

# Character level language model - Dinosaurus Island

Welcome to Dinosaurus Island! 65 million years ago, dinosaurs existed, and in this assignment, they have returned.

You are in charge of a special task: Leading biology researchers are creating new breeds of dinosaurs and bringing them to life on earth, and your job is to give names to these dinosaurs. If a dinosaur does not like its name, it might go berserk, so choose wisely!



Luckily you're equipped with some deep learning now, and you will use it to save the day! Your assistant has collected a list of all the dinosaur names they could find, and compiled them into this [dataset \(dinosaurs.txt\)](#). (Feel free to take a look by clicking the previous link.) To create new dinosaur names, you will build a character-level language model to generate new names. Your algorithm will learn the different name patterns, and randomly generate new names. Hopefully this algorithm will keep you and your team safe from the dinosaurs' wrath!

By the time you complete this assignment, you'll be able to:

- Store text data for processing using an RNN
- Build a character-level text generation model using an RNN
- Sample novel sequences in an RNN
- Explain the vanishing/exploding gradient problem in RNNs
- Apply gradient clipping as a solution for exploding gradients

Begin by loading in some functions that are provided for you in `rnn_utils`. Specifically, you have access to functions such as `rnn_forward` and `rnn_backward` which are equivalent to those you've implemented in the previous assignment.

## Table of Contents

- [Packages](#)
- [1 - Problem Statement](#)
  - [1.1 - Dataset and Preprocessing](#)
  - [1.2 - Overview of the Model](#)
- [2 - Building Blocks of the Model](#)
  - [2.1 - Clipping the Gradients in the Optimization Loop](#)
    - [Exercise 1 - clip](#)
  - [2.2 - Sampling](#)
    - [Exercise 2 - sample](#)
- [3 - Building the Language Model](#)
  - [3.1 - Gradient Descent](#)
    - [Exercise 3 - optimize](#)
  - [3.2 - Training the Model](#)
    - [Exercise 4 - model](#)
- [4 - Writing like Shakespeare \(OPTIONAL/UNGRADED\)](#)
- [5 - References](#)

## Packages

```
In [1]: import numpy as np
from utils import *
import random
import pprint
import copy
```

## 1 - Problem Statement

### 1.1 - Dataset and Preprocessing

Run the following cell to read the dataset of dinosaur names, create a list of unique characters (such as a-z), and compute the dataset and vocabulary size.

```
In [2]: data = open('dinos.txt', 'r').read()
data = data.lower()
chars = list(set(data))
data_size, vocab_size = len(data), len(chars)
print('There are %d total characters and %d unique characters in your
```

a.

- The characters are a-z (26 characters) plus the "\n" (or newline character).
- In this assignment, the newline character "\n" plays a role similar to the <EOS> (or "End of sentence") token discussed in lecture.
  - Here, "\n" indicates the end of the dinosaur name rather than the end of a sentence.
- char\_to\_ix : In the cell below, you'll create a Python dictionary (i.e., a hash table) to map each character to an index from 0-26.
- ix\_to\_char : Then, you'll create a second Python dictionary that maps each index back to the corresponding character.
  - This will help you figure out which index corresponds to which character in the probability distribution output of the softmax layer.

```
In [3]: chars = sorted(chars)
print(chars)
```

```
['\n', 'a', 'b', 'c', 'd', 'e', 'f', 'g', 'h', 'i', 'j', 'k', 'l', 'm',
',', 'n', 'o', 'p', 'q', 'r', 's', 't', 'u', 'v', 'w', 'x', 'y', 'z']
```

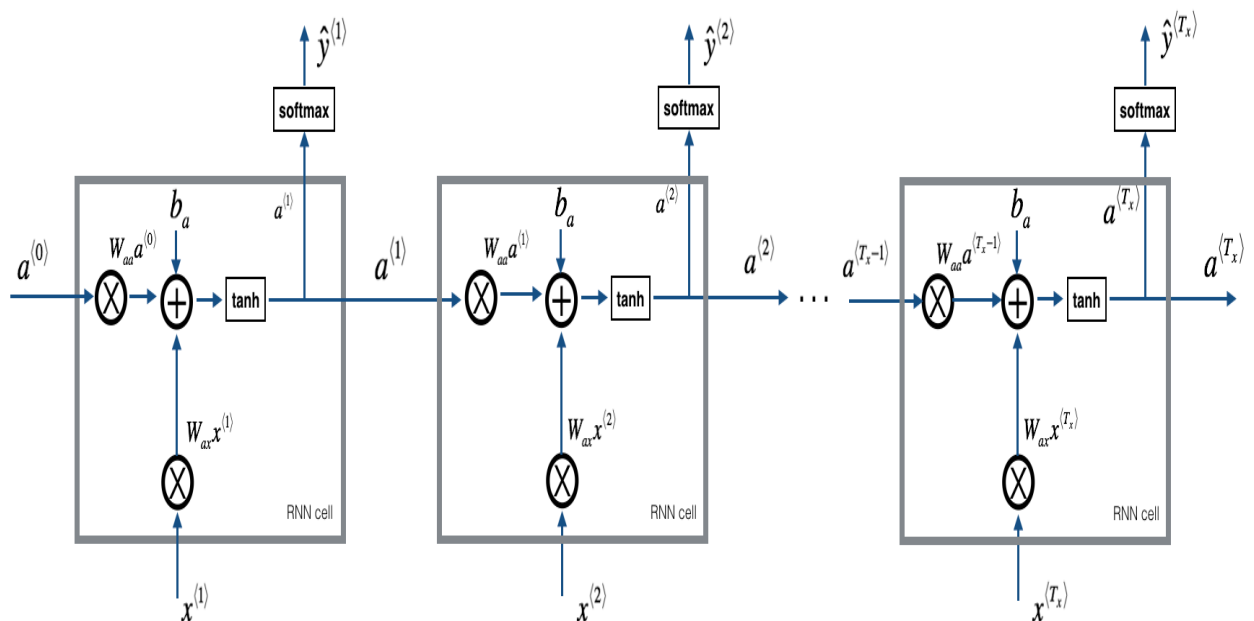
```
In [4]: char_to_ix = { ch:i for i,ch in enumerate(chars) }
        ix_to_char = { i:ch for i,ch in enumerate(chars) }
        pp = pprint.PrettyPrinter(indent=4)
        pp.pprint(ix_to_char)
```

```
{ 0: '\n',
  1: 'a',
  2: 'b',
  3: 'c',
  4: 'd',
  5: 'e',
  6: 'f',
  7: 'g',
  8: 'h',
  9: 'i',
 10: 'j',
 11: 'k',
 12: 'l',
 13: 'm',
 14: 'n',
 15: 'o',
 16: 'p',
 17: 'q',
 18: 'r',
 19: 's',
 20: 't',
 21: 'u',
 22: 'v',
 23: 'w',
 24: 'x',
 25: 'y',
 26: 'z'}
```

## 1.2 - Overview of the Model

Your model will have the following structure:

- Initialize parameters
- Run the optimization loop
  - Forward propagation to compute the loss function
  - Backward propagation to compute the gradients with respect to the loss function
  - Clip the gradients to avoid exploding gradients
  - Using the gradients, update your parameters with the gradient descent update rule.
- Return the learned parameters



**Figure 1:** Recurrent Neural Network, similar to what you built in the previous notebook "Building a Recurrent Neural Network - Step by Step."

- At each time-step, the RNN tries to predict what the next character is, given the previous characters.
- $\mathbf{X} = (x^{(1)}, x^{(2)}, \dots, x^{(T_x)})$  is a list of characters from the training set.
- $\mathbf{Y} = (y^{(1)}, y^{(2)}, \dots, y^{(T_x)})$  is the same list of characters but shifted one character forward.
- At every time-step  $t$ ,  $y^{(t)} = x^{(t+1)}$ . The prediction at time  $t$  is the same as the input at time  $t + 1$ .

## 2 - Building Blocks of the Model

In this part, you will build two important blocks of the overall model:

1. Gradient clipping: to avoid exploding gradients
2. Sampling: a technique used to generate characters

You will then apply these two functions to build the model.

### 2.1 - Clipping the Gradients in the Optimization Loop

In this section you will implement the `clip` function that you will call inside of your optimization loop.

#### Exploding gradients

- When gradients are very large, they're called "exploding gradients."
- Exploding gradients make the training process more difficult, because the updates may be so large that they "overshoot" the optimal values during back propagation.

Recall that your overall loop structure usually consists of:

- forward pass,
- cost computation,
- backward pass,
- parameter update.

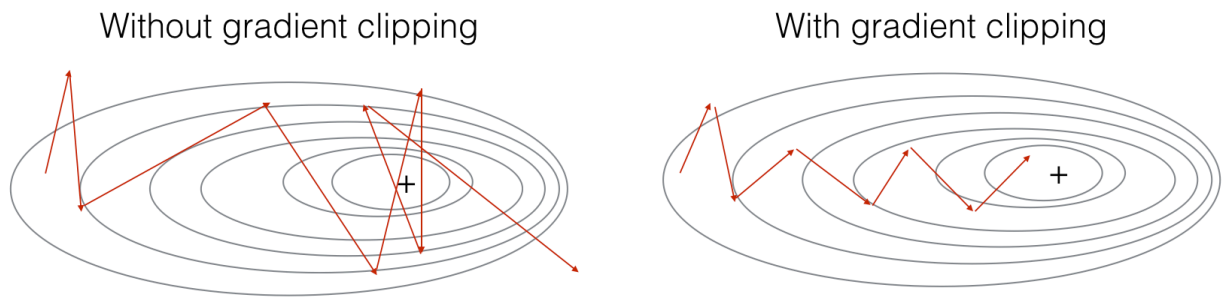
Before updating the parameters, you will perform gradient clipping to make sure that your gradients are not "exploding."

#### Gradient clipping

In the exercise below, you will implement a function `clip` that takes in a dictionary of gradients and returns a clipped version of gradients, if needed.

- There are different ways to clip gradients.
- You will use a simple element-wise clipping procedure, in which every element of the gradient vector is clipped to fall between some range  $[-N, N]$ .
- For example, if the  $N=10$ 
  - The range is  $[-10, 10]$
  - If any component of the gradient vector is greater than 10, it is set to 10.

- If any component of the gradient vector is less than -10, it is set to -10.
- If any components are between -10 and 10, they keep their original values.



**Figure 2:** Visualization of gradient descent with and without gradient clipping, in a case where the network is running into "exploding gradient" problems.

## Exercise 1 - clip

Return the clipped gradients of your dictionary `gradients` .

- Your function takes in a maximum threshold and returns the clipped versions of the gradients.
- You can check out [numpy.clip \(https://docs.scipy.org/doc/numpy-1.13.0/reference/generated/numpy.clip.html\)](https://docs.scipy.org/doc/numpy-1.13.0/reference/generated/numpy.clip.html) for more info.
  - You will need to use the argument " out = ... ".
  - Using the " out " parameter allows you to update a variable "in-place".
  - If you don't use " out " argument, the clipped variable is stored in the variable "gradient" but does not update the gradient variables `dWax` , `dWaa` , `dWya` , `db` , `dby` .

```
In [5]: # UNQ_C1 (UNIQUE CELL IDENTIFIER, DO NOT EDIT)
#### GRADED FUNCTION: clip

def clip(gradients, maxValue):
    '''
    Clips the gradients' values between minimum and maximum.

    Arguments:
    gradients -- a dictionary containing the gradients "dWaa", "dWax",
    maxValue -- everything above this number is set to this number, and
    everything below this number is set to this number.

    Returns:
    gradients -- a dictionary with the clipped gradients.
    '''
    gradients = copy.deepcopy(gradients)

    dWaa, dWax, dWya, db, dby = gradients['dWaa'], gradients['dWax'],
    gradients['dWya'], gradients['db'], gradients['dby']

    ### START CODE HERE ###
    # Clip to mitigate exploding gradients, loop over [dWax, dWaa, dWya, db, dby]:
    for gradient in [dWaa, dWax, dWya, db, dby]:
        np.clip(gradient, -maxValue, maxValue, out = gradient)
    ### END CODE HERE ###

    gradients = {"dWaa": dWaa, "dWax": dWax, "dWya": dWya, "db": db, "dby": dby}

    return gradients
```

```
In [6]: # Test with a max value of 10
def clip_test(target, mValue):
    print(f"\nGradients for mValue={mValue}")
    np.random.seed(3)
    dWax = np.random.randn(5, 3) * 10
    dWaa = np.random.randn(5, 5) * 10
    dWya = np.random.randn(2, 5) * 10
    db = np.random.randn(5, 1) * 10
    dby = np.random.randn(2, 1) * 10
    gradients = {"dWax": dWax, "dWaa": dWaa, "dWya": dWya, "db": db, "dby": dby}

    gradients2 = target(gradients, mValue)
    print("gradients[\"dWaa\"] [1] [2] =", gradients2["dWaa"] [1] [2])
    print("gradients[\"dWax\"] [3] [1] =", gradients2["dWax"] [3] [1])
    print("gradients[\"dWya\"] [1] [2] =", gradients2["dWya"] [1] [2])
    print("gradients[\"db\"] [4] =", gradients2["db"] [4])
    print("gradients[\"dby\"] [1] =", gradients2["dby"] [1])

    for grad in gradients2.keys():
        valuei = gradients[grad]
        valuef = gradients2[grad]
```



```

        mink = np.min(valuef)
        maxk = np.max(valuef)
        assert mink >= -abs(mValue), f"Problem with {grad}. Set a_min
        assert maxk <= abs(mValue), f"Problem with {grad}.Set a_max to
        index_not_clipped = np.logical_and(valuei <= mValue, valuei >=
        assert np.all(valuei[index_not_clipped] == valuef[index_not_cl

    print("\033[92mAll tests passed!\x1b[0m")

clip_test(clip, 10)
clip_test(clip, 5)

```

```

Gradients for mValue=10
gradients["dWaa"][1][2] = 10.0
gradients["dWax"][3][1] = -10.0
gradients["dWya"][1][2] = 0.2971381536101662
gradients["db"][4] = [10.]
gradients["dby"][1] = [8.45833407]
All tests passed!

```

```

Gradients for mValue=5
gradients["dWaa"][1][2] = 5.0
gradients["dWax"][3][1] = -5.0
gradients["dWya"][1][2] = 0.2971381536101662
gradients["db"][4] = [5.]
gradients["dby"][1] = [5.]
All tests passed!

```

### Expected values

```

Gradients for mValue=10
gradients["dWaa"][1][2] = 10.0
gradients["dWax"][3][1] = -10.0
gradients["dWya"][1][2] = 0.2971381536101662
gradients["db"][4] = [10.]
gradients["dby"][1] = [8.45833407]

```

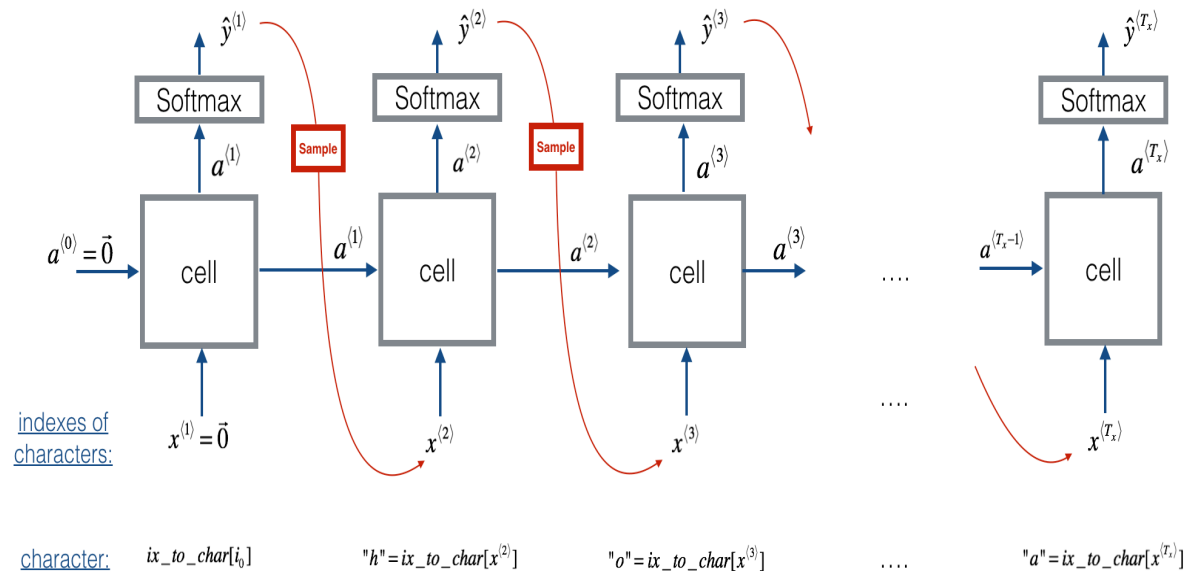
```

Gradients for mValue=5
gradients["dWaa"][1][2] = 5.0
gradients["dWax"][3][1] = -5.0
gradients["dWya"][1][2] = 0.2971381536101662
gradients["db"][4] = [5.]
gradients["dby"][1] = [5.]

```

## 2.2 - Sampling

Now, assume that your model is trained, and you would like to generate new text (characters). The process of generation is explained in the picture below:



**Figure 3:** In this picture, you can assume the model is already trained. You pass in  $x^{(1)} = \vec{0}$  at the first time-step, and have the network sample one character at a time.

### Exercise 2 - sample

Implement the `sample` function below to sample characters.

You need to carry out 4 steps:

- **Step 1:** Input the "dummy" vector of zeros  $x^{(1)} = \vec{0}$ .
  - This is the default input before you've generated any characters. You also set  $a^{(0)} = \vec{0}$

- **Step 2:** Run one step of forward propagation to get  $a^{\langle t+1 \rangle}$  and  $\hat{y}^{\langle t+1 \rangle}$ . Here are the equations:

*hidden state:*

$$a^{\langle t+1 \rangle} = \tanh(W_{ax}x^{\langle t+1 \rangle} + W_{aa}a^{\langle t \rangle} + b) \quad (1)$$

*activation:*

$$z^{\langle t+1 \rangle} = W_{ya}a^{\langle t+1 \rangle} + b_y \quad (2)$$

*prediction:*

$$\hat{y}^{\langle t+1 \rangle} = \text{softmax}(z^{\langle t+1 \rangle}) \quad (3)$$

- Details about  $\hat{y}^{\langle t+1 \rangle}$ :
  - Note that  $\hat{y}^{\langle t+1 \rangle}$  is a (softmax) probability vector (its entries are between 0 and 1 and sum to 1).
  - $\hat{y}_i^{\langle t+1 \rangle}$  represents the probability that the character indexed by "i" is the next character.
  - A `softmax()` function is provided for you to use.

### **Additional Hints**

- $x^{\langle 1 \rangle}$  is `x` in the code. When creating the one-hot vector, make a numpy array of zeros, with the number of rows equal to the number of unique characters, and the number of columns equal to one. It's a 2D and not a 1D array.
- $a^{\langle 0 \rangle}$  is `a_prev` in the code. It is a numpy array of zeros, where the number of rows is  $n_a$ , and number of columns is 1. It is a 2D array as well.  $n_a$  is retrieved by getting the number of columns in  $W_{aa}$  (the numbers need to match in order for the matrix multiplication  $W_{aa}a^{\langle t \rangle}$  to work).
- Official documentation for [numpy.dot](https://docs.scipy.org/doc/numpy/reference/generated/numpy.dot.html) (<https://docs.scipy.org/doc/numpy/reference/generated/numpy.dot.html>) and [numpy.tanh](https://docs.scipy.org/doc/numpy/reference/generated/numpy.tanh.html) (<https://docs.scipy.org/doc/numpy/reference/generated/numpy.tanh.html>)

```
In [7]: matrix1 = np.array([[1,1],[2,2],[3,3]]) # (3,2)
matrix2 = np.array([[0],[0],[0]]) # (3,1)
vector1D = np.array([1,1]) # (2,)
vector2D = np.array([[1],[1]]) # (2,1)
print("matrix1 \n", matrix1, "\n")
print("matrix2 \n", matrix2, "\n")
print("vector1D \n", vector1D, "\n")
print("vector2D \n", vector2D)
```

```
matrix1
[[1 1]
 [2 2]
 [3 3]]
```

```
matrix2
[[0]
 [0]
 [0]]
```

```
vector1D
[1 1]
```

```
vector2D
[[1]
 [1]]
```

```
In [8]: print("Multiply 2D and 1D arrays: result is a 1D array\n",
          np.dot(matrix1,vector1D))
print("Multiply 2D and 2D arrays: result is a 2D array\n",
      np.dot(matrix1,vector2D))
```

```
Multiply 2D and 1D arrays: result is a 1D array
[2 4 6]
```

```
Multiply 2D and 2D arrays: result is a 2D array
[[2]
 [4]
 [6]]
```

```
In [9]: print("Adding (3 x 1) vector to a (3 x 1) vector is a (3 x 1) vector\n",
          "This is what we want here!\n",
          np.dot(matrix1,vector2D) + matrix2)
```

```
Adding (3 x 1) vector to a (3 x 1) vector is a (3 x 1) vector
This is what we want here!
[[2]
 [4]
 [6]]
```

```
In [10]: print("Adding a (3,) vector to a (3 x 1) vector\n",
              "broadcasts the 1D array across the second dimension\n",
              "Not what we want here!\n",
              np.dot(matrix1,vector1D) + matrix2
              )
```

```
Adding a (3,) vector to a (3 x 1) vector
broadcasts the 1D array across the second dimension
Not what we want here!
[[2 4 6]
 [2 4 6]
 [2 4 6]]
```

- **Step 3: Sampling:**

- Now that you have  $y^{(t+1)}$ , you want to select the next letter in the dinosaur name. If you select the most probable, the model will always generate the same result given a starting letter. To make the results more interesting, use `np.random.choice` to select a next letter that is *likely*, but not always the same.
- Pick the next character's **index** according to the probability distribution specified by  $\hat{y}^{(t+1)}$ .
- This means that if  $\hat{y}_i^{(t+1)} = 0.16$ , you will pick the index "i" with 16% probability.
- Use `np.random.choice` (<https://docs.scipy.org/doc/numpy-1.13.0/reference/generated/numpy.random.choice.html>).

Example of how to use `np.random.choice()` :

```
np.random.seed(0)
probs = np.array([0.1, 0.0, 0.7, 0.2])
idx = np.random.choice(range(len(probs)), p = probs)
```

- This means that you will pick the index ( `idx` ) according to the distribution:

$$P(\text{index} = 0) = 0.1, P(\text{index} = 1) = 0.0, P(\text{index} = 2) = 0.7, P(\text{index} = 3) = 0.2$$

- Note that the value that's set to `p` should be set to a 1D vector.
- Also notice that  $\hat{y}^{(t+1)}$ , which is `y` in the code, is a 2D array.
- Also notice, while in your implementation, the first argument to `np.random.choice` is just an ordered list `[0,1,..., vocab_len-1]`, it is *not* appropriate to use `char_to_ix.values()`. The *order* of values returned by a Python dictionary `.values()` call will be the same order as they are added to the dictionary. The grader may have a different order when it runs your routine than when you run it in your notebook.

**Additional Hints**

- Documentation for the built-in Python function [range](https://docs.python.org/3/library/functions.html#func-range) (<https://docs.python.org/3/library/functions.html#func-range>)
- Docs for [numpy.ravel](https://docs.scipy.org/doc/numpy/reference/generated/numpy.ravel.html) (<https://docs.scipy.org/doc/numpy/reference/generated/numpy.ravel.html>), which takes a multi-dimensional array and returns its contents inside of a 1D vector.

```
arr = np.array([[1,2],[3,4]])
print("arr")
print(arr)
print("arr.ravel()")
print(arr.ravel())
```

Output:

```
arr
[[1 2]
 [3 4]]
arr.ravel()
[1 2 3 4]
```

- Note that `append` is an "in-place" operation, which means the changes made by the method will remain after the call completes. In other words, don't do this:

```
fun_hobbies = fun_hobbies.append('learning')  ## Doesn't give
you what you want!
```

- **Step 4:** Update to  $x^{(t)}$ 
  - The last step to implement in `sample()` is to update the variable `x`, which currently stores  $x^{(t)}$ , with the value of  $x^{(t+1)}$ .
  - You will represent  $x^{(t+1)}$  by creating a one-hot vector corresponding to the character that you have chosen as your prediction.
  - You will then forward propagate  $x^{(t+1)}$  in Step 1 and keep repeating the process until you get a `"\\n"` character, indicating that you have reached the end of the dinosaur name.

**Additional Hints**

- In order to reset `x` before setting it to the new one-hot vector, you'll want to set all the values to zero.
  - You can either create a new numpy array: [numpy.zeros](https://docs.scipy.org/doc/numpy/reference/generated/numpy.zeros.html) (<https://docs.scipy.org/doc/numpy/reference/generated/numpy.zeros.html>)
  - Or fill all values with a single number: [numpy.ndarray.fill](https://docs.scipy.org/doc/numpy/reference/generated/numpy.ndarray.fill.html) (<https://docs.scipy.org/doc/numpy/reference/generated/numpy.ndarray.fill.html>)

```
In [11]: # UNQ_C2 (UNIQUE CELL IDENTIFIER, DO NOT EDIT)
# GRADED FUNCTION: sample

def sample(parameters, char_to_ix, seed):
    """
    Sample a sequence of characters according to a sequence of probabilities.

    Arguments:
    parameters -- Python dictionary containing the parameters Waa, Wax
    char_to_ix -- Python dictionary mapping each character to an index
    seed -- Used for grading purposes. Do not worry about it.

    Returns:
    indices -- A list of length n containing the indices of the sample
    """

    # Retrieve parameters and relevant shapes from "parameters" dictionary
    Waa, Wax, Wya, by, b = parameters['Waa'], parameters['Wax'], parameters['Wya'], parameters['by'], parameters['b']
    vocab_size = by.shape[0]
    n_a = Waa.shape[1]

    ### START CODE HERE ###
    # Step 1: Create the a zero vector x that can be used as the one-hot vector
    # Representing the first character (initializing the sequence generation)
    x = np.zeros((vocab_size, 1))
    # Step 1': Initialize a_prev as zeros (≈1 line)
    a_prev = np.zeros((n_a, 1))

    # Create an empty list of indices. This is the list which will contain the sampled characters
    indices = []

    # idx is the index of the one-hot vector x that is set to 1
    # All other positions in x are zero.
    # Initialize idx to -1
    idx = -1

    # Loop over time-steps t. At each time-step:
    # Sample a character from a probability distribution
```

```

# And append its index (`idx`) to the list "indices".
# You'll stop if you reach 50 characters
# (which should be very unlikely with a well-trained model).
# Setting the maximum number of characters helps with debugging and
counter = 0
newline_character = char_to_ix['\n']

while (idx != newline_character and counter != 50):

    # Step 2: Forward propagate x using the equations (1), (2) and
    a = np.tanh(np.dot(Wax, x) + np.dot(Waa, a_prev) + b)
    z = np.dot(Wya, a) + by
    y = softmax(z)

    # For grading purposes
    np.random.seed(counter + seed)

    # Step 3: Sample the index of a character within the vocabulary
    # (see additional hints above)
    idx = np.random.choice(list(range(vocab_size)), p=y.ravel())

    # Append the index to "indices"
    indices.append(idx)

    # Step 4: Overwrite the input x with one that corresponds to t
    # (see additional hints above)
    x = np.zeros((vocab_size, 1))
    x[idx] = 1

    # Update "a_prev" to be "a"
    a_prev = a

    # for grading purposes
    seed += 1
    counter += 1

### END CODE HERE ###

if (counter == 50):
    indices.append(char_to_ix['\n'])

return indices

```



```
In [12]: def sample_test(target):
    np.random.seed(24)
    _, n_a = 20, 100
    Wax, Waa, Wya = np.random.randn(n_a, vocab_size), np.random.randn(
        b, by = np.random.randn(n_a, 1), np.random.randn(vocab_size, 1)
    parameters = {"Wax": Wax, "Waa": Waa, "Wya": Wya, "b": b, "by": by

    indices = target(parameters, char_to_ix, 0)
    print("Sampling:")
    print("list of sampled indices:\n", indices)
    print("list of sampled characters:\n", [ix_to_char[i] for i in indices])

    assert len(indices) < 52, "Indices length must be smaller than 52"
    assert indices[-1] == char_to_ix['\n'], "All samples must end with '\n'"
    assert min(indices) >= 0 and max(indices) < len(char_to_ix), f"Sampled indices {indices} out of range"
    assert np.allclose(indices[0:6], [23, 16, 26, 26, 24, 3]), "Wrong sampled indices"

    print("\033[92mAll tests passed!")

sample_test(sample)
```

```
Sampling:
list of sampled indices:
[23, 16, 26, 26, 24, 3, 21, 1, 7, 24, 15, 3, 25, 20, 6, 13, 10, 8, 2
0, 12, 2, 0]
list of sampled characters:
['w', 'p', 'z', 'z', 'x', 'c', 'u', 'a', 'g', 'x', 'o', 'c', 'y', 't',
', 'f', 'm', 'j', 'h', 't', 'l', 'b', '\n']
All tests passed!
```

### Expected output

```
Sampling:
list of sampled indices:
[23, 16, 26, 26, 24, 3, 21, 1, 7, 24, 15, 3, 25, 20, 6, 13, 1
0, 8, 20, 12, 2, 0]
list of sampled characters:
['w', 'p', 'z', 'z', 'x', 'c', 'u', 'a', 'g', 'x', 'o', 'c',
'y', 't', 'f', 'm', 'j', 'h', 't', 'l', 'b', '\n']
```

### What you should remember:

- Very large, or "exploding" gradients updates can be so large that they "overshoot" the optimal values during back prop -- making training difficult
  - Clip gradients before updating the parameters to avoid exploding gradients
- Sampling is a technique you can use to pick the index of the next character according to a probability distribution.
  - To begin character-level sampling:
    - Input a "dummy" vector of zeros as a default input
    - Run one step of forward propagation to get  $a_{\langle 1 \rangle}$  (your first character) and  $\hat{y}_{\langle 1 \rangle}$  (probability distribution for the following character)
    - When sampling, avoid generating the same result each time given the starting letter (and make your names more interesting!) by using `np.random.choice`

## 3 - Building the Language Model

It's time to build the character-level language model for text generation!

### 3.1 - Gradient Descent

In this section you will implement a function performing one step of stochastic gradient descent (with clipped gradients). You'll go through the training examples one at a time, so the optimization algorithm will be stochastic gradient descent.

As a reminder, here are the steps of a common optimization loop for an RNN:

- Forward propagate through the RNN to compute the loss
- Backward propagate through time to compute the gradients of the loss with respect to the parameters
- Clip the gradients
- Update the parameters using gradient descent

### Exercise 3 - optimize

Implement the optimization process (one step of stochastic gradient descent).

The following functions are provided:

```

def rnn_forward(X, Y, a_prev, parameters):
    """ Performs the forward propagation through the RNN and computes the cross-entropy loss.
    It returns the loss' value as well as a "cache" storing values to be used in backpropagation."""
    ...
    return loss, cache

def rnn_backward(X, Y, parameters, cache):
    """ Performs the backward propagation through time to compute the gradients of the loss with respect to the parameters. It returns also all the hidden states."
    """
    ...
    return gradients, a

def update_parameters(parameters, gradients, learning_rate):
    """ Updates parameters using the Gradient Descent Update Rule."""
    ...
    return parameters

```

Recall that you previously implemented the `clip` function:

### Parameters

- Note that the weights and biases inside the `parameters` dictionary are being updated by the optimization, even though `parameters` is not one of the returned values of the `optimize` function. The `parameters` dictionary is passed by reference into the function, so changes to this dictionary are making changes to the `parameters` dictionary even when accessed outside of the function.
- Python dictionaries and lists are "pass by reference", which means that if you pass a dictionary into a function and modify the dictionary within the function, this changes that same dictionary (it's not a copy of the dictionary).

```

In [13]: # UNQ_C3 (UNIQUE CELL IDENTIFIER, DO NOT EDIT)
# GRADED FUNCTION: optimize

def optimize(X, Y, a_prev, parameters, learning_rate = 0.01):
    """
    Execute one step of the optimization to train the model.

    Arguments:
    X -- list of integers, where each integer is a number that maps to
    Y -- list of integers, exactly the same as X but shifted one index
    a_prev -- previous hidden state.
    parameters -- python dictionary containing:
                    Wax -- Weight matrix multiplying the input, nu
                    Waa -- Weight matrix multiplying the hidden st
                    Wya -- Weight matrix relating the hidden-state
                    b -- Bias, numpy array of shape (n_a, 1)
                    by -- Bias relating the hidden-state to the ou
    learning_rate -- learning rate for the model.

    Returns:
    loss -- value of the loss function (cross-entropy)
    gradients -- python dictionary containing:
                    dWax -- Gradients of input-to-hidden weights,
                    dWaa -- Gradients of hidden-to-hidden weights,
                    dWya -- Gradients of hidden-to-output weights,
                    db -- Gradients of bias vector, of shape (n_a,
                    dby -- Gradients of output bias vector, of sha
    a[len(X)-1] -- the last hidden state, of shape (n_a, 1)
    """

    ### START CODE HERE ###

    # Forward propagate through time (~1 line)
    loss, cache = rnn_forward(X, Y, a_prev, parameters)

    # Backpropagate through time (~1 line)
    gradients, a = rnn_backward(X, Y, parameters, cache)

    # Clip your gradients between -5 (min) and 5 (max) (~1 line)
    gradients = clip(gradients, 5)

    # Update parameters (~1 line)
    parameters = update_parameters(parameters, gradients, learning_rat

    ### END CODE HERE ###

    return loss, gradients, a[len(X)-1]

```

```
In [14]: def optimize_test(target):
    np.random.seed(1)
    vocab_size, n_a = 27, 100
    a_prev = np.random.randn(n_a, 1)
    Wax, Waa, Wya = np.random.randn(n_a, vocab_size), np.random.randn(
        n_a, vocab_size), np.random.randn(n_a, vocab_size)
    b, by = np.random.randn(n_a, 1), np.random.randn(vocab_size, 1)
    parameters = {"Wax": Wax, "Waa": Waa, "Wya": Wya, "b": b, "by": by}
    X = [12, 3, 5, 11, 22, 3]
    Y = [4, 14, 11, 22, 25, 26]
    old_parameters = copy.deepcopy(parameters)
    loss, gradients, a_last = target(X, Y, a_prev, parameters, learning_rate)
    print("Loss =", loss)
    print("gradients[\"dWaa\"] [1] [2] =", gradients["dWaa"] [1] [2])
    print("np.argmax(gradients[\"dWax\"] ) =", np.argmax(gradients["dWax"] [1] [2]))
    print("gradients[\"dWya\"] [1] [2] =", gradients["dWya"] [1] [2])
    print("gradients[\"db\"] [4] =", gradients["db"] [4])
    print("gradients[\"dby\"] [1] =", gradients["dby"] [1])
    print("a_last[4] =", a_last[4])

    assert np.isclose(loss, 126.5039757), "Problems with the call of target"
    for grad in gradients.values():
        assert np.min(grad) >= -5, "Problems in the clip function call"
        assert np.max(grad) <= 5, "Problems in the clip function call"
    assert np.allclose(gradients['dWaa'] [1, 2], 0.1947093), "Unexpected value"
    assert np.allclose(gradients['dWya'] [1, 2], -0.007773876), "Unexpected value"
    assert not np.allclose(parameters['Wya'], old_parameters['Wya']), "Unexpected value"

    print("\033[92mAll tests passed!")
```

```
optimize_test(optimize)
```

```
Loss = 126.50397572165389
gradients["dWaa"] [1] [2] = 0.19470931534713587
np.argmax(gradients["dWax"] ) = 93
gradients["dWya"] [1] [2] = -0.007773876032002162
gradients["db"] [4] = [-0.06809825]
gradients["dby"] [1] = [0.01538192]
a_last[4] = [-1.]
All tests passed!
```

**Expected output**

```

Loss = 126.50397572165389
gradients["dWaa"][1][2] = 0.19470931534713587
np.argmax(gradients["dWax"]) = 93
gradients["dWya"][1][2] = -0.007773876032002162
gradients["db"][4] = [-0.06809825]
gradients["dby"][1] = [0.01538192]
a_last[4] = [-1.]

```

**3.2 - Training the Model**

- Given the dataset of dinosaur names, you'll use each line of the dataset (one name) as one training example.
- Every 2000 steps of stochastic gradient descent, you will sample several randomly chosen names to see how the algorithm is doing.

**Exercise 4 - model**

Implement `model()`.

When `examples[index]` contains one dinosaur name (string), to create an example (X, Y), you can use this:

***Set the index `idx` into the list of examples***

- Using the for-loop, walk through the shuffled list of dinosaur names in the list "examples."
- For example, if there are `n_e` examples, and the for-loop increments the index to `n_e` onwards, think of how you would make the index cycle back to 0, so that you can continue feeding the examples into the model when `j` is `n_e`, `n_e + 1`, etc.
- Hint: `n_e + 1` divided by `n_e` is zero with a remainder of 1.
- `%` is the modulo operator in python.

***Extract a single example from the list of examples***

- `single_example`: use the `idx` index that you set previously to get one word from the list of examples.

**Convert a string into a list of characters: *single\_example\_chars***

- `single_example_chars` : A string is a list of characters.
- You can use a list comprehension (recommended over for-loops) to generate a list of characters.

```
str = 'I love learning'
list_of_chars = [c for c in str]
print(list_of_chars)
```

```
['I', ' ', 'l', 'o', 'v', 'e', ' ', 'l', 'e', 'a', 'r', 'n', 'i', 'n', 'g']
```

- For more on [list comprehensions](https://docs.python.org/3/tutorial/datastructures.html#list-comprehensions) (<https://docs.python.org/3/tutorial/datastructures.html#list-comprehensions>):

**Convert list of characters to a list of integers: *single\_example\_ix***

- Create a list that contains the index numbers associated with each character.
- Use the dictionary `char_to_ix`
- You can combine this with the list comprehension that is used to get a list of characters from a string.

**Create the list of input characters: *X***

- `rnn_forward` uses the **None** value as a flag to set the input vector as a zero-vector.
- Prepend the list [ **None** ] in front of the list of input characters.
- There is more than one way to prepend a value to a list. One way is to add two lists together: `['a'] + ['b']`

**Get the integer representation of the newline character *ix\_newline***

- `ix_newline` : The newline character signals the end of the dinosaur name.
  - Get the integer representation of the newline character `'\n'`.
  - Use `char_to_ix`

**Set the list of labels (integer representation of the characters): Y**

- The goal is to train the RNN to predict the next letter in the name, so the labels are the list of characters that are one time-step ahead of the characters in the input X .
  - For example, Y[0] contains the same value as X[1]
- The RNN should predict a newline at the last letter, so add ix\_newline to the end of the labels.
  - Append the integer representation of the newline character to the end of Y .
  - Note that append is an in-place operation.
  - It might be easier for you to add two lists together.

```
In [17]: # UNQ_C4 (UNIQUE CELL IDENTIFIER, DO NOT EDIT)
# GRADED FUNCTION: model

def model(data_x, ix_to_char, char_to_ix, num_iterations = 35000, n_a
        """
        Trains the model and generates dinosaur names.

        Arguments:
        data_x -- text corpus, divided in words
        ix_to_char -- dictionary that maps the index to a character
        char_to_ix -- dictionary that maps a character to an index
        num_iterations -- number of iterations to train the model for
        n_a -- number of units of the RNN cell
        dino_names -- number of dinosaur names you want to sample at each
        vocab_size -- number of unique characters found in the text (size

        Returns:
        parameters -- learned parameters
        """

    # Retrieve n_x and n_y from vocab_size
    n_x, n_y = vocab_size, vocab_size

    # Initialize parameters
    parameters = initialize_parameters(n_a, n_x, n_y)

    # Initialize loss (this is required because we want to smooth our
    loss = get_initial_loss(vocab_size, dino_names)

    # Build list of all dinosaur names (training examples).
    examples = [x.strip() for x in data_x]

    # Shuffle list of all dinosaur names
    np.random.seed(0)
    np.random.shuffle(examples)
```



```

# Initialize the hidden state of your LSTM
a_prev = np.zeros((n_a, 1))

# for grading purposes
last_dino_name = "abc"

# Optimization loop
for j in range(num_iterations):

    ### START CODE HERE ###

    # Set the index `idx` (see instructions above)
    idx = j % len(examples)

    # Set the input X (see instructions above)
    single_example = examples[idx]
    single_example_chars = [ch for ch in single_example]
    single_example_ix = [char_to_ix[ch] for ch in single_example_c
    X = [None] + single_example_ix

    # Set the labels Y (see instructions above)
    ix_newline = [char_to_ix["\n"]]
    Y = X[1:] + ix_newline

    # Perform one optimization step: Forward-prop -> Backward-prop
    # Choose a learning rate of 0.01
    curr_loss, gradients, a_prev = optimize(X, Y, a_prev, parameter

    ### END CODE HERE ###

    # debug statements to aid in correctly forming X, Y
    if verbose and j in [0, len(examples) - 1, len(examples)]:
        print("j = ", j, "idx = ", idx,)
    if verbose and j in [0]:
        print("single_example =", single_example)
        print("single_example_chars", single_example_chars)
        print("single_example_ix", single_example_ix)
        print(" X = ", X, "\n", "Y = ", Y, "\n")

    # Use a latency trick to keep the loss smooth. It happens here
    loss = smooth(loss, curr_loss)

    # Every 2000 Iteration, generate "n" characters thanks to samp
    if j % 2000 == 0:

        print('Iteration: %d, Loss: %f' % (j, loss) + '\n')

        # The number of dinosaur names to print
        seed = 0
        for name in range(dino_names):

```

```

        # Sample indices and print them
        sampled_indices = sample(parameters, char_to_ix, seed)
        last_dino_name = get_sample(sampled_indices, ix_to_char)
        print(last_dino_name.replace('\n', ''))

        seed += 1 # To get the same result (for grading purposes)

    print('\n')

    return parameters, last_dino_name

```

When you run the following cell, you should observe your model outputting random-looking characters at the first iteration. After a few thousand iterations, your model should learn to generate reasonable-looking names.

```

In [18]: parameters, last_name = model(data.split("\n"), ix_to_char, char_to_ix)

assert last_name == 'Trodonosaurus\n', "Wrong expected output"
print("\033[92mAll tests passed!")

```

```

j = 0 idx = 0
single_example = turiasaurus
single_example_chars ['t', 'u', 'r', 'i', 'a', 's', 'a', 'u', 'r', 'u', 's']
single_example_ix [20, 21, 18, 9, 1, 19, 1, 21, 18, 21, 19]
X = [None, 20, 21, 18, 9, 1, 19, 1, 21, 18, 21, 19]
Y = [20, 21, 18, 9, 1, 19, 1, 21, 18, 21, 19, 0]

```

Iteration: 0, Loss: 23.087336

```

Nkzxwtmdmfqoeyhsqwasjkjvu
Kneb
Kzxwtmdmfqoeyhsqwasjkjvu
Neb
Zxwtmdmfqoeyhsqwasjkjvu
Eb
Xwtmdmfqoeyhsqwasjkjvu

```

```

: 1525 1525 1525

```

**Expected output**

...

Iteration: 22000, Loss: 22.728886

Onustreofkelus

Llecagosaurus

Mystolojmiaterltasaurus

Ola

Yuskeolongus

Eiacosaurus

Trodonosaurus

## Conclusion

You can see that your algorithm has started to generate plausible dinosaur names towards the end of training. At first, it was generating random characters, but towards the end you could begin to see dinosaur names with cool endings. Feel free to run the algorithm even longer and play with hyperparameters to see if you can get even better results! Our implementation generated some really cool names like `maconucon` , `marloralus` and `macingsersaurus` . Your model hopefully also learned that dinosaur names tend to end in `saurus` , `don` , `aura` , `tor` , etc.

If your model generates some non-cool names, don't blame the model entirely -- not all actual dinosaur names sound cool. (For example, `dromaeosauroides` is an actual dinosaur name and is in the training set.) But this model should give you a set of candidates from which you can pick the coolest!

This assignment used a relatively small dataset, so that you're able to train an RNN quickly on a CPU. Training a model of the English language requires a much bigger dataset, and usually much more computation, and could run for many hours on GPUs. We ran our dinosaur name for quite some time, and so far our favorite name is the great, the fierce, the undefeated: **Mangosaurus!**



**Welcome the MANGOSAURUS !**

## Congratulations!

You've finished the graded portion of this notebook and created a working language model! Awesome job.

By now, you've:

- Stored text data for processing using an RNN
- Built a character-level text generation model
- Explored the vanishing/exploding gradient problem in RNNs
- Applied gradient clipping to avoid exploding gradients

You've also hopefully generated some dinosaur names that are cool enough to please you and also avoid the wrath of the dinosaurs. If you had fun with the assignment, be sure not to miss the ungraded portion, where you'll be able to generate poetry like the Bard Himself. Good luck and have fun!

## 4 - Writing like Shakespeare (OPTIONAL/UNGRADED)

The rest of this notebook is optional and is not graded, but it's quite fun and informative, so you're highly encouraged to try it out!

A similar task to character-level text generation (but more complicated) is generating Shakespearean poems. Instead of learning from a dataset of dinosaur names, you can use a collection of Shakespearean poems. Using LSTM cells, you can learn longer-term dependencies that span many characters in the text--e.g., where a character appearing somewhere a sequence can influence what should be a different character, much later in the sequence. These long-term dependencies were less important with dinosaur names, since the names were quite short.



Let's become poets!

Below, you can implement a Shakespeare poem generator with Keras. Run the following cell to load the required packages and models. This may take a few minutes.

```
In [19]: from __future__ import print_function
from tensorflow.keras.callbacks import LambdaCallback
from tensorflow.keras.models import Model, load_model, Sequential
from tensorflow.keras.layers import Dense, Activation, Dropout, Input,
from tensorflow.keras.layers import LSTM
from tensorflow.keras.utils import get_file
from tensorflow.keras.preprocessing.sequence import pad_sequences
from shakespeare_utils import *
import sys
import io
```

```
Loading text data...
Creating training set...
number of training examples: 31412
Vectorizing training set...
Loading model...
```

To save you some time, a model has already been trained for ~1000 epochs on a collection of Shakespearean poems called "[The Sonnets \(shakespeare.txt\)](#)".

Let's train the model for one more epoch. When it finishes training for an epoch (this will also take a few minutes), you can run `generate_output`, which will prompt you for an input (< 40 characters). The poem will start with your sentence, and your RNN Shakespeare will complete the rest of the poem for you! For example, try, "Forsooth this maketh no sense" (without the quotation marks!). Depending on whether you include the space at the end, your results might also differ, so try it both ways, and try other inputs as well.

```
In [20]: print_callback = LambdaCallback(on_epoch_end=on_epoch_end)

model.fit(x, y, batch_size=128, epochs=1, callbacks=[print_callback])

246/246 [=====] - 111s 452ms/step - loss: 2.5577
```

```
Out[20]: <tensorflow.python.keras.callbacks.History at 0x7f35987e1a10>
```

```
In [21]: # Run this cell to try with different inputs without having to re-train
generate_output()
```

Write the beginning of your poem, the Shakespeare machine will complete it. Your input is: Darkness came

Here is your poem:

Darkness camemfared  
 my serefloecite acsed woever i i alls,  
 dething-condare i tell rage by lipe,  
 deserving have of conse and me lost's bo?.

whatsiles to makch behinces hafle i is me.

the bring marad the worck evillling,  
 me batll that for bewer i (enked in read.

suncpore whe proy seef's farth should of love broigh, as thy fever co  
 smand  
 of you be unfeaated wo het dad carting dies,  
 his greesl minour earth libe

## Congratulations on finishing this notebook!

The RNN Shakespeare model is very similar to the one you built for dinosaur names. The only major differences are:

- LSTMs instead of the basic RNN to capture longer-range dependencies
- The model is a deeper, stacked LSTM model (2 layer)
- Using Keras instead of Python to simplify the code

## 5 - References

- This exercise took inspiration from Andrej Karpathy's implementation: <https://gist.github.com/karpathy/d4dee566867f8291f086> (<https://gist.github.com/karpathy/d4dee566867f8291f086>). To learn more about text generation, also check out Karpathy's [blog post](http://karpathy.github.io/2015/05/21/rnn-effectiveness/) (<http://karpathy.github.io/2015/05/21/rnn-effectiveness/>).

In [ ]: