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Minimal Problem Solver Generator

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Text of acknowledgements. . .

Abstract

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Resumé

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List of Algorithms

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List of Abbreviations

C(f), C(F)	Set of all coefficients of the polynomial f or of all
-F	polynomials from the set F .
\overline{f}^F	Remainder of the polynomial f on division by F .
gcd	Greatest common multiple.
lcm	Least common divisor.
LC(f), LC(F)	Leading coefficient(s) of the polynomial f or of all
	polynomials from the set F .
LM(f), LM(F)	Leading monomial(s) of the polynomial f or of all
	polynomials from the set F .
LT(f), LT(F)	Leading term(s) of the polynomial f or of all poly-
	nomials from the set F .
M(f), M(F)	Set of all monomials of the polynomial f or of all
	polynomials from the set F .
$S(f_1, f_2)$	S-polynomial of polynomials f_1 and f_2 .
T(f), T(F)	Set of all terms of the polynomial f or of all polyno-
	mials from the set F .
$x \mid y$	x divides y .
1 9	· ·

1 Introduction

Here comes introduction.

2 Polynomial system solving

Firstly we review the state of the art algorithms for computing Gröbner basis. Better understanding of these algorithms helps us to integrate them into polynomial solving algorithms based on Gröbner basis computation more efficiently.

2.1 Buchberger Algorithm

Buchberger Algorithm [2], which was invented by Bruno Buchberger, was the first algorithm for computing Gröbner basis. The algorithm is described in details in [1, 3].

2.1.1 First implementation

The first and easy, but very inefficient implementation of the Buchberger Algorithm, Algorithm 1, is based on the observation that we can extend a set F of polynomials to a Gröbner basis only by adding all non-zero remainders $\overline{S(f_i, f_j)}^F$ of all pairs from F into F until there is no non-zero remainder generated.

The main disadvantage of this simple algorithm is that so constructed Gröbner basis are often bigger than necessary. This implementation of the algorithm is also very inefficient because many of the S-polynomials that are constructed from the critical pairs are reduced to zero so after spending effort on computing them, there is nothing to add to the Gröbner basis G. How to decide which pairs need not be generated is described next.

Algorithm 1 Simple Buchberger Algorithm

Input:

F a finite set of polynomials

Output:

G a finite set of polynomials

```
1: G \leftarrow F
 2: B \leftarrow \{\{g_1, g_2\} \mid g_1, g_2 \in G, g_1 \neq g_2\}
 3: while B \neq \emptyset do
           select \{g_1, g_2\} from B
           B \leftarrow B \setminus \{\{g_1, g_2\}\}\
 5:
           h \leftarrow S(g_1, g_2) \\ h_0 \leftarrow \overline{h}^G
 6:
 7:
           if h_0 \neq 0 then
 8:
                 B \leftarrow B \cup \{\{g, h_0\} \mid g \in G\}
 9:
                 G \leftarrow G \cup \{h_0\}
10:
           end if
11:
12: end while
13: return G
```

2.1.2 Improved Buchberger Algorithm

The combinatorial complexity of the simple implementation of the Buchberger Algorithm can be reduced by testing out certain S-polynomials which need not be considered. To know which pairs can be deleted without treatment, we use the first and the second Buchberger's criterion [1]. Sometimes, we can even delete certain polynomials from the set G completely, knowing that every critical pair they will generate will reduce to zero and hence these polynomials themselves will be superfluous in the output set. In the next few paragraphs we will describe the implementation of the Improved Buchberger Algorithm and of the function Update, which deletes superfluous polynomials from G, according to Gebauer and Möller [5].

The Improved Buchberger Algorithm, Algorithm 2, has the same structure as the Simple Algorithm. The function Update is used at the beginning of the Improved Buchberger Algorithm to initialize the set B of critical pairs and the Gröbner basis G from the input set F of polynomials and at every moment when a new non-zero polynomial $h_0 = \overline{h}^G$ of an S-polynomial h has been found and the sets B and G are about to be updated.

Algorithm 2 Improved Buchberger Algorithm

Input:

F a finite set of polynomials

Output:

G a finite set of polynomials

```
1: G \leftarrow \emptyset
 2: B \leftarrow \emptyset
 3: while F \neq \emptyset do
 4:
           select f from F
           F \leftarrow F \setminus \{f\}
 5:
           (G,B) \leftarrow Update(G,B,f)
 6:
 7: end while
     while B \neq \emptyset do
 9:
           select \{g_1, g_2\} from B
           B \leftarrow B \setminus \{\{g_1, g_2\}\}
10:
           h \leftarrow S(g_1, g_2) \\ h_0 \leftarrow \overline{h}^G
11:
12:
13:
           if h_0 \neq 0 then
                (G,B) \leftarrow Update(G,B,h_0)
14:
           end if
15:
16: end while
17: return G
```

Now, let us look at the function Update, Algorithm 3. First, it makes pairs from the new polynomial h and all polynomials from the set G_{old} and puts them into the set C. The first while loop (lines 3-9) iterates over all pairs in the set C. In each iteration it select a pair $\{h, g_1\}$ from the set C and removes it from the set. Then it looks for another pair $\{h, g_2\}$ from the set C or the set D. If does not exists a pair $\{h, g_2\}$ such that (h, g_2, g_1) is a Buchberger triple, then the pair $\{h, g_1\}$ is put into the set D. The triple (h, g_2, g_1) of polynomials h, g_1 and g_2 is a Buchberger triple if the equivalent conditions

$$LM(g_2) \mid lcm(LM(h), LM(g_1))$$
 (2.1)

$$\operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_2)) \mid \operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_1)) \tag{2.2}$$

$$\operatorname{lcm}(\operatorname{LM}(g_2), \operatorname{LM}(g_1)) \mid \operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_1)) \tag{2.3}$$

are satisfied. From the second Buchberger's criterion, we know that if a Buchberger triple (h, g_2, g_1) shows up in the Buchberger Algorithm and the pairs $\{g_1, g_2\}$ and $\{h, g_2\}$ are amongs the critical pairs, then the pair $\{h, g_1\}$ need not be generated. That means in the code that such a pair is not moved from the set C to the set D but it is only removed from the set C. This while loop keeps all pairs $\{h, g_1\}$ where LM(h) and $LM(g_1)$ are disjoint, i.e. LM(h) and $LM(g_1)$ have no variable in common. The reason of this is that if two or more pairs in C have the same lcm of their leading monomials, then there is a choice which one should be deleted. So we keep the pair where the leading monomials are disjoint. Pairs with disjoint leading monomials are removed in the second while loop, so we eventually remove them all.

The second while loop (lines 11-17) eliminates all pairs with disjoint leading monomials. We can remove such pairs thanks to the first Buchberger's criterion. All remaining pairs are stored in the set E.

The third while loop (lines 19-25) eliminates pairs $\{g_1,g_2\}$ where (g_1,h,g_2) is a Buchberger triple from the set B_{old} . Then the updated set of the old pairs and the new pairs are united into the set B_{new} .

Finally, the last while loop (lines 28-34) removes all polynomials g whose leading monomial is a multiple of the leading monomial of h from the set G_{old} . We can eliminate such polynomials for two reasons. Firstly, $LM(h) \mid LM(g)$ implies $LM(h) \mid lcm(LM(g), LM(f))$ for arbitrary polynomial f. We can see that (g, h, f) is a Buchberger triple for any f which in future appears in the set G. Moreover, polynomial g will not be missed at the end, because in the Gröbner basis G, polynomials with leading monomials which are multiples of leading monomials of another polynomial from G are superfluous, i.e. they will be eliminated in the reduced Gröbner basis.

In the end of the function, the polynomial h is added into the Gröbner basis G_{new} . The output of the function Update is the Gröbner basis G_{new} and the set B_{new} of critical pairs.

2.2 F_4 Algorithm

The F_4 Algorithm [4] by Jean-Charles Faugère is an improved version of the Buchberger's Algorithm. The F_4 replaces the classical polynomial reduction found in the Buchberger's Algorithm by a simultaneous reduction of several polynomials. This reduction mechanism is achieved by a symbolic precomputation followed by Gaussian elimination implemented using sparse linear algebra methods. F_4 speeds up the reduction step by exchanging multiple polynomial divisions for row-reduction of a single matrix.

2.2.1 Improved Algorithm F_4

The main function of the F_4 Algorithm, Algorithm 4, consists of two parts. The goal of the first part is to initialize the whole algorithm.

Algorithm 3 Update

```
Input:
      G_{old} a finite set of polynomials
      B_{old} a finite set of pairs of polynomials
      h a polynomial such that h \neq 0
Output:
      G_{new} a finite set of polynomials
      B_{new} a finite set of pairs of polynomials
  1: C \leftarrow \{\{h,g\} \mid g \in G_{old}\}
 2: D \leftarrow \emptyset
 3: while C \neq \emptyset do
           select \{h, g_1\} from C
 4:
 5:
           C \leftarrow C \setminus \{\{h, g_1\}\}\}
           if LM(h) and LM(g_1) are disjoint or
 6:
                     (\operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_2)) \nmid \operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_1)) \text{ for all } \{h, g_2\} \in C \text{ and }
                     \operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_2)) \nmid \operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_1)) for all \{h, g_2\} \in D) then
                 D \leftarrow D \cup \{\{h, g_1\}\}\
 7:
           end if
 8:
 9: end while
10: E \leftarrow \emptyset
11: while D \neq \emptyset do
           select \{h, g\} from D
12:
           D \leftarrow D \setminus \{\{h,g\}\}\}
13:
14:
           if LM(h) and LM(g) are not disjoint then
                 E \leftarrow E \cup \{\{h,g\}\}
15:
           end if
16:
17: end while
18: B_{new} \leftarrow \emptyset
      while B_{old} \neq \emptyset do
           select \{g_1, g_2\} from B_{old}
20:
21:
            B_{old} \leftarrow B_{old} \setminus \{\{g_1, g_2\}\}\
22:
           if LM(h) \nmid lcm(LM(g_1), LM(g_2)) or
                     \operatorname{lcm}(\operatorname{LM}(g_1), \operatorname{LM}(h)) = \operatorname{lcm}(\operatorname{LM}(g_1), \operatorname{LM}(g_2)) or
                     \operatorname{lcm}(\operatorname{LM}(h), \operatorname{LM}(g_2)) = \operatorname{lcm}(\operatorname{LM}(g_1), \operatorname{LM}(g_2)) then
23:
                 B_{new} \leftarrow B_{new} \cup \{\{g_1, g_2\}\}\
           end if
24:
25: end while
26: B_{new} \leftarrow B_{new} \cup E
27: G_{new} \leftarrow \emptyset
28: while G_{old} \neq \emptyset do
           select g from G_{old}
29:
           G_{old} \leftarrow G_{old} \setminus \{g\}
30:
           if LM(h) \nmid LM(g) then
31:
                 G_{new} \leftarrow G_{new} \cup \{g\}
32:
33:
           end if
34: end while
35: G_{new} \leftarrow G_{new} \cup \{h\}
36: return (G_{new}, B_{new})
```

First, it generates the set P of critical pairs and initializes the Gröbner basis G. This is done by taking each polynomial from the input set F and calling the function Update on it, which updates the set P of pairs and the set G of basic polynomials.

The second part of the algorithm generates new polynomials and adds them into the set G. In each iteration, it selects some pairs from P using the function Sel. Many selection strategies are possible and is still an open question how to best select the pairs. Some selection strategies are described in the section 2.2.6 on page 11. Then, it splits each selected pair $\{f_1, f_2\}$ into two tuples. The first tuple contains the first polynomial f_1 of the pair and the monomial m_1 such that $LM(m_1 \times f_1) = lcm(LM(f_1), LM(f_2))$. The second tuple is constructed in the same way from the second polynomial f_2 of the pair. All tuples from all selected pairs are put into the set L, i.e. duplicates are removed.

Next, function Reduction is called on the set L. It stores result in the set \tilde{F}^+ . In the end of the algorithm it iterates through all new polynomials in the set \tilde{F}^+ and calls the function Update on each of them. This generates new pairs into the set P of critical pairs and extends the Gröbner basis G.

This algorithm terminates when the set P of pairs is empty. Then the set G is a Gröbner basis and it is the output of the algorithm.

Algorithm 4 Improved Algorithm F_4

```
Input:
```

```
F a finite set of polynomials Sel a function List(Pairs) \to List(Pairs) such that Sel(l) \neq \emptyset if l \neq \emptyset Output:
```

G a finite set of polynomials

```
1: G \leftarrow \emptyset
 2: P \leftarrow \emptyset
 3: d \leftarrow 0
 4: while F \neq \emptyset do
           select f form F
           F \leftarrow F \setminus \{f\}
 6:
 7:
           (G,P) \leftarrow Update(G,P,f)
 8: end while
 9: while P \neq \emptyset do
           d \leftarrow d + 1
10:
           P_d \leftarrow Sel(P)
11:
           P \leftarrow P \backslash P_d
12:
           L_d \leftarrow Left(P_d) \cup Right(P_d)
13:
           (\tilde{F}_d^+, F_d) \leftarrow Reduction(L_d, G, (F_i)_{i=1, \dots, (d-1)})
14:
           for h \in \tilde{F}_d^+ do
15:
                (G, P) \leftarrow Update(G, P, h)
16:
17:
           end for
18: end while
19: \mathbf{return} \ G
```

2.2.2 Function Update

In the F_4 Algorithm the standard implementation of the Buchberger's Criteria such as the Gebauer and Möller installation [5] is used. Details about the function *Update* can be found in the section 2.1.2. The pseudocode of the function is shown in Algorithm 3.

2.2.3 Function Reduction

Function Reduction, Algorithm 5, performs polynomial division using methods of linear algebra.

Input of the function Reduction is a set L containing tuples of monomial and polynomial. These tuples were constructed in the main function of the F_4 Algorithm from all selected pairs.

First, the function Reduction calls the function Symbolic Preprocessing on the set L. This returns a set F of polynomials to be reduced. To use linear algebra methods to perform polynomial division, the polynomials have to be represented by a matrix. Each column of the matrix corresponds to a monomial. Columns have to be ordered with respect to the monomial ordering used so that the most right column corresponds to "1". Each row of the matrix corresponds to a polynomial from the set F. The matrix is constructed as follows. On the (i,j) position in the matrix, we put the coefficient of the term corresponding to j-th monomial from the i-th polynomial from the set F.

We next reduce the matrix to a row echelon form using, for example, Gauss-Jordan elimination. Note that this matrix is typically sparse so we can use sparse linear algebra methods to save computation time and memory. After elimination, we construct resulting polynomials by multiplying the reduced matrix by a vector of monomials from the right.

In the end, the function returns the set \tilde{F}^+ of reduced polynomials such that their leading monomials are not leading monomials of any polynomial from the set F of polynomials before reduction.

Algorithm 5 Reduction

```
Input:
```

```
L a finite set of tuples of monomial and polynomial
```

G a finite set of polynomials

 $\mathcal{F} = (F_i)_{i=1,\dots,(d-1)}$, where F_i is finite set of polynomials

Output:

```
F^+ a finite set of polynomials
```

F a finite set of polynomials

```
1: F \leftarrow Symbolic\ Preprocessing(L, G, \mathcal{F})
```

2: $\tilde{F} \leftarrow$ Reduction to a Row Echelon Form of F 3: $\tilde{F}^+ \leftarrow \left\{ f \in \tilde{F} \mid \mathrm{LM}(f) \notin \mathrm{LM}(F) \right\}$

4: return (\tilde{F}^+, F)

2.2.4 Function Symbolic Preprocessing

Function Symbolic Preprocessing, Algorithm 6, starts with a set L of tuples each containing a monomial and a polynomial. These tuples were constructed in the main

function of the F_4 Algorithm from the selected pairs. Then, the tuples are simplified by the function Simplify and after multiplying polynomials with corresponding monomials, the results are put into the set F.

Next, the function goes through all monomials in the set F and for each monomial m looks for some polynomial f from the Gröbner basis G such $m = m' \times LM(f)$ where m' is some monomial. All such polynomials f and monomials m' are after simplification multiplied and put into the set F. The goal of this search is to have for every monomial in F a polynomial in F with the same leading monomial. This will ensure that all polynomials from F will be reduced for G after polynomial division by linear algebra.

Algorithm 6 Symbolic Preprocessing

```
Input:
    L a finite set of tuples of monomial and polynomial
    G a finite set of polynomials
    \mathcal{F} = (F_i)_{i=1,\dots,(d-1)}, where F_i is finite set of polynomials
Output:
    F a finite set of polynomials
 1: F \leftarrow \{ multiply(Simplify(m, f, \mathcal{F})) \mid (m, f) \in L \}
 2: Done \leftarrow LM(F)
 3: while M(F) \neq Done do
 4:
        m an element of M(F) \setminus Done
        Done \leftarrow Done \cup \{m\}
 5:
        if m is top reducible modulo G then
 6:
            m = m' \times LM(f) for some f \in G and some monomial m'
 7:
            F \leftarrow F \cup \{multiply(Simplify(m', f, \mathcal{F}))\}
 8:
        end if
 9:
10: end while
```

2.2.5 Function Simplify

11: return F

The function Simplify, Algorithm 7, simplifies a polynomial $m \times f$ which is a product of a given monomial m and a polynomial f.

The function recursively looks for a monomial m' and a polynomial f' such that $LM(m' \times f') = LM(m \times f)$. The polynomial f' is selected from all polynomials that have been reduced in previous iterations (sets \tilde{F}). We select polynomial f' such that the total degree of m' is minimal.

This is done in the function $Symbolic\ Preprocessing$ to insert polynomials that are mostly reduced and have a small number of monomials into the set F of polynomials to be reduced. This of course speeds up following reduction.

2.2.6 Selection strategy

For the speed of the F_4 Algorithm, it is very important how the critical pairs from the list of all critical pairs P are selected in each iteration. This of course depends on the implementation of the function Sel. There are more possible selection strategies:

• The easiest implementation is to select all pairs from *P*. In this case we reduce all critical pairs at the same time.

Algorithm 7 Simplify

```
Input:
    m a monomial
    f a polynomial
    \mathcal{F} = (F_i)_{i=1,\dots,(d-1)}, where F_i is finite set of polynomials
Output:
    (m', f') a non evaluated product of a monomial and a polynomial
 1: for u \in \text{list of all divisors of } m \text{ do}
        if \exists j \ (1 \leq j \leq d) such that (u \times f) \in F_j then
 2:
            F_j is the Row Echelon Form of F_j
 3:
            there exists a (unique) p \in \tilde{F}_i such that LM(p) = LM(u \times f)
 4:
            if u \neq m then
 5:
                return Simplify(\frac{m}{u}, p, \mathcal{F})
 6:
            else
 7:
                return (1, p)
 8:
            end if
 9:
        end if
10:
11: end for
12: return (m, f)
```

- If the function Sel selects only one critical pair then the F_4 Algorithm is the Buchberger's Algorithm. In this case the Sel function corresponds to the selection strategy in the Buchberger's Algorithm.
- The best function that Faugère has tested is to select all critical pairs with a minimal total degree. Faugère calls this strategy the *normal strategy for* F_4 . Pseudocode of this function can be found as Algorithm 8.

Algorithm 8 Sel – The normal strategy for F_4

```
Input:
```

P a list of critical pairs

Output:

 P_d a list of critical pairs

```
1: d \leftarrow min \{ deg(lcm(p)) \mid p \in P \}
2: P_d \leftarrow \{ p \in P \mid deg(lcm(p)) = d \}
3: return P_d
```

2.3 F_5 Algorithm

3 Automatic generator

The automatic generator of Gröbner basis solvers is used to easily solve problems leading to systems of polynomial equations. These systems usually arise when solving minimal problems [8] in computer vision. Typically, these systems are not trivial so special solvers have to be designed for concrete problems to achieve efficient and numerically stable solvers. But solvers generated for concrete problems can not be easily applied for similar or new problems and therefore the automatic generator was proposed in [7]. Solvers generated by the automatic generator can be easily used to solve complex problems even by non-experts users.

The input of the automatic generator is a system of polynomial equations with a finite number of solutions and the output is a MATLAB or a Maple code that computes solutions of the given system for arbitary coefficients. One of the goals of this thesis is to improve previous implementation [7] of the automatic generator to construct more efficient and numerically stable solvers.

The newest version of the automatic genenerator implemented in MATLAB can be downloaded from [9].

3.1 Description of the automatic generator

In this section we would like to briefly describe the procedure for generating solvers. The automatic generator consists of several independent modules, see Figure 3.1. Since all these modules are independent, they can be easily improved or replaced by more efficient implementations. Next we describe each of these modules, full description can be found in [7].

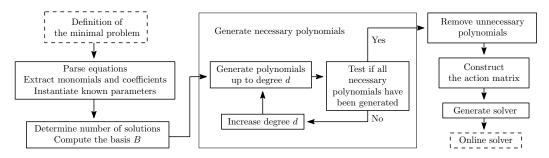


Figure 3.1 Block diagram of the automatic generator

3.1.1 Definition of the minimal problem

Definitions of minimal problems are written in separate functions that are stored in the folder minimalProblems. Each of the definitions has to contain few necessary information about the minimal problem. First of all, the system of polynomial equations with symbolic variables and parameters has to be provided. Next we have to specify the list of unknown variables and known parameters. Optionally if we know the monomial basis B of the polynomial system in advance we can specify it to save some computation

time. The monomial basis B is a set $\{m \mid \overline{m}^G = m\}$ where m is a monomial and G is the Gröbner basis of the given polynomial system. At last we have to set some settings for the automatic generator. We recommend to obtain the default settings by calling the function $gbs_InitConfig()$ and only overwrite the settings we want to change. In the folder minimalProblem there are some examples which are self explanatory and can be used as templates to create new minimal problem definitions.

3.1.2 Equations parser, Instantiating

In the next step we have to parse the given equations, that means we extract used monomials and parameters and obtain total degrees of the polynomials. Then we instantiate each known parameter with a random number from \mathbb{Z}_p . We assign unique identifier to each used parameter. The reason is that we need to track the parameters through the process of adding polynomials in order to be able to restore the process in the solver generation module.

3.1.3 Monomial basis B computation

We need to know the monomials basis B to recognize when we have generated all polynomials that are necessary to build the action matrix. If the basis B was not provided within the definition of the minimal problem we have to compute it by ourselves. Because in MATLAB there is no function or simple script to compute the basis we have to do it by calling an external software.

The most easy solution to implement is to use the Maple toolbox for MATLAB. This enables us to call Maple functions from the MATLAB environment directly. To use this option we have to set cfg.GBSolver = @gbs_findAlgB_maple in the settings of the automatic generator. Unfortunately it shows up that the symbolic toolbox provided by Maple in not compatible with the MATLAB symbolic toolbox in versions newer than R2008 so we do not recommend to use this option nowadays, but the option is still available to use on older computers.

The second implemented option is to use the algebraic geometry software Macaulay2 [6]. In the folder gbsMacaulay there is a template code_template.m2 into which we simply write the given polynomial system. This updated file is saved as code.m2 which is executed by Macaulay2 and the results are parsed back in MATLAB. To set up this option we need to install the software Macaulay2 and set cfg.GBSolver = Qgbs_findAlgB_macaulay in the automatic generator settings. A problem could be that the Macaulay2 is not easy to set up under the Windows OS. Therefore the installation file of Macaulay2 is provided within the automatic generator. The only thing that has to be done is to edit the file calc.bat in the folder gbsMacaulay and follow the instructions in the file.

Because of the modularity of the generator this part can be replaced by another function computing the monomial basis B.

The last option is to compute the basis B in advance and set it into the definition of the minimal problem.

In the end we have check the number of solutions of the given polynomial system. If there is a finite number of solutions we can continue with the computation.

- 3.2 Reimplementation
- 3.3 Multiple eliminations solver
- 3.4 Removing unnecessary polynomials
- 3.5 Matrix partitioning
- 3.6 F4 strategy

4 Experiments

5 Conclusion

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