

Seminar 1

(S1.1) Consider the first-order language $\mathcal{L}_{ar} = (\dot{<}; \dot{+}, \dot{\times}, \dot{S}; \dot{0})$ (the language of arithmetics) and the \mathcal{L}_{ar} -structure $\mathcal{N} = (\mathbb{N}, <, +, \cdot, S, 0)$.

- (i) Let $x, y \in V$ with $x \neq y$ and $t = \dot{S}x \dot{\times} \dot{S} \dot{S}y = \dot{\times}(\dot{S}x, \dot{S} \dot{S}y)$. Evaluate $t^{\mathcal{N}}(e)$, where $e : V \rightarrow \mathbb{N}$ is an assignment verifying $e(x) = 3$ and $e(y) = 7$.
- (ii) Let $\varphi = x \dot{<} \dot{S}y \rightarrow (x \dot{<} y \vee x = y) = \dot{<}(x, \dot{S}y) \rightarrow (\dot{<}(x, y) \vee x = y)$. Prove that $\mathcal{N} \models \varphi[e]$ for all $e : V \rightarrow \mathbb{N}$.

Proof. (i) For any assignment $e : V \rightarrow \mathbb{N}$, we have that

$$\begin{aligned} t^{\mathcal{N}}(e) &= \dot{\times}^{\mathcal{N}}((\dot{S}x)^{\mathcal{N}}(e), (\dot{S} \dot{S}y)^{\mathcal{N}}(e)) = (\dot{S}x)^{\mathcal{N}}(e) \cdot (\dot{S} \dot{S}y)^{\mathcal{N}}(e) \\ &= \dot{S}^{\mathcal{N}}(x^{\mathcal{N}}(e)) \cdot \dot{S}^{\mathcal{N}}((\dot{S}y)^{\mathcal{N}}(e)) = S(e(x)) \cdot S(\dot{S}^{\mathcal{N}}(y^{\mathcal{N}}(e))) \\ &= S(e(x)) \cdot S(S(e(y))). \end{aligned}$$

Hence, if $e(x) = 3$ and $e(y) = 7$, then

$$t^{\mathcal{N}}(e) = S(3) \cdot S(S(7)) = 4 \cdot 9 = 36.$$

- (ii) For any assignment $e : V \rightarrow \mathbb{N}$, we have that

$$\begin{aligned} \mathcal{N} \models \varphi[e] &\iff \mathcal{N} \not\models (\dot{<}(x, \dot{S}y))[e] \text{ or } \mathcal{N} \models (\dot{<}(x, y) \vee x = y)[e] \\ &\iff \dot{<}^{\mathcal{N}}(e(x), S(e(y))) \text{ is not satisfied or} \\ &\quad \mathcal{N} \models (\dot{<}(x, y))[e] \text{ or } \mathcal{N} \models (x = y)[e] \\ &\iff <(e(x), S(e(y))) \text{ is not satisfied or } <(e(x), e(y)) \\ &\quad \text{or } e(x) = e(y) \\ &\iff e(x) \geq S(e(y)) \text{ or } e(x) < e(y) \text{ or } e(x) = e(y) \\ &\iff e(x) \geq e(y) + 1 \text{ or } e(x) < e(y) \text{ or } e(x) = e(y). \end{aligned}$$

Hence, $\mathcal{N} \models \varphi[e]$ for all $e : V \rightarrow \mathbb{N}$.

We usually write

$$\begin{aligned} \mathcal{N} \models \varphi[e] &\iff \mathcal{N} \not\models (\dot{<}(x, \dot{S}y))[e] \text{ or } \mathcal{N} \models (\dot{<}(x, y) \vee x = y)[e] \\ &\iff e(x) \geq S(e(y)) \text{ or } e(x) < e(y) \text{ or } e(x) = e(y) \\ &\iff e(x) \geq e(y) + 1 \text{ or } e(x) < e(y) \text{ or } e(x) = e(y). \end{aligned}$$

□

Notation. Let \mathcal{L} be a first-order language. For any variables x, y with $x \neq y$, \mathcal{L} -structure \mathcal{A} , $e : V \rightarrow A$ and $a, b \in A$, we have that:

$$(e_{y \leftarrow b})_{x \leftarrow a} = (e_{x \leftarrow a})_{y \leftarrow b}.$$

In this case, we denote their common value with $e_{x \leftarrow a, y \leftarrow b}$. Thus,

$$e_{x \leftarrow a, y \leftarrow b} : V \rightarrow A, \quad e_{x \leftarrow a, y \leftarrow b}(v) = \begin{cases} e(v) & \text{dacă } v \neq x \text{ and } v \neq y \\ a & \text{dacă } v = x \\ b & \text{dacă } v = y. \end{cases}$$

(S1.2) Let \mathcal{L} be a first-order language. Prove that for any formulas φ, ψ and any distinct variables x, y ,

- (i) $\neg \exists x \varphi \models \forall x \neg \varphi$;
- (ii) $\forall x (\varphi \wedge \psi) \models \forall x \varphi \wedge \forall x \psi$;
- (iii) $\exists y \forall x \varphi \models \forall x \exists y \varphi$;
- (iv) $\forall x (\varphi \rightarrow \psi) \models \forall x \varphi \rightarrow \forall x \psi$.

Proof. Let \mathcal{A} be an \mathcal{L} -structure and $e : V \rightarrow A$ be an \mathcal{A} -assignment.

- (i) We know that “ $\exists x$ ” is an abbreviation for “ $\neg \forall x \neg$ ”.

$$\begin{aligned} \mathcal{A} \models (\neg \exists x \varphi)[e] &\iff \mathcal{A} \models (\neg \neg \forall x \neg \varphi)[e] \iff \text{it is not true that } \mathcal{A} \models (\neg \forall x \neg \varphi)[e] \\ &\iff \text{it is not true that it is not true that } \mathcal{A} \models (\forall x \neg \varphi)[e] \iff \mathcal{A} \models (\forall x \neg \varphi)[e]. \end{aligned}$$

- (ii) $\mathcal{A} \models (\forall x (\varphi \wedge \psi))[e] \iff$ for all $a \in A$, we have that $\mathcal{A} \models (\varphi \wedge \psi)[e_{x \leftarrow a}] \iff$ for all $a \in A$, we have that $\mathcal{A} \models \varphi[e_{x \leftarrow a}]$ and $\mathcal{A} \models \psi[e_{x \leftarrow a}] \iff$ (for all $a \in A$, we have that $\mathcal{A} \models \varphi[e_{x \leftarrow a}]$) and (for all $a \in A$, we have that $\mathcal{A} \models \psi[e_{x \leftarrow a}]$) $\iff \mathcal{A} \models (\forall x \varphi)[e]$ and $\mathcal{A} \models (\forall x \psi)[e] \iff \mathcal{A} \models (\forall x \varphi \wedge \forall x \psi)[e]$.

- (iii) Using the hypothesis that $x \neq y$, we get that $\mathcal{A} \models (\exists y \forall x \varphi)[e] \iff$ there exists $b \in A$ such that for all $a \in A$ we have that $\mathcal{A} \models \varphi[(e_{y \leftarrow b})_{x \leftarrow a}]$, hence

$$\mathcal{A} \models (\exists y \forall x \varphi)[e] \iff \text{there exists } b \in A \text{ s.t. for all } a \in A, \mathcal{A} \models \varphi[e_{x \leftarrow a, y \leftarrow b}] \quad (*).$$

and $\mathcal{A} \models (\forall x \exists y \varphi)[e] \iff$ for all $c \in A$ there exists $d \in A$ such that $\mathcal{A} \models \varphi[(e_{x \leftarrow c})_{y \leftarrow d}]$, hence

$$\mathcal{A} \models (\forall x \exists y \varphi)[e] \iff \text{for all } c \in A \text{ there exists } d \in A \text{ s.t. } \mathcal{A} \models \varphi[e_{x \leftarrow c, y \leftarrow d}] \quad (**).$$

We know (*) and we wish to show (**). Let $c \in A$. We wish to get $d \in A$ such that $\mathcal{A} \models \varphi[e_{x \leftarrow c, y \leftarrow d}]$.

Let b satisfy (*) and take $d := b$. Then, for all $a \in A$ we have that $\mathcal{A} \models \varphi[e_{x \leftarrow a, y \leftarrow d}]$. In particular, letting $a := c$, we get $\mathcal{A} \models \varphi[e_{x \leftarrow c, y \leftarrow d}]$, as needed.

(iv) We have that $\mathcal{A} \models (\forall x(\varphi \rightarrow \psi))[e] \iff \text{for all } a \in A, \mathcal{A} \models (\varphi \rightarrow \psi)[e_{x \leftarrow a}] \iff \text{for all } a \in A, \varphi^{\mathcal{A}}(e_{x \leftarrow a}) \rightarrow \psi^{\mathcal{A}}(e_{x \leftarrow a}) = 1 \iff$

$$(*) \quad \text{for all } a \in A, \varphi^{\mathcal{A}}(e_{x \leftarrow a}) \leq \psi^{\mathcal{A}}(e_{x \leftarrow a}).$$

We obtain similarly that $\mathcal{A} \models (\forall x\varphi \rightarrow \forall x\psi)[e] \iff$

$$(**) \quad \text{for all } a \in A, (\forall x\varphi)^{\mathcal{A}}(e) \leq (\forall x\psi)^{\mathcal{A}}(e).$$

We assume $(*)$ and we have to prove $(**)$.

If $(\forall x\varphi)^{\mathcal{A}}(e) = 0$, $(**)$ is obvious. Suppose that $(\forall x\varphi)^{\mathcal{A}}(e) = 1$, that is

$$(***) \quad \text{for all } b \in A, \varphi^{\mathcal{A}}(e_{x \leftarrow b}) = 1.$$

We need to prove that $(\forall x\psi)^{\mathcal{A}}(e) = 1$, that is

$$\text{for all } c \in A, \psi^{\mathcal{A}}(e_{x \leftarrow c}) = 1.$$

Let $c \in A$. By $(*)$, we have that $\varphi^{\mathcal{A}}(e_{x \leftarrow c}) \leq \psi^{\mathcal{A}}(e_{x \leftarrow c})$ and, by $(***)$, that $\varphi^{\mathcal{A}}(e_{x \leftarrow c}) = 1$. Hence, $\psi^{\mathcal{A}}(e_{x \leftarrow c}) = 1$, as needed.

□

(S1.3) Let x, y be distinct variables. Give examples of first-order languages \mathcal{L} and formulas φ, ψ of \mathcal{L} such that:

- (i) $\forall x(\varphi \vee \psi) \not\models \forall x\varphi \vee \forall x\psi$;
- (ii) $\exists x\varphi \wedge \exists x\psi \not\models \exists x(\varphi \wedge \psi)$;
- (iii) $\forall x\exists y\varphi \not\models \exists y\forall x\varphi$.

Proof. Consider $\mathcal{L}_{ar} = (\dot{<}, \dot{+}, \dot{\times}, \dot{S}, \dot{0})$, the \mathcal{L}_{ar} -structure $\mathcal{N} := (\mathbb{N}, <, +, \cdot, S, 0)$ and $e : V \rightarrow \mathbb{N}$ be an arbitrary assignment (we take, for example, $e(v) := 7$ for all $v \in V$).

- (i) Let $\dot{2} := \dot{S}\dot{S}\dot{0}$, $\varphi := x \dot{<} \dot{2}$ and $\psi := \neg(x \dot{<} \dot{2})$. Then

$$\mathcal{N} \models \forall x(\varphi \vee \psi)[e].$$

On the other hand,

- (a) $\mathcal{N} \models (\forall x\varphi)[e] \iff \text{for all } n \in \mathbb{N}, \text{ we have that } \mathcal{N} \models \varphi[e_{x \leftarrow n}] \iff \text{for all } n \in \mathbb{N}, \text{ we have that } n < 2, \text{ which is false (take } n := 3, \text{ for example). Hence, } \mathcal{N} \not\models (\forall x\varphi)[e].$

- (b) $\mathcal{N} \models (\forall x\psi)[e] \iff$ for all $n \in \mathbb{N}$, we have that $\mathcal{N} \models \psi[e_{x \leftarrow n}] \iff$ for all $n \in \mathbb{N}$, we have that $n \geq 2$, which is false (take $n := 1$, for example). Hence, $\mathcal{N} \not\models (\forall x\psi)[e]$.

It follows that

$$\mathcal{N} \not\models (\forall x\varphi \vee \forall x\psi)[e].$$

- (ii) Let $\dot{2} := \dot{S}\dot{S}\dot{0}$, $\varphi := x \dot{<} \dot{2}$ and $\psi := \neg(x \dot{<} \dot{2})$. Then

- (a) $\mathcal{N} \models (\exists x\varphi)[e] \iff$ there exists $n \in \mathbb{N}$ such that $\mathcal{N} \models \varphi[e_{x \leftarrow n}] \iff$ there exists $n \in \mathbb{N}$ such that $n < 2$, which is true (take $n := 1$, for example). Hence, $\mathcal{N} \models (\exists x\varphi)[e]$.
- (b) $\mathcal{N} \models (\exists x\psi)[e] \iff$ there exists $n \in \mathbb{N}$ such that $\mathcal{N} \models \psi[e_{x \leftarrow n}] \iff$ there exists $n \in \mathbb{N}$ such that $n \geq 2$, which is true (take $n := 3$, for example). Hence, $\mathcal{N} \models (\exists x\psi)[e]$.

It follows that

$$\mathcal{N} \models (\exists x\varphi \wedge \exists x\psi)[e].$$

On the other hand, $\mathcal{N} \models \exists x(\varphi \wedge \psi)[e] \iff$ there exists $n \in \mathbb{N}$ such that $\mathcal{N} \models (\varphi \wedge \psi)[e_{x \leftarrow n}] \iff$ there exists $n \in \mathbb{N}$ such that $n < 2$ and $n \geq 2$, which is false. Thus,

$$\mathcal{N} \not\models \exists x(\varphi \wedge \psi)[e].$$

- (iii) Let $\varphi := x \dot{<} y$. Then

$$\begin{aligned} \mathcal{N} \models (\forall x\exists y\varphi)[e] &\iff \text{for all } n \in \mathbb{N}, \text{ we have that } \mathcal{N} \models (\exists y\varphi)[e_{x \leftarrow n}] \\ &\iff \text{for all } n \in \mathbb{N} \text{ there exists } m \in \mathbb{N} \text{ such that } \mathcal{N} \models \varphi[e_{x \leftarrow n, y \leftarrow m}] \\ &\iff \text{for all } n \in \mathbb{N} \text{ there exists } m \in \mathbb{N} \text{ such that } n < m, \end{aligned}$$

which is true (take $m := n + 1$, for example). Hence,

$$\mathcal{N} \models (\forall x\exists y\varphi)[e].$$

On the other hand,

$$\begin{aligned} \mathcal{N} \models (\exists y\forall x\varphi)[e] &\iff \text{there exists } m \in \mathbb{N} \text{ such that } \mathcal{N} \models (\forall x\varphi)[e_{y \leftarrow m}] \\ &\iff \text{there exists } m \in \mathbb{N} \text{ such that for all } n \in \mathbb{N} \\ &\quad \text{we have that } \mathcal{N} \models \varphi[e_{x \leftarrow n, y \leftarrow m}] \\ &\iff \text{there exists } m \in \mathbb{N} \text{ such that for all } n \in \mathbb{N}, \text{ we have that } n < m, \end{aligned}$$

which is false. It follows that

$$\mathcal{N} \not\models (\exists y\forall x\varphi)[e].$$

□