Algorithmic Challenges: Knuth-Morris-Pratt Algorithm

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Algorithms on Strings
Data Structures and Algorithms

Outline

Exact Pattern Matching

Input: Strings T (Text) and P (Pattern).

Output: All such positions in T (Text) where P (Pattern) appears as a substring.

(For all strings in this module we use 0-based indices)

Brute Force Algorithm

- Slide the Pattern down Text

Brute Force Algorithm

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- Running time $\Theta(|T||P|)$

Brute Force Algorithm

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: []

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

| | | | a | b | r | a | | | | |

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

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Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Output: [0]

Brute Force Algorithm

0	1	2	3	4	5	6	7	8	9	10
a	b	r	a	c	a	d	a	b	r	a

| | | | | | | | a | b | r | a |

Output: [0,7]

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	r	a	c	a	d	a	b	r	a

a	b	r	a

a	b	r	a

Skipping Positions

a	b	c	d	a	b	c	d	a	b	e	f

a	b	c	d	a	b	e	f

Skipping Positions

a	b	c	d	a	b	c	d	a	b	e	f

a	b	c	d	a	b	e	f

Skipping Positions

| a | b | c | d | a | b | c | d | a | b | e | f |

| a | b | c | d | a | b | e | f |

Skipping Positions

a	b	c	d	a	b	c	d	a	b	e	f

a	b	c	d	a	b	e	f

Skipping Positions

a	b	c	d	a	b	c	d	a	b	e	f

a	b	c	d	a	b	e	f

Skipping Positions

a	b	a	b	a	b	a	b	a	b	e	f

a	b	a	b	a	b	e	f

Skipping Positions

| a | b | a | b | a | b | a | b | a | b | e | f |

| a | b | a | b | a | b | e | f |

Skipping Positions

a	b	a	b	a	b	a	b	a	b	e	f

a	b	a	b	a	b	e	f

Skipping Positions

a	b	a	b	a	b	a	b	a	b	e	f

a	b	a	b	a	b	e	f

Skipping Positions

a	b	a	b	a	b	a	b	a	b	e	f

a	b	a	b	a	b	e	f

Skipping Positions

a	b	a	b	a	b	a	b	a	b	e	f

a	b	a	b	a	b	e	f

Skipping Positions

| a | b | a | b | a | b | a | b | a | b | e | f |

| a | b | a | b | a | b | e | f |

Skipping Positions

a	b	a	b	a	b	a	b	a	b	e	f

a	b	a	b	a	b	e	f

Definitions

Definition

Border of string S is a prefix of S which is equal to a suffix of S, but not equal to the whole S.

Example

"a" is a border of "arba"
"ab" is a border of "abcdab"
"abab" is a border of "ababab"
"ab" is not a border of "ab"

Shifting Pattern

T

P

Shifting Pattern

- Find longest common prefix *u*

Shifting Pattern

- Find longest common prefix u
- Find w — the longest border of u

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- But we shouldn't miss any of the pattern occurrences in the text
- Is it safe to shift the pattern this way?

Outline

Suffix notation

Definition

Denote by S_k suffix of string S starting at position k.

Examples

$S = $ "abcd" $\Rightarrow S_2 = $ "cd"
$T = $ "abc" $\Rightarrow T_0 = $ "abc"
$P = $ "aa" $\Rightarrow P_1 = $ "a"

Safe shift

Let u be the longest common prefix of P and T_k. Let w be the longest border of u. Then there are no occurrences of P in T starting between positions k and $(k + |u| - |w|)$ — the start of suffix w in the prefix u of T_k.
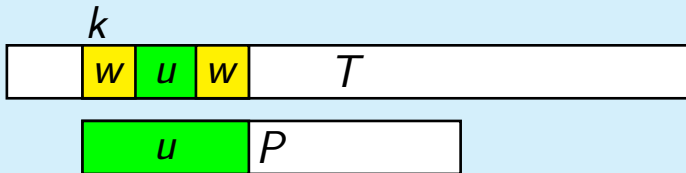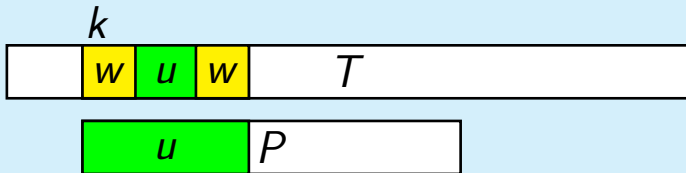
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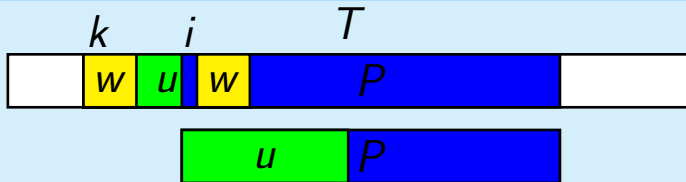
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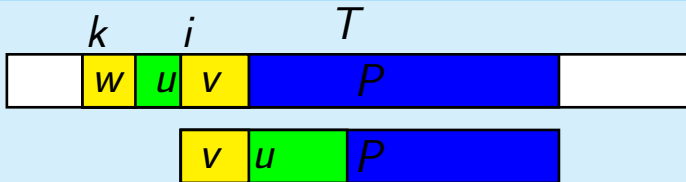
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Proof

Proof

- Suppose P occurs in T in position i between k and start of suffix w

Proof

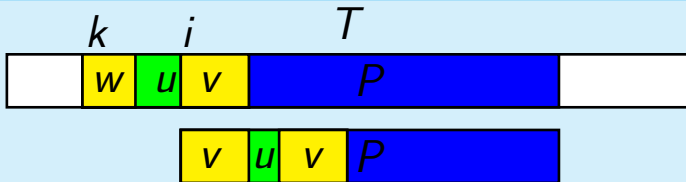- Suppose P occurs in T in position i between k and start of suffix w

Proof

- Suppose P occurs in T in position i between k and start of suffix w
- Then there is prefix v of P equal to suffix in u, and v is longer than w □

Proof

- Then there is prefix v of P equal to suffix in u, and v is longer than w
- v is a border longer than w, but w is longest border of u — contradiction $\square$

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- But how to determine the best pattern shifts?

Outline

Prefix function

Definition

Prefix function of a string P is a function $s(i)$ that for each i returns the length of the longest border of the prefix $P[0..i]$.

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4	0	1	1	2

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Lemma

$P[0..i]$ has a border of length $s(i + 1) - 1$

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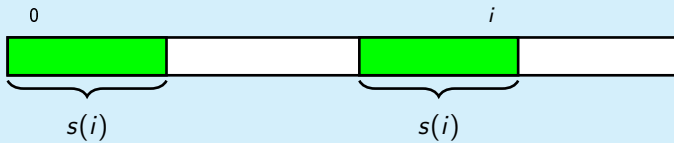- Cut the last character from w — it's a border of $P[0..i]$ now □

Lemma

$P[0..i]$ has a border of length $s(i+1) - 1$

Proof

- Take the longest border w of $P[0..i+1]$
- Cut the last character from w — it's a border of $P[0..i]$ now □

Corollary

$s(i + 1) \leq s(i) + 1$
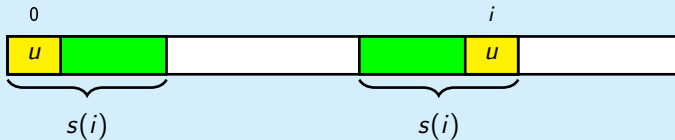
Enumerating borders

Lemma

If $s(i) > 0$, then all borders of $P[0..i]$ but for the longest one are also borders of $P[0..s(i) - 1]$.
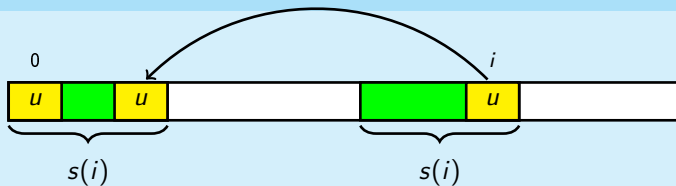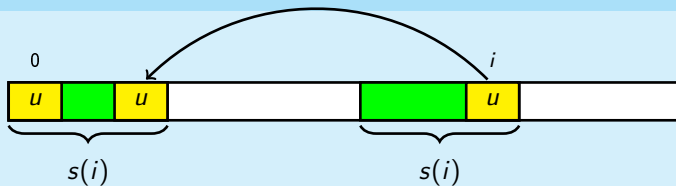
Proof

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- Let u be a border of $P[0..i]$ such that $|u| < s(i)$

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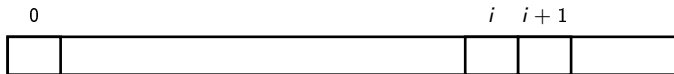- Then u is both a prefix and a suffix of $P[0..s(i) - 1]$

Proof

- Let u be a border of $P[0..i]$ such that $|u| < s(i)$
- Then u is both a prefix and a suffix of $P[0..s(i) - 1]$
- $u \neq P[0..s(i) - 1]$, so u is a border of $P[0..s(i) - 1]$
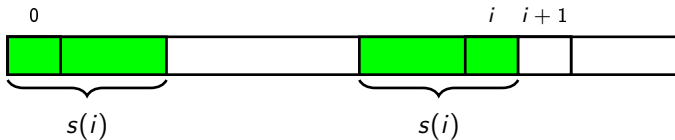
Enumerating borders

Corollary

All borders of $P[0..i]$ can be enumerated by taking the longest border b_1 of $P[0..i]$, then the longest border b_2 of b_1, then the longest border b_3 of $b_2, \ldots$, and so on.

Computing $s(i+1)$

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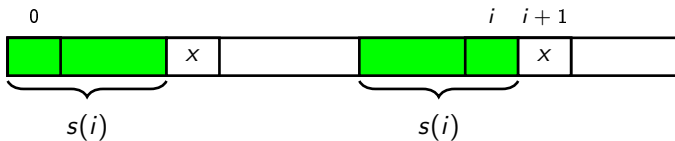

$$s(i+1) = s(i) + 1$$

Computing $s(i+1)$

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Computing $s(i+1)$

$$s(i+1) = |\text{some border of } P[0..s(i)-1]| + 1$$

- Now you know lots of properties of prefix function

- Now you know lots of properties of prefix function
- But how to compute all of its values??

Outline

Example

P	a	b	a	b	a	b	c	a	a	b
s										

Example

P	a	b	a	b	a	b	c	a	a	b
s										

Example

P	a	b	a	b	a	b	c	a	a	b
s	0									

Example

P	a	b	a	b	a	b	c	a	a	b
s	0									

Example

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s	0									

Example

P	a	b	a	b	a	b	c	a	a	b
s	0									

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0								

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0								

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0								

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1							

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1							

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1							

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2						

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2						

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2						

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3					

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3					

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3					

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4				

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4				

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4				

Example

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Example

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Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4	0			

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4	0			

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4	0			

Example

P	a	b	a	b	a	b	c	a	a	b
s	0	0	1	2	3	4	0	1		

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ComputePrefixFunction(P)

```
s ← array of integers of length |P|
s[0] ← 0, border ← 0
for i from 1 to |P| − 1:
    while (border > 0) and (P[i] ≠ P[border]):
        border ← s[border − 1]
    if P[i] == P[border]:
        border ← border + 1
    else:
        border ← 0
    s[i] ← border
return s
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s ← array of integers of length |P|
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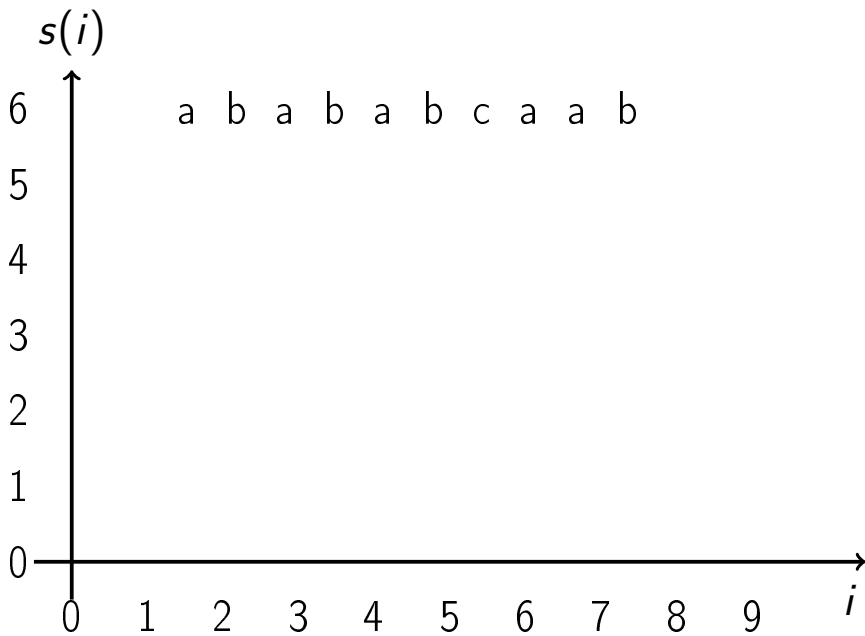
Lemma

The running time of `ComputePrefixFunction` is $O(|P|)$.

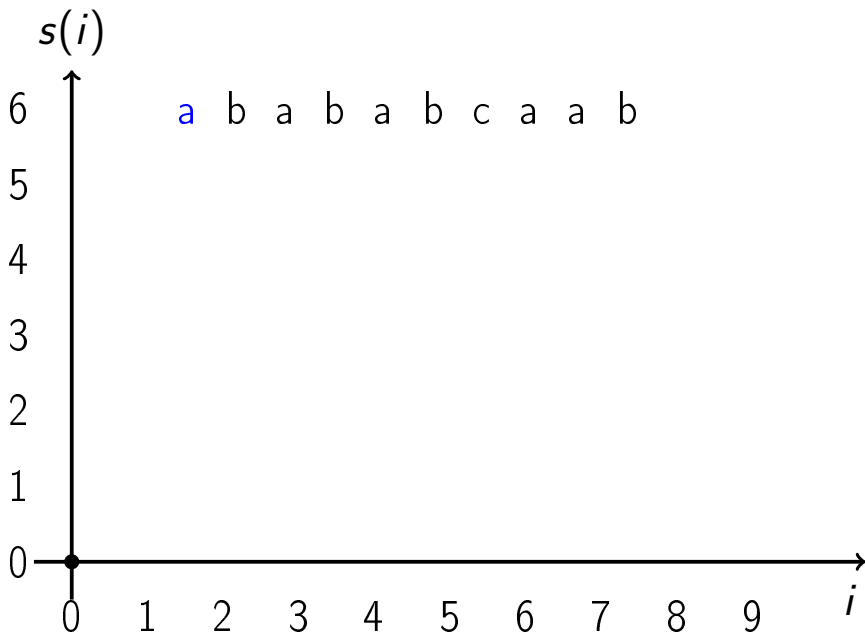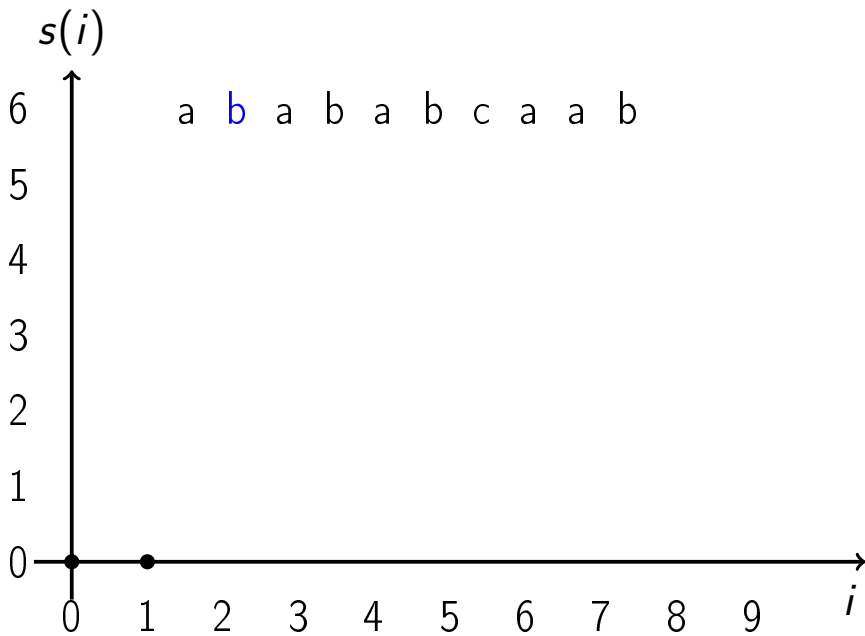## Proof

- Everything but for inner while loop is $O(|P|)$ initialization plus $O(|P|)$ iterations of the for loop with $O(1)$ assignments on each iteration

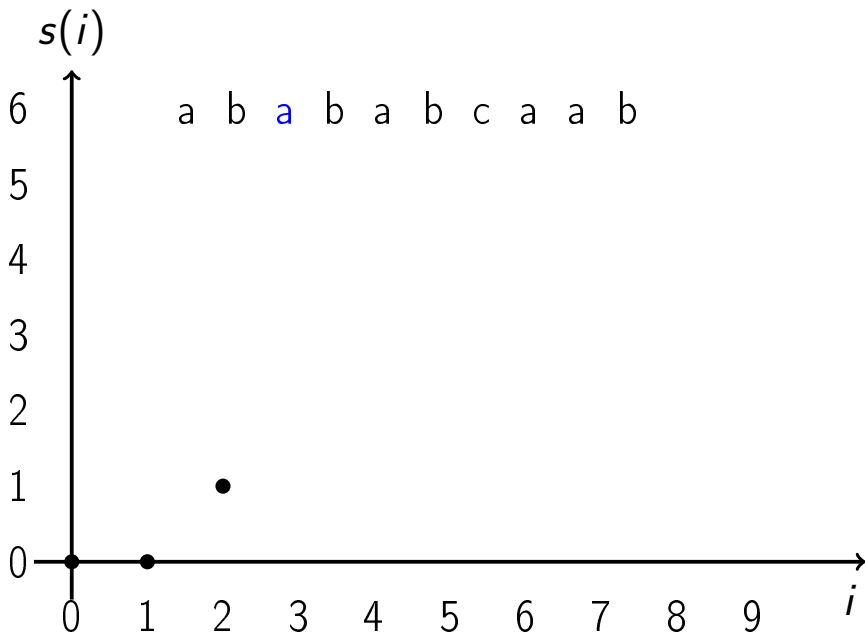## Proof
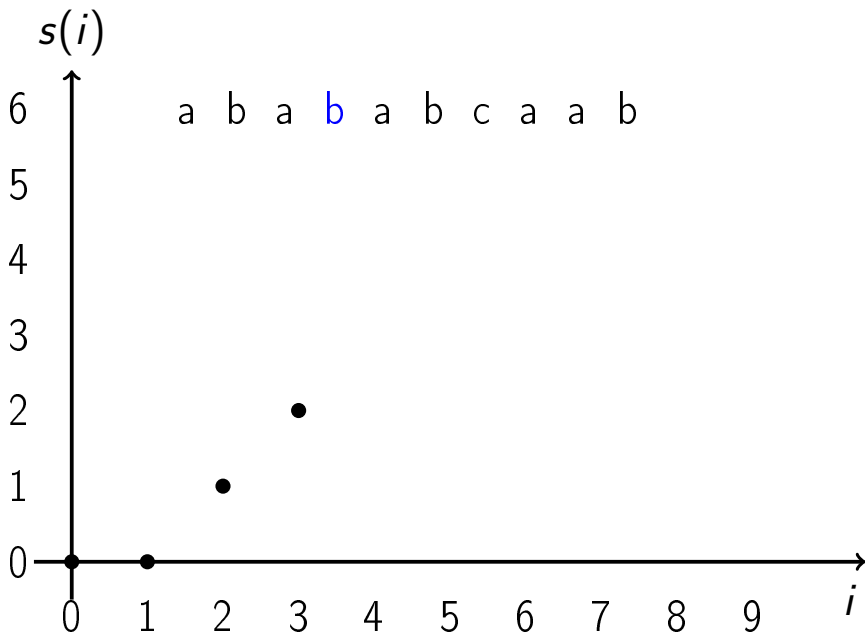
- Everything but for inner while loop is $O(|P|)$ initialization plus $O(|P|)$ iterations of the for loop with $O(1)$ assignments on each iteration

- Now we will bound the number of the while loop iterations by $O(|P|)$

$s(i)$

6	a	b	a	b	a	b	c	a	a	b

6

5

4

3

2

1

0

0   1   2   3   4   5   6   7   8   9 i

$s(i)$

6   a b a b a b c a a b
5
4
3
2
1
0

0 1 2 3 4 5 6 7 8 9 i

s(i)

a b a b a b c a a b

6

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0 1 2 3 4 5 6 7 8 9   i

$s(i)$

a b a b a b c a a b

i

$s(i)$

a b a b a b c a a b

6

5

4 ●

3 ●

2 ●

1 ●

0 ● ● ●

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Proof

(continued)

- *border* can increase at most by 1 on each iteration of the for loop

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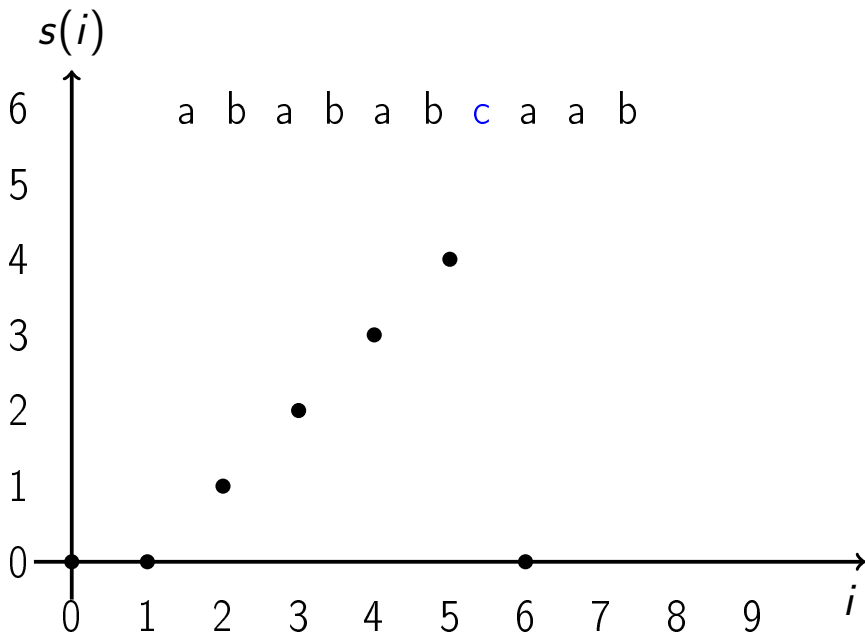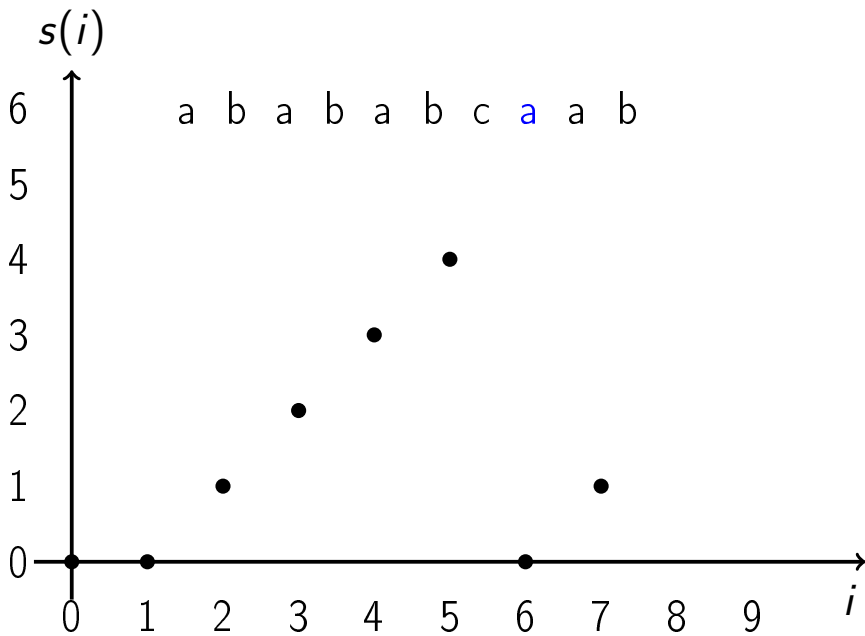- In total, *border* is increased $O(|P|)$ times

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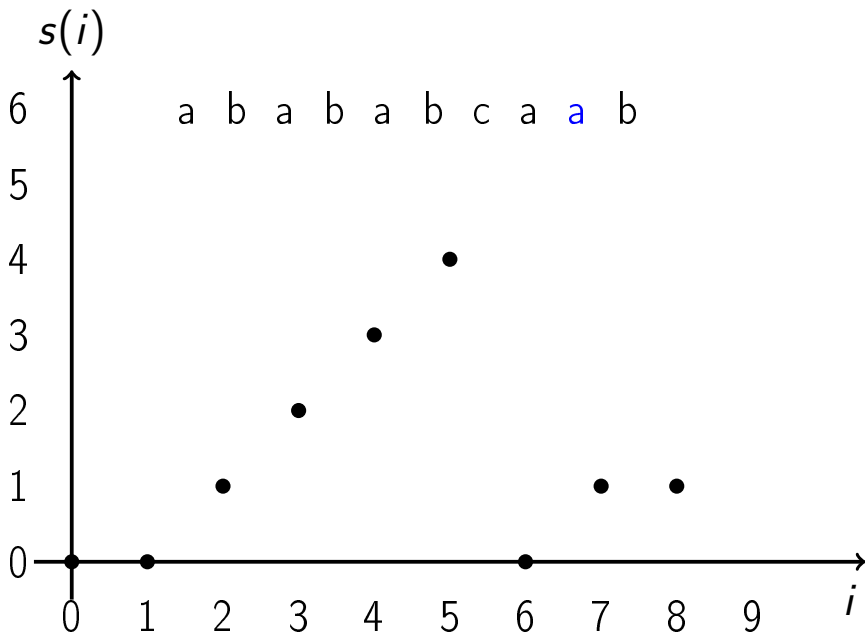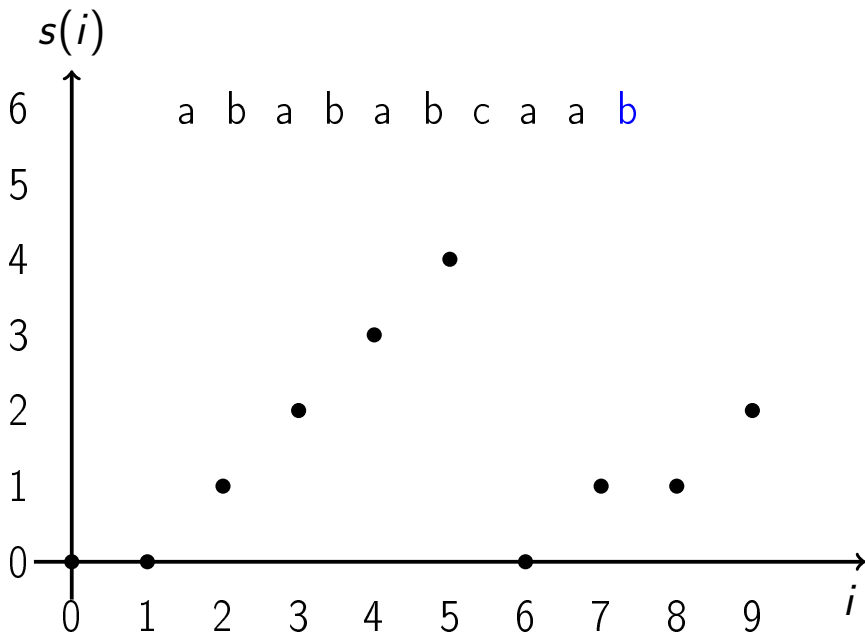- In total, *border* is increased $O(|P|)$ times
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(continued)

- *border* can increase at most by 1 on each iteration of the for loop
- In total, *border* is increased $O(|P|)$ times
- *border* is decreased at least by 1 on each iteration of the while loop
- *border* ≥ 0

Proof

(continued)

- *border* can increase at most by 1 on each iteration of the for loop
- In total, *border* is increased $O(|P|)$ times
- *border* is decreased at least by 1 on each iteration of the while loop
- *border* ≥ 0
- So there are $O(|P|)$ iterations of the while loop $\qquad\square$

- Now you know how to compute prefix function in linear time

- Now you know how to compute prefix function in linear time
- But how to find pattern in text??

Outline

Algorithm

$$P \qquad\qquad\qquad\qquad T$$

S | a | b | r | a | $ | a | b | r | a | c | a | d | a | b | r | a |

To search for pattern P in text T:

- Create new string $S = P + \text{'\$'} + T$, where '\$' is a special character absent from both P and T

Algorithm

P T

S	a	b	r	a	\$	a	b	r	a	c	a	d	a	b	r	a

s	0	0	0	1	0	1	2	3	4	0	1	0	1	2	3	4

To search for pattern P in text T:

- Compute prefix function s for string S

Algorithm

P T

S	a	b	r	a	$	a	b	r	a	c	a	d	a	b	r	a

s	0	0	0	1	0	1	2	3	4	0	1	0	1	2	3	4

To search for pattern P in text T:

- Compute prefix function s for string S
- For all positions i such that $i > |P|$ and $s(i) = |P|$, add $i - 2|P|$ to the output

Algorithm

$$P \qquad\qquad T$$

S	a	b	r	a	$	a	b	r	a	c	a	d	a	b	r	a

s	0	0	0	1	0	1	2	3	4	0	1	0	1	2	3	4

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	P							T									
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To search for pattern P in text T:

- Compute prefix function s for string S
- For all positions i such that $i > |P|$ and $s(i) = |P|$, add $i - 2|P|$ to the output

Explanation

- For all i, $s(i) \leq |P|$ because of the special character '$\$$'

- If $i > |P|$ and $s(i) = |P|$, then
  $P = S[0..|P| - 1] = S[i - |P| + 1..i] = T[i - 2|P|..i - |P| - 1]$

- If $s(i) < |P|$, no full occurrence of $|P|$ ends in position i

FindAllOccurrences(P, T)

```
S ← P + '$' + T
s ← ComputePrefixFunction(S)
result ← empty list
for i from |P| + 1 to |S| − 1:
    if s[i] == |P|:
        result.Append(i − 2|P|)
return result
```

FindAllOccurrences(P, T)

$S \leftarrow P + \text{'\$'} + T$
$s \leftarrow \texttt{ComputePrefixFunction(S)}$
$\texttt{result} \leftarrow \texttt{empty list}$
$\texttt{for } i \texttt{ from } |P| + 1 \texttt{ to } |S| - 1:$
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$\texttt{return result}$

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FindAllOccurrences(P, T)

$S \leftarrow P + \text{'\$'} + T$

$s \leftarrow \texttt{ComputePrefixFunction(S)}$

`result` $\leftarrow$ `empty list`

`for` i `from` $|P| + 1$ `to` $|S| - 1$:

  `if` $s[i] == |P|$:

    `result.Append(`$i - 2|P|$`)`

`return result`

FindAllOccurrences(P, T)

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Lemma

The running time of Knuth-Morris-Pratt algorithm is $O(|P| + |T|)$.

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- Building string S is $O(|P| + |T|)$

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Proof

- Building string S is $O(|P| + |T|)$
- Computing prefix function is $O(|S|) = O(|P| + |T|)$
- The for loop runs $O(|S|) = O(|P| + |T|)$ iterations $\square$

Conclusion

- Can search pattern in text in linear time
- Can compute prefix function of a string in linear time
- Can enumerate all borders of a string