

# C05 – Separation Logic & SAT solvers

---

Program Verification

FMI · Denisa Diaconescu · Spring 2021

## Separation Logic

---

# Adding the heap

We extend our programming language with:

- **Heap reads:**  $x := [E]$  (*dereferencing*)
- **Heap writes:**  $[E_1] := E_2$  (*update heap*)
- **Heap allocation:**  $x := \text{cons}(E_1, \dots, E_n)$
- **Heap deallocation:**  $\text{dispose } E$

The **state** is now represented by a pair of type  $\text{Store} \times \text{Heap}$ , denoted  $(\sigma, h)$ , where

$\sigma \in \text{Store}$ , where  $\text{Store} \triangleq \text{Var} \rightarrow \text{Val}$

$h \in \text{Heap}$ , where  $\text{Heap} \triangleq \text{Loc} \rightarrow \text{Val}$

where  $\text{Loc} \subseteq \text{Val}$ .



Note that we consider  $\text{dom}(h)$  to always be finite. By this, we ensure that `cons` commands will never fail.

# Evaluating expressions in the store of a state

Strictly speaking, the store gives values to variables only.

But we need a way to say "value of an expression in a store" so we will abuse notation and use  $\sigma(\mathbb{E})$  for this as below:

- $\sigma(n) = n$  where  $n$  is a number is just its usual value
- $\sigma(x + n) = \sigma(x) + \sigma(n)$  where  $n$  is a number and  $x$  is a variable

## Extra connectives in separation logic

$\text{emp}$	empty heap
$\mathbb{E}_1 \mapsto \mathbb{E}_2$	points to
$P * Q$	separating conjunction

# Semantics of separation logic

$$\sigma \triangleq \text{Var} \rightarrow \text{Val}$$

$$h \triangleq \text{Loc} \rightarrow \text{Val}$$

$$(\sigma, h) \models \text{emp} \text{ if } \text{dom}(h) = \emptyset$$

- `emp` is an atomic formula for checking if the heap is empty
- a state  $(\sigma, h)$  makes the formula `emp` true if the heap is empty

# Semantics of separation logic

$$\sigma \triangleq \text{Var} \rightarrow \text{Val}$$

$$h \triangleq \text{Loc} \rightarrow \text{Val}$$

$(\sigma, h) \models \mathbb{E}_1 \mapsto \mathbb{E}_2$  if  $\text{dom}(h) = \{\sigma(\mathbb{E}_1)\}$  and  $h(\sigma(\mathbb{E}_1)) = \sigma(\mathbb{E}_2)$

- a state  $(\sigma, h)$  makes the formula  $\mathbb{E}_1 \mapsto \mathbb{E}_2$  true if the heap is a singleton and maps the location  $\sigma(\mathbb{E}_1)$  to the value  $\sigma(\mathbb{E}_2)$
- $\sigma(\mathbb{E})$  is the value of an expression in a store as explained before

# Semantics of separation logic

$$\sigma \triangleq \text{Var} \rightarrow \text{Val}$$

$$h \triangleq \text{Loc} \rightarrow \text{Val}$$

$(\sigma, h) \models P * Q$  if  $h$  can be partitioned into two disjoint heaps  $h_1$  and  $h_2$ ,  
and  $(\sigma, h_1) \models P$  and  $(\sigma, h_2) \models Q$

Note that two heaps are disjoint if the intersection of their domains is empty.



# Semantics of separation logic

$$\sigma \triangleq \text{Var} \rightarrow \text{Val}$$

$$h \triangleq \text{Loc} \rightarrow \text{Val}$$

$(\sigma, h) \models P * Q$  if  $h$  can be partitioned into two disjoint heaps  $h_1$  and  $h_2$ ,  
and  $(\sigma, h_1) \models P$  and  $(\sigma, h_2) \models Q$

Note that two heaps are disjoint if the intersection of their domains is empty.

$(\sigma, h) \models P_1 * P_2 * \dots * P_n$  if  $h$  can be partitioned into  $n$  disjoint heaps  
 $h_1, h_2, \dots, h_n$  and  $(\sigma, h_i) \models P_i$  for any  $i \in \{1, \dots, n\}$

# Semantics of separation logic

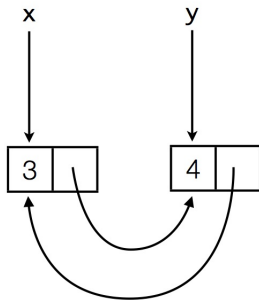
## Example

$\sigma$

x	0xAB
y	0xDD

$h$

0xAB	3
0xAC	0xDD
0xDD	4
0xDE	0xAB



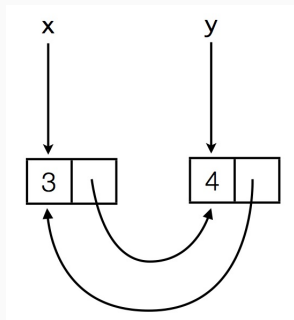
# Semantics of separation logic

## Example

$\sigma$		$h$	
x	0xAB	0xAB	3
y	0xDD	0xAC	0xDD
		0xDD	4
		0xDE	0xAB

Satisfies the statement:

$$(x \mapsto 3) * (x + 1 \mapsto y) * (y \mapsto 4) * (y + 1 \mapsto x)$$



# Semantics of separation logic

$(\sigma, h) \models P_1 * P_2 * \dots * P_n$  if  $h$  can be partitioned into  $n$  distinct heaps  $h_1, h_2, \dots, h_n$   
and  $(\sigma, h_i) \models P_i$  for any  $i \in \{1, \dots, n\}$

## Example

$\sigma$

x	0xAB
y	0xDD

$h$

0xAB	3
0xAC	0xDD
0xDD	4
0xDE	0xAB

We want to show that

$$(\sigma, h) \models (x \mapsto 3) * (x + 1 \mapsto y) * (y \mapsto 4) * (y + 1 \mapsto x)$$

# Semantics of separation logic

$(\sigma, h) \models P_1 * P_2 * \dots * P_n$  if  $h$  can be partitioned into  $n$  distinct heaps  $h_1, h_2, \dots, h_n$   
and  $(\sigma, h_i) \models P_i$  for any  $i \in \{1, \dots, n\}$

## Example

$\sigma$

x	0xAB
y	0xDD

$h$

0xAB	3
0xAC	0xDD
0xDD	4
0xDE	0xAB

We want to show that

$$(\sigma, h) \models (x \mapsto 3) * (x + 1 \mapsto y) * (y \mapsto 4) * (y + 1 \mapsto x)$$

We can partition  $h$  into 4 distinct heaps:

$\sigma$

x	0xAB
y	0xDD

$h_1$

0xAB	3
------	---

$h_2$

0xAC	0xDD
------	------

$h_3$

0xDD	4
------	---

$h_4$

0xDE	0xAB
------	------

# Semantics of separation logic

$(\sigma, h) \models P_1 * P_2 * \dots * P_n$  if  $h$  can be partitioned into  $n$  distinct heaps  $h_1, h_2, \dots, h_n$   
and  $(\sigma, h_i) \models P_i$  for any  $i \in \{1, \dots, n\}$

## Example

$\sigma$

$h$

x	0xAB
y	0xDD

0xAB	3
0xAC	0xDD
0xDD	4
0xDE	0xAB

We want to show that

$$(\sigma, h) \models (x \mapsto 3) * (x + 1 \mapsto y) * (y \mapsto 4) * (y + 1 \mapsto x)$$

We can partition  $h$  into 4 distinct heaps:

$\sigma$

$h_1$

$h_2$

$h_3$

$h_4$

x	0xAB
y	0xDD

0xAB	3
------	---

0xAC	0xDD
------	------

0xDD	4
------	---

0xDE	0xAB
------	------

We must show that

$$(\sigma, h_1) \models x \mapsto 3$$

$$(\sigma, h_2) \models x + 1 \mapsto y$$

$$(\sigma, h_3) \models y \mapsto 4$$

$$(\sigma, h_4) \models y + 1 \mapsto x$$

# Semantics of separation logic

$(\sigma, h) \models \mathbb{E}_1 \mapsto \mathbb{E}_2$  if  $\text{dom}(h) = \{\sigma(\mathbb{E}_1)\}$  and  $h(\sigma(\mathbb{E}_1)) = \sigma(\mathbb{E}_2)$

## Example

$\sigma$

x	0xAB
y	0xDD

$h_1$

0xAB	3
------	---

$h_2$

0xAC	0xDD
------	------

$h_3$

0xDD	4
------	---

$h_4$

0xDE	0xAB
------	------

# Semantics of separation logic

$(\sigma, h) \models \mathbb{E}_1 \mapsto \mathbb{E}_2$  if  $\text{dom}(h) = \{\sigma(\mathbb{E}_1)\}$  and  $h(\sigma(\mathbb{E}_1)) = \sigma(\mathbb{E}_2)$

## Example

$\sigma$		$h_1$		$h_2$		$h_3$		$h_4$	
x	0xAB	0xAB	3	0xAC	0xDD	0xDD	4	0xDE	0xAB
y	0xDD								

$(\sigma, h_1) \models x \mapsto 3$

- $\text{dom}(h_1) = 0xAB = \sigma(x)$
- $h_1(0xAB) = 3$



# Semantics of separation logic

$(\sigma, h) \models \mathbb{E}_1 \mapsto \mathbb{E}_2$  if  $\text{dom}(h) = \{\sigma(\mathbb{E}_1)\}$  and  $h(\sigma(\mathbb{E}_1)) = \sigma(\mathbb{E}_2)$

## Example

$\sigma$		$h_1$		$h_2$		$h_3$		$h_4$	
x	0xAB	0xAB	3	0xAC	0xDD	0xDD	4	0xDE	0xAB
y	0xDD								

$(\sigma, h_1) \models x \mapsto 3$

- $\text{dom}(h_1) = 0xAB = \sigma(x)$
- $h_1(0xAB) = 3$

$(\sigma, h_2) \models x + 1 \mapsto y$

- $\text{dom}(h_2) = 0xAC = \sigma(x + 1)$
- $h_2(0xAC) = 0xDD = \sigma(y)$

# Semantics of separation logic

$(\sigma, h) \models \mathbb{E}_1 \mapsto \mathbb{E}_2$  if  $\text{dom}(h) = \{\sigma(\mathbb{E}_1)\}$  and  $h(\sigma(\mathbb{E}_1)) = \sigma(\mathbb{E}_2)$

## Example

$\sigma$		$h_1$		$h_2$		$h_3$		$h_4$	
x	0xAB	0xAB	3	0xAC	0xDD	0xDD	4	0xDE	0xAB
y	0xDD								

$(\sigma, h_1) \models x \mapsto 3$

- $\text{dom}(h_1) = 0xAB = \sigma(x)$
- $h_1(0xAB) = 3$

$(\sigma, h_3) \models y \mapsto 4$

- $\text{dom}(h_3) = 0xDD = \sigma(y)$
- $h_3(0xDD) = 4$

$(\sigma, h_2) \models x + 1 \mapsto y$

- $\text{dom}(h_2) = 0xAC = \sigma(x + 1)$
- $h_2(0xAC) = 0xDD = \sigma(y)$

# Semantics of separation logic

$(\sigma, h) \models \mathbb{E}_1 \mapsto \mathbb{E}_2$  if  $\text{dom}(h) = \{\sigma(\mathbb{E}_1)\}$  and  $h(\sigma(\mathbb{E}_1)) = \sigma(\mathbb{E}_2)$

## Example

$\sigma$		$h_1$		$h_2$		$h_3$		$h_4$	
x	0xAB	0xAB	3	0xAC	0xDD	0xDD	4	0xDE	0xAB
y	0xDD								

$(\sigma, h_1) \models x \mapsto 3$

- $\text{dom}(h_1) = 0xAB = \sigma(x)$
- $h_1(0xAB) = 3$

$(\sigma, h_2) \models x + 1 \mapsto y$

- $\text{dom}(h_2) = 0xAC = \sigma(x + 1)$
- $h_2(0xAC) = 0xDD = \sigma(y)$

$(\sigma, h_3) \models y \mapsto 4$

- $\text{dom}(h_3) = 0xDD = \sigma(y)$
- $h_3(0xDD) = 4$

$(\sigma, h_4) \models y + 1 \mapsto x$

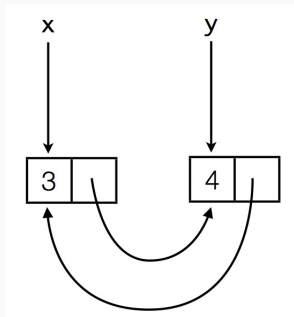
- $\text{dom}(h_4) = 0xDE = \sigma(y + 1)$
- $h_4(0xDE) = 0xAB = \sigma(x)$

# Semantics of separation logic

## Example

$\sigma$		$h$	
$x$	0xAB	0xAB	3
$y$	0xDD	0xAC	0xDD
		0xDD	4
		0xDE	0xAB

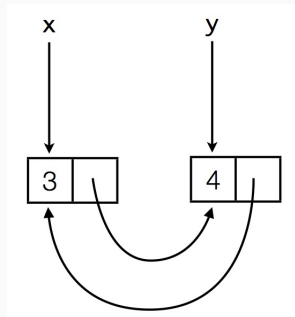
Does not satisfy the statement  $x \mapsto 3$



# Semantics of separation logic

## Example

$\sigma$		$h$	
x	0xAB	0xAB	3
y	0xDD	0xAC	0xDD
		0xDD	4
		0xDE	0xAB



Does not satisfy the statement  $x \mapsto 3$

- $(\sigma, h) \models E_1 \mapsto E_2$  if  $\text{dom}(h) = \{\sigma(E_1)\}$  and  $h(\sigma(E_1)) = \sigma(E_2)$
- $\text{dom}(h) = \{0xAB, 0xAC, 0xDD, 0xDE\}$
- $\sigma(x) = 0xAB$
- $h(\sigma(x)) = 3$

## Store assignment axiom for separation logic

**Hoare axiom:**  $\{Q[x/\mathbb{E}]\} x := \mathbb{E} \{Q\}$

**Floyd axiom:**  $\{x = v\} x := \mathbb{E} \{x = \mathbb{E}[x/v]\}$

where  $v$  is an auxiliary variable which does not occur in  $\mathbb{E}$ .

# Store assignment axiom for separation logic

**Hoare axiom:**  $\{Q[x/\mathbb{E}]\} x := \mathbb{E} \{Q\}$

**Floyd axiom:**  $\{x = v\} x := \mathbb{E} \{x = \mathbb{E}[x/v]\}$

where  $v$  is an auxiliary variable which does not occur in  $\mathbb{E}$ .

Store assignment axiom for Separation logic:

$$\{x = v \wedge \text{emp}\} x := \mathbb{E} \{x = \mathbb{E}[x/v] \wedge \text{emp}\}$$

where  $v$  is an auxiliary variable which does not occur in  $\mathbb{E}$

New:

- atomic formula **emp** to say that the "heap is empty"
- we want to track the smallest amount of heap information

# Store assignment axiom for separation logic

Store assignment axiom for Separation logic:

$$\{x = v \wedge \text{emp}\} x := \mathbb{E} \{x = \mathbb{E}[x/v] \wedge \text{emp}\}$$

where  $v$  is an auxiliary variable which does not occur in  $\mathbb{E}$

## Example

$$\{x = v \wedge \text{emp}\} x := 1 \{x = 1 \wedge \text{emp}\}$$

If we want the precondition  $1 = 1$  (i.e.  $\top$ ) then instantiate  $v$  to  $x$

$$\{x = x \wedge \text{emp}\} x := 1 \{x = 1 \wedge \text{emp}\}$$



# Heap reads axiom

Heap reads axiom:

$$\{x = v_1 \wedge \mathbb{E} \mapsto v_2\} x := [\mathbb{E}] \{x = v_2 \wedge \mathbb{E}[x/v_1] \mapsto v_2\}$$

where  $v_1$  and  $v_2$  are auxiliary variables which do not occur in  $\mathbb{E}$

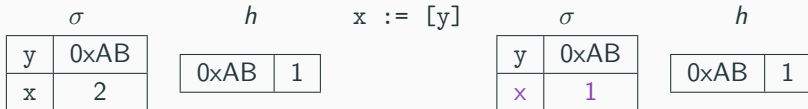
# Heap reads axiom

Heap reads axiom:

$$\{x = v_1 \wedge \mathbb{E} \mapsto v_2\} x := [\mathbb{E}] \{x = v_2 \wedge \mathbb{E}[x/v_1] \mapsto v_2\}$$

where  $v_1$  and  $v_2$  are auxiliary variables which do not occur in  $\mathbb{E}$

**Example** ( $x := [y]$ )



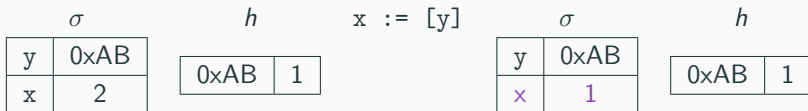
# Heap reads axiom

Heap reads axiom:

$$\{x = v_1 \wedge \mathbb{E} \mapsto v_2\} x := [\mathbb{E}] \{x = v_2 \wedge \mathbb{E}[x/v_1] \mapsto v_2\}$$

where  $v_1$  and  $v_2$  are auxiliary variables which do not occur in  $\mathbb{E}$

**Example** ( $x := [y]$ )



Heap read axiom instance:

$$\{x = 2 \wedge y \mapsto 1\} x := [y] \{x = 1 \wedge y \mapsto 1\}$$

# Heap writes axiom

Heap writes axiom:

$$\{\mathbb{E}_1 \mapsto -\} [\mathbb{E}_1] := \mathbb{E}_2 \{\mathbb{E}_1 \mapsto \mathbb{E}_2\}$$

where  $(\mathbb{E}_1 \mapsto -)$  abbreviates  $(\exists z. \mathbb{E}_1 \mapsto z)$  and  $z$  does not occur in  $\mathbb{E}_1$

# Heap writes axiom

Heap writes axiom:

$$\{\mathbb{E}_1 \mapsto -\} [\mathbb{E}_1] := \mathbb{E}_2 \{\mathbb{E}_1 \mapsto \mathbb{E}_2\}$$

where  $(\mathbb{E}_1 \mapsto -)$  abbreviates  $(\exists z. \mathbb{E}_1 \mapsto z)$  and  $z$  does not occur in  $\mathbb{E}_1$

Heap assignment semantics:

- evaluate expression  $\mathbb{E}_1$  to get location  $/$
- **fault** if location  $/$  is not in the current heap
- otherwise make the contents of location  $/$  the value of expression  $\mathbb{E}_2$

# Heap writes axiom

Heap writes axiom:

$$\{\mathbb{E}_1 \mapsto -\} [\mathbb{E}_1] := \mathbb{E}_2 \{\mathbb{E}_1 \mapsto \mathbb{E}_2\}$$

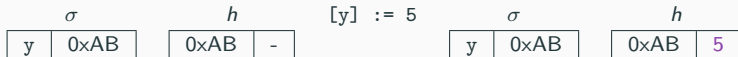
where  $(\mathbb{E}_1 \mapsto -)$  abbreviates  $(\exists z. \mathbb{E}_1 \mapsto z)$  and  $z$  does not occur in  $\mathbb{E}_1$

Heap assignment semantics:

- evaluate expression  $\mathbb{E}_1$  to get location  $l$
- **fault** if location  $l$  is not in the current heap
- otherwise make the contents of location  $l$  the value of expression  $\mathbb{E}_2$

## Example

$$\{y \mapsto -\} [y] := 5 \{y \mapsto 5\}$$



# Heap allocation axiom

Heap allocation axiom:

$$\{x = v \wedge \text{emp}\} \ x := \text{cons}(\mathbb{E}_1, \dots, \mathbb{E}_n) \ \{x \mapsto \mathbb{E}_1[x/v], \dots, \mathbb{E}_n[x/v]\}$$

where  $v$  is a variable diff. from  $x$  and not appearing in  $\mathbb{E}_1, \dots, \mathbb{E}_n$

**Heap allocation assignment axiom means:** if  $\sigma(x) = v$  and the heap is empty then executing  $x := \text{cons}(\mathbb{E}_1, \dots, \mathbb{E}_n)$  gives a heap consisting of  $n$  new consecutive locations, where location  $\sigma(x) + i$  contains  $\sigma(\mathbb{E}_{i+1}[x/v])$

# Heap allocation axiom

Heap allocation axiom:

$$\{x = v \wedge \text{emp}\} x := \text{cons}(\mathbb{E}_1, \dots, \mathbb{E}_n) \{x \mapsto \mathbb{E}_1[x/v], \dots, \mathbb{E}_n[x/v]\}$$

where  $v$  is a variable diff. from  $x$  and not appearing in  $\mathbb{E}_1, \dots, \mathbb{E}_n$

**Heap allocation assignment axiom means:** if  $\sigma(x) = v$  and the heap is empty then executing  $x := \text{cons}(\mathbb{E}_1, \dots, \mathbb{E}_n)$  gives a heap consisting of  $n$  new consecutive locations, where location  $\sigma(x) + i$  contains  $\sigma(\mathbb{E}_{i+1}[x/v])$

$\sigma$	
x	—
y	7

$h \quad x := \text{cons}(5, y + 1)$

$\sigma$	
x	0xAB
y	7

$h$	
0xAB	5
0xAC	8



# Heap allocation axiom

Heap allocation axiom:

$$\{x = v \wedge \text{emp}\} \ x := \text{cons}(\mathbb{E}_1, \dots, \mathbb{E}_n) \ \{x \mapsto \mathbb{E}_1[x/v], \dots, \mathbb{E}_n[x/v]\}$$

where  $v$  is a variable diff. from  $x$  and not appearing in  $\mathbb{E}_1, \dots, \mathbb{E}_n$

**Heap allocation assignment axiom means:** if  $\sigma(x) = v$  and the heap is empty then executing  $x := \text{cons}(\mathbb{E}_1, \dots, \mathbb{E}_n)$  gives a heap consisting of  $n$  new consecutive locations, where location  $\sigma(x) + i$  contains  $\sigma(\mathbb{E}_{i+1}[x/v])$

$\sigma$		$h \quad x := \text{cons}(5, y + 1)$		$\sigma$		$h$	
x	—			x	0xAB	0xAB	5
y	7			y	7	0xAC	8

$x \mapsto \mathbb{E}_1[x/v], \dots, \mathbb{E}_n[x/v]$  abbreviates

$$x \mapsto \mathbb{E}_1[x/v] * (x + 1) \mapsto \mathbb{E}_2[x/v] * \dots * (x + n - 1) \mapsto \mathbb{E}_n[x/v]$$

# Heap deallocation axiom

Heap deallocation axiom:  $\{\mathbb{E} \mapsto -\} \text{dispose } \mathbb{E} \{\text{emp}\}$

where  $(\mathbb{E} \mapsto -)$  abbreviates  $(\exists z. \mathbb{E} \mapsto z)$  and  $z$  does not occur in  $\mathbb{E}$

# Heap deallocation axiom

Heap deallocation axiom:  $\{\mathbb{E} \mapsto -\} \text{dispose } \mathbb{E} \{\text{emp}\}$

where  $(\mathbb{E} \mapsto -)$  abbreviates  $(\exists z. \mathbb{E} \mapsto z)$  and  $z$  does not occur in  $\mathbb{E}$

Heap deallocation:  $\text{dispose } \mathbb{E}$

- evaluate  $\mathbb{E}$  to get location  $l$
- **fault** if location  $l$  is not in the current heap
- otherwise remove location  $l$  from the heap

Heap deallocation axiom means: if the heap is a singleton with domain  $\sigma(\mathbb{E})$  then executing  $\text{dispose } \mathbb{E}$  results in the empty heap.

# Separation logic axioms - recap

Store assignment axiom:

$$\{x = v \wedge \text{emp}\} x := E \{x = E[x/v] \wedge \text{emp}\}$$

where  $v$  is an auxiliary variable which does not occur in  $E$

Heap reads axiom:

$$\{x = v_1 \wedge E \mapsto v_2\} x := [E] \{x = v_2 \wedge E[x/v_1] \mapsto v_2\}$$

where  $v_1$  and  $v_2$  are auxiliary variables which do not occur in  $E$

Heap writes axiom:  $\{E_1 \mapsto -\}[E_1] := E_2\{E_1 \mapsto E_2\}$

where  $(E_1 \mapsto -)$  abbreviates  $(\exists z. E_1 \mapsto z)$  and  $z$  does not occur in  $E_1$

Heap allocation axiom:

$$\{x = v \wedge \text{emp}\} x := \text{cons}(E_1, \dots, E_n) \{x \mapsto E_1[x/v], \dots, E_n[x/v]\}$$

where  $v$  is a variable diff. from  $x$  and not appearing in  $E_1, \dots, E_n$

Heap deallocation axiom:  $\{E \mapsto -\} \text{dispose } E \{\text{emp}\}$

where  $(E \mapsto -)$  abbreviates  $(\exists z. E \mapsto z)$  and  $z$  does not occur in  $E$

# The frame rule

Frame rule:

$$\frac{\{P\} \mathbb{C} \{Q\}}{\{P * R\} \mathbb{C} \{Q * R\}}$$

where no variables modified by  $\mathbb{C}$  appears free in  $R$ .

The **Frame rule means** that  $\{P\} \mathbb{C} \{Q\}$  is restricted to the variables and parts of the heap that are actually used by  $\mathbb{C}$ .

# The frame rule

Frame rule:

$$\frac{\{P\} \mathbb{C} \{Q\}}{\{P * R\} \mathbb{C} \{Q * R\}}$$

where no variables modified by  $\mathbb{C}$  appears free in  $R$ .

## Example

Is the following instance a legal instance of the Frame rule?

If so, why and if not, why not?

$$\frac{\{\text{emp}\} \ x := \text{cons}(1) \ \{x \mapsto 1\}}{\{\text{emp} * x \mapsto 1\} \ x := \text{cons}(1) \ \{x \mapsto 1 * x \mapsto 1\}}$$

# The frame rule

Frame rule:

$$\frac{\{P\} \textcolor{violet}{\mathbb{C}} \{Q\}}{\{P * R\} \textcolor{violet}{\mathbb{C}} \{Q * R\}}$$

where no variables modified by  $\mathbb{C}$  appears free in  $R$ .

## Example

Is the following instance a legal instance of the Frame rule?

If so, why and if not, why not?

$$\frac{\{\text{emp}\} \textcolor{violet}{x} := \textcolor{violet}{\text{cons}(1)} \{x \mapsto 1\}}{\{\text{emp} * x \mapsto 1\} \textcolor{violet}{x} := \textcolor{violet}{\text{cons}(1)} \{x \mapsto 1 * x \mapsto 1\}}$$

No, the command modifies  $x$  and  $R$  contains a free occurrence of  $x$ .

# SAT solvers

---



# Propositional Logic

Formulas are defined by

$$\varphi ::= p \mid \top \mid \perp \mid \neg\varphi \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid \varphi \rightarrow \varphi$$

starting from propositional variables (atoms).

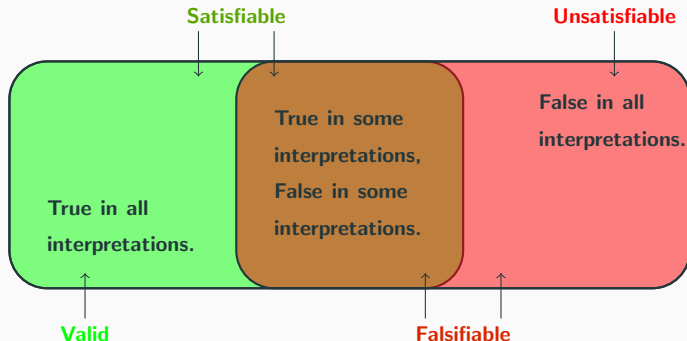
Interpretations assign truth values to propositional variables (true/false).

Further, we can compute the truth value of any formula (e.g., using truth tables).

A formula is satisfiable if there exists an interpretation which makes the formula true.

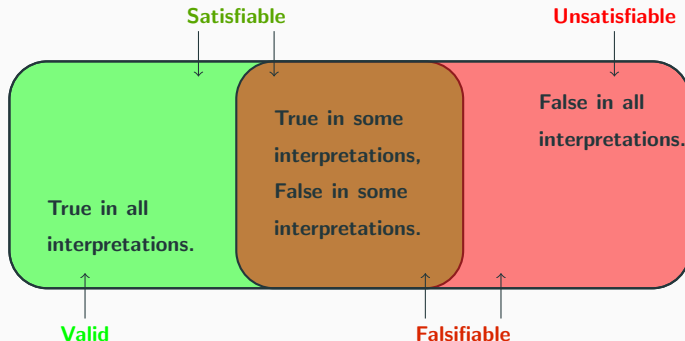
A formula is valid if it is true under all interpretations.

# Satisfiability and Validity of formulas



valid = not falsifiable  
satisfiable = not unsatisfiable

# Satisfiability and Validity of formulas



valid = not falsifiable  
satisfiable = not unsatisfiable

$\varphi$  satisfiable iff  $\neg\varphi$  is falsifiable iff  $\neg\varphi$  is not valid

$\varphi$  valid iff  $\neg\varphi$  is unsatisfiable

# The SAT problem

The SAT problem:

*Given a propositional formula with  $n$  variables,  
can we find an interpretation to make the formula true?*

Is the formula **satisfiable**? If so, **how**?

# The SAT problem

The SAT problem:

*Given a propositional formula with  $n$  variables,  
can we find an interpretation to make the formula true?*

Is the formula **satisfiable**? If so, **how**?

The first known **NP-complete problem**, as proved by Stephen Cook in 1971.

# The SAT problem

The SAT problem:

*Given a propositional formula with  $n$  variables,  
can we find an interpretation to make the formula true?*

Is the formula satisfiable? If so, how?

The first known NP-complete problem, as proved by Stephen Cook in 1971.

Since SAT is NP-complete, is there hope?

# SAT solvers

A **SAT solver** is a program that **automatically decides** whether a propositional formula is satisfiable (i.e, answers the SAT problem).

If it is satisfiable, a SAT solver will produce an example of an interpretation that satisfies the formula.

# SAT solvers

A **SAT solver** is a program that **automatically decides** whether a propositional formula is satisfiable (i.e, answers the SAT problem).

If it is satisfiable, a SAT solver will produce an example of an interpretation that satisfies the formula.

**Naive algorithm:** enumerate all assignments to the  $n$  variables in the formula ( **$2^n$  assignments!**)

**Worst case complexity is exponential** (for all known algorithms).



# SAT solvers

A **SAT solver** is a program that **automatically decides** whether a propositional formula is satisfiable (i.e, answers the SAT problem).

If it is satisfiable, a SAT solver will produce an example of an interpretation that satisfies the formula.

**Naive algorithm:** enumerate all assignments to the  $n$  variables in the formula ( **$2^n$  assignments!**)

**Worst case complexity is exponential** (for all known algorithms).

Perhaps surprisingly, **many efficient SAT solvers exist!**

- average cases encountered in practice can be handled (much) faster
- real problem instances will not be random: exploit implicit structure
- some variables will be tightly correlated with other variables
- some variables will be irrelevant for the difficult parts of the search

- There are plenty of SAT solvers:
  - [MiniSAT](#), [PicoSAT](#)
  - [ReISAT](#)
  - [GRASP](#)
  - ...
- There are also online SAT solvers:
  - [Logictools](#)
  - ...

- There are plenty of SAT solvers:
  - [MiniSAT](#), [PicoSAT](#)
  - [ReISAT](#)
  - [GRASP](#)
  - ...
- There are also online SAT solvers:
  - [Logictools](#)
  - ...
- **SAT competition**
  - The First International SAT Competition in 1992, followed by 1993, 1996, since 2002 every year, affiliated with the SAT conference

- There are plenty of SAT solvers:
  - [MiniSAT](#), [PicoSAT](#)
  - [ReISAT](#)
  - [GRASP](#)
  - ...
- There are also online SAT solvers:
  - [Logictools](#)
  - ...
- **SAT competition**
  - The First International SAT Competition in 1992, followed by 1993, 1996, since 2002 every year, affiliated with the SAT conference
- Early 90's: 100 variables, 200 clauses
- Today: 1,000,000 variables and 5,000,000 clauses.

Where can we find SAT technology today?

- **Formal methods**
  - Hardware model checking
  - Software model checking
  - Termination analysis of term-rewrite systems
  - Test pattern generation (testing of software & hardware)
  - ...
- **Artificial intelligence**
  - Planning
  - Knowledge representation
  - Games (n-queens, [sudoku](#), ...)

Where can we find SAT technology today?

- **Bioinformatics**
  - Haplotype inference
  - Pedigree checking
  - ...
- **Design automation**
  - Equivalence checking
  - Fault diagnosis
  - Noise analysis
  - ...
- **Security**
  - Cryptanalysis
  - Inversion attacks on hash functions
  - ...

Where can we find SAT technology today?

- **Computationally hard problems**
  - Graph coloring
  - Traveling salesperson
  - ...
- **Mathematical problems**
  - van der Waerden numbers
  - Quasigroup open problems
  - ...
- **Core engine for other solvers**
- **Integrated into theorem provers**
  - HOL
  - Isabelle
  - ...

## An example - Pythagorean Triples

Is it possible to assign to each integer  $1, 2, \dots, n$  one of two colors such that if  $a^2 + b^2 = c^2$  then  $a, b$ , and  $c$  do not all have the same color?



## An example - Pythagorean Triples

Is it possible to assign to each integer  $1, 2, \dots, n$  one of two colors such that if  $a^2 + b^2 = c^2$  then  $a, b$ , and  $c$  do not all have the same color?

- Solution: nope
- for  $n = 7825$  it is not possible
- the proof obtained by a SAT solver has 200 Terrabytes
- the largest Math proof ever (*see the article*)

# An example - Pythagorean Triples

Is it possible to assign to each integer  $1, 2, \dots, n$  one of two colors such that if  $a^2 + b^2 = c^2$  then  $a, b$ , and  $c$  do not all have the same color?

- Solution: nope
- for  $n = 7825$  it is not possible
- the proof obtained by a SAT solver has 200 Terrabytes
- the largest Math proof ever ([see the article](#))

How to encode this problem?

- for each integer  $i$  we have a Boolean variable  $x_i$
- $x_i = 1$  if the color of  $i$  is 1 and  $x_i = 0$  otherwise
- for each  $a, b, c$  such that  $a^2 + b^2 = c^2$  we have two clauses:

$$\begin{aligned}(x_a \vee x_b \vee x_c) &\sim \neg(\neg x_a \wedge \neg x_b \wedge \neg x_c) \\ (\neg x_a \vee \neg x_b \vee \neg x_c) &\sim \neg(x_a \wedge x_b \wedge x_c)\end{aligned}$$

## CNF - Conjunctive normal form

All current fast SAT solvers work on CNF (or slightly generalized CNF).

# CNF - Conjunctive normal form

All current fast SAT solvers work on CNF (or slightly generalized CNF).

- A literal is a propositional variable or its negation
  - example:  $p$ ,  $\neg q$
  - For a literal  $l$  we write  $\sim l$  for the negation of  $l$  cancelling double negations

# CNF - Conjunctive normal form

All current fast SAT solvers work on CNF (or slightly generalized CNF).

- A literal is a propositional variable or its negation
  - example:  $p$ ,  $\neg q$
  - For a literal  $l$  we write  $\sim l$  for the negation of  $l$  cancelling double negations
- A clause is a disjunction of literals
  - example:  $p \vee \neg q \vee r$
  - Since  $\vee$  is associative, we can represent clauses as lists of literals.
  - The empty clause (0 disjuncts) is defined to be  $\perp$
  - A unit clause is a clause consisting of exactly one literal.

# CNF - Conjunctive normal form

All current fast SAT solvers work on **CNF** (or slightly generalized CNF).

- A **literal** is a **propositional variable or its negation**
  - example:  $p, \neg q$
  - For a literal  $l$  we write  $\sim l$  for the negation of  $l$  cancelling double negations
- A **clause** is a **disjunction of literals**
  - example:  $p \vee \neg q \vee r$
  - Since  $\vee$  is associative, we can represent clauses as **lists of literals**.
  - The **empty clause** (0 disjuncts) is defined to be  $\perp$
  - A **unit clause** is a clause consisting of exactly one literal.
- A formula is in **CNF** if it is a **conjunction of clauses**
  - example:  $(p \vee \neg q \vee r) \wedge (\neg p \vee s \vee t \vee \neg u)$
  - Since  $\wedge$  is associative, we can represent formulas in CNF as **lists of clauses**.
  - The **empty conjunction** is defined to be  $\top$

We can represent CNF formulas as **vectors of vectors of literals**, which are often integers.

If "5" means  $x_5$ , then "-5" means  $\neg x_5$ .

## Example

$[[2, -1], [3, -2, 1]]$

is the representation of the CNF formula

$$(x_2 \vee \neg x_1) \wedge (x_3 \vee \neg x_2 \vee x_1)$$

- the most common input format for SAT solvers
- a way to encode CNF formulas

## Example

The input

```
c This is a comment
c This is another comment
p cnf 6 3
1 -2 3 0
2 4 5 0
4 6 0
```

represents the CNF formula  $(x_1 \vee \neg x_2 \vee x_3) \wedge (x_2 \vee x_4 \vee x_5) \wedge (x_4 \vee x_6)$



- At the beginning there can exist one or more comment line.
- **Comment lines** start with a **c**
- The following lines are information about the expression itself
- the **Problem line** starts with a **p**:

**p** **FORMAT** **VARIABLES** **CLAUSES**

- **FORMAT** should always be **cnf**
- **VARIABLES** is the number of variables in the expression
- **CLAUSES** is the number of clauses in the expression

## Example

**p** **cnf** 6 3 expresses that there are 6 variables and 3 clauses

- The next CLAUSES lines are for the clauses themselves
- Variables are enumerated from 1 to VARIABLES
- A **negation** is represented by  $\neg$
- Each variable information is separated by a blank space
- A 0 is added at the end to mark the end of the clause

## Example

1  $\neg$ 2 3 0 expresses the clause  $(x_1 \vee \neg x_2 \vee x_3)$

# A planning problem

## Example

Scheduling a meeting considering the following constraints:

- Adam can only meet on Monday and Wednesday
- Bridget cannot meet on Wednesday
- Charles cannot meet on Friday
- Darren can only meet on Thursday or Friday

# A planning problem

## Example

Scheduling a meeting considering the following constraints:

- Adam can only meet on Monday and Wednesday
- Bridget cannot meet on Wednesday
- Charles cannot meet on Friday
- Darren can only meet on Thursday or Friday

We represent week day *Monday*, *Tuesday*, ... as variables  $x_1, x_2, \dots$

# A planning problem

## Example

Scheduling a meeting considering the following constraints:

- Adam can only meet on Monday and Wednesday
- Bridget cannot meet on Wednesday
- Charles cannot meet on Friday
- Darren can only meet on Thursday or Friday

We represent week day *Monday*, *Tuesday*, ... as variables  $x_1, x_2, \dots$

We obtain the following formula in CNF:

$$\varphi = (x_1 \vee x_3) \wedge (\neg x_3) \wedge (\neg x_5) \wedge (x_4 \vee x_5) \wedge \textit{AtMostOne}$$

# A planning problem

## Example (cont.)

*AtMostOne* =

$$\begin{aligned} &(\neg x_1 \vee \neg x_2) \wedge (\neg x_1 \vee \neg x_3) \wedge (\neg x_1 \vee \neg x_4) \wedge (\neg x_1 \vee \neg x_5) \wedge \\ &(\neg x_2 \vee \neg x_3) \wedge (\neg x_2 \vee \neg x_4) \wedge (\neg x_2 \vee \neg x_5) \wedge \\ &(\neg x_3 \vee \neg x_4) \wedge (\neg x_3 \vee \neg x_5) \wedge \\ &(\neg x_4 \vee \neg x_5) \end{aligned}$$

# A planning problem

## Example (cont.)

$$\varphi = (x_1 \vee x_3) \wedge (\neg x_3) \wedge (\neg x_5) \wedge (x_4 \vee x_5) \wedge \textit{AtMostOne}$$

$$\begin{aligned} \textit{AtMostOne} = & (\neg x_1 \vee \neg x_2) \wedge (\neg x_1 \vee \neg x_3) \wedge (\neg x_1 \vee \neg x_4) \wedge (\neg x_1 \vee \neg x_5) \wedge (\neg x_2 \vee \neg x_3) \wedge \\ & (\neg x_2 \vee \neg x_4) \wedge (\neg x_2 \vee \neg x_5) \wedge (\neg x_3 \vee \neg x_4) \wedge (\neg x_3 \vee \neg x_5) \wedge (\neg x_4 \vee \neg x_5) \end{aligned}$$

## DIMACS Format c Planning a meeting

p cnf 5 14

1 3 0

-3 0

-5 0

4 5 0

-1 -2 0

-1 -3 0

-1 -4 0

-1 -5 0

-2 -3 0

-2 -4 0

-2 -5 0

-3 -4 0

-3 -5 0

-4 -5 0

Quiz time!

<https://www.questionpro.com/t/AT4NiZrmaJ>



## References – Separation logic

- Lecture Notes on "Formal Methods for Software Engineering", Australian National University, Rajeev Goré.
- John Reynolds, "Introduction to Separation Logic (Preliminary Draft)", parts 1-4.
- Peter W. O'Hearn, "Resources, Concurrency and Local Reasoning", Theoretical Computer Science, Volume 375, Issue 1-3, Pages 271-307, 2007.