



Climate change and respiratory diseases: a 2020 perspective

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Purpose of review

To present an overview of the impact of climate change upon human respiratory health.

Recent findings

Climate change involves two major types of change. First, there is overall progressive warming. Second, there is increased variability/unpredictability in weather patterns. Both types of change impact negatively upon human respiratory health. Worsening air quality and increased allergens can worsen existing disease. Climate-related changes in allergens and in vectors for infection can cause new disease. Redundant sophisticated studies have projected marked increases in respiratory morbidity and mortality throughout the world as a direct result of climate change. This article summarizes some of those studies.

Summary

The clarity of our vision with respect to the dramatic impact of climate change upon human respiratory health approaches 20/20. The data represent a mandate for change. Change needs to include international, national, and individual efforts.

Keywords

climate change, global warming, pulmonary diseases, respiratory health

INTRODUCTION

Earth's climate is changing. Each year is breaking the record of the preceding year when it comes to average surface temperature on planet earth; September 2019 tied as second hottest on record for the United States [1,2^{***},3]. Europe had an unprecedented heat wave in 2019 [4,5]. Large wildfires in Siberia and Alaska made global headlines in 2019 [6,7]. Dorian was an unprecedented category 5 hurricane in the Bahamas and eastern coastal United States [8]. Severe drought is affecting many African countries and taking a toll not only on millions of human beings but on wildlife as well, with over 50 elephants reported dead within 2 months in Zimbabwe in October 2019 [9]. The United States National weather service issued its first-ever 'extreme red flag warning' for Los Angeles in October, 2019 [10]. For those whose opinions are based upon evidence and data, there is now ample evidence both that climate is changing at an unprecedented pace and that climate change is primarily due to human activities [1,2^{***},11,12^{***}]. The fourth national climate assessment report concluded (based on extensive evidence) that, 'it is extremely likely that human activities, especially emissions of greenhouse gases, are the dominant cause of the observed

warming since the mid-20th century [1,2^{***},11]' (<https://www.globalchange.gov/climate-change>).

RESPIRATORY HEALTH EFFECTS DUE TO CLIMATE CHANGE

Climate change presents substantial challenges to overall human health and to respiratory health in particular. A discussion of impact on overall health is beyond the scope of this article; we will focus on the impact of climate change on respiratory health. Before delving into specific details, an overview of the impact of human activity on climate is warranted. This is illustrated in Fig. 1. The burning of fossil fuel for energy production, deforestation,

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KEY POINTS

- Earth's climate is changing at an unprecedented pace, mainly due to anthropogenic causes.
- Climate change impacts negatively upon human respiratory health via a plethora of mechanisms which range from direct pulmonary toxicity due to greenhouse gasses to ecosystem changes with deleterious effects.
- We need to reduce greenhouse gases emissions on an emergent basis to mitigate the impact of climate change on human health.

large-scale concentrated animal feeding organizations (CAFOs), and the use of aerosols for cooling are the predominant causes of accelerated global warming [1,2¹¹,11,12¹¹]. These activities have led to increased production of greenhouse gases – mainly carbon dioxide, nitrogen dioxide, and methane gas – the predominant cause of earth's increasing temperature over the last century. This in turn is the cause of frequent extreme weather patterns/events such as prolonged heat waves, melting of permafrost, rising sea levels, storms, flooding, and droughts causing wildfires [1,12¹¹]. Each of these extreme events has independent direct and indirect effects on respiratory health (Fig. 1). Ironically, the burning of fossil fuels, the cornerstone of the industrial revolution, presents a multifaceted threat to human health both via the formation of greenhouse gasses and via air pollution, which itself has direct and independent adverse impacts on respiratory health [13,14¹¹,15¹¹].

Climate change and air quality

A substantial body of epidemiologic research describes how increasing atmospheric temperatures associated with climate change are worsening ambient air quality at local, regional, and global levels [1,12¹¹]. Climate change is directly impacting air quality by increasing pollutant levels such as ozone and particulate matter and by influencing the dispersion and transport of pollutants and their precursors via changes in atmospheric ventilation and in precipitation, both of which affect the removal of air pollutants [1,2¹¹,11,12¹¹]. Ground-level (tropospheric) ozone (O₃) is formed by photochemical reactions between its precursors – including nitrogen oxides (NO_x), methane (CH₄), volatile organic compounds (VOCs), and carbon monoxide (CO). This happens when these precursor-pollutants chemically react in the presence of sunlight [16,17]. Major human contributions come from automobile exhaust, power plants, industrial boilers, refineries, chemical plants,

and CAFOs [16,17]. The rate of O₃ formation is temperature-dependent; increasing earth's temperatures and increased sunlight favor more rapid formation. Thus increases in temperature, more and longer droughts, and less precipitation are all conducive to higher ground-level O₃ concentrations [16,17]. On the contrary, increasing temperatures due to climate change also increase the emission of natural VOCs, further increasing the anthropogenic contribution to ground-level ozone concentrations [17].

Ozone is a direct respiratory irritant. It causes airway inflammation and damages lung tissues [14¹¹,15¹¹,18]. Ozone exposure has been implicated in diminishing lung function and increased mortality, especially in children and the elderly [14¹¹,15¹¹,18,19]. Ozone exposure can cause or exacerbate obstructive lung diseases such as chronic obstructive pulmonary disease (COPD) and bronchial asthma [14¹¹,15¹¹]. Orru *et al.* [20] investigated the magnitude of the impact of climate-change-induced changes in ground-level ozone concentration on the total rate of respiratory hospitalizations and the total all-cause mortality in Europe using emission scenarios, models, and epidemiological data. Comparing the then current situation (1990–2009) with the baseline period (1961–1990), the largest increase in ozone-associated mortality and morbidity due to climate change (4–5%) was predicted for Belgium, Ireland, the Netherlands, and the United Kingdom. They also compared the baseline period and the future periods (2021–2050 and 2041–2060) and projected much larger increases in ozone-related mortality and morbidity for Belgium, France, Spain, and Portugal.

Inhalable particulate matter is defined as particles small enough to be deposited in the lungs. Particulate matter is broken into two size categories; particulate matter with an aerodynamic diameter of 10 µm or less (PM₁₀), at which size they can deposit in the airways, and those with an aerodynamic diameter of 2.5 µm or less (PM_{2.5}), at which size they can be deposited in alveoli. The main sources of PM_{2.5} include motor vehicles (especially diesel-powered), power plants, heating systems for buildings, wildfires, and, in arid regions, wind-blown dust [14¹¹,15¹¹]. Climate change is increasing concentration of particulate matter via several pathways which include increased production due to extreme events such as wildfires and the storms, and decreased clearance due to less large-scale precipitation over land [1,2¹¹,12¹¹,21]. Particulate matter has significant adverse impact on respiratory health and mortality [13,14¹¹,15¹¹]. Liu *et al.* [22¹¹] evaluated the association of particulate matter with respiratory mortality and all-cause mortality in 652 studies around the globe. This time-series analysis study showed a positive association between short-term

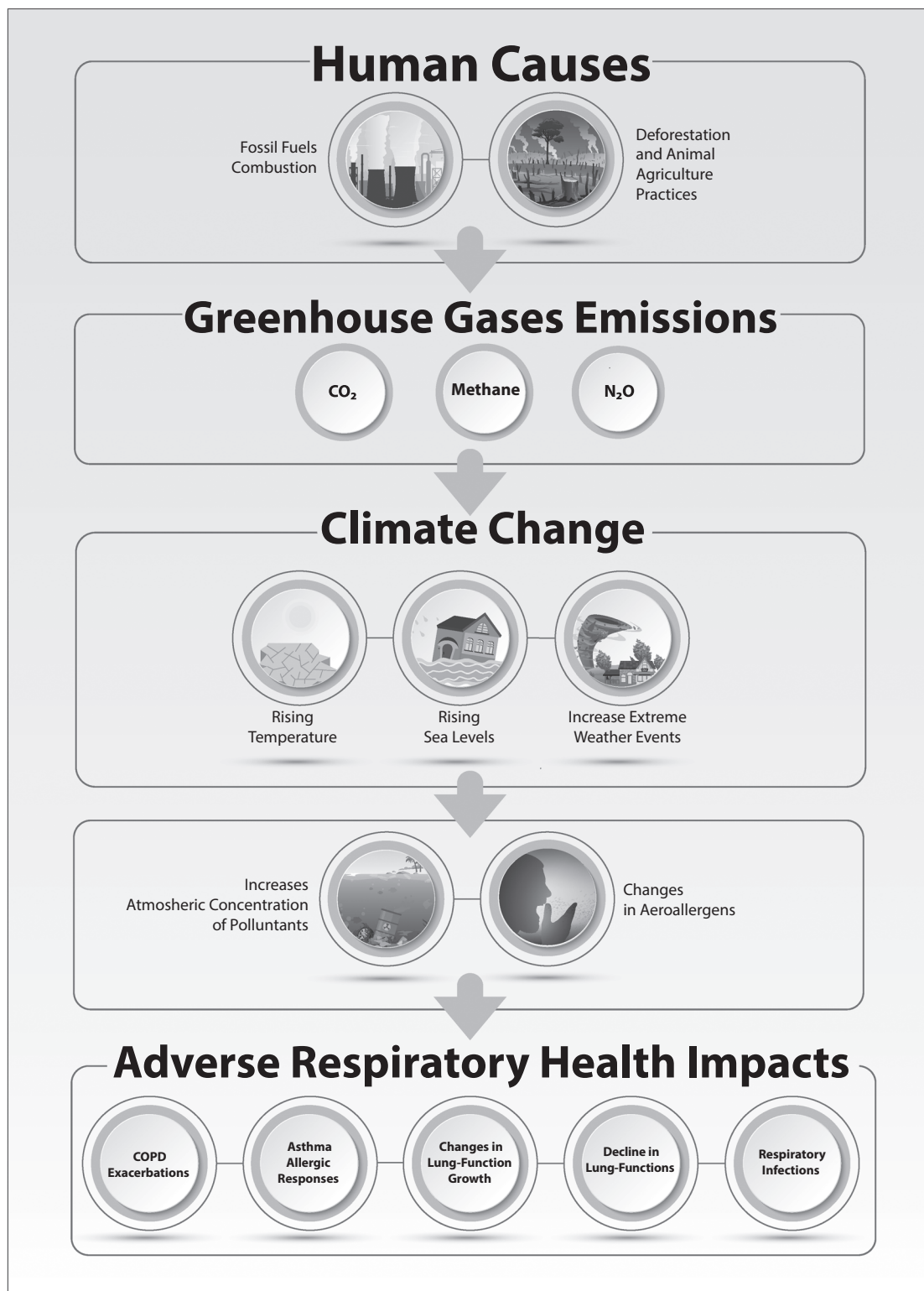


FIGURE 1. Overview figure summarizing the anthropogenic causes of climate change and their impact on respiratory health.

exposure to PM₁₀ and PM_{2.5}, respiratory mortality, and all-cause mortality after adjustment for gaseous pollutants. Another study by Silva *et al.* [23] using multiple global chemistry-climate models showed that premature mortality will increase from changes

in air pollution attributable to climate change. Their study showed that increases in PM_{2.5} due to climate change are estimated to result in 55 600 deaths/year in 2030 and 215 000 deaths/year in 2100. Estimates of PM_{2.5}-related mortality increase in 2100 in all regions

except Africa, with the greatest predicted increases in mortality in India (80 200 deaths/year, 40 deaths/year/million people), the Middle East (50 400 deaths/year, 45 deaths/year/million people) and East Asia (47 200 deaths/year, 43 deaths/year/million people). The former Soviet Union is predicted to have the highest per capita mortality in 2100 (57 deaths/year/million people) [23]. Another recent study by Analitis *et al.* [24] showed increased mortality due to synergy between temperatures and air pollution.

Climate change and respiratory allergic responses

Warmer temperatures from climate change are affecting aero-allergenic plant pollen production worldwide [25]. Increased amounts of carbon dioxide as well as warmer temperatures are causing increased growth of allergenic plants [26]. Both the increased growth and extension of pollen seasons with warmer springs and delays in first fall-frost can lead to increased production of pollen [27–29]. A conforming real-world experimental study was conducted by growing ragweed plots concomitantly in urban and rural environments. The study results showed that the ragweed grew faster, flowered earlier, and produced significantly greater above-ground biomass and ragweed pollen in the urban environment, where carbon dioxide concentrations were 30% higher than in the rural environment [27]. Another confirming study by Ziska *et al.* [28] showed a clear association between recent warming and an increase in the duration of ragweed pollen season (up to 27 days) in midwestern North America. Similar studies in Europe have shown trends toward earlier starts of birch pollen seasons in London, Brussels, Zurich, and Vienna [29].

It is interesting that global warming is not only increasing the concentration of pollen in the air, but it is also increasing the ‘allergenic potency’ of airborne allergens [30,31]. In a study that examined the impact of urbanization on the proteome of birch pollen and its chemotactic activity on human granulocytes, researchers reported that extracts from pollen collected in urban areas had higher chemotactic activity for human neutrophils than did pollen from rural sites [30]. Another interesting study by Beck *et al.* found ozone exposure to be an important factor that enhanced the allergenicity of birch pollen in susceptible individuals. The authors concluded that ozone can impact human respiratory health not only as a direct pollutant but also by increasing allergic symptoms via its impact on allergenicity [31].

Climate change can also cause some allergen-producing plants to move into new areas, and winds

can carry pollen long distances from the site of origin [32]. Both the increases in aeroallergens and the causative link between climate change and these increases cited above have been endorsed by a recent report submitted by the American Academy of Allergy, Asthma & Immunology Working Group [33]. The longer pollen seasons (and increased concentrations of pollen in ambient air) increase the duration of human exposure to aeroallergens and thus increase allergic sensitization. Exposure to more and more allergenic pollen may make people that do not currently have allergies develop allergic symptoms; in people with allergic diseases, it has a potential of increasing the severity and duration of symptoms [32,33,34]. There have been increases in emergency department visits and hospital admissions for asthma and allergic rhinitis, in the number of physician visits, and in the sale of over-the-counter allergy medications [35–37].

Climate change and its impact on respiratory infections

The classic model of causation in infectious disease, also known as the epidemiologic triad, consists of host, an infectious agent (including transmitting vector), and the environment (Fig. 2). This simple model can explain how respiratory infections may impact human health due to change in environment attributable to climate change, as we live in ecosystems which involve complex interactions between organisms and the environment. Climate change may impact the incidence of bacterial, viral, fungal, and tick-borne respiratory infections [38]. There is quite a bit of seasonal as well as year-to-year

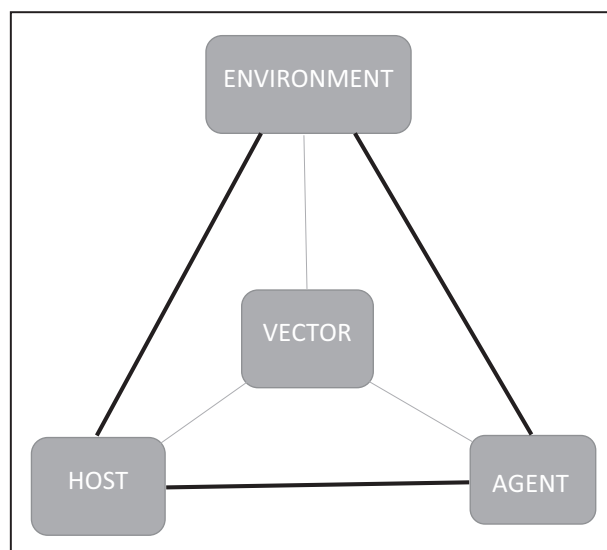


FIGURE 2. The epidemiology triad.

unpredictability in common respiratory viral epidemics (such as influenza and respiratory syncytial virus) and associated bacterial pneumonias [39,40]. Climate change may add more unpredictability in terms of incidence, total duration, and severity of these infectious epidemics [41]. Respiratory infections such as influenza-like illness (ILI) have a higher incidence during the winter months in areas with temperate climate [42]. Caini *et al.* [43[■]] looked at the incidence of ILI in the Netherlands over the interval 1970–2016. Their findings showed that ILI incidence declined from 1970 and reached a minimum in the season 2002–2003, but then started to increase in 2003. Lower humidity and temperature in a given week were associated with higher ILI incidence the following week. Their study conclusions suggest that changes in climatic parameters may have played a role in shaping the long-term trends of ILI rates in the Netherlands [43[■]].

Climate change is causing global warming as well as influencing short-term (e.g., diurnal) and long-term (e.g., intraseasonal) temperature variability [1,2[■]]. Sun *et al.* [44[■]] in their prospective study assessed the association of intraseasonal temperature variability with respiratory disease hospitalizations among a cohort of elderly Chinese individuals in Hong Kong. The study data demonstrated a positive association between increased variability in wintertime temperatures and risk of incident respiratory diseases. The hazard ratios for total respiratory diseases, pneumonia and COPD per 1 °C increase in wintertime temperature variability were 1.20 (95% confidence interval 1.08–1.32), 1.15 (1.01–1.31), and 1.41 (1.15–1.71), respectively [44[■]]. Temperature variability is expected to increase with climate change [1,2[■]]. The diurnal temperature range, defined as the difference between maximal and minimal temperatures within 1 day, and the temperature change between 2 neighboring days, have both been reported to impact childhood pneumonias and respiratory disease mortality [45,46]. In the resource-limited tropical and subtropical areas of Asia and Africa, climate change could potentially increase the incidence of childhood pneumonias through several different mechanisms; altered precipitation with periods of heavy rainfall leading to increased time indoors and indoor crowding, increased indoor exposure to biomass fuel smoke, and immune system variability due to vitamin D level variability caused by variability in sun exposure [38,34].

Climate change may cause an increase in the overall incidence and geographical spread of infectious diseases with different modes of transmission. Vector-borne diseases like malaria and dengue are on rise in the Africa and Asia due to alterations in

vectorial capacity (a measure of the capacity for vectors to transmit a pathogen to a host) [47[■]]. Climatic changes have increased overall vectorial capacity for dengue virus in the 2010s compared with the 1950s; increases of 7.8% for *A. aegypti* and 9.6% for *A. albopictus* have been documented [47[■]]. Similarly, the transmission of *Plasmodium falciparum* and *Plasmodium vivax*, the two dominant parasites causing malaria worldwide, have been on the rise due to increasing suitability for its transmission attributable to climate change [47[■]]. Overall, there is limited research with respect to how climate change will alter disease vectors. Extreme weather events such as hurricanes can have implications for a multitude of diseases, and our understanding of long-term effects on respiratory infectious diseases is limited [48[■]].

The endemic mycoses found in North America, namely blastomycosis, coccidioidomycosis, and histoplasmosis, are caused by thermally dimorphic fungi and cause infections (mainly respiratory) in areas to which these fungi are geographically restricted, also referred to as mycosis-endemic areas [49]. Several studies suggest that these so-called endemic areas are expanding, likely due to climate change and human land use [49,50[■]–52[■]].

CLIMATE CHANGE CAUSING EXTREME WEATHER EVENTS AND ITS IMPACT ON RESPIRATORY HEALTH

Extreme events such as wildfires and prolonged heat-waves and drought are causing increase in pollutants such as particulate matter and ground-level ozone, often to dangerous levels [1,2[■],12[■]]. There are now studies from all over the world demonstrating that such events are associated with dangerous level of pollutants and impact respiratory health [21,53–56].

Heat waves

Several time-series and case crossover studies have found a strong synergistic association between heat waves and air pollution [57[■]–60[■]]. Johansson investigated how the extreme summer of 2018 affected the ground-level ozone concentrations compared to the summer periods of 2013–2017 in four different sites in southwest Sweden [57[■]]. The study demonstrated that all sites experienced higher average ozone concentrations during 2018 than the period 2013–2017; the important contributing factors were heat waves, high insolation, low humidity, and high vapor pressure deficit [57[■]]. Lin *et al.* [58[■]] studied the impact of extreme meteorological events including heat wave, atmospheric

stagnation, and temperature inversion in the Pearl River delta region of China in each summer season (April–October) from 2006 to 2017. They concluded that atmospheric stagnation had the largest impact on O₃ concentrations over the region, resulting in a 58% increase compared with normal days; heat waves and temperature inversion led to increases of 28 and 14%, respectively [58[□]]. Utembe *et al.* [59[□]] used the WRF-Chem model to simulate mixing ratios of atmospheric pollutants in greater Sydney during the January, 2013 extreme heat episodes that broke previously long-held temperature records. The results from their modeling study not only confirmed the relative and combined impacts of temperature and biogenic emissions on air quality but also showed that relative impacts of temperature and biogenic emissions were additive [59[□]]. Kalisa *et al.* [60[□]] performed regression analysis of the relationship between O₃, PM₁₀, nitrogen dioxide (NO₂), and temperatures in urban and rural areas of Birmingham and found that during heatwaves, all pollutant levels rose at each site, with the maximum temperature coinciding with the peak levels of O₃ and PM₁₀. Levels of ozone were found to increase by more than 50% with increases in temperature [60[□]].

Wildfires

Wildfire activity has been increasing over the past several decades in the Western United States and in many other parts of the world, with the increases attributed to global climate change [61–64]. Anthropogenic contributions to climate change are estimated to have led to a doubling of the total area burned by forest-fires in the Western United States between 1984 in 2015 [61]. A comprehensive review article by Reid and Maestas [65[□]] concluded that as climate change progresses, the probability of wildfires is likely to increase in many places, impacting respiratory health. Wildfire smoke is a complex mixture of thousands of compounds, primarily PM_{2.5}, carbon dioxide, hydrocarbons, and nitrogen oxides which contribute to increase air pollution locally and regionally, at times to dangerous levels as seen over the past several summer seasons in California [63,64,65[□],66[□]]. Recent data have confirmed associations between wildfire smoke exposures and exacerbations of obstructive lung diseases such as asthma and COPD [66[□]]. Several studies have redundantly demonstrated an association between wildfire smoke exposure and all respiratory health outcomes including outpatient visits, emergency department visits, and hospitalizations [67[□],68,69[□],70,71[□],72,73]. Although immediate respiratory health effects from wildfire smoke exposure are well studied, there is a paucity of data on the

long-term respiratory impact of wildfire smoke [74,75[□],76,77].

Flooding and severe storms

The frequency and intensity of severe hurricanes and storms is on rise in the United States, and climate change is predicted to further increase the intensity of these extreme events [1,2[□],12[□]]. There has been an alarming increase in sea levels, threatening coastal cities with flooding. Several studies have demonstrated associations between bronchial asthma and thunderstorm activities [21,78]. Post-flooding dampness is also associated with increases in aero-allergenic mold levels, causing exacerbations of asthma and allergic rhinitis [21,78]. Following hurricanes Katrina and Rita, there were high indoor mold exposures for several months in the storm-affected areas, with the potential of causing upper and lower respiratory symptoms [79].

CONCLUSION

The impacts of climate change reviewed in this chapter represent only a fraction of the impact of climate change upon us and our world. One trying to find a word to describe the breadth and implications of climate change must struggle for words. Words of magnitude such as ‘colossal,’ ‘monumental,’ ‘prodigious’ do not suffice, and one is left reaching for words such as ‘apocalyptic,’ a word which has connotations of a religious vision of the end of the world or of a science fiction thriller in which we must defeat an extraterrestrial enemy to save the earth. In this true story, ‘the enemy is us.’ We as a species may be outsurvived by the ant and the armadillo, but in this era we are the masters of the earth. With tools, machines, and ingenuity man has managed to broach and conquer every corner of our planet. Sadly, we have not matched mastery with stewardship, and now the waste products from our success in changing the planet are changing us.

We see and understand with increasing clarity how climate change will affect us. But 20/20 vision is of no avail without action. Almost every country and society on this planet is planning to tackle climate change and its impacts; the Paris agreement a prime example of uniformity of intent [80]. Those of us in ‘developed’ economies play a greater role in generating greenhouse gasses and must play a greater role in mitigation. We represent about 10% of the world’s population and yet consume approximately one-third of the world’s total energy production [81]. We have the privilege of being able to make choices and the duty to do so. Personal

decisions matter. A change to a more plant-based diet as suggested by a recent WHO report can lead to a decrease in animal farming, protect land and water, and mitigate climate change [82,83]. Collectively, the sacrifice of little conveniences such as excessive cooling or heating of our homes and workplaces can have far-reaching positive effects. Our path will determine the future of generations to come. We do need to reduce greenhouse gases emissions. We need to do it now. It's an emergency.

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Conflicts of interest

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