



Functional Programming with Scheme

Read: Scott, Chapter 11.1-11.3

Lecture Outline

- Functional programming languages
- Scheme
 - S-expressions and lists
 - `cons`, `car`, `cdr`
 - Defining functions
 - Examples of recursive functions
 - Shallow vs. deep recursion
 - Equality testing

Racket/PLT Scheme/DrScheme

- Download Racket
(was PLT Scheme (was DrScheme))
 - <http://racket-lang.org/>
 - Run DrRacket
 - Languages => Choose Language => Other Languages => Legacy Languages: R5RS
- One additional textbook/tutorial:
 - Teach Yourself Scheme in Fixnum Days by Dorai Sitaram:

<https://ds26gte.github.io/tyscheme/index.html>

First, Imperative Languages

- The concept of **assignment** is central
 - $x := 5; y := 10; z := x + y; w := f(z);$
 - Side effects on memory
- Program semantics (i.e., how the program works): **state-transition semantics**
 - A program is a sequence of assignment statements with effect on memory (i.e., state)

```
c := 0;  
for i := 1 step 1 until N do  
  t := a[i]*b[i];  
  c := c + t;
```

Imperative Languages

- **Functions** (also called “procedures”, “subroutines”, or routines) have **side effects**:

Roughly:

- A function call affects visible state; i.e., a function call may change state in a way that affects execution of other functions; in general, function call cannot be replaced by result
- Also, result of a function call depends on visible state; i.e., function call is **not independent** of the context of the call

Imperative Languages

- Functions are, traditionally, not **first-class values**
 - A first-class value is one that can be passed as argument to functions, and returned as result from functions
 - In a language with assignments, it can be assigned into a variable or structure
 - Are functions in C first-class values?
 - As languages become more multi-paradigm, imperative languages increasingly support functions as first-class values (JS, R, Python, Java 8, C++11)

Functional Languages

■ Program semantics: reduction semantics

- A program is a set of function definitions and their application to arguments

Def **IP** = **(Insert +) ° (ApplyToAll *) ° Transpose**

Function composition

IP <<1,2,3>,<6,5,4>> is

(Insert +) ((ApplyToAll *) (Transpose
<<1,2,3>,<6,5,4>>))

(Insert +) ((ApplyToAll *) <<1,6>,<2,5>,<3,4>>)

(Insert +) <6,10,12>

28

Functional Languages

- In pure functional languages, there is no notion of assignment, no notion of state
 - Variables are bound to values only through parameter associations
 - No side effects!
- Referential transparency
 - Roughly:
 - Result of function application is independent of context where the function application occurs; function application can be replaced by result

Functional Languages

- Functions are **first-class values**
 - Can be returned as value of a function application
 - Can be passed as an argument
 - In a language with assignment, can be assigned into variables and structures
- Unnamed functions exist as values

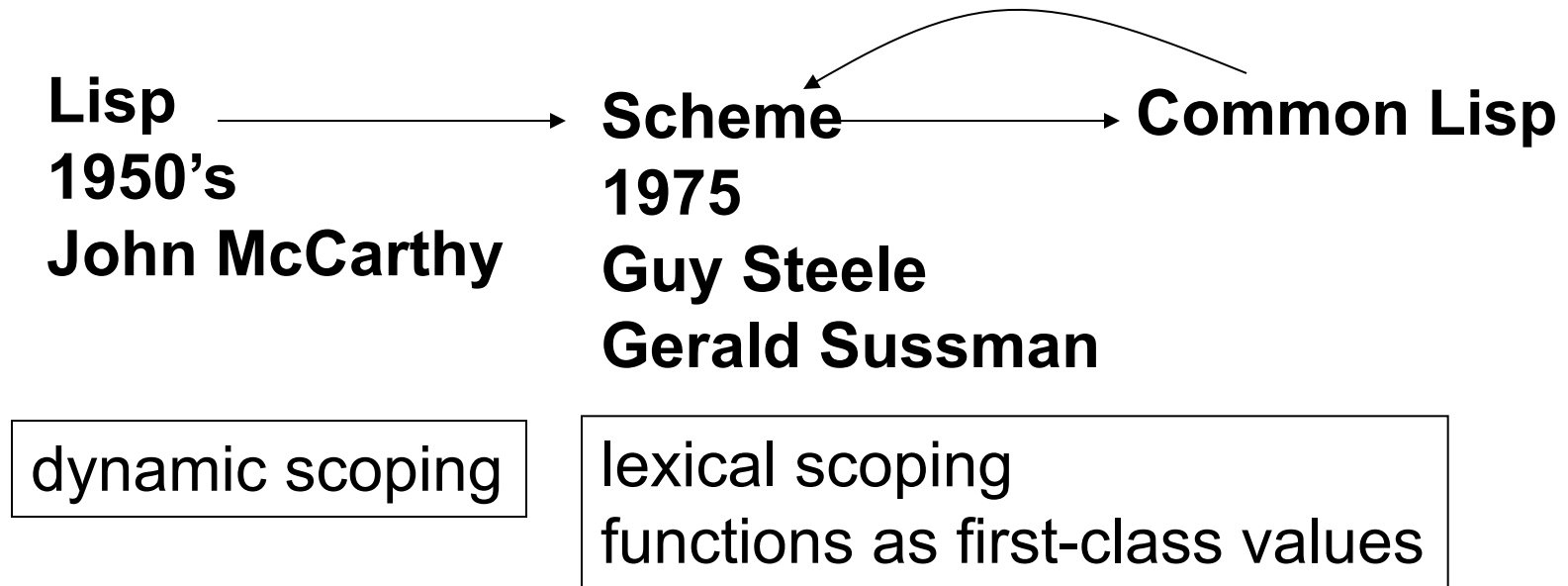
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Lisp and Scheme

- **Lisp** is the second oldest high-level programming language!
 - Simple syntax
 - Program code and data have same syntactic form
 - The S-expression
 - Function application written in prefix form
(**e1** **e2** **e3** ... **ek**) means
 - Evaluate **e1** to a function value
 - Evaluate each of **e2**, ..., **ek** to values
 - Apply the function to these values
- (+ 1 3) evaluates to 4

History



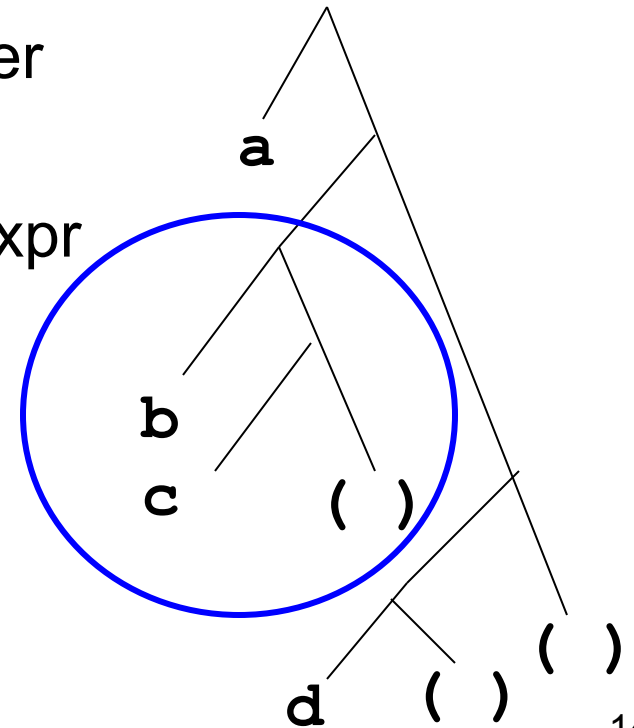
Why Scheme?

- Simple syntax! Great to introduce core functional programming concepts
 - Reduction semantics
 - Lists and recursion
 - Higher order functions
 - Evaluation order
 - Parametric polymorphism
- Later we'll see Haskell and new concepts
 - Algebraic data types and pattern matching
 - Lazy evaluation
 - Type inference

S-expressions

$$\text{S-expr} ::= \text{Name} \mid \text{Number} \mid (\{ \text{S-expr} \})$$

- Name is a symbolic constant (a string of chars which starts off with anything that can't start a Number)
- Number is an integer or real number
- List of zero or more S-expr's
- E.g., **(a (b c) (d))** is a **list** S-expr



List Functions

■ **car** and **cdr**

- Given a list, they decompose it into first element, rest-of-list portions
- E.g., **car** of **(a (b c) (d))** is **a**
- E.g., **cdr** of **(a (b c) (d))** is **((b c) (d))**

■ **cons**

- Given an element and a list, **cons** builds a new list with the element as its **car** and the list as its **cdr**
- **cons** of **a** and **(b)** is **(a b)**

■ **()** is the empty list

Quoting

- ``` or `quote` prevents the Scheme interpreter from evaluating the argument

`(quote (+ 3 4))` yields `(+ 3 4)`

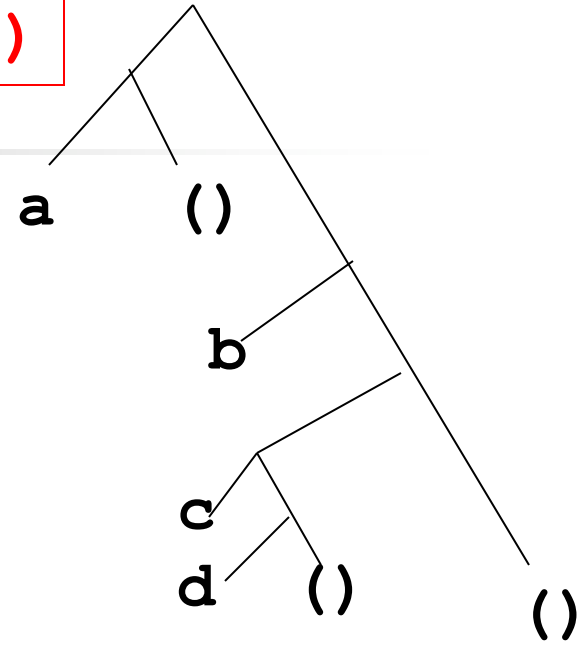
``(+ 3 4)` yields `(+ 3 4)`

Whereas `(+ 3 4)` yields `7`

- Why do we need quote?

Questions

`((a) b (c d))`



`(car '(a b c))` yields ?

`(car '((a) b (c d)))` yields ?

`(cdr '(a b c))` yields ?

`(cdr '((a) b (c d)))` yields ?

Can compose these operators in a short-hand manner. Can reach arbitrary list element by composition of `car`'s and `cdr`'s.

`(car (cdr (cdr '((a) b (c d)))))`

can also be written

`(caddr '((a) b (c d)))`

`(car (cdr (cdr '((a) b (c d))))) =`

`(car (cdr '(b (c d)))) = (car '((c d))) = (c d)`

Questions

■ Recall cons

- E.g., `(cons 'a '(b c))` yields `(a b c)`

`(cons 'd '(e))` yields ?

`(cons '(a b) '(c d))` yields ?

`(cons '(a b c) '((a) b (c d)))` yields ?

Type Predicates

- Note the **quote**: it prevents evaluation of the argument

```
(symbol? `sam) yields #t  (symbol? 1) yields #f
(number? `sam) yields #f  (number? 1) yields #t
(list? `(a b)) yields #t  (list? `a) yields #f
(null? `()) yields #t     (null? `(a b)) yields #f
(zero? 0) yields #t       (zero? 1) yields #f
```

Can compose these.

```
(zero? (- 3 3)) yields #t
```

Note that since this language is fully parenthesized, there are no precedence problems in expressions!

Question

- What is the typing discipline in Scheme?
 - Static or dynamic?
 - Answer: Dynamic typing. Variables are bound to values of different types at runtime. All type checking done at runtime.

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Scheme: Defining Functions

Fcn-def ::= `(define (Fcn-name {Param}) S-expr)`

Fcn-name should be a new name for a function.

Param should be variable(s) that appear in the S-expr which is the function body.

Fcn-def ::= `(define Fcn-name Fcn-value)`

Fcn-value ::= `(lambda ({Param}) S-expr)`

where Param variables are expected to appear in the S-expr; called a **lambda expression**.

Examples

```
(define (zerocheck? x)
  (if (= x 0) #t #f) )
```

If-expr ::= (if S-expr0 S-expr1 S-expr2)

where S-expr0 must evaluate to a boolean value; if that value is #t, then the If-expr yields the result of S-expr1, otherwise it yields the result of S-expr2.

(zerocheck? 1) yields #f,

(zerocheck? (* 1 0)) yields #t

Examples

```
(define (atom? object)
  (not (pair? object)) )
```

Here `pair?` is a built-in type predicate. It yields `#t` if the argument is a non-trivial S-expr (i.e., something one can take the `cdr` of). It yields `#f` otherwise.

`not` is the built-in logical operator.

What does `atom?` do?

Examples

```
(define square (lambda (n) (* n n)))
```

- Associates the Fcn-name **square** with the function value **(lambda (n) (* n n))**
- **Lambda calculus** is a formal theory of functions
 - Set of functions definable using lambda calculus (Church 1941) is same as set of functions computable as Turing Machines (Turing 1930's)

Trace of Evaluation

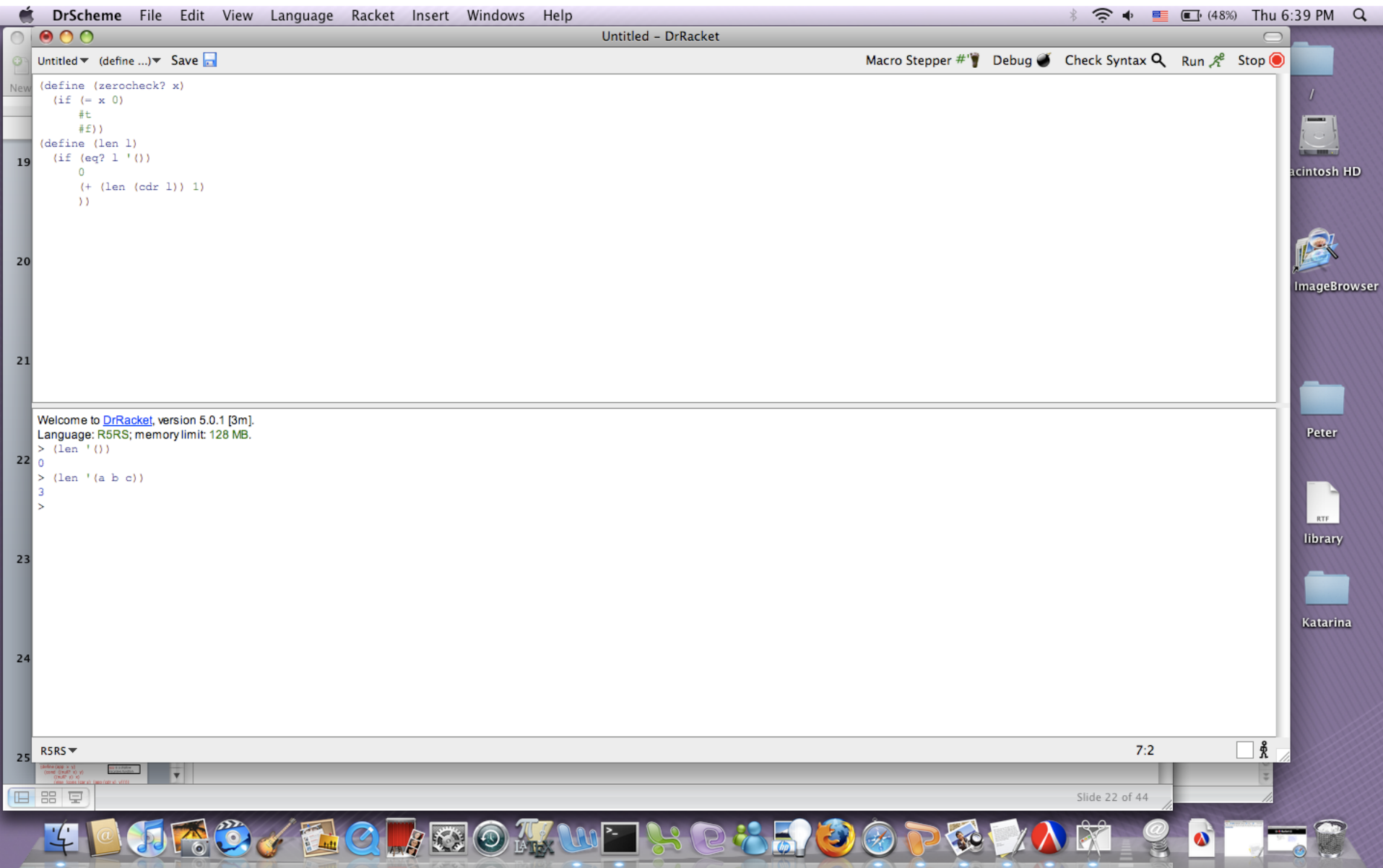
```
(define (atom? object)
  (not (pair? object)) )
```

```
(atom? ` (a))
```

- obtain function value corresponding to **atom?**
- evaluate `` (a)` obtaining **(a)**
- evaluate `(not (pair? ` (a)))`
 - obtain function value corresponding to **not**
 - evaluate `(pair? ` (a))`
 - obtain function value corresponding to **pair?**
 - evaluate `` (a)` obtaining **(a)**
 - return value **#t**
 - return **#f**
- return **#f**

Read-Eval-Print Loop (REPL)

- Scheme interpreter runs **read-eval-print loop**
 - **Read** input from user
 - A function application
 - **Evaluate** input
 - $(e_1 e_2 e_3 \dots e_k)$
 - Evaluate e_1 to obtain a function
 - Evaluate e_2, \dots, e_k to values
 - Execute function body using values from previous step as parameter values
 - Return value
 - **Print** return value



Conditional Execution

`(if e1 e2 e3)`

`(cond (e1 h1) (e2 h2) ... (en-1 hn-1)
(else hn))`

- Cond is like **if – then – else if** construct

```
(define (zerocheck? x)
  (cond ((= x 0) #t) (else #f)))
```

OR

```
(define (zchk? x)
  (cond ((number? x) (zero? x))
        (else #f)))
```

Recursive Functions

```
(define (len x)
  (cond ((null? x) 0) (else (+ 1 (len (cdr x))))))
```

`(len `(1 2))` should yield 2.

`len` is a shallow recursive function

Trace: `(len `(1 2))` -- top level call

`x = (1 2)`

`(len `(2))` -- recursive call 1

`x = (2)`

`(len `())` -- recursive call 2

`x = ()`

returns 0 -- return for call 2

returns (+ 1 0) = 1 --return for call 1

returns (+ 1 1) = 2 -- return for top level call

`(len `((a) b (c d)))` yields what?

Recursive Functions

```
(define (app x y)
  (cond ((null? x) y)
        ((null? y) x)
        (else
         (cons (car x)
                (app (cdr x) y))))
```

app is a shallow
recursive function

- What does **app** do?

(app '() '()) yields ?

(app '() '(1 4 5)) yields ?

(app '(5 9) '(a (4) 6)) yields ?

Exercise

```
(define (len x)
  (cond ((null? x) 0) (else (+ 1 (len (cdr x))))))
```

Write a version of `len` that uses `if` instead of `cond`

Write a function `countlists` that counts the number of list elements in a list. E.g.,

`(countlists '(a))` yields 0

`(countlists '(a (b c (d)) (e)))` yields 2

Recall `(list? 1)` returns true if 1 is a list, false otherwise

Recursive Functions

```
(define (fun x)
  (cond ((null? x) 0)
        ((atom? x) 1)
        (else (+ (fun (car x))
                   (fun (cdr x))))))
```

fun is a deep
recursive function

What does **fun** do?

fun counts atoms in a list

```
(define (atomcount x)
  (cond ((null? x) 0)
        ((atom? x) 1)
        (else (+ (atomcount (car x)) (atomcount (cdr x))))))
```

atomcount is a deep recursive function

(atomcount '(a)) **yields 1**

(atomcount '(1 (2 (3)) (5))) **yields 4**

Trace: (atomcount '(1 (2 (3))))

1> (+ (atomcount 1) (atomcount '((2 (3)))))

2> (+ (atomcount '(2 (3))) (atomcount '()))

3> (+ (atomcount 2) (atomcount '((3))))

4> (+ (atomcount '(3)) (atomcount '()))

5> (+ (atomcount 3) (atomcount '()))

1

0

Exercise

- Write a function **flatten** that flattens a list
(flatten '(1 (2 (3)))) yields (1 2 3)

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Equality Testing

eq?

- Built-in predicate that can check atoms for equal values
- Does not work on lists in the way you might expect!

eq1?

- Our predicate that works on lists

```
(define (eq1? x y)
  (or (and (atom? x) (atom? y) (eq? x y))
      (and (not (atom? x)) (not (atom? y))
            (eq1? (car x) (car y))
            (eq1? (cdr x) (cdr y)))))
```

equal?

- Built-in predicate that works on lists

Examples

(eq1? '(a) '(a)) **yields** what?

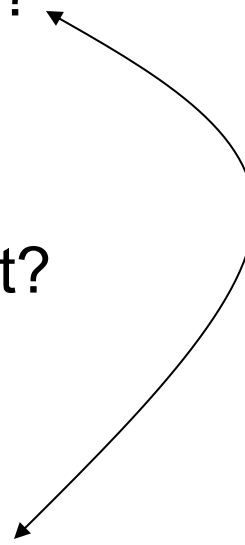
(eq1? 'a 'b) **yields** what?

(eq1? 'b 'b) **yields** what?

(eq1? '((a)) '((a))) **yields** what?

(eq? 'a 'a) **yields** what?

(eq? '(a) '(a)) **yields** what?



Models for Variables

■ Value model for variables

- A variable is a **location** that holds a **value**
 - I.e., a named container for a value

■ $a := b$

l-value (the location)

r-value (the value held in that location)

■ Reference model for variables

- A variable is a **reference** to a **value**
- Every variable is an l-value
 - Requires dereference when r-value needed (usually, but not always implicit)

Models for Variables: Example

$b := 2;$

$c := b;$

$a := b + c;$


■ Value model for variables

■ $b := 2$ $b:$ 2

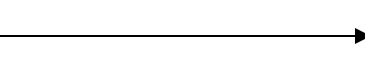
■ $c := b$ $c:$ 2

■ $a := b + c$ $a:$ 4

■ Reference model for variables

■ $b := 2$ b  2

■ $c := b$ c  2

■ $a := b + c$ a  4

Equality Testing: How does eq? work?

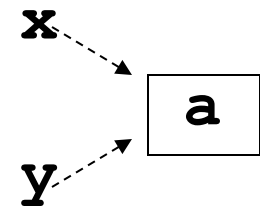
- Scheme uses the reference model for variables!

```
(define (f x y) (list x y))
```

Call `(f 'a 'a)` yields `(a a)`

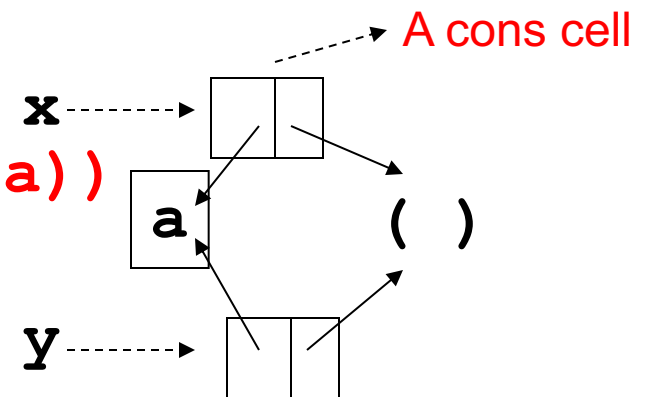
`x` refers to atom `a` and `y` refers to atom `a`.

`eq?` checks that `x` and `y` both point to the same place.



Call `(f '(a) '(a))` yields `('(a) '(a))`

`x` and `y` do not refer to the same list.



Models for Variables

- C/C++, Pascal, Fortran
 - Value model
- Java
 - Mixed model: value model for simple types, reference model for class types
- JS, Python, R, etc.
 - Reference model
- Scheme
 - Reference model! **eq?** is “reference equality” (akin of Java’s ==), **equal?** is value equality

The End
