Report

General Params

```
TRAIN_BATCH_SIZE = 256

VALID_BATCH_SIZE = 64

TEST_BATCH_SIZE = 32
```

Task 1: Bidirectional LSTM model

• Model Architecture:

- BiLSTM model is instantiated with the following hyperparameters:
 - vocab_size: Size of the vocabulary (determined by the size of the word index ~8.2K).
 - embedding_dim: Dimension of the input features (set to 100).
 - hidden_size: Number of units in the hidden layers (set to 256).
 - output_size: Size of the output from the LSTM layer (set to 128).
 - num_layers: Number of recurrent layers (set to 1).
 - dropout val: Dropout probability (set to 0.33).
 - num_tags: Number of output classes (NER tags is 9).

• Loss Function and Optimizer:

- o CrossEntropyLoss is used as the loss function, with special tokens ignored during computation.
- AdamW optimizer is employed with a learning rate of 0.001.
- 1. What are the precision, recall, and F1 score on the validation data?

```
processed 51362 tokens with 5942 phrases; found: 5609 phrases; correct: 4468.
accuracy: 77.97%; (non-0)
accuracy: 95.55%; precision: 79.66%; recall: 75.19%; FB1: 77.36

LOC: precision: 87.41%; recall: 83.94%; FB1: 85.64 1764

MISC: precision: 74.81%; recall: 74.73%; FB1: 74.77 921

ORG: precision: 73.18%; recall: 67.34%; FB1: 70.14 1234

PER: precision: 78.93%; recall: 72.42%; FB1: 75.54 1690

Precision: 79.66, Recall: 75.19, F1 Score: 77.36
```

2. What are the precision, recall, and F1 score on the test data?

```
processed 46435 tokens with 5648 phrases; found: 5274 phrases; correct: 3732.

accuracy: 70.46%; (non-0)

accuracy: 93.39%; precision: 70.76%; recall: 66.08%; FB1: 68.34

LOC: precision: 81.46%; recall: 76.68%; FB1: 79.00 1570

MISC: precision: 61.89%; recall: 63.39%; FB1: 62.63 719

ORG: precision: 65.31%; recall: 59.96%; FB1: 62.52 1525

PER: precision: 69.32%; recall: 62.59%; FB1: 65.78 1460

Precision: 70.76, Recall: 66.08, F1 Score: 68.34
```

Task 2: Using GloVe word embeddings

Model Architecture:

- $\circ~$ BiLSTM model is instantiated with the following hyperparameters:
 - vocab_size: Size of the vocabulary (determined by the size of the GloVe embeddings ~400K).
 - embedding_dim: Dimension of the input features (set to 100, matching the GloVe embeddings).
 - hidden_size: Number of units in the hidden layers (set to 256).
 - output_size: Size of the output from the LSTM layer (set to 128).
 - num_layers: Number of recurrent layers (set to 1).
 - dropout_val: Dropout probability (set to 0.33).
 - num_tags: Number of output classes (NER tags is 9).

GloVe Embeddings:

• The pre-trained GloVe embeddings (glove_embedding_matrix) are used for initializing the embedding layer of the model.

Loss Function and Optimizer:

- CrossEntropyLoss is used as the loss function, with special tokens ignored during computation.
- AdamW optimizer is employed with a learning rate of 0.001.
- 1. What is the precision, recall, and F1 score on the validation data?

```
processed 51362 tokens with 5942 phrases; found: 5939 phrases; correct: 5201.
accuracy: 87.32%; (non-0)
accuracy: 97.41%; precision: 87.57%; recall: 87.53%; FB1: 87.55

LOC: precision: 90.43%; recall: 93.14%; FB1: 91.77 1892

MISC: precision: 81.57%; recall: 77.77%; FB1: 79.62 879

ORG: precision: 79.33%; recall: 77.85%; FB1: 78.58 1316

PER: precision: 93.36%; recall: 93.87%; FB1: 93.61 1852

Precision: 87.57, Recall: 87.53, F1 Score: 87.55
```

2. What are the precision, recall, and F1 score on the test data? $\label{eq:condition}$

- 3. BiLSTM with Glove Embeddings outperforms the model without. Can you provide a rationale for this?
 - **Semantic Information:** GloVe embeddings capture semantic relationships, enhancing the model's understanding of word context and meaning.
 - Generalization: Pre-trained on diverse corpora, GloVe enables better generalization across various domains compared to models without embeddings.
 - **Reduced Dimensionality:** Lower-dimensional GloVe embeddings provide more expressive word representations, aiding generalization and relationship capture.
 - Transfer Learning Effect: GloVe acts as a form of transfer learning, leveraging pre-trained embeddings for downstream tasks.
 - Improved Initialization: GloVe embeddings serve as better initializations for model parameters, aiding convergence during training.
 - Handling OOV Words: GloVe effectively handles out-of-vocabulary words, contributing to improved model performance. It also has a lrage vocabulary.
 - · Knowledge Transfer: GloVe embeddings transfer knowledge from a large corpus, benefiting tasks with limited labeled data.
 - Overall Performance: The combined effect results in superior precision, recall, and F1 scores in both validation and test datasets for the BiLSTM model with GloVe embeddings.

Bonus: The Transformer Encoder

• Model Architecture:

- The model is an instance of the TransformerNER class, representing a Transformer encoder for sequence tagging tasks.
- Hyperparameters:
 - vocab_size: Size of the vocabulary (determined by the size of the word index ~8.2K).
 - embedding_dim: Dimension of the input embeddings (set to 128).
 - num_attention_heads: Number of attention heads in the multi-head self-attention mechanism (set to 8).
 - num_encoder_layers: Number of transformer encoder layers (set to 6).
 - num_classes: Number of output classes (NER tags).
 - max_len: Maximum sequence length (set to 128).

• Loss Function and Optimizer:

- o CrossEntropyLoss is used as the loss function, with special tokens ignored during computation.
- o AdamW optimizer is employed with a learning rate of 0.0001, betas=(0.9, 0.98), and epsilon (eps) set to 1e-9.
- 1. What is the precision, recall, and F1 score on the validation data?

2. What are the precision, recall, and F1 score on the test data?

```
processed 46435 tokens with 5648 phrases; found: 4029 phrases; correct: 2242.
accuracy: 41.62%; (non-0)
accuracy: 89.46%; precision: 55.65%; recall: 39.70%; FB1: 46.34

LOC: precision: 73.55%; recall: 65.53%; FB1: 69.31 1486

MISC: precision: 61.25%; recall: 57.41%; FB1: 59.26 658

ORG: precision: 49.25%; recall: 33.71%; FB1: 40.03 1137

PER: precision: 24.87%; recall: 11.50%; FB1: 15.73 748

Precision: 55.65, Recall: 39.70, F1 Score: 46.34
```

- 3. What is the reason behind the poor performance of the transformer?
 - Attention Mechanism Limitations: The attention mechanism in transformers may struggle to capture long-range dependencies in sequential data, impacting its ability to understand context over extensive distances.
 - Sequential Information Handling: Transformers process sequences in parallel, potentially losing sequential information crucial for NER tasks.
 - **Fixed Context Window:** Despite attention mechanisms, transformers often rely on a fixed context window, limiting their ability to capture context beyond a certain range.
 - Model Complexity: The complexity of transformer models might lead to overfitting, especially when dealing with limited labeled data.
 - **Hyperparameter Tuning:** Transformers are sensitive to hyperparameter choices, and fine-tuning them for specific NER tasks is crucial.
 - Lack of Pre-training: Unlike GloVe embeddings, transformers may not have been pre-trained on a task-specific corpus, affecting their ability to capture domain-specific nuances.
 - o **Token-Level Information:** Transformers treat tokens individually, potentially struggling with entity boundaries and relationships.
 - **Overall Complexity:** The transformer's intricate architecture and self-attention mechanism might not align optimally with the characteristics of Named Entity Recognition tasks.
 - Need for Task-Specific Adaptation: Transformers may require more task-specific adaptations or modifications to excel in Named Entity Recognition compared to simpler architectures like BiLSTM.

Dependencies

▼ Installation

```
1 !pip install datasets accelerate
2 !pip install ipython-autotime
```

```
Requirement already satisfied: fsspec[http]<=2023.10.0,>=2023.1.0 in /usr/local/lib/python3.10/dist-packages (from datasets) (2023.6.0)
Requirement already satisfied: aiohttp in /usr/local/lib/python3.10/dist-packages (from datasets) (3.8.6)
Collecting huggingface-hub<1.0.0,>=0.14.0 (from datasets)
  Downloading huggingface_hub-0.19.0-py3-none-any.whl (311 kB)
                                                  - 311.2/311.2 kB 41.3 MB/s eta 0:00:00
Requirement already satisfied: packaging in /usr/local/lib/python3.10/dist-packages (from datasets) (23.2)
Requirement already satisfied: pyyaml>=5.1 in /usr/local/lib/python3.10/dist-packages (from datasets) (6.0.1)
Requirement already satisfied: psutil in /usr/local/lib/python3.10/dist-packages (from accelerate) (5.9.5)
Requirement already satisfied: torch>=1.10.0 in /usr/local/lib/python3.10/dist-packages (from accelerate) (2.1.0+cu118)
Requirement already satisfied: attrs>=17.3.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (23.1.0)
Requirement already satisfied: charset-normalizer<4.0,>=2.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (3.3.2)
Requirement already satisfied: multidict<7.0,>=4.5 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (6.0.4)
Requirement already satisfied: async-timeout<5.0,>=4.0.0a3 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (4.0.3)
Requirement already satisfied: yarl<2.0,>=1.0 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (1.9.2)
Requirement already satisfied: frozenlist>=1.1.1 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (1.4.0)
Requirement already satisfied: aiosignal>=1.1.2 in /usr/local/lib/python3.10/dist-packages (from aiohttp->datasets) (1.3.1)
Requirement already satisfied: filelock in /usr/local/lib/python3.10/dist-packages (from huggingface-hub<1.0.0,>=0.14.0->datasets) (3.13.1)
Requirement already satisfied: typing-extensions>=3.7.4.3 in /usr/local/lib/python3.10/dist-packages (from huggingface-hub<1.0.0,>=0.14.0->datasets) (4.5.0)
Requirement already satisfied: idna<4,>=2.5 in /usr/local/lib/python3.10/dist-packages (from requests>=2.19.0->datasets) (3.4)
Requirement already satisfied: urllib3<3,>=1.21.1 in /usr/local/lib/python3.10/dist-packages (from requests>=2.19.0->datasets) (2.0.7)
Requirement already satisfied: certifi>=2017.4.17 in /usr/local/lib/python3.10/dist-packages (from requests>=2.19.0->datasets) (2023.7.22) Requirement already satisfied: sympy in /usr/local/lib/python3.10/dist-packages (from torch>=1.10.0->accelerate) (1.12)
Requirement already satisfied: networkx in /usr/local/lib/python3.10/dist-packages (from torch>=1.10.0->accelerate) (3.2.1)
Requirement already satisfied: jinja2 in /usr/local/lib/python3.10/dist-packages (from torch>=1.10.0->accelerate) (3.1.2)
Requirement already satisfied: triton==2.1.0 in /usr/local/lib/python3.10/dist-packages (from torch>=1.10.0->accelerate) (2.1.0)
Requirement already satisfied: python-dateutil>=2.8.1 in /usr/local/lib/python3.10/dist-packages (from pandas->datasets) (2.8.2)
Requirement already satisfied: pytz>=2020.1 in /usr/local/lib/python3.10/dist-packages (from pandas->datasets) (2023.3.post1)
Requirement already satisfied: six>=1.5 in /usr/local/lib/python3.10/dist-packages (from python-dateutil>=2.8.1->pandas->datasets) (1.16.0)
Requirement already satisfied: MarkupSafe>=2.0 in /usr/local/lib/python3.10/dist-packages (from jinja2->torch>=1.10.0->accelerate) (2.1.3)
Requirement already satisfied: mpmath>=0.19 in /usr/local/lib/python3.10/dist-packages (from sympy->torch>=1.10.0->accelerate) (1.3.0) Installing collected packages: dill, multiprocess, huggingface-hub, accelerate, datasets
Successfully installed accelerate-0.24.1 datasets-2.14.6 dill-0.3.7 huggingface-hub-0.19.0 multiprocess-0.70.15
Collecting ipython-autotime
  Downloading ipython_autotime-0.3.2-py2.py3-none-any.whl (7.0 kB)
Requirement already satisfied: ipython in /usr/local/lib/python3.10/dist-packages (from ipython-autotime) (7.34.0)
Requirement already satisfied: setuptools>=18.5 in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (67.7.2)
Collecting jedi>=0.16 (from ipython->ipython-autotime)
  Downloading jedi-0.19.1-py2.py3-none-any.whl (1.6 MB)
                                                   1.6/1.6 MB 9.4 MB/s eta 0:00:00
Requirement already satisfied: decorator in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (4.4.2) Requirement already satisfied: pickleshare in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (0.7.5)
Requirement already satisfied: traitlets>=4.2 in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (5.7.1)
Requirement already satisfied: prompt-toolkit!=3.0.0,!=3.0.1,<3.1.0,>=2.0.0 in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (3.0.39)
Requirement already satisfied: pygments in /usr/local/lib/python3.10/dist-packages (from ipython-autotime) (2.16.1)
Requirement already satisfied: backcall in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (0.2.0)
Requirement already satisfied: matplotlib-inline in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (0.1.6)
Requirement already satisfied: pexpect>4.3 in /usr/local/lib/python3.10/dist-packages (from ipython->ipython-autotime) (4.8.0)
Requirement already satisfied: parso<0.9.0,>=0.8.3 in /usr/local/lib/python3.10/dist-packages (from jedi>=0.16->ipython->ipython-autotime) (0.8.3)
Requirement already satisfied: ptyprocess>=0.5 in /usr/local/lib/python3.10/dist-packages (from pexpect>4.3->ipython->ipython-autotime) (0.7.0)

Requirement already satisfied: wcwidth in /usr/local/lib/python3.10/dist-packages (from prompt-toolkit!=3.0.0,!=3.0.1,<3.1.0,>=2.0.0->ipython->ipython-autotime) (0.2.9)
Installing collected packages: jedi, ipython-autotime
Successfully installed ipython-autotime-0.3.2 jedi-0.19.1
```

▼ Imports

```
1 import os
 2 import shutil
 3 from typing import List, Tuple, Dict
 5 import itertools
 6 from collections import Counter
 7 import math
9 from tqdm import tqdm
11 import warnings
12 warnings.filterwarnings("ignore")
14 import csv
15 import numpy as np
16 import pandas as pd
18 from sklearn.metrics import precision_score, recall_score, f1_score, accuracy_score
20 import torch
21 import torch.nn as nn
22 import torch.optim as optim
23 from torch.utils.data import Dataset, DataLoader
25 from datasets import load_dataset
26
27 from dataclasses import dataclass
28
29 %load_ext autotime
```

time: 366 μs (started: 2023-11-11 02:58:27 +00:00)

Config

This code snippet and configuration define several aspects related to the setup and configuration of a Named Entity Recognition (NER) task, likely using GloVe embeddings and the CoNLL 2003 dataset. Here's a brief overview:

1. CUDA Configuration:

os.environ["CUDA_LAUNCH_BLOCKING"] = "1": This environment variable configuration forces CUDA to synchronize with the CPU, potentially useful for debugging CUDA-related issues.

2. Working Directory:

 $\circ~$ The code attempts to set the current working directory to a specific path within Google Drive.

3. Path Configuration:

 $\circ \ \ \text{PathConfig} \ \ \text{Class: Defines paths, especially the path to the GloVe embedding file (glove.6B.100d.txt), using the \ \ \text{CURRENT_DIR}. \\$

4. Dataset Configuration:

- $\circ \quad {\tt DatasetConfig\ class: Contains\ configuration\ parameters\ for\ dataset\ processing\ and\ preprocessing\ for\ the\ CoNLL\ 2003\ dataset.}$
- o name: Specifies the dataset name.
- cols_to_drop: Lists columns to be dropped during processing.
- o rename_cols: Specifies column renaming, especially renaming "ner_tags" to "labels."
- THRESHOLD: Sets a threshold value for some preprocessing step.
- $\circ \quad \text{PAD_TOKEN and } \text{UNKNOWN_TOKEN: Define special tokens (""" and """) for padding and unknown words.} \\$
- \circ $\,$ embedding_size : Specifies the size of GloVe embeddings (100 in this case).
- ner_tag2idx and ner_idx2tag: Dictionaries mapping NER tags to indices and vice versa.
- \circ $\,$ NUM_NER_TAGS : Stores the number of NER tags.

• SPECIAL_TOKEN_TAG: A special token tag value.

```
1 os.environ["CUDA_LAUNCH_BLOCKING"] = "1"
 \it 3 # Set the current working directory
 4 try:
     os.chdir("/content/drive/MyDrive/Colab Notebooks/CSCI544/HW4")
 6 except:
10 class PathConfig:
11
     # Get the current dir
12
      CURRENT_DIR = os.getcwd()
13
14
      # Glove embedding path
15
      GLOVE_100d_File = os.path.join(CURRENT_DIR, "glove.6B.100d.txt")
16
17
18 class DatasetConfig:
19
      # General Info
20
      name = "conl12003"
21
22
      # Processing
      cols_to_drop = ["id", "pos_tags", "chunk_tags"]
23
24
      rename_cols = {"ner_tags": "labels"}
25
26
      # Preprocessing
27
      THRESHOLD = 3
      PAD_TOKEN = "<pad>"
28
      UNKNOWN_TOKEN = "<unk>"
29
      embedding_size = 100
30
31
32
      # NER Tags list and converter dictionaries
33
      ner_tag2idx = {'O': 0, 'B-PER': 1, 'I-PER': 2, 'B-ORG': 3, 'I-ORG': 4, 'B-LOC': 5, 'I-LOC': 6, 'B-MISC': 7, 'I-MISC': 8}
      ner_idx2tag = {v: k for k, v in ner_tag2idx.items()}
35
36
      NUM_NER_TAGS = len(ner_tag2idx)
37
      SPECIAL_TOKEN_TAG = -100
```

time: 196 ms (started: 2023-11-11 02:58:27 +00:00)

→ Helper Functions & Support Scripts

▼ Accelarator Configuration

```
1 def get_device():
2    if torch.cuda.is_available():
3        # Check if GPU is available
4        return torch.device("cuda")
5    else:
6        # Use CPU if no GPU or TPU is available
7        return torch.device("cpu")
8
9 device = get_device()
10 device

device(type='cpu')time: 17.9 ms (started: 2023-11-11 02:58:27 +00:00)
```

▼ CoNLL evaluation functions

```
1 %%bash
2 if [ ! -f conlleval.py ]; then
3     echo "Downloading conlleval.py ..."
4     wget https://raw.githubusercontent.com/sighsmile/conlleval/master/conlleval.py
5 else
6     echo "File conlleval.py already exists"
7 fi

File conlleval.py already exists
    time: 484 ms (started: 2023-11-11 02:58:27 +00:00)

1 from conlleval import evaluate

time: 747 ms (started: 2023-11-11 02:58:28 +00:00)
```

▼ Helper functions

- 1. load_glove_embeddings
 - o It creates a word-to-index mapper (word2idx), assigning an index to each word.
 - Special token vectors for unknown words and padding are calculated and added to the embeddings dictionary.
 - $\circ \ \ \text{Special tokens are added to the word-to-index mapper with specific indices (0 for padding, 1 for unknown)}.$

```
1 \# Load glove embeddings to dictionary
 2 def load_glove_embeddings(path):
       glove_emb_dict = load_glove_embeddings(PathConfig.GLOVE_100d_File)
       embeddings = pd.read csv(
 6
           PathConfig.GLOVE_100d_File, sep=" ", quoting=csv.QUOTE_NONE, header=None, index_col=0
9
       embeddings = {key: val.values for key, val in embeddings.T.items()}
10
11
       # Generate word-to-index mapper
12
       word2idx = {word: index for index, word in enumerate(embeddings.keys(), start=2)}
13
14
15
       embeddings[DatasetConfig.UNKNOWN\_TOKEN] = np.mean(np.vstack(list(embeddings.values())), \ axis=0) \\
       embeddings[DatasetConfig.PAD_TOKEN] = np.zeros(DatasetConfig.embedding_size, dtype="float64")
16
17
18
       # Add Special token keys to word-to-index mapper
19
       word2idx[DatasetConfig.PAD\_TOKEN] = 0
20
       word2idx[DatasetConfig.UNKNOWN\_TOKEN] = 1
21
22
       return word2idx, embeddings
```

Download Glove Embeddings

```
1 %%bash
2 if [ ! -f glove.6B.zip ]; then
3 echo "Downloading glove.6B.zip..."
4 wget http://nlp.stanford.edu/data/glove.6B.zip -y
5 unzip -o glove.6B.zip
6 else
7 echo "File glove.6B.zip already exists"
8 fi

File glove.6B.zip already exists
    time: 14.8 ms (started: 2023-11-11 02:58:28 +00:00)

1 glove_word2idx, glove_emb_dict = load_glove_embeddings(PathConfig.GLOVE_100d_File)
    time: 39.4 s (started: 2023-11-11 02:58:28 +00:00)
```

▼ Dataset Preparation

Process Data

- Utilizes the load_dataset function to load a dataset named "conll2003."
- Removes specified columns from the dataset. The columns to be removed are defined in DatasetConfig.cols_to_drop.
- Renames columns based on the configuration specified in DatasetConfig.rename_cols. It renames the "ner_tags" column to "labels."

```
1 dataset = load_dataset("conl12003")
2 dataset = dataset.remove_columns(DatasetConfig.cols_to_drop)
3 for old_name, new_name in DatasetConfig.rename_cols.items():
      dataset = dataset.rename_column(old_name, new_name)
    Downloading builder script: 100%
                                                                              9.57k/9.57k [00:00<00:00, 322kB/s]
    Downloading metadata: 100%
                                                                           3.73k/3.73k [00:00<00:00, 144kB/s]
    Downloading readme: 100%
                                                                          12.3k/12.3k [00:00<00:00, 388kB/s]
    Downloading data: 100%
                                                                       983k/983k [00:00<00:00, 5.12MB/s]
    Generating train split: 100%
                                                                          14041/14041 [00:05<00:00, 1133.64 examples/s]
    Generating validation split: 100%
                                                                              3250/3250 [00:01<00:00, 2008.94 examples/s]
                                                                         3453/3453 [00:01<00:00, 4236.00 examples/s]
    Generating test split: 100%
    time: 12.5 s (started: 2023-11-11 02:59:08 +00:00)
1 dataset
    DatasetDict({
        train: Dataset({
    features: ['tokens', 'labels'],
             num_rows: 14041
         validation: Dataset({
             features: ['tokens', 'labels'],
             num_rows: 3250
         test: Dataset({
             features: ['tokens', 'labels'],
             num_rows: 3453
    })
})time: 3.94 ms (started: 2023-11-11 02:59:20 +00:00)
```

▼ EDA

```
1 df = pd.DataFrame(dataset["train"])
2 df.head()

tokens labels

0 [EU, rejects, German, call, to, boycott, Briti... [3, 0, 7, 0, 0, 0, 7, 0, 0] 1.

1 [Peter, Blackburn] [1, 2]
```

[5, 0]

▼ Word to index mapper

2

- Uses the Counter class from the collections module to count the occurrences of words in the dataset.
- $\bullet \ \ {\tt Discards words with frequencies below a specified threshold ({\tt DatasetConfig.THRESHOLD})}.$

The, European, Commission, said, on, Thursday... [0, 3, 4, 0, 0, 0, 0, 0, 0, 7, 0, 0, 0, 0, 0, ...
 [Germany, 's, representative, to, the, Europea... [5, 0, 0, 0, 0, 3, 4, 0, 0, 0, 1, 2, 0, 0, ...

 $\bullet\,$ Generates indexes for the remaining words, starting from index 2.

time: 910 ms (started: 2023-11-11 02:59:20 +00:00)

[BRUSSELS, 1996-08-22]

- Adds special tokens (PAD_TOKEN and UNKNOWN_TOKEN) with their respective indexes (0 and 1) to the word-to-index mapping.
- The purpose is to create a mapping between words and their corresponding indexes.

```
{\tt 1} \ {\tt def \ generate\_word\_indexing(dataset, \ threshold):}
       \# Count occurences of the words using itertools and Counter
       word_frequency = Counter(itertools.chain(*dataset))
       # Discard words with frequency below threshold
 6
       word frequency = {
           word: freq
           for word, freq in word_frequency.items()
           if freq >= threshold
10
11
12
       # Generate indexes
13
       word2idx = {
14
           word: index
           for index, word in enumerate(word_frequency.keys(), start=2)
```

```
# Add special tokens
word2idx[DatasetConfig.PAD_TOKEN] = 0
word2idx[DatasetConfig.UNKNOWN_TOKEN] = 1

return word2idx
return word2idx

word2idx = generate word indexing(dataset['train']['tokens'] threshold=DatasetConfig THRESHOLD)
time: 330 ms (started: 2023-11-11 02:59:21 +00:00)
```

▼ Create GloVe Embeddings Matrix

1. Initialization:

• Initializes an embedding matrix with zeros, where the number of rows is the length of word2idx (vocabulary size) and the number of columns is the specified embedding_dim (e.g., DatasetConfig.embedding_size).

2. Embedding Matrix Population:

- Iterates through each word in the word2idx mapping.
- If the word is present in the pre-trained GloVe embeddings (glove_emb_dict), its corresponding vector is used in the embedding matrix
- If the word is not found, the vector for the UNKNOWN_TOKEN is used.

```
def create_glove_embedding_matrix(word2idx, glove_emb_dict, embedding_dim):
    embedding_matrix = np.zeros((len(word2idx), embedding_dim))

for word, idx in word2idx.items():
    if word in glove_emb_dict:
        embedding_matrix[idx] = glove_emb_dict[word]
    else:
        embedding_matrix[idx] = glove_emb_dict[DatasetConfig.UNKNOWN_TOKEN]

return embedding_matrix

glove_embedding_matrix = create_glove_embedding_matrix(glove_word2idx, glove_emb_dict, DatasetConfig.embedding_size)

time: 1.07 s (started: 2023-11-11 02:59:22 +00:00)
```

→ Create a Pytorch dataset

1. Data Class:

- · A DatasetItem data class is defined using the @dataclass decorator. It represents an item in the dataset and contains:
 - embeddings: Torch tensor representing token embeddings.
 - targets: Torch tensor representing target labels.
 - original_length: Integer representing the original length of the sequence.

2. Tokenization Method (tokenize):

- o Converts tokens to their respective indexes using the provided tokenizer.
- o Handles different embedding types (custom, glove).

3. getitem Method:

- Overrides the __getitem__ method to get an item from the dataset at the specified index.
- $\circ \;\;$ Tokenizes input tokens and converts them to indexes.
- Returns a DatasetItem containing Torch tensors for embeddings, targets, and the original length of the sequence.

```
1 @dataclass
 2 class DatasetItem:
       embeddings: torch.Tensor
      targets: torch.Tensor
      original_length: int
 8 class NERDatasetCustom(Dataset):
       def __init__(self, dataset, split, tokenizer, embedding_type="custom"):
10
           self.name = DatasetConfig.name
11
           self.dataset = dataset[split]
12
           self.tokenizer = tokenizer
13
14
           # Options: [custom, glove, transformer]
15
           self.embedding_type = embedding_type
16
17
       def __len__(self):
           return self.dataset.num_rows
18
19
20
      def tokenize(self, tokens):
21
           Code to convert all tokens to their respective indexes
22
23
           if self.embedding type == "glove"
24
25
               return [
26
                   {\tt self.tokenizer.get(token.lower(), self.tokenizer[DatasetConfig.UNKNOWN\_TOKEN])}
                   for token in tokens
27
28
29
30
               self.tokenizer.get(token, self.tokenizer[DatasetConfig.UNKNOWN_TOKEN])
31
               for token in tokens
32
33
34
       def __getitem__(self, idx):
35
           if idx >= self.__len__():
               raise IndexError
36
37
38
           item = self.dataset[idx]
39
40
           item["input_ids"] = self.tokenize(item["tokens"])
41
42
           embeddings = item["input_ids"]
43
           targets = item["labels"]
44
           seq_len = len(targets)
45
46
           return DatasetItem(
47
               torch.tensor(embeddings, dtype=torch.long),
48
               torch.tensor(targets, dtype=torch.long),
49
               seq_len
50
```

collate_fn:

- Handles padding for sequences in the dataset (embeddings and targets).
- Iterates through each item in the dataset to extract embeddings, targets, and original_length.
- Uses nn.utils.rnn.pad_sequence to pad the embeddings and targets sequences to the maximum length in the batch.

collate_fn_transformer:

- Extends the functionality of collate_fn for transformer models by incorporating attention mask handling.
- Creates an key padding mask (src_key_padding_mask) based on the padded embeddings.
- Utilizes the attention mask to handle padding for the source sequence in transformers.

```
1 def collate_fn(data: DatasetItem, tokenizer: dict):
       Collate function for handling padding
       embeddings, targets, og_len = [], [], []
       for item in data:
           embeddings.append(item.embeddings)
           targets.append(item.targets)
10
           og_len.append(item.original_length)
11
12
       # Pad the embeddings sequence
13
       embeddings = nn.utils.rnn.pad_sequence(
14
           embeddings, \ batch\_first=True, \ padding\_value=tokenizer[DatasetConfig.PAD\_TOKEN]
15
16
       # Pad the targets sequence
17
       targets = nn.utils.rnn.pad_sequence(
18
           targets, \ batch\_first=True, \ padding\_value=DatasetConfig.SPECIAL\_TOKEN\_TAG
19
20
21
       \verb|return {"embeddings": embeddings, "targets": targets, "original_length": og_len|| \\
23
24 def collate_fn_transformer(data: DatasetItem, tokenizer: dict):
25
26
       Collate function for handling padding and creating attention mask
27
28
       embeddings, targets, og_len = [], [], []
29
30
       for item in data:
31
           embeddings.append(item.embeddings)
32
           targets.append(item.targets)
33
           og_len.append(item.original_length)
35
       # Pad the embeddings sequence
36
37
       embeddings = nn.utils.rnn.pad_sequence(
38
           embeddings, \ batch\_first=True, \ padding\_value=tokenizer[DatasetConfig.PAD\_TOKEN]
39
       # Create attention mask
41
       src_key_padding_mask = (embeddings != tokenizer[DatasetConfig.PAD_TOKEN]).float().t()
42
       # Pad the targets sequence
43
       targets = nn.utils.rnn.pad_sequence(
44
           targets, \ batch\_first=True, \ padding\_value=DatasetConfig.SPECIAL\_TOKEN\_TAG
45
47
       return {
           "embeddings": embeddings,
48
49
           "targets": targets,
50
           "src_key_padding_mask": src_key_padding_mask,
51
            "original_length": og_len
52
```

time: 1.08 ms (started: 2023-11-11 02:59:23 +00:00)

▼ Training & Evaluation loop

train_and_evaluate:

- Train and evaluate a neural network model using the specified parameters.
- Iterates through epochs and batches for training.
- Applies gradient clipping to prevent exploding gradients.
- Evaluates the model on the validation set after each epoch.
- Saves model checkpoints and best model based on validation loss.
- Implements early stopping.
- Returns the best model based on validation loss.

evaluate_model:

- Evaluate a trained model on a given data loader.
- $\bullet\,$ Unpads the sequences using the original length obtained from data loader.
- Computes precision, recall, and F1 score using the evaluate function.
- Transforms predictions and labels into human-readable format.
- Returns precision, recall, and F1 score.

```
1 def train_and_evaluate(
      model,
       train_data_loader, valid_data_loader,
       optimizer, loss_fn,
       device,
       num_epochs,
       checkpoint=False,
       path="model.pt",
9
       early_stopping_patience=5,
10
       model_type="lstm"
11 ):
12
13
       Trains and evaluates the model.
14
15
           model (nn.Module): The neural network model.
16
17
           train_data_loader (DataLoader): The DataLoader for training data.
18
           valid_data_loader (DataLoader): The DataLoader for validation data.
           optimizer (torch.optim): The optimizer for updating model weights.
19
           loss fn: The loss function.
20
           device (torch.device): The device to perform computations.
21
           num_epochs (int): The number of epochs.
```

```
checkpoint (bool, optional): Whether to save model checkpoints.
23
24
            path (str, optional): The path to save the model.
25
            early_stopping_patience (int, optional): Number of epochs to wait before early stopping.
26
            model_type (str, optional): Type of the model ("lstm" or "transformer").
27
28
        Returns:
29
           nn.Module: The best model.
30
31
32
       # Create directory for saving checkpoint model states
33
        if checkpoint:
34
            dirname = path.split(".")[0]
35
            checkpoint_path = os.path.join(dirname)
36
           if os.path.exists(checkpoint_path):
               shutil.rmtree(checkpoint_path)
37
38
            os.makedirs(dirname)
39
40
        best_loss = float('inf')
41
        no_improvement_count = 0
42
       best_model = None
43
44
        for epoch in range(num_epochs):
45
            # Train Step
46
            model.train()
47
            train_loss = 0.0
48
49
            progress_bar = tqdm(train_data_loader, desc=f'Epoch {epoch+1}/{num_epochs}')
50
51
            for batch in progress_bar:
52
                embeddings = batch['embeddings'].to(device, dtype=torch.long, non_blocking=True)
53
                labels = batch['targets'].to(device, dtype=torch.long, non_blocking=True)
               seq_lengths = batch["original_length"]
54
55
56
               optimizer.zero_grad()
57
58
               if model_type == "lstm":
59
                   outputs = model(embeddings, seq_lengths)
60
                elif model_type == "transformer":
61
                   src_key_padding_mask = batch["src_key_padding_mask"].to(
62
                        device, dtype=torch.float, non_blocking=True
63
64
                    outputs = model(embeddings, src_key_padding_mask)
65
               outputs = outputs.view(-1, outputs.shape[-1])
66
67
                labels = labels.view(-1)
68
                loss = loss_fn(outputs, labels)
69
70
                loss.backward()
71
                # Apply gradient clipping
               torch.nn.utils.clip_grad_norm_(model.parameters(), max_norm=1)
72
73
74
               optimizer.step()
75
76
                train_loss += loss.item() * embeddings.size(1)
77
78
            train_loss /= len(train_data_loader.dataset)
79
80
            # Validation Step
81
            model.eval()
82
            valid_loss = 0.0
83
84
            with torch.no_grad():
85
                for batch in valid data loader:
                    embeddings = batch['embeddings'].to(device, dtype=torch.long, non_blocking=True)
86
87
                    labels = batch['targets'].to(device, dtype=torch.long, non_blocking=True)
88
                    seq_lengths = batch["original_length"]
89
                   if model_type == "lstm":
90
                       outputs = model(embeddings, seq_lengths)
91
92
                    elif model_type == "transformer"
93
                        src_key_padding_mask = batch["src_key_padding_mask"].to(
94
                        {\tt device,\ dtype=torch.float,\ non\_blocking=True}
95
                       outputs = model(embeddings, src_key_padding_mask)
96
97
98
                    outputs = outputs.view(-1, outputs.shape[-1])
99
                    labels = labels.view(-1)
100
                    loss = loss_fn(outputs, labels)
101
                    valid_loss += loss.item() * embeddings.size(1)
102
103
                valid_loss /= len(valid_data_loader.dataset)
104
105
            epoch_log = (
106
107
                f"Train Loss : {round(train_loss, 4)},"
                f" Validation Loss: {round(valid_loss, 4)}"
108
109
110
            print(epoch_log)
111
            # Check for improvement in validation loss
113
            if valid_loss < best_loss:</pre>
               # Save checkpoint if nee
115
                if checkpoint:
                    cp = os.path.join(checkpoint_path, f"{dirname}_epoch{epoch+1}_loss{valid_loss:.4f}.pt")
116
117
                    torch.save(model.state_dict(), cp)
118
                    print(f"Validation loss improved from {best_loss:.4f}--->{valid_loss:.4f}")
119
                    print(f"Saved Checkpoint to '{cp}'")
120
121
               best_loss = valid_loss
122
               best_model = model
               no_improvement_count = 0
123
124
125
               no_improvement_count += 1
126
                # Early stopping condition
127
128
                if no_improvement_count >= early_stopping_patience:
                    print(f"No improvement for {early_stopping_patience} epochs. Stopping early.")
129
130
131
132
        if checkpoint:
133
           # Save the best model
134
            best_model_path = os.path.join(checkpoint_path, f"{dirname}-best.pt")
135
            torch.save(best_model.state_dict(), best_model_path)
136
            print(f"Saved best model to '{os.path.relpath(best_model_path)}'")
137
138
       # Save current model
139
       torch.save(model.state_dict(), path)
140
141
        return best_model
```

```
142
143
144 def evaluate_model(model, data_loader, device, model_type="lstm"):
145
       all preds = []
146
       all_labels = []
147
148
       model.eval()
149
150
       with torch.no_grad():
           for batch in tqdm(data_loader):
151
152
                embeddings = batch['embeddings'].to(device, dtype=torch.long, non_blocking=True)
153
                labels = batch['targets'].to(device, dtype=torch.long, non_blocking=True)
154
               seq_lengths = batch["original_length"]
155
               if model_type == "lstm":
156
157
                   outputs = model(embeddings, seq_lengths)
158
                elif model_type == "transformer"
159
                    src_key_padding_mask = batch["src_key_padding_mask"].to(
160
                        device, dtype=torch.float, non_blocking=True
161
                   outputs = model(embeddings, src_key_padding_mask)
162
163
164
               preds = torch.argmax(outputs, dim=2)
165
                preds = preds.detach().cpu().numpy()
               labels = labels.detach().cpu().numpy()
167
168
169
                for pred, label, length in zip(preds, labels, seq_lengths):
170
                    pred = [DatasetConfig.ner_idx2tag.get(p, '0') for p in pred[:length]]
171
                    label = [DatasetConfig.ner_idx2tag.get(1, '0') for 1 in label[:length]]
172
                    all_preds.append(pred)
                   all_labels.append(label)
173
174
175
       # Evaluate using conlleval
176
        precision, recall, f1 = evaluate(
177
            itertools.chain(*all_labels), itertools.chain(*all_preds)
178
179
       return precision, recall, f1
180
     time: 3.42 ms (started: 2023-11-11 02:59:23 +00:00)
```

→ Training Config

```
1 TRAIN_BATCH_SIZE = 256
2 VALID_BATCH_SIZE = 64
3 TEST_BATCH_SIZE = 32
4 NUM_EPOCHS = 5
```

time: 855 µs (started: 2023-11-11 02:59:23 +00:00)

→ Model Architectures

▼ Bidirectional LSTM model

```
1 class BiLSTM(nn.Module):
       def __init__(
           self, vocab_size, embedding_dim, num_tags,
           hidden_size, num_layers, lstm_output_size, dropout_val,
           embeddings_matrix = None
 6
           Recurrent Neural Network (RNN) model for sequence data processing.
10
11
12
               vocab_size (int): Size of vocabulary
13
                embedding_dim (int): Dimension of the input features.
14
               num_tags (int): Number of output classes.
15
               hidden_size (int): Number of units in the hidden layers.
16
               num_layers (int): Number of recurrent layers.
17
               {\tt lstm\_output\_size} \ ({\tt int}) {\tt :} \ {\tt Size} \ {\tt of} \ {\tt the} \ {\tt output} \ {\tt from} \ {\tt the} \ {\tt LSTM} \ {\tt layer}.
               dropout_val (float): Dropout probability.
18
19
                embeddings_matrix (np.array): Pretrained embeddings matrix. Default is None
20
21
           super(BiLSTM, self).__init__()
22
23
           # Model Attributes
24
25
           self.hidden_size = hidden_size
           self.num_layers = num_layers
28
           # Model Layer Definition
           if embeddings_matrix is not None:
29
               self.embedding = nn.Embedding.from pretrained(
                    torch.from_numpy(embeddings_matrix).float(),
31
32
33
34
           else:
               self.embedding = nn.Embedding(vocab_size, embedding_dim)
35
36
37
38
                embedding_dim, hidden_size, num_layers, batch_first=True, bidirectional=True
39
40
           self.fc = nn.Linear(hidden_size * 2, lstm_output_size)
41
           self.dropout = nn.Dropout(dropout_val)
42
43
           self.elu = nn.ELU(alpha=0.01)
44
           self.classifier = nn.Linear(lstm_output_size, num_tags)
45
       def init hidden(self, batch size):
46
47
           hidden = (
               torch.zeros(self.num_layers * 2, batch_size, self.hidden_size).to(device),
48
49
                torch.zeros(self.num_layers * 2, batch_size, self.hidden_size).to(device)
50
51
           return hidden
52
53
       def forward(self, x, seq_len):
54
           batch_size = x.size(0)
55
           hidden = self.init_hidden(batch_size)
56
           # Embedding Layer
```

```
58
           embeds = self.embedding(x).float()
59
60
           # LSTM layer
61
           packed_embeds = nn.utils.rnn.pack_padded_sequence(
               {\tt embeds, seq\_len, batch\_first=True, enforce\_sorted=False}
62
63
64
           out, _ = self.lstm(packed_embeds, hidden)
           out, _ = nn.utils.rnn.pad_packed_sequence(out, batch_first=True)
65
66
67
           # Apply fully connected layer for final prediction
68
           out = self.dropout(out)
69
           out = self.fc(out)
           out = self.elu(out)
70
71
           out = self.classifier(out)
72
73
           return out
```

▼ Transformer Encoder model

time: 12.7 ms (started: 2023-11-10 22:51:08 +00:00)

```
d_model (int): Dimension of the model.
               {\tt max\_len} (int): Maximum length of the input sequence.
           super(PositionalEncoding, self).__init__()
10
11
12
           # Create positional encoding matrix
13
           self.encoding = torch.zeros(max_len, d_model)
14
           position = torch.arange(0, max_len).unsqueeze(1).float()
           \label{eq:div_term} \mbox{div\_term = torch.exp(torch.arange(0, d_model, 2).float() * -(math.log(10000.0) / d_model))} \\
15
16
17
           # Compute sine and cosine components of positional encoding
18
           self.encoding[:, 0::2] = torch.sin(position * div_term)
           self.encoding[:, 1::2] = torch.cos(position * div_term)
19
20
21
           # Add batch dimension
22
           self.encoding = self.encoding.unsqueeze(0)
23
           self.dropout = nn.Dropout(dropout)
24
25
           self.register_buffer('pos_embedding', self.encoding)
26
       def forward(self, x):
27
28
           device = x.device
29
           encoding = self.encoding[:, :x.size(1)].detach().to(device)
30
31
           # Apply dropout to positional embeddings
32
           encoding = self.dropout(encoding)
33
34
           return x + encoding
35
36 class TokenEmbedding(nn.Module):
37
       def __init__(self, vocab_size, d_model):
38
39
           Token embedding module for transformer input.
40
41
42
               vocab_size (int): Size of the vocabulary.
43
               d_model (int): Dimension of the model.
44
45
           super(TokenEmbedding, self).__init__()
46
           self.embedding = nn.Embedding(vocab_size, d_model)
47
48
       def forward(self, x):
49
           return self.embedding(x)
50
51 class TransformerNER(nn.Module):
      def __init__(self, vocab_size, d_model, nhead, num_encoder_layers, num_classes, max_len=512):
52
53
54
           Transformer-based NER model.
55
56
57
               vocab_size (int): Size of the vocabulary.
58
               d_model (int): Dimension of the model.
59
               nhead (int): Number of attention heads in the transformer.
60
               num_encoder_layers (int): Number of transformer encoder layers.
61
               num_classes (int): Number of output classes.
               max_len (int): Maximum length of the input sequence.
62
63
           super(TransformerNER, self).__init__()
64
65
           self.embedding = TokenEmbedding(vocab_size, d_model)
66
           self.positional_encoding = PositionalEncoding(d_model, 0.25, max_len)
67
           self.transformer_encoder = nn.TransformerEncoder(
               nn.TransformerEncoderLayer(d_model, nhead),
69
               num_layers=num_encoder_layers
70
71
           self.fc = nn.Linear(d_model, num_classes)
72
73
       def forward(self, src, src_key_padding_mask=None):
74
75
           Forward pass of the model.
76
77
78
               src (torch.Tensor): Input tensor containing token indices.
79
               src_mask (torch.Tensor, optional): Mask to indicate padding in the input sequence.
80
81
82
              torch.Tensor: Output tensor.
83
           x = self.embedding(src)
84
85
           x = self.positional_encoding(x)
86
           x = self.transformer_encoder(x, src_key_padding_mask=src_key_padding_mask)
87
           x = self.fc(x)
```

time: 2.37 ms (started: 2023-11-11 01:15:20 +00:00)

Training & Evaluation of Models

• Data Preprocessing Pipeline

return x

88

- Prepares the data for training, validation, and testing by creating custom datasets (NERDatasetCustom) and corresponding data loaders (DataLoader).
- o Batch size is provided
- Passed a valid tokenizer for converting words to tokens.
- $\circ~$ Data Loader is passed a collator function to perform padding and create masks for respective tasks

Saved Checkpoint to 'bilstm_custom_embeddings_v7/bilstm_custom_embeddings_v7_epoch3_loss0.2354.pt'

Epoch 5/50: 100% 54/54 [00:04<00:00, 11.79it/s]

Train Loss: 0.0479, Validation Loss: 0.1927 Validation loss improved from 0.2354--->0.1927

- Training:
 - $\circ~$ The train_and_evaluate function is called to train and evaluate the Transformer model.
 - \circ Training is performed for N epochs with early stopping patience set to P.
 - Model checkpoints are saved during training.
- Evaluation:
 - $\circ\;$ Every model is evaluated on validation and test dataset

▼ Bidirectional LSTM model + Custom Embeddings

```
1 train_dataset = NERDatasetCustom(
        dataset = dataset,
3
        split='train',
        tokenizer = word2idx,
        embedding_type="default",
6
    )
    valid_dataset = NERDatasetCustom(
8
        dataset = dataset,
10
        split='validation'
11
        tokenizer = word2idx,
12
        embedding_type="default",
13 )
14
    test_dataset = NERDatasetCustom(
15
16
        dataset = dataset,
17
        split='test',
18
        tokenizer = word2idx,
19
        embedding_type="default",
20
21
22
    train_data_loader = DataLoader(
23
        train_dataset,
        batch_size=TRAIN_BATCH_SIZE,
24
        drop_last=True,
25
        shuffle=True,
26
27
        collate_fn=lambda x: collate_fn(x, word2idx)
28
29
30
    valid_data_loader = DataLoader(
        valid_dataset,
31
32
        batch_size=VALID_BATCH_SIZE,
        drop_last=False,
33
34
        shuffle=True,
35
        collate_fn=lambda x: collate_fn(x, word2idx)
36
37
38 test_data_loader = DataLoader(
39
        test_dataset,
40
        batch_size=TEST_BATCH_SIZE,
41
        drop_last=False,
42
        shuffle=False,
43
        collate_fn=lambda x: collate_fn(x, word2idx)
44
    time: 1.47 ms (started: 2023-11-10 11:17:06 +00:00)
```

```
1 vocab_size = len(word2idx)
 2 embedding_dim = 100
 3 hidden_size = 256
 4 output_size = 128
 5 num_layers = 1
 6 dropout_val = 0.33
 7 num_tags = DatasetConfig.NUM_NER_TAGS
9 net = BiLSTM(
      vocab_size, embedding_dim, num_tags,
10
      hidden_size, num_layers, output_size, dropout_val
12 ).to(device)
14 criterion = nn.CrossEntropyLoss(ignore_index=DatasetConfig.SPECIAL_TOKEN_TAG).to(device)
15 # optimizer = optim.Adam(net.parameters(), lr=0.001)
16 # optimizer = optim.SGD(net.parameters(), lr=0.001)
17 optimizer = optim.AdamW(net.parameters(), lr=0.001)
18 # scheduler = torch.optim.lr_scheduler.()
20 best_model = train_and_evaluate(
21
      model=net,
      train_data_loader=train_data_loader,
23
       {\tt valid\_data\_loader=valid\_data\_loader},
24
       optimizer=optimizer,
25
       loss_fn=criterion,
       device=device,
27
       num_epochs=50,
28
      checkpoint=True.
29
       path="bilstm_custom_embeddings_v7.pt",
       early_stopping_patience=15
30
```

```
validation loss improved from 0.151/--->0.14/5
Saved Checkpoint to 'bilstm_custom_embeddings_v7/bilstm_custom_embeddings_v7_epoch8_loss0.1475.pt'
Epoch 10/50: 100%| | 54/54 [00:04<00:00, 11.64it/s]
Train Loss: 0.0145, Validation Loss: 0.1437
Validation loss improved from 0.1475--->0.1437
Saved Checkpoint to 'bilstm_custom_embeddings_v7/bilstm_custom_embeddings_v7_epoch9_loss0.1437.pt'
Epoch 11/50: 100%| 54/54 [00:06<00:00, 8.76it/s]
Train Loss: 0.0122, Validation Loss: 0.1497
Epoch 12/50: 100% | 54/54 [00:04<00:00, 11.31it/s]
Train Loss: 0.0097, Validation Loss: 0.155
Epoch 13/50: 100%| 54/54 [00:04<00:00, 11.15it/s]
Train Loss: 0.0082, Validation Loss: 0.1596
Epoch 14/50: 100%| 54/54 [00:05<00:00, 9.49it/s]
Train Loss: 0.0063, Validation Loss: 0.1621
Epoch 15/50: 100%| 54/54 [00:04<00:00, 11.74it/s]
Train Loss: 0.0055, Validation Loss: 0.1753
Epoch 16/50: 100% | 54/54 [00:05<00:00, 9.25it/s]
Train Loss: 0.0048, Validation Loss: 0.1847
Epoch 17/50: 100% 54/54 [00:04<00:00, 11.54it/s]
Train Loss: 0.004, Validation Loss: 0.1963
Epoch 18/50: 100% 54/54 [00:04<00:00, 11.94it/s]
Train Loss: 0.0034, Validation Loss: 0.2025
Epoch 19/50: 100% | 54/54 [00:05<00:00, 9.23it/s]
Train Loss: 0.0029, Validation Loss: 0.2086
Epoch 20/50: 100% 54/54 [00:04<00:00, 11.94it/s]
Train Loss: 0.0024, Validation Loss: 0.2274
Epoch 21/50: 100% | 54/54 [00:05<00:00, 9.65it/s]
Train Loss: 0.0022, Validation Loss: 0.2195
Epoch 22/50: 100%| 54/54 [00:04<00:00, 11.08it/s]
Train Loss: 0.0021, Validation Loss: 0.2279
Epoch 23/50: 100%| 54/54 [00:04<00:00, 11.91it/s]
Train Loss: 0.0019, Validation Loss: 0.2432
Epoch 24/50: 100% | 54/54 [00:05<00:00, 9.10it/s]
Train Loss: 0.0018, Validation Loss: 0.2382
Epoch 25/50: 100%| 54/54 [00:04<00:00, 11.20it/s]
Train Loss: 0.0016, Validation Loss: 0.2442
No improvement for 15 epochs. Stopping early.
Saved \ best \ model \ to \ 'bilstm\_custom\_embeddings\_v7/bilstm\_custom\_embeddings\_v7-best.pt' \ best.pt' \ b
time: 2min 43s (started: 2023-11-10 11:17:06 +00:00)
```

Evaluate model on Validation set

```
Evaluate model on Test set

1 precision, recall, f1 = evaluate_model(best_model, test_data_loader, device)
2 print(f'Precision: {precision: .2f}, Recall: {recall: .2f}, F1 Score: {f1:.2f}')

100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 100%|| 10
```

▼ Bidirectional LSTM Model + Glove Embeddings

```
1 train_dataset = NERDatasetCustom(
      dataset = dataset,
       split='train',
      tokenizer = glove_word2idx,
      embedding_type="glove",
6)
 8 valid_dataset = NERDatasetCustom(
      dataset = dataset,
      split='validation',
11
      tokenizer = glove_word2idx,
12
      embedding_type="glove",
13)
14
15 test_dataset = NERDatasetCustom(
      dataset = dataset,
      split='test',
       tokenizer = gl
       embedding_type="glove",
19
20)
21
22 train_data_loader = DataLoader(
23
      train dataset.
24
      batch_size=TRAIN_BATCH_SIZE,
25
      drop_last=True,
26
       shuffle=True,
      collate_fn=lambda x: collate_fn(x, glove_word2idx)
27
29
30 valid_data_loader = DataLoader(
31
       valid_dataset,
32
      batch_size=VALID_BATCH_SIZE,
       drop_last=False,
      shuffle=True,
      collate fn=lambda x: collate fn(x, glove word2idx)
35
36)
37
38 test_data_loader = DataLoader(
39
      batch_size=TEST_BATCH_SIZE,
41
      drop last=False.
      shuffle=False,
42
43
      collate_fn=lambda x: collate_fn(x, glove_word2idx)
44 )
```

1 precision, recall, f1 = evaluate_model(best_model_glove, test_data_loader, device)
2 print(f'Precision: {precision:.2f}, Recall: {recall:.2f}, F1 Score: {f1:.2f}')

processed 46435 tokens with 5648 phrases; found: 5700 phrases; correct: 4727.

LOC: precision: 83.96%; recall: 89.75%; FB1: 86.76 1783 MISC: precision: 70.70%; recall: 69.09%; FB1: 69.88 686 ORG: precision: 78.51%; recall: 77.42%; FB1: 77.96 1638 PER: precision: 91.59%; recall: 90.23%; FB1: 90.90 1593

accuracy: 96.53%; precision: 82.93%; recall: 83.69%; FB1: 83.31

100%| 100%| 108/108 [00:01<00:00, 91.99it/s]

accuracy: 85.22%; (non-0)

```
1 vocab_size = len(glove_word2idx)
 2 embedding_dim = 100
 3 hidden_size = 256
 4 output_size = 128
 5 num_layers = 1
 6 dropout_val = 0.33
 7 num_tags = DatasetConfig.NUM_NER_TAGS
 9 net_with_glove = BiLSTM(
10
       vocab_size, embedding_dim, num_tags,
       hidden_size, num_layers, output_size, dropout_val,
       glove_embedding_matrix
13 ).to(device)
15 criterion = nn.CrossEntropyLoss(ignore_index=DatasetConfig.SPECIAL_TOKEN_TAG).to(device)
16 # optimizer = optim.Adam(net_with_glove.parameters(), lr=0.001)
17 # optimizer = optim.SGD(net_with_glove.parameters(), lr=0.001)
18 optimizer = optim.AdamW(net_with_glove.parameters(), lr=0.001)
19
20 best_model_glove = train_and_evaluate(
21
       model=net_with_glove,
22
       train_data_loader=train_data_loader,
       valid_data_loader=valid_data_loader,
23
24
       optimizer=optimizer,
25
       loss fn=criterion,
26
       device=device,
27
       num_epochs=50,
28
       checkpoint=True,
29
       path="bilstm_glove_embeddings_v2.pt",
30
       early_stopping_patience=15
31 )
     Train Loss: 0.0221, Validation Loss: 0.0929
     Validation loss improved from 0.1019--->0.0929
     Saved Checkpoint to 'bilstm glove_embeddings_v2/bilstm_glove_embeddings_v2_epoch6_loss0.0929.pt'
Epoch 8/50: 100%| 54/54 [00:07<00:00, 7.67it/s]
     Train Loss: 0.0201, Validation Loss: 0.0911
     Validation loss improved from 0.0929--->0.0911
     Saved\ \ Checkpoint\ \underline{to\ 'bilstm}\ glove\_embeddings\_v2/bilstm\_glove\_embeddings\_v2\_epoch7\_loss0.0911.pt'
     Epoch 9/50: 100%| 54/54 [00:05<00:00, 9.50it/s] Train Loss: 0.0181, Validation Loss: 0.0846
     Validation loss improved from 0.0911--->0.0846
     Saved Checkpoint to 'bilstm_glove_embeddings_v2/bilstm_glove_embeddings_v2_epoch8_loss0.0846.pt'
Epoch 10/50: 100%| | 54/54 [00:07<00:00, 7.59it/s]
     Train Loss: 0.0167, Validation Loss: 0.0824
     Validation loss improved from 0.0846--->0.0824
     Saved Checkpoint to 'bilstm_glove_embeddings_v2/bilstm_glove_embeddings_v2_epoch9_loss0.0824.pt'
     Epoch 11/50: 100%| 54/54 [00:05<00:00, 9.61it/s]
     Train Loss: 0.0152, Validation Loss: 0.0789
Validation loss improved from 0.0824--->0.0789
     Saved Checkpoint to 'bilstm_glove_embeddings_v2/bilstm_glove_embeddings_v2_epoch10_loss0.0789.pt'
Epoch 12/50: 100%| | 54/54 [00:06<00:00, 8.10it/s]
     Train Loss: 0.0137, Validation Loss: 0.0799
     Epoch 13/50: 100% 54/54 [00:07<00:00, 6.80it/s]
     Train Loss: 0.0124, Validation Loss: 0.0763
     Validation loss improved from 0.0789--->0.0763
     Saved\ Checkpoint\ to\ 'bilstm_glove_embeddings\_v2/bilstm_glove_embeddings\_v2_epoch12\_loss0.0763.pt'
     Epoch 14/50: 100%| 54/54 [00:06<00:00, 8.46it/s]
     Train Loss: 0.0111, Validation Loss: 0.0793
     Epoch 15/50: 100%| 54/54 [00:05<00:00, 9.05it/s]
Train Loss: 0.0104, Validation Loss: 0.0796
     Epoch 16/50: 100% | 54/54 [00:05<00:00, 10.49it/s]
     Train Loss: 0.0089, Validation Loss: 0.0839
     Epoch 17/50: 100%| 54/54 [00:06<00:00, 8.39it/s]
     Train Loss: 0.0082, Validation Loss: 0.0807
     Epoch 18/50: 100%| 54/54 [00:04<00:00, 11.08it/s]
     Train Loss: 0.0075, Validation Loss: 0.0887

Epoch 19/50: 100%| | 54/54 [00:06<00:00, 8.87it/s]

Train Loss: 0.0065, Validation Loss: 0.0881

Epoch 20/50: 100%| | 54/54 [00:05<00:00, 10.05it/s]
     Train Loss: 0.0057, Validation Loss: 0.0902
     Epoch 21/50: 100%| 54/54 [00:04<00:00, 11.49it/s]
     Train Loss: 0.0051, Validation Loss: 0.0884
     Epoch 22/50: 100%| 54/54 [00:06<00:00, 8.10it/s]
     Train Loss: 0.0045, Validation Loss: 0.0926
     Epoch 23/50: 100%| 54/54 [00:04<00:00, 11.22it/s] Train Loss: 0.004, Validation Loss: 0.0991
     Epoch 24/50: 100%| | 54/54 [00:07<00:00, 7.70it/s]
Train Loss: 0.0038, Validation Loss: 0.1022
     Epoch 25/50: 100%| 54/54 [00:06<00:00, 7.92it/s]
     Train Loss: 0.0036, Validation Loss: 0.0987
     Epoch 26/50: 100%| 54/54 [00:04<00:00, 11.25it/s] Train Loss: 0.0033, Validation Loss: 0.1046
     Epoch 27/50: 100%| 54/54 [00:06<00:00, 8.92it/s]
     Train Loss: 0.0027, Validation Loss: 0.1069
     Epoch 28/50: 100%| 54/54 [00:05<00:00, 10.06it/s]
     Train Loss : 0.0023, Validation Loss: 0.1173
     No improvement for 15 epochs. Stopping early.
     Saved best model to 'bilstm glove embeddings v2/bilstm glove embeddings v2-best.pt'
     time: 3min 28s (started: 2023-11-11 01:31:21 +00:00)
Evaluate model on Validation set
 1 precision, recall, f1 = evaluate_model(best_model_glove, valid_data_loader, device)
 2 print(f'Precision: {precision:.2f}, Recall: {recall:.2f}, F1 Score: {f1:.2f}')
     100%| 51/51 [00:01<00:00, 36.51it/s]
     processed 51362 tokens with 5942 phrases; found: 5939 phrases; correct: 5201.
     accuracy: 87.32%; (non-0)
     accuracy: 97.41%; precision: 87.57%; recall: 87.53%; FB1: 87.55
LOC: precision: 90.43%; recall: 93.14%; FB1: 91.77 1892
                   MISC: precision: 81.57%; recall: 77.77%; FB1: 79.62 879
                    ORG: precision: 79.33%; recall: 77.85%; FB1: 78.58 1316
                    PER: precision: 93.36%; recall: 93.87%; FB1: 93.61 1852
     Precision: 87.57, Recall: 87.53, F1 Score: 87.55
     time: 1.59 s (started: 2023-11-11 01:36:07 +00:00)
Evaluate model on Test set
```

```
Precision: 82.93, Recall: 83.69, F1 Score: 83.31 time: 1.28 s (started: 2023-11-11 01:36:14 +00:00)
```

▼ Transformer Encoder + Custom Embeddings

```
1 train_dataset = NERDatasetCustom(
      dataset = dataset,
      split='train',
      tokenizer = word2idx,
      embedding_type="transformer",
 6)
 8 valid_dataset = NERDatasetCustom(
      dataset = dataset,
      split='validation',
      tokenizer = word2idx,
11
      embedding_type="transformer",
12
13)
14
15 test_dataset = NERDatasetCustom(
16
      dataset = dataset,
      split='test',
17
      tokenizer = word2idx,
18
      embedding_type="transformer",
19
20)
21
22 train_data_loader = DataLoader(
23
      train_dataset,
      batch_size=TRAIN_BATCH_SIZE,
24
25
      drop_last=True,
27
      collate_fn=lambda x: collate_fn_transformer(x, word2idx)
28)
29
30 valid_data_loader = DataLoader(
31
      valid_dataset,
      batch_size=VALID_BATCH_SIZE,
33
      drop_last=False,
      shuffle=True,
34
      collate_fn=lambda x: collate_fn_transformer(x, word2idx)
35
36)
37
38 test_data_loader = DataLoader(
39
      test_dataset,
      batch_size=TEST_BATCH_SIZE,
40
      drop_last=False,
41
42
      shuffle=False,
      collate_fn=lambda x: collate_fn_transformer(x, word2idx)
43
```

time: 1.4 ms (started: 2023-11-11 01:37:02 +00:00)

```
1 vocab_size = len(word2idx)
 2 embedding_dim = 128
 3 \text{ num\_attention\_heads} = 8
 4 num_encoder_layers = 6
 5 num_classes = DatasetConfig.NUM_NER_TAGS
 6 max_len = 128  # Sequence max length
 8 net_with_transformer = TransformerNER(
      vocab_size, embedding_dim, num_attention_heads,
       num_encoder_layers, num_classes, max_len
11 ).to(device)
13 # Define the loss function and optimizer
14 criterion = nn.CrossEntropyLoss(ignore_index=DatasetConfig.SPECIAL_TOKEN_TAG).to(device)
15 \ \text{optimizer = optim.AdamW(net\_with\_transformer.parameters(), lr=0.0001, betas=(0.9, 0.98), eps=1e-9)}
16
17 # Train the Transformer model
18 best_model_transformer = train_and_evaluate(
19
       model=net_with_transformer,
       train_data_loader=train_data_loader,
20
21
       {\tt valid\_data\_loader=valid\_data\_loader,}
22
       optimizer=optimizer,
23
       loss_fn=criterion,
24
       device=device,
25
       num_epochs=100,
26
       {\it checkpoint=True,}\\
       path="transformer_enc_model_v2.pt",
27
28
       early_stopping_patience=15,
29
       model_type="transformer"
30)
```

```
Irain Loss: ७.७३५4, validation Loss: ७.८३५४
Epoch 40/100: 100%| 54/54 [00:12<00:00, 4.16it/s]
Train Loss: 0.039, Validation Loss: 0.2428
Epoch 41/100: 100% 54/54 [00:12<00:00, 4.25it/s]
Train Loss: 0.0392, Validation Loss: 0.2422
Epoch 42/100: 100%| 54/54 [00:12<00:00, 4.28it/s]
Train Loss: 0.0384, Validation Loss: 0.2378
Epoch 43/100: 100%| 54/54 [00:12<00:00, 4.17it/s]
Train Loss: 0.0386, Validation Loss: 0.2403
Epoch 44/100: 100%| 54/54 [00:14<00:00, 3.72it/s]
Train Loss: 0.0382, Validation Loss: 0.2356
Epoch 45/100: 100%| 54/54 [00:12<00:00, 4.22it/s]
Train Loss: 0.0376, Validation Loss: 0.2382
Epoch 46/100: 100% 54/54 [00:12<00:00, 4.26it/s]
Train Loss: 0.0381, Validation Loss: 0.2428
Epoch 47/100: 100%| 54/54 [00:12<00:00, 4.30it/s]
Train Loss : 0.0373
                    , Validation Loss: 0.2379
Epoch 48/100: 100%| 54/54 [00:13<00:00, 4.12it/s]
Train Loss : 0.0377, Validation Loss: 0.2447
No improvement for 15 epochs. Stopping early
Saved best model to 'transformer_enc_model_v2/transformer_enc_model_v2-best.pt'
time: 11min 30s (started: 2023-11-11 01:37:49 +00:00)
```

Evaluate model on Validation set

Evaluate model on Test set

References

- 1. https://huggingface.co/datasets/conll2003
- 2. https://huggingface.co/docs/datasets/installation
- 3. https://huggingface.co/docs/transformers/installation

Precision: 55.65, Recall: 39.70, F1 Score: 46.34 time: 2.34 s (started: 2023-11-11 01:50:32 +00:00)

- $4.\ \underline{https://stackoverflow.com/questions/37793118/load-\underline{pretrained-glove-vectors-in-\underline{python}}$
- 5. <u>https://stackoverflow.com/a/52070223/12639940</u>
- 6. https://github.com/sighsmile/conlleval
- 7. https://nlp.stanford.edu/data/glove.6B.zip
- $8.\ \underline{https://stats.stackexchange.com/questions/248715/selection-of-values-for-padding-tokens-in-sentence-classification-with-word-embedy and the state of the$
- 9. https://pytorch.org/tutorials/beginner/translation_transformer.html

THE END