

# Cooperative Exploration for Multi-Agent Deep Reinforcement Learning



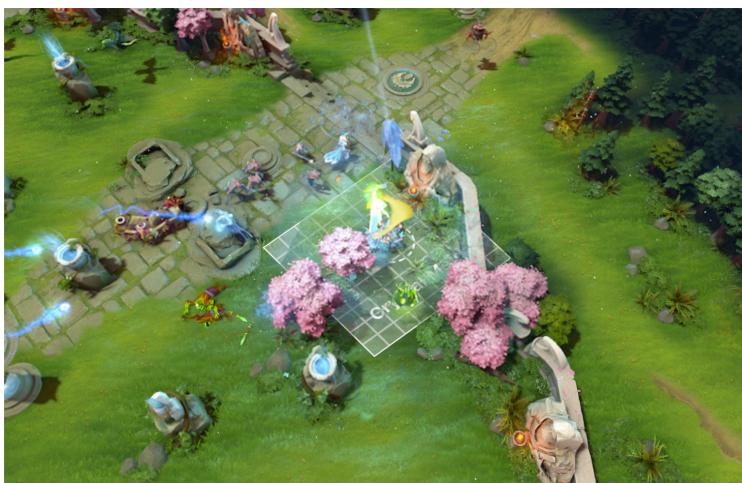
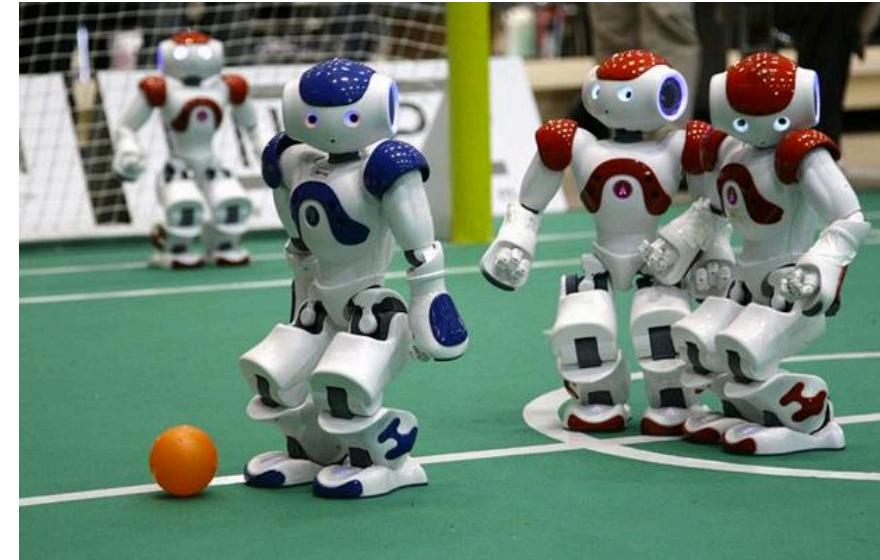
Iou-Jen Liu, Unnat Jain, Raymond A. Yeh, Alexander G. Schwing  
University of Illinois at Urbana-Champaign

ICML 2021



# Introduction

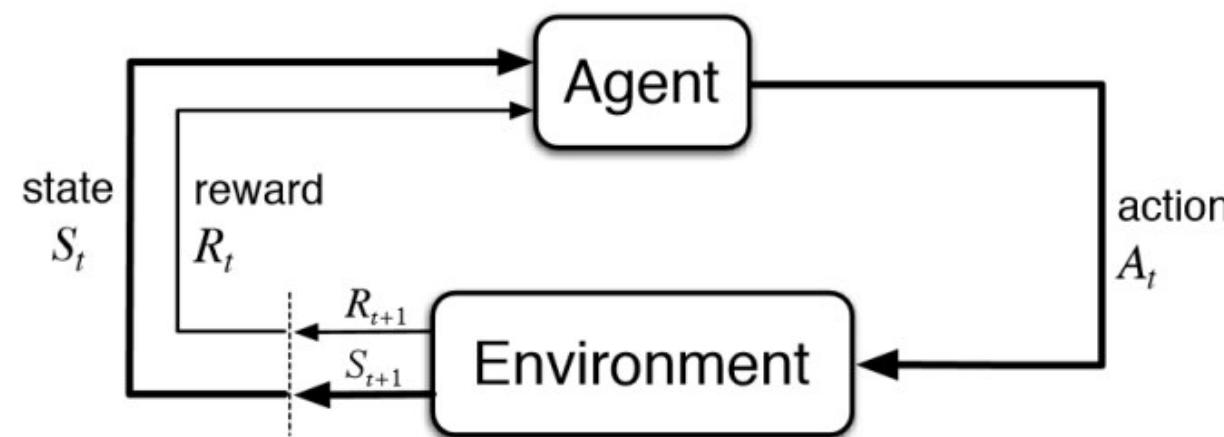
**Multi-agent systems are everywhere**



# Introduction

## Goal of RL:

- Learn a policy that will maximize the expected reward



Needs access to a reward function

# Sparse Reward

**Reward is provided only when a task is completed**

- Only define the criteria for completing a task
- Difficult policy optimization
- **Requires efficient exploration strategy**

# Challenges of Multi-Agent Exploration with Sparse Reward

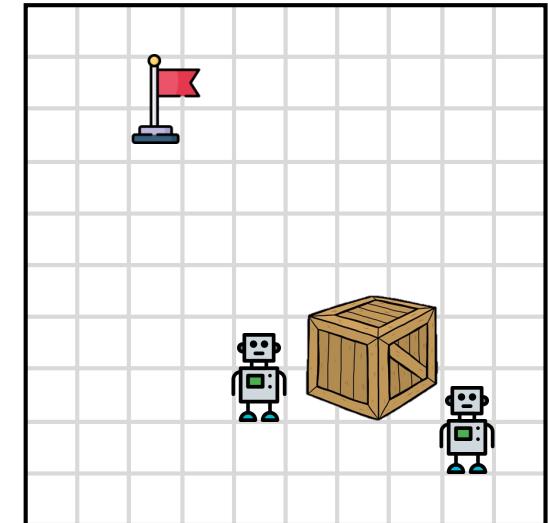
## Challenge 1: Identify states that are worth exploring

- States grow exponentially with the number of agents
- Infeasible to explore all states

# Challenges of Multi-Agent Exploration with Sparse Reward

## Challenge 1: Identify states that are worth exploring

- States grow exponentially with the number of agents
- Infeasible to explore all states



### Example: push-box task

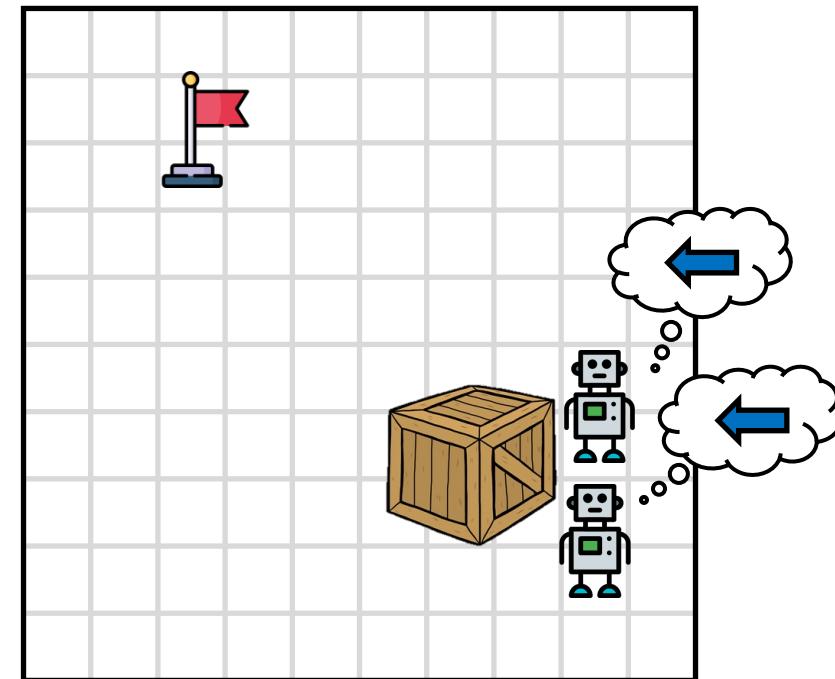
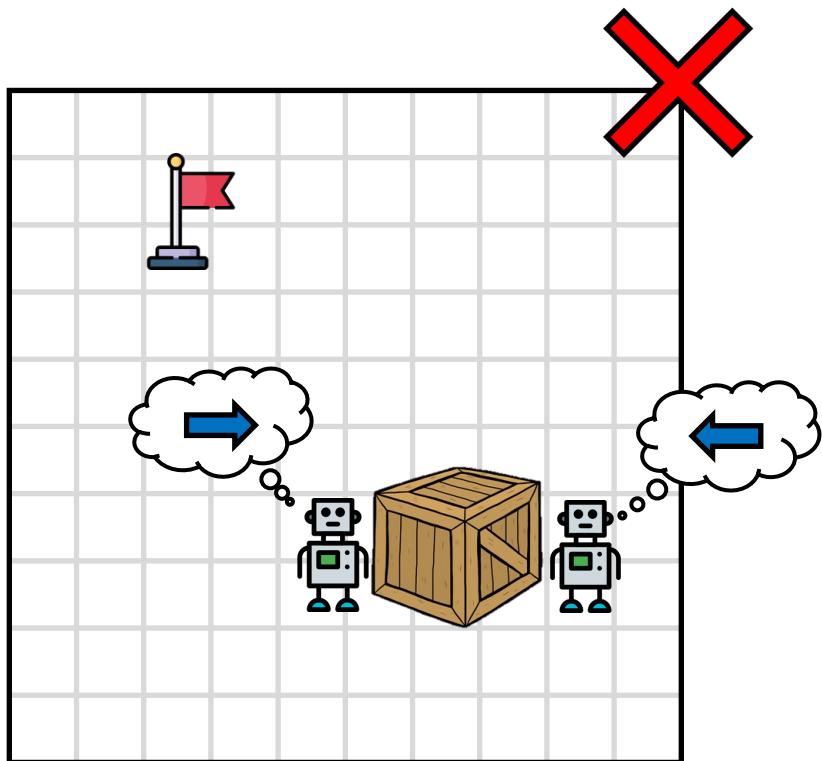
- Agents ( ) push a heavy box ( ) to a goal ( ) in an  $L \times L$  grid
- Only receive reward when the box is pushed to the goal
- State contains x, y location of the agents and the box
- Two agents:  $(L^2)^{1+2}$  states to explore
- N agents:  $(L^2)^{1+N}$  states to explore

# Challenges of Multi-Agent Exploration with Sparse Reward

## Challenge 2: Coordinate agents' exploration efforts

- Uncoordinated exploration is inefficient

**Example: push-box task**

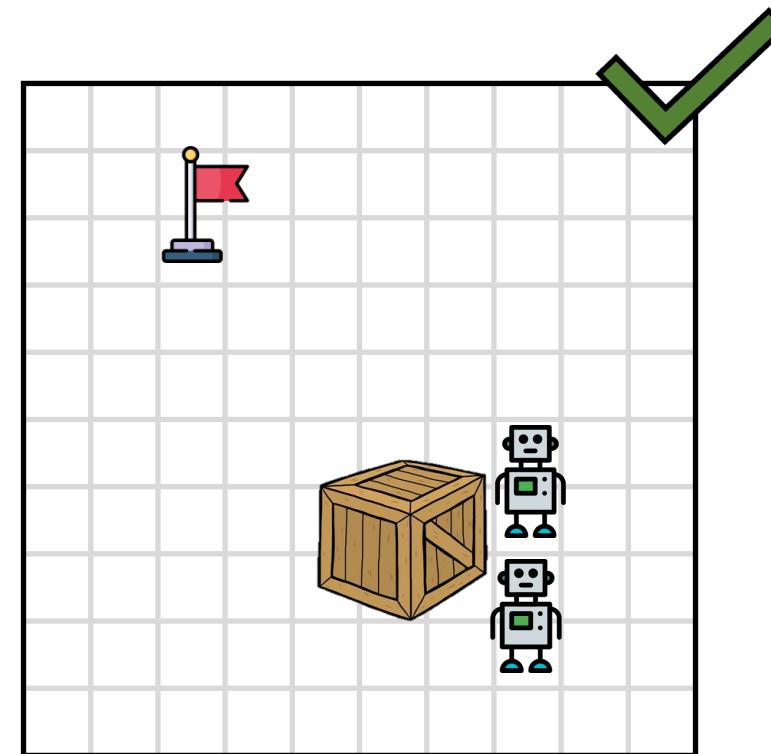
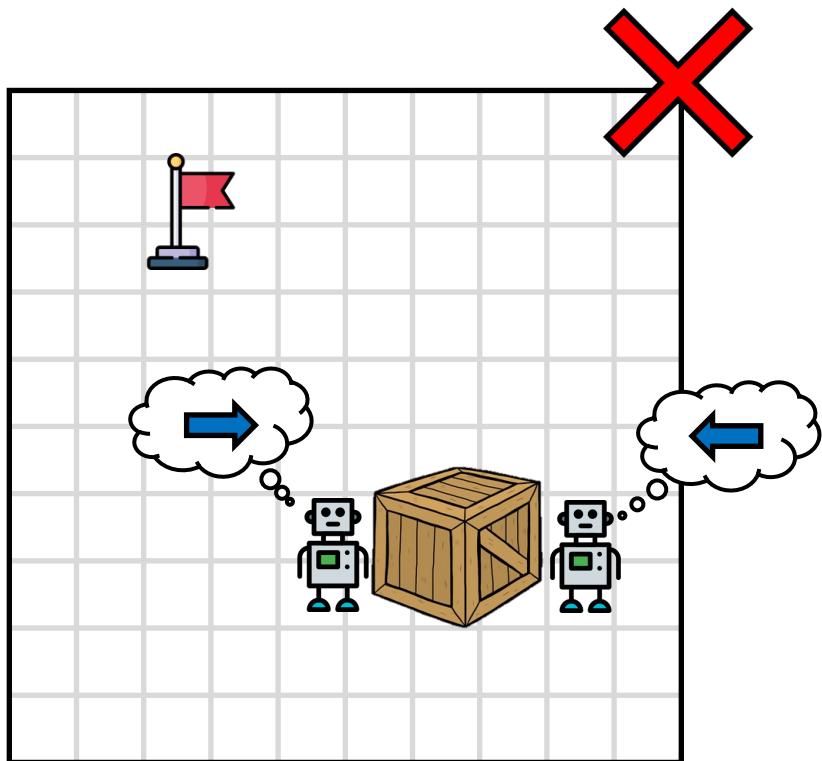


# Challenges of Multi-Agent Exploration with Sparse Reward

## Challenge 2: Coordinate agents' exploration efforts

- Uncoordinated exploration is inefficient

**Example: push-box task**



# Cooperative Multi-Agent Exploration (CMAE)

## Challenge 1: Identify states that are worth exploring

### CMAE: Restricted space exploration

- Identify under-explored low-dimensional restricted space
- Avoid exploring the exponentially-growing full state space

## Challenge 2: Coordinate agents' exploration efforts

### CMAE: Shared goal exploration

- Agents share a common goal while exploring
- Enable coordinated multi-agent exploration

# Cooperative Multi-Agent Exploration (CMAE)

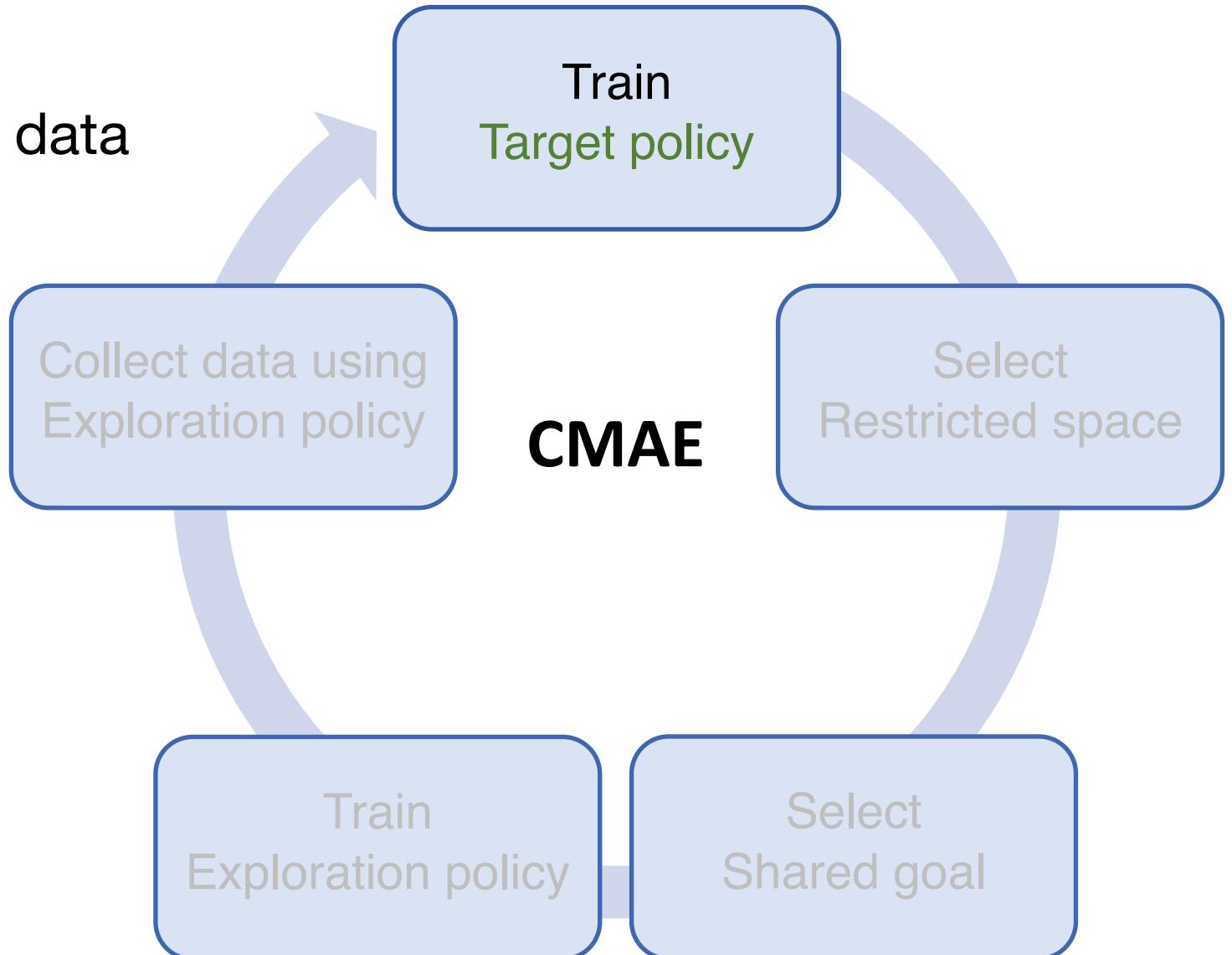
## Policy Decoupling

- **Exploration policy**: Collect data from rarely visited states
- **Target policy**: Maximize external reward

# Cooperative Multi-Agent Exploration (CMAE)

## Policy Decoupling

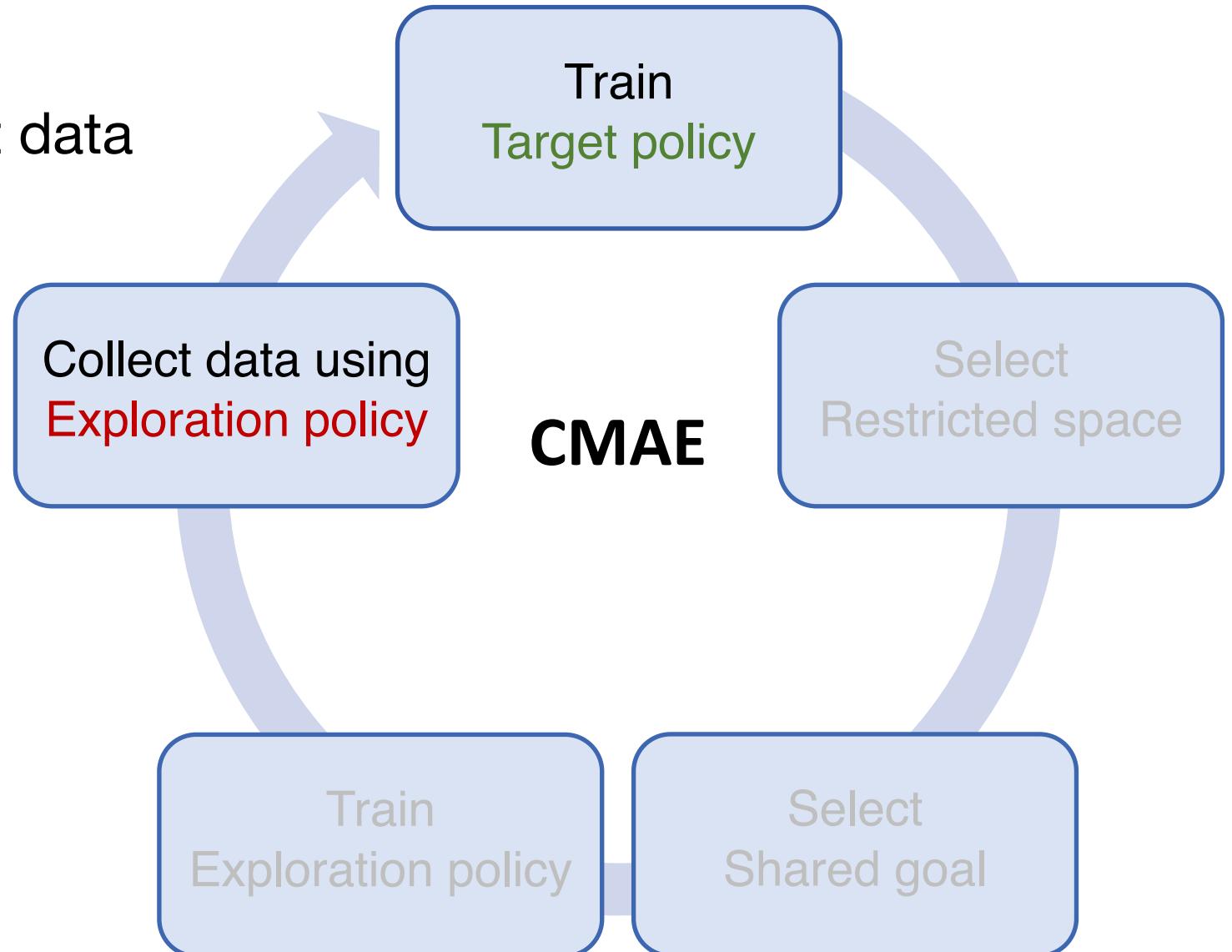
- **Exploration policy**: Collect data from rarely visited states
- **Target policy**: Maximize external reward



# Cooperative Multi-Agent Exploration (CMAE)

## Policy Decoupling

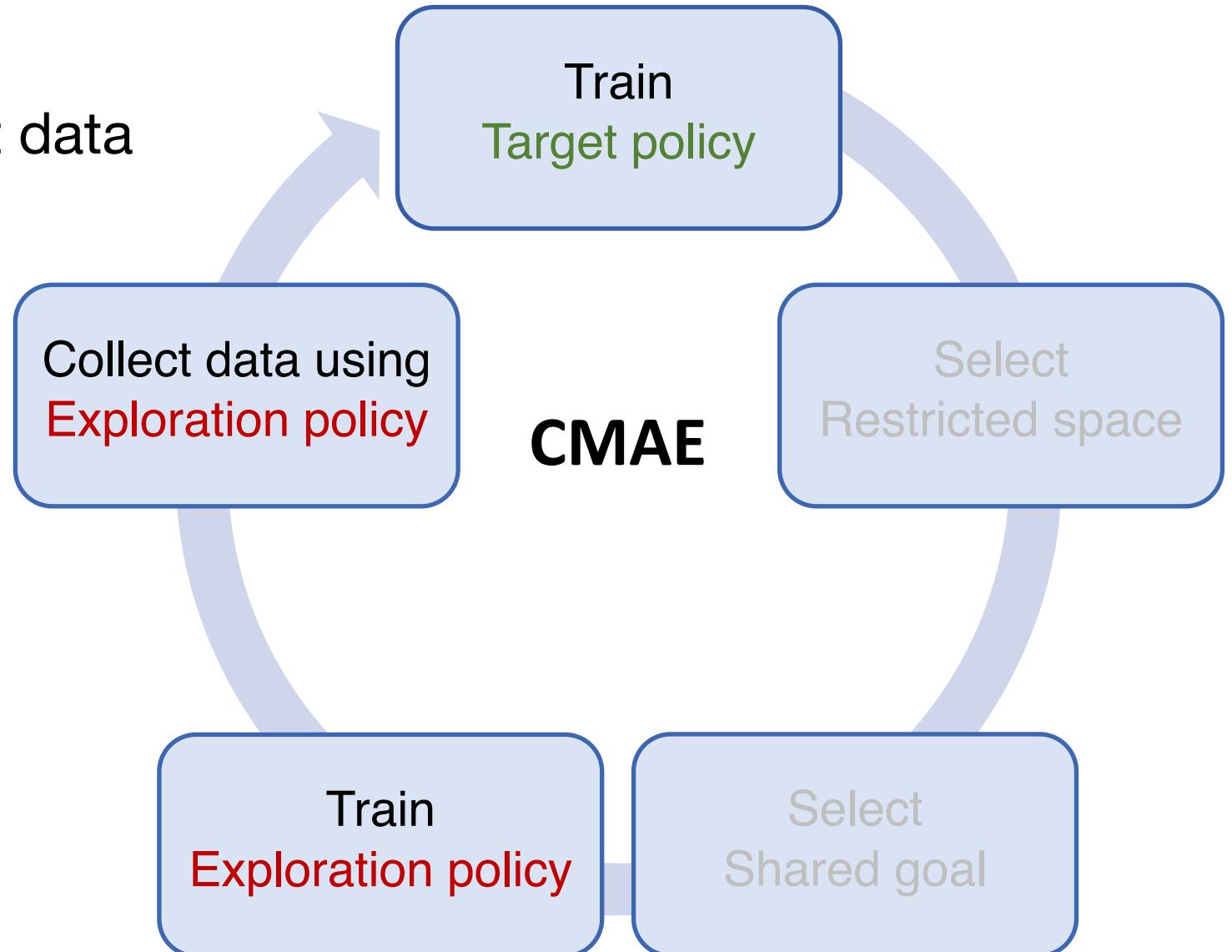
- **Exploration policy**: Collect data from rarely visited states
- **Target policy**: Maximize external reward



# Cooperative Multi-Agent Exploration (CMAE)

## Policy Decoupling

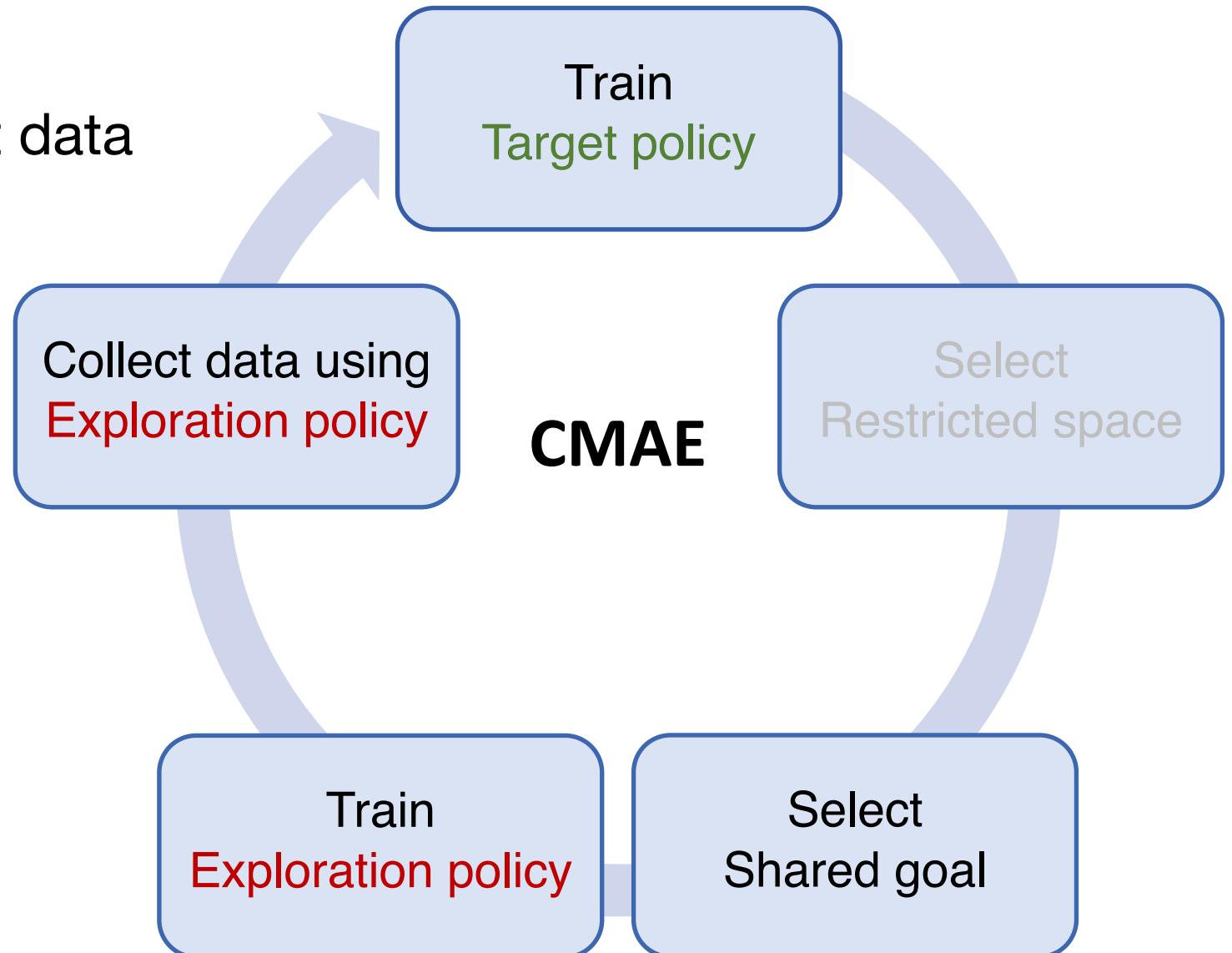
- **Exploration policy**: Collect data from rarely visited states
- **Target policy**: Maximize external reward



# Cooperative Multi-Agent Exploration (CMAE)

## Policy Decoupling

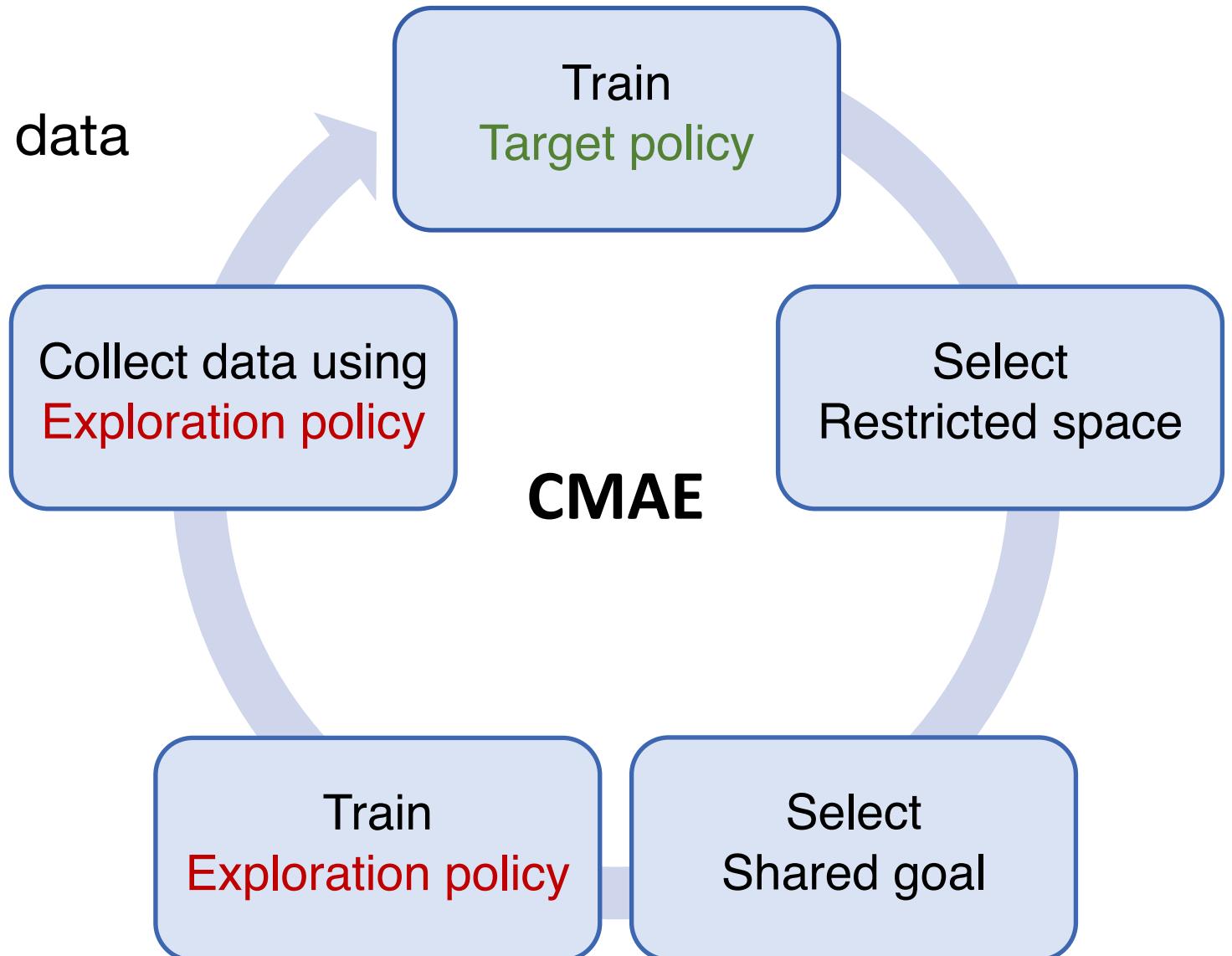
- **Exploration policy**: Collect data from rarely visited states
- **Target policy**: Maximize external reward



# Cooperative Multi-Agent Exploration (CMAE)

## Policy Decoupling

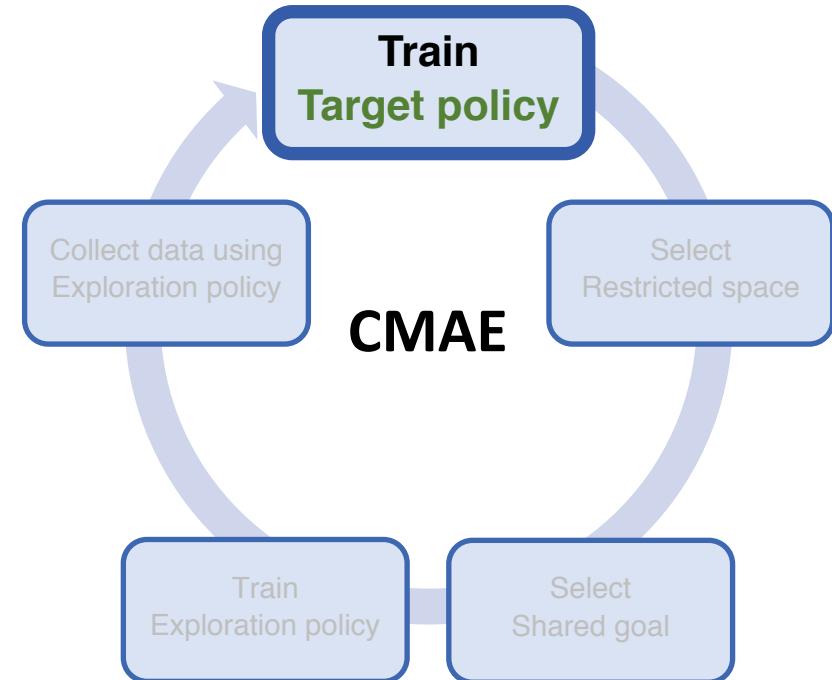
- **Exploration policy**: Collect data from rarely visited states
- **Target policy**: Maximize external reward



# Train Target Policy and Data Collection

## Maximize the external environment reward

- Use off-policy algorithms (e.g., MADDPG, QMIX)
- Use previously collected data



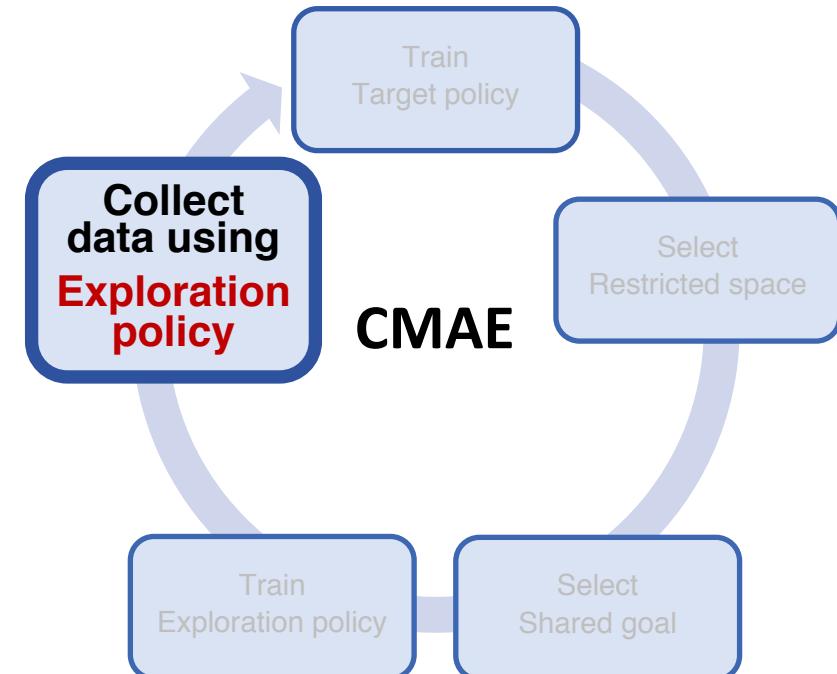
# Train Target Policy and Data Collection

Maximize the external environment reward

- Use off-policy algorithms (e.g., MADDPG, QMIX)
- Use previously collected data

**Exploration policy interacts with environment**

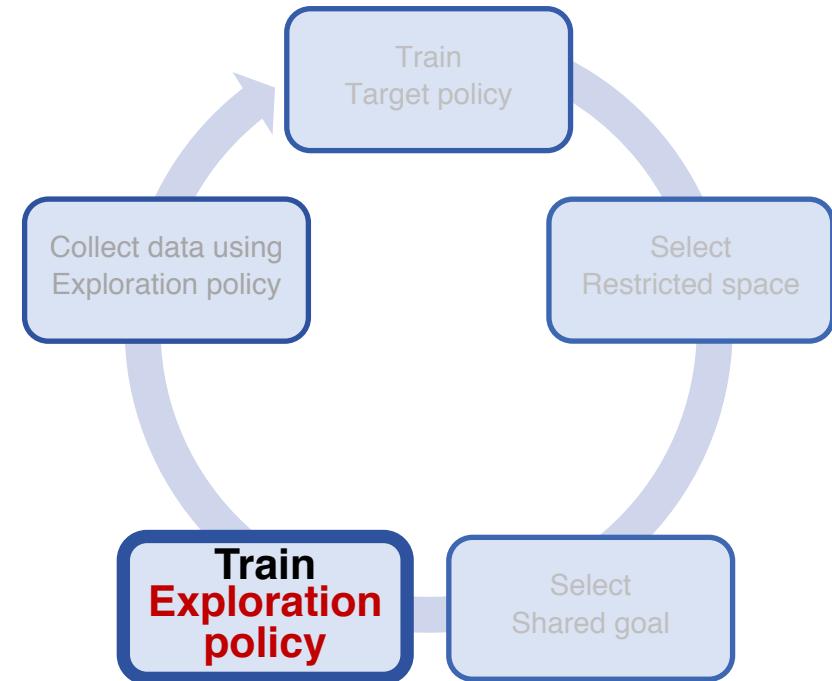
- Collected data is used to train the target policy
- The data contains under-explored states



# Train Exploration Policy

**Exploration policy is trained to reach a selected goal**

- Reshape reward in the replay buffer
- Positive reward when reaching a shared goal

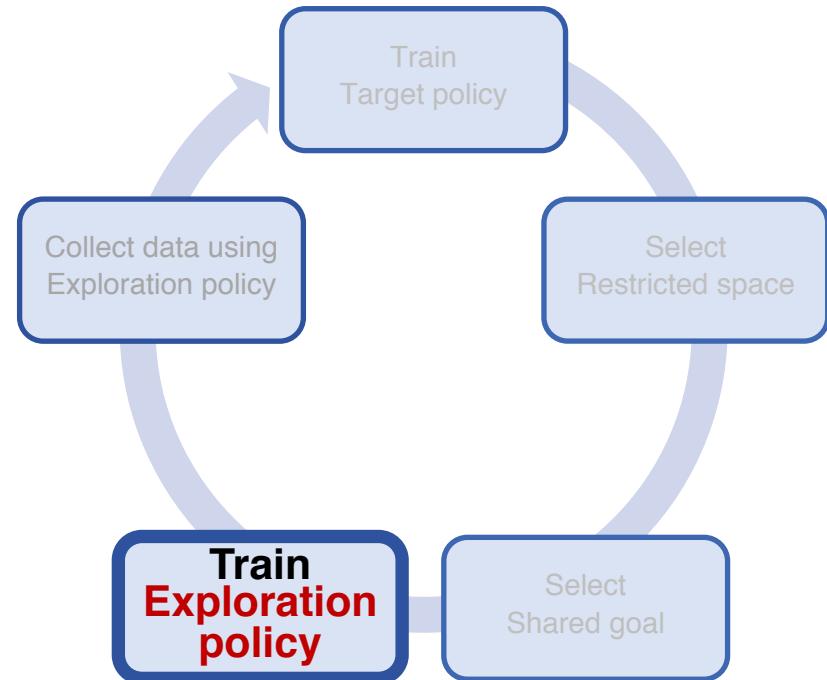
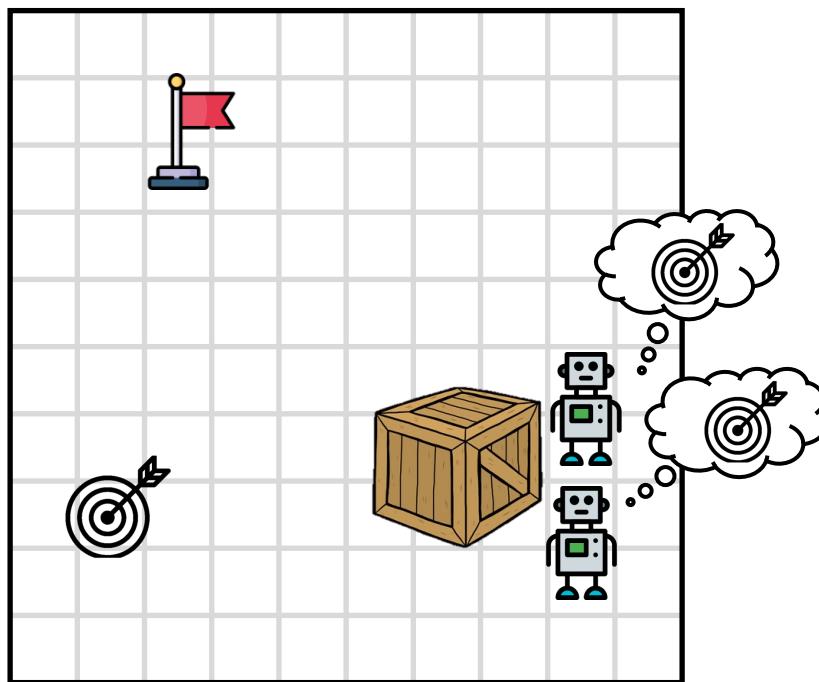


# Train Exploration Policy

**Exploration policy is trained to reach a selected goal (🎯)**

- Reshape reward in the replay buffer
- Positive reward when reaching a shared goal

**Example: push-box task**

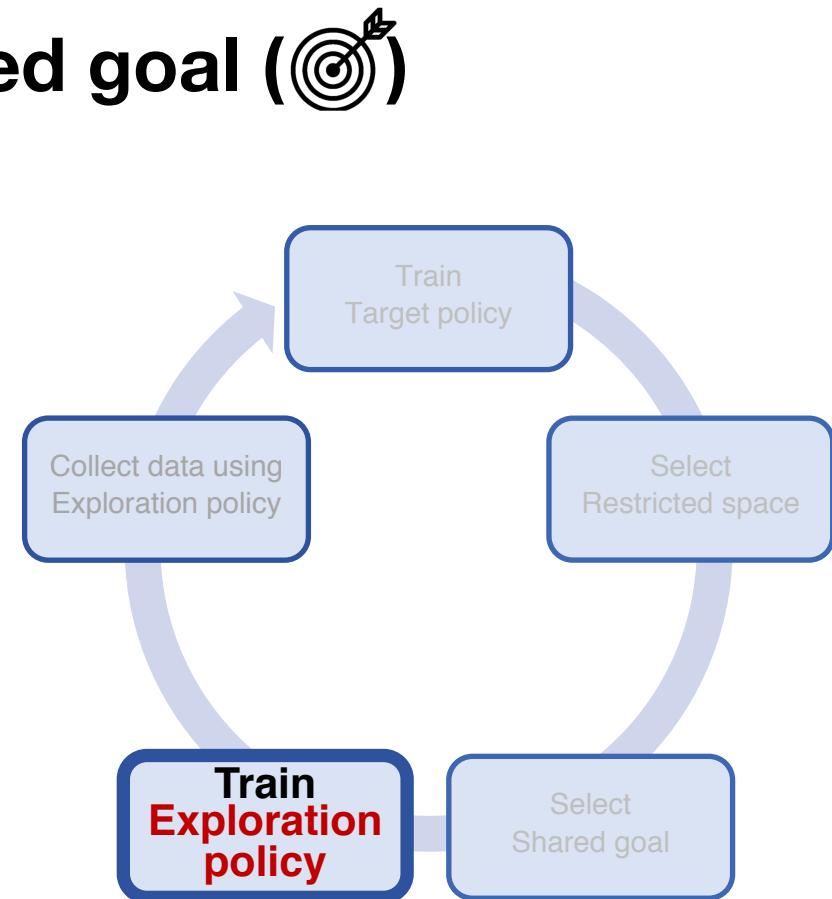
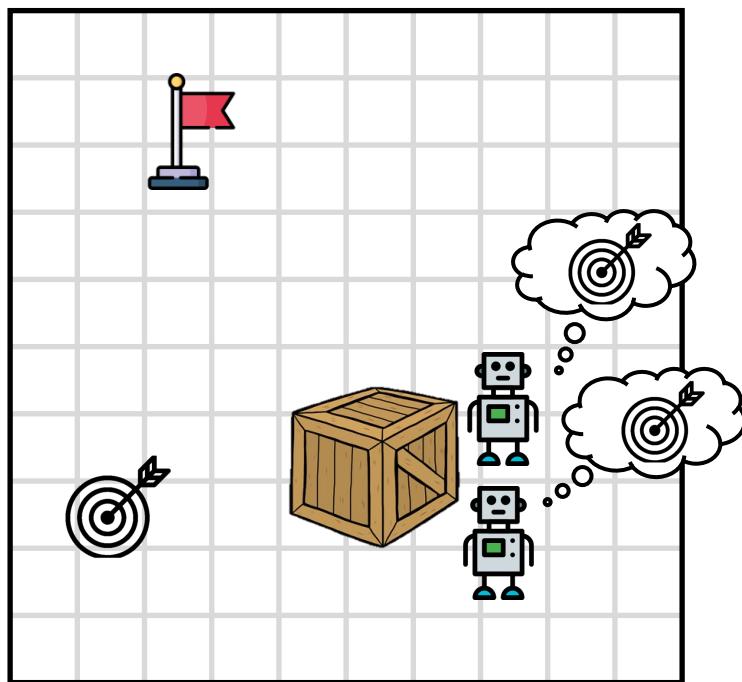


# Train Exploration Policy

**Exploration policy is trained to reach a selected goal (🎯)**

- Reshape reward in the replay buffer
- Positive reward when reaching a shared goal

**Example: push-box task**

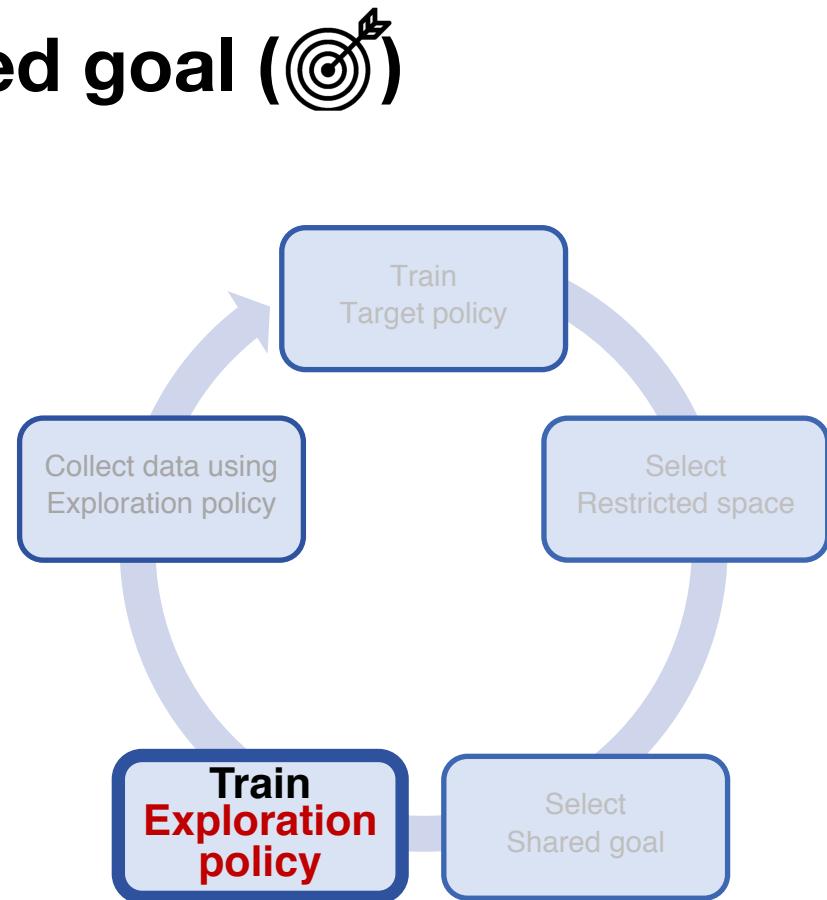
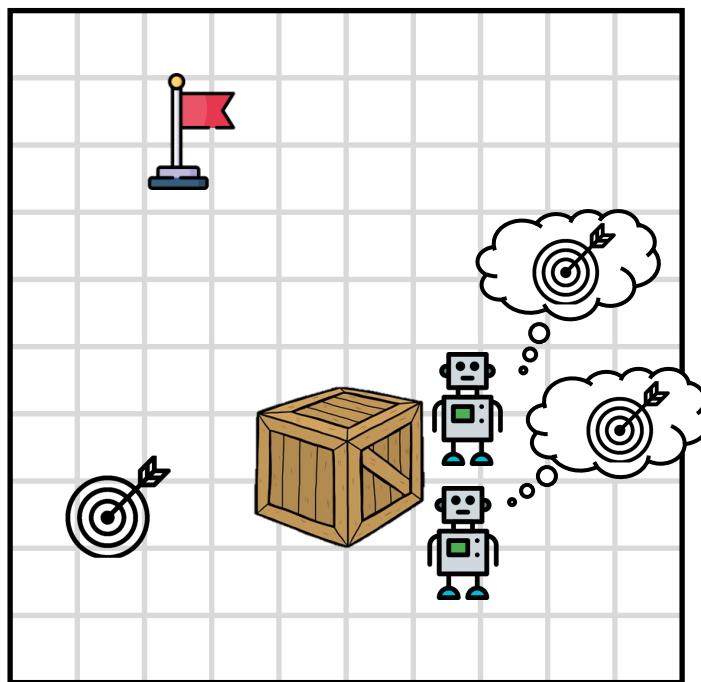


# Train Exploration Policy

**Exploration policy is trained to reach a selected goal (🎯)**

- Reshape reward in the replay buffer
- Positive reward when reaching a shared goal

**Example: push-box task**

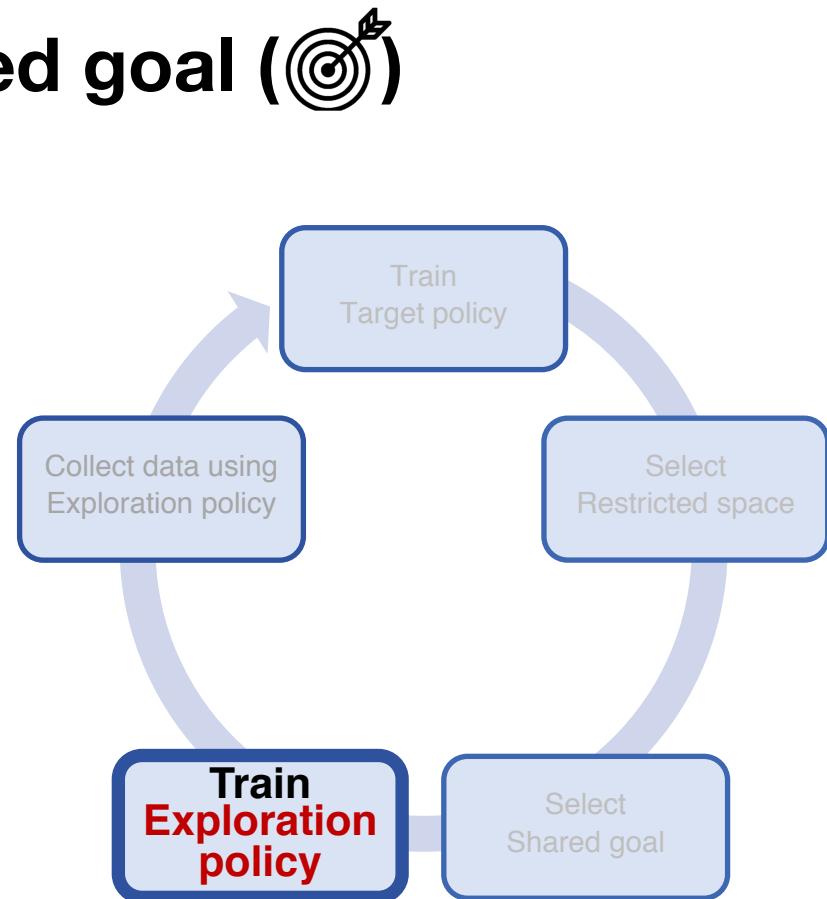
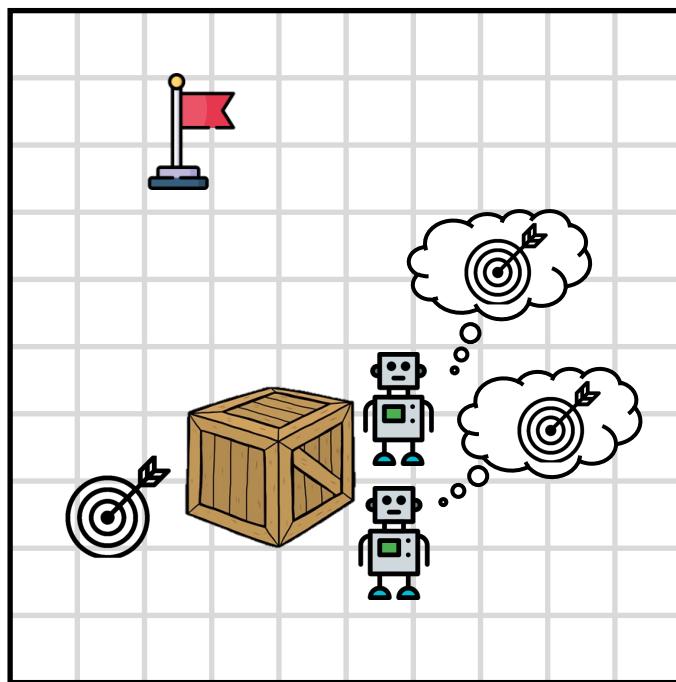


# Train Exploration Policy

**Exploration policy is trained to reach a selected goal (🎯)**

- Reshape reward in the replay buffer
- Positive reward when reaching a shared goal

**Example: push-box task**

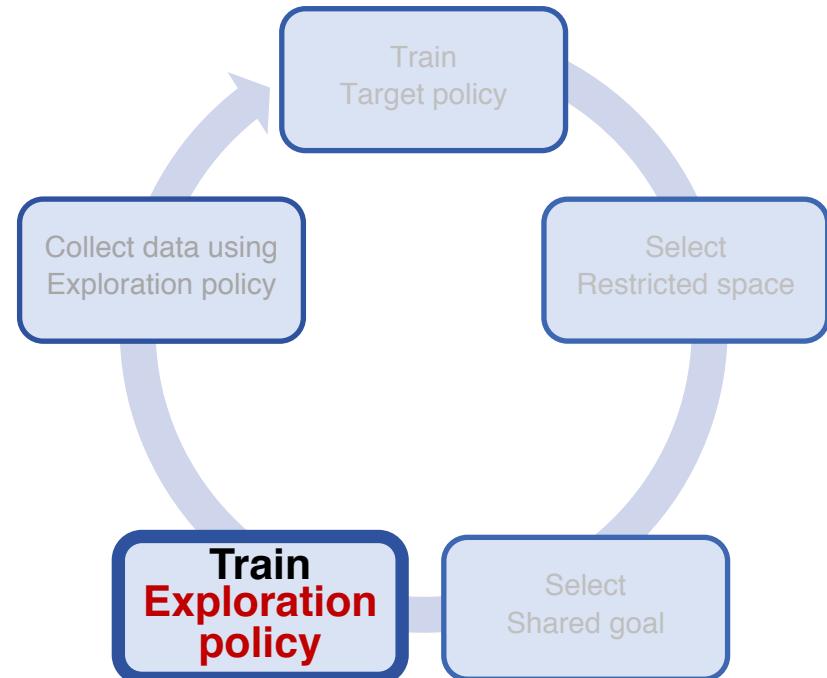
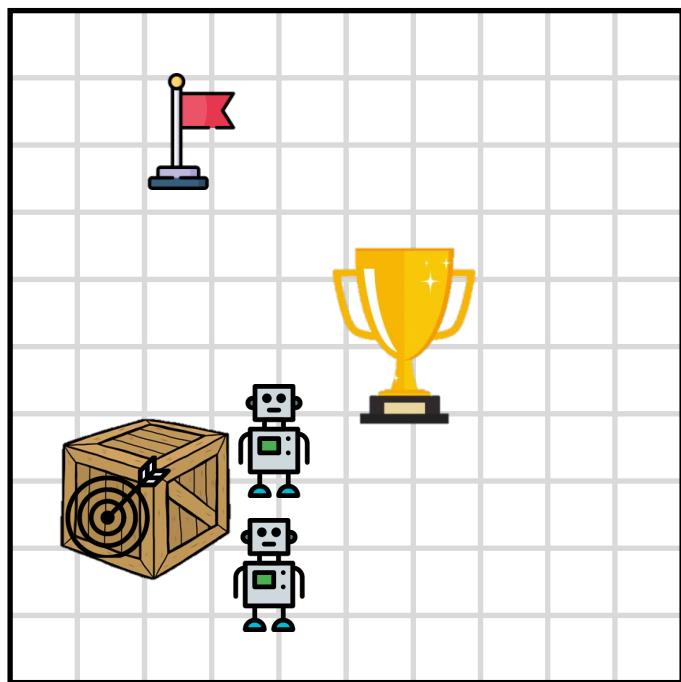


# Train Exploration Policy

**Exploration policy is trained to reach a selected goal (🎯)**

- Reshape reward in the replay buffer
- Positive reward when reaching a shared goal

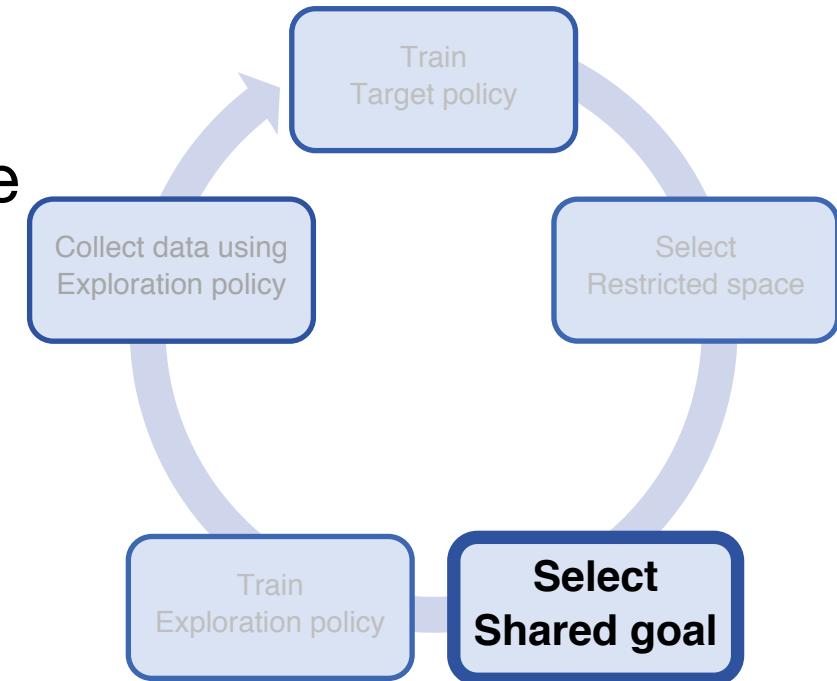
**Example: push-box task**



# Select Shared Goal

## How to select a shared goal?

- Select a rarely visited state as shared goal
- Count in low-dimensional restricted space
- Avoid selecting goal from full state space, whose size grows exponentially



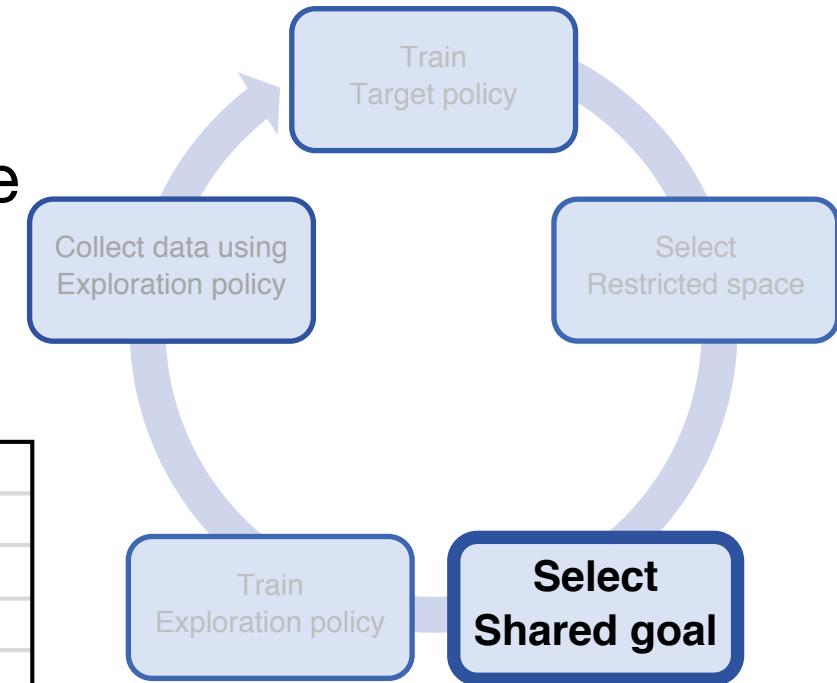
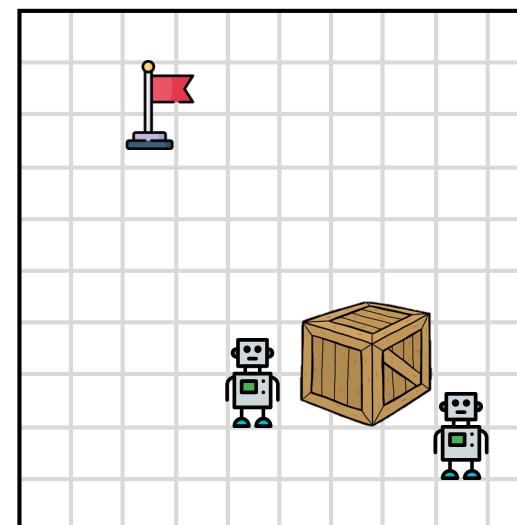
# Select Shared Goal

## How to select a shared goal?

- Select a rarely visited state as shared goal
- Count in low-dimensional restricted space
- Avoid selecting goal from full state space, whose size grows exponentially

## Example: 2-agent push-box

- $S_{\{\text{box}_x, \text{box}_y\}}$  contains box  $x, y$
- Shared goal is a state with box in a rarely seen location



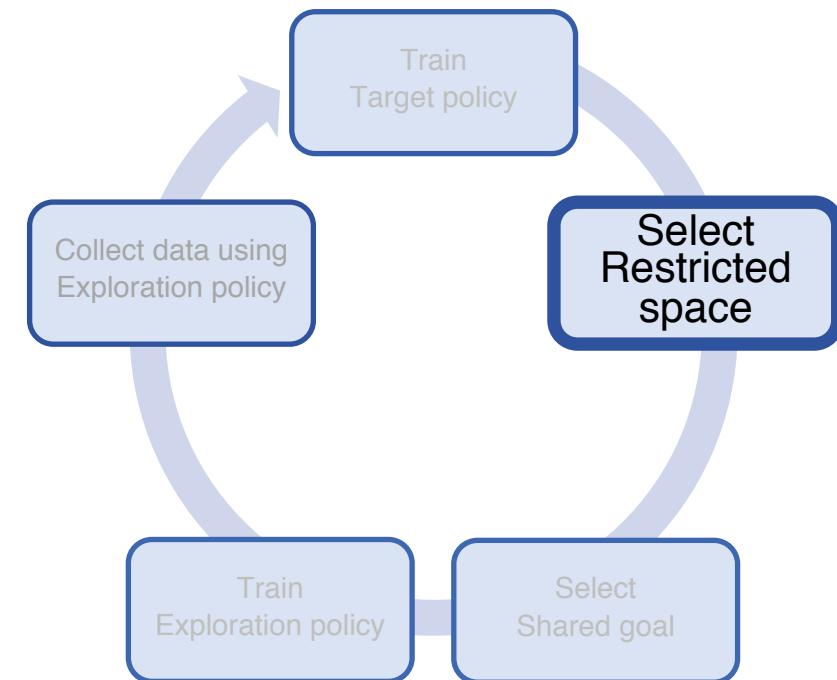
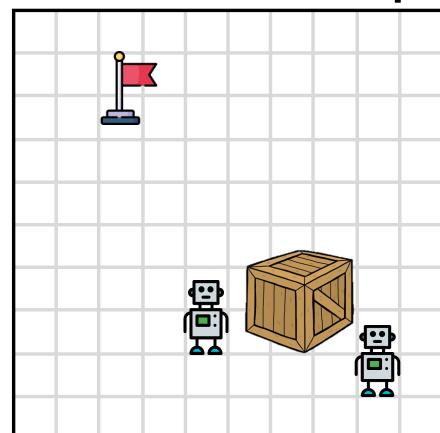
# Select Restricted Space

## Restricted Space

- Reward function typically depends on a low-dimensional subspace of the state space

### Example: N-agent push-box task in $L \times L$ grid

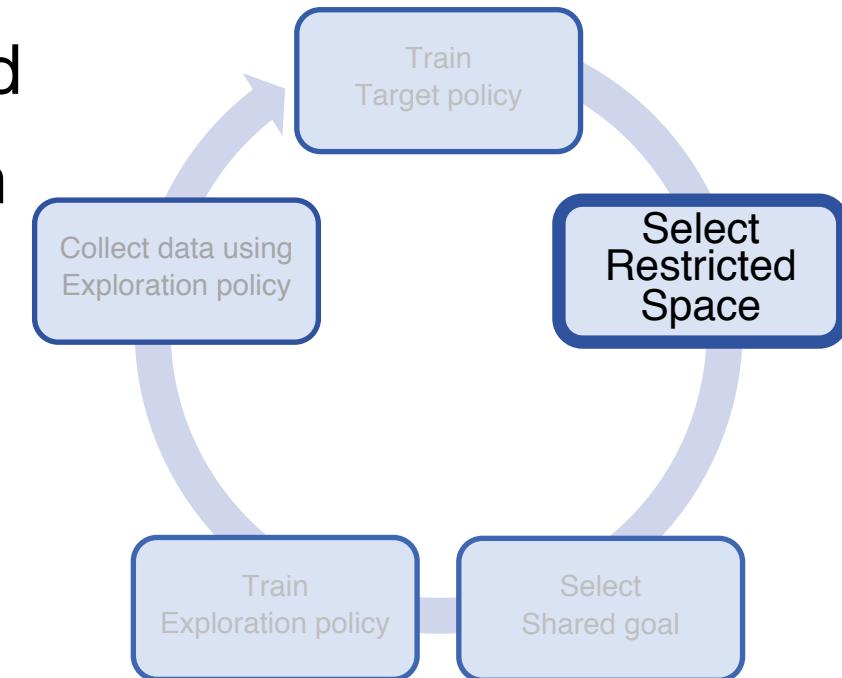
- Size of state space:  $(L^2)^{1+N}$
- Reward function depends only on the box location, whose state space size is  $L^2$



# Select Restricted Space

## How to find an under-explored restricted space?

- Each restricted space  $S_k$  has a counter  $c_k$
- $c_k$  tracks the number of times a state was visited
- Use  $c_k$  to compute distribution of state visitation
- Under-explored restricted space has smaller entropy

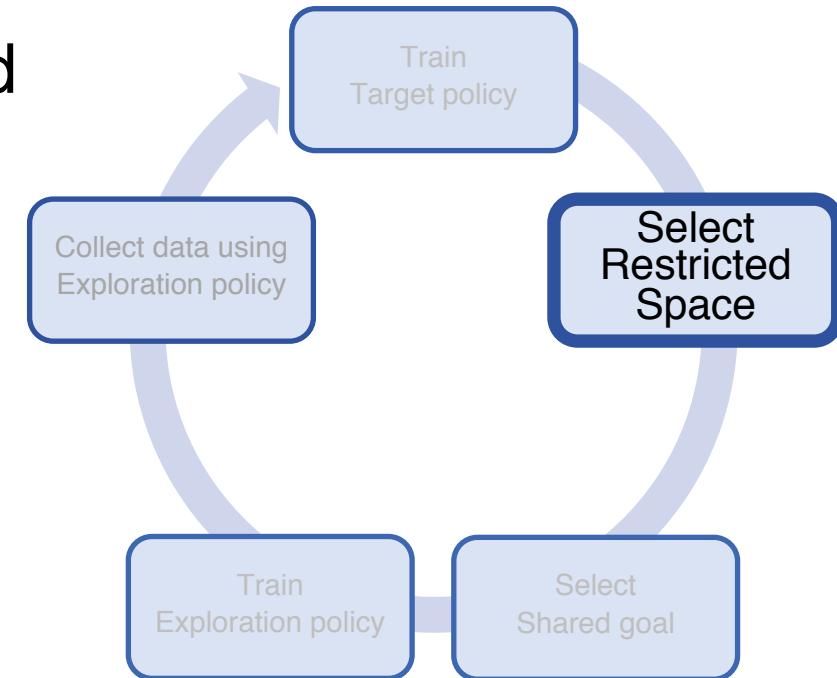
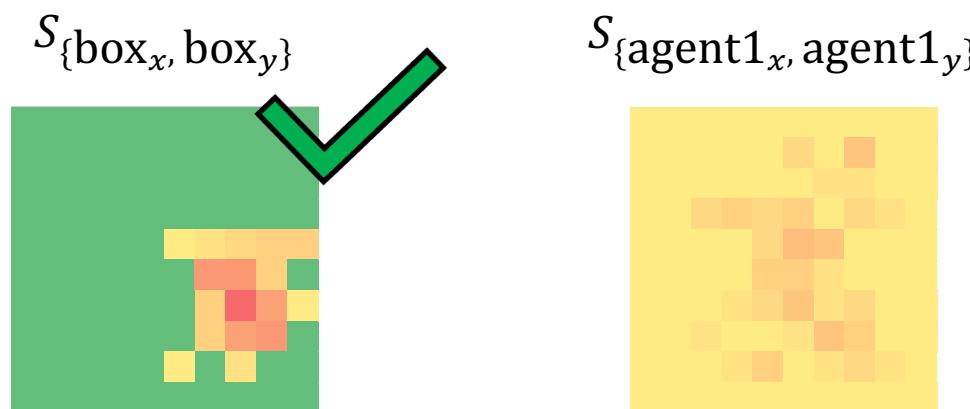


# Select Restricted Space

## How to find an under-explored restricted space?

- Each restricted space  $S_k$  has a counter  $c_k$
- $c_k$  tracks the number of times a state was visited
- Use  $c_k$  to compute distribution of state visitation
- Under-explored restricted space has smaller entropy

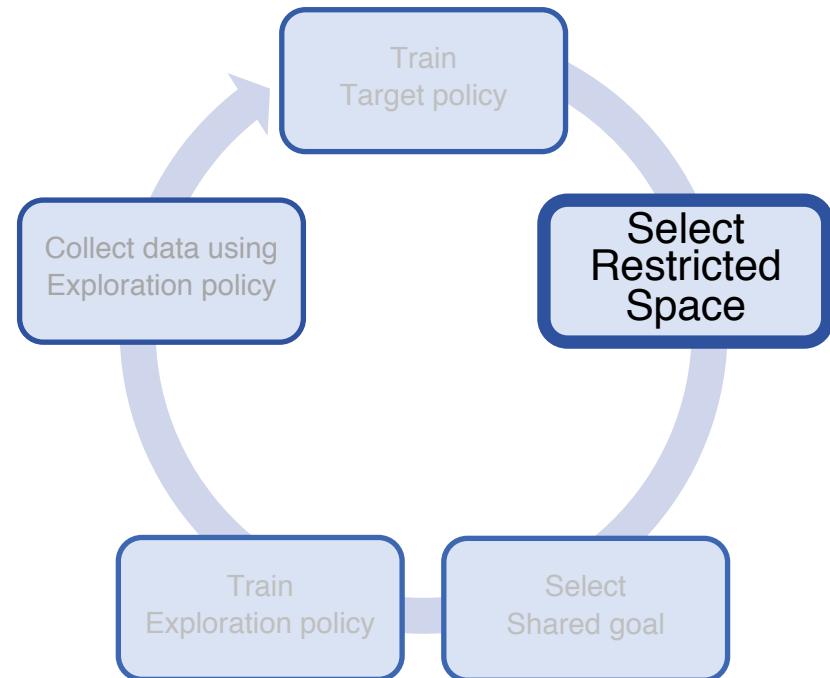
## Example: 2-agent push-box



# Select Restricted Space

## Space Tree

- Each node represents a restricted space
- Space tree is initialized with 1-dimensional restricted spaces



# Select Restricted Space

## Space Tree

- Each node represents a restricted space
- Space tree is initialized with 1-dimensional restricted spaces

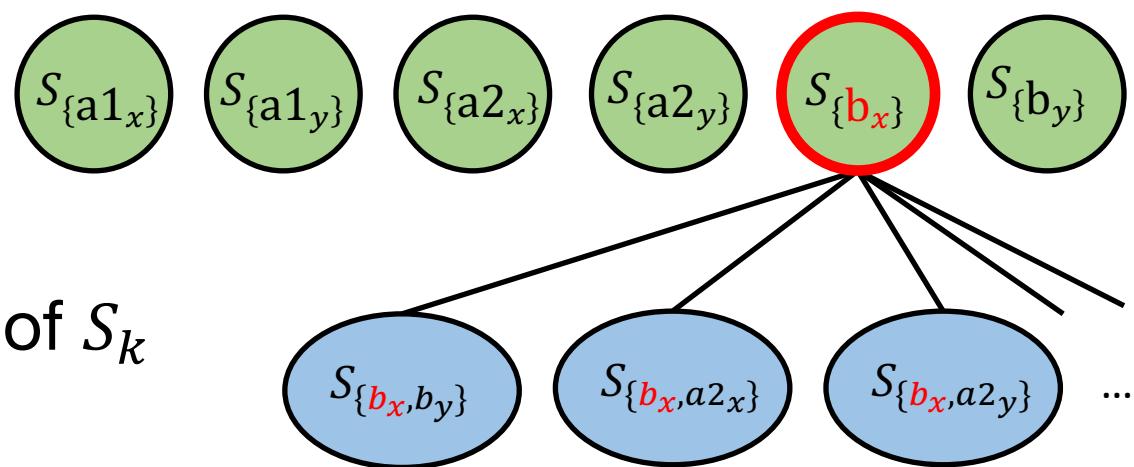
## Space Tree Expansion

- Utility  $\mu_k$ : negative normalized entropy of  $S_k$
- Select restricted space  $S_k$  with high  $\mu_k$
- Add all restricted spaces of  $(|k| + 1)$ -dimension which contain  $S_k$  as a subset

# Select Restricted Space

## Space Tree

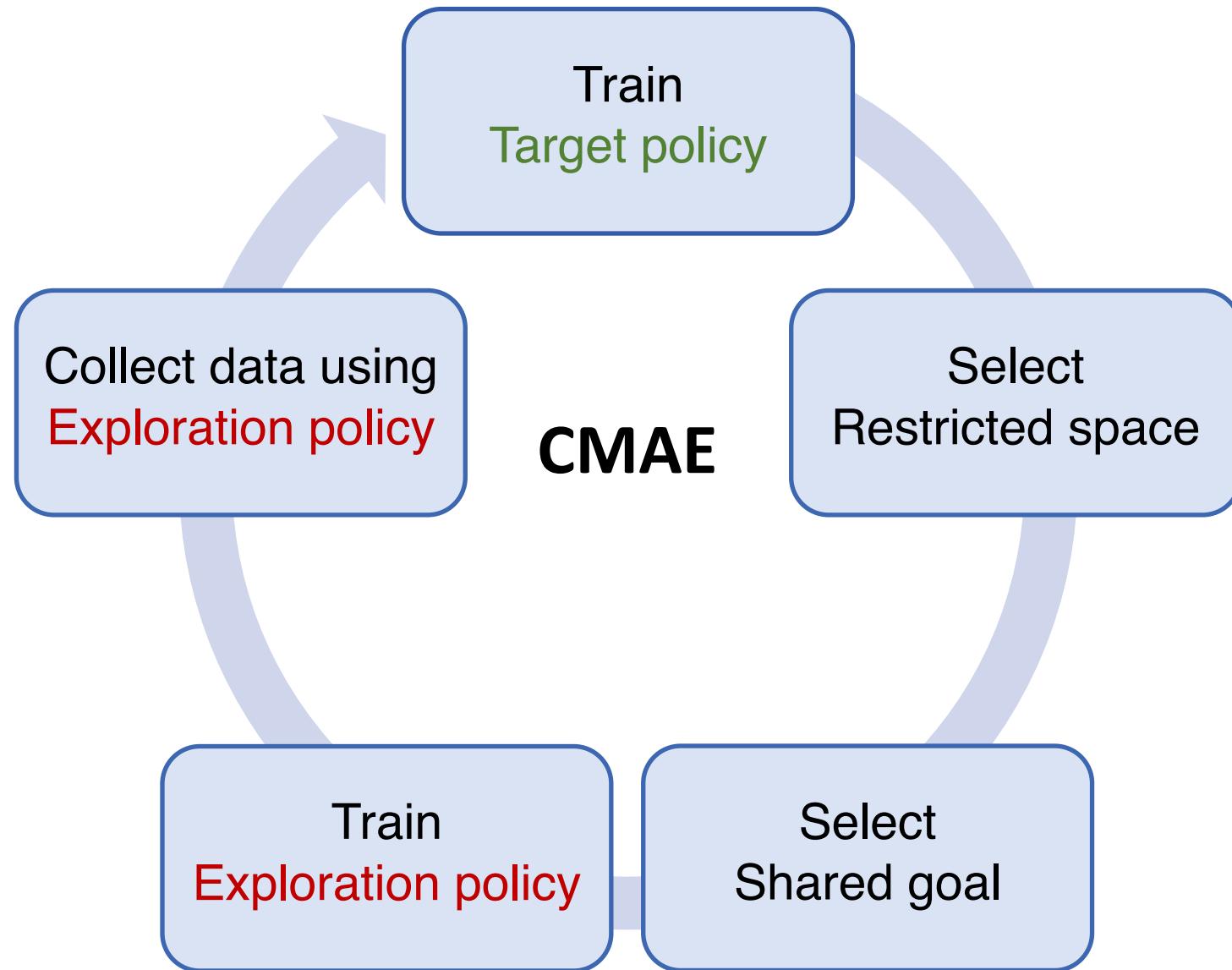
- Each node represents a restricted space
- Space tree is initialized with 1-dimensional restricted spaces



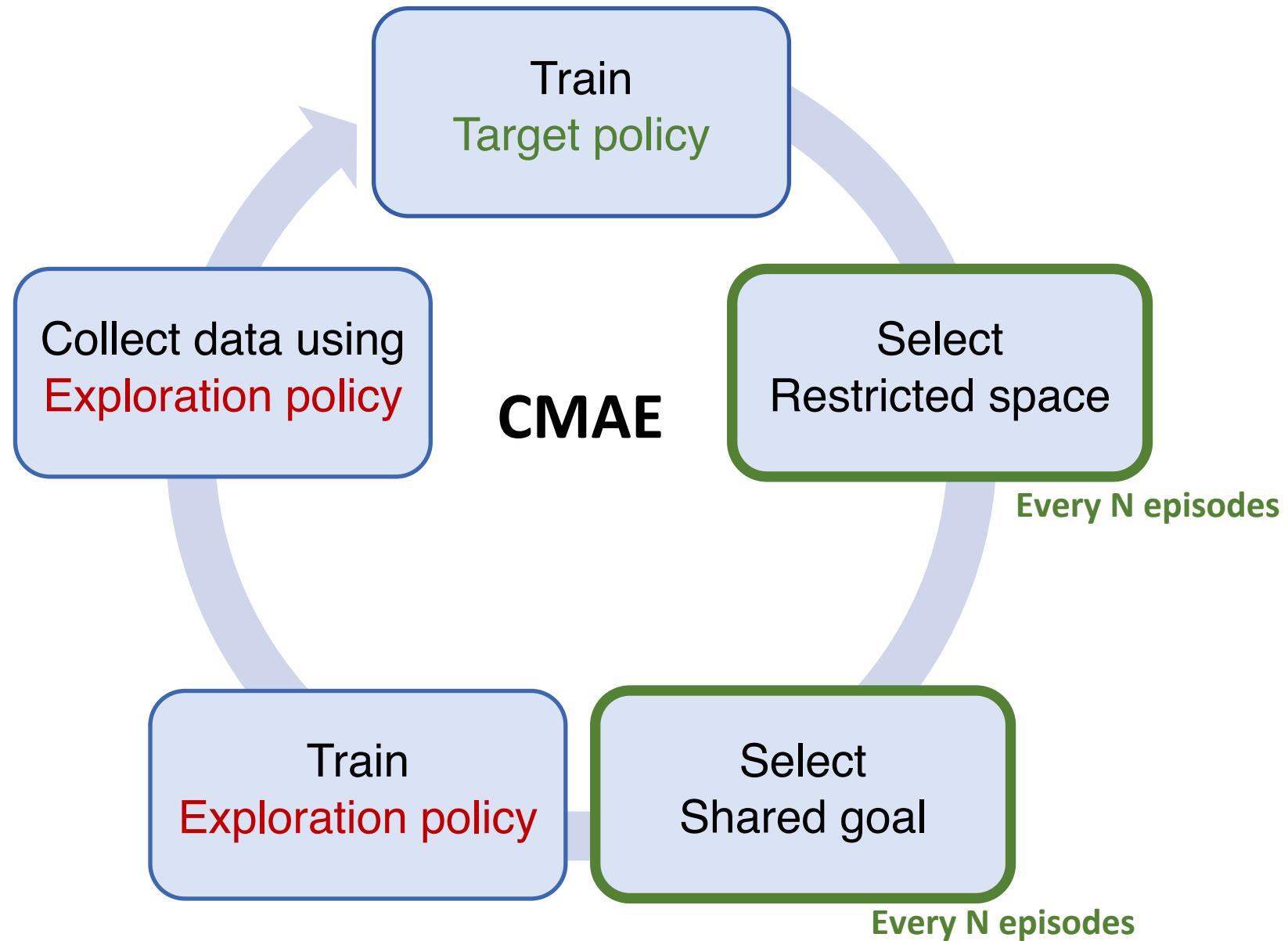
## Space Tree Expansion

- Utility  $\mu_k$ : negative normalized entropy of  $S_k$
- Select restricted space  $S_k$  with high  $\mu_k$
- Add all restricted spaces of  $(|k| + 1)$ -dimension which contain  $S_k$  as a subset

# Summary



# Summary



# Experimental Results

## Multi-agent grid world tasks

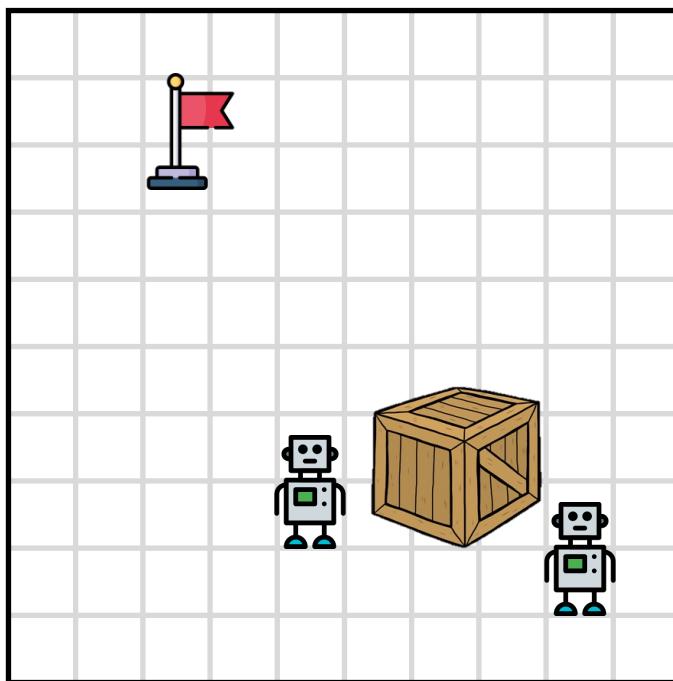
- Push-Box
- Pass
- Secret-Room

## Sparse-reward StarCraft II multi-agent challenge (SMAC)

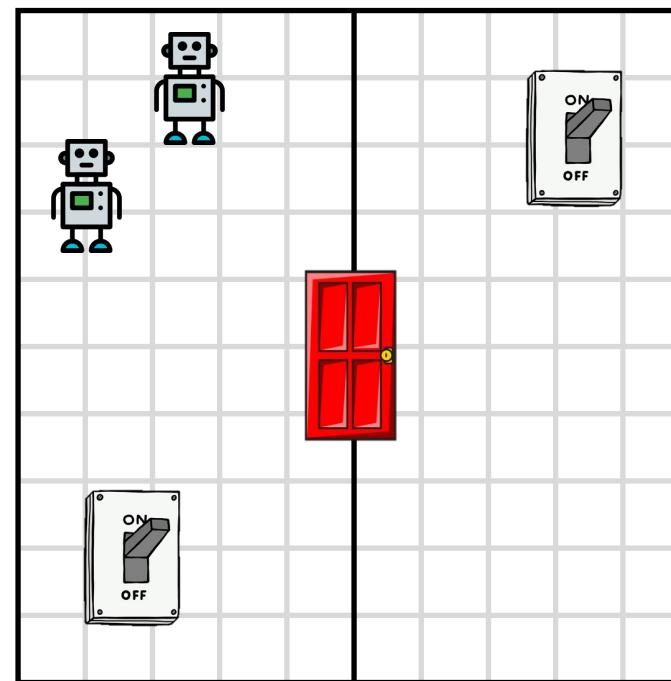
- 3m
- 2m vs. 1z
- 3m vs. 5z

# Multi-Agent Grid World Tasks

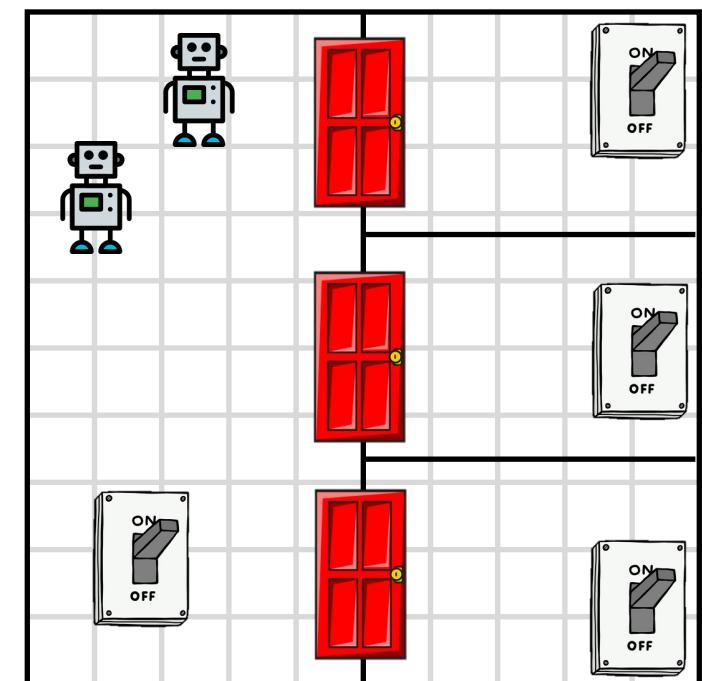
Push-Box



Pass

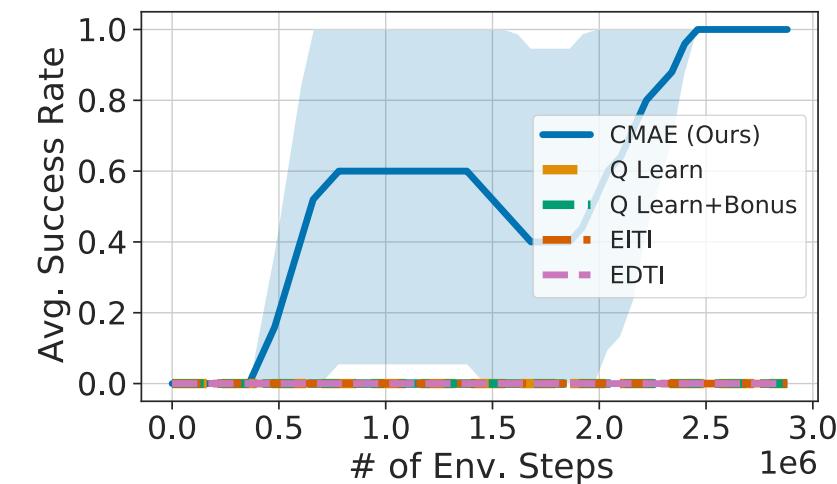


Secret-Room

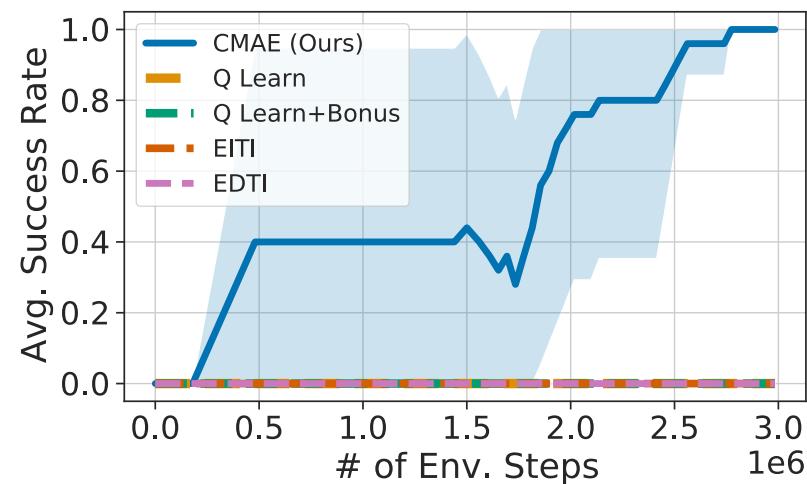


# Results

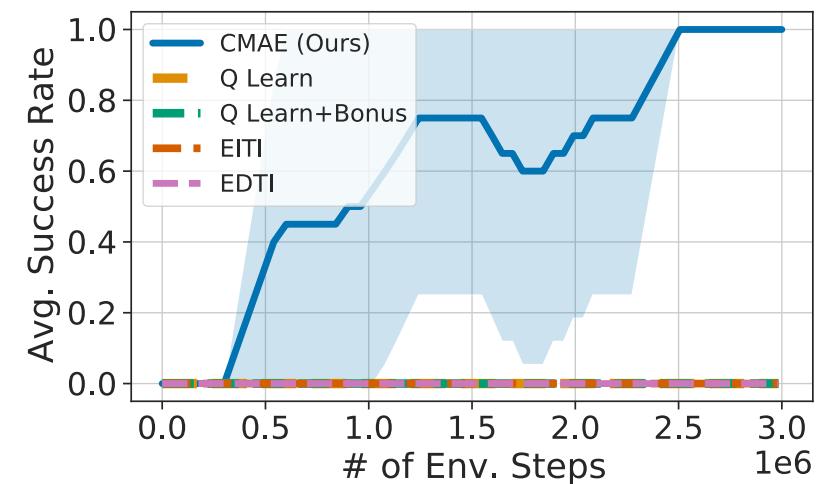
Push-Box (Sparse Reward)



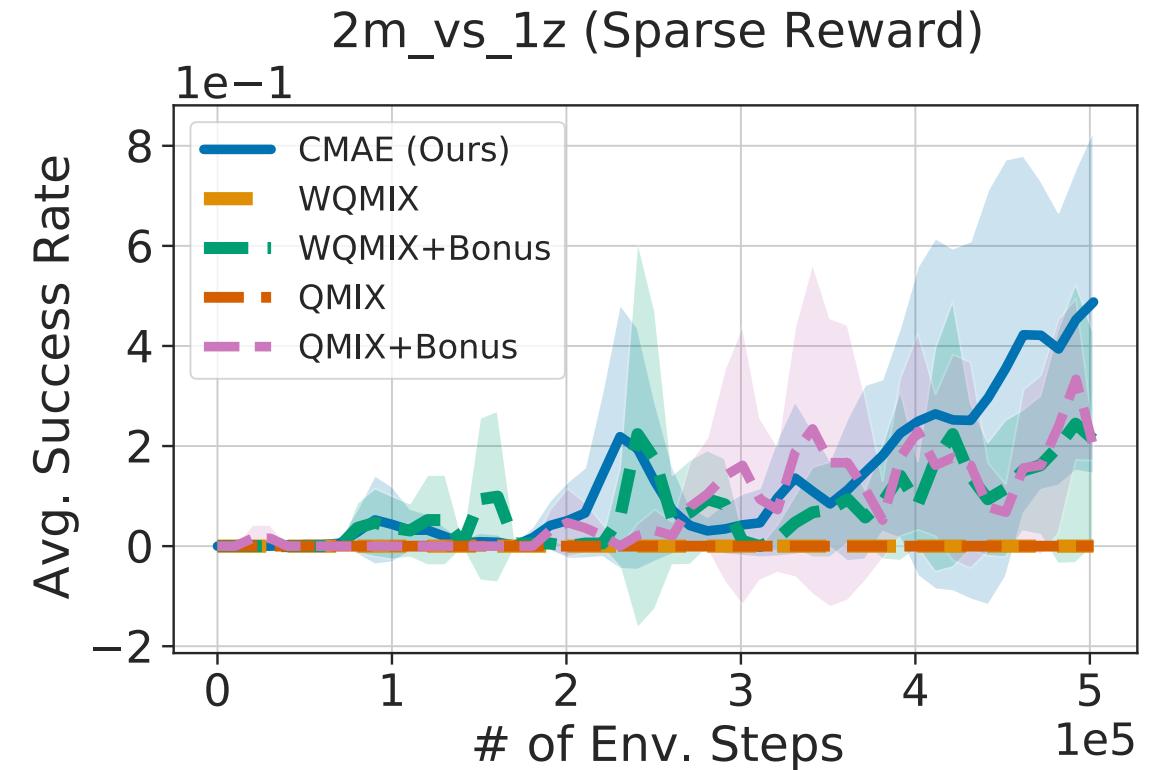
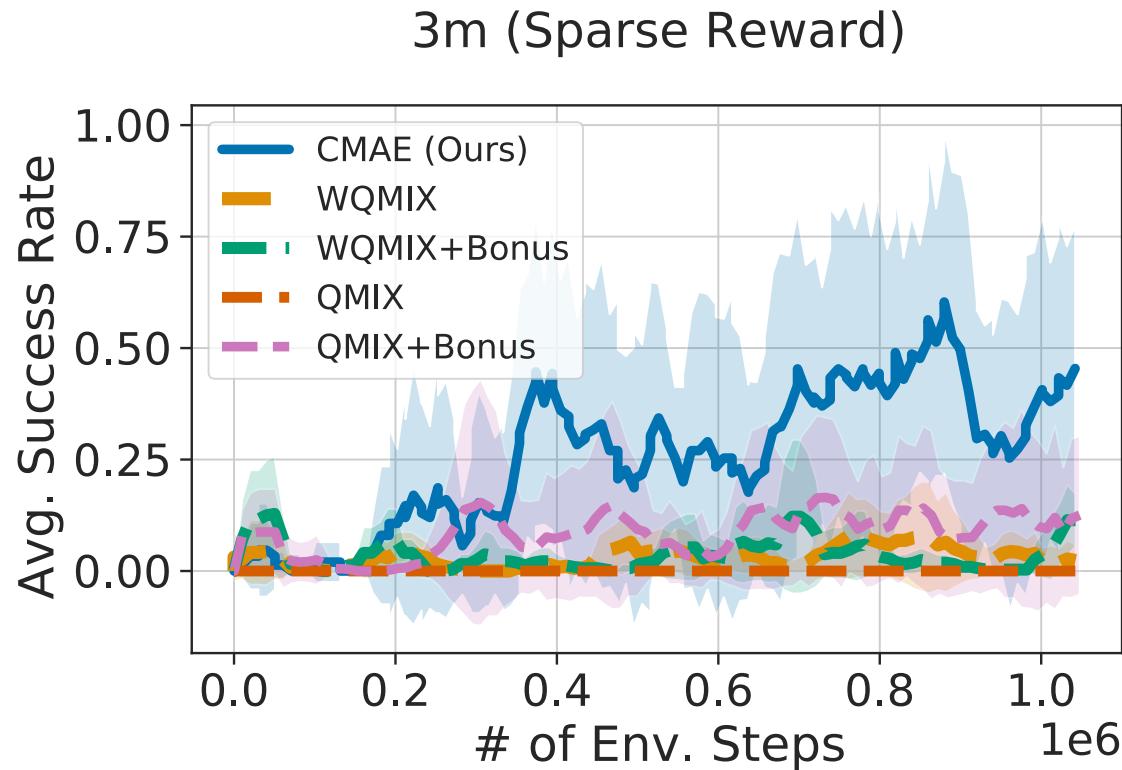
Pass (Sparse Reward)



Secret-Room (Sparse Reward)



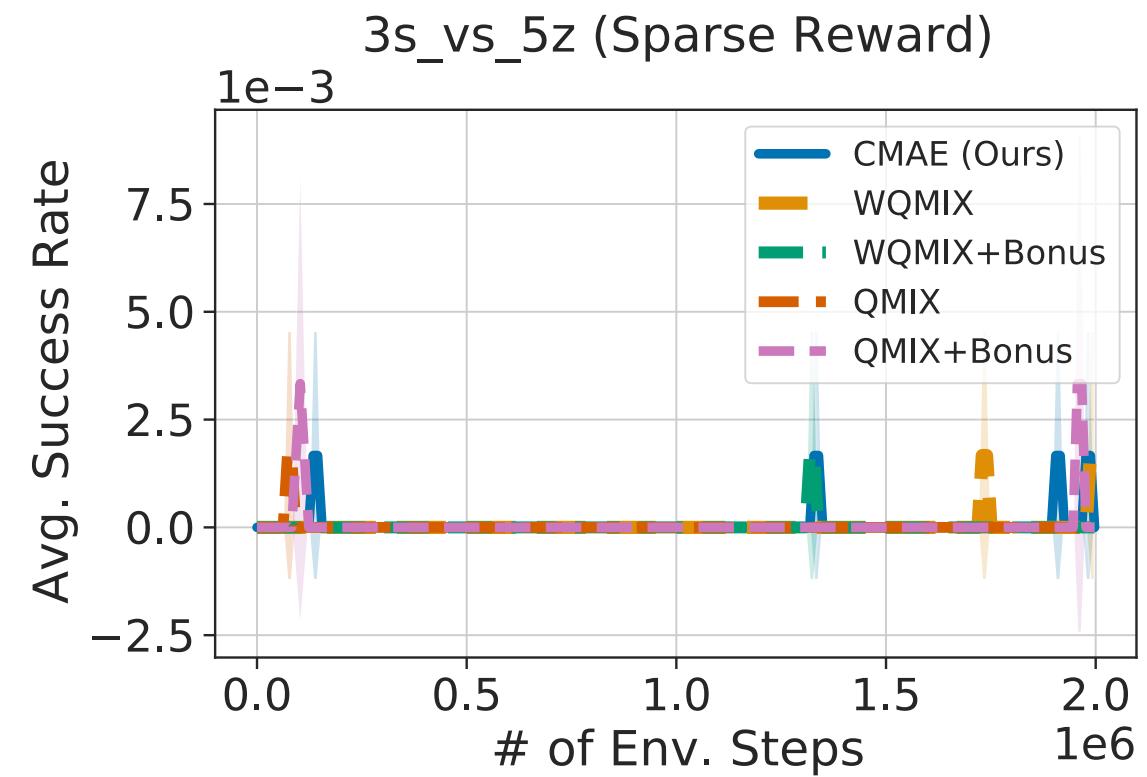
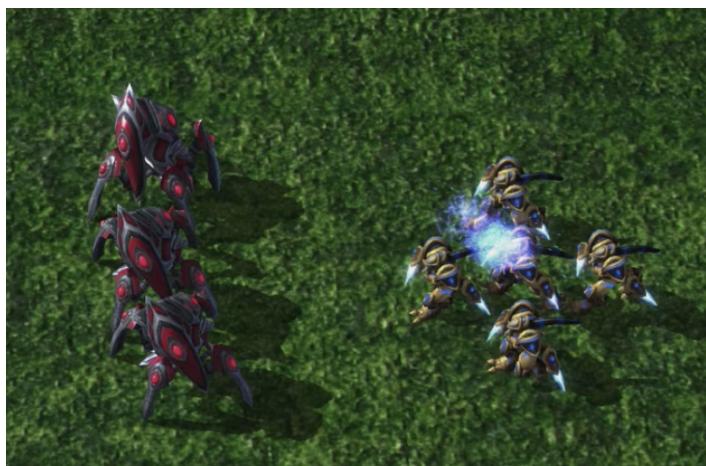
# SMAC Results



# Limitations

## Sparse 3s\_vs\_5z

- Winning strategy: force the enemies to scatter around the environment and attend to them one by one
- Extremely difficult without hand-crafted dense reward



## Cooperative Multi-Agent Exploration (CMAE)

- Learns coordinated exploration policies via shared goals
- First explores low-dimensional restricted spaces
- Outperforms baselines on sparse-reward tasks

Please see us at the poster session for more details!



<https://ioujenliu.github.io/CMAE>