applied:
   Scaling spectra to a minimum value of zero
   Scaling spectra to a maximum value of 1

> par(mfrow=c(1,2), mar=c(2,2,2,2), oma=c(2,2,0,0))
> plot(teal, type='s', col=spec2rgb(teal))
> plot(teal.norm, type='s', col=spec2rgb(teal))
> mtext("Wavelength (nm)", side=1, outer=T, line=1)
> mtext("Cumulative reflectance (A.U.)", side=2, outer=T, line=1)
```

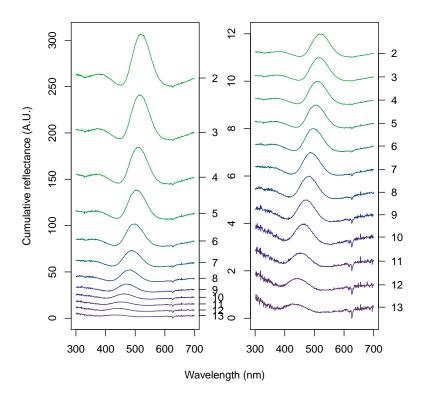


Figure 9: Stack plot of the raw (left) and normalized (right) teal angle-dependent reflectance

Note that in Figure 9, the y axis to the right includes the index of each spectrum. This makes it easier to identify and subset specific spectra or groups of spectra using the select argument in plot.rspec. Note also that the first index is actually 2, preserving the sequence in the original dataset (since the first column is wavelength). Though this may seem

confusing at first ("why is my first spec number 2?") this preserves subsetting hierarchy: using plot(teal, select=2) will show the same spectra that would be selected if you use teal[,2].

## 4.1.3 The heatmap Option

Since this dataset is three-dimensional (containing wavelengths, reflectance values and incident angles) we can also use the heatmap function. First, it will be necessary to define a vector for the incident angles each spectrum was measured at:

```
> angles <- seq(15, 70, by = 5)
```

Next, we will smooth the data with procspec and plot as a false color map (heatmap):

```
> teal.sm <- procspec(teal, opt=c('smooth'))

processing options applied:
   smoothing spectra with a span of 0.25

> plot(teal.sm, type = 'h', varying = angles, ylab = "Incident angle (2)",
   las = 1, useRaster = TRUE)
```

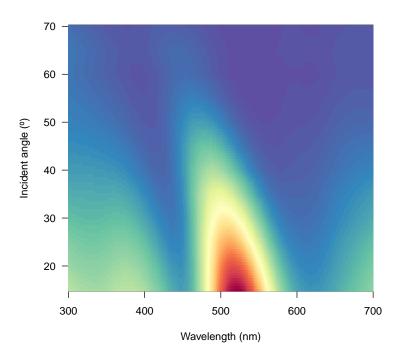


Figure 10: Heatmap plot for angle-resolved reflectance measurements of the green-winged teal.

These plots can be very useful to observe changes over time, for example, or any other type of continuous variation.

# 4.2 The aggplot Function

aggplot has a very similar interface to aggspec, allowing for quick plotting of aggregated spectra combined by a factor, such as species, sex, experimental treatment, and so on. Its main output is a plot with lines of group mean spectra outlined by a shaded area indicating some measure of variability, such as the standard deviation of the group. Note that functions that aren't already implemented in R must be passed like they would be to functions such as apply.

```
> par(mfrow=c(1,2), mar=c(4,4,2,2), oma=c(2,0,0,0))
> #plot using median and standard deviation, default colors
> aggplot(mspecs, spp, FUN.center=median, lwd=2, alpha=0.3)
> #plot using mean and standard error, in greyscale
> aggplot(mspecs, spp, FUN.error=function(x)sd(x)/sqrt(length(x)),
+ lwd=2, lty=1:7, lcol=1, shadecol='grey', alpha=0.7)
```

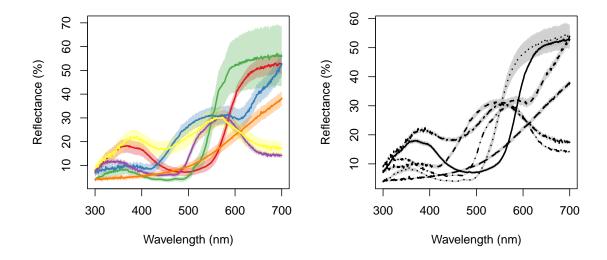


Figure 11: Example plots created using aggplot. Left: using median, standard deviation, and colored lines. Right: using mean, standard error, and greyscale

# 5 Analyzing Spectral Data

#### 5.1 Overview

pavo offers two main approaches for spectral data analysis. First, variables can be calculated based on the shape of the reflectance spectra. By using special R classes for spectra data frame objects, this can easily be done using the summary function in an rspec object (see below). The second function for spectral shape analysis is peakshape, which returns descriptors for peaks in spectral curves, as outlined below.

Second, reflectance spectra can be analyzed accounting for the visual system receiving the color signal, therefore representing reflectance spectra as preceived colors. We have implemented Endler's (REF) segment analysis model, which approximates visual models but does not directly use sensory information; the model of Osorio & Vorobyev (REF), which provides a flexible framework for visual modeling; and the tetrahedral color space (GOLDSMITH, ENDLER, STODDARD) which has been extensively developed to represent colors in the avian vision color space.

# 5.2 Spectral Shape Analysis

#### 5.2.1 Trichromatic Variables

Obtaining trichromatic color variables (related to hue, saturation and value) is pretty straightforward in pavo. Since reflectance spectra is stored in an object of class rspec, the summary function recognizes the object as such and extracts 23 variables, as outlined in MONTGOMERIE. Though outlined in a book chapter on bird coloration, these variables are broadly applicable to any reflectance data, particularly if the taxon of interest has color vision within the UV-human visible range.

The description and formulas for these variables can be found in Table 1.

#### > summary(spec.sm)

|          | B1       | B2      | В3      | S1.UV  | S1.vic | olet S | S1.blu | ıe         |
|----------|----------|---------|---------|--------|--------|--------|--------|------------|
| cardinal | 8983.79  | 22.40   | 52.70   | 0.17   | (      | ).19   | 0.1    | 12         |
| jacana   | 9667.74  | 24.11   | 53.77   | 0.10   | (      | ).11   | 0.1    | L9         |
| oriole   | 9107.53  | 22.71   | 54.15   | 0.07   | (      | 0.08   | 0.0    | )6         |
| parakeet | 6022.53  | 15.02   | 29.84   | 0.17   | (      | ).19   | 0.1    | L <b>4</b> |
| robin    | 5740.88  | 14.32   | 37.85   | 0.09   | (      | 0.10   | 0.1    | L <b>4</b> |
| tanager  | 8516.12  | 21.24   | 30.47   | 0.20   | (      | ).24   | 0.2    | 26         |
|          | S1.green | n S1.ye | ellow S | S1.red | S2     | S3     | S4     | S5         |
| cardinal | 0.19     | 9       | 0.25    | 0.53   | 7.62   | 0.30   | 0.20   | 4003.99    |
| jacana   | 0.3      | 1       | 0.25    | 0.41   | 7.10   | 0.25   | 0.05   | 3311.75    |
| oriole   | 0.33     | 3       | 0.36    | 0.55   | 14.55  | 0.30   | 0.09   | 5232.11    |
| parakeet | 0.42     | 2       | 0.34    | 0.27   | 5.13   | 0.45   | 0.27   | 1897.58    |
| robin    | 0.27     | 7       | 0.27    | 0.51   | 9.13   | 0.30   | 0.01   | 2531.86    |
| tanager  | 0.32     | 2       | 0.24    | 0.22   | 3.22   | 0.33   | 0.17   | 1105.73    |
|          | S6       | S7      | S8      | S9     | S10 F  | 11 H   | 2 H3   | H4 H5      |
| cardinal | 45.78 -0 | ) 45 2  | 04 -0   | .84 10 | 36 70  | 00 419 | 500    | 1.55.582   |

 jacana
 46.19
 -0.48
 1.92
 -0.73
 41.86
 700
 593
 500
 0.85
 468

 oriole
 50.43
 -0.75
 2.22
 -0.93
 25.96
 700
 382
 500
 1.16
 544

 parakeet
 24.02
 -0.19
 1.60
 -0.58
 5.86
 572
 607
 506
 0.59
 506

 robin
 33.71
 -0.58
 2.35
 -0.81
 207.33
 700
 356
 500
 1.15
 631

 tanager
 21.02
 -0.08
 0.99
 0.04
 5.92
 557
 595
 428
 0.04
 518

| Color variable   | Description   |
|--|---|
| $B_1 = \sum_{\lambda_{min}}^{\lambda_{max}} R_{\lambda}$   | Total reflectance: sum of reflectance values over all wavelengths.  |
| $B_2 = B_1/n_{wl}$   | Mean brightness: average reflectance over all wavelengths.  |
| $B_3 = R_{\text{max}}$   | Intensity: Peak reflectance of the spectrum.  |
| $S_1 = \sum_{\lambda_a}^{\lambda_b} R_{\lambda} / B_1$   | <b>Chroma</b> : segment-specific chroma calculated by dividing the sum of reflectance values over region of interest (e.g., from $\lambda_a$ to $\lambda_b$ ) by the total reflectance.   |
| $S_2 = R_{\rm max}/R_{\rm min}$  | Spectral saturation: ratio of maximum and   |
| $S_3 = \sum_{\lambda_{R_{\text{max}}} - 50}^{\lambda_{R_{\text{max}}} + 50} R_i / B_1$                         | minimum reflectance values.<br>Chroma: sum of reflectance values +/- 50 nm from the wavelength of peak reflectance (hue, $\lambda_{max}$ )  |
| $S_4 =  b_{\text{max}_{\text{neg}}} $  | <b>Spectral purity</b> : maximum negative slope of spectrum over range of wavelengths.  |
| $S_5 = \sqrt{(B_r - B_g)^2 + (B_y - B_b)^2}$   | <b>Chroma</b> : Euclidean distance from achromatic origin using segment classification method (see section 5.3.1).  |
| $S_6 = R_{\text{max}} - R_{\text{min}}$  | Contrast/amplitude: difference in reflectance between high and low points in the spectrum.  |
| $S_7 = \left(\sum_{\lambda_{min}}^{\lambda_R mid} R_i - \sum_{\lambda_R mid}^{\lambda_{max}} R_i\right) / B_1$ | Spectral saturation: reflectance difference between the minimum wavelength and the half-max reflectance and the maximum wavelength and the half-max reflectance. Analogous to the segment classification method (see section 5.3.1) |
| $S_8 = (R_{\text{max}} - R_{\text{min}})/B_2$  | <b>Chroma</b> : relative difference between max and min reflectance taking into account the average brightness $(B2)$ of the spectrum.  |
| $S_9 = (R_{\lambda 450} - R_{\lambda 700}) / R_{\lambda 700}$  | Carotenoid chroma: relative reflectance in the region of greatest reflectance in carotenoid-based colors  |
| $S_{10} = [(R_{max} - R_{min})/B_2] \times  b_{max_{neg}} $  | <b>Peaky chroma</b> : relative contrast (S8) multiplied by the spectral purity (S4). Relatively flat curves will give low vales for this metric, and vice versa.  |
| $H_1 = \lambda_{R\max}$  | Hue: wavelength of peak reflectance.  |
| $H_2 = \lambda_{b 	ext{max}_{	ext{neg}}}$  | <b>Hue</b> : wavelength at location of maximum negative slope in the spectrum.  |
| $H_3 = \lambda_{R 	ext{mid}}$  | <b>Hue</b> : wavelength at the midpoint ( $[R_{\text{max}} + R_{\text{min}}]/2$ ) in the reflectance spectrum.  |
| $H_4 = atan\{[(B_y - B_b)/B_1]/[(B_r - B_g)/B_1]\}$  | <b>Hue</b> : the angle from $0^{\circ}$ (red) calculated by the segment classification method (see section 5.3.1).  |
| $H_5 = \lambda_{b	ext{max}_{	ext{pos}}}$   | <b>Hue</b> : wavelength at point in spectrum where curve reaches a maximum postitive slope.   |

Table 1: The complete set of tristimulus variables calculated by summary in pavo

### 5.2.2 Peak Shape Descriptors

Particularly in cases of reflectance spectra that have a single, discrete peak, it might be useful to obtain variables that describe that peak's properties. The peakshape function identifies the peak location (H1), returns the reflectance at that point (B3), and identifies the wavelengths at which the reflectance is half that at the peak, calculating the wavelength bandwith of that interval (the Full Width at Half Maximum, or FWHM). The function also returns the half widths, which are useful when the peaks are located near the edge of the measurement limit and half maximum reflectance can only be reliably estimated from one of its sides.

If this all sounds too esoteric, fear not: peakshape has the option of returning plots indicating what it's calculating. The vertical continuous red line indicates the peak location, the horizontal continuous red line indicates the half-maximum reflectance, and the distance between the dashed lines is the FWHM:

```
> par(mfrow=c(2,3), mar = c(5, 4, 0.5, 0.5) + 0.1)
> peakshape(spec.sm, plot=T)
```

|          | В3       | H1  | ${\tt FWHM}$ | HWHM.1 | HWHM.r | status |
|----------|----------|-----|--------------|--------|--------|--------|
| cardinal | 52.75240 | 700 | NA           | 113    | NA     | OK     |
| jacana   | 53.85814 | 700 | NA           | 172    | NA     | OK     |
| oriole   | 54.11299 | 700 | NA           | 149    | NA     | OK     |
| parakeet | 29.90697 | 572 | 125          | 62     | 63     | OK     |
| robin    | 37.87289 | 700 | NA           | 107    | NA     | OK     |
| tanager  | 30.49379 | 557 | 230          | 143    | 87     | OK     |

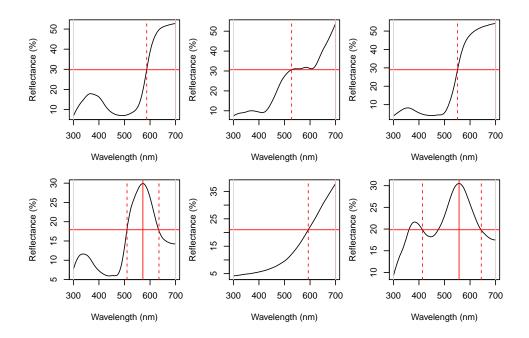


Figure 12: Plots from peakshape

As it can be seen, the variable is meaningless if the curve doesn't have a clear peak. Sometimes, such as in the case of the Cardinal (Figure 12, first panel), there might be a peak which is not the point of maximum reflectance of the entire spectral curve. The half-width can also be erroneously calculated when there are two peaks, as can be seen in the case of the Tanager (Figure 12, last panel). In this case, it's useful to set bounds when calculating the FWHM, using the bounds argument. peakshape also offers a select argument to facilitate subsetting the spectra data frame:

> peakshape(spec.sm, select=2, bounds=c(300,500), plot=T)

B3 H1 FWHM HWHM.1 HWHM.r status cardinal 17.89756 367 99 43 56 OK

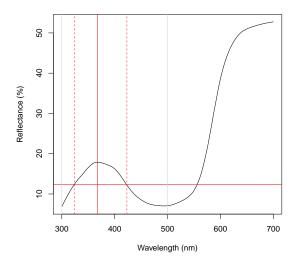


Figure 13: Plot from peakshape, setting the bounds to 300-500nm

# 5.3 Visual System Models

## 5.3.1 Segment Classification Analysis

The segment classification analysis (Endler 1990) does not assume any particular visual system, but instead tries to classify colors in a manner that captures common properties of many vertebrate (and some invertebrate) visual systems. In essence, it breaks down the reflectance spectrum region of interest into four equally-spaced regions, measuring the relative strength along those. This approximates a trichromatic opponency system with short, medium, and long-wavelength sensitive photoreceptors.

Though somewhat simplistic, this model captures many of the properties of other, more complex ones, but without many of the additional assumptions these make. It also provides results in a fairly intuitive color space, in which the angle corresponds to hue and the

distance from the center corresponds to choma (Figure 14; in fact, variables S5 and H4 from summary.rspec are calculated from these relative segments, see Table 1). Note that, while a segment analysis ranging from 300 or 400nm to 700nm corresponds quite closely to the human visual system color wheel, any wavelength range can be analyzed in this way, returning a 360° hue space delimited by the range used (segclass accepts the argument range for user-specified limits that don't match the data range).

The segment differences or "opponents" are calculated as:

$$LM = \frac{R_{\lambda} \sum_{\lambda=Q4} R_{\lambda} - \sum_{\lambda=Q2} R_{\lambda}}{\sum_{\lambda=min}^{max} R_{\lambda}}$$

$$MS = \frac{R_{\lambda} \sum_{\lambda=Q3} R_{\lambda} - \sum_{\lambda=Q1} R_{\lambda}}{\sum_{\lambda=min}^{max} R_{\lambda}}$$
(1a)

$$MS = \frac{R_{\lambda} \sum_{\lambda=Q3} R_{\lambda} - \sum_{\lambda=Q1} R_{\lambda}}{\sum_{\lambda=min}^{max} R_{\lambda}}$$
 (1b)

Where Qi represent the interquantile distances (e.g. for the human visible range,  $Q_1 = blue$ ,  $Q_2 = green, Q_3 = yellow \text{ and } Q_4 = red)$ 

In pavo, the segment classification model is obtained through the function segclass:

### > segclass(spec.sm)

```
LM
cardinal 0.445592537 0.009343756
jacana
       0.258480654 0.224795483
oriole
        0.525814933 0.231403750
parakeet 0.176032189 0.261320574
         0.402603456 0.180032400
tanager 0.005811966 0.129709769
```

where LM and MS are the segment differences or "opponents" (Eqn 1).

The example below uses idealized reflectance spectra to illustrate how the avian color space defined from the segment classification maps to the human color wheel:

```
> # creating idealized specs with varying hue
> fakedata1 <- sapply(seq(100,500,by=20),</pre>
                        function(x) rowSums(cbind(dnorm(300:700,x,30),
                                                    dnorm(300:700,x+400,30))))
> # creating idealized specs with varying saturation
> fakedata2 <- sapply(c(500, 300, 150, 105, 75, 55, 40, 30),
                        function(x) dnorm(300:700,550,x))
> # combining and converting to rspec
> fakedata.c <- data.frame(wl=300:700, fakedata1, fakedata2)
> fakedata.c <- as.rspec(fakedata.c)</pre>
> fakedata.c <- procspec(fakedata.c, "max")</pre>
> fakedata1 <- as.rspec(data.frame(wl=300:700,fakedata1))</pre>
> fakedata1 <- procspec(fakedata1, "max")</pre>
> fakedata2 <- as.rspec(data.frame(wl=300:700,fakedata2))</pre>
> fakedata2 <- procspec(fakedata2, "max")</pre>
```

```
> # segment classification analysis
> seg.fdc <- segclass(fakedata.c)
> # plot results
> layout(cbind(1,2,3), widths=c(1,1,3))
> par(mar=c(5,4,2,0.5))
> plot(fakedata1, type='stack', col=spec2rgb(fakedata1))
> par(mar=c(5,2.5,2,1.5))
> plot(fakedata2, type='stack', col=spec2rgb(fakedata2))
> par(mar=c(5,4,2,0.5))
> plot(seg.fdc, pch=20, cex=3, col=spec2rgb(fakedata.c))
```

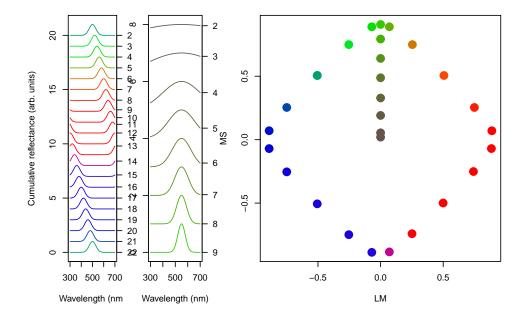


Figure 14: Idealized reflectance spectra and their projection on the axes of segment classification

# 5.3.2 Photon Catch & Receptor Noise Model

Several models have been developed to understand how colors are perceived and discriminated by the receptor visual system (Described in detail in ENDLER, OSORIO). In essence, these models take into account the receptor sensitivity of the different cones that make the visual system in question and quantify how a given color would stimulate those cones individually and the combined perception of color. These models also have an important component of assuming and interpreting the chromatic component of color (hue and saturation) to be processed independently of the achromatic (brightness, or luminance) component. It provides a flexible framework allowing for a tiered model construction, in which information on aspects such as different illumination sources, backgrounds, and visual systems can be considered and compared.

To apply these models, first we need to quantify cone excitation and then consider how

the signal is being processed, considering the relative density of different cones and the noise-to-signal ratio.

**Photon Catch.** To quantify the stimulation of cones by the emitted color, we will use the pavo function vismodel. This function takes an rspec dataframe as a minimal input, and the user can either select from the available options or input its own data for the additional arguments in the function:

- Visual: the visual system to be used. Available options are the avian average UV & average V visual systems, blue tit, starling and peafowl. Alternatively, the user may include its own dataframe, with the first column being the wavelength range and the following columns being the cone types.
- Achromatic: Either a cone sensitivity data (avaiable options are blue tit and chicken double cones), which can also be user-defined as above; or the sum of the two longest-wavelength cones can be used (with the ml option). Alternatively, none can be specified for no achromatic stimulation calculation.
- illum: The illuminant being considered. By default, it considers an ideal white iluminant, but implemented options are a blue sky, standard daylight, and forest shade illuminants. A vector of same length as the wavelength range being considered can also be used as the input.
- bkg: The background being considered. By default, it considers an idealized background (i.e. wavelength-independent influence of the background on color). A vector of same length as the wavelength range being considered can also be used as the input.

(For more information, see ?vismodel)

IMPORTANT: The function also has an additional logical argument, relative. If TRUE, it will make the cone stimulations relative to their sum. For the photon catch and neural noise model, it is important to set relative=FALSE.

For this example, we will use the average reflectance of the different species to calculate their stimulation of retinal cones, considering the avian average UV visual system, a standard daylight illumination, and an idealized background.

```
> vismod1 <- vismodel(sppspec, visual = "avg.uv", illum='D65', relative=FALSE)
> vismod1
```

## \$Qi

```
u s m l lum cardinal 0.0341 0.0649 0.1297 0.4187 0.1939 jacana 0.0199 0.1484 0.2923 0.3313 0.2709 oriole 0.0139 0.0375 0.2649 0.4807 0.2755 parakeet 0.0186 0.0611 0.2542 0.2171 0.2042 robin 0.0109 0.0622 0.1413 0.2420 0.1501 tanager 0.0418 0.1572 0.2747 0.2284 0.2346
```

#### \$qi

u s m l lum cardinal 0.1649 0.0817 0.1378 0.4296 0.2151

```
0.0963 0.1869 0.3106 0.3399 0.3005
jacana
         0.0673 0.0472 0.2814 0.4932 0.3055
oriole
parakeet 0.0897 0.0769 0.2700 0.2227 0.2265
         0.0528 0.0783 0.1501 0.2483 0.1665
robin
tanager 0.2017 0.1979 0.2918 0.2343 0.2602
$fi
               u
                                       1
                                              liim
                       s
cardinal -1.8022 -2.5052 -1.9818 -0.8448 -1.5368
         -2.3406 -1.6773 -1.1694 -1.0791 -1.2023
oriole
         -2.6991 -3.0534 -1.2681 -0.7068 -1.1857
parakeet -2.4115 -2.5650 -1.3094 -1.5019 -1.4851
         -2.9411 -2.5468 -1.8963 -1.3931 -1.7929
robin
        -1.6008 -1.6201 -1.2318 -1.4510 -1.3461
tanager
```

The function returns an object of class vismodel that lists three components:

• Qi: The receptor quantum catches, calculated for receptor i as:

$$Q_i = \int_{\lambda} R_i(\lambda) S(\lambda) I(\lambda) d\lambda, \tag{2}$$

Where  $\lambda$  denotes the wavelength,  $R_i(\lambda)$  the spectral sensitivity of receptor i,  $S(\lambda)$  the reflectance spectrum of the color, and  $I(\lambda)$  the illuminant spectrum.

• qi: The receptor quantum catches normalized to the background, thus accounting for receptor adaptation, by multiplying  $Q_i$  by a constant k. The coefficient k describes the von Kries transformation:

$$k_i = \frac{1}{\int_{\lambda} R_i(\lambda) S^b(\lambda) I(\lambda) d\lambda},\tag{3}$$

Where  $S^b$  denotes the reflectance spectra of the background.

• fi: The receptor quantum catches transformed according to Fechner's law, in which the signal of the receptor is proportional to the logarithm of the quantum catch –i.e.  $f_i = ln(Q_i)$ 

We can easily visualize what these models are doing by comparing the reflectance spectra to the quantum catches they are generating:

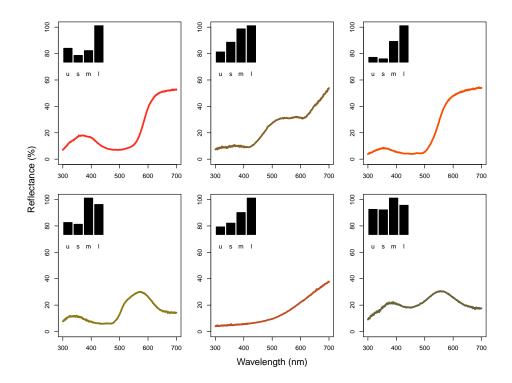


Figure 15: Plots of species mean reflectance curves with corresponding relative usml cone stimulations (insets).

As described above, vismodel also accepts user-defined visual systems, background and illuminants. We will illustrate this by showcasing the function sensmodel, which models spectral sensitivities of retinas based on their peak cone sensitivity, as described in Govardovskii et al. (2000) and Hart & Vorobyev (2005). sensmodel takes several optional arguments, but the main one is a vector containing the peak sensitivities for the cones being modeled. Let's model an idealized dichromat visual system, with cones peaking in sensitivity at 350 and 650 nm:

- > idealizeddichromat <- sensmodel(c(350,650))</pre>
- > plot(idealizeddichromat, col=spec2rgb(idealizeddichromat))

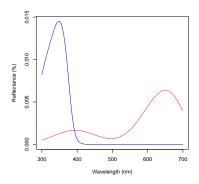


Figure 16: Idealized dichromat photoreceptors created using sensmodel

```
> vismod.idi <- vismodel(sppspec, visual = idealizeddichromat, relative=FALSE)
> vismod.idi
```

| Ψ <b>Ψ</b> ± |         |         |         |
|--------------|---------|---------|---------|
|              | lmax350 | lmax650 | lum     |
| cardinal     | 0.1458  | 0.3519  | 0.2077  |
| jacana       | 0.0916  | 0.3179  | 0.2915  |
| oriole       | 0.0698  | 0.3776  | 0.2893  |
| parakeet     | 0.1058  | 0.1727  | 0.2190  |
| robin        | 0.0473  | 0.2175  | 0.1600  |
| tanager      | 0.1644  | 0.2149  | 0.2568  |
|              |         |         |         |
| \$qi         |         |         |         |
|              | lmax350 | lmax650 | lum     |
| cardinal     | 0.1458  | 0.3519  | 0.2077  |
| jacana       | 0.0916  | 0.3179  | 0.2915  |
| oriole       | 0.0698  | 0.3776  | 0.2893  |
| parakeet     | 0.1058  | 0.1727  | 0.2190  |
| robin        | 0.0473  | 0.2175  | 0.1600  |
| tanager      | 0.1644  | 0.2149  | 0.2568  |
|              |         |         |         |
| \$fi         |         |         |         |
|              | lmax350 | lmax650 | lum     |
| cardinal     | -1.9256 | -1.0443 | -1.5715 |
| jacana       | -2.3909 | -1.1459 | -1.2328 |
| oriole       | -2.6619 | -0.9740 | -1.2405 |
| parakeet     |         | -1.7560 |         |
| robin        |         | -1.5256 |         |
| tanager      | -1.8056 | -1.5377 | -1.3594 |

\$Qi

Receptor Noise. Color distances can be calculated under while considering the inverse of the noise-to-signal ratio, known as the Weber fraction ( $w_i$  for each cone i). The Weber fraction can be calculated from the noise-to-signal ratio of cone  $i(v_i)$  and the relative number of receptor cells of type i within the receptor field  $(n_i)$ :

$$w_i = \frac{v_i}{\sqrt{n_i}} \tag{4}$$

Color distances are obtained by weighting the Euclidean distance of the photoreceptor quantum catches by the Weber fraction of the cones  $(\Delta S)$ . These measurements are in units of Just Noticeable Differences (JNDs), where distances over a certain threshold (usually 1) are considered to be discernible under the conditions considered. The equations used in these calculations are:

For dichromats:

$$\Delta S = \sqrt{\frac{(\Delta f_1 - \Delta f_2)^2}{w_1^2 + w_2^2}} \tag{5}$$

For trichromats:

$$\Delta S = \sqrt{\frac{w_1^2(\Delta f_3 - \Delta f_2)^2 + w_2^2(\Delta f_3 - \Delta f_1)^2 + w_3^2(\Delta f_1 - \Delta f_2)^2}{(w_1 w_2)^2 + (w_1 w_3)^2 + (w_2 w_3)^2}}$$
(6)

For tetrachromats:

$$\Delta S = \begin{cases} \left[ (w_1 w_2)^2 (\Delta f_4 - \Delta f_3)^2 + (w_1 w_3)^2 (\Delta f_4 - \Delta f_2)^2 + (w_1 w_4)^2 (\Delta f_3 - \Delta f_2)^2 + (w_2 w_3)^2 (\Delta f_4 - \Delta f_1)^2 + (w_2 w_4)^2 (\Delta f_3 - \Delta f_1)^2 + (w_3 w_4)^2 (\Delta f_2 - \Delta f_1)^2 \right] / \\ \left[ (w_1 w_2 w_3)^2 + (w_1 w_2 w_4)^2 + (w_1 w_3 w_4)^2 + (w_2 w_3 w_4)^2 \right] \end{cases}$$
(7)

For the chromatic contrast. The achromatic contrast  $(\Delta L)$  can be calculated based on the double cone or the receptor (or combination of receptors) responsible for chromatic processing by the equation (SIDDIQUI 2004):

$$\Delta L = \left| \frac{\Delta f^2}{w^2} \right| \tag{8}$$

pavo implements these calculations in the function coldist. For the achromatic contrast, coldist uses n4 to calculate w for the achromatic contrast. The user can also specify if the function should use  $Q_i$ ,  $q_i$  or  $f_i$  in the equations (that is, if the von Kries correction and Fechner's law transformation should be applied). Note that even if  $Q_i$  or  $q_i$  are chosen, values are still log-transformed. This option is available mostly for in case the user wants to specify a data frame of quantum catches that was not generated by vismodel as an input.

> coldist(vismod1, vis='tetra', qcatch='fi', n1=1, n2=2, n3=2, n4=4, v=0.1)

patch1 patch2 tetra.dS dL 1 cardinal jacana 16.847930 6.6899254

```
oriole 15.811751
                                 7.0221640
   cardinal
3
   cardinal parakeet 15.999461
                                 1.0354990
4
   cardinal
               robin 11.611123
                                 5.1212333
5
   cardinal
             tanager 20.200622
                                 3.8137614
6
     jacana
              oriole 20.302506
                                 0.3322386
7
            parakeet 8.463140
                                 5.6544265
     jacana
8
     jacana
               robin 7.007538 11.8111588
9
     jacana
             tanager 10.252532
                                 2.8761640
10
            parakeet 16.271586
                                 5.9866650
     oriole
11
     oriole
               robin 14.441011 12.1433973
12
     oriole
             tanager 27.216506
                                 3.2084026
13 parakeet
               robin 9.213693
                                 6.1567323
14 parakeet
             tanager 11.939520
                                 2.7782624
             tanager 15.377415
15
                                 8.9349947
> coldist(vismod.idi, vis='di', qcatch='fi', n1=1, n2=1,
     patch1
              patch2
                          di.dS
                                        dL
              jacana 1.1498495 13.5477643
   cardinal
1
2
   cardinal
              oriole 2.5506049 13.2422588
3
   cardinal
            parakeet 1.2365087
                                 2.1119271
4
   cardinal
               robin 2.0395424 10.4463925
   cardinal
             tanager 1.9399073
                                 8.4832383
5
6
     jacana
              oriole 1.4007554
                                 0.3055055
7
            parakeet 2.3863582 11.4358373
     jacana
8
     jacana
               robin 0.8896929 23.9941568
9
     jacana
             tanager 3.0897568
                                5.0645260
10
     oriole
            parakeet 3.7871136 11.1303317
11
     oriole
               robin 0.5110625 23.6886513
12
     oriole
             tanager 4.4905123 4.7590204
13 parakeet
               robin 3.2760511 12.5583195
14 parakeet
             tanager 0.7033986
                                 6.3713113
15
             tanager 3.9794497 18.9296308
      robin
```

Where dS is the chromatic contrast  $(\Delta S)$  and dL is the achromatic contrast  $(\Delta L)$ . As expected, values are really high under the avian color vision, since the colors of these species are quite different (see Figure 15). IMPORTANT: Note that coldist returns  $\Delta S$ , and not  $\Delta S^2$ 

#### 5.3.3 Tetrahedral Color Space Model

Another visual model representation that has become quite popular, especially in avian biology studies, is the color space model. In this model, photon catches are expressed in relative values (so that the the quantum catches of all cones involved in chromatic discrimination sum to 1). The maximum stimulation of each cone n is placed at the vertice of a (n-1)-dimensional polygon that encompasses all theoretical colors that can be perceived by that visual system. Therefore, for the avian visual system comprised of 4 cones, all colors can be placed somewhere in the volume of a tetrahedron, in which each of the four vertices represents the maximum stimulation of that particular cone type

Though this model does not account for receptor noise (and thus does not allow an estimate of Just Noticeable Differences), it presents several advantages. First, it makes for a very intuitive representation of color points accounting for attirbutes of the color vision of the signal receiver. Second, and perhaps most importantly, it allows for the calculation of several interesting variables that represent color. For example, hue can be estimated from the angle of the point relative to the xy plane (blue-green-red) and the yz plane (UV); saturation can be estimated as the distance of the point from the achromatic center.

In pavo the tetrahedral color space is implemented in the function tcs, after the calculation of relative quantum catches using vismodel with the (default) option relative=TRUE.

```
> vismod2 <- vismodel(sppspec)
> tcs(vismod2)
```

```
1
                                                 l.r
            u
                 S
                       m
                                u.r
                                      s.r
                                             m.r
cardinal 0.20 0.10 0.17 0.53 -0.05 -0.15 -0.08 0.28 0.26
jacana
         0.10 0.20 0.33 0.37 -0.15 -0.05
                                           0.08 0.12 0.11
         0.08 0.05 0.31 0.56 -0.17 -0.20
oriole
                                           0.06 0.31 0.31
parakeet 0.15 0.11 0.40 0.33 -0.10 -0.14
                                           0.15 0.08 0.14
robin
         0.10 0.15 0.28 0.47 -0.15 -0.10
                                           0.03 0.22 0.20
tanager
         0.21 0.22 0.32 0.26 -0.04 -0.03
                                           0.07 0.01 0.03
                   z h.theta h.phi r.vec r.max r.achieved
             У
                        -0.38 -0.18 0.29
                                                       0.59
cardinal -0.10 -0.05
                                           0.49
jacana
          0.04 - 0.15
                         0.35 -0.92
                                     0.19
                                           0.31
                                                       0.59
oriole
          0.01 - 0.17
                         0.02 - 0.51
                                     0.35
                                           0.45
                                                       0.79
parakeet 0.13 -0.10
                         0.76 - 0.50
                                     0.21
                                           0.39
                                                       0.55
robin
         -0.02 - 0.15
                        -0.09 - 0.66
                                     0.25
                                           0.41
                                                       0.62
          0.06 -0.04
                         1.17 -0.59
tanager
                                     0.08
                                           0.45
                                                       0.17
```

By default, tcs will use  $Q_i$  for the calculations (that is, *not* log-transformed, as recommended by STODDARD & PRUM), but  $q_i$  or  $f_i$  may be specified if desired (e.g. tcs(vismod2\$fi)).

tcs returns the original photon catch values, the relative cone stimulation for a given hue (x.r; see the supplemental material of STODDARD & PRUM for more information) the two angles of hue  $(h.theta \ and \ h.phi)$ , and the distance from the achromatic center (r.vec) along with the maximum distance achievable for that hue (r.max) and the proportion of that maximum achieved by the color point (r.achieved).

Summary variables for groups of points. Another advantage of the tetrahedral color space model is that it allows for the calculation of useful summary statistics of groups of points, such as the centroid of the points, the total volume occupied, the mean and variance of hue span and the mean saturation. In pavo, the result of a tcs call is an object of class tcs, and thus these summary statistics can be calculated simply by calling summary:

## > summary(tcs(vismod2))

In addition, the summary call can take a by vector of group identities, so that the variables are calculated for each group separately. For example we could use the tetrahedral colorspace model to represent the spectra of all individuals measured, and calculate the summary statistics for these points per species:

```
> tcs.mspecs <- tcs(vismodel(mspecs))</pre>
> summary(tcs.mspecs, by=spp)
         centroid.u centroid.s centroid.m centroid.l
cardinal 0.19722893 0.10180626 0.1674712 0.5334936
         0.10238067 0.19490584
                                0.3353156 0.3673979
jacana
oriole
         0.07974505 0.05548515 0.3137751 0.5509947
parakeet 0.14742471 0.11328081 0.4044939 0.3348005
robin
         0.09552156 0.14623867
                                0.2838515 0.4743883
tanager 0.20704416 0.21522329 0.3200765 0.2576560
                c.vol colspan.m
                                    colspan.v
cardinal 1.067722e-05 0.05101648 0.0010281190 0.5927749
        9.033126e-07 0.01900966 0.0001104442 0.5904773
jacana
        3.019026e-05 0.06057124 0.0010275881 0.7804810
oriole
parakeet 1.789226e-05 0.03213056 0.0002574116 0.5468767
        9.846623e-07 0.02186871 0.0001634197 0.6179138
robin
tanager 7.623205e-05 0.04086315 0.0004112454 0.1966120
            max.ra
cardinal 0.6675429
jacana
         0.6374283
oriole
         0.8756332
parakeet 0.6091937
         0.6668626
robin
tanager
        0.3029061
```

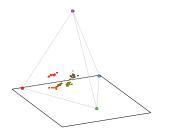
Plotting options. There are two useful plots for the tetrahedral colorspace model. The first is a three-dimensional plot of the volume, which is implemented in pavo as an interactive plot, which the user can spin around and zoom, and can be called by the function ttplot

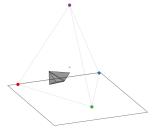
```
> ttplot(tcs.mspecs, col=spec2rgb(mspecs), size=0.01)
> # rgl.postscript('pavo-tcsplot.pdf',fmt='pdf')
```

the accessory functions ttpoints and ttvol can be used, in addition, to plot additional points or the convex hull determining the volume occupied by the points:

```
> ttplot(tcs(vismod2), size=0)
> ttvol(tcs(vismod2))
> # rgl.snapshot('pavo-tcsvolplot.png')
```

Another plotting option available is projplot, which projects color points in the surface of a sphere encompassing the tetrahedron. This plot is particularly useful to see differences in hue. As we can see in Figure 18, points are mostly concentrated in the south and west "hemispheres", indicating colors with low UV content and concentrated in green-red areas of colorspace.





(a) Tetrahedral colorspace plot

(b) Tetrahedral plot with convex hull volume

Figure 17: Example plots obtained using ttplot. Plot on the left was exported as pdf, while the one on the right was exported as png.

> projplot(tcs.mspecs, pch=20, col=spec2rgb(mspecs))

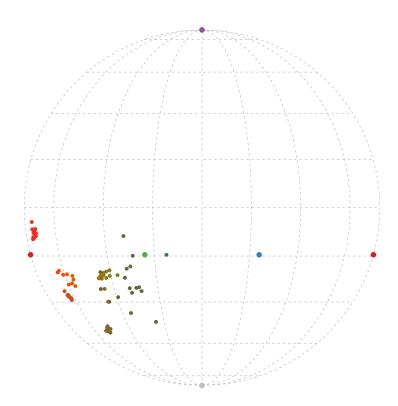


Figure 18: Projection plot from a tetrahedral colorspace

Color Volume Overlap. Finally, a useful function available in pavo is voloverlap, which calculates the overlap in tetrahedral color volume between two sets of points. To show this function, we will use the sicalis dataset, which includes measurements from the crown (C), throat (T) and belly (B) of seven stripe-tailed yellow finches (textitSicalis citrina).

```
> data(sicalis)
> aggplot(sicalis, by=rep(c('C','T','B'), 7))
```

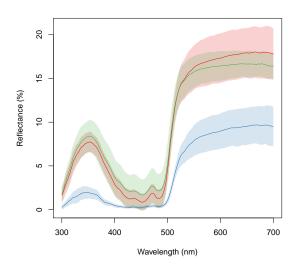
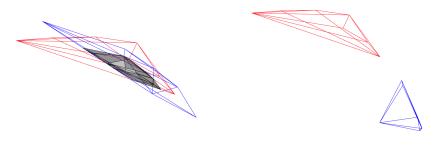


Figure 19: aggplot of the sicalis data (blue: crown, red: throat, green: belly)

We will use this dataset to test for the overlap between the volume determined by the measurements of those body parts from multiple individuals in the tetrahedral colorspace (note the option plot for plotting of the volumes:

```
> tcs.sicalis.C <- tcs(vismodel(sicalis[c(1,grep('\\.C',names(sicalis)))]))</pre>
> tcs.sicalis.T <- tcs(vismodel(sicalis[c(1,grep('\\.T',names(sicalis)))]))</pre>
> tcs.sicalis.B <- tcs(vismodel(sicalis[c(1,grep('\\.B',names(sicalis)))]))</pre>
> #voloverlap(tcs.sicalis.T,tcs.sicalis.B, plot=T)
> #voloverlap(tcs.sicalis.T,tcs.sicalis.C, plot=T)
> voloverlap(tcs.sicalis.T,tcs.sicalis.B)
         vol1
                      vol2
                               voverlap vsmallest
                                                        vboth
1 5.18372e-06 6.28151e-06 6.904073e-07 0.1331876 0.06407598
> voloverlap(tcs.sicalis.T,tcs.sicalis.C)
                       vol2 voverlap vsmallest vboth
1 5.18372e-06 4.739151e-06
                                   0
                                              0
```

voverlap gives the volume (V) of the convex hull delimited by the overlap between the two original volumes, and two proportions are calculated from that:  $V_{smallest} = V_{overlap}/V_{smallest}$  and  $V_{both} = V_{overlap}/(V_A + V_B)$ . Thus, if one of the volumes is entirely contained in the other, vsmallest will equal 1.



(a) Throat and Breast Overlap

(b) Throat and Crown overlap

Figure 20: Color volume overlaps. Shaded area in panel represents the overlap between those two sets of points.

So we can clearly see that there is overlap between the throat and breast colors, but not between the throat and the crown colors (Figure 20).