

# Formal Definition of SWIN

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## 1 Featherweight Java

### 1.1 Syntax

Class Declaration

$$CL ::= \text{class } C \text{ extends } C \{ \bar{C} \bar{f}; K \bar{M} \}$$

Constructor Declaration

$$K ::= C(\bar{C} \bar{f}) \{ \text{super}(\bar{f}); \text{this}.\bar{f} = \bar{f} \}$$

Method Declaration

$$M ::= C m(\bar{C} \bar{x}) \{ \text{return } t; \}$$

Term

$$t ::= x \mid t.f \mid t.m(\bar{t}) \mid \text{new } C(\bar{t}) \mid (C) t$$

### 1.2 Type System

#### 1.2.1 Subtyping

$$\frac{}{C <: C} \text{ (S-SELF)} \qquad \frac{C <: D \quad D <: E}{C <: E} \text{ (S-TRANS)}$$

$$\frac{CT(C) = \text{class } C \text{ extends } D \{ \dots \}}{C <: D} \text{ (S-DEF)}$$

#### 1.2.2 Auxiliary Functions

$$\frac{}{\text{fields}(\text{Object}) = \{ \}} \text{ FIELD-OBJECT}$$

$$\frac{\begin{array}{l} CT(C) = \text{class } C \text{ extends } D \{ \bar{C} \bar{f}; K \bar{M} \} \\ \text{fields}(D) = \bar{D} \bar{g} \end{array}}{\text{fields}(C) = \bar{D} \bar{g}, \bar{C} \bar{f}} \text{ (FIELD-LOOKUP)}$$

$$\frac{\begin{array}{l} CT(C) = \text{class } C \text{ extends } D \{ \bar{C} \bar{f}; K \bar{M} \} \\ B m(\bar{B} \bar{x}) \{ \text{return } t; \} \in \bar{M} \end{array}}{mtype(m, C) = \bar{B} \rightarrow B} \text{ (METHOD-LOOKUP1)}$$

$$\frac{\begin{array}{l} CT(C) = \text{class } C \text{ extends } D \{ \bar{C} \bar{f}; K \bar{M} \} \\ m \text{ is not defined in } \bar{M} \end{array}}{mtype(m, C) = mtype(m, D)} \text{ (METHOD-LOOKUP2)}$$

$$\frac{mtype(m, D) = \bar{D} \rightarrow D_0 \text{ implies } \bar{C} = \bar{D} \text{ and } C_0 = D_0}{\text{override}(m, D, \bar{C} \rightarrow C_0)} \text{ (OVERRIDE)}$$

### 1.2.3 Typing

$$\frac{x : C \in \Gamma}{\Gamma \vdash x : C} \text{ (FJ-VAR)}$$

$$\frac{\Gamma \vdash t_0 : C_0 \quad \text{fields}(C_0) = \bar{C} \bar{f}}{\Gamma \vdash t_0.f_i : C_i} \text{ (FJ-FIELD)}$$

$$\frac{\Gamma \vdash t_0 : C_0 \quad \text{mtype}(m, C_0) = \bar{D} \rightarrow C \quad \Gamma \vdash \bar{t} : \bar{C} \quad \bar{C} <: \bar{D}}{\Gamma \vdash t_0.m(\bar{t}) : C} \text{ (FJ-INVK)}$$

$$\frac{\text{fields}(C) = \bar{D} \bar{f} \quad \Gamma \vdash \bar{t} : \bar{C} \quad \bar{C} <: \bar{D}}{\Gamma \vdash \text{new } C(\bar{t}) : C} \text{ (FJ-NEW)}$$

$$\frac{\Gamma \vdash t_0 : D \quad D <: C}{\Gamma \vdash (C)t_0 : C} \text{ (FJ-UCAST)}$$

$$\frac{\Gamma \vdash t_0 : D \quad C <: D \quad C \neq D}{\Gamma \vdash (C)t_0 : C} \text{ (FJ-DCAST)}$$

$$\frac{\Gamma \vdash t_0 : D \quad C \not<: D \quad D \not<: C \quad \text{stupid warning}}{\Gamma \vdash (C)t_0 : C} \text{ (FJ-SCAST)}$$

$$\frac{\bar{x} : \bar{C}, \text{this} : C \vdash t_0 : E_0 \quad E_0 <: C_0 \quad \text{CT}(C) = \text{class } C \text{ extends } D \{ \dots \} \quad \text{override}(m, D, \bar{C} \rightarrow C_0)}{C_0 \text{ m } (\bar{C} \bar{x}) \{ \text{return } t_0; \} \text{ OK in } C} \text{ (FJ-M-OK)}$$

$$\frac{K = C(\bar{C} \bar{f}) \{ \text{super}(\bar{f}); \text{this}.\bar{f} = \bar{f} \} \quad \text{fields}(D) = \bar{D} \bar{g} \quad \bar{M} \text{ OK in } C}{\text{class } C \text{ extends } D \{ \bar{C} \bar{f}; K \bar{M} \} \text{ OK}} \text{ (FJ-C-OK)}$$

## 2 SWIN

### 2.1 Syntax

$\Pi$	$::= \{ \bar{\pi} \}$	SWIN program
$\pi$	$::= (\bar{d}) [l : C_1 \rightarrow r : C_r]$	rule
$d$	$::= x : C_1 \hookrightarrow C_2$	variable declaration
$l$	$::= x.f \mid \text{new } C(\bar{x}) \mid x.m(\bar{x})$	code pattern
$r$	$::= t$	FJ term

## 2.2 API definition

$$\text{API} ::= \{ \overline{\text{CL}} \} \quad (\text{API definition})$$

## 2.3 Evaluation Rules

$$\frac{\text{CL} = \text{class } C_1 \text{ extends } C_2 \{ \bar{C}_i \bar{f}_i; K \bar{M} \}}{\Pi(\text{CL}) = \text{class } \Pi(C_1) \text{ extends } \Pi(C_2) \{ \Pi(\bar{C}_i) \bar{f}_i; \Pi(K) \overline{\Pi(M)} \}} \quad (\text{E-DECLARATION})$$

$$\frac{K = C_1 (\bar{C}_2 \bar{f}_2) \{ \text{super}(\bar{f}_3); \text{this}.\bar{f}_i = \bar{f}_j \}}{\Pi(K) = \Pi(C_1) (\Pi(\bar{C}_2) \bar{f}_2) \{ \text{super}(\bar{f}_3); \text{this}.\bar{f}_i = \bar{f}_j \}} \quad (\text{E-CONSTRUCTOR})$$

$$\frac{M = C_1 m(\bar{C}_m \bar{x}) \{ \text{return } t; \}}{\Pi(M) = \Pi(C_1) m(\Pi(\bar{C}_m) \bar{x}) \{ \text{return } \Pi(t); \}} \quad (\text{E-METHOD})$$

$$\frac{C_0 \hookrightarrow C_1 \in \mathbf{TypeMapping}(\Pi)}{\Pi(C_0) = C_1} \quad (\text{E-CLASS})$$

$$\frac{\forall C. C_0 \hookrightarrow C \notin \mathbf{TypeMapping}(\Pi)}{\Pi(C_0) = C_0} \quad (\text{E-ALTER-CLASS})$$

$$\frac{}{\Pi(x) = x} \quad (\text{E-T-VALUE})$$

$$\frac{\begin{array}{l} (x : C_1 \hookrightarrow C_2) [x.f : C \rightarrow r : D] \in \Pi \quad \mathbf{Type}(t) <: C_1 \\ \nexists (x : C_3 \hookrightarrow C_4) [x.f : C \rightarrow r : D] \in \Pi. (\mathbf{Type}(t) <: C_3 <: C_1 \wedge C_3 \neq C_1) \end{array}}{\Pi(t.f) = [x \mapsto \Pi(t)]r} \quad (\text{E-T-FIELD})$$

$$\frac{}{\Pi((C) t) = (\Pi(C)) \Pi(t)} \quad (\text{E-T-CAST})$$

$$\frac{\begin{array}{l} (\bar{d}) [ \text{new } C_0(\bar{x}) : C_1 \rightarrow r : C_r ] \in \Pi \\ \{ \bar{x} : \bar{C}_1 \hookrightarrow \bar{C}_2 \} \subseteq \bar{d} \quad \mathbf{Type}(\bar{t}_u) <: \bar{C}_1 \end{array}}{\Pi(\text{new } C_0(\bar{t}_u)) = [ \bar{x} \mapsto \overline{\Pi(\bar{t}_u)} ](r)} \quad (\text{E-T-NEW})$$

$$\frac{\begin{array}{l} (\bar{y} : \bar{C}_1 \hookrightarrow \bar{C}_2, x_0 : C_3 \hookrightarrow C_4) [x_0.m_0(\bar{y}) : C \rightarrow r : D] \in \Pi \\ \mathbf{Type}(t_0) <: C_3 \quad \mathbf{Type}(\bar{t}_u) <: \bar{C}_1 \\ \nexists (\bar{y} : \bar{C}_1 \hookrightarrow \bar{C}_2, x_0 : C_5 \hookrightarrow C_6) [x_0.m_0(\bar{y}) : C \rightarrow r : D] \in \Pi. (\mathbf{Type}(t_0) <: C_5 <: C_3 \wedge C_5 \neq C_3) \end{array}}{\Pi(t_0.m_0(\bar{t}_u)) = [x_0 \mapsto \Pi(t_0), \bar{y} \mapsto \overline{\Pi(\bar{t}_u)}](r)} \quad (\text{E-T-INVOKE})$$

$$\frac{\text{no other inference rule can be applied}}{\Pi(\text{new } C_0(\bar{t}_u)) = \text{new } C_0(\overline{\Pi(\bar{t}_u)})} \quad (\text{E-ALTER-NEW})$$

$$\frac{\text{no other inference rule can be applied}}{\Pi(t_0.m_0(\bar{t}_u)) = \Pi(t_0).m(\overline{\Pi(\bar{t}_u)})} \quad (\text{E-ALTER-INVOKE})$$

$$\frac{\text{no other inference rule can be applied}}{\Pi(t.f) = \Pi(t).f} \quad (\text{E-ALTER-FIELD})$$

## 2.4 Auxiliary Functions

$$\text{TypeMapping}([( \bar{x} : \overline{C_1 \hookrightarrow C_2} ) [l : C_1 \rightarrow r : C_r]]) = \{C_1 \hookrightarrow C_r\} \cup \{ \overline{C_1 \hookrightarrow C_2} \}$$

$$\text{TypeMapping}(\{\bar{\pi}\}) = \bigcup_{\pi} (\text{TypeMapping}(\pi)) \quad (\text{Extract type migration information})$$

$$\text{Decl}(\text{class } C \text{ extends } D \{ \dots \}) = C \quad (\text{Extract the declared class name})$$

## 2.5 Type Checking Rules

$$\frac{\{ \bar{x} : \bar{C} \} \vdash_1^{\text{API}_s} l : C_1 \quad \{ \bar{x} : \bar{D} \} \vdash_{\text{FJ}}^{\text{API}_d} r : C_2}{(\bar{x} : \bar{C} \hookrightarrow \bar{D})[l : C_1 \rightarrow r : C_2] \text{ ok}} \quad (\text{T-}\pi)$$

$$\frac{\Gamma \vdash_{\text{FJ}}^{\text{API}} x : C_0 \quad \text{mtype}(m, C_0) = \bar{D} \rightarrow C \quad \Gamma \vdash_{\text{FJ}}^{\text{API}} \bar{y} : \bar{D}}{\Gamma \vdash_1^{\text{API}} x.m(\bar{y}) : C} \quad (\text{T-L1})$$

$$\frac{\text{fields}(C) = \bar{D} \bar{f} \quad \Gamma \vdash_{\text{FJ}}^{\text{API}} \bar{x} : \bar{D}}{\Gamma \vdash_1^{\text{API}} \text{new } C(\bar{x}) : C} \quad (\text{T-L2})$$

$$\frac{\text{fields}(C) = \bar{D} \bar{f} \quad \Gamma \vdash_{\text{FJ}}^{\text{API}} x : C}{\Gamma \vdash_1^{\text{API}} x.f_i : D_i} \quad (\text{T-L3})$$

$$\text{RuleOK}(\Pi) = \forall \pi. (\pi \in \Pi \Rightarrow \pi \text{ ok})$$

$$\text{ConstrCover}(\Pi, \text{API}_s, \text{API}_d) =$$

$$\begin{aligned} & \forall C_1, \bar{C}. (\text{class } C_1 \text{ extends } \_ \{ C_1(\bar{C} \_) \dots \} \in (\text{API}_s - \text{API}_d)) \\ & \Rightarrow \exists C_2, \bar{C}', \bar{x}, r. ((\bar{x} : \bar{C} \hookrightarrow \bar{C}') [\text{new } C_1(\bar{x}) : C_1 \rightarrow r : C_2] \in \Pi) \end{aligned}$$

$$\text{MethCover}(\Pi, \text{API}_s, \text{API}_d) =$$

$$\begin{aligned} & \forall C_1, C_2, m, \bar{C}. (\text{class } C_1 \text{ extends } \_ \{ C_2 m(\bar{C} \_) \{ \dots \} \dots \} \in (\text{API}_s - \text{API}_d)) \\ & \Rightarrow \exists x, \bar{y}, C'_1, C'_2, \bar{C}', r. ((x : C_1 \hookrightarrow C'_1, \bar{y} : \bar{C} \hookrightarrow \bar{C}') [x.m(\bar{y}) : C_2 \rightarrow r : C'_2] \in \Pi) \end{aligned}$$

$$\text{FieldCover}(\Pi, \text{API}_s, \text{API}_d) =$$

$$\begin{aligned} & \forall C_1, C_2, f. (\text{class } C_1 \text{ extends } \_ \{ C_2 f; \dots \} \in (\text{API}_s - \text{API}_d)) \\ & \Rightarrow \exists x, C'_1, C'_2. ((x : C_1 \hookrightarrow C'_1) [x.f : C_2 \rightarrow r : C'_2] \in \Pi) \end{aligned}$$

$$\text{MapChecking}(\Pi, \text{API}_s, \text{API}_d) =$$

$$\begin{aligned} & \forall C, D. (C \hookrightarrow D \in \text{TypeMapping}(\Pi)) \\ & \Rightarrow (\exists \text{CL} \in \text{API}_s \cap \text{API}_d. (\text{Decl}(\text{CL}) = C \wedge D = C)) \\ & \quad \vee (\exists \text{CL} \in \text{API}_s - \text{API}_d. (\text{Decl}(\text{CL}) = C)) \end{aligned}$$

$$\text{Subtyping}(\Pi, \text{API}_s, \text{API}_d) =$$

$$\forall C_i, D_i, C_j, D_j. (C_i \hookrightarrow D_i, C_j \hookrightarrow D_j \in \text{TypeMapping}(\Pi) \Rightarrow (C_i <: C_j \Rightarrow D_i <: D_j))$$

## 3 Metatheory

**Lemma 1** (Typing Context). *Given a SWIN program  $\Pi$  acting on  $\text{API}_s$  to  $\text{API}_d$ , suppose the typing context for a term  $t$  is  $\Gamma_s = \bar{x} : \bar{C}$ , then the typing context for  $\Pi(t)$  is  $\Gamma_d = \bar{x} : \Pi(\bar{C})$ . (For simplicity, we use  $\Pi(\Gamma_s)$  to represent  $\bar{x} : \Pi(\bar{C})$ , i.e.  $\Pi$  will act on all variable types in a typing context.)*

*Proof.* According to the FJ typing rules, the typing context will not change once it is created in the rule FJ-M-OK. For the typing context  $\Gamma$ , except the variable `this`, all other variables in the typing context are bounded in the definition of a method  $M$ .

Induction on  $\Gamma$ . Suppose  $\Gamma = \bar{y} : \bar{D}, x : C = \Gamma_1, x : C$ , then  $\Pi(\Gamma_1) = \bar{y} : \Pi(\bar{D})$ .

There are two cases for  $x : C$

- $x = \text{this}$  in  $\Gamma$ . The type  $C$  is a client defined class type, so  $C \notin \text{TypeMapping}(\Pi)$ . According to the rule E-ALTER-CLASS,  $\Pi(C) = C$ , then we have  $\Pi(\Gamma) = \Pi(\Gamma_0), x : \Pi(C) = \bar{y} : \overline{\Pi(D)}, \text{this} : C$ .
- $x$  is an argument in method declaration. According to the rule E-METHOD, after transformation, the type of  $x$  in the definition is  $\Pi(C)$ , thus  $\Gamma = \Pi(\Gamma_0), x : \Pi(C) = \bar{y} : \overline{\Pi(D)}, x : \Pi(C)$ .

With these two cases proved, the lemma is proved.  $\square$

**Lemma 2** (Subtyping). *Suppose  $\Pi$  passes SWIN type checking rules, and it transforms an FJ program with  $\text{API}_s$  to a new program with  $\text{API}_d$ , then:*

$$C_1 <: C_2 \text{ in old program} \implies \Pi(C_1) <: \Pi(C_2) \text{ in the transformed program.}$$

*Proof.* First, we suppose  $C_1 <: C_2$ , in which  $C_1 \neq C_2$  and  $\nexists C$ , s.t.  $C_1 <: C' <: C_2$  and  $C' \neq C_1, C' \neq C_2$ . Consider the two possibilities for  $C_1$ :

- case-1: class  $C_1$  is defined in client code.

In this case, the declaration of  $C_1$  should be  $\text{CL} = \text{class } C_1 \text{ extends } C_2 \{ \dots \}$ . According to the rule E – DECLARATION, we have  $\Pi(\text{CL}) = \text{class } \Pi(C_1) \text{ extends } \Pi(C_2) \{ \dots \}$ . Thus we have  $\Pi(C_1) <: \Pi(C_2)$ .

- case-2: class  $C_1$  is defined in API.

In this case we have  $C_2$  is also a API defined class according to the definition of API in FJ. According to the checking rule **ConstrCover**, there exists  $C_1 \hookrightarrow D_1, C_2 \hookrightarrow D_2 \in \text{TypeMapping}(\Pi)$ . By the checking rule **Subtyping** and the fact that  $C_1 <: C_2$ , we have  $D_1 = \Pi(C_1) <: D_2 = \Pi(C_2)$ .

With this case proved, for any  $C_1 <: C_2$ , it can be split into  $C_1 <: C' <: \dots <: C_2$ . Applying the proof on each step by induction, the lemma is proved.  $\square$

**Lemma 3** (Variable Substitution). *Suppose that an FJ term  $t$  is well typed under context  $\Gamma = \Gamma_1, \{ \bar{x} : \bar{C}_x \}$ , i.e.  $\Gamma \vdash_{\text{FJ}} t : C_t$ , then after substituting terms  $\bar{t}_u$  for variables  $\bar{x}$ , with the property that  $\Gamma_1 \vdash_{\text{FJ}} \bar{t}_u : \bar{C}_u$  and  $\bar{C}_u <: \bar{C}_x$ ,  $t$  can be typed to  $C_t$  or a sub-class of  $C_t$ . Namely,*

$$\Gamma_1, \{ \bar{x} : \bar{C}_x \} \vdash_{\text{FJ}} t : C_t \implies \Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u] t : C'_t, C'_t <: C_t$$

*Proof.* By induction on the derivation on an FJ term  $t$ , there are five cases to discuss:

- case-1:  $t = x$  and  $x$  is a variable.

In this case, we substitute an FJ term  $t_u$  for  $x$ , where  $\Gamma_1 \vdash_{\text{FJ}} t_u : C_u$  and  $C_u <: C_t$ , then the substitution will be  $[x \mapsto t_u]x \Rightarrow t_u$ . As  $\Gamma_1 \vdash_{\text{FJ}} t_u : C_u <: C_t$ , we have  $\Gamma_1 \vdash_{\text{FJ}} [x \mapsto t_u]x : C_u <: C_t$ . Then we have the case proved.

- case-2:  $t = (C)t_1$  and  $\Gamma_1, \{ \bar{x} : \bar{C}_x \} \vdash_{\text{FJ}} t_1 : C_1$ .

In this case, by induction, we have  $\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u] t_1 : C'_1$  and  $C'_1 <: C_1$ . For the term  $t$ , after substitution, we have  $\Gamma_1 \vdash_{\text{FJ}} (C)t_1 : C$  and  $C <: C$ . Then we have the case proved.

- case-3:  $t = t_1.f$  and  $\Gamma_1, \{ \bar{x} : \bar{C}_x \} \vdash_{\text{FJ}} t_1 : C_1$ .

By induction, we have  $\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u] t_1 : C'_1$  and  $C'_1 <: C_1$ . Then for field access, it will still access the same field  $f$  as it did before. Thus we have  $\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u] t_1.f : C_t$  and  $C_t <: C_t$ , and the case is proved.

- case-4:  $t = \text{new } C_0(\bar{t}_1)$  and  $\Gamma_1, \{\bar{x} : \bar{C}_x\} \vdash_{\text{FJ}} \bar{t}_1 : \bar{C}_1$ .

The substitution  $[\bar{x} \mapsto \bar{t}_u]t$  equals to  $\text{new } C_0([\bar{x} \mapsto \bar{t}_u]\bar{t}_1)$ .

As the term  $t$  is well typed, we have:

$$\frac{\text{fields}(C_0) = \bar{D} \quad \bar{f} \quad \Gamma \vdash_{\text{FJ}} \bar{t}_1 : \bar{C}_1 \quad \bar{C}_1 <: \bar{D}}{\Gamma \vdash_{\text{FJ}} \text{new } C(\bar{t}_1) : C_0}$$

By induction, we have  $\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]\bar{t}_1 : \bar{C}'_1$  and  $\bar{C}'_1 <: \bar{C}_1$ , then we have the following derivation:

$$\frac{\text{fields}(C_0) = \bar{D} \quad \bar{f} \quad \Gamma \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]\bar{t}_1 : \bar{C}'_1 \quad \bar{C}'_1 <: \bar{C}_1 <: \bar{D}}{\Gamma \vdash_{\text{FJ}} \text{new } C([\bar{x} \mapsto \bar{t}_u]\bar{t}_1) : C_0}$$

Thus we still have  $\Gamma_1 \vdash_{\text{FJ}} \text{new } C([\bar{x} \mapsto \bar{t}_u]\bar{t}_1) : C_0$  and  $C_0 <: C_0$ . This case is proved.

- case-5:  $t = t_0.m(\bar{t}_1)$  and  $\Gamma_1, \{\bar{x} : \bar{C}_x\} \vdash_{\text{FJ}} \bar{t}_1 : \bar{C}_1, t_0 : C_0$ .

In this case, the substitution  $[\bar{x} \mapsto \bar{t}_u]t$  equals to  $([\bar{x} \mapsto \bar{t}_u]t_0).m([\bar{x} \mapsto \bar{t}_u]\bar{t}_1)$ .

As the term  $t$  is well typed in FJ type system, we have:

$$\frac{\Gamma \vdash_{\text{FJ}} t_0 : C_0 \quad \text{mtype}(m, C_0) = \bar{D} \rightarrow C \quad \Gamma \vdash_{\text{FJ}} \bar{t}_1 : \bar{C}_1 \quad \bar{C}_1 <: \bar{D}}{\Gamma \vdash_{\text{FJ}} t_0.m(\bar{t}_1) : C}$$

By induction, we have  $\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]\bar{t}_1 : \bar{C}'_1, t_0 : C'_0$  and  $C'_0 <: C_0, \bar{C}'_1 <: \bar{C}_1$ .

For the condition that  $C'_0 <: C_0$ , we have  $\text{mtype}(m, C'_0) = \text{mtype}(m, C_0)$  according to the rule METHOD-LOOKUP2.

With these conditions, we have the following derivation for the new term after substitution:

$$\frac{\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]t_0 : C'_0 \quad \text{mtype}(m, C'_0) = \bar{D} \rightarrow C \quad \Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]\bar{t}_1 : \bar{C}'_1 \quad \bar{C}'_1 <: \bar{C}_1 <: \bar{D}}{\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]t_0.m([\bar{x} \mapsto \bar{t}_u]\bar{t}_1) : C}$$

Thus we prove the case that:  $\Gamma_1 \vdash_{\text{FJ}} [\bar{x} \mapsto \bar{t}_u]t : C$  and  $C <: C$ .

With these five cases proved, we have the lemma proved.  $\square$

**Lemma 4** (Term Formation). *Given a well-typed SWIN program  $\Pi$ , if a term  $t$  in the original typing context can be typed to  $C$ , then after transformation by  $\Pi$ , the term is well-typed and its type is a subtype of  $\Pi(C)$ . i.e.*

$$\Gamma_s \vdash_{\text{FJ}}^{\text{API}_s} t : C \implies \Gamma_d \vdash_{\text{FJ}}^{\text{API}_d} \Pi(t) : C', \text{ where } C' <: \Pi(C)$$

*Proof.* By induction on a derivation of a term  $t$ . At each step of the induction, we assume that the desired property holds for all sub-derivations. We give our proof based on the last step of the derivation, which can only be one of the following five cases:

Before we move on to the cases analysis, we should note that according to Lemma 1, we have the relationship that  $\Gamma_d = \Pi(\Gamma_s)$ .

- case-1:  $t = x$  and  $\Gamma_s \vdash_{\text{FJ}}^{\text{API}_s} x : C$ .

In this case, we have that  $\Gamma_d \vdash_{\text{FJ}}^{\text{API}_d} x : \Pi(C)$  according to Lemma 1. Then we have  $\Gamma_d \vdash_{\text{FJ}}^{\text{API}_d} t : \Pi(C)$  and  $\Pi(C) <: \Pi(C)$ , and the case is proved.

- case-2:  $t = (C)t_1$  and  $\Gamma_s \vdash_{\text{FJ}}^{\text{API}_s} t_1 : C_1$ .

According to the rule E-T-CAST,  $\Pi(t) = \Pi(C) \Pi(t_1)$ .

By induction, we have  $\Gamma_d \vdash_{\text{FJ}}^{\text{API}_d} t_1 : C'_1$  and  $C'_1 <: \Pi(C_1)$ .

By the rule FJ-\*CAST (represent one of the three cast rules), we have  $\Gamma_d \vdash_{\text{FJ}}^{\text{API}_d} (\Pi(C)) \Pi(t_1) : \Pi(C)$ , and  $\Pi(C) <: \Pi(C)$ . Thus the case is proved.

- case-3:  $t = t_1.f$  and  $\Gamma_s \vdash_{FJ}^{API_s} t_1 : C_1$ . In this case, there are further two subcases:
  - subcase-1:  $C_1$  is declared in  $API_d$ , i.e.  $\exists CL \in API_s$  and  $Decl(CL) = C_1$ . Then we have  $\Pi(C_1)$  is declared in  $API_d$ .  
According to the checking rule **FieldCover**, we have a transformation rule

$$\pi = (x : C_1 \hookrightarrow C'_1)[x.f : C \hookrightarrow r : C'] \in \Pi$$

to transform the field access expressions.

By the evaluation rule E-T-FIELD, we have  $\Pi(t_1.f) = [x \mapsto \Pi(t_1)]r$ . According to Lemma 3, we have  $\Gamma_d \vdash_{FJ}^{API_d} [x \mapsto \Pi(t_1)]r : C''$  and  $C'' <: C'$ .

And by the definition of **TypeMapping**, there exists  $C \hookrightarrow C'$  in **TypeMapping**( $\Pi$ ). Thus  $\Pi(C) = C'$ .

With these properties, we have  $\Gamma_d \vdash_{FJ}^{API_d} \Gamma_d \vdash_{FJ}^{API_d} [x \mapsto t_1]r : C''$  and  $C'' <: C' = \Pi(C)$ . And the subcase is proved.

- subcase-2:  $C_1$  is defined in client class declarations.

In this subcase, the rule will be evaluated by the rule E-ALTER-FIELD. By induction, we have  $\Gamma \vdash_{FJ}^{API_d} \Pi(t_1) : C'_1$  and  $C'_1 <: \Pi(C_1)$ . Then according to the rule FJ-FIELD and the auxiliary function FIELD-LOOKUP and the evaluation rule E-DECLARATION, we have the following derivation tree:

$$\frac{\Gamma \vdash_{FJ}^{API_d} \Pi(t_1) : C'_1 \quad \frac{C'_1 <: \Pi(C_1) \quad \Pi(C) f \in \text{field}(\Pi(C_1))}{\Pi(C) f \in \text{field}(C'_1)}}{\Gamma \vdash_{FJ}^{API_d} \Pi(t_1).f : \Pi(C)}$$

And of course,  $\Pi(C) <: \Pi(C)$ , thus we have the subcase proved.

With these two subcases proved, the case for field access is proved.

- case-4:  $t = \text{new } C(\bar{t}_1)$  and  $\Gamma \vdash_{FJ}^{API_s} \bar{t}_1 : \bar{C}_1$ .

In this case, we still have two subcases to discuss.

- subcase-1: The class  $C$  is declared in  $API_s$ , i.e.  $\exists CL \in API_s. (Decl(CL) = C_0)$ , then  $\Pi(C_0)$  should be declared in  $API_d$ .  
By the checking rule **ConstrCover**, there exists the following transformation rule to transform this term:

$$\pi = (\bar{x} : \bar{C}_2 \hookrightarrow \bar{C}'_2)[\text{new } C_0(\bar{x}) : C \hookrightarrow r : C']$$

According to the rule E-T-NEW, we have:

$$\frac{(\bar{x} : \bar{C}_2 \hookrightarrow \bar{C}'_2)[\text{new } C_0(\bar{x}) : C \hookrightarrow r : C'] \in \Pi \quad \frac{\text{Type}(\bar{t}_1) = \bar{C}_1 \quad \bar{C}_1 <: \bar{C}_2}{\text{Type}(\bar{t}_1) <: \bar{C}_2}}{\Pi(\text{new } C(\bar{t}_1)) = [\bar{x} \mapsto \Pi(\bar{t}_1)]r}$$

By Lemma 3, we have  $\Gamma_d \vdash_{FJ}^{API_d} [\bar{x} \mapsto \Pi(\bar{t}_1)]r : C''$  and  $C'' <: C'$ . And according to the definition of **TypeMapping** and the checking rule **Subtyping**, we have  $\Pi(C) = C'$ .

Then we have  $\Gamma_d \vdash_{FJ}^{API_d} \Pi(t) : C''$  and  $C'' <: \Pi(C)$ , and the subcase is proved.

- subcase-2: The class  $C$  is declared in client code. Then the transformation of the term  $t$  should be evaluated according to the rule E-ALTER-NEW, thus we have  $\Pi(t) = \text{new } \Pi(C)(\Pi(\bar{t}_1))$ .

To finish the proof of the subcase, we need the following facts:

- \* According to the rule E-CONSTRUCTOR, the constructor definition is transformed to  $\Pi(C) (\overline{\Pi(C_2)} \bar{x})\{\dots\}$ . Thus  $\text{fields}(\Pi(C)) = \overline{\Pi(C_2)}$
- \* By induction, we have  $\Gamma_d \vdash_{FJ}^{API_d} \overline{\Pi(t_1)} : \bar{C}'_1$  and  $\bar{C}'_1 <: \overline{\Pi(C_1)}$ .
- \* By the typing rule FJ-NEW, we have  $\bar{C}_1 <: \bar{C}_2$ . And by Lemma 2, we have  $\overline{\Pi(C_1)} <: \overline{\Pi(C_2)}$ .

With these facts, we have the following judgment:

$$\frac{\text{fields}(\Pi(C)) = \overline{\Pi(C_2)} \quad \Gamma_d \vdash_{FJ}^{API_d} \overline{\Pi(t_1)} : \bar{C}'_1 \quad \bar{C}'_1 <: \overline{\Pi(C_1)} <: \overline{\Pi(C_2)}}{\Gamma_d \vdash_{FJ}^{API_d} \text{new } \Pi(C)(\overline{\Pi(t_1)}) : \Pi(C)}$$

And this proved the subcase.

With these two subcases proved, the case for object creation is proved.

- case-5:  $t = t_1.m(\bar{t}_2)$  and  $\Gamma_s \vdash_{FJ}^{API_s} t_1 : C_1, \bar{t}_2 : \bar{C}_2$ .

Similar to the previous one, there are also two subcases for this case.

- subcase-1: the class  $C_1$  is declared in  $API_s$ , i.e.  $\exists CL \in API_s. (\text{Decl}(CL) = C_0)$ .

In this subcase, the term  $t_1.m(\bar{t}_2)$  will be transformed by a rule according to E-T-INVOKE as the checking rule MethCover guarantees that there is a rule in  $\Pi$  to transform the method (At least a rule exists to transform the method  $m$  declared in a parent class of  $C$ ).

Suppose the transformation rule is the following one (And this one is the closest rule to transform):

$$(\bar{y} : \bar{C}_3 \hookrightarrow \bar{C}'_3, x : C_4 \hookrightarrow C'_4)[x.m(\bar{y}) : C \rightarrow r : D]$$

Then by the rule E-T-INVOKE, the transformation will be :

$$\frac{(\bar{y} : \bar{C}_3 \hookrightarrow \bar{C}'_3, x : C_4 \hookrightarrow C'_4)[x.m(\bar{y}) : C \rightarrow r : D] \in \Pi \quad \text{Type}(t_1) <: C_4 \quad \text{Type}(\bar{t}_2) <: \bar{C}_3 \quad \nexists (\bar{y} : \bar{C}_3 \hookrightarrow \bar{C}'_3, x : C_5 \hookrightarrow C'_5)[x.m(\bar{y}) : C \rightarrow r : D] \in \Pi. (\text{Type}(t_0) <: C_5 \wedge C_5 \neq C_4)}{\Pi(t_1.m(\bar{t}_2)) = [x \mapsto \Pi(t_1), \bar{y} \mapsto \overline{\Pi(\bar{t}_2)}]r}$$

By Lemma 3, we have  $\Gamma_d \vdash_{FJ}^{API_d} [x \mapsto \Pi(t_1), \bar{y} \mapsto \overline{\Pi(\bar{t}_2)}]r : C'$  and  $C' <: D$ . Also, by the definition of **TypeMapping**, we have  $\Pi(C) = D$ . Thus we have  $\Gamma \vdash_{FJ}^{API_d} t_1.m(\bar{t}_2) : C'$  and  $C' <: \Pi(C)$ . And this subcase is proved.

- subcase-2: the class  $C_1$  is declared in client code. And in this case, the term  $t$  will be transformed by the rule E-ALTER-INVOKE:

$$\Pi(t_1.m(\bar{t}_2)) = \Pi(t_1).m(\overline{\Pi(\bar{t}_2)})$$

To finish the proof of this case, we need the following points:

- \* By induction, we have  $\Gamma_d \vdash_{FJ}^{API_d} \Pi(t_1) : C'_1$  and  $C'_1 <: \Pi(C_1)$ ,  $\Gamma_d \vdash_{FJ}^{API_d} \overline{\Pi(\bar{t}_2)} : \bar{C}'_2$  and  $\bar{C}'_2 <: \overline{\Pi(C_2)}$ .
- \* According to the well-typedness of the original term in  $API_s$ , we have the following derivation:

$$\frac{\Gamma_s \vdash_{FJ}^{API_s} t_1 : C_1 \quad \text{mtype}(m, C_1) = \bar{C}_u \rightarrow C \quad \Gamma_s \vdash_{FJ}^{API_s} \bar{t}_2 : \bar{C}_2 \quad \bar{C}_2 <: \bar{C}_u}{\Gamma_s \vdash_{FJ}^{API_s} t_1.m(\bar{t}_2) : C}$$

- \* According to the rule E-DECLARATION and E-METHOD,  $\text{mtype}(m, \Pi(C_1)) = \Pi(\bar{C}_u) \rightarrow \Pi(C)$ . By the definition of  $\text{mtype}$ , we have  $\text{mtype}(m, C'_1) = \text{mtype}(m, \Pi(C_1))$ .



\* By the checking rule **Subtyping**, we have  $\overline{\Pi(C_2)} <: \overline{\Pi(C_u)}$

With these facts, we can derive the type of the transformed term using the following tree:

$$\frac{\Gamma_d \vdash_{FJ}^{API_d} \Pi(t_1) : C'_1 \quad \text{mtype}(m, C'_1) = \text{mtype}(m, \Pi(C_1)) = \overline{\Pi(C_u)} \rightarrow \Pi(C) \quad \Gamma_d \vdash_{FJ}^{API_d} \overline{\Pi(t_2)} : \bar{C}'_2 \quad \bar{C}'_2 <: \overline{\Pi(C_2)} <: \overline{\Pi(C_u)}}{\Gamma_d \vdash_{FJ}^{API_d} \Pi(t_1).m(\overline{\Pi(t_2)}) : \Pi(C)}$$

And thus we have  $\Gamma_d \vdash_{FJ}^{API_d} \Pi(t) : \Pi(C)$  in this subcase.

With these two subcases proved, we have the lemma holds for the case 5.

With these five cases for a term proved, by induction, the lemma holds for any FJ term.  $\square$

**Lemma 5** (Method Formation). *An FJ method declaration is well formed after transformed by a well-typed SWIN program. i.e. For any  $M$ ,*

$$\Pi(M) = \Pi(C_1) \ m(\Pi(\bar{C}_m) \ \bar{x}) \ \{\text{return } \Pi(t); \}$$

*is well-formed with new API if  $\Pi$  is well typed.*

*Proof.* According to Lemma 4, we have  $\{\bar{x} : \overline{\Pi(C_m)}, \text{this} : \Pi(C_1)\} \vdash_{FJ}^{API_d} t : C'_1$  and  $C'_1 <: \Pi(C_1)$ .

Suppose  $\text{CT}(C) = \text{class } C \text{ extends } D \ \{\dots\}$ , according to the rule E-METHOD and E-DECLARATION,  $\text{override}(m, \Pi(D), \overline{\Pi(C)}) \rightarrow C_1$ .

Then the formation of the transformed term is proved by the FJ-M-OK derivation on these judgments:

$$\frac{\{\bar{x} : \overline{\Pi(C_m)}, \text{this} : \Pi(C_1)\} \vdash_{FJ}^{API_d} t : C'_1 \quad C'_1 <: \Pi(C_1) \quad \text{CT}(\Pi(C)) = \text{class } \Pi(C_1) \text{ extends } \Pi(D) \ \{\dots\} \quad \text{override}(m, \Pi(D), \overline{\Pi(C)}) \rightarrow C_1}{\Pi(C_1) \ m(\bar{C} \ \bar{x}) \ \{\text{return } t_0; \} \text{ OK in } \Pi(C)} \text{ (FJ-M-OK)}$$

$\square$

**Theorem 1** (Type-Safety). *Any FJ program is well-typed after a transformation by a well-typed SWIN program  $\Pi$ . i.e. For any  $CL$ ,*

$$\Pi(CL) = \text{class } \Pi(C_1) \text{ extends } \Pi(C_2) \ \{\Pi(\bar{C}_1) \ \bar{f}_1; \Pi(K) \ \overline{\Pi(M)} \}$$

*is well-typed with new API if  $\Pi$  is well-typed.*

*Proof.* By Lemma 5, we have all method declarations well formed. And by the rule E-CONSTRUCTOR, we have the following derivation:

$$\frac{\Pi(K) = \Pi(C) \ (\overline{\Pi(C)} \ \bar{f}) \ \{\dots\} \quad \text{fields}(\Pi(D)) = \overline{\Pi(D)} \ \bar{g} \quad \overline{\Pi(M)} \text{ ok}}{\text{class } \Pi(C) \text{ extends } \Pi(D) \ \{\overline{\Pi(C)} \ \bar{f}; \Pi(K) \ \overline{\Pi(M)}\} \text{ ok}}$$

With this proved, we have the theorem proved, i.e a well-typed SWIN program can transform any FJ program correctly.  $\square$