# Novel Audio Features for Music Emotion Recognition

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Abstract—This work advances the music emotion recognition state-of-the-art by proposing novel emotionally-relevant audio features. We reviewed the existing audio features implemented in well-known frameworks and their relationships with the eight commonly defined musical concepts. This knowledge helped uncover musical concepts lacking computational extractors, to which we propose algorithms - namely related with musical texture and expressive techniques. To evaluate our work, we created a public dataset of 900 audio clips, with subjective annotations following Russell's emotion quadrants. The existent audio features (baseline) and the proposed features (novel) were tested using 20 repetitions of 10-fold cross-validation. Adding the proposed features improved the F1-score to 76.4 percent (by 9 percent), when compared to a similar number of baseline-only features. Moreover, analysing the features relevance and results uncovered interesting relations, namely the weight of specific features and musical concepts to each emotion quadrant, and warrant promising new directions for future research in the field of music emotion recognition, interactive media, and novel music interfaces.

Index Terms—Affective computing, audio databases, emotion recognition, feature extraction, music information retrieval

#### 1 Introduction

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TN recent years, Music Emotion Recognition (MER) has ▲attracted increasing attention from the Music Information Retrieval (MIR) research community. Presently, there is already a significant corpus of research works on different perspectives of MER, e.g., classification of song excerpts [1], [2], emotion variation detection [3], automatic playlist generation [4], exploitation of lyrical information [5] and bimodal approaches [6]. However, several limitations still persist, namely, the lack of a consensual and public dataset and the need to further exploit emotionally-relevant acoustic features. Particularly, we believe that features specifically suited to emotion detection are needed to narrow the socalled semantic gap [7] and their absence hinders the progress of research on MER. Moreover, existing system implementation shows that the state-of-the-art solutions are still unable to accurately solve simple problems, such as classification with few emotion classes (e.g., four to five). This is supported by both existing studies [8], [9] and the small improvements in the results attained in the 2007-2017 MIREX Audio Mood Classification (AMC) task<sup>1</sup>, an annual comparison of MER algorithms. These system implementations and

1. http://www.music-ir.org/mirex/

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research results show a glass ceiling in MER system performances [7].

Several factors contribute to this glass ceiling of MER systems. To begin with, our perception of emotion is inherently 40 subjective: different people may perceive different, even 41 opposite, emotions when listening to the same song. Even 42 when there is an agreement between listeners, there is often 43 ambiguity in the terms used regarding emotion description 44 and classification [10]. It is not well-understood how and why 45 some musical elements elicit specific emotional responses in 46 listeners [10].

Second, creating robust algorithms to accurately capture 48 these music-emotion relations is a complex problem, involv- 49 ing, among others, tasks such as tempo and melody estimation, which still have much room for improvement. 51

Third, as opposed to other information retrieval problems, 52 there are no public, widely accepted and adequately vali-53 dated, benchmarks to compare works. Typically, researchers 54 use private datasets (e.g., [11]) or provide only audio features 55 (e.g., [12]). Even though the MIREX AMC task has contributed with one dataset to alleviate this problem, several major 57 issues have been identified in the literature. Namely, the 58 defined taxonomy lacks support from music psychology and 59 some of the clusters show semantic and acoustic overlap [2]. 60

Finally, and most importantly, many of the audio features applied in MER were created for other audio recognition applications and often lack emotional relevance. 63 Hence, our main working hypothesis is that, to further 64 advance the audio MER field, research needs to focus on 65 what we believe is its main, crucial, and current problem: to 66 capture the emotional content conveyed in music through 67 better designed audio features. 68

This raises the core question we aim to tackle in this 69 paper: which features are important to capture the emo- 70 tional content in a song? Our efforts to answering this 71

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Features	Examples
Timing	Tempo, tempo, variation, duration, contrast.
Dynamics	Overall level, crescendo/decrescendo, accents.
Articulation	Overall (staccato, legato), variability.
Timbre	Spectral richness, harmonic richness.
Pitch	High or low.
Interval	Small or large.
Melody	Range (small or large), direction (up or down).
Tonality	Chromatic-atonal, key-oriented.
Rhythm	Regular, irregular, smooth, firm, flowing, rough.
Mode	Major or minor.
Loudness	High or low.
Musical form	Complexity, repetition, disruption.
Vibrato	Extent, range, speed.

question required: i) a review of computational audio features currently implemented and available in the state-of-the-art audio processing frameworks; ii) the implementation and validation of novel audio features (e.g., related with music performance expressive techniques or musical texture).

Additionally, to validate our work, we have constructed a dataset that we believe is better suited to the current situation and problem: it employs four emotional classes, from the Russell's emotion circumplex [13], avoiding both unvalidated and overly complex taxonomies; it is built with a semi-automatic method (AllMusic annotations, along with simpler human validation), to reduce the resources required to build a fully manual dataset.

Our classification experiments showed an improvement of 9 percent in F1-Score when using the top 100 baseline and novel features, while compared to the top 100 baseline features only. Moreover, even when the top 800 baseline features is employed, the result is 4.7 percent below the one obtained with the top100 baseline and novel features set.

This paper is organized as follows. Section 2 reviews the related work. Section 3 presents a review of the musical concepts and related state-of-the-art audio features, as well as the employed methods, from dataset acquisition to the novel audio features and the classification strategies. In Section 4, experimental results are discussed. Finally, conclusions and possible directions for future work are included in Section 5.

## 2 RELATED WORK

Musical Psychology researchers have been actively studying the relations between music and emotions for decades. In this process, different emotion paradigms (e.g., categorical or dimensional) and related taxonomies (e.g., Hevner, Russell) have been developed [13], [14] and exploited in different computational MER systems, e.g., [1], [2], [3], [4], [5], [6], [10], [11], [15], [16], [17], [18], [19], along with specific MER datasets, e.g., [10], [16], [19].

Emotion in music can be studied as: i) perceived, as in the emotion an individual identifies when listening; ii) felt, regarding the emotional response a user feels when listening, which can be different from the perceived one; iii) or transmitted, representing the emotion that the performer or composer aimed to convey. As mentioned, we focus this 114 work on perceived emotion.

Regarding the relations between emotions and specific 116 musical attributes, several studies uncovered interesting 117 associations. As an example: major modes are frequently 118 related to emotional states such as happiness or solemnity, 119 whereas minor modes are often associated with sadness or 120 anger [20]; simple, consonant, harmonies are usually happy, 121 pleasant or relaxed. On the contrary, complex, dissonant, 122 harmonies relate to emotions such as excitement, tension or 123 sadness, as they create instability in a musical motion [21]. 124 Moreover, researchers identified many musical features 125 related to emotion, namely: timing, dynamics, articulation, 126 timbre, pitch, interval, melody, harmony, tonality, rhythm, 127 mode, loudness, vibrato, or musical form [11], [21], [22], 128 [23]. A summary of musical characteristics relevant to emotion is presented in Table 1.

Despite the identification of these relations, many of 131 them are not fully understood, still requiring further musi- 132 cological and psychological studies, while others are diffi- 133 cult to extract from audio signals. Nevertheless, several 134 computational audio features have been proposed over the 135 years. While the number of existent audio features is high, 136 many were developed to solve other problems (e.g., Mel- 137 frequency cepstral coefficients (MFCCs) for speech recognition) and may not be directly relevant to MER.

Nowadays, most proposed audio features are imple- 140 mented and available in audio frameworks. In Table 2, we 141 summarize several of the current state-of-the-art (hereafter 142 termed standard) audio features, available in widely 143 adopted frameworks, namely, the MIR Toolbox [24], Mar- 144 syas [25] and PsySound3 [26].

Musical attributes are usually organized into four to 146 eight different categories (depending on the author, e.g., 147 [27], [28]), each representing a core concept. Here, we follow 148 an eight categories organization, employing rhythm, 149 dynamics, expressive techniques, melody, harmony, tone 150 colour (related to timbre), musical texture and musical 151 form. Through this organization, we are able to better 152 understand: i) where features related to emotion belong; ii) 153 and which categories may lack computational models to 154 extract musical features relevant to emotion.

One of the conclusions obtained is that the majority of available features are related with tone colour (63.7 percent). Also, 157
many of these features are abstract and very low-level, capturing statistics about the waveform signal or the spectrum. 159
These are not directly related with the higher-level musical 160
concepts described earlier. As an example, MFCCs belong to 161
tone colour but do not give explicit information about the 162
source or material of the sound. Nonetheless, they can implic163
itly help to distinguish these. This is an example of the men164
tioned semantic gap, where high level concepts are not being 165
captured explicitly with the existent low level features. 166

This agrees with the conclusions presented in [8], [9], 167 where, among other things, the influence of the existent 168 audio features to MER was assessed. Results of previous 169 experiments showed that "the used spectral features out- 170 performed those based on rhythm, dynamics, and, to a 171 lesser extent, harmony" [9]. This supports the idea that 172 more adequate audio features related to some musical con- 173 cepts are lacking. In addition, the number of implemented 174

# TABLE 2 Summary of Standard Audio Features

Name	КC	Description	Name	КC	Description	
Tempo Change		Tempo changes over time.	Attack Time (+ log att)		Temporal duration of the attack phases	
Beat Spectrum		Measure of acoustic self-similarity.	Attack Slope & Release		Gradient of attack and release phases.	
Onsets		Estimated starting time of the notes.	Attack Leap		Attack phase amplitude.	
Events Density		Estimated note onsets per second.	Avg Notes Duration		Avg duration from attack to release.	
Tempo Estimation		Estimated tempo of the piece.	Zero Crossing Rate		Waveform sign-change rate.	
T1	Ξ	Rhythmic periodicity along auditory	C ( 1.E)		Distance between successive spectra	
Fluctuation	Rhythm	channels. Estimates rhythm content.	Spectral Flux		frames.	
Metrical Analysis	Rh	Hierarchical metrical structure info.	Spectral Centroid		1 <sup>st</sup> moment (mean): indicates brightness of the sound.	
Metrical Centroid and Strength		Assessment of metrical activity and pulsation strength / clarity.	Spectral Spread		2 <sup>nd</sup> moment (variance): measures the dispersion of the spectrum.	
Pulse / Rhythm clarity		Strength of the estimated beats.	Spectral Skewness		3 <sup>rd</sup> moment: symmetry of the spectrum	
Beats Loudness		Loudness only for estimated beats.	Spectral Kurtosis		4th moment: "peakedness" of the data.	
		*	Spectral Flatness		Smooth/spikyness of data.	
RMS Energy		The global energy of the signal.	Spectrui Fiutness		Silloun/spikyness of data.	
Low Energy Rate		Percentage of frames showing less-than-average energy.	Spectral Contrast		The spectral contrast of a spectrum.	
Level		Unweighted sound pressure level of the signal.	Spectral Entropy		Shannon entropy.	
Hilbert transform		Instantaneous level, frequency and phase of the audio waveform.	Spectral Rolloff / Bright- ness	Ę.	Metrics for the amount of high-frequency energy in the signal.	
Loudness	Dynamics	Subjective impression of the intensity of a sound.	Bark Bands	Colou	Bark band energies (psychoacoustical scale of 24 bands).	
Timbral Width	Dyn	The width of the peak of the specific loudness spectrum.	Mel Bands	Tonal		
Volume		Refers to the "size" or intensity of the sound.	ERB Bands		Energies in bands using Equivalen Rectangular Bandwidth scale.	
MaxToTotal			How much the maximum amplitude is off-centre (e.g., crescendos).	MFCCs		Mel-frequency Cepstral Coefficients measure of spectral shape.
TCtoTotal		How the sound is "balanced". (Temporal centroid)/(envelope total length).	GFCCs		Gammatone Frequency Cepstral Coeff - MFCCs using ERB Bands.	
Pitch Estimation		Sequence of continuous pitch values.	LPCC		Linear Predictive Coding Coefficients.	
Pitch (Terhardt et al.)		Modeling of perceived pitch (outputs several distinct metrics).	HFC		High-Frequency Content measure.	
Pitch Salience Function	Melody	Computes the salience of pitch through time.	LSP		Linear Spectral Pairs (coeffs.)	
Predominant Melody		Estimates F0 of the predominant melody.	SCF		Spectral Crest Factor, a measure of the "peakiness" of the spectrum.	
Pitch Strength		Indicates if pitch is strongly marked.	SFM		Spec. Flatness Measure (inverse of SCF	
Inharmonicity		Amount of partials that are not multi-	Roughness		Estimation of the sensory dissonar	
CI		ples of the F0.	7 1 1		(using the peaks of spectrum).	
Chromagram		Energy distribution along pitches.	Irregularity		(Successive) spectral peaks variability.	
Tuning Frequency		Exact freq. on which a song is tuned.	Avg Power Spectrum		Power avg. (over time) of the spectra.	
Key and Key Clarity	Á	Estimated tonal centre positions and their respective clarity.	Cepstrum		Inverse Fourier Transform of the log of the spectrum.	
Key Strength		Probability of each key candidate.	Frames Similarity Matrix	MF	Similarity between all possible pairs of frames.	
Modality Estimation	Harm	Major or minor mode estimation.	Novelty Curve		Transitions between states.	
Chords Detection and Descriptors		Outputs the sequence of chords in a song and associated descriptors.	Average Silence Ratio	ET	Can be used as an assessment of articulation.	
Keysom		Chromagram correlation colour map.	Emotion Prediction			
Tonal Centroid Vector		6-D tonal centroid from chromagram.	Genre Prediction	٦	Some audio frameworks also provid	
Harmonic Change Detection Function		Flux of the tonal centroid.  Danceability		Othe	experimental high-level descriptor based on other lower level features.	
Sharpness		Rates sound from dull to sharp.	Dynamic Complexity			
Spectral & Tonal Dissonance		Harshness among tonal components.				

RC = Related (Musical) Concept; MF = Musical Form; ET = Expressive Techniques

audio features is highly unproportional, with nearly 60 percent in the cited article belonging to timbre (spectral) [9].

In fact, very few features are mainly related with expressive techniques, musical texture (which has none) or

musical form. Thus, there is a need for audio features esti- 179 mating higher-level concepts, e.g., expressive techniques 180 and ornamentations like vibratos, tremolos or staccatos 181 (articulation), texture information such as the number of 182

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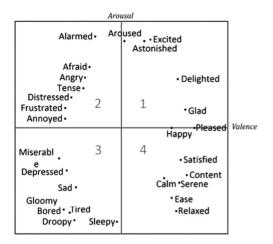


Fig. 1. Russell's circumplex model of emotion (adapted from [9]).

musical lines or repetition and complexity in musical form. Concepts such as rhythm, melody, dynamics and harmony already have some related audio features available. The main question is: are they enough to the problem? In the next sections we address these questions by proposing novel high-level audio features and running classification experiments with both existent and novel features.

To conclude, the majority of current computational MER works (e.g., [3], [10], [16]) share common limitations such as low to average results, especially regarding valence, due to the aforesaid lack of relevant features; lack of uniformity in the selected taxonomies and datasets, which makes it impossible to compare different approaches; and the usage of private datasets, unavailable to other researchers for benchmarking. Additional publicly available datasets exist, most suffering from the same previously described problems, such as: i) Million Song Dataset, which covers a high number of songs but providing only features, metadata and uncontrolled annotations (e.g., based on social media information such as Last. FM) [12]; ii) MoodSwings, which has a limited number of samples [29]; iii) Emotify, which is focused on induced rather than perceived emotions [30]; iv) MIREX, which employs unsupported taxonomies and contains overlaps between clusters [31]; v) DEAM, which is sizeable but shows low agreement between annotators, as well as issues such as noisy clips (e.g., claps, speak, silences) or clear variations in emotion in supposedly static excerpts [32]; vi) or existent datasets, which still require manual verification of the gathered annotations or clips quality, such as [6].

#### 3 METHODS

In this section we introduce the proposed novel audio features and describe the emotion classification experiments carried out. To assess this, and given the mentioned limitations of available datasets, we started by building a newer dataset that suits our purposes.

## 3.1 Dataset Acquisition

The currently available datasets have several issues, as discussed in Section 2. To avoid these pitfalls, the following objectives were pursued to build ours:

1) Use a simple taxonomy, supported by psychological studies. In fact, current MER research is still unable

- to properly solve simpler problems with high accu- 224 racy. Thus, in our opinion, there are few advantages 225 to currently tackle problems with higher granularity, 226 where a high number of emotion categories or con- 227 tinuous values are used; 228
- 2) Perform semi-automatic construction, reducing the 229 resources needed to build a sizeable dataset; 230
- 3) Obtain a medium-high size dataset, containing hun- 231 dreds of songs; 232
- 4) Create a public dataset prepared to further research 233 works, thus providing emotion quadrants as well as 234 genre, artists or emotion tags for multi-label 235 classification; 236

Regarding emotion taxonomies, several distinct models 237 have been proposed over the years, divided into two major 238 groups: categorical and dimensional. It is often argued that 239 dimensional paradigms lead to lower ambiguity, since 240 instead of having a discrete set of emotion adjectives, emo-241 tions are regarded as a continuum [10]. A widely accepted 242 dimensional model in MER is James Russell's [13] circum-243 plex model. There, Russell affirms that each emotional state 244 sprouts from two independent neurophysiologic systems. 245 The two proposed dimensions are valence (pleasant-246 unpleasant) and activity or arousal (aroused-not aroused), 247 or AV. The resulting two-dimensional plane forms four different quadrants: 1- exuberance, 2- anxiety, 3- depression 249 and 4- contentment (Fig. 1). Here, we follow this taxonomy.

The AllMusic API<sup>2</sup> served as the source of musical information, providing metadata such as artist, title, genre and 252 emotion information, as well as 30-second audio clips for 253 most songs. The steps for the construction of the dataset are 254 described in the following paragraphs. 255

Step 1: AllMusic API querying. First, we queried the API for 257 the top songs for each of the 289 distinct emotion 258 tags in it. This resulted in 370611 song entries, of 259 which 89 percent had an associated audio sample 260 and 98 percent had genre tags, with 28646 distinct 261 artist tags present. These 289 emotion tags used by 262 AllMusic are not part of any known supported tax-263 onomy, still are said to be "created and assigned to 264 music works by professional editors" [33].

Step 2: Mapping of AllMusic tags into quadrants. Next, we use 267 the Warriner's adjectives list [34] to map the 289 All-268 Music tags into Russell's AV quadrants. Warriner's 269 list contains 13915 English words with affective ratings in terms of arousal, valence and dominance 271 (AVD). It is an improvement over previous studies 272 (e.g., ANEW adjectives list [35]), with a better documented annotation process and a more comprehensive list of words. Intersecting Warriner and 275 AllMusic tags results in 200 common words, where a 276 higher number have positive valence (Q1: 49, Q2: 35, 277 Q3: 33, Q4: 75).

Step 3: *Processing and filtering*. Then, the set of related meta- 280 data, audio clips and emotion tags with AVD values 281 was processed and filtered. As abovementioned, in 282

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our dataset each song is annotated according to one of Russell's quadrants. Hence, the first iteration consisted in removing song entries where a dominant quadrant was not present. We defined a quadrant to be dominant when at least 50 percent of the emotion tags of the song belong to it. This reduced the set to 120733 song entries. Further cleaning was performed by removing duplicated song entries using approximate string matching. A second iteration removed any song entry without genre information and having less than 3 emotion tags associated to meet the predefined objectives, reducing the set to 39983 entries. Then, a third iteration was used to deal with the unbalanced nature of the original data in terms of emotion tags and genres. Finally, the dataset was sub-sampled, resulting in a candidate set containing 2200 song clips, balanced in terms of quadrants and genres in each quadrant, which was then manually validated, as described in the next section.

#### 3.2 Validation of Emotion Annotations

Not many details are known regarding the AllMusic emotion tagging process, apart from supposedly being made by experts [33]. It is unclear whether they are annotating songs using only audio, lyrics or a combination of both. In addition, it is unknown how the 30-second clips that represent each song are selected by AllMusic. In our analysis, we observed several noisy clips (e.g., containing applauses, only speech, long silences, inadequate song segments such as the introduction).

Hence, a manual blind inspection of the candidate set was conducted. Subjects were given sets of randomly distributed clips and asked to annotate them accordingly in terms of Russell's quadrants. Beyond selecting a quadrant, the annotation framework allowed subjects to mark clips as unclear, if the emotion was unclear to the subject, or bad, if the clip contained noise (as defined above).

To construct the final dataset, song entries with clips considered bad or where subjects' and AllMusic's annotations did not match were excluded. The quadrants were also rebalanced to obtain a final set of 900 song entries, with exactly 225 for each quadrant. In our opinion, the dataset dimension is an acceptable compromise between having a bigger dataset using tools such as the Amazon Mechanical Turk or automatic but uncontrolled sources as annotations, and a very small and resource intensive dataset annotated exclusively by a high number of subjects in a controlled environment.

Each song entry is tagged in terms of Russell's quadrants, arousal and valence classes (positive or negative), and multi-label emotion tags. In addition, emotion tags have an associated AV value from Warriner's list, which can be used to place songs in the AV plane, allowing the use of this dataset in regression problems (yet to be demonstrated). Moreover, the remaining metadata (e.g., title, artist, album, year, genre and theme) can also be exploited in other MIR tasks. The final dataset is publicly available in our site<sup>3</sup>.

#### 3.3 Standard Audio Features

As abovementioned, frameworks such as the MIR Toolbox, Marsyas and PsySound offer a large number of computational audio features. In this work, we extract a 340 total of 1702 features from those three frameworks. This 341 high amount of features is also because several statistical 342 measures were computed for time series data. 343

Afterwards, a feature reduction stage was carried to discard redundant features obtained by similar algorithms 345 across the selected audio frameworks. This process consisted in the removal of features with correlation higher 347 than 0.9, where features with lower weight were discarded, 348 according to the ReliefF [36] feature selection algorithm. 349 Moreover, features with zero standard deviation were also 350 removed. As a result, the number of baseline features was 351 reduced to 898. A similar feature reduction process was carried out with the novel features presented in the following 353 subsection.

These standard audio features serve to build baseline 355 models against which new approaches, employing the 356 novel audio features proposed in the next section, can be 357 benchmarked. The illustrated number of novel features is 358 described as follows.

## 3.4 Novel Audio Features

Many of the standard audio features are low-level, extracted directly from the audio waveform or the spectrum. How- 362 ever, we naturally rely on clues like melodic lines, notes, 363 intervals and scores to assess higher-level musical concepts 364 such as harmony, melody, articulation or texture. The 365 explicit determination of musical notes, frequency and 366 intensity contours are important mechanisms to capture 367 such information and, therefore, we describe this prelimi- 368 nary step before presenting actual features, as follows.

#### 3.4.1 From the Audio Signal to MIDI Notes

Going from audio waveform to music score is still an 371 unsolved problem, and automatic music transcription algorithms are still imperfect [37]. Still, we believe that estimating things such as predominant melody lines, even if 374 imperfect, give us relevant information that is currently 375 unused in MER.

To this end, we built on previous works by Salomon et al. 377 [38] and Dressler [39] to estimate predominant fundamental 378 frequencies (f0) and saliences. Typically, the process starts 379 by identifying which frequencies are present in the signal at 380 each point in time (sinusoid extraction). Here, 46.44 msec 381 (1024 samples) frames with 5.8 msec (128 samples) hopsize 382 (hereafter denoted *hop*) were selected. 383

Next, harmonic summation is used to estimate the 384 pitches in these instants and how salient they are (obtaining 385 a pitch salience function). Given this, the series of consecutive pitches which are continuous in frequency are used to 387 form pitch contours. These represent notes or phrases. 388 Finally, a set of computations is used to select the f0s that 389 are part of the predominant melody [38]. The resulting pitch 390 trajectories are then segmented into individual MIDI notes 391 following the work by Paiva et al. [40].

Each of the N obtained notes, hereafter denoted as  $note_i$ , 393 is characterized by: the respective sequence of f0s (a total of 394  $L_i$  frames),  $f0_{j,i}$ ,  $j=1,2,\ldots L_i$ ; the corresponding MIDI 395 note numbers (for each f0),  $midi_{j,i}$ ; the overall MIDI note 396 value (for the entire note),  $MIDI_i$ ; the sequence of pitch sali-397 ences,  $sal_{j,i}$ ; the note duration,  $nd_i$  (sec); starting time,  $st_i$  398

(sec); and ending time,  $et_i$  (sec). This information is exploited to model higher level concepts such as vibrato, glissando, articulations and others, as follows.

In addition to the predominant melody, music is composed of several melodic lines produced by distinct sources. Although less reliable, there are works approaching multiple (also known as polyphonic) F0 contours estimation from these constituent sources. We use Dressler's multi-F0 approach [39] to obtain a framewise sequence of fundamental frequencies estimates.

# 3.4.2 Melodic Features

Melody is a key concept in music, defined as the horizontal succession of pitches. This set of features consists in metrics obtained from the notes of the melodic trajectory.

MIDI Note Number (MNN) statistics. Based on the MIDI note number of each note,  $MIDI_i$  (see Section 3.4.1), we compute 6 statistics: MIDImean, i.e., the average MIDI note number of all notes, MIDIstd (standard), MIDIskew (skewness), MIDIkurt (kurtosis), MIDImax (maximum) and MIDImin (minimum).

Note Space Length (NSL) and Chroma NSL (CNSL). We also extract the total number of unique MIDI note values, NSL, used in the entire clip, based on  $MIDI_i$ . In addition, a similar metric, chroma NSL, CNSL, is computed, this time mapping all MIDI note numbers to a single octave (result 1 to 12).

Register Distribution. This class of features indicates how the notes of the predominant melody are distributed across different pitch ranges. Each instrument and voice type has different ranges, which in many cases overlap. In our implementation, 6 classes were selected, based on the vocal categories and ranges for non-classical singers [41]. The resulting metrics are the percentage of MIDI note values in the melody,  $MIDI_i$ , that are in each of the following registers: Soprano (C4-C6), Mezzo-soprano (A3-A5), Contralto (F3-E5), Tenor (B2-A4), Baritone (G2-F4) and Bass (E2-E4). For instance, for soprano, it comes (1)<sup>4</sup>:

$$RDsoprano = \frac{\sum_{i=1}^{N} [72 \le MIDI_i \le 96]}{N}.$$
 (1)

Register Distribution per Second. In addition to the previous class of features, these are computed as the ratio of the sum of the duration of notes with a specific pitch range (e.g., soprano) to the total duration of all notes. The same 6 pitch range classes are used.

Ratios of Pitch Transitions. Music is usually composed of sequences of notes of different pitches. Each note is followed by either a higher, lower or equal pitch note. These changes are related with the concept of melody contour and movement. They are also important to understand if a melody is conjunct (smooth) or disjunct. To explore this, the extracted MIDI note values are used to build a sequence of transitions to higher, lower and equal notes.

The obtained sequence marking transitions to higher, equal or lower notes is summarized in several metrics, namely: Transitions to Higher Pitch Notes Ratio (*THPNR*), Transitions to Lower Pitch Notes Ratio (*TLPNR*) and Transitions to Equal Pitch Notes Ratio (*TEPNR*). There, the ratio of

the number of specific transitions to the total number of 456 transitions is computed. Illustrating for *THPNR*, (2): 457

$$THPNR = \frac{\sum_{i=1}^{N-1} [MIDI_i < MIDI_{i+1}]}{N-1}.$$
 (2)

Note Smoothness (NS) statistics. Also related to the characteristics of the melody contour, the note smoothness feature 462 is an indicator of how close consecutive notes are, i.e., how 463 smooth is the melody contour. To this end, the difference 464 between consecutive notes (MIDI values) is computed. The 465 usual 6 statistics are also calculated.

$$NSmean = \frac{\sum_{i=1}^{N-1} |MIDI_{i+1} - MIDI_i|}{N-1}.$$
 (3) 468

## 3.4.3 Dynamics Features

Exploring the pitch salience of each note and how it compares with neighbour notes in the score gives us information about their individual intensity, as well as and 473 intensity variation. To capture this, notes are classified as 474 high (strong), medium and low (smooth) intensity based on 475 the mean and standard deviation of all notes, as in (4):

$$\begin{split} SAL_i &= \underset{1 \le j \le L_i}{\operatorname{median}} (sal_{j,i}) \\ \mu_s &= \underset{1 \le i \le N}{\operatorname{mean}} (SAL_i) \\ \sigma_s &= \underset{1 \le i \le N}{\operatorname{std}} (SAL_i) \\ INT_i &= \begin{cases} low, & SAL_i \le \mu_s - 0.5\sigma_s \\ medium, & \mu_s - 0.5\sigma_s < SAL_i < \mu_s + 0.5\sigma_s \\ high, & SAL_i \ge \mu_s + 0.5\sigma_s \end{cases} \end{split}$$

There,  $SAL_i$  denotes the median intensity of  $note_i$ , for all  $_{480}$  its frames and  $INT_i$  stands for the qualitative intensity of  $_{481}$  the same note. Based on the calculations in (4), the following  $_{482}$  features are extracted.

Note Intensity (NI) statistics. Based on the median pitch 484 salience of each note, we compute same 6 statistics. 485

Note Intensity Distribution. This class of features indicates 486 how the notes of the predominant melody are distributed 487 across the three intensity ranges defined above. Here, we 488 define three ratios: Low Intensity Notes Ratio (LINR), 489 Medium Intensity Notes Ratio (MINR) and High Intensity 490 Notes Ratio (HINR). These features indicate the ratio of 491 number of notes with a specific intensity (e.g., low intensity 492 notes, as defined above) to the total number of notes.

Note Intensity Distribution per Second. Low Intensity Note 494
Duration Ratio (LINDR), Medium Intensity Notes Duration 495
Ratio (MINDR) and High Intensity Notes Duration Ratio 496
(HINDR) statistics. These features are computed as the ratio 497
of the sum of the duration of notes with a specific intensity 498
to the total duration of all notes. Furthermore, the usual 6
statistics are calculated.

Ratios of Note Intensity Transitions. Transitions to Higher 501 Intensity Notes Ratio (THINR), Transitions to Lower Intensity Notes Ratio (TLINR) and Transitions to Equal Intensity 503 Notes Ratio (TELNR). In addition to the previous metrics, 504 these features capture information about changes in note 505

dynamics by measuring the intensity differences between consecutive notes (e.g., the ratio of transitions from low to high intensity notes).

Crescendo and Decrescendo (CD) statistics. Some instruments (e.g., flute) allow intensity variations in a single note. We identify notes as having crescendo or decrescendo (also known as diminuendo) based on the intensity difference between the first half and the second half of the note. A threshold of 20 percent variation between the median of the two parts was selected after experimental tests. From these, we compute the number of crescendo and decrescendo notes (per note and per sec). In addition, we compute sequences of notes with increasing or decreasing intensity, computing the number of sequences for both cases (per note and per sec) and length crescendo sequences in notes and in seconds, using the 6 previously mentioned statistics.

## 3.4.4 Rhythmic Features

Music is composed of sequences of notes changing over time, each with a specific duration. Hence, statistics on note durations are obvious metrics to compute. Moreover, to capture the dynamics of these durations and their changes, three possible categories are considered: short, medium and long notes. As before, such ranges are defined according to the mean and standard deviation of the duration of all notes, as in (5). There,  $ND_i$  denotes the qualitative duration of  $note_i$ .

$$\mu_{d} = \underset{1 \le i \le N}{\operatorname{mean}}(nd_{i})$$

$$\sigma_{d} = \underset{1 \le i \le N}{\operatorname{std}}(nd_{i})$$

$$ND_{i} = \begin{cases} short, & nd_{i} \le \mu_{d} - 0.5\sigma_{d} \\ medium, & \mu_{d} - 0.5\sigma_{d} < nd_{i} < \mu_{d} + 0.5\sigma_{d} . \\ long, & nd_{i} \ge \mu_{d} + 0.5\sigma_{d} \end{cases}$$
(5)

The following features are then defined.

*Note Duration (ND) statistics.* Based on the duration of each note,  $nd_i$  (see Section 3.4.1), we compute the usual 6 statistics.

Note Duration Distribution. Short Notes Ratio (SNR), Medium Length Notes Ratio (MLNR), Long Notes Ratio (LNR). These features indicate the ratio of the number of notes in each category (e.g., short duration notes) to the total number of notes.

Note Duration Distribution per Second. Short Notes Duration Ratio (SNDR), Medium Length Notes Duration Ratio (MLNDR) and Long Notes Duration Ratio (LNDR) statistics. These features are calculated as the ratio of the sum of duration of the notes in each category to the sum of the duration of all notes. Next, the 6 statistics are calculated for notes in each of the existing categories, i.e., for short notes duration: *SNDRmean* (mean value of *SNDR*), etc.

Ratios of Note Duration Transitions. Ratios of Note Duration Transitions (RNDT). Transitions to Longer Notes Ratio (TLNR), Transitions to Shorter Notes Ratio (TSNR) and Transitions to Equal Length Notes Ratio (TELNR). Besides measuring the duration of notes, a second extractor captures how these durations change at each note transition. Here, we check if the current note increased or decreased in length when compared to the previous. For example, regarding the *TLNR* metric, a note is considered longer than

the previous if there is a difference of more than 10 percent 560 in length (with a minimum of 20 msec), as in (6). Similar calculations apply to the *TSNR* and *TELNR* features. 562

$$TLNR = \frac{\sum_{i=1}^{N-1} [nd_{i+1}/nd_i - 1 > 0.1]}{N-1}.$$
 (6)

#### 3.4.5 Musical Texture Features

To the best of our knowledge, musical texture is the musical 567 concept with less directly related audio features available 568 (Section 3). However, some studies have demonstrated that 569 it can influence emotion in music either directly or by interacting with other concepts such as tempo and mode [42]. We 571 propose features related with the music layers of a song. 572 Here, we use the sequence of multiple frequency estimates to 573 measure the number of simultaneous layers in each frame of 574 the entire audio signal, as described in Section 3.4.1.

Musical Layers (ML) statistics. As abovementioned, a number of multiple F0s are estimated from each frame of the song
clip. Here, we define the number of layers in a frame as the
rompute of obtained multiple F0s in that frame. Then, we
compute the 6 usual statistics regarding the distribution of
musical layers across frames, i.e., MLmean, MLstd, etc.

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Musical Layers Distribution (MLD). Here, the number of f0 582 estimates in a given frame is divided into four classes: i) no 583 layers; ii) a single layer; iii) two simultaneous layers; iv) and 584 three or more layers. The percentage of frames in each of 585 these four classes is computed, measuring, as an example, 586 the percentage of song identified as having a single layer 587 (MLD1). Similarly, we compute MLD0, MLD2 and MLD3. 588

Ratio of Musical Layers Transitions (RMLT). These features 589 capture information about the changes from a specific musi-590 cal layer sequence to another (e.g., ML1 to ML2). To this 591 end, we use the number of different fundamental frequencies (f0s) in each frame, identifying consecutive frames with 593 distinct values as transitions and normalizing the total value 594 by the length of the audio segment (in secs). Moreover, we 595 also compute the length in seconds of the longest segment 596 for each musical layer.

## 3.4.6 Expressivity Features

Few of the standard audio features studied are primarily 599 related with expressive techniques in music. However, common characteristics such as vibrato, tremolo and articulation 601 methods are commonly used in music, with some works 602 linking them to emotions [43]–[45].

Articulation Features. Articulation is a technique affecting 604 the transition or continuity between notes or sounds. To 605 compute articulation features, we start by detecting legato 606 (i.e., connected notes played "smoothly") and staccato (i.e., 607 short and detached notes), as described in Algorithm 1. 608 Using this, we classify all the transitions between notes in 609 the song clip and, from them, extract several metrics such 610 as: ratio of staccato, legato and other transitions, longest 611 sequence of each articulation type, etc.

In Algorithm 1, the employed threshold values were set 613 experimentally. Then, we define the following features: 614

Staccato Ratio (SR), Legato Ratio (LR) and Other Transitions 615 Ratio (OTR). These features indicate the ratio of each 616

articulation type (e.g., staccato) to the total number of transitions between notes.

# Algorithm 1. Articulation Detection.

- 1. For each pair of consecutive notes,  $note_i$  and  $note_{i+1}$ :
  - 1.1. Compute the inter-onset interval (*IOI*, in sec), i.e., the interval between the onsets of the two notes, as follows:  $IOI = st_{i+1} st_i$ .
  - 1.2. Compute the inter-note silence (*INS*, in sec), i.e., the duration of the silence segment between the two notes, as follows:  $INS = st_{i+1} et_i$ .
  - 1.3. Calculate the ratio of INS to IOI (INStoIOI), which indicates how long the interval between notes is compared to the duration of note<sub>i</sub>.
  - 1.4. Define the articulation between  $note_i$  and  $note_{i+1}$ ,  $art_i$ , as:
    - 1.4.1. Legato, if the distance between notes is less than 10 msec, i.e.,  $INS \le 0.01 \Rightarrow art_i = 1$ .
    - 1.4.2. *Staccato*, if the duration of  $note_i$  is short (i.e., less than 500 msec) and the silence between the two notes is relatively similar to this duration, i.e.,  $nd_i < 0.5 \land 0.25 \le INStoIOI \le 0.75 \Rightarrow art_i = 2$ .
    - 1.4.3. Other Transitions, if none of the abovementioned two conditions was met  $(art_i = 0)$ .

Staccato Notes Duration Ratio (SNDR), Legato Notes Duration Ratio (LNDR) and Other Transition Notes Duration Ratio (OTNDR) statistics. Based on the notes duration for each articulation type, several statistics are extracted. The first is the ratio of the duration of notes with a specific articulation to the sum of the duration of all notes. Eq. 7 illustrates this procedure for staccato (SNDR). Next, the usual 6 statistics are calculated.

$$SNDR = \frac{\sum_{i=1}^{N-1} [art_i = 1] \cdot nd_i}{\sum_{i=1}^{N-1} nd_i}.$$
 (7)

Glissando Features. Glissando is another kind of expressive articulation, which consists in the glide from one note to another. It is used as an ornamentation, to add interest to a piece and thus may be related to specific emotions in music.

We extract several glissando features such as glissando presence, extent, length, direction or slope. In cases where two distinct consecutive notes are connected with a glissando, the segmentation method applied (mentioned in Section 3.4.1) keeps this transition part at the beginning of the second note [40]. The climb or descent, of at least 100 cents, might contain spikes and slight oscillations in frequency estimates, followed by a stable sequence. Given this, we apply the following algorithm:

Then, we define the following features.

*Glissando Presence (GP)*. A song clip contains glissando if any of its notes has glissando, as in (8).

$$GP = \begin{cases} 1, & \text{if } \exists i \in \{1, 2, \dots, N\} : gp_i = 1 \\ 0, & \text{otherwise} \end{cases}$$
 (8)

Glissando Extent (GE) statistics. Based on the glissando extent of each note,  $ge_i$  (see Algorithm 2), we compute the usual 6 statistics for notes containing glissando.

Glissando Duration (GD) and Glissando Slope (GS) statistics. 674 As with GE, we also compute the same 6 statistics for glis- 675 sando duration, based on  $gd_i$  and slope, based on  $gs_i$  (see 676 Algorithm 2).

# Algorithm 2. Glissando Detection.

- 1. For each note *i*:
  - 1.1. Get the list of unique MIDI note numbers,  $u_{z,i}$ , z=680 1,  $2,\ldots,U_i$ , from the corresponding sequence of MIDI 681 note numbers (for each f0),  $midi_{j,i}$ , where z denotes a 682 distinct MIDI note number (from a total of  $U_i$  unique 683 MIDI note numbers).

- 1.2. If there are at least two unique MIDI note numbers:
  - 1.2.1. Find the start of the steady-state region, i.e., the index, *k*, of the first note in the MIDI note numbers sequence,  $midi_{j,i}$ , with the same value as the overall MIDI note,  $MIDI_i$ , i.e.,

 $k = \min_{1 \le j \le L_i, \ midi_{j,i} = MIDI_i} j,$ 

- 1.2.2. Identify the end of the glissando segment as the first index, e, before the steady-state region, i.e., e = k 1.
- 1.3. Define
  - 1.3.1.  $gd_i = \text{glissando duration (sec) in note } i$ , i.e.,  $gd_i = e \cdot hop$ .
  - 1.3.2.  $gp_i = \text{glissando presence in note } i$ , i.e.,  $gp_i = 1 \text{ if } gd_i > 0; 0$ , otherwise.
  - 1.3.3.  $ge_i = \text{glissando extent in note } i$ , i.e.,  $ge_i = |f0_{1,i} f0_{e,i}|$  in cents.
  - 1.3.4.  $gc_i = \text{glissando coverage of note } i$ , i.e.,  $gc_i = gd_i/dur_i$ .
  - 1.3.5.  $gdir_i = glissando direction of note i, i.e., <math>gdir_i = sign(f0_{e,i} f0_{1,i}).$
  - 1.3.6.  $gs_i = \text{glissando slope of note } i$ , i.e.,  $gs_i = gdir_i \cdot ge_i/gd_i$ .

*Glissando Coverage* (GC). For glissando coverage, we compute the global coverage, based on  $gc_i$ , using (9).

$$GC = \frac{\sum_{i=1}^{N} gc_i \cdot nd_i}{\sum_{i=1}^{N} nd_i}.$$
 (9)

Glissando Direction (GDIR). This feature indicates the 712 global direction of the glissandos in a song, (10): 713

$$GDIR = \frac{\sum_{i=1}^{N} gp_i}{N}, when gdir_i = 1.$$
 (10) <sub>715</sub>

Glissando to Non-Glissando Ratio (GNGR). This feature is 717 defined as the ratio of the notes containing glissando to the 718 total number of notes, as in (11):

$$GNGR = \frac{\sum_{i=1}^{N} gp_i}{N}.$$
 (11)

Vibrato and Tremolo Features. Vibrato is an expressive 723 technique used in vocal and instrumental music that con- 724 sists in a regular oscillation of pitch. Its main characteristics 725 are the amount of pitch variation (extent) and the velocity 726 (rate) of this pitch variation. It varies according to different 727 music styles and emotional expression [44].

Hence, we extract several vibrato features, such as 729 vibrato presence, rate, coverage and extent. To this end, we 730

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apply a vibrato detection algorithm adapted from [46], as follows:

# Algorithm 3. Vibrato Detection.

- 1. For each note *i*:
  - 1.1. Compute the STFT,  $|F0_{w,i}|$ ,  $w=1, 2, ..., W_i$ , of the sequence  $f0_i$ , where w denotes an analysis window (from a total of  $W_i$  windows). Here, a 371.2 msec (128 samples) Blackman-Harris window was employed, with 185.6 msec (64 samples) hopsize.
  - 1.2. Look for a prominent peak,  $pp_{w,i}$ , in each analysis window, in the expected range for vibrato. In this work, we employ the typical range for vibrato in the human voice, i.e., [5], [8] Hz [46]. If a peak is detected, the corresponding window contains vibrato.
  - 1.3. Define:
    - 1.3.1.  $vp_i$  = vibrato presence in note i, i.e.,  $vp_i = 1$  if  $\exists pp_{w,i}; vp_i = 0$ , otherwise.
    - 1.3.2.  $WV_i$  = number of windows containing vibrato in note i.
    - 1.3.3.  $vc_i$  = vibrato coverage of note i, i.e.,  $vc_i = WV_i/W_i$  (ratio of windows with vibrato to the total number of windows).
    - 1.3.4.  $vd_i = \text{vibrato duration of note } i \text{ (sec), i.e.,}$   $vd_i = vc_i \cdot d_i.$
    - 1.3.5. freq $(pp_{w,i})$  = frequency of the prominent peak  $pp_{w,i}$  (i.e., vibrato frequency, in Hz).
    - 1.3.6.  $vr_i$  = vibrato rate of note i (in Hz), i.e.,  $vr_i = \sum_{w=1}^{WV_i} \operatorname{freq}(pp_{w,i})/WV_i$  (average vibrato frequency).
    - 1.3.7.  $|pp_{w,i}|$  = magnitude of the prominent peak  $pp_{w,i}$  (in cents).
    - 1.3.8.  $ve_i = \text{vibrato}$  extent of note i, i.e.,  $ve_i = \sum_{w=1}^{WV_i} |pp_{w,i}|/WV_i$  (average amplitude of vibrato).

Then, we define the following features.

*Vibrato Presence (VP).* A song clip contains vibrato if any of its notes have vibrato, similarly to (8).

*Vibrato Rate* (VR) *statistics*. Based on the vibrato rate of each note,  $vr_i$  (see Algorithm 3), we compute 6 statistics: VRmean, i.e., the weighted mean of the vibrato rate of each note, etc.

$$VRmean = \frac{\sum_{i=1}^{N} vr_i \cdot vc_i \cdot nd_i}{\sum_{i=1}^{N} vc_i \cdot nd_i} . \tag{12}$$

Vibrato Extent (VE) and Vibrato Duration (VD) statistics. As with VR, we also compute the same 6 statistics for vibrato extent, based on  $ve_i$  and vibrato duration, based on  $vd_i$  (see Algorithm 3).

*Vibrato Coverage (VC)*. Here, we compute the global coverage, based on  $vc_i$ , in a similar way to (9).

High-Frequency Vibrato Coverage (HFVC). This feature measures vibrato coverage restricted to notes over note C4 (261.6 Hz). This is the lower limit of the soprano's vocal range [41].

Vibrato to Non-Vibrato Ratio (VNVR). This feature is defined as the ratio of the notes containing vibrato to the total number of notes, similarly to (11).

*Vibrato Notes Base Frequency (VNBF) statistics.* As with the *VR* features, we compute the same 6 statistics for the base frequency (in cents) of all notes containing vibrato.

As for tremolo, this is a trembling effect, somewhat simi- 790 lar to vibrato but regarding change of amplitude. A similar 791 approach is used to calculate tremolo features. Here, the 792 sequence of pitch saliences of each note is used instead of 793 the f0 sequence, since tremolo represents a variation in 794 intensity or amplitude of the note. Given the lack of scien- 795 tific supported data regarding tremolo, we used the same 796 range employed in vibrato (i.e., 5-8Hz).

# 3.4.7 Voice Analysis Toolbox (VAT) Features

Another approach, previously used in other contexts was 799 also tested: a voice analysis toolkit.

Some researchers have studied emotion in speaking and singing voice [47] and even studied the related acoustic features [48]. In fact, "using singing voices alone may be effective for separating the "calm" from the "sad" emotion, but this effectiveness is lost when the voices are mixed with accompanying music" and "source separation can effectively improve the performance" [9].

Hence, besides extracting features from the original 808 audio signal, we also extracted the same features from the 809 signal containing only the separated voice. To this end, we 810 applied the singing voice separation approach proposed by 811 Fan et al. [49] (although separating the singing voice from 812 accompaniment in an audio signal is still an open problem). 813

Moreover, we used the Voice Analysis Toolkit<sup>5</sup>, a "set of 814 Matlab code for carrying out glottal source and voice qual-815 ity analysis" to extract features directly from the audio sig-816 nal. The selected features are related with voiced and 817 unvoiced sections and the detection of creaky voice – "a 818 phonation type involving a low frequency and often highly 819 irregular vocal fold vibration, [which] has the potential [...] 820 to indicate emotion" [50].

#### 3.5 Emotion Recognition

Given the high number of features, ReliefF feature selection 823 algorithms [36] were used to select the better suited ones for 824 each classification problem. The output of the ReliefF algo- 825 rithm is a weight between -1 and 1 for each attribute, with 826 more positive weights indicating more predictive attributes. 827 For robustness, two algorithms were used, averaging the 828 weights: ReliefFequalK, where K nearest instances have 829 equal weight, and ReliefFexpRank, where K nearest instan- 830 ces have weight exponentially decreasing with increasing 831 rank. From this ranking, we use the top N features for classi- 832 fication testing. The best performing N indicates how many 833 features are needed to obtain the best results. To combine 834 baseline and novel features, a preliminary step is run to 835 eliminate novel features that have high correlation with 836 existing baseline features. After this, the resulting feature 837 set (baseline+novel) is used with the same ranking proce- 838 dure, obtaining a top N set (baseline+novel) that achieves 839 the best classification result.

As for classification, in our experiments we used Support 841 Vector Machines (SVM) [51] to classify music based on the 4 842 emotion quadrants. Based on our work and in previous 843 MER studies, this technique proved robust and performed 844 generally better than other methods. Regarding kernel 845 selection, a common choice is a Gaussian kernel (RBF), 846

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TABLE 3
Results of the Classification by Quadrants

Classifier	Feat. set	# Features	F1-Score
SVM	baseline	70	$67.5\% \pm 0.05$
SVM	baseline	100	$67.4\% \pm 0.05$
SVM	baseline	800	$71.7\% \pm 0.05$
SVM	baseline+novel	70	$74.7\% \pm 0.05$
SVM	baseline+novel	100	$76.4\%\pm0.04$
SVM	baseline+novel	800	$74.8\% \pm 0.04$

while a polynomial kernel performs better in a small subset of specific cases. In our preliminary tests RBF performed better and hence was the selected kernel.

All experiments were validated with repeated stratified 10-fold cross validation [52] (using 20 repetitions) and the average obtained performance is reported.

#### 4 RESULTS AND DISCUSSION

Several classification experiments were carried out to measure the importance of standard and novel features in MER problems. First, the standard features, ranked with ReliefF, were used to obtain a baseline result. Followingly, the novel features were combined with the baseline and also tested, to assess whether the results are different and statistically significant.

#### 4.1 Classification Results

A summary of the attained classification results is presented in Table 3. The baseline features attained 67.5 percent F1-Score (macro weighted) with SVM and 70 standard features. The same solution achieved a maximum of 71.7 percent with a very high number of features (800). Adding the novel features (i.e., standard + novel features) increased the maximum result of the classifier to 76.4 percent (0.04 standard deviation), while using a considerably lower number of features (100 instead of 800). This difference is statistically significant (at p < 0.01, paired T-test).

The best result (76.4 percent) was obtained with 29 novel and 71 baseline features, which demonstrates the relevance of adding novel features to MER, as will be discussed in the next section. In the paragraphs below, we conduct a more comprehensive feature analysis.

Besides showing the overall classification results, we also analyse the results obtained in each individual quadrant (Table 4), which allows us to understand which emotions are more difficult to classify and what is the influence of the standard and novel features in this process. In all our tests, a significantly higher number of songs from Q1 and Q2 were correctly classified when compared to Q3 and Q4. This seems to indicate that emotions with higher arousal are

TABLE 4
Results Per Quadrant Using 100 Features

	baseline			novel		
Quads	Prec.	Recall	F1-Score	Prec.	Recall	F1-Score
Q1	62.6%	73.4%	67.6%	74.6%	81.7%	78.0%
Q2	82.3%	79.6%	80.9%	88.6%	84.7%	86.6%
Q3	61.3%	57.5%	59.3%	71.9%	69.9%	70.9%
Q4	62.8%	57.9%	60.2%	69.6%	68.1%	68.8%

TABLE 5
Confusion Matrix Using the Best Performing Model.

			predicte	d	
		Q1	Q2	Q3	Q4
	Q1	185.85	14.40	8.60	18.15
actual	Q2	23.95	190.55	7.00	3.50
	Q3	14.20	8.40	157.25	45.15
	Q4	24.35	1.65	45.85	153.15
	Total	246.35	215.00	218.70	219.95

easier to differentiate with the selected features. Out of the 884 two, Q2 obtained the highest F1-Score. This goes in the 885 same direction as the results obtained in [53], and might be 886 explained by the fact that several excerpts from Q2 belong 887 to the heavy-metal genre, which has very distinctive, noise-888 like, acoustic features.

The lower results in Q3 and Q4 (on average 12 percent 890 below the results from Q1 and Q3) can be a consequence of 891 several factors. First, more songs in these quadrants seem 892 more ambiguous, containing unclear or contrasting emositions. During the manual validation process, we observed 894 low agreement (45.3 percent) between the subject's opinions 895 and the original AllMusic annotations. Moreover, subjects 896 reported having more difficulty distinguishing valence for 897 songs with low arousal. In addition, some songs from these 898 quadrants appear to share musical characteristics, which 899 are related to contrasting emotional elements (e.g., a happy 900 accompaniment or melody and a sad voice or lyric). This 901 concurs with the conclusions presented in [54].

For the same number of features (100), the experiment 903 using novel features shows an improvement of 9 percent in 904 F1-Score when compared to the one using only the baseline 905 features. This increment is noticeable in all four quadrants, 906 ranging from 5.7 percent in quadrant 2, where the baseline 907 classifier performance was already high, to a maximum 908 increment of 11.6 percent in quadrant 3, which was the least 909 performing using only baseline features. Overall, the novel 910 features improved the classification generally, with a 911 greater influence in songs from Q3.

Regarding the misclassified songs, analyzing the confusion matrix (see Table 5, averaged for the 20 repetitions of 10-914 fold cross validation) shows that the classifier is slightly 915 biased towards positive valence, predicting more frequently 916 songs from quadrants 1 and 4 (466.3, especially Q1 with 917 246.35) than from 2 and 3 (433.7). Moreover, a significant 918 number of songs were wrongly classified between quadrants 919 3 and 4, which may be related with the ambiguity described 920 previously [54]. Based on this, further MER research needs 921 to tackle valence in low arousal songs, either by using new 922 features to capture musical concepts currently ignored or by 923 combining other sources of information such as lyrics.

#### 4.2 Feature Analysis

Fig. 2 presents the total number of standard and novel audio 926 features extracted, organized by musical concept. As dis-927 cussed, most are tonal features, for the reasons pointed out 928 previously. 929

As abovementioned, the best result (76.4 percent, Table 3) 930 was obtained with 29 novel and 71 baseline features, which 931 demonstrates the relevance of the novel features to MER.

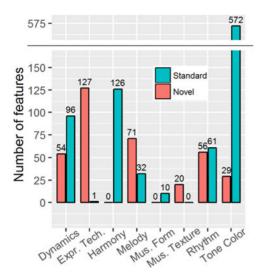


Fig. 2. Feature distribution across musical concepts.

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Moreover, the importance of each audio feature was measured using ReliefF. Some of the novel features proposed in this work appear consistently in the top 10 features for each problem and many others are in the first 100, demonstrating their relevance to MER. There are also features that, while alone may have a lower weight, are important to specific problems when combined with others.

In this section we discuss the best features to discriminate each specific quadrant from the others, according to specific feature rankings (e.g., ranking of features to separate Q1 songs from non-Q1 songs). The top 5 features to discriminate each quadrant are presented in Table 6.

Except for quadrant 1, the top5 features for each quadrant contain a majority of tone color features, which are overrepresented in comparison to the remaining. It is also relevant to highlight the higher weight given by ReliefF to the top5 features of both Q2 and Q4. This difference in weights explains why less features are needed to obtain 95 percent of the maximum score for both quadrants, when compared to Q1 and Q3.

Musical texture information, namely the number of musical layers and the transitions between different texture types (two of which were extracted from voice only signals) were also very relevant for quadrant 1, together with several rhythmic features. However, the ReliefF weight of these features to Q1 is lower when compared with the top features of other quadrants. Happy songs are usually energetic, associated with a "catchy" rhythm and high energy. The higher number of rhythmic features used, together with texture and tone color (mostly energy metrics) support this idea. Interestingly, creaky voice detection extracted directly from voice is also highlighted (it ranked 15<sup>th</sup>), which has previously been associated with emotion [50].

The best features to discriminate Q2 are related with tone color, such as: roughness - capturing the dissonance in the song; rolloff and MFCC – measuring the amount of high frequency and total energy in the signal; and spectral flatness measure – indicating how noise-like the sound is.

Other important features are tonal dissonance (dynamics) and expressive techniques such as vibrato. Empirically, it makes sense that characteristics like sensory dissonance, high energy, and complexity are correlated to tense, aggressive

TABLE 6
Top 5 Features for Each Quadrant Discrimination

Q	Feature	Type	Concept	Weight
	FFT Spectrum - Spectral 2nd Moment (median)	base	Tone Color	0.1467
Q1	Transitions ML1 -> ML0 (Per Sec)	novel	Texture	0.1423
	MFCC1 (mean)	base	Tone Color	0.1368
	Transitions ML0 ->	novel (voice)	Texture	0.1344
	ML1 (Per Sec)			
	Fluctuation (std)	base	Rhythm	0.1320
	FFT Spectrum - Spectral	base	Tone Color	0.2528
	2nd Moment (median)			
	Roughness (std)	base	Tone Color	0.2219
Q2	Rolloff (mean)	base	Tone Color	0.2119
	MFCC1 (mean)	base	Tone Color	0.2115
	FFT Spectrum - Average	base	Tone Color	0.2059
	Power Spectrum (median)			
	Spectral Skewness (std)	base	Tone Color	0.1775
	FFT Spectrum - Skewness	base	Tone Color	0.1573
	(median)			
Q3	Tremolo Notes in	novel	Tremolo	0.1526
	Cents (Mean)			
	Linear Spectral	base	Tone Color	0.1517
	Pairs 5 (std)			
	MFCC1 (std)	base	Tone Color	0.1513
	FFT Spectrum - Skewness	base	Tone Color	0.1918
	(median)			
04	Spectral Skewness (std)	base	Tone Color	0.1893
Q4	Musical Layers (Mean)	novel	Texture	0.1697
	Spectral Entropy (std)	base	Tone Color	0.1645
	Spectral Skewness (max)	base	Tone Color	0.1637

music. Moreover, research supports the association of vibrato 975 and negative energetic emotions such as anger [47]. 976

In addition to the tone color features related with the 977 spectrum, the best 20 features for quadrant 3 also include 978 the number of musical layers (texture), spectral dissonance, 979 inharmonicity (harmony), and expressive techniques such 980 as tremolo. Moreover, nine features used to obtain the maximum score are extracted directly from the voice-only signal. 982 Of these, four are related with intensity and loudness variations (crescendos, decrescendos); two with melody (vocal 984 ranges used); and three with expressive techniques such as 985 vibratos and tremolo. Empirically, the characteristics of the 986 singing voice seem to be a key aspect influencing emotion in songs from quadrants 3 and 4, where negative emotions 988 (e.g., sad, depressed) usually have not so smooth voices, 989 with variations in loudness (dynamics), tremolos, vibratos 990 and other techniques that confer a degree of sadness [47] 991 and unpleasantness.

The majority of the employed features were related with 993 tone color, where features capturing vibrato, texture and 994 dynamics and harmony were also relevant, namely spectral 995 metrics, the number of musical layers and its variations, 996 measures of the spectral flatness (noise-like). More features 997 are needed to better discriminate Q3 from Q4, which musically share some common characteristics such as lower 999 tempo, less musical layers and energy, use of glissandos 1000 and other expressive techniques.

A visual representation of the best 30 features to distin- 1002 guish each quadrant, grouped by categories, is represented 1003 in Fig. 3. As previously discussed, a higher number of tone 1004

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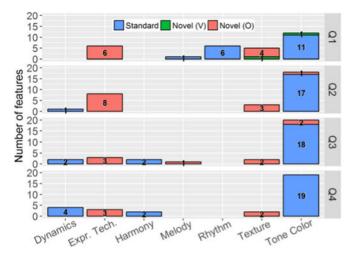


Fig. 3. Best 30 features to discriminate each quadrant, organized by musical concept. Novel (O) are extracted from the original audio signal, while Novel (V) are extracted from the voice-separated signal.

color features is used to distinguish each quadrant (against the remaining). On the other hand, some categories of features are more relevant to specific quadrants, such as rhythm and glissando (part of the expressive techniques) for Q1, or voice characteristics to Q3.

# **CONCLUSIONS AND FUTURE WORK**

This paper studied the influence of musical audio features in MER applications. The standard audio features available in known frameworks were studied and organized into eight musical categories. Based on this, we proposed novel more towards higher level musical concepts audio features to help bridge the identified gaps in the state-of-the-art and break the current glass ceiling. Namely, features related with musical expressive performance techniques (e.g., vibrato, tremolo, and glissando) and musical texture, which were the two less represented musical concepts in existing MER implementations. Some additional audio features that may further improve the results, e.g., features related with musical form, are still to be developed.

To evaluate our work, a new dataset was built semi-automatically, containing 900 song entries and respective metadata (e.g., title, artist, genre and mood tags), annotated according to the Russell's emotion model quadrants.

Classification results show that the addition of the novel features improves the results from 67.4 percent to 76.4 percent when using a similar number of features (100), or from 71.7 percent if 800 baseline features are used.

Additional experiments were carried out to uncover the importance of specific features and musical concepts to discriminate specific emotional quadrants. We observed that, in addition to the baseline features, novel features, such as the number of musical layers (musical texture) and expressive techniques metrics, such as tremolo notes or vibrato rates, were relevant. As mentioned, the best result was obtained with 29 novel features and 71 baseline features, which demonstrates the relevance of this work.

In the future, we will further explore the relation between the voice signal and lyrics by experimenting with multimodal MER approaches. Moreover, we plan to study emotion variation detection and to build sets of interpretable rules providing a more readable characterization of how musical

features influence emotion, something that lacks when blackbox classification methods such as SVMs are employed.

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