

# Predicting Visual Futures with Image Captioning and Pre-Trained Language Models

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## Abstract

The task of visual forecasting deals with predicting future events from a sequence of input images. Purely pixel-based approaches find this challenging due to the presence of abstract concepts and temporal events at different timescales. In this paper, we present an approach that combines image captioning with pre-trained language models to predict visual futures. By leveraging language as an intermediate medium, our model is able to perform more effective temporal reasoning on two different tasks – visual story cloze and action forecasting. Despite making the final predictions using only the generated captions, our approach outperforms state-of-the-art systems by 4% and 6% respectively on the two tasks. We find that our model consistently picks images/actions that are semantically relevant to the given image sequence instead of simply relying on visual similarity.<sup>1</sup>

## 1 Introduction

Predicting future events based on past observations is useful for autonomous agents to navigate the world. Several recent works in computer vision and reinforcement learning have developed models that learn to predict or generate future observations (Xu et al., 2018; Isola et al., 2017; Ebert et al., 2018), with one goal being to use such predictions to inform control policies (Ha and Schmidhuber, 2018; Hafner et al., 2019a; Schrittweiser et al., 2020; Hafner et al., 2019b).

However, such approaches usually work directly on pixel-based inputs (or build on top of visual features from pre-trained models), which makes it challenging to accurately capture and reason over varying levels of temporal abstraction. In this paper, we explore the use of natural language as a medium for predicting visual futures, building on recent insights that pre-trained language models

can perform temporal reasoning (Vashishtha et al., 2020; Han et al., 2020). Specifically, we first use image captioning to describe frames in a sequence of images, and then train a model that can reason temporally over the generated captions to predict future events. For the latter, we make use of pre-trained language models such as RoBERTA (Liu et al., 2019) and fine-tune them to predict the required quantity in the future (e.g. picture that completes a story or an anticipated action). As our experiments show, our use of captions allows for temporal reasoning over a diverse set of abstract concepts and timescales.

We compare our method with existing models on two tasks – (1) visual story cloze, where the goal is to pick an image that completes a sequence of images to form a coherent story, and (2) action forecasting, where a model has to predict a future action. Surprisingly, despite not using image features to make the final predictions and relying only on captions, our approach outperforms the baselines on both tasks, by 4% and 6%, respectively. Our analysis reveals that most of this gain comes from the language model leveraging the high level concepts in the generated captions to predict semantically coherent future events.

## 2 Related Work

**Future forecasting in vision and NLP** Recent work has explored ideas around generating future images (Villegas et al., 2019; Ha and Schmidhuber, 2018; Hafner et al., 2019a; Schrittweiser et al., 2020; Hafner et al., 2019b), inferring trajectories and future actions based on past observations (Zeng et al., 2017), or predicting temporal orderings (Sigurdsson et al., 2016). These approaches require learning good visual feature representations that can capture temporal structure, which inherently makes it challenging to model long-range temporal events since capabilities like object tracking (Yilmaz et al., 2006) and optical flow (Fortun et al.,

<sup>1</sup>Code provided in supplementary material.

## Convert images to text

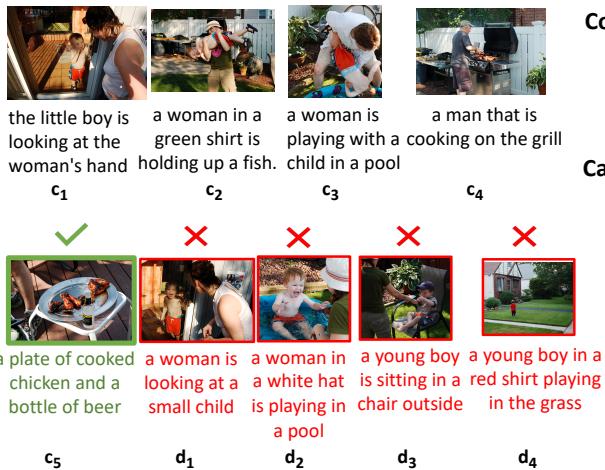


Figure 1: (Left) Visual forecasting for *Story Cloze*: given a set of 4 context images, a model is tasked to predict the most likely future image among 5 candidate images. Pixel-based approaches such as (Zeng et al., 2017) make an incorrect prediction ( $d_4$ ) since they rely heavily on visual similarities rather than semantic consistency or temporal reasoning (e.g. "cooking on the grill" results in a "plate of cooked chicken"). Our approach generates captions for all the images and uses the generated text to rank all the candidate completions with a language model (right).

2015) are more suited for prediction over shorter timescales ( $\sim 10\text{-}20$  seconds). In our work, we leverage the textual modality to better reason over various timescales (e.g. minutes, hours, days).

Future forecasting in NLP includes story ending prediction (Mostafazadeh et al., 2016; Cui et al., 2020; Cai et al., 2017; Chaturvedi et al., 2017; Li et al., 2019; Chen et al., 2019), temporal ordering anticipation (Ning et al., 2020, 2018; Zhou et al., 2019), future information retrieval (Baeza-Yates, 2005), and language models for storytelling (Ammanabrolu et al., 2019; Li et al., 2019; Yang and Tiddi, 2020). These works demonstrate the use of modern language models for temporal modeling of events, which forms a core part of our hypothesis.

**Image captioning in downstream tasks** Recent work has explored the use of image captioning (Lin et al., 2014; Li et al., 2020; You et al., 2016) in downstream tasks like visual question answering (Wu et al., 2019; Fisch et al., 2020) and image retrieval (Luo et al., 2018). While their primary goal is to improve captioning and its applicability to downstream tasks, our focus is on using the generated captions as a medium to perform temporal reasoning for predicting visual futures.

## 3 Our Approach

**Task Setup** Given a sequence of  $k$  temporally ordered images  $I_1, \dots, I_k$ , our goal is to predict a quantity  $y(I_{k+1})$  where  $I_{k+1}$  represents a future

## Leverage captions to rank candidates

**Context:** the little boy is looking at the woman's hand; a woman in a green shirt is holding up a fish; a woman is playing with a child in a pool; a man that is cooking on the grill  
**(c)**

$$c = [c_1; c_2; c_3; c_4]$$

**Candidates:** a plate of cooked chicken and a bottle of beer  $c_5$  ✓  
 a woman is looking at a small child  $d_1$   
 a woman in a white hat is playing in a pool  $d_2$   
 a young boy is sitting in a chair outside  $d_3$   
 a young boy in a red shirt playing in the grass  $d_4$  ✗

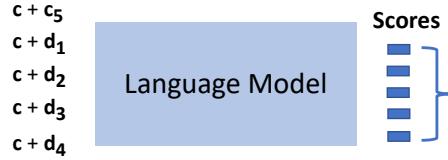


image continuing the temporal sequence, and  $y$  represents a property based on that image (e.g. an action or an image that completes a story). In this work, we consider only discriminative predictions and do not generate  $I_{k+1}$ .

Prior approaches train a model to directly predict  $y(I_{k+1})$  using the input image frames. We wish to leverage image captioning to assist with this prediction. Therefore, we first caption the set of input images to produce a set of captions (captioning systems are described later in Section 3):

$$c_j = \text{Caption}(I_1, \dots, I_j), \text{ for } j \in [1 \dots k] \quad (1)$$

Note that the generated caption might be conditioned on the entire history of past images.

Once we have captions, we simply concatenate them together with the relevant separator tokens and feed them into a pre-trained language model ( $LM$ ) such as RoBERTa (Liu et al., 2019) to predict the required property  $\hat{y}$ :

$$\hat{y} = LM([c_1, \dots, c_k]) \quad (2)$$

This  $LM$  is then fine-tuned using standard loss functions such as cross-entropy loss. The parameters of the captioning model are held fixed during this training. Given this general framework, we provide specific details for tasks below.

**Visual Story Cloze** In visual story cloze (Mostafazadeh et al., 2016), the goal

is to predict the image that best completes (or closes) a story from a set of candidate choices. Formally, the goal is to predict the right  $I_{k+1}$  from a set of images that also contain  $m$  distractors  $D_1, \dots, D_m$ . We generate captions for all the candidates to obtain  $c_{k+1}$  and  $d_1, \dots, d_m$ , respectively. Each of these captions is concatenated with the context captions  $c_1, \dots, c_k$  and input into the language model to produce a score,  $s = LM([c_1, \dots, c_k, C])$  where  $C \in \{c_{k+1}, d_1, \dots, d_m\}$ . These scores are then optimized with binary cross entropy loss.

**Action Forecasting** For this task (Patron et al., 2010),  $y(I_{k+1})$  is an action in the future to be predicted. We pass the context captions  $c_1, \dots, c_k$  into the language model to predict  $y$  and fine-tune the language model with standard cross-entropy loss.

**Converting Images to Captions** We consider two options for generating captions:

**1. Independent image captioning:** Here, we generate captions for each image independently, i.e.  $c_j = \text{Caption}(I_j)$ . We use Oscar (Li et al., 2020), a state-of-the-art image captioning approach pre-trained on millions of aligned image text corpora (Sharma et al., 2018; Plummer et al., 2015; Hudson and Manning, 2019) and finetuned on COCO captions (Lin et al., 2014), and label the model as "Oscar(pretrained)". For story cloze, we also finetuned an Oscar variant on captions from the training data and label this variant as "Oscar(finetuned)".

**2. Story captioning:** We experiment with with Reco-RL (Hu et al., 2020) and AREL (Wang et al., 2018) storytelling models that jointly produce captions for an entire sequence of images. Given the *Story* operator, which extracts the last sentence from the generated story of the input image sequence, we generate text for the context and distractor images as follows:

$$c_j = \text{Story}([I_1, \dots, I_j]) \text{ for } j \in [1 \dots 5]$$

$$d_k = \text{Story}([I_1; I_2; I_3; I_4; D_k]) \text{ for } k \in [1 \dots 4]$$

## 4 Experiments

**Datasets:** For visual story cloze, we follow (Zeng et al., 2017) and construct the future prediction task through storylines from the Visual Storytelling Dataset (Huang et al., 2016). The dataset consists of temporally-ordered sequence of 5 photos from a large subset of Flickr albums and provides GT stories and captions. Following Zeng et al. (2017), we randomly select 1 storyline from each album and

Model	Validation		Test	
	R@1 ↑	R@3 ↑	R@1 ↑	R@3 ↑
GAIL (Zeng et al., 2017)	24.77	65.80	22.48	64.95
Nearest Neighbor	22.67	63.09	24.26	62.27
LSTM	19.96	58.58	21.68	59.11
Oscar(finetuned) + RoBERTa	<b>29.66</b>	<b>68.54</b>	<b>28.39</b>	<b>69.14</b>
Oscar(pretrained) + RoBERTa	29.15	68.54	26.80	67.26
AREL + RoBERTa	27.38	64.79	22.97	62.08
ReCo-RL + RoBERTa	25.67	64.79	23.96	63.66
Human Baseline	-	-	31.00	-
Random	20.00	60.00	20.00	60.00

Table 1: Summary of results on the future image prediction task on both the validation and test splits. ↑ indicates higher is better. ↓ indicates lower is better.

sample 4 distractor images from the same Flickr album. Using the original split, we get 8024 training, 1011 testing, and 998 validation storylines.

For action forecasting, we use the TV Human Interactions dataset (Patron et al., 2010), with 300 videos of 4 interactive actions ("Hug", "Kiss", "HighFive", "HandShake"), with a 50-50 split between train/test. We follow the same setup in Zeng et al. (2017) and use context images upto 1 second before the start of the action. We sample 3 images from the context images to make the prediction.

**Baselines:** We compare with several baselines, following Zeng et al. (2017):

1. LSTM (Hochreiter and Schmidhuber, 1997): This uses ResNet-101 (He et al., 2016) features for the context images to predict  $y(I_{k+1})$ .
2. Nearest Neighbor(NN): We extract ResNet-101 features for all candidates and pick candidate with the lowest L2 difference with the context feature.
3. GAIL (Zeng et al., 2017): This leverages General Adversarial Imitation Learning (GAIL) (Ho and Ermon, 2016) to model sequences of images (details in appendix A.5).

We also collected human baseline performances for the tasks (details in Appendix A.2).

**Evaluation metrics:** We rank scores of all the candidates for  $y(I_{k+1})$ , calculate the rank of the GT candidate and report Recall@k. We set k to 1, 3 for visual story cloze and 1 for action forecasting.

**Pre-trained LMs:** We experiment with the pre-trained and randomly initialized variants of the RoBERTa (Liu et al., 2019), GPT-2 (Radford et al., 2018) and BERT (Devlin et al., 2019) LMs.

## 5 Results

**Visual story cloze.** From Table 1, we see that our best model, Oscar(finetuned) + RoBERTa, outper-



Figure 2: Comparing predictions on samples from the test split across different variants (**GAIL** in dashed purple, **NN** in dashed red, **our Oscar(finetuned) + RoBERTa model** in green) with captions generated from Oscar(finetuned). Our model predicts candidates which are most likely to occur in the future by leveraging the concepts in the captions, as opposed to the vision baselines which predict candidates which are visually similar to the context images (Best viewed in color).

Model	R@1
GAIL (Zeng et al., 2017)	45.8
Deep Regression ( $K = 3$ ) (Vondrick et al., 2016)	$43.6 \pm 4.8$
Oscar(pretrained) + RoBERTa	<b>52.0</b> $\pm 13.1$
Oscar(pretrained) + GPT-2	$51.0 \pm 17.0$
Oscar(pretrained) + BERT	$49.0 \pm 14.5$
Human (Vondrick et al., 2016)	71.7
Random	25

Table 2: Performance on the TV Human Interaction dataset (Baselines from Zeng et al. (2017)).<sup>2</sup>

forms the closest vision-only baselines, GAIL and NN, by more than 4% on both R@1 and R@3 respectively. This is significant given that R@1 performance for humans is only  $\sim 31\%$ . The distractor images tend to be visually similar to the context images as they belong to the same Flickr album and might explain why vision baselines, which rely mostly on pixel similarity, do worse than our models, which are able to leverage language pre-training to predict the most likely concepts to occur in the future. We note that our approach is competitive even without access to GT captions(Oscar(pretrained) and Oscar(finetuning) differ only by  $\sim 1.5\%$  on R@1). An extensive comparison between different pre-trained LMs is in Appendix A.1.

**Captions vs Stories (Table 1):** The storytelling variants (ReCo-RL, AREL) perform much worse than the captioning variants. This is likely due to the storytelling models generating generic stories("They had a great time"), which are accurate but not descriptive, as opposed to captioning models which tend to generate more descriptive captions("Picture of man eating cake in the garden").

**Qualitative samples (Figure 2):** Both rows demonstrate examples where the vision baselines such as NN (**marked in red**) and GAIL (**marked in purple**) incorrectly predict candidates that are visually similar to the context images. In contrast, our model (**marked in green**) encodes all the important concepts in the sequence of images ("eating dinner", "man holding a baby on a couch") through captions and leverages language pretraining to correctly predict the future concept (e.g. "sitting on a couch") that is most likely to occur.

**Action Forecasting** Table 2 shows that our model Oscar(pretrained) + RoBERTa, outperforms the best vision baselines, GAIL, by more than 6% and thus show that language pretraining might be capturing meaningful information about action dynamics (e.g: "high five" is the likely action following "two men standing at a table").

## 6 Conclusion

We propose a novel approach that combines image captioning with pre-trained language models to predict visual futures. By leveraging language as an intermediate medium, our model is able to perform more effective temporal reasoning on two different tasks – visual story cloze and action forecasting. Surprisingly our system, which makes final predictions using only the generated captions, outperforms state-of-the-art systems by 4% and 6% respectively on the two tasks. Our model successfully encodes all the important concepts in the sequence of images through captions and leverages language pre-training to correctly predict the concepts likely to occur in the future.

<sup>2</sup>Standard deviation not available for Zeng et al. (2017)

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## 498 A Appendix

### 499 A.1 Varying LMs (Table 3)

500 Pre-training significantly improves R@1(3.5% for  
 501 GPT-2, 5.5% for BERT) over randomly initialized  
 502 models, thus validating the need for pre-training.  
 503 All the pre-training approaches tend to perform  
 504 similarly with RoBERTa performing the best.

### 505 A.2 Human Baselines

506 We ask annotators on Mechanical Turk platform  
 507 to do the visual story cloze task (Figure 3), i.e  
 508 pick one of the 5 candidate images given 4 context  
 509 images, on 200 samples from the test split. All  
 510 annotators are highly rated and belong to United  
 511 States. We get 3 annotations for each sample and  
 512 measure annotator agreement by calculating the  
 513 number of times 2 or more of the 3 annotators made  
 514 the same prediction. We find that the annotators  
 515 agree 77% of the time. For action forecasting, we  
 516 cite the human study from [Vondrick et al. \(2016\)](#).

### 517 A.3 Qualitative samples (Figure 4)

518 Row 1 shows a family having an outdoor barbecue  
 519 party. The first three images show the family play-  
 520 ing with a child and the last image shows an old  
 521 man barbequing. While the vision models predict  
 522 visually similar but semantically unrelated candi-  
 523 dates, our model correctly captures the correlation  
 524 between "a plate of cooked chicken" and "a man  
 525 on the grill". Now, consider row 2 which depicts  
 526 a father-son duo watching a baseball game. While  
 527 our model predicts the wrong candidate, the corre-  
 528 sponding caption "two young boys playing base-  
 529 ball" is a likely event in post-game celebrations.

### 531 A.4 Implementation details

532 We use a batch size of 16. We use a maximum learning  
 533 rate of 2e-5 and decay to 1e-5 over the length of

534 training and optimize with Adam ([Kingma and Ba, 2015](#)). We set the maximum length of the generated  
 535 caption to 20. We train the visual story cloze experiments  
 536 for 10-20 epochs and the action forecasting experiment  
 537 for 60 epochs. All the models are implemented in PyTorch ([Paszke et al., 2019](#)) and we use the Hugging Face  
 538 transformers library ([Wolf et al., 2020](#)) for all pre-trained LMs.

### 542 A.5 Reproducing Image GAIL Model

543 We recreate the model in [Zeng et al. \(2017\)](#)(Figure 5) for benchmarking and fine-tune components  
 544 that were not concretely described in the original  
 545 paper. The overall model architecture uses ResNet-  
 546 101 as the network  $\phi$ , an autoencoder as the policy  
 547 network  $\pi$ , and a discriminator, the latter two of  
 548 which are described in the supplemental material  
 549 for ([Zeng et al., 2017](#)). During training, we use the  
 550 Adam optimizer and  $10^{-4}$  as the initial learning  
 551 rate for all three models, and decay the learning  
 552 rate by a factor of 0.1 after every 20 epochs. We  
 553 also set the batch size to be 16 and use a dropout  
 554 rate of 0.5 across all dropout layers. Additionally,  
 555 we freeze the weights of ResNet-101 for the first  
 556 5 epochs, and unfreeze them afterwards until the  
 557 end of training. To calculate rewards for the policy  
 558 network, we set a discount rate 0.99.

559 During training, a batch of sequences of 5  
 560 temporally-ordered images are fed into  $\phi$  to pro-  
 561 duce a batch of sequences of 5 temporally ordered  
 562 2048-dimensional vectors. We then take the first  
 563 vector  $h_1$  of each sequence in the batch and pass  
 564 them through the policy network  $\pi$  to produce a  
 565 corresponding prediction,  $a_2$ , and feed these into a  
 566 normal distribution with fixed variance  $\sigma^2$  to pro-  
 567 duce the predicted state  $h'_2$ . We then repeat this  
 568 process to produce  $h'_3$  from  $h'_2$ ,  $h'_4$  from  $h'_3$ , and  $h'_5$   
 569 from  $h'_4$ . We treat  $[h_t, h_{t+1}]$  as the ground-truth  
 570 state-action pair, and  $[h_t, h'_{t+1}]$  as the policy pre-  
 571 diction state-action pair.

572 During discriminator updates, we compute the dis-  
 573 criminator loss with binary cross-entropy on the  
 574 expert trajectory state-action pairs and policy tra-  
 575 jectory state action pairs, then taking the mean loss  
 576 across the batch for gradient computation. During  
 577 policy updates, we employ the Monte Carlo search  
 578 described in the supplemental material for ([Zeng  
 579 et al., 2017](#)), where we compute the expected return  
 580  $Q(h, h_{t+1})$  as the sum of all discriminator outputs  
 581 on the trajectory of states from the policy output.  
 582 Finally, we compute the policy gradient loss as the

Model	Validation		Test	
	R@1 ↑	R@3 ↑	R@1 ↑	R@3 ↑
RoBERTa	29.66	68.54	<b>28.39</b>	<b>69.14</b>
BERT	<b>29.96</b>	<b>70.04</b>	27.30	68.74
GPT-2	29.86	69.04	28.29	68.25
Random init. BERT	26.95	66.03	21.66	64.00
Random init. GPT-2	27.56	67.64	24.83	64.69

Table 3: Performance of different pretrained models on the future image prediction task, with captions generated from Oscar(finetuned).

[View detailed instructions](#)

**Instructions:** The given sequence of images describe a visual narrative.



**Predict which image would best complete the narrative.**



**Submit**

Figure 3: Task interface used to get annotations for the human baseline for the visual cloze task on the Visual Storytelling dataset (Mostafazadeh et al., 2016). Workers are shown the 4 context images and are asked to determine which image, among 5 candidates, best completes the narrative defined by the context images.

Context	GT	Distractors
 the little boy is looking at the woman's hand	 a plate of cooked chicken and a bottle of beer	 a woman in a white hat is playing in a pool
 a group of people sitting in seats at a baseball game	 a man is holding a little girl on his shoulders at a baseball game	 a boy in a red baseball cap is holding a ball

Figure 4: Comparing predictions on samples from the test split across different variants (GAIL in dashed purple, NN in dashed red, our Oscar(finetuned) + RoBERTa model in green) with captions generated from Oscar(finetuned). Best viewed in color.

584 sum of the negative product of the log probabil-  
585 ity and the expected reward  $Q(h, h_{t+1})$  across the  
586 state trajectory.

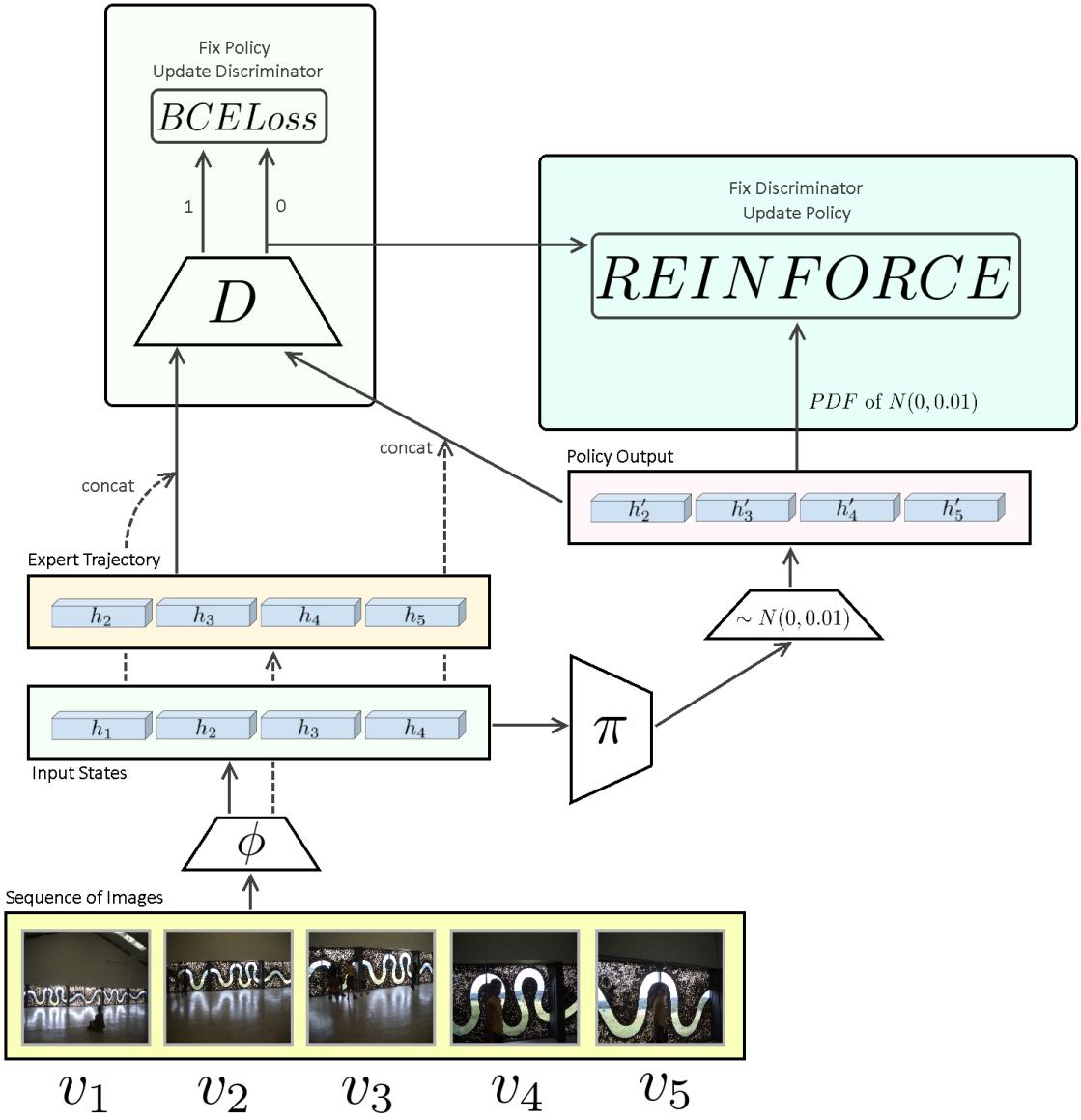


Figure 5: Shows training loop for image-based GAIL model. Given a sequence of 5 images, the model transforms them into 2048-dimensional vectors and splits them such that the vectors representing the first 4 images represent the input states, while the last 4 images represent the expert trajectory. These two sequences are then used to compute both the discriminator and policy loss.