

Reconciling Pasteur and Darwin to control infectious diseases

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Abstract

10 The continual emergence of new viruses and the increased spread of antibiotic resis-
11 tance in bacterial populations keeps reminding us that microbes are living entities that
12 coevolve with public health interventions. Following the historical thread of the works
13 of Pasteur and Darwin shows how reconciling clinical microbiology and ecology & evolu-
14 tion can be instrumental to understand pathology, develop new therapies and prolong the
15 efficiency of existing ones.

16 *Surgeon General William H. Stewart told Congress in 1969 that it was time to “close*
17 *the book on infectious diseases,” to declare the war against pestilence won and to*
18 *shift national resources to such chronic disease problems as cancer and heart disease.*

19 Garrett L, *Newsday*, Discovery Section (May 30, 1989)

20 The burden of infectious diseases on global health has alleviated over the last century [1]
21 and until the end of the 1980s, it was thought the pasteurian ideal of controlling infectious
22 was beyond grasp, especially with the eradication of smallpox through vaccination. Today,
23 the famous and probably apocryphal [2] line attributed to William H. Stewart reads as overly
24 optimistic after the emergence of Human Immunodeficiency Virus (HIV) and the ongoing spread
25 of antibiotic resistance. This essay shows how re-uniting Pasteur’s experiments with Darwin’s
26 theories may be decisive for controlling infectious diseases (Figure 1).

27 **A missed rendez-vous?**

28 Although the French microbiologist and the English naturalist were at the top of their career
29 at the same time, they barely interacted and have rarely been compared [3–5]. This distance
30 can be blamed on all the differences listed in Table 1. In 1988, molecular biologist Joshua
31 Lederberg referred to this as a ‘*lost opportunity*’ [4] and microbes are indeed perfect organisms
32 to test evolutionary theories [6]. But are Pasteur and Darwin really to blame for the current
33 lack of evolutionary biology in public health?

34 Contrarily to a still common belief [e.g. 7], Darwin did write about microbes and even
35 thought about how to integrate them in his theory [8]. On the microbiology side, the conclu-
36 sion reached by a detailed history of science study [9] is that ‘*Work on virulence and vaccines*
37 *made the 1880s bacteriological laboratory perhaps the earliest place of sustained experimental*
38 *cellular-level in vitro research on phenomena understood as biological variations and evolution-*
39 *ary mechanisms.*’ Harnessing ecology and evolution to control infectious diseases therefore
40 somehow means going back to the origins.

41 Emergence of new threats

42 Pasteur’s hypotheses rarely strayed away from facts obtained in well controlled settings. One
43 of the few exceptions is when he formulates hypotheses regarding the origin of the virulence of
44 some infectious diseases [9, 10]. This must have cost him because of his religious beliefs and
45 also because Darwin’s book was used by proponents of the spontaneous generation [3–5]. But
46 his intuition was correct in that pathogen emergence offers a perfect illustration of the role
47 evolution can play in public health.

48 The only illustration in *The Origin of the species* strongly resembles a phylogeny. With
49 the progresses in DNA sequencing and computer sciences, phylogenies are now commonplace,
50 especially in epidemiological studies. The analysis of genetic sequences from population of
51 microbes infecting one or several individuals has led to a field named phylodynamics, which
52 postulates that the way infectious diseases spread leaves footprint in their genomes [11, 12].
53 For instance, analysing avian influenza virus genomes reveals the importance of environmental
54 transmission in the life cycle of the virus [13].

55 The 2014-2016 Ebola epidemics in West Africa marked a quantitative shift in sequencing
56 with the publication of full virus genomes sampled from 78 infections within the first months
57 of the outbreak [14]. Overall, more than a thousand full genomes have already been analysed,
58 thus giving us a detailed vision of the spread of the epidemics between countries [14, 15].
59 Furthermore, phylodynamics approaches have been used to infer key epidemiological parameters
60 such as the basic reproduction ratio (R_0) or infection duration [16, 17].

61 Emergence often involves adaptation to new hosts. Pasteur argued that attenuated forms of
62 virulent parasites already exist in the population and that their ‘*virulence can be progressively*
63 *reinforced*’ if the environmental conditions are adequate [9, 10]. The outbreak of Chikungunya
64 virus that occurred in La Réunion island in 2005-2006 illustrates how such ‘reinforcement’ may
65 occur. At the end of 2005, there was a first limited outbreak that caused a few thousand cases.
66 In 2006, it was followed by a huge outbreak with hundred thousands of cases. The main reason
67 for the size difference was that in 2006 most viruses bore a key mutation in position 226 of
68 the E1 protein, which allowed them to better exploit *Aedes albopictus* (tiger) mosquitoes as a
69 vector [18]. This explains the second outbreak, especially because of *A. albopictus*’ preference
70 for human blood meals. Invoking the ‘evolutionary rescue’ framework offers an even more

dynamical picture [19]: the virus population that emerged at the end of 2015 was bound to go extinct rapidly but the evolutionary event (the substitution in position 226) allowed it to persist and generate a major outbreak. By combining epidemiology and evolution, it is possible to quantifies the probability for such a major outbreak to occur [20] (Figure 2C).

Virulence evolution

Microbial virulence is a key concept to grasp the revolution that occurred at the end of the 19th century in the bacteriological laboratories [9]. Theobald Smith was the first to formalise how virulence should evolve in the field [21]. His theory, although more complex than usually presented [22], is that virulent strains should be counter-selected because killing their host is detrimental to their epidemiological fitness [23].

In the 80s, the avirulence theory was challenged by showing that virulence, defined as the host's parasite-induced mortality rate, can be adaptive if trade-offs are involved [24, 25]. This hypothesis was criticised for its lack of empirical support but one of the clearest proofs eventually came from studying HIV-1 in humans. HIV virulence is classically measured in absence of treatment as the inverse of the time to AIDS, a trait controlled both by host and virus genetics [26]. Therefore, by definition, HIV virulence decreases infection duration. However, increased virulence also comes with increased probability of transmission per sexual contact. The trade-off originates from these two opposite forces: milder viruses cause longer but poorly contagious infections, whereas virulent viruses cause short but contagious infections. As a result, HIV seems to have evolved towards intermediate levels of virulence that maximise the number of secondary infections an infected host causes [27, 28].

The existence of a transmission-virulence trade-off has direct implications for public health interventions, especially vaccination, which was Pasteur's main instrument to fight infectious diseases. Indeed, if more virulent strains tend to transmit more per unit of time, modifying the life cycle of the parasite may affect the nature of the fittest strain [29] (Figure 2B). This was shown experimentally using the rodent malaria parasite *Plasmodium chabaudi* in mice: a replication-blocking vaccine leads to the evolution towards higher levels of virulence during serial passage experiments [30]. According to theory, interventions that only reduce infection virulence, therefore changing infected treated hosts into healthy carriers, are the most dan-

gerous on the long term. This is supported by the consequences of the implementation of virulence-blocking vaccines against Marek Disease Virus (MDV), an avian herpesvirus that is a major threat to the poultry industry. Until recently, the evidence was mainly correlational but experiments demonstrated that vaccinating chicks allows highly virulent strains otherwise incapable to generate any secondary case to spread [31].

Another theoretical implication of the trade-off is that virulent strains should be favoured early in an epidemics due to population dynamics feedbacks [32]. This short-term effect was shown *in vitro* by generating an outbreak in a bacterial population with a mixture of virulent and non-virulent phages. The initial 1:1 ratio first increases to 100:1 before decreasing to 10:1 in favour of the virulent phage [33]. Field data on the spread of a pathogenic bacteria in North American house finch also supports this theoretical prediction [34]. This makes emerging infectious diseases even more dangerous (not to mention the lack of host immunity).

Resistance to the evolution of resistance

We mentioned how vaccines can shape parasite populations [35] but the example of antibiotics is equally striking. In 2000, the frequency of *Streptococcus pneumoniae* isolates sampled in European hospitals that were resistant to penicillin in 2000 were shown to correlate with the amount of antibiotics prescribed by the hospital's country [36]. A bayesian analysis of prevalence data from Cuba, Venezuela and Estonia even suggested that the quality of a national tuberculosis prevention program may affect the fitness cost paid by *Mycobacterium tuberculosis* resistant strains [37]. Larger hospital sizes have also been shown to be associated with more antibiotic resistance in datasets from the US and Ireland, one interpretation being that a network of small hospitals maximises the risk of stochastic extinctions of newly emerged resistant variants [38].

Parasite virulence has been under scrutiny by clinical microbiologists since Pasteur [39] but it has also been a central trait for evolutionary biologists [40, 41]. Although there are few exceptions [42, 43], this is not the case for antibiotic resistance. Evolutionary biology studies investigating how to best combine antibiotics [44] or alternate between them [45] are recent compared to the time since these practices have been attempted in the medical field. Even the idea, illustrated in Figure 3, that it is sometimes preferable to use lower doses to contain an infection instead of attempting to eliminate is gaining momentum in the field [46] although

129 similar ‘watch and wait’ strategies are already routinely implemented by clinicians, for instance
130 to treat chronic lymphocytic leukemia [47].

131 Are medical doctors to blame?

132 Since 1990s, many insist that evolutionary biology should be taught to medical doctors [48, 49].
133 Indeed, the biomedical literature seems to avoid the ‘e-word’ [50]. But, from a historical
134 standpoint, medical doctors were always very (if not too) enthusiastic for Darwin’s ideas [51].

135 The contrast between the importance of virulence and antibiotic resistance in evolutionary
136 biology suggests another hypothesis to the lack of evolution in public health. The concept of
137 virulence was forged by the likes of Louis Pasteur and Theobald Smith, whose research relied
138 on phenotypic variation and isolates from the field. Antibiotic resistance was discovered later,
139 at a time where the mechanistic approaches were taking over in biology. Actually, along with
140 phages, antibiotic resistance was instrumental in the development of molecular biology. Part
141 of the problem is that part of the work on the evolution of infectious diseases was lost during
142 the modern synthesis. In his book Theodosius Dobzhansky acknowledges that there was work
143 on ‘*bacterial variation*’ before the 1940s but dismissed it because of its ‘*Lamarckian flavour*’
144 [52]. Lederberg’s 1988 essay on the evolution of pandemics is even more enlightening [4]. He
145 writes that the synthesis between Darwin is finally ‘*fully integrated*’ because ‘*the study of the*
146 ‘*mechanisms of virulence is a top priority*’. If anything, this shows that mechanistic studies took
147 over process-based studies. When he does mention virulence evolution, he explains that ‘*From*
148 ‘*the virus’s perspective, its ideal would be a virtually symptomless infection*’, thus missing all the
149 work on the adaptive nature of virulence that took place in the years before [24, 25]. Finally,
150 Lederberg raises the question ‘*Will AIDS get even worse?*’ His question was extremely timely
151 and relevant since meta-analyses suggest that HIV virulence did increase over the last decades
152 [53] but his answer, although again visionary, has little to do with evolutionary biology since
153 he states ‘*there is a fair possibility that some potential carriers are still uncounted*’.

154 In summary, although evolutionary biology can undeniably open medical doctors to ultimate
155 causations (e.g. Is fever adaptive?), the instances where this can help them in their daily practice
156 seem limited. Even for drug resistance, which is probably the most relevant evolutionary process
157 they may face, there are national guidelines to follow. Overall, if the space devoted to ecology

158 and evolution needs to be expanded, it should perhaps first be in public health and molecular
159 & cellular biology curriculae.

160 **Controlling coevolution**

161 Microbial evolution in response to public health interventions is unavoidable. In return, the
162 nature of the microbial population shapes the public health response since, for instance, mild
163 strains are less reported and treated than virulent ones. In contrast with Sir Alexander Fleming,
164 who warned against the risk of evolution of antibiotic resistance in his Nobel prize speech in
165 1945, Neither Pasteur nor Darwin did anticipate this feedback loop. We cannot avoid this
166 coevolutionary process but we can act so that its outcome tends more towards a peaceful
167 coexistence than an arms race [54].

168 Over the years, the fields that Darwin and Pasteur contributed to create have drifted apart.
169 Evolutionary ecologists tend to focus on processes and phenotypic traits at the expenses of the
170 underlying molecular and cellular mechanisms, whereas clinical microbiologists do the opposite.
171 A synthesis is necessary to understand pathogenesis, but also to develop and preserve therapies.
172 The evolutionary approach also reveals that the stakes are higher than losing drugs. There
173 is a risk that policies may trigger an arms race favouring more virulent strains, as witnessed
174 for MDV in poultry. Similar concerns apply to the use of anti-microbial peptides in clinical or
175 agricultural practice. Since these peptides are part of our own defence mechanisms, evolution of
176 bacterial resistance could potentially weaken all our immune system [55]. Some studies already
177 point to this risk of joint evolution of antibiotic resistance and virulence [56].

178 In conclusion, it is urgent to switch from an eradication to a control perspective. Instead of
179 hoping to find ‘magic bullets’, we should aim at domesticating microbes. In this spirit, inter-
180 ventions such as microbiota transplantation, original administration of drugs (varying doses,
181 alternating or combining molecules) or phage therapy represent the future of evolutionary ecol-
182 ogy in public health [57, 58].

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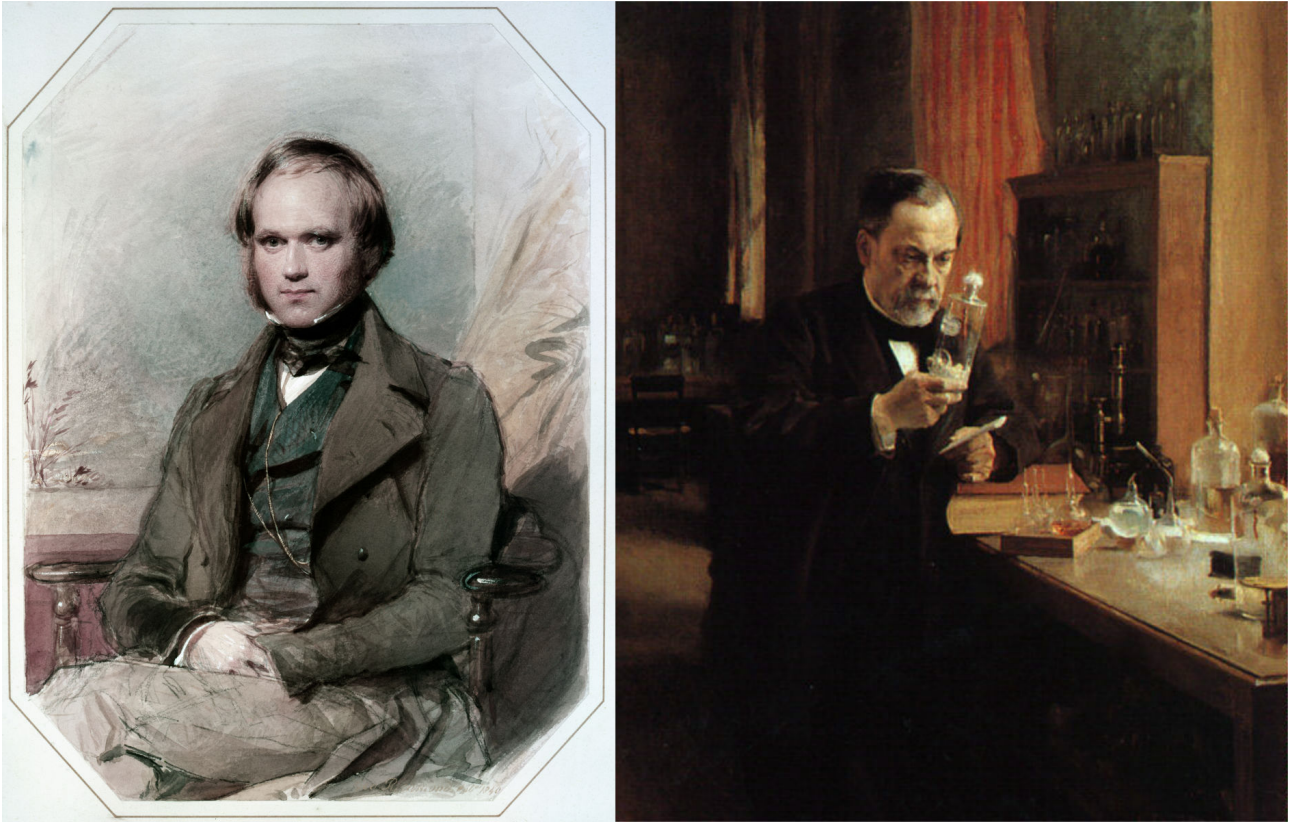


Figure 1: **Charles Darwin the naturalist and Louis Pasteur the microbiologist.** Facts in this table originate from the literature [3, 5, 59]. Charles Darwin's painting is from George Richmond in the late 1830s after his return from his voyage on HMS Beagle and the painting of Louis Pasteur in his laboratory is from Albert Edelfelt in 1885 (Musée d'Orsay, Paris).

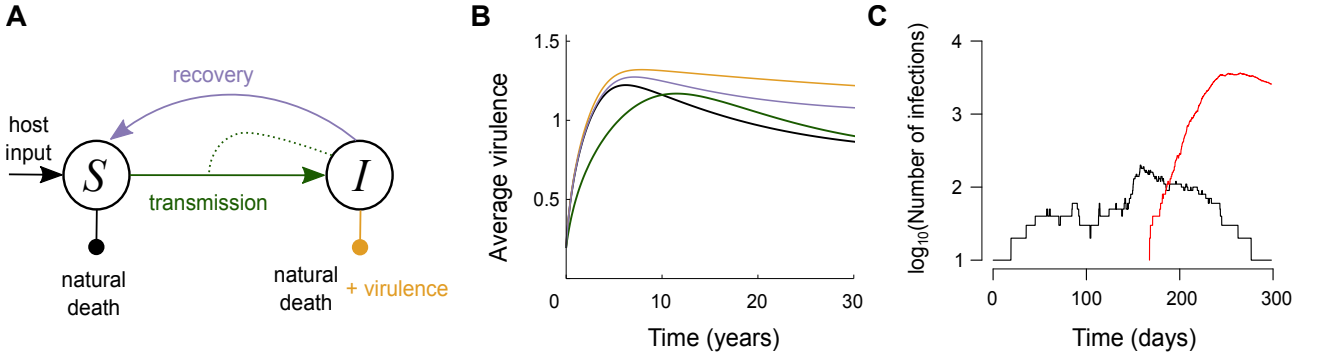


Figure 2: **Combining the epidemiology and evolution of infectious diseases.** A) Representation of the Susceptible-Infected-Recovered (SIR) epidemiological model, B) Virulence evolution in response to different types of interventions and C) Evolutionary rescue of a parasite via mutation. In B, the predictions are obtained using the Price equation formalism and the assumptions from Figure 2 in [32]. The colour of the curves corresponds to the arrows in panel (black is the untreated case). Even in absence of treatment, the virulence evolves on the short-term because its initial value is far from its optimal value. The virulence-blocking treatment (in yellow) leads to the highest increase virulence, whereas the treatment-blocking (in green) first favours less virulent strains. Increasing host recovery rate (in grey) also increases virulence. In C, the resident strain (in black) cannot generate a large outbreak (its $R_0 < 1$) but it can still persist long enough for a mutation event to occur that can lead to a well adapted mutant (in red) [20].

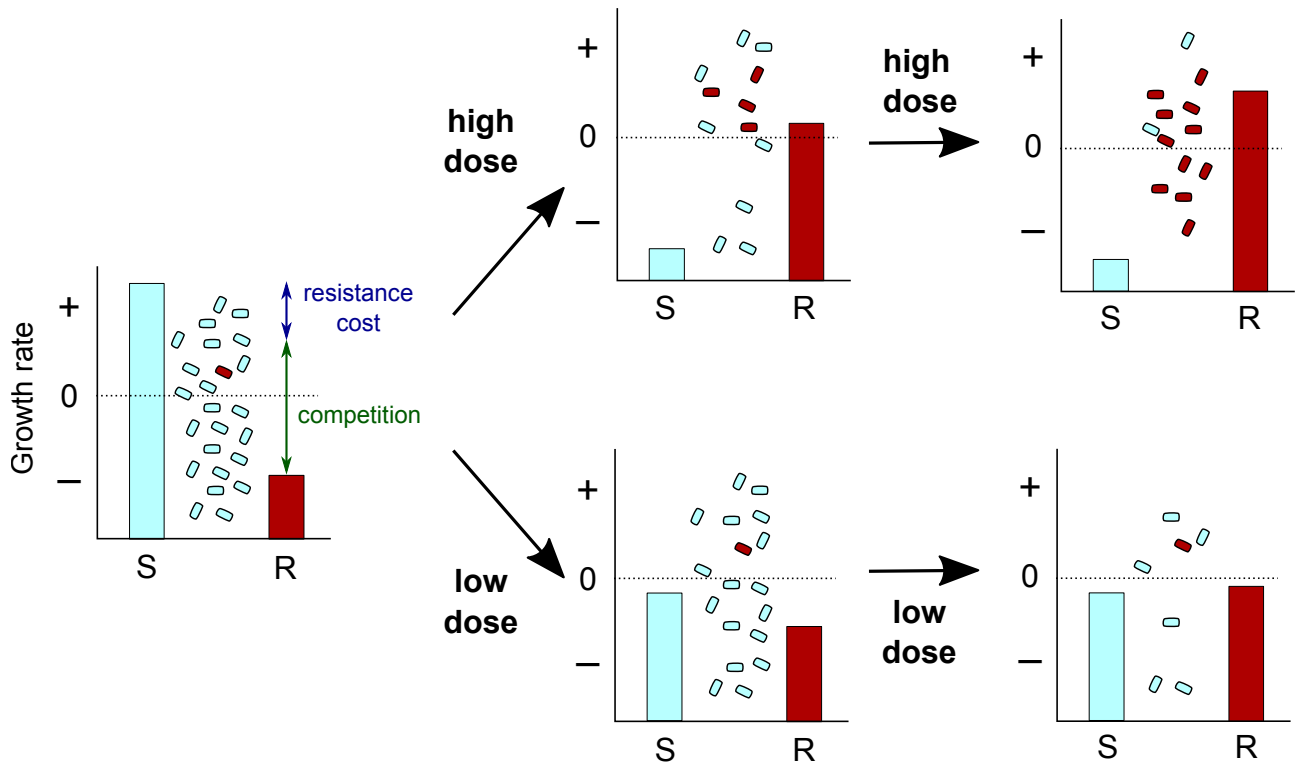


Figure 3: **How high drug doses can lead to selection of pre-existing drug-resistant mutants via ‘competitive release’.** Charts show the within-host growth rate of drug susceptible (in cyan) and resistant (in red) bacteria populations at three time points using a high (top) or a low drug dose (bottom). Population sizes and the fraction of resistant bacteria are shown between the bars. Note that depending on the fitness landscape, there might not always exist a dose that prevents the spread of both bacterial populations [for details, see 60].

Table 1: **Comparing the life and research of Louis Pasteur and Charles Darwin.** The comparison criteria are in italic.

Charles Darwin (1809-1882)		Louis Pasteur (1822-1895)
Intellectual (grandson of medical doctor and philosopher Erasmus Darwin)	<i>Sociological background</i>	Worker (grew up near a tanner's shop)
Eventually 'agnostic'	<i>Religion</i>	Always very devout
Solitary (will wait years to publish his major book)	<i>Collaborators</i>	'Army' (as described by the medical doctors at the time)
Theoretician	<i>Method</i>	Empiricist
Field observations	<i>Data</i>	Laboratory (disregards results from non-controlled settings)
Fundamental research only	<i>Applications</i>	Applied research
<i>Synthesis</i> : making sense from numerous (seemingly) independent observations		
<i>Influence</i> : Legated a sub-field in biology		
<i>Medicine</i> : non-medical doctors with a major impact in medicine		