# Bioinformatics — Lecture 6 Microarray analysis (EG Ch. 13, MM. Ch. 10)

Krzysztof Bartoszek

Linköping University krzysztof.bartoszek@liu.se

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### **Today**

#### Introduction

Microarray analysis: preprocessing

Image analysis

Data

Normalization

Microarray analysis: Statistical analysis

Functional genomics

Software

RNA-seq

## Additional reading

- BH P. Baldi, G. W. Hatfield. DNA Microarray and Gene Expression, 2002, Cambridge University Press
  - L A.M. Lesk. Introduction to Bioinformatics, Oxford, 2014. Oxford University Press
  - R S. Raychaudhuri. Computational Text Analysis for Functional Genomics and Bioinformatics, 2006, Oxford University Press
  - S D. Stekel. Microarray Bioinformatics, 2003, Cambridge University Press
  - X J. Xiong. Essential Bioinformatics, 2006, Cambridge University Press



## Motivating questions (EG Ch. 13.1.1)

Gene expression: process of changing gene into gene product DNA  $\rightarrow$  RNA  $\rightarrow$  protein

Sample: biological material under some condition e.g. disease, environment, stimulation, stimulus, e.t.c.

- 1. What genes are expressed in a given sample?
- 2. What genes are differentially expressed between different samples?
- 3. Identify clusters of genes whose expression is correlated.
- 4. Identify gene–gene interactions in networks of activity over time.

### Microarrays

Idea from 1995

Hybridization used to measure abundance of target molecule

Array will contain probes for thousands of different sequences

If sequence present, hybridization takes place and "glows"

probe complimentary sequence to target molecule's sequence

based on N. L. Barbosa-Morais' slides from Functional Genomics module of MPhil in Comp. Biol., Univ. Cambridge

#### NOT A MATRIX!



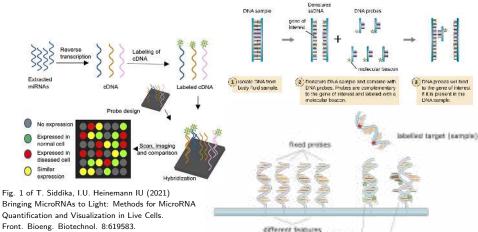
## Stages of microarray analysis (see also MM Fig. 10–5)



https://commons.wikimedia.org/w/index.php?curid=39423104 by Squidonius, public domain

Access for free at https://openstax.org/books/microbiology/pages/1-introduction.

Binding N. Parker, M. Schneegurt, A.-H. Thi Tu, P. Lister, B. M. Forster, OpenStax, Microbiology, Houston Texas, 2016 Fig. 12.13 in Section 12.2



Bringing MicroRNAs to Light: Methods for MicroRNA

Front, Bioeng, Biotechnol, 8:619583. doi: 10.3389/fbioe.2020.619583. CC BY 4.0

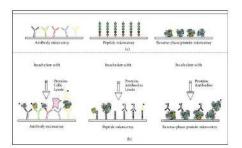
> flully comprenentary Partially complementary strands bind weakly strends bind strengly.

https://en.wikipedia.org/wiki/DNA\_microarray graphic by Squidonius, public domain-4

(e.g. bind different penes)

## Binding (see also S Fig. 1.9)

Fig. 1 of R. Wellhausen, H. Seitz (2012) Facing Current Quantification Challenges in Protein Microarrays, BioMed Res. Int., 2012:831347, doi: 10.1155/2012/831347 CC BY 3.0



Fluorescent
Tagging
HPLC
Ligand
Influenza A
Virus

Glivcan ID

Glivcan ID

Glycan Microarrays

Free glycans (synthesized)

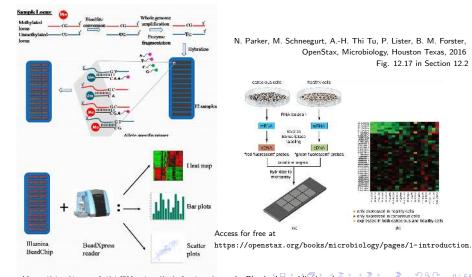
Fig. 2 of A.M. McQuillan, L. Byrd-Leotis, J. Heimburg-Molinaro, R.D. Cummings RD (2019)

Natural and Synthetic Sialylated Glycan Microarrays and Their Applications. Front. Mol. Biosci. 6:88. doi: 10.3389/fmolb.2019.00088, CC BY 4.0

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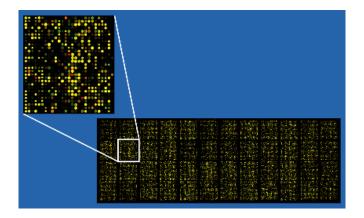
Print and Interrogate Glycan Microarray

# Microarray procedures (see also R Plate 2.6)



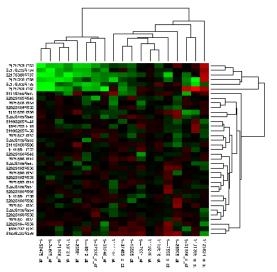
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## Microarray results



https://commons.wikimedia.org/w/index.php?curid=1612185, by Paphrag, public domain

### Microarray results



https://commons.wikimedia.org/w/index.php?curid=1612199, by Miguel Andrade, public domain

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### Microarray example technologies





Fig. 1. in W. Shi, A. Banerjee, M.E. Ritchie, S. Gerondakis, G.K. Smyth (2009), Illumina WG-6 BeadChip strips should be normalized separately. BMC Bioinformatics 10, 372.

doi: 10.1186/1471-2105-10-372, CC BY 2.0

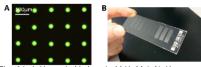


Fig. 6 in I. Hospach, Y. Joseph, M.K. Mai, N. Krasteva. G. Nelles (2014), Fabrication of Homogeneous High-Density Antibody Microarrays for Cytokine Detection. Microarrays, 3:282-301, doi: 10.3390/microarrays3040282 CC BY 4.0

Affymetrix

https://en.wikipedia.org/wiki/DNA microarray, by Schutz, CC BY 2.5

others: Agilent, Eppendorf, TeleChem, e.t.c.



## Microarray example technologies



Roche

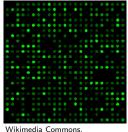
photographs by Dr. Piotr Madanecki, reproduced with kind permission

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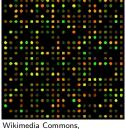
### Double vs single channel microarray

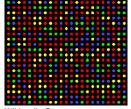
Double channel: cDNA from two samples, each labelled with different fluorescent (Cy3 green, Cy5 red)

Single channel: intensity data for each probe (not absolute but relative to other samples from same experiment)



by Thomas Shafee, CC BY 4.0





Wikimedia Commons.

by Guillaume Paumier (user:guillom), by Thomas Shafee, CC BY 4.0 GEDI

## Double vs single channel microarray

#### Double channel

2 samples compared directly on same plate one sample can affect the raw data of the other one sample high quality, other low quality? i samples: i(i-1)/2 comparisons

### Single channel

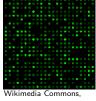
1 sample per plate no interactions between samples easier to compare between experiments *i* samples: *i* runs, choose one as reference ensure same conditions for comparison between samples

### Microarray analysis workflow

- 1. Biological question
- 2. Experimental design
- 3. Microarray procedure
- 4. Image analysis (acquiring numerical data)
- 5. Normalization, data preprocessing
- 6. Data analysis
- 7. Biological interpretation

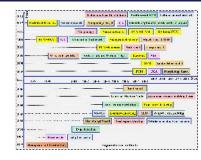
## Image analysis

#### MATLAB workflow



by Thomas Shafee, CC BY 4.0

- Addressing
- Gridding and segmentation



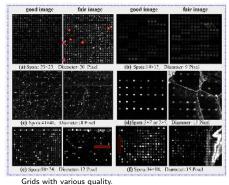
e.g, G. Shao, T. Li, W. Zuo, S. Wu, T. Liu (2015) A Combinational Clustering Based Method for cDNA Microarray Image Segmentation. PLoS ONE 10(8): e0133025. doi:10.1371/journal.pone.0133025: software, segmentation methods history (Fig. 3, above right, public domain); flowcharts; discussion of methods

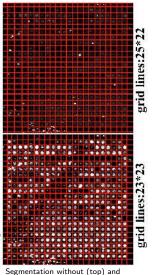
- Problems:
  - Uneven spot sizes, spacings, grid positions, curves in a grid
  - image analysis: fixed/variable size spots, failed signal (no hybridization)
  - image analysis: dust on images (brighter)
  - spatial errors (bias)



### Gridding





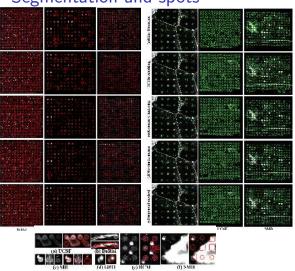


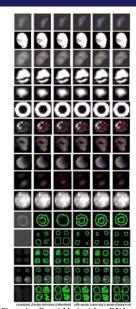
with (bottom) contrast enhancement.

Grids with various quality

Figs. 1,2 and 5 in G. Shao, T. Li, W. Zuo, S. Wu, T. Liu (2015) A Combinational Clustering Based Method for cDNA Microarray Image Segmentation. PLoS ONE 10(8): e0133025. doi:10.1371/journal.pone.0133025, public domain

### Segmentation and spots





Figs. 7, 9, 10 and 11 in G. Shao, T. Li, W. Zuo, S. Wu, T. Liu (2015) A Combinational Clustering Based Method for cDNA Microarray Image Segmentation. PLoS ONE 10(8): e0133025. doi:10.1371/journal.pone.0133025, public domain

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### Data to analyze

For each spot, *i*, two values : red intensity; *Cy5*; green intensity; *Cy3*;

$$S_i = \log \frac{Cy5_i}{Cy3_i}$$

#### See also MATLAB workflow

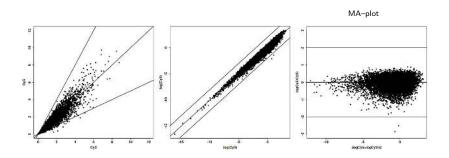
https://www.mathworks.com/matlabcentral/mlc-downloads/downloads/submissions/2573/versions/3/previews/R14\_MicroarrayImage\_CaseStudy/html/R14\_MicroarrayImage\_CaseStudy.html?access\_key=



# Normalization (EG 13.1.3)

- 1. Array-specific effects: no two arrays are identical
- 2. Gene–specific effects: hybridization conditions cannot be optimized at once for all elements
- 3. Dye-specific effects
- 4. Background noise
- 5. Preparation effects: operator, weather, time of day, e.t.c. (microarrays are sensitive)

## Close to ideal (see also X: Fig. 18.5)



Cy3: green, Cy5: red

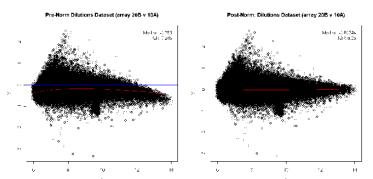
MA-plot:  $M \equiv \log_2(Cy5/Cy3)$ ,  $A \equiv (\log_2 Cy5 + \log_2 Cy3)/2$ 

code: 732A51\_BioinformaticsHT2023\_Lecture06codeSlide22CloseIdealMicroarray.R



### Normalization MA-plot, loess curve (see also EG Figs 13.4-6)

$$y - axis M = log_2 \left(\frac{Green(gene)}{Red(gene)}\right) = log_2 Green(gene) - log_2 Red(gene)$$
  
 $x - axis A = (log_2 Green(gene) + log_2 Red(gene))/2$ 



Bioconductor R code: https://en.wikipedia.org/wiki/MA\_plot, by Zoolium, public domain

#### Array level normalization

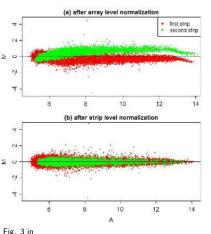


Fig. 3 in W. Shi, A. Banerjee, M.E. Ritchie, S. Gerondakis, G.K. Smyth (2009), Illumina WG–6 BeadChip strips should be normalized separately. BMC Bioinformatics 10:372.

doi: 10.1186/1471-2105-10-372, CC BY 2.0

### Removing intensity dependent bias

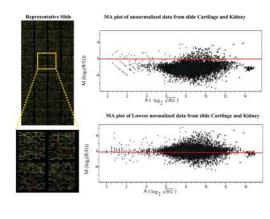


Fig. 1 in L. Huang, W. Zhu, C.P. Saunders, J.N. MacLeod, M. Zhou, A.J. Stromberg, A.C. Bathke (2008), A novel application of quantile regression for identification of biomarkers exemplified by equine cartilage microarray data. BMC Bioinformatics 9, 300,doi: 10.1186/1471-2105-9-300, CC BY 2.0

### Quality control

1. Number of outliers (expect few)

2. Few missing values, no empty portions on array

- 3. Controls on microarray
  - a. housekeeping genes: expect expressed (positive control)
  - b. negative controls: no signal expected
  - c. Cy3 labelled control: signal independent of sample control
  - d. low stringency: should give low signal
  - e. and others

based on Analysing data from Illumina BeadArrays, Matt Ritchie, MPhil in Computational Biology, University of Cambridge

See also https://www.illumina.com/documents/products/technotes/technote\_gene\_expression\_data\_quality\_control.pdf



## Is a gene expressed (Affymetrix)? (EG Ch. 13.2.2)

Gene-specific negative controls/mismatch probes

Multiple match and mismatch probes for each gene

Is the "match probes" expression significantly higher than the "mismatch probes" expression?

 $H_0$ : gene is not expressed

Wilcoxon signed-rank test

**Interpret** failure of rejecting  $H_0$  as Absent, reject  $H_0$  as Present

### Differential expression (EG Ch. 13.2.3)

Single gene, two samples (case and control)

Is the case expression significantly different from the control one?

In principle: same as previous slide, Wilcoxon signed-rank test
Normalize arrays w.r.t. each other
Two channel probes.
What if only one probe per gene?
Multiple genes at once
(assume most are not differentially expressed)

#### Tests

1. t-test (difference in mean)

2. ANOVA approach

$$X_{gik} = \mu + \tau_k + A_i + D_{ik} + E_{gik}$$

gene g, array i, condition k (array specific effects)

See limma package in Bioconductor for setting up linear models

## Multiple genes (EG Ch. 13.3.1)

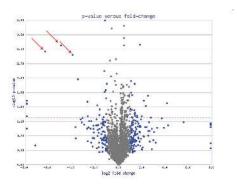
#### Rank genes

in decreasing order of t-test statistic

in increasing order of p-values (if different null hypothesis distributions for genes)

Cut-off: 0.05 significance?

### Volcano plot



<sup>&</sup>quot;Example of a Volcano plot, here showing metabolomic data. The three red arrows indicate points that display both large-magnitude fold-changes (x-axis) as well as high statistical significance ( $-\log_{10}$  of p-value, y-axis). The dashed red-line shows where p=0.05 with points above the line having p<0.05 and points below the line having p>0.05. This plot is colored such that those points having a fold-change less than 2 ( $log_22=1$ ) are shown in gray."

https://en.wikipedia.org/wiki/Volcano\_plot\_(statistics), by Roadnottaken, public domain See also http://bioinformatics.knowledgeblog.org/2011/06/21/volcano-plots-of-microarray-data/

## Multiple testing (EG Ch. 3.11)

Assume type I error of  $\alpha = 0.01$ 

Perform n = 1000 tests: 1000 p-values

Under null distribution: p-value  $\sim \mathrm{Unif}[0,1]$ 

Significant calls expected by chance  $S \sim \text{Binomial}(n, \alpha)$ 

$$\mathsf{E}\left[\mathbf{S}\right]=\mathit{n}\alpha=\mathsf{10}$$



## FWER (EG Ch. 3.11)

Family-wise error rate (FWER): probability of at least one null hypothesis rejected when all are true

Aim: FWER at level  $\alpha$ 

Bonferroni correction
No assumption of independence between tests

Individual significance call at  $\alpha/n$ 

$$FWER \le \sum_{i=1}^{n} (\alpha/n) = \alpha$$

# Šidák procedure (EG Ch. 3.11)

Assume independent tests

Individual significance call at  $K(n, \alpha) = 1 - \sqrt[n]{1 - \alpha}$ 

FWER=1-accept all = 
$$1 - \prod_{i=1}^{n} (1 - K(n, \alpha)) = 1 - \prod_{i=1}^{n} \sqrt[n]{1 - \alpha} = \alpha$$

## FWER control (EG Ch. 13.3.5)

g: number of genes

- 1. Bonferroni: individual cut-off=  $\alpha/g$
- 2. Sidák: individual cut-off=  $1 \sqrt[p]{1-\alpha}$

But these approaches can be too conservative!

Few (ca 3, 4) replicates per gene

FWER framework: cannot allow assumption of some false positives

### FDR (EG Ch. 13.3.6)

False discovery rate (FDR): controls % of false positives

10000 genes, 100 differentially expressed

FWER for  $\alpha = 0.01$  will give 1 true positive

FDR of 50% will give 50 candidate genes

FOLLOW UP!!

## Benjamini-Hochberg (EG Ch. 13.3.6)

 ${\it g}$  tests,  ${\it \alpha}$  desired FDR

order p-values 
$$(P_{(1)} \leq P_{(2)} \leq \ldots \leq P_{(g)})$$
  
let  $H_{(i)}$  be corresponding null hypothesis

define 
$$q_i = \frac{i}{g}\alpha$$

#### Procedure:

- 1. If for all  $i p_{(i)} \leq q_i$  do **not** reject **any**  $H_0$
- 2. Else find *largest* k s.t.  $p_{(k)} \leq q_k$ 
  - 3. Reject  $H_{(1)}, \ldots, H_{(k)}$

**NOTE**: there may be j s.t.  $p_{(j)} > q_j$ 

## SAM (EG Ch. 13.3.6)

Significance analysis of microarrays for gene i

$$d(i) = \frac{\bar{x}_i - \bar{y}_i}{s(i) - s_0}$$

$$s(i) = \frac{1}{n_{ix} + n_{iy} - 2} \left( \sum_{j=1}^{n_{ix}} (x_{ji} - \bar{x}_i)^2 + \sum_{j=1}^{n_{iy}} (y_{ji} - \bar{y}_i)^2 \right)$$

### SAM (EG Ch. 13.3.6)

- 1. Order test statistics, d(i) according to magnitude
- Consider all permutations of the data's columns (i.e. between the groups)
- 3. Calculate for each permutation the d(i) and rank
- 4. Calculate for each row (gene) the average  $d_E(i)$  and then take  $d_{org}(i) d_E(i)$
- 5. Choose threshold  $\Delta < |d_{org}(i) d_{E}(i)|$  for calling a gene significant
- 6. Find FDR (EG p. 465)

choice of  $s_0$  "moderate" value so it has an effect but not too large ideally to maximize power, no known formula

https://en.wikipedia.org/wiki/Significance\_analysis\_of\_microarrays

### ANOVA (EG Ch. 13.3.7)

$$X_{ijkg} = \mu + A_i + \delta_j + \tau_k + \gamma_g + B_{ig} + \psi_{kg} + E_{gik}$$

array i, dye j, condition k, gene g

X: logarithm of gene expression

### Replicates

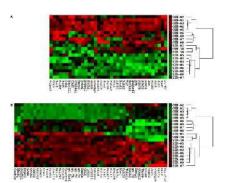
# Biological replicates

versus

Technical replicates

# Clustering (see also MM Fig 10–6)

cluster samples: e.g. identify tumour classes cluster genes: e.g. groups of co-regulated genes



Genes distinguishing stressed (A) amygdala (part of brain) specimens from controls (B).

Fig. 2 in H. Li, X. Li, S.E. Smerin, L. Zhang, M. Jia, G. Xing, Y.A. Su, J. Wen, D. Benedek, R. Ursano (2014), Mitochondrial expression profiles and metabolic pathways in the amygdala associated with exaggerated fear in an animal model of PTSD. Front. Neurol. 5:164. doi: 10.3389/fneur.2014.00164, CC BY 4-0.

### Clustering (EG Ch. 13.3.8)

Create a dissimilarity metric between genes/samples :

1-correlation, Euclidean distance

Hierarchical clustering

e.g. Tree construction algorithms (clades are clusters)

Partitioning algorithms

e.g. K-means

Cluster validation:

Statistical

Biological: look at functional categories of clustered genes

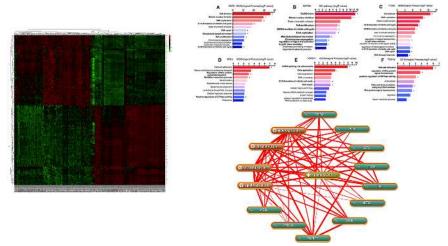
### Preprocessing for clustering

- 1. normalize (remove background)
- 2. filter: remove genes with low variability and with many missing values
- 3. impute missing values
- 4. standardize (z-score)

$$\frac{x-\bar{x}}{\mathrm{sd}(x)}$$

based on Clustering microarray data, MPhil in Computational Biology, University of Cambridge

### Text analysis of scientific literature (see also R Plate 1.2)



Figs. 2, 5, 8 in Qixing M., Gaochao D., Wenjie X., Anpeng W., Bing C., Weidong M., Lin X., Feng J. Microarray analyses reveal genes related to progression and prognosis of esophageal squamous cell carcinoma. Oncotarget. 2017; 8: 78838-78850. doi: 10.18632/oncotarget.20232, CC BY 3.0.

K. Bartoszek (STIMA LiU)

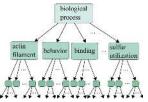
### Gene Ontology (see also R Fig. 8.1)

#### Gene Ontology Consortium http://www.geneontology.org/

http://geneontology.org/docs/ontology-documentation/, CC BY 4.0.



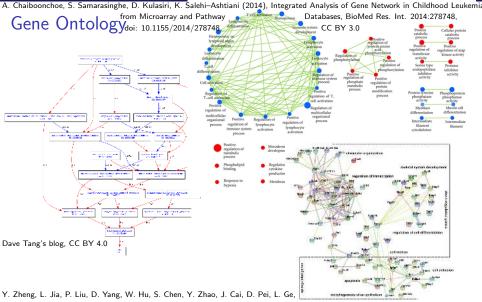
Applied Bioinformatics by D. A. Hendrix , CC BY 4.0.



#### KEGG: Kyoto Encyclopedia of Genes and Genomes

https://www.genome.jp/kegg/

"KEGG is a database resource for understanding high-level functions and utilities of the biological system, such as the cell, the organism and the ecosystem, from molecular–level information, especially large–scale molecular datasets generated by genome sequencing and other high–throughput experimental technologies."



S. Wei (2016),Insight into the maintenance of odontogenic potential in mouse dental mesenchymal cells based on transcriptomic analysis. PeerJ 4:e1684, doi: 10.7717/peerj.1684, CC BY 3.0

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### Functional enrichment analysis

Gene set enrichment analysis (GESA)

Statistically identify GO–terms that are over/under–represented in a set (e.g up/down–regulated) of genes

#### Tools:

```
en.wikipedia.org/wiki/Gene_set_enrichment_analysis#
Tools_for_performing_GSEA
www.geneontology.org/page/go-enrichment-analysis
```

### Functional enrichment analysis: Basic method

Input: gene list ordered by expression levels, gene set (e.g. sample, pathway, location, GO terms)

- 1. Calculate the *enrichment score* (ES) as the amount of overrepresentation of the genes from the set at the bottom or top of the list ordered by expression levels.
- 2. Find statistical significance of ES by a permutation test (assign random group to gene).
- 3. Correct for multiple testing (if analyzing multiple gene sets). Normalize ES values and calculate FDR.

https://en.wikipedia.org/wiki/Gene\_set\_enrichment\_analysis#Methods\_of\_GSEA

A. Subramanian et al., 2005. Gene set enrichment analysis: A knowledge—based approach for interpreting genome—wide expression profiles. PNAS 102:43, 15545—15550., grouping called phenotype

### Functional enrichment analysis

	Term2	Count	P-value	Genne		Fold Enrichment	FDR					
ect	GO:0006871—signal transplacer activity	10	1.200-15	STATE, STATE, STATSA, STATSE, SHOWS, SHOWS SHOWS STATE, STATE		66.13636	1.200-12					
ECT	GO/00001700—transcription factor schildy, sequence-specific DNA binding	Ť	4190-05	STATE, STATE, STATEA, STATEH, STATE, STATE, STATE		13.34279	0.002238					
ECT	GO:0006091—signaling adaptor inctivity	3	2.90E-05	SHEB), SHEBZ, SHEB1		327 1175	0.022962					
ECT	90:0009677-DNA binding	0	3.89E-04	STATE STATES, STATES, STATE, STATE, STATE		£184376	0.293076					
ECT	GO:0009079-SH3/SH2 adaptor activity	2	0.008335	SHOOTA, BLAW		349.2	4.046197					
BCT	GC:0010021 cytoliere mediated signatrig publiway	5:	1.06-05	STATA, BIATSA, STATSB,	SHIBE, STATE	21.31138	0.014888					
scr.	GO:0007259 - LAK-STAT concode	3	1,045-04	STATBA, STATBE, STATE	Category	Termi2		Count	P-Value	Genes	Fold Enrichment	FDR
QT.	GO:0005056-intracelular signal transduction	5	291E-04	SHARET SHARES COME SI								
SUT	GO:0046931-positive	3	4735-04	SHE STATISA STATISE		tris651811		8	11.500-09	STATE, STATE, STATSA, STATSE, PAGES, STATE, STATE, STATE	24.00636	1.000-06
CT	regulation of mitotic sell cycle GO:0008351franscription,	6	8.29E 04	STATE, STATES, STATES, S		blad4600; pathway	Jak STAT signaling	н	1.10E-08	STATE, STATA, STATSA, STATSB, PAGAS, STATS, STATS, STATS	22.72941	1.15E-00
ECT	DNA-templated GO:0006737—cycopidam	9	0.01129	STATE SHOWA, STATE SHOOLA	KEGG_PATHWAY	tita061621	Masses	7	2.51E-07	SHADIA, STATSA, STATER, PACIPIS, STATI, STATA, STATE	22.20688	2.63E-04
ECT	GO:0000790-number	3	0.011586	STATER STATE STATE S STATE STATE STATE	KEGIS_RATHWAY	0804917: 065way	Protectin aignaing	6	1.425-85	STATSA, STATSB, PWORD, STATS, STATS	30.00796	0.014898
chromatin ions, SR changest processes, CC, pellular component.				KEDG_PKH WAY	tita05021.Acute myeloid leukema		19	2.02E-04	STATEA, STATEB, PROPE, STATE	31.72200	0.211904	
	) cregan process, co, sense	Language			HEIGIG, PRITHWAY	519050211 (20000015	infarmotory bowel	-4	3,025-04	STATE STATE STATE STATE	25,37015	0.410698
					HEGG, PKTHWW	666040021 purfrience	Chemokite signaling	16	5.04E-64	STATSEL PRORS, STATIL STATS, STATS	12.00319	0.027903
					KEGG PATHWAY		Natural killer cell	14.	0.001750	SHIDIM, PIKSRS, SHIDTB, SHIBFE	15.18051	1,824594
					NEGOCT PROTEING	mediated	pitotoxicity					
					KEGIS_PATHWWW		Neurotropinin	4	0.002119	8H083, 8H082, 9H081, AVGR)	14,21176	9.001948

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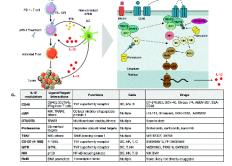
Front. Genet. 12:625234, doi: 10.3389/fgene.2021.625234, CC BY 4.0

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# Network examples (see also L Plate XIV)

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#### Annotation

Assigning function to particular regions of genome

Need to know expressed sequence of gene to design probe

```
RefSeq: https://www.ncbi.nlm.nih.gov/refseq/
(Reference Sequences)
dbEST: https://www.ncbi.nlm.nih.gov/dbEST/
(Expressed Sequence Tags database, short usually < 1000bp)</pre>
```

Probe: short, subsequence specific to gene uniqueness (?) alternative splicing

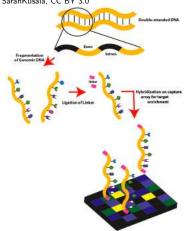
### Software

Bioconductor (R methods for microarray analysis)
www.bioconductor.org
limma: Linear Models for Microarray and RNA-Seq Data

Microarray producers provide software

## New technology (see also MM Fig. 10-7)

https://commons.wikimedia.org/w/index.php?curid=9642877, by SarahKusala, CC BY 3.0



https://commons.wikimedia.org/w/index.php?curid=9642932. by SarahKusala, CC BY 3.0 and amplification Sequence DNJ

No reference genome needed, useful for new unsequenced organisms

# Questions?

