

Stop-and-Wait (cont'd)

- Problem: Keeping the pipe full (i.e. maintain high link utilization)
- Example: Assuming packet size of 1KB, 1.5Mbps link, 40ms (per-packet) RTT

$$K_{ideal} = RTT \times C = \left[40 \text{ ms} \times \frac{1.5 \text{ Mbps}}{1 \text{ KB} \times 8} \right] = \lceil 7.5 \rceil \text{ packets} = 8$$

$$U_{sew} = \frac{1}{8}$$

$$\text{Throughput}_{sew} = \frac{1}{8} \cdot 1.5 \text{ Mbps} \approx 0.2 \text{ Mbps}$$

Matta @ BUCS - Transport 1-20

20

Stop-and-Wait (cont'd)

- Problem: Keeping the pipe full (i.e. maintain high link utilization)
- Example: Assuming packet size of 1KB, 1.5Mbps link, 40ms (per-packet) RTT

- BxD ~ 8 packets.

Stop-and-wait uses about 1/8 of the link's capacity. Want the sender to be able to transmit up to 8 packets before having to wait for an ACK

What is the effective throughput?

Answer: $1.5\text{M}/8 \sim 0.2 \text{ Mbps!!}$

Matta @ BUCS - Transport 1-21

21

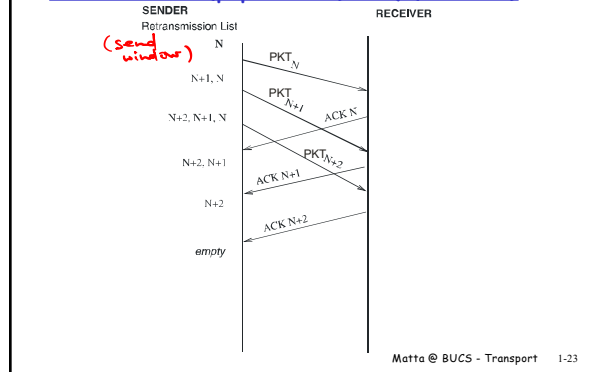
Continuous RQ (pipelining)

- Achieves higher link utilization than stop-and-wait
- Sender sends multiple packets without waiting for an ACK
- In practice, there is a limit for flow control
- Sender needs more memory to buffer outstanding unacked packets

Matta @ BUCS - Transport 1-22

22

Continuous (pipelined) RQ (cont'd)

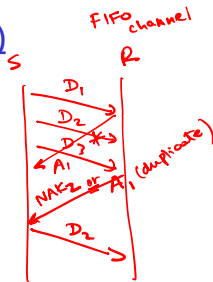


23

Pipelined RQ (cont'd)

Two retransmission strategies:

- ❑ **Selective Repeat:** Only corrupted/lost packets are retransmitted
- ❑ **Go-Back-N:** Packets received correctly may be retransmitted
- ❑ NAK or duplicate ACK to improve utilization



24

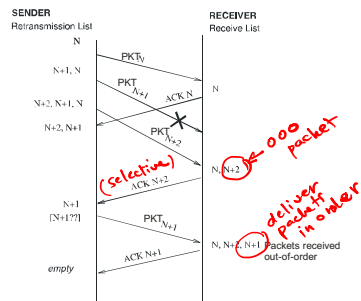
Selective Repeat

- ❑ Packets transmitted continually (when available) without waiting for ACK, up to K outstanding unACKed packets
- ❑ A different sender timer associated with each unACKed packet
- ❑ **Receiver:**
 - m ignores (implicit retransmission) or NAKs (explicit retransmission) missing packets
 - m ACKs correct (possibly out-of-order) packets
 - m buffers out-of-order packets so as to deliver packets in-order to higher layer
- ❑ **Sender:**
 - m on timeout or NAK for packet N, or ACK for packet > N, just retransmit N

Matthä @ BUCS - Transport 1-25

25

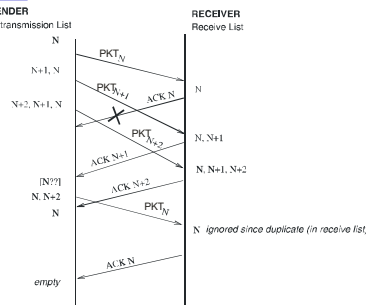
Example:
lost/corrupted
packet



Matta @ BUCS - Transport 1-26

26

- ❑ Lost / corrupted ACK

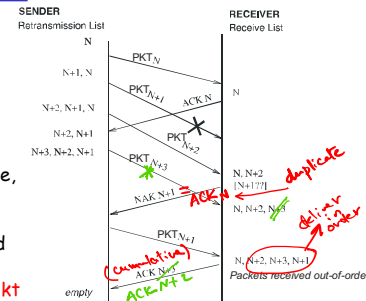


Matta @ BUCS - Transport 1-27

27

- ❑ Example: lost / corrupted packet
- ❑ ACK for packet N implicitly acknowledges up through N (i.e. **cumulative** ACK)

- While in NAK state, receiver does not ACK - **why?**
- A timer associated with NAK?
- What happens if pkt N+3 also gets lost / corrupted?



Matta @ BUCS - Transport 1-28

28

Go-Back-N

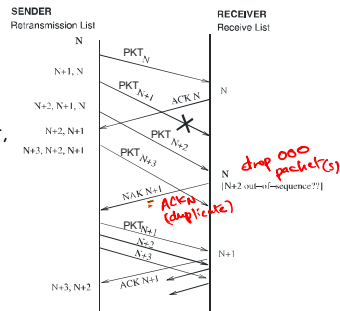
- Unlike Selective Repeat, Go-Back-N saves receiver buffering by requiring packets to arrive in-order
- As in Selective Repeat:
 - Packets transmitted continually (when available) without waiting for ACK, up to K outstanding unACKed packets
 - A different sender timer associated with each unACKed packet, although a single timer implementation for Go-Back-N is common
 - Receiver ignores or NAKs missing packets
- Unlike Selective Repeat:
 - Receiver ACKs only correctly received and in-order packets, passes them to higher layer
 - On timeout or NAK for packet N, sender retransmits from N all over again (all outstanding packets)

Matta @ BUCS - Transport 1-29

29

Go-Back-N (cont'd)

- Example: lost / corrupted packet
- A timer associated with NAK, or if not and the NAK is lost, what will happen?

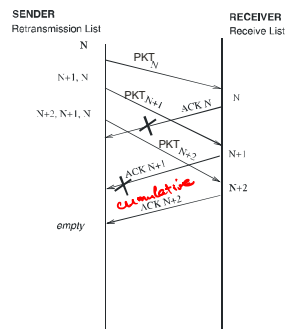


Matta @ BUCS - Transport 1-30

30

Go-Back-N (cont'd)

- Example: lost / corrupted ACK
- ACK for packet N implicitly acknowledges up through N (i.e. **cumulative ACK**)



Matta @ BUCS - Transport 1-31

31

Pros and Cons of Go-Back-N

- ❑ No receiver buffering with Go-Back-N
 - m Saves resources at receiver
 - m Avoids large bursts of packet delivery to higher layers
- ❑ Simplicity in buffering and protocol processing at sender and receiver, e.g. can easily detect duplicates if an out-of-sequence packet is received
- ❑ Consumes more link capacity by retransmitting correctly received packets
- ❑ Tradeoff between host buffering/processing complexity and link capacity

Matta @ BUCS - Transport 1-32

32

Flow Control

- ❑ **Goal:** control the flow of packets on the link so that receiver always has sufficient buffers to accept them until they can be processed
- ❑ **Sliding Window:**
 - m Imposes a limit on the number of outstanding unACKed packets, i.e. length of retransmission list, called **send window**
 - m For stop-and-wait, send window = 1 → poor link utilization
 - m The size of the send window is chosen to achieve **both** high link utilization and flow control
 - m **Send Window Size = $K = \text{MIN}(\text{delay} \times \text{bandwidth, available buffer space at receiver})$**

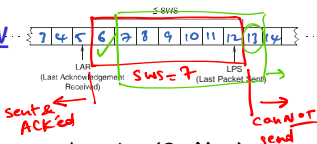
B-D efficiency

flow control

Matta @ BUCS - Transport 1-33

33

Sliding Window



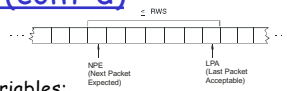
Sender:

- ❑ Assign sequence number to each packet (SeqNum)
- ❑ Maintain three state variables:
 - send window size (SWS)
 - last acknowledgment received (LAR)
 - last packet sent (LPS)
- ❑ Maintain invariant: $LPS - LAR \leq SWS$
- ❑ When ACK arrives, advance LAR, thereby opening window
- ❑ Buffer up to SWS packets

Matta @ BUCS - Transport 1-34

34

Sliding Window (cont'd)



Receiver:

- Maintain three state variables:
 - receive window size (**RWS**)
 - last packet acceptable (**LPA**)
 - next packet expected (**NPE**)
- Maintain invariant: $LPA - NPE + 1 \leq RWS$
- Packet **SeqNum** arrives:
 - if $NPE \leq SeqNum \leq LPA \rightarrow$ accept
 - if $SeqNum < NPE$ or $SeqNum > LPA \rightarrow$ discarded
- Send ACK/NAK

Matta @ BUCS - Transport 1-35

35

Sliding Window (cont'd)

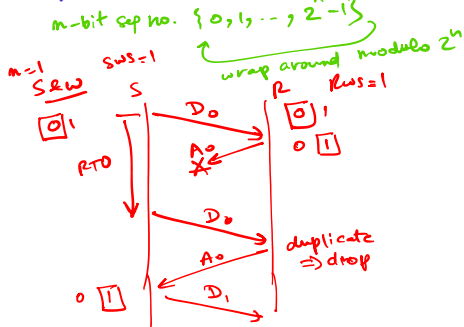
$SWS = K$

- With Go-Back-N, **RWS** = 1
- With Selective Repeat, **RWS** = **SWS**.
Receiver can then maintain sequence numbers of packets that the sender can send, and so can detect whether a received packet is new or duplicate

Matta @ BUCS - Transport 1-36

36

Sequence Numbers

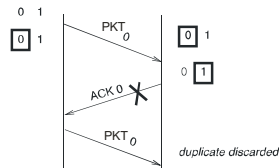


Matta @ BUCS - Transport 1-37

37

Sequence Numbers

- SeqNum field is finite; sequence numbers wrap around
- The size of the sequence number space must be larger than the number of outstanding packets
- Stop-and-Wait: sequence numbers {0, 1}

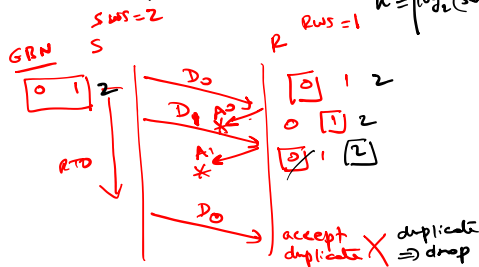


Matta @ BUCS - Transport 1-38

38

Sequence Numbers (cont'd)

- Go-Back-N: sequence numbers {0, 1, ..., SWS} $\# \text{ SeqNums} = \text{SWS} + 1$
 $RWS = \lceil \log_2(\text{SWS} + 1) \rceil$



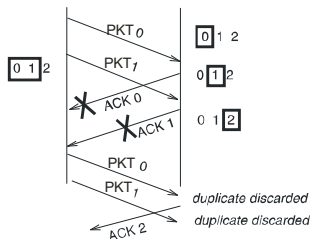
Matta @ BUCS - Transport 1-39

39

Sequence Numbers (cont'd)

- Go-Back-N: sequence numbers {0, 1, ..., SWS}

SWS = 2 RWS = 1



Matta @ BUCS - Transport 1-40

40

Sequence Numbers (cont'd)

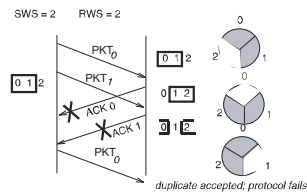
- Selective Repeat: sequence numbers $\{0, 1, \dots$, **SWS** is not sufficient

Matta @ BUCS - Transport 1-41

41

Sequence Numbers (cont'd)

- Selective Repeat:
sequence numbers $\{0, 1, \dots$, **SWS** is not sufficient
- Size of sequence number space must be at least **$SWS + RWS = 2 \text{ SWS}$**
- Intuitively, **SeqNum** ``slides'' between two halves of sequence number space



Matta @ BUCS - Transport 1-42

42
