CV of <u>Yuan YAO</u>

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ACADEMIC QUALIFICATIONS

University of California at Berkeley, USA

Ph.D. Mathematics, December 2006

- Dissertation: A dynamic theory of learning: online learning and stochastic algorithms in Reproducing Kernel Hilbert Spaces
- Committee: Stephen Smale (chair), Peter Bartlett, and Steve Evans.

City University of Hong Kong, Hong Kong SAR, China

M.Phil. Mathematics, June 2002

Harbin Institute of Technology, Harbin, China

M.S. Control Engineering, July 1998
B.S. Control Engineering, July 1996

ACADEMIC POSITIONS

Hong Kong University of Science and Technology, China
Department of Mathematics
Department of Chemical and Biological Engineering
(by courtesy) Department of Computer Science and Engineering
Associate Professor — Aug 2016 - present

Peking (Beijing) University, China
School of Mathematical Sciences
Department of Probability and Statistics
Associate Professor with Tenure — July 2015 - present
Professor of Statistics in the Hundred Talents Program[1] — July 2009 - present

Stanford University, USA
Department of Mathematics and Computer Science
Postdoctoral Fellow — August 2006 – August 2009

AWARDS AND GRANTS

Hong Kong RGC General Research Fund, award 16303817
Principal investigator — Aug 2017 - Jul 2020
Social Choice, Crowdsourced Ranking, and Hodge Theory

Tencent AI Lab, collaborative research award
Principal investigator — 2017 -
Regularizations in Deep Learning

Microsoft Research Asia, collaborative research award

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[1]The Program was introduced 10 years ago by Peking University to attract overseas talents, in which I was appointed as Professor in the traditional Chinese academic ranking system. Recently Peking University introduced tenure-track and tenured positions following the US practice. After an international wide evaluation, I was recently appointed as Associate Professor with tenure.

Principal investigator 2015 - 2017
Active Sampling Strategy (Optimal Budget Plan) for Crowdsourced Pairwise Ranking Aggregation

Baidu, collaborative research award
Principal investigator (with Tong Zhang) 2015 -
Statistical Machine Learning Algorithms and Applications for Internet Technology

National Science Foundation of China, award 61370004
Principal investigator 2014 - 2017
Applied Geometric and Topological Methods in Multimedia Data Analysis

National Science Foundation of China, award 61071157
Principal investigator 2011 - 2013
Statistical Theory and Algorithms in Information Fusion for Multimodal Molecular Imaging

National Science Foundation of China (joint China-Japan-Korea (A3)), award 11421110001
co-PI 2014 - 2017
Modeling and Simulation of Hierarchical and Heterogeneous Flow Systems with Applications to Materials Science

National Basic Research Program of China, 973 Program 2015CB856000
co-PI 2015 - 2019
Statistical Learning of Unstructured Data: Mathematical Foundation and Algorithms

National Basic Research Program of China, 973 Program 2012CB825501
co-PI 2012 - 2016
Fundamental Units of Cognation

National Basic Research Program of China, 973 Program 2011CB809105
co-PI 2011 - 2013
Studies of Frontiers and Key Technology in Dynamic Cellullar Signaling

Peking University, Beijing, China
Professorship in the Hundred Talents Program 2009 -

NCSA, USA
co-PI 2009 - 2010
Computational Studies to Elucidate the Fundamental Mechanism of Transcription

PUBLICATIONS

Monographs:

1. **Yao, Yuan**, *A Dynamic Theory of Learning – Online Learning and Stochastic Algorithms in Reproducing Kernel Hilbert Spaces*, Verlag Dr. Müller, ISBN: 978-3-639-09390-2. 2008.

2. **Yao, Yuan**, *A Mathematical Introduction to Data Analysis*, Lecture Notes at Peking University, AMS, preprint.

Journal publications:

1. Qianqian Xu, Jiechao Xiong, Xiaochun Cao, Qingming Huang, and **Yuan Yao**, From Social to Individuals: a Parsimonious Path of Multi-level Models for Crowdsourced Preference Aggregation, *IEEE Transactions on Pattern Analysis and Machine Intelligence*, preprint, 2018.

2. Chendi Huang, Xinwei Sun, Jiechao Xiong, and **Yuan Yao**, Boosting with Structural Sparsity: A Differential Inclusion Approach, *Applied and Computational Harmonic Analysis*, 2017, https://doi.org/10.1016/j.acha.2017.12.004, arXiv:1704.04833

3. Jiechao Xiong, Feng Ruan, and **Yuan Yao**, A Tutorial on Libra: R package for the Linearized Bregman Algorithm in High Dimensional Statistics, invited to *Handbook of Big Data Analytics*,

ed. by Wolfgang Härdle, Henry Horng-Shing Lu, and Xiaotong Shen, Springer, 2018, `arXiv:1604.05910`.

4. Ting Hu and **Yuan Yao**, Learning Rates of Regression with Q-norm Loss and Thresholds, Analysis and Applications, Volume 14, Issue 06, November 2016, DOI: `http://dx.doi.org/10.1142/S0219530516400030`, `arXiv:1701.01956`.

5. Braxton Osting, Jiechao Xiong, Qianqian Xu, and **Yuan Yao**, Analysis of crowdsourced sampling strategies for hodgerank with sparse random graphs, *Applied and Computational Harmonic Analysis*, 2016, `http://dx.doi.org/10.1016/j.acha.2016.03.007`, `arXiv:1503.00164`.

6. Stanley Osher, Feng Ruan, Jiechao Xiong, **Yuan Yao**, and Wotao Yin, Sparse Recovery via Differential Inclusions, *Applied and Computational Harmonic Analysis*, 2016, DOI: `http://dx.doi.org/10.1016/j.acha.2016.01.002`. `arXiv:1406.7728`, with cran R-package `https://cran.r-project.org/web/packages/Libra/`.

7. Liu, Haixia, Raymond H. Chan, and **Yuan Yao**, *Geometric Tight Frame based Stylometry for Art Authentication of van Gogh Paintings*, *Applied and Computational Harmonic Analysis*, 2015, doi:10.1016/j.acha.2015.11.005, `arXiv:1407.0439`.

8. Yanwei Fu, Timothy M. Hospedales, Tao Xiang, Jiechao Xiong, Shaogang Gong, Yizhou Wang, and **Yuan Yao**, *Robust subjective visual property prediction from crowdsourced pairwise labels*, IEEE Transactions on Pattern Analysis and Machine Intelligence, vol.38, no.3, pp. 563-577, March 2016, `arXiv:1501.06202`.

9. Eriksson, Nick and **Yuan Yao**. Metric Learning for Phylogenetic Invariants: An Algebraic Approach to Topology Inference in Evolutionary Trees. *Journal of Chinese Linguistics*, invited paper to special issue on Conference in Evolutionary Linguistics, 2015, in press. `arxiv.org/abs/q-bio/0703034`.

10. Jiang, Xiaoye, **Yuan Yao**, Han Liu, and Leonidas Guibas. Compressive Network Analysis, *IEEE Transactions on Automatic Control*, special issue on Convex Relaxation Methods, 59(11): 2946 - 2961, 2014. `arXiv:1104.4605`.

11. Tarrès, Pierre and **Yuan Yao**. Online Learning as Stochastic Approximations of Regularization Paths: Optimality and Almost-sure Convergence. *IEEE Transactions on Information Theory*, 60(9):5716-5735, 2014. `arXiv.org:1103.5538`.

12. Qianqian Xu, Jiechao Xiong, Qingming Huang, and **Yuan Yao**, Online HodgeRank on Random Graphs for Crowdsourceable QoE Evaluation. *IEEE Transactions on Multimedia*, 16(2): 373-386, Feb 2014.

13. Weinan E, Jianfeng Lu, and **Yuan Yao**, The Landscape of Complex Networks, *Methods and Applications of Analysis*, special issue in honor of Professor Stanley Osher on his 70th birthday, 20(4):383-404, 2013. `arXiv:1204.6376`.

14. Jiang, Xiaoye, Mo Li, **Yuan Yao**, and Leonidas Guibas, Property Management in Wireless Sensor Networks with Overcomplete Radon Bases. *ACM Transactions on Sensor Networks*, 9(3):36, May 2013.

15. **Yuan Yao**, Raymond Z. Cui, Gregory R. Bowman, Daniel Silva, Jian Sun, and Xuhui Huang, Hierarchical Nystrom method for Markov Models in Biomolecular Folding. *Journal of Chemical Physics*. 138 (17):174106, 2013. `arXiv:1301.0974`.

16. Qianqian Xu, Qingming Huang, Tingting Jiang, Bowei Yan, **Yuan Yao**, and Weisi Lin, HodgeRank on Random Graphs for Subjective Video Quality Assessment, *IEEE Transactions on Multimedia*, 14(3): 844-857, 2012.

17. Jiang, Xiaoye, Lek-Heng. Lim, Yinyu Ye and **Yuan Yao**. Statistical Ranking and Combinatorial Hodge Theory. *Mathematical Programming*, Ser. B, Volume 127, Number 1, Pages 203-244, 2011, (online first) 27 November, 2010. `arXiv:0811.1067`.

18. **Yuan Yao**. On Complexity Issue of Online Learning Algorithms. *IEEE Transactions on Information Theory*, 56 (12): 6470-6481, 2010.

19. Caponnetto, Andrea and **Yuan Yao**. Adaption for Regularization Operators in Learning Theory, *CBCL Paper #265/AI Technical Report #063*, Massachusetts Institute of Technology, Cambridge, MA, September, 2006. *Analysis and Applications*, 8(2): 185-197, 2010.

20. **Yuan Yao**, Jian Sun, Xuhui Huang, Gregory Bowman, Vijay Pande, Leonidas Guibas and Gunnar Carlsson. Topological Methods for Exploring Low-density States in Biomolecular Folding Pathways. *J. Chem. Phys.* 130, 144115, 2009.

21. Bowman, Gregory, Xuhui Huang, **Yuan Yao**, Jian Sun, Gunnar Carlsson, Leonidas Guibas and Vijay Pande. Structural insight into RNA hairpin folding intermediates. *Journal of American Chemistry Society*, 130(30): 9676-8, 2008.

22. **Yuan Yao**, Lorenzo Rosasco and Andrea Caponnetto. On Early Stopping in Gradient Descent Learning. *Constructive Approximation*, Special Issue: Learning Theory, 26(2): 289-315, 2007.

23. Smale, S. and **Yuan Yao**. Online Learning Algorithms. *Foundation of Computational Mathematics*, 6(2): 145-170, 2006.

24. **Yuan Yao**, Gian Luca Marcialis, Massimiliano Pontil, Paolo Frasconi, and Fabio Roli. Combining Flat and Structured Representations for Fingerprint Classification with Recursive Neural Networks and Support Vector Machines. *Pattern Recognition*, 36(2): 397-406, 2003.

25. Li, Lian-Feng, Guang-Xiong Wang and **Yuan Yao**. Global Optimal Robust Controller Design. *Journal of Control Theory and Applications* (in Chinese), 18(2): 266-269, 2001.

26. **Yuan Yao**, Guang-Xiong Wang and Tian-Wen Zhang. Morphological Reconstruction for Color Images Implemented by Fuzzy Cellular Neural Networks. *Chinese Journal of Computers* (in Chinese), 22(7): 727-732, 1999.

27. **Yuan Yao**, Guang-Xiong Wang and Tian-Wen Zhang. Application of Fuzzy Cellular Neural Networks to Stone Inscription Reconstruction in Chinese Calligraphy. *Journal of Computer Research and Development* (in Chinese), 36(3): 282-286, 1999.

28. **Yuan Yao**, Lian-Feng Li and Ge-Jun Bao. On the Application Problem of the Gap Metric for SISO Systems. *Journal of Harbin Institute of Technology* (in Chinese), 31(6): 19-21, 1999.

29. **Yuan Yao** and Jing Luo. FEM-Based Modeling in Servo Design. *Electric Machine and Control* (in Chinese), 2(2): 108-111, 1998.

Conference publications:

1. Qianqian Xu, Zhiyong Yang, Yangbangyan Jiang, Xiaochun Cao, Qingming Huang, **Yuan Yao**. Deep Robust Subjective Visual Property Prediction in Crowdsourcing. Conference on Computer Vision and Pattern Recognition (CVPR), Long Beach, CA, June 16-20, 2019. arXiv:1903.03956.

2. Chao Gao, Jiyi Liu, **Yuan Yao**, Weizhi Zhu. Robust Estimation and Generative Adversarial Networks. *ICLR*, New Orleans, LA. May 6-9, 2019. arXiv:1810.02030.

3. Qianqian Xu, Jiechao Xiong, Xinwei Sun, Zhiyong Yang, Xiaochun Cao, Qingming Huang, **Yuan Yao**. A Margin-based MLE for Crowdsourced Partial Ranking. *In 2018 ACM Multimedia Conference (MM-18)*, October 22-26, 2018, Seoul, Republic of Korea. arXiv:1807.11014

4. Xinwei Sun, Lingjing Hu, Fandong Zhang, **Yuan Yao**, Yizhou Wang. FDR-HS: An Empirical Bayesian Identification of Heterogenous Features in Neuroimage Analysis. *The 21st International Conference on Medical Image Computing and Computer Assisted Intervention (MICCAI)*, Granada, Spain, Sep 16 - 20, 2018. arXiv:1807.08125.

5. Bo Zhao, Xinwei Sun, Yanwei Fu, **Yuan Yao**, Yizhou Wang. MSplit LBI: Realizing Feature Selection and Dense Estimation Simultaneously in Few-shot and Zero-shot Learning. *The 35th International Conference on Machine Learning (ICML)*, Stockholm, Sweden, 2018. arXiv:1806.04360.

6. Tim Tsz-Kit Lau, Jinshan Zeng, Baoyuan Wu, and **Yuan Yao**. A Proximal Block Coordinate Descent Algorithm for Deep Neural Network Training *The 6th International Conference on Learning Representations (ICLR)*, 2018, Workshop Track. `arXiv:1803.09082`.

7. Jinshan Zeng, Ke Ma, and **Yuan Yao**, Finding Global Optima in Nonconvex Stochastic Semidefinite Optimization with Variance Reduction. *Proceedings of the 21st International Conference on Artificial Intelligence and Statistics (AISTATS)*, Lanzarote, Spain, 2018. `arXiv:1802.06232`.

8. Chendi Huang and **Yuan Yao**, A Unified Dynamic Approach to Sparse Model Selection. *Proceedings of the 21st International Conference on Artificial Intelligence and Statistics (AISTATS)*, Lanzarote, Spain, 2018.

9. Ke Ma, Jinshan ZENG, Jiechao Xiong, Qianqian Xu, Xiaochun Cao, Wei Liu, and **Yuan Yao**. Stochastic Non-Convex Ordinal Embedding with Stabilized Barzilai-Borwein Step Size. *The Thirty-Second AAAI Conference on Artificial Intelligence (AAAI-18)*, New Orleans, Louisiana, Feb 2-7, 2018. `arXiv:1711.06446`

10. Qianqian Xu, Jiechao Xiong, xi Chen, Qingming Huang, and **Yuan Yao**. HodgeRank with Information Maximization for Crowdsourced Pairwise Ranking Aggregation. *The Thirty-Second AAAI Conference on Artificial Intelligence (AAAI-18)*, New Orleans, Louisiana, Feb 2-7, 2018. `arXiv:1711.05957`.

11. Tsz Kit Lau and **Yuan Yao**. Accelerated Block Coordinate Proximal Gradients with Applications in High Dimensional Statistics. *The 10th NIPS Workshop on Optimization for Machine Learning (NIPS 2017)*, Long Beach, California, Dec 3-8, 2017. `arXiv:1710.05338`.

12. Qianqian Xu, Ming Yan, Chendi Huang, Jiechao Xiong, Qingming Huang, and **Yuan Yao**. Exploring Outliers in Crowdsourced Ranking for QoE. *ACM Conference on Multimedia (ACMMM)* (Oral presentation), Mountain View, California, Oct 23-27, 2017. `arXiv:1707.07539`.

13. Xinwei Sun, Lingjing Hu, **Yuan Yao**, and Yizhou Wang. GSplit LBI: Taming the Procedural Bias in Neuroimaging for Disease Prediction. *Medical Image Computing and Computer Assisted Interventions Conference (MICCAI)*, Quebec City, Canada, Sept 10-14, 2017. `arXiv:1705.09249`.

14. Lijing Wang, Yangzhong Tang, Stevan Djakovic, Julie Rice, Tony Wu, Daniel J. Anderson, and **Yuan Yao**, A Statistical Learning Approach for Drug Sensitivity Prediction with Cancer Cell Line Data, *Dahshu 2017: Data Science and Computational Precision Health*, San Francisco, Feb 20-22, 2017.

15. Chendi Huang, Xinwei Sun, Jiechao Xiong, and **Yuan Yao**, Split LBI: an Iterative Regularization Path with Structural Sparsity, *Advances in Neural Information Processing Systems 29 (NIPS)*, Barcelona, Spain, December 5-10, 2016.

16. Qianqian Xu, Jiechao Xiong, and **Yuan Yao**, Parsimonious Mixed-Effects HodgeRank for Crowdsourced Preference Aggregation, *ACM Conference on Multimedia (ACMMM)*, Netherland, Oct 2016. `arXiv:1607.03401`.

17. Qianqian Xu, Jiechao Xiong, Xiaochun Cao, and **Yuan Yao**, False Discovery Rate Control and Statistical Quality Assessment of Annotators in Crowdsourced Ranking, *International Conference on Machine Learning (ICML)*, New York, June 18- 25, 2016, `arXiv:1605.05860`.

18. Qing Wang, Hengshu Zhu, Wei Hu, Zhiyong Shen, and **Yuan Yao**, *Discerning Tactical Patterns for Professional Soccer Teams: An Enhanced Topic Model with Applications*, *Proceedings of the 21th ACM SIGKDD International Conference on Knowledge Discovery and Data Mining (KDD)*, Sydney, Australia, Aug 10-13, 2015.

19. Yanwei Fu, Timothy M. Hospedales, Tao Xiang, Shaogang Gong, and **Yuan Yao**. Interestingness Prediction by Robust Learning to Rank. *13th European Conference on Computer Vision (ECCV)*, Zurich, Switzerland, Sep 8-12, 2014.

20. Qianqian Xu, Jiechao Xiong, Qingming Huang, and **Yuan Yao**. Robust Evaluation for Quality of Experience in Crowdsourcing. *ACM Conference on Multimedia (ACMMM)*, Barcelona, Catalunya, Spain, 2013.

21. Qianqian Xu, Qingming Huang, and **Yuan Yao**, Online Crowdsourcing Subjective Image Quality Assessment. *ACM Conference on Multimedia (ACMMM)*, Nara, Japan, 2012.

22. Xiuyuan Cheng, Jiechao Xiong, and **Yuan Yao**, Phase Transitions in Robust Ranking, preprint, short version appeared in Challenges in Geometry, Analysis and Computation: High Dimensional Synthesis. *A Conference in Honor of Ronald R. Coifman, Peter W. Jones and Vladimir Rokhlin, Yale University*, June 4- 6, 2012.

23. Jiang, Xiaoye, **Yuan Yao**, Han Liu and Leonidas Guibas, Detecting Network Cliques with Radon Basis Pursuit, *The Fifteenth International Conference on Artificial Intelligence and Statistics (AISTATS)*. La Palma, Canary Islands, Spain, April 2012.

24. Wei Wang, Cheng Chen, Yizhou Wang, Tingting Jiang, Fang Fang, and **Yuan Yao**. Simulating Human Saccadic Scanpaths on Natural Images. *IEEE Computer Vision and Pattern Recognition (CVPR)*, 2011.

25. Qianqian Xu, Tingting Jiang, **Yuan Yao**, Qingming Huang, Bowei Yan, Weisi Lin. Random Partial Paired Comparison for Subjective Video Quality Assessment via HodgeRank. *ACM Conference on Multimedia (ACMMM)*, Scottsdale, Arizona, USA, 2011.

26. Jiang, Xiaoye, Mo Li, **Yuan Yao**, and Leonidas Guibas, Overcomplete Radon Bases for Target Property Management in Sensor Networks, *Information Processing in Sensor Networks (IPSN), the 10th International Conference on*, 2011.

27. Zhanglong Ji, Yang An, Ying Chen, **Yuan Yao**, Jun Xu, and Hang Li, Hodge Decomposition of Paired Comparison Flows in Click-through Data. Oral presentation, in *6th Joint Workshop on Machine Perception and Robotics (MPR)*, Fukuoka, Japan, 2010.

28. Huang, Xuhui, **Yuan Yao**, Jian Sun, Leonidas Guibas, Gunnar Carlsson and Vijay Pande. Constructing Multi-Resolution Markov State Models (MSMS) to Elucidate RNA Hairpin Folding Mechanisms. in *Proceedings of the Pacific Symposium on Biocomputing*, 15, 228-239, 2010.

29. Sun, Jian, **Yuan Yao**, Xuhui Huang, Vijay Pande, Gunnar Carlsson, and Leonidas Guibas. A Fast Geometric Clustering Method on Conformation Space of Biomolecules. *Technical report, Stanford University*, 2009

30. **Yuan Yao**, Jian Sun, Xuhui Huang, Vijay Pande, Leonidas Guibas and Gunnar Carlsson. Topological Methods for Exploring Biomolecular Folding Pathways. *Biomedical Computation at Stanford (BCATS)*, spotlight poster presentation, October 26, 2008, Stanford, CA.

31. Sun, Jian, Xuhui Huang, **Yuan Yao**, Gunnar Carlsson, Vijay Pande and Leonidas Guibas. A Well-controlled Fast Clustering Method on Conformation Space of Biomolecules. *Biomedical Computation at Stanford (BCATS)*, poster presentation, October 26, 2008, Stanford, CA.

32. Bowman, Gregory, Xuhui Huang, **Yuan Yao**, Jian Sun and Vijay Pande. Adaptive Seeding: A New Method for Simulating Biologically Relevant Timescales. *Biomedical Computation at Stanford (BCATS)*, poster presentation, October 26, 2008, Stanford, CA.

33. Scheler, G. and **Yuan Yao**. Equilibria in neuroadaptive pathways. *RECOMB Systems Biology*, poster presentation, Dec 1-2, 2007, La Jolla, CA.

34. Minh, Ha Quang, Partha Niyogi and **Yuan Yao**. Mercer's Theorem, Feature Maps, and Smoothing. In: *Proc. of Computational Learning Theory (COLT)*, 2006.

35. **Yuan Yao**, Gian Luca Marcialis, Massimiliano Pontil, Paolo Frasconi, and Fabio Roli. A New Machine Learning Approach to Fingerprint Classification. *AI*IA 2001: Advances in Artificial Intelligence*, LNCS, vol.2175: pp. 57-63, 2001.

36. **Yuan Yao**, Massimiliano Pontil and Fabio Roli. Fingerprint Classification with Combinations of Support Vector Machines. In: *Proceedings of Audio- and Video-Based Biometric Person Authentication, Third International Conference, AVBPA 2001*: Halmstad, Sweden, 2001.

37. **Yuan Yao** and Jin-Yun Ke (2000). Exploring Semantic Complexity by Computational Learning Theory. *Evolutionary Computation and Cognitive Science Workshop (ECCS)*, poster presentation, January, 2000, Melbourne, Australia, 2000.

38. **Yuan Yao**, Xiao-Feng Zhang, Tian-Wen Zhang and Guang-Xiong Wang. Multiscale Morphology for Color Images Implemented by Fuzzy Cellular Neural Network. In: *Proceedings of IEEE Hong Kong Symposium on Robotics and Control*, July 1999, Hong Kong, pp. 459-462.

39. **Yuan Yao**, Jing-Bo Wang, Lian-Feng Li and Guang-Xiong Wang. Optimal Robust Performance in Constantly Scaled $\mathscr{H}_\infty$ control. *Korea-China Process System Engineering Workshop.* Korea, August 1999.

40. **Yuan Yao**, Lian-Feng Li, Guang-Xiong Wang and Jing-Bo Wang. Robust Gain-scheduled $\mathscr{H}_\infty$ control with Constant Diagonal Scaling. In: *Proceedings of IEEE Hong Kong Symposium on Robotics and Control*, July 1999, Hong Kong, pp. 628-632, 1999.

41. Xiao-Feng Wang, **Yuan Yao**, Guang-Xiong Wang and Jing-Bo Wang. Application of Quadratic Stabilization, Constantly Scaled $\mathscr{H}_\infty$ control and μ-Synthesis. In: *Proceedings of IEEE Hong Kong Symposium on Robotics and Control*, July 1999, Hong Kong, pp. 633-637, 1999.

42. Jingbo Wang, Jibril Jiya, Xiaoping Liu, **Yuan Yao**, Guang-Xiong Wang and Shijie Xu. Identifying Noise Model in Closed-Loop Using Subspace Method. In: *Proceedings of the IEEE International Vehicle Electronics Conference (IVEC '99)*, pp. 349-351, September 6-9, 1999, Changchun, China,

Preprints:

1. Jinshan Zeng, Shao-Bo Lin, Yuan Yao. A Convergence Analysis of Nonlinearly Constrained ADMM in Deep Learning. `arXiv:1902.02060`

2. Chao Gao, Yuan Yao, Weizhi Zhu. Generative Adversarial Nets for Robust Scatter Estimation: A Proper Scoring Rule Perspective. `arXiv:1903.01944`.

3. Weizhi Zhu, Yifei Huang, Yuan Yao. On Breiman's Dilemma in Neural Networks: Phase Transitions of Margin Dynamics. `arXiv:1810.03389`.

4. Jinshan Zeng, Shikang Ouyang, Tim Tsz-Kit Lau, Shaobo Lin, and **Yuan Yao**. Global Convergence in Deep Learning with Variable Splitting via the Kurdyka-Lojasiewicz Property. `arXiv:1803.00225`, preprint, 2018.

5. Xiao Li, Jinzhu Jia, and **Yuan Yao**, *Mixed and missing data: a unified treatment with latent graphical models*, `arXiv:1511.04656`.

6. Qianqian Xu, Jiechao Xiong, Qingming Huang, and **Yuan Yao**, *Robust Statistical Ranking: Theory and Algorithms*, `arXiv:1408.3467`.

TALKS

- *Theories and Open Problems in Deep Learning*, Round Table Workshop on Cognitive Emergence, Peak Conference of Science and Technology in China, Shenzhen, March 17, 2019.

- *Robust Estimation and Generative Adversarial Networks*, A3 Workshop, RIKEN, Kobe, Japan, March 9, 2019.

- *Rethinking Generalization and Robustness in Neural Networks: Breiman's Dilemma and Huber's Agnostic Contamination Model*, Applied Mathematics Colloquium, University of California at Berkeley, Nov 1, 2018.

- *Localization of Heterogeneous Disease Features in Medical Imaging*, IAS Extended Workshop on Genomes, Cells, and Mathematics, City University of Hong Kong, July 2018.

- *Differential Inclusion Method in High Dimensional Statistics*, Zhejiang University, July 14, 2018.

- *Differential Inclusion Method in High Dimensional Statistics*, Peking University, June 14, 2018.

- *On Mathematical Theories of Deep Learning*, Applied Math Seminar, Stanford University, May 30, 2018.

- *Differential Inclusion Method in High Dimensional Statistics*, Institute for Mathematical Sciences, National University of Singapore, Feb 13, 2018.

- *A Differential Inclusion Approach to Variable Selection and Applications*, Foundation of Computational Mathematics, Barcelona, Spain, July 10-19, 2017.

- *Boosting with Structural Sparsity and Alzheimer Disease Detection*, Third International Conference on Engineering and Computational Mathematics (ECM), Hong Kong Polytechnic University, May 31-June 2, 2017.

- *Boosting with Structural Sparsity and Alzheimer Disease Detection*, The First International Conference on Mathematics of Data Science, HKBU, March 20-24, 2017.

- *Sparse Recovery via Differential Inclusions*, Applied Mathematics Seminar, University of California at Berkeley, March 1, 2017.

- *L_1-Boost? A Dynamic Approach to Variable Selection and Sparse Recovery with R*, Keynote speech at ICIAM satellite conference, the 1st International Conference on Data Science: Foundation and Applications, Nov. 21-22, Shanghai, China.

- `Libra`: *a new R package for Linearized Bregman Algorithms in High Dimensional Statistics*, Keynote speech at China R-language Conference, Shanghai, Nov 21 - 22, 2015.

- *New Methods in Learning Graphical Models with Applications in Immunology*, Bioengineering seminar, jointly by HKUST and MIT, Oct 26, 2015.

- *A Dynamic Approach to Variable Selection in High Dimensional Statistics – with R package Libra*, Keynote speech at China R-language Conference, Nanchang, Oct 24 - 25, 2015.

- *A Dynamic Approach to Variable Selection and Sparse Recovery*, Mathematical and Computational Foundations of Learning Theory, Dagstuhl, Germany, Aug 30 - Sep 4, 2015.

- *Critical Points of Networks*, ICIAM Minisymposium on Computational Social Sciences, Beijing, Aug 10-14, 2015.

- *Social Choice Theory and Hodge Decomposition*, ICIAM Minisymposium on Topological Data Analysis and Dynamics, Beijing, Aug 10-14, 2015.

- *A Dynamic Approach to Sparse Recovery*, ICIAM Minisymposium on Numerical Linear Algebra Techniques in Massive Data Analysis, Beijing, Aug 10-14, 2015.

- *Possible from impossibilities – Social Choice Theory and Hodge Decomposition*, LIDS seminar, MIT, July 24, 2015.

- *Social Choice Theory and Hodge Decomposition*, IPAM, University of California at Los Angeles, February 24, 2015.

- *Geometric and Topological Methods in Data Analysis*, University of California at San Diego, February 19, 2015.

- *Geometric Tight Frame Based Stylometry for Art Authentication of van Gogh Paintings*, Tsinghua International Mathematical Forum, Sanya, China, January 23, 2015.

- *Applied Hodge Theory in Data Analysis*, Keynote speech at the workshop of Statistics and Computational Interface to Big Data, Institute of Advanced Studies, HKUST, January 4-16, 2015.

- *A Dynamic Approach to Variable Selection and Sparse Recovery*, Tsinghua International Mathematical Forum, Sanya, China, December 29, 2014.

- *Applied Hodge Theory*, Simons Institute Workshop on Spectral Algorithms: From Theory to Applications, University of California at Berkeley, October 23-30, 2014.

- *Applied Hodge Theory: from Statistical Ranking to Game Theory*, NIMS Workshop on Algebraic Statistics, Daejeon, Korea, July 14-17, 2014.

- *Critical Points and Hierarchical Decomposition of Complex Networks*, HKUST Jockey Club Institute for Advanced Studies, May 15, 2014.

- *Consistency of Early Stopping Regularization in Linearized Bregman Algorithms*, SIAM Conference on Imaging Science, Hong Kong, May 14, 2014.

- *On Statistical Consistency of Early Stopping Regularization in Bregman Iterative Algorithms*, ICSA, Hong Kong, Dec 22, 2013.

- *On Early Stopping and Path Consistency of Bregman Iteration*, International Conference on Learning Theory, Shaoxing, China, Sep 13, 2013.

- *Hodge Theory and Applications in Data Analysis*, IPAM, University of California at Los Angeles, Aug 6, 2013.

- *Hodge Theory and Applications in Data Analysis*, SIAM Conference on Applied Algebraic Geometry, Colorado State University, Aug 1, 2013.

- *Robust Ranking with Hodge Decomposition*, International Conference on Approximation Theory and Applications, Hong Kong, May 27, 2013.

- *Ranking in Crowdsourcing and Hodge Decomposition*, MIT, Apr 24, 2013.

- *The Landscape of Complex Networks: A Topological Approach*, Yale University, Apr 19, 2013

- *Topological Landscape of Complex Networks: Critical Nodes and Hierarchical Decomposition*, INRIA, Saclay, France, Jan 22, 2013.

- *From Graphs to Complexes? A Topological & Geometric Perspective to Data Analysis*, Forum on Data Science and Information Technology, BICMR, Beijing China, Nov 19, 2012.

- *Phylogenetic Trees via Algebraic Invariants*, Conference in Evolutionary Linguistics, Beijing China, Nov 10, 2012.

- *Online Crowdsourcing Subjective Image Quality Assessment*, ACM Conference on Multimedia, Nara, Japan, Oct 31, 2012.

- *Topological Landscape of Complex Networks*, CSIAM, Hefei, China, Aug 21, 2012.

- *Combinatorial Hodge Theory and Applications*, Compiegne, France, Jul 10, 2012.

- *Learning Theory and Approximation*, Oberwolfach, German, Jun 24-30, 2012.

- *Challenges of Data Analysis in Biomolecular Dynamics*, Courant Institute, New York University, Apr 5, 2012.

- *Topological Landscape of Complex Networks*, Duke University, Mar 16, 2012.

- *Topological Landscape of Complex Networks*, PACM Princeton University, Mar 5, 2012.

- *Combinatorial Hodge Theory and Applications*, Toyota Technological Institute at University of Chicago, Feb 20, 2012.

- *Random Partial Paired Comparison for Subjective Video Quality Assessment via HodgeRank*, ACM Conference on Multimedia, Scottsdale, Arizona, USA, Nov 28 - Dec 1, 2011.

- *Landscape of Networks*, Frontiers of Computational and Applied Mathematics, Beijing International Center for Mathematical Researches (BICMR), Beijing, China, Oct 21-25, 2011.

- *A Geometric Approach to Social Choice: Combinatorial Hodge Theory.* Perspective in Mathematics, Beijing International Center for Mathematical Researches (BICMR), Beijing, China, Oct 17-19, 2011.

- *A Geometric Approach to Social Choice: Combinatorial Hodge Theory.* Fields Institute, Canada, September 22, 2011.

- *Landscape of Networks*, International Workshop on Recent Advances in Biomedical Imaging, Shanghai Jiaotong University, Shanghai, China, August 15-18, 2011.

- *Geometric and Topological Methods for Data Science*, Joint Statistical Meetings (JSM), Miami, FL, USA, July 30 - August 4, 2011.

- *Geometric and Topological Methods for Data Science*, Department of Statistics, University of Chicago, July 25, 2011.

- *HodgeRank on Random Graphs*, Minisymposium on Applied Hodge Theory, ICIAM, Vancouver, BC, Canada, July 18-19, 2011.

- *Mathematics of Data*, Summer School on Data Sciences, Fudan University, Shanghai, China, July 11-15, 2011.

- *Progress in Geometric and Topological Data Analysis*, IMS-China International Conference on Statistics and Probability, Xi'An, China, July 8-11, 2011.

- *HodgeRank on Random Graphs*, The Fourth International Conference on Computational Harmonic Analysis, City University of Hong Kong, May 23-27, 2011.

- *HodgeRank on Random Graphs*, SIAM Conference on Optimization, Darmstadt, Germany, May 16-19, 2011.

- *Topological and Geometric Methods in Data Analysis*, IDeAS, Princeton University, Feb 10, 2011.

- *Hodge Theory and Statistical Ranking*, Key Lab of Mathematics and Applied Mathematics (Ministry of Education), Peking University, Dec 18, 2010.

- *Hodge Decomposition of Pairwise Comparison Flows in Click Data*, The 6th Joint Workshop on Machine Perception and Robotics (MPR2010) , Fukuoka, Japan, October 8-9, 2010.

- *Combinatorial Hodge Theory and Statistical Ranking*, Workshop on Mathematics of Ranking, American Institute of Mathematics (AIM), Palo Alto, August 16-20, 2010.

- *Combinatorial Hodge Theory and Statistical Ranking*, The 2nd Pao-lu Hsu Conference on Machine Learning, Xi'an, China, June, 2010.

- *Statistical Ranking Problem and Hodge Decomposition of Network Flows*, Microsoft Research-Asia, Beijing, Jan 20, 2009.

- *Perspectives on Topological and Geometric Methods for High Dimensional Data Analysis*, CityUHK, Jan 8; HKUST, Jan 13; IMS-CUHK, Jan 15, 2009.

- *Topological Methods for Exploring Low-density States in RNA-hairpin Folding*, HKUST, Hong Kong, Jan 12, 2009.

- *Perspectives on Topological and Geometric Methods for Statistics*, Peking University, Beijing, China, Dec 30, 2008.

- *Topological Methods for Exploring Biomolecular Folding Pathways*, spotlight presentation, Biomedical Computation at Stanford (BCATS), Stanford, October 26, 2008.

- *Combinatorial Hodge Theory and A Geometric Approach to Ranking*, SIAM Annual Meeting, minisymposium: Mathematical Methods in Data Mining, San Diego, July 7-11, 2008.

- *Topological Methods for Exploring Low-density States in Biomolecular Folding Pathways*, Modern Massive Data Sets (MMDS), Stanford, June 25-39, 2008.

- *Hodge Decomposition, Spectral Embedding, and the Netflix Dataset*, Bay Area Scientific Computing Day: honoring Professors Kahan and Parlett, MSRI, Berkeley, March 29-30, 2008.

- *Hodge Theory and Rank Aggregation*, Computational Applications of Algebraic Topology, I, AMS sectional meeting, Albuquerque, New Mexico, October 13, 2007.

- *Combinatorial Hodge Theory and Societal Rank Aggregation*, Chern Institute of Mathematics, Nankai Univeristy, Tianjin, China, August 20-25, 2007.

- *Combinatorial Laplacians and Rank Aggregation*, the 6th International Congress of Industrial and Applied Mathematics (ICIAM), minisymposium: Novel Matrix Methods for Internet Data Mining. Zurich, Switzerland, July 16-20, 2007.

- *Topology Learning of High Dimensional Probability Density Functions*, the 1st International Congress of IPIA, Conference on Applied Inverse Problems, minisymposium: Learning from Examples as an Inverse Problem. PIMS, University of British Columbia, Vancouver, Canada, June 25-29, 2007.

- *Metric Learning for Phylogenetic Invariants*, Algebraic Statistics Seminar, University of California at Berkeley, Feb. 5, 2007.

STUDENTS SUPERVISED

Postdoc:
- Zhen Li, Department of Mathematics, Hong Kong University of Science and Technology, 2016-present
- Qianqian Xu, BICMR Peking University, PhD in Computer Science and Engineering, Chinese Academy of Sciences (CAS), 2013-2015, now at CAS

Ph.D. students:
- Weizhi Zhu, Department of Probability and Statistics, Peking University, 2014-2017; Department of Mathematics, HKUST, 2017-present
- Min Fan, Department of Mathematics, HKUST, 2017-present
- Hanlin Gu, Department of Mathematics, HKUST, 2017-present
- Jiechao Xiong, BICMR, Peking University, 2012-2017
- Chendi Huang, Department of Probability and Statistics, Peking University, 2012-2017
- Xinwei Sun, Department of Probability and Statistics, Peking University, 2013-2018
- Zhen Li, Department of Probability and Statistics, Peking University, 2015-present

M.S. students:
- Ying Chen, M.S., Statistics, Peking University, 2012, now at Baidu Inc.
- Bowei Yan, M.S., Statistics, Peking University, 2014, now at University of Texas at Austin
- Qing Wang, M.S., Statistics, Peking University, 2015, now at Baidu Inc.
- Yuan Lv, M.S., Statistics, Peking University, 2015, now at Shanghai bank

Undergraduate (selected) / Visiting students:
- Xiuyuan Cheng, PhD in PACM, Princeton University, 2013, now at Yale University
- Yanwei Fu, PhD in EECS, University of London, Queen Mary, 2013, now at Disney Research
- Xiaoye Jiang, PhD in ICME, Stanford University, 2010, now at 2-Sigma Co. Ltd.
- Jingshu Wang, B.S. Statistics, Peking University 2010, now at Stanford University
- An Yang, B.S. Statistics, Peking University 2010, now at Chinese University of Hong Kong
- Yu Lu, B.S. Statistics, Peking University, 2013, now at Yale University
- Feng Ruan, B.S. Statistics, Peking University 2014, now at Stanford University
- Jiyue Wang, B.S. Math, Shanghai Jiaotong University, 2014, now at Stanford University
- Tian Wang, B.S. Math, Shanghai Jiaotong University, 2014, now at NYU Courant Institute
- Kaizheng Wang, B.S. Statistics, Peking University, 2015, now at Princeton University

COURSES

Taught graduate courses and undergraduate courses. Most course materials are published online in English (www.math.pku.edu.cn/teachers/yaoy/teaching.html; or math.stanford.edu/~yuany/course/).
- A Mathematical Introduction to Data Science with R, Spring 2015 (planned)
- A Mathematical Introduction to Data Science, Fall 2011, Fall 2012, Fall 2013, Fall 2014
- Statistical Learning, Spring 2013, Spring 2014, Fall 2015
- Probability and Statistics, Spring 2015
- Stochastic Dynamical Models in Life Sciences, Spring 2011
- Calculus for undergraduates in Economics and Yuan-Pei College, Fall 2010
- B.S. Statistics Thesis Seminar, Spring 2010
- Selected Topics in Advanced Statistics, Fall 2009

Professional
Service

Journal or conference referee:
- ICML 2013, 2015, 2016, 2017
- NIPS 2003, 2005, 2014, 2016, 2018, and Area Chair 2011
- ICLR 2018 and Area Chair 2019
- AISTATS 2011
- Annals of Statistics
- Applied and Computational Harmonic Analysis
- Frontiers in Applied Mathematics and Statistics (EPFL, Switzerland), Associate Editor
- Foundations of Computational Mathematics
- IEEE Transactions on Information Theory
- Journal of Approximation Theory
- Journal of Machine Learning Research
- Neural Computation
- PLOS One

Conference or workshop organizer:
- Area chairs of ICLR and NIPS
- ICML, workshop on Topological Methods for Machine Learning, 2014
- IMA, workshop on Modern Applications of Homology and Cohomology, 2013
- ICIAM, Minisymposium of Applied Hodge Theory, 2011