

# Apache Airavata Sharing Service

A Tool for Enabling User Collaboration in Science Gateways

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## ABSTRACT

Science Gateways provide user environments and a set of supporting services that help researchers make effective and enhanced use of a diverse set of computing, storage, and related resources. Gateways provide the services and tools users require to enable their scientific exploration, which includes tasks such as running computer simulations or performing data analysis. Historically gateways have been constructed to support the workflow of individual users, but collaboration between users has become an increasingly important part of the discovery process. This trend has created a driving need for gateways to support data sharing between users. For example, a chemistry research group may want to run simulations collaboratively, analyze experimental data or tune parameter studies based on simulation output generated by peers, whether as a default capability, or through explicit creation of sharing privileges. As another example, students in a classroom setting may be required to share their simulation output or data analysis results with the instructor. However most existing gateways (including the popularly used XSEDE gateways SEAGrid, Ultrascan, CIPRES, and NSG), do not support direct data sharing, so users have to handle these collaborations outside the gateway environment. Given the importance of collaboration in current scientific practice, user collaboration should be a prime consideration in building science gateways. In this work, we present design considerations and implementation of a generic model that can be used to describe and handle a diverse set of user collaboration use cases that arise in gateways, based on general requirements gathered from the SEAGrid, CIPRES, and NSG gateways. We then describe the integration of this sharing service into these gateways. Though the model and

the system were tested and used in the context of Science Gateways, the concepts are universally applicable to any domain, and the service can support data sharing in a wide variety of use cases.

## CCS CONCEPTS

• **General and reference** → **Design**; • **Human-centered computing** → **Collaborative content creation**; • **Information systems** → *Computing platforms*; • **Software and its engineering** → *Open source model*;

## KEYWORDS

Apache Airavata, SciGaP, CIPRES, NSG, SEAGrid, Collaboration, Science Gateways, Groups, Sharing

### ACM Reference format:

Supun Nakandala, Suresh Marru, Marlon Piece, Sudhakar Pamidighantam, Kenneth Yoshimoto, Terri Schwartz, Subhashini Sivagnanam, Amit Majumdar, and Mark A. Miller. 2017. Apache Airavata Sharing Service. In *Proceedings of Practice & Experience in Advanced Research Computing, New Orleans, LO USA, July 2017 (PEARC17)*, 7 pages.

DOI: 10.1145/nnnnnnn.nnnnnnn

## 1 INTRODUCTION

Science gateways are science-centric user environments and supporting cyberinfrastructure that enable broader and more effective use of scientific computing resources, applications, and data [2]. Because gateways allow users to perform operations on high end resources, authentication and authorization have long been cornerstones of gateway infrastructure [4], [8]. Authenticated access also supports another hallmark of gateways: the collection of user interactions into computational experiments that the researcher can use to monitor long running executions, retrieve results, and recall how specific results were achieved [6], [3], [7], [5]. Sharing results with colleagues and making certain results publically available is

the outcome of research. This is an example of user-driven authorization of something the user owns (data and metadata) rather than service provider-driven authorization of something the service provider owns (computing resources, licensed applications, etc). Despite the fundamental nature of sharing in research, this is not a first class capability within many popular gateways. This paper examines the requirements and provides an implementation for user-directed sharing, which we apply to several popular science gateways. Our conceptual approach is based on group membership. Computational experiments are grouped (or tagged) into one or more organizational structures. In Apache Airavata, for example, ?projects? contain experiments. These entities are by default privately owned by the creator. The extensions are obvious: give other users and groups of users privileges to read the contents of specific experiments and groups of experiments. A subset group of these users may have additional privileges to copy, modify and execute experiments and groups of experiments. For even these simple cases, however, we know from common examples such as UNIX groups that we must reason carefully about how to implement inherited permissions, the nature of ownership and its transfer, and similar issues.

## 2 GROUP DATA MODEL CONSIDERATIONS FOR SCIENCE GATEWAYS

Groups themselves are just collections of entities. The challenge arises when specifying the relationships between groups and the properties of groups. For the gateway use cases outlined above, the fundamental problem is expressing a common set of permission relationships between two fundamentally distinct groups: users and their experiments. Generic implementations of groups, and ?groups as a service? software exist, with Grouper [1] being a well known example. Grouper software can be deployed at university scale to manage complicated collections of users and other entities.

Despite their power and flexibility, generic group management approaches, are not a good match for gateway use cases. The core of the problem is that assigning an arbitrary parent-child relationship between groups does not directly express the needed permission-based relationship between the groups. The parent-child relationship is arbitrary and so must rely on conventions: you can imply permissions by making user groups parents of resource groups or the other way around by making resource groups the parents of user groups. Notice also that we need to define multiple groups to express the relationships between the two entity collections: a ?read? group and a ?write? group. To change an existing permission requires two operations: the user must be removed from the old group and added to the new group. One could imagine having conflicts if the conventions are not strictly followed. Imagine if a gateway sometimes put user groups into resource groups and other times put resource groups into user groups within a single deployment. The gateway then may get conflicting information in its queries about a user's permissions.

It is thus generally better to explicitly, semantically express relationships between entities if these relationships are fundamental to the usage scenario. There are also practical performance considerations: searches such as ?return all the resources that a member of this group has permission to modify? is not efficiently implemented

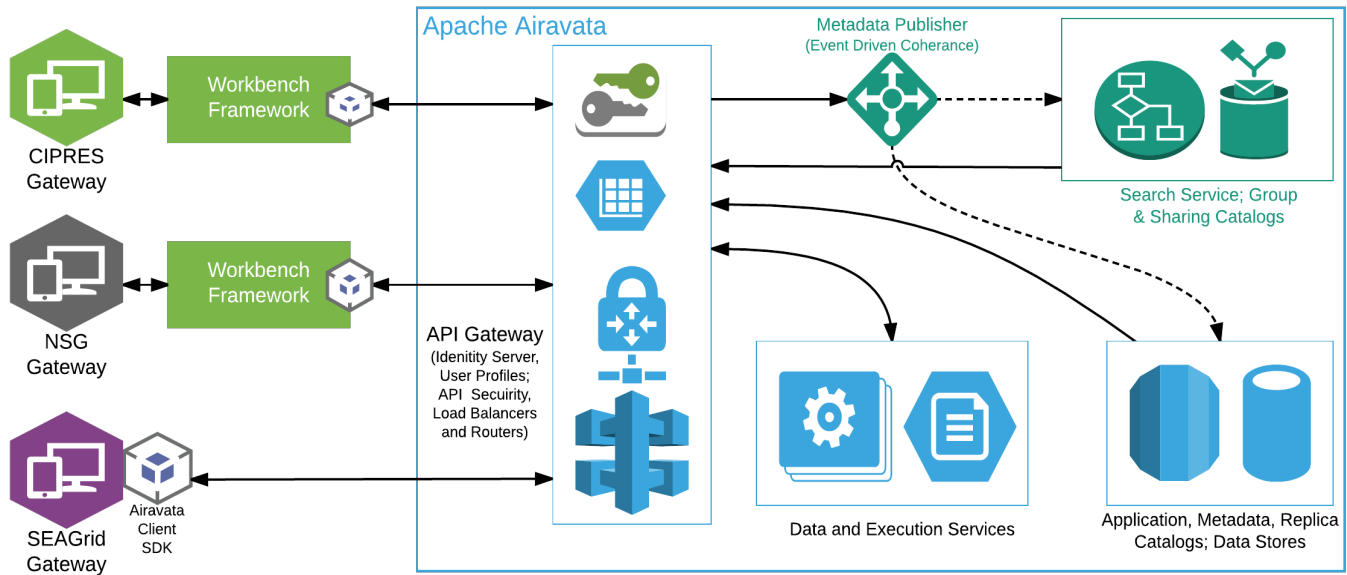
in a parent-child or tree data model. An alternative approach examined here is to use a graph data model in which the relationship between groups is explicitly named and directed. This is the approach explored in this paper. At the simplest conceptual level, this approach provides an unambiguous representation of permissions on access to users' projects and computational experiments. This is an important design consideration given the security implications of sharing results. It furthermore leads to a more efficient, if specialized, implementation of queries.

## 3 PROPOSED SOLUTION

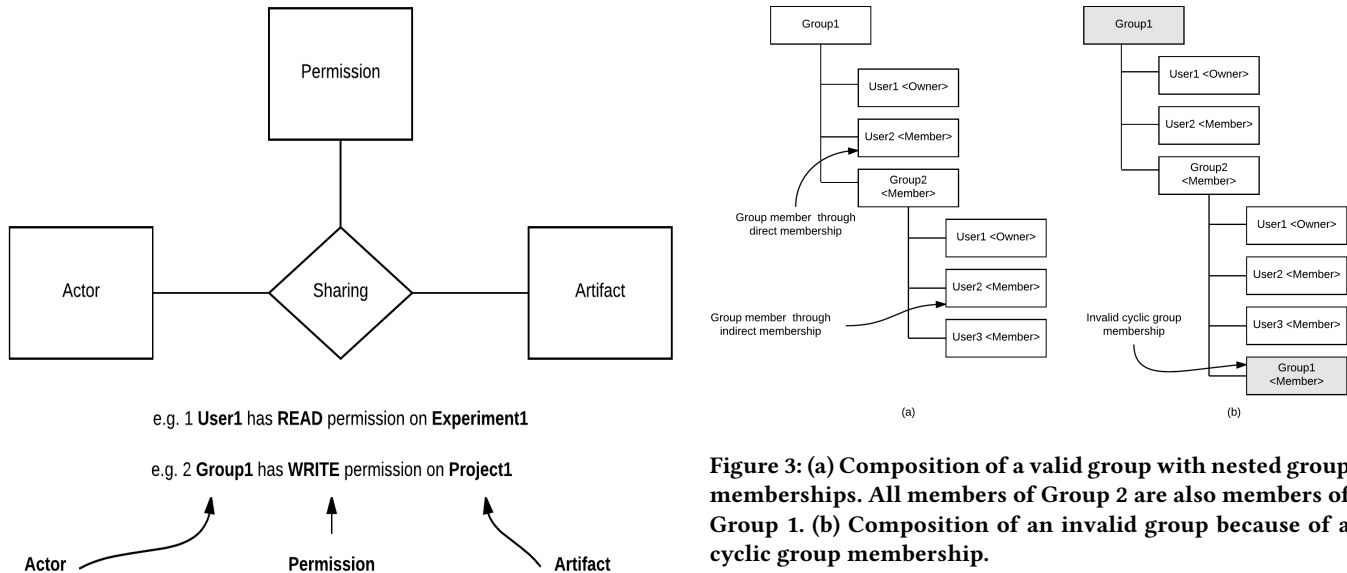
First, we formulate our requirements in the form of a logical relationship between entities and come up with a logical model that caters to the requirements. We identify the concept of "Sharing" as the cornerstone in developing a user collaboration system. Sharing is a ternary relationship between three abstract concepts, namely "Actor", "Artifact" and "Permission" (see Fig. 1). Our model is similar to other resource level security models such as the Sharepoint [?] item level security model. In our model, an actor can be a single user or a group of users. The concept of artifact represents any data entity in the gateway (e.g experiment, output file etc.) and the permission concept captures the level of access that each actor has on the artifact associated in the sharing relationship. Sample sharing rules written using this model are shown in Fig. 1. In our model, we assume all sharing rules are explicitly defined (i.e no default behavior) and to keep the model simple, we also do not support sharing rules defined as negations, such as DISALLOW (Group1 has READ to Entity1). When an Artifact is created, by default the owner of the Artifact will be assigned with the OWNER permission. This grants the global level permission on that particular Artifact. Next we look into each of these concepts and the different operations the model needs to support.

**Actor:** As mentioned earlier, an Actor who participates in a sharing relationship can be either a single user or a group of users. A group will be owned by the creator of the group and can have other users or groups as child members. Child member groups can in turn have other groups, which are owned by the same owner, as child members, but cannot have cyclic group memberships. A particular user can be a member of a group via direct membership or via indirect membership (i.e. by being a member of another nested group or both). These concepts are shown in more detail in Fig. ??.

**Artifact:** An artifact can be any type of data item, either real or virtual, that exists in the gateway environment. From a conventional gateway point of view, items like user projects, jobs and input/output files can be considered as artifacts. In a more generic sense, any item that needs to be shared among users or needs to be controlled with different access requirements for users falls into the category of an Artifact. An artifact can have a hierarchical structure (i.e parent-child relationships). This hierarchy becomes important when a user wants to share items at different granularities. For example when sharing a project, a user may want to share all the nested child experiments and input/output files implicitly, or share only the project content without child entities. Similar to Actors, Artifacts cannot have cyclic dependencies in their parent-child hierarchies.

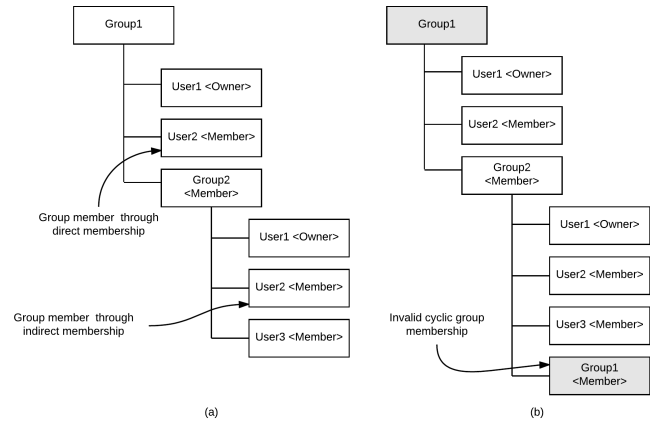


**Figure 1: High level architectural overview.** SEAGrid Gateway is built over Apache Airavata for all data and execution capabilities; CIPRES and NSG Gateways are built over Workbench Framework and are exploring use of Apache Airavata for Data Sharing capabilities. The paper focuses on Sharing Service (green color box) and existing literature on Airavata discuss other capabilities (blue colored boxes).



**Figure 2: Relationship between the Actor, Permission, Artifact and Sharing concepts.**

**Permission:** The notion of permission captures the different access types that an Actor can have on an Artifact in a sharing relationship. For example, User1 may have READ permission on Experiment1, which will only grant access to view things in Experiment1 whereas Group1 may have WRITE permission on Experiment1 which would allow a Group1 member to write/update the content



**Figure 3: (a) Composition of a valid group with nested group memberships. All members of Group 2 are also members of Group 1. (b) Composition of an invalid group because of a cyclic group membership.**

in Experiment1. Sometimes it is possible that one permission may subsume the access rights defined by another permission. For example the OWNER permission (granted to the owner of an artifact) subsumes the access rights enforced by READ and WRITE permissions. A particular user may have READ permission either because the item is explicitly shared with READ permission or the user has another permission (e.g. OWNER) which subsumes the access rights of the READ permission. These kinds of relationships are captured in the form of a permission hierarchy. Similar to Actors

and Artifacts these hierarchies also cannot have cyclic parent child dependencies.

**Operations Supported:** In addition to supporting the basic CRUD operations on the entities(Actor, Artifact and Permission) the model should support four different types of operations. They are:

- **Sharing/Revoking** - Explicitly sharing an Artifact with an Actor with some Permission and revoking previous sharings. The sharing can be done at different granularities, such as sharing a parent Artifact and all its child Artifacts or sharing only the parent and not the children.
- **Check Permission** - Checking whether a specific user has a specific permission to a specific artifact.
- **Get all accessible Actors** - Retrieving the list of all actors who have the specified permission to a particular Artifact.
- **Search/Browsing** - Searching across all accessible Artifacts for a specific user with some Artifact search criteria. Browsing can be considered as a special case of a search with an empty search criteria on Artifact fields.

#### 4 IMPLEMENTATION OF THE PROPOSED SOLUTION

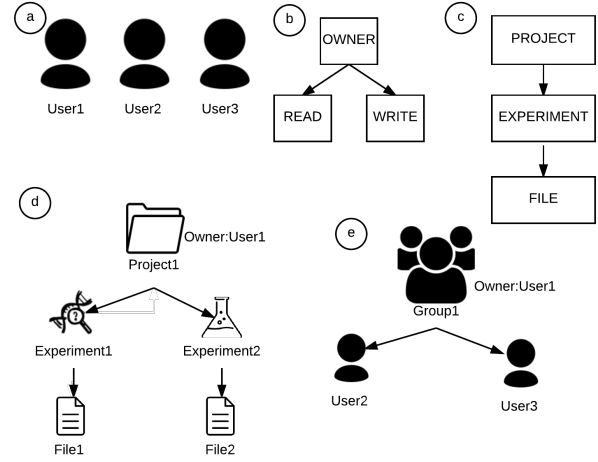
For the implementation we developed a system backed by a relational database engine where entities and relationships are mapped into relational tables. To keep the implementation simple, we treat individual Users as a special type of Group which can have only one member. To elaborate more on how we support the different operations of our model and to make the discussion lucid, we formulate a hypothetical scenario. In our scenario we have three users, three permission types hierarchy (OWNER, READ and WRITE), the artifact types hierarchy (PROJECT, EXPERIMENT, and FILE). User1 owns one project (Project1) in which there are two experiments (Experiment 1 and 2) each with one file (File 1 and 2). User1 also has created two groups (Group 1 and 2) in which one is a nested subgroup in the other.

**Sharing and Revoking:** Initially only User1 has access to Project1 and all other nested artifacts (through the default assignment of the OWNER permission). Let us assume that User1 wants to give READ permission to Project1 for User2. There are several ways to achieve this. The most basic approach would be to explicitly share Project1 with User2 with READ permission. This operation corresponds to creating an entry in Sharing which can be represented as:

```
INSERT INTO SHARING(ACTOR, ARTIFACT, PERMISSION) VALUES(
    User2, Project1, READ)
```

However this will allow User2 to READ Project1 and not any of its nested artifacts. If User2 also needs to have READ permission to all nested artifacts of Project1 we need to define sharing rules for all the nested artifacts rooted at Project1, either explicitly or implicitly. The implicit way to handle this is to add an additional field in the Sharing rule to capture this information. The explicit way to handle this is to traverse through the Artifact subtree and create sharing records for each nested Artifact. The two approaches can be represented as follows:

```
INSERT INTO SHARING(ACTOR, ARTIFACT, PERMISSION, CASCADE)
VALUES(User2,Project1, READ, True)
```



**Figure 4: Hypothetical user collaboration scenario. (a) users in the scenario, (b) Permissions hierarchy, (c) Artifact types hierarchy, (d) Artifact hierarchy owned by User1 and (e) Group hierarchy created by User1.**

```
Q := Queue()
Q.dequeue(Project1)
While NOT Q.empty()
    X := Q.dequeue()
    INSERT INTO SHARING(ACTOR, ARTIFACT, PERMISSION, CASCADE)
        VALUES(User2, X, READ, True)
    Q.enqueueAll(X.getChildren())
```

There are tradeoffs in choosing between these two approaches. The implicit approach has constant time complexity at sharing time but requires complex and computationally expensive retrieval operations at inference time (i.e Check permission and Search operations). On the other hand, the explicit approach has  $O(n)$  time complexity ( $n$  is the number of artifacts in the subtree) and requires constant time complexity at inference time. In a practical setting, most operations the sharing model should support will be inference type operations. Hence in our model we have decided to use the explicit approach.

One might question why we need to maintain the CASCADE field in the Sharing rule when using the explicit approach where we create sharing rules for all child Artifacts. To explain this requirement, consider the following case. Assume that User1 has shared Project1 and all its child Artifacts granting User2 READ permission. Later User1 creates a new Experiment (Experiment3) which is rooted under Project1. According to our model User2 should have READ access to the new Experiment3, even though it is created after Project1 was shared. To achieve this, Experiment3 should inherit all the Sharing rules from its parent that are cascable at creation time. To determine whether a sharing rule is cascable we need to maintain the CASCADE field in the Sharing rule.

```
Parent := Experiment3.getParent()
```

```

SharingRules := SELECT * FROM SHARING WHERE SHARING.ARTIFACT
= Parent AND SHARING.CASCADE = True
For Rule in SharingRules
  INSERT INTO SHARING(ACTOR, ARTIFACT, PERMISSION, CASCADE)
    VALUES(Rule.ACTOR, Experiment3, Rule.PERMISSION, True
    )

```

The model also provides the capability to revoke already defined sharing rules. This operation corresponds to the deletion of the specific Sharing rule. But some complications may arise in the case of cascading sharing rules when multiple inheritance yields the same Permission. For example, assume Experiment1 and its child artifacts are shared with User2 with READ permission. This allows User2 to READ the File1. The corresponding entry in the Sharing can be written as:

```

INSERT INTO SHARING(ACTOR, ARTIFACT, PERMISSION, CASCADE)
VALUES(User2, File1, READ, True)

```

If User1 later decides to Share the Project1 and all its child artifacts with User2 with READ permission, this Sharing rule will also enable User2 to READ File1. But note that the corresponding Sharing rule entry for Experiment1 and File1 will be the same as in the previous case. This will either duplicate the Sharing rule or would not make any changes, based on the implementation. Later if User1 wants to revoke the Sharing defined on Experiment1, all the Sharing rules that were created as a result of that Sharing have to be deleted as per the following operation.

```

Q := Queue()
Q.dequeue(Project1)
While NOT Q.empty()
  X := Q.dequeue()
  DELETE FROM SHARING WHERE SHARING.ACTOR = User2 AND
    SHARING.PERMISSION = READ
  Q.enqueueAll(X.getChildren())

```

This will end up having only one Sharing rule allowing User2 to READ and Project1 and nothing else. Hence it is important to capture the inheriting parent Artifact when recording cascading Sharing rules and at the revocation time deleting only the ones that correspond to the specific revoking parent Artifact. The modified Sharing operation on Project1 will now look as follows.

```

Q := Queue()
Q.enqueue(Project1)
While NOT Q.empty()
  X := Q.dequeue()
  INSERT INTO SHARING(ACTOR, ARTIFACT, PERMISSION, CASCADE,
    INHERITING_SUPER_PARENT) VALUES(User2, X, READ, True,
    Project1)
  Q.enqueueAll(X.getChildren())

```

Going back to our first scenario, granting User2 with READ permission on Project1, we could have achieved this in two other ways. One approach would be to use the Permission type hierarchy and Share Project1 with a Super permission of READ (e.g). An actor who has OWNER Permission on an Artifact will also have READ Permission. The other approach would be to Share the Artifact with an Actor that contains the User2. For example if Project1 is Shared with Group1 with READ Permission, then User2 will also have READ Permission on Project1 as a result of being a member

of Group1. Similar to handling nested hierarchies in Artifacts, the nested hierarchies in Permission types and Groups can be handled either implicitly or explicitly. The implicit approach will record only the original Sharing rule and will defer the heavy lifting to the inference time. On the other hand, in the explicit approach, additional records will be created to each nested element. For example when Sharing with OWNER permission additional records will be created to READ and WRITE permission. However we found that most of the Permission Type and Group hierarchies in our use cases are short and flat and always will be of cascade type (e.g. when sharing with a group it is always implied that it is sharing with all the nested members). Therefore the overhead of using the implicit approach is not significant. Hence we use the implicit approach to handle Permission type and Group hierarchies.

**Check Permissions:** In our model since we put most of the heavy lifting on the sharing and revoking operations, inference operations become straightforward and less costly. The Check Permissions operation tries to find out whether a specific Actor has a specific Permission to a specific Artifact. For example consider that we want find whether User2 has READ Permission to Project1. In our model, which implicitly captures nested aspects in Permissions and Groups, we need to first find all the Permissions which subsume the READ permission and all the groups that User2 is a member of. After finding those, this operation can be easily executed as follows.

**Get all Accessible Actors: Search/Browse:** In the search operation the objective is to retrieve a list of Artifacts which are accessible to a specific actor based on some permission criteria and which conforms to a particular search criteria on Artifact properties. As explained earlier, Browse is special case of search with empty Artifact filter criteria. As a practical requirement, when dealing with large return lists, it is also important to handle pagination. As the objective of this operation is to retrieve the list of Artifacts we do a relation join on Artifact and Sharing entities and then apply the filter criteria. In the current implementation, the Artifact filter criteria can be applied on Artifact name, description, owner, parent artifact (if exists), created and updated times and the full text field. Some of the example search/browse queries will be as follows: ? Browse without Artifact type constraint: e.g. Select Artifacts where User2 has READ access. ? Browse with Artifact type constraint: e.g. Select Artifacts of type EXPERIMENT where User2 has READ access. ? Search with Artifact filter criteria: e.g. Select first 10 Artifacts of type EXPERIMENT where User2 has READ access and the Artifact name contains ?Ethylbenzene? and Artifact created during last week. The last search operation listed above can achieved as follows:

## 5 INTEGRATION WITH SEAGRID

SEAGrid provides a traditionally secure data model where all the data has been considered to be proprietary and data sharing occurred only outside the SEAGrid application. However, recently users have requested the data be shareable among collaborators to improve organization, post processing and collaborative discovery. The discovery and sharing of the data go hand in hand as the simulation data accumulates over time and data management in terms of discovery for specific goals or to reuse in specific ways becomes critical. Supporting data discovery by individual users and

collaborative processing of data requires both metadata generation and data indexing. The original SEAGrid infrastructure provided a way to define key value pairs so users can manually add to a metadata catalog for each experiment they set up, along with a search engine to discover the tagged data and products. However, users did not adopt this system, as it required a disciplined addition of metadata tags. Recently we eliminated the manual tagging operations by implementing an automated parsing and indexing system. This system generates metadata tags for output of some of the applications used in the SEAGrid gateway [ref]. For an application that generated post-processed visualization data products we also implemented automatic metadata-enhanced archiving of results into the SeedMe.org archive [ref] for the Vortex-Shedding Gateway [ref]. The parsing infrastructure created for the SEAGrid gateway provides access and discovery of any data shared with the user, as it becomes part of the searchable domain. Additional sharing infrastructure requires us to define what is shared and with whom and a way to collaboratively process data. Toward this end we have prototyped integrating Jupyter notebooks in a GSOC project that can be integrated into Apache Airavata gateway infrastructures to interact with data products with ready analysis functions available in python [ref]. The users have benefitted from the job history organization already available in the portal but were unable to exploit the vast amount of past data from the community as the infrastructure to securely share the data. A generic way to publish data to community organizations such as Figshare [ref] or SeedMe.org have just been implemented but more controlled sharing for collaborative and cooperative post-processing need additional infrastructure to define collaborating individual or group and mode of sharing and the timelines. Sharing of post-processing functions/applications along with the data and/or provenance details would also be beneficial for reproducing data products as well additional enhancement to the analysis if needed.

## 6 INTEGRATION WITH THE CIPRES WORKBENCH FRAMEWORK

The CIPRES Workbench Framework [refs] was created with the assumption that each authenticated user was working independently of all others, which is consistent with the work paradigm of biological science when the software was designed. The software at present does not support collaboration or data sharing between individual accounts. However, recent surveys show that users of the Neuroscience Gateway (NSG) and the CIPRES Science Gateway, two highly accessed gateways built using the CIPRES Workbench framework, have a strong interest in sharing data within their workgroups, and with the public as well [REF-Majumdar; Miller, unpublished data]. Both user groups indicate that data access and data sharing presents a significant challenge, and that users would appreciate a solution that can be managed from within the Gateway interface. Two specific use cases highlighting the data sharing needs of typical Workbench Framework users are listed below: A geographically distributed, highly interdisciplinary neuroscience research group is interested in collaboration that combines empirical results from MEG experiments with detailed computational models of neocortical circuitry to infer the mechanisms responsible for generating cortical rhythmic activity. Experimental data

is collected from geographically distributed collaborators' institutions, yet jointly all of them are developing a large-scale model of thalamocortical circuitry with detailed neurons and synaptic architectures and plan to run on supercomputers. Model predictions will be validated and informed with simultaneous thalamic and cortical microelectrode recording data (that needs to be shared) obtained in the collaborators' lab in other institutions in US and France. EEGLAB [REF-EEGLab] is a widely used software for processing experimental electrophysiological data by thousands of cognitive neuroscientists worldwide. Researchers are now interested in meta-analysis of source-resolved EEG measures across studies. This will allow research results of individual researchers/labs to be analyzed/compared (meta-analysis) to gain deeper understanding of brain functions at a higher level. To be able to do this researchers will need a mechanism, within NSG, where they can make their data shareable such as by tagging results that they want to share with other researchers or make it public. This will require different level of sharing capabilities. This will then allow other researchers to perform meta-analysis of research results at a higher level using computing power provided by NSG. Based on user feedback, and the use cases above, tools for sharing data between accounts are a priority feature for CIPRES Workbench users. We are integrating the data sharing service with the CIPRES Workbench framework. Our approach will take a somewhat different strategy from the SEAGrid integration described above. A schematic is shown in Figure 4. For the Workbench Framework, a separate group management server will allow users to perform group and user management. This will allow full access to all functions in the SciGap sharing service without any User Interface development. CIPRES Workbench will retain an internal representation of Experiment configuration, so the shared Entities will be project folders. Within the Workbench code, project folder identifiers will be used to determine user access to contained Data and Experiments (Tasks in workbench terminology). Integration with the Experiment Catalog will not be required.

## 7 RELATED WORK

Internet2 Grouper software[6] is an access management system which is widely used in universities that can support most of the required features in our proposed solution. Grouper provides comprehensive and efficient group management functionalities including rule and time based group membership definitions and ability to define groups based on complex group math operations (unions, intersections and differences) which are generally beyond the simple group management requirements in gateways. Features in Grouper such roles, attributes, and permissions can be used to implement our sharing model. If implemented using Grouper, the system can easily support the sharing(revoking) of Artifacts, check permission, get all accessible users operations. However the lack of flexibility in the Grouper's search/browse APIs hinders the system in efficiently fulfilling the search/browse operations required by the Gateways users. Search/Browse operations are an important aspect for Gateways users and hence we decide to implement our own implementation with flexible search/browse operations. SeedMe[7] is a cyberinfrastructure platform that enables seamless sharing and visualization of computer simulation outputs which runs on

HPC infrastructures through a web based tool. It enables users to conveniently view and access simulation outputs. Users can create collections of data items and share publicly or with a specified group of users. In the current implementation it has only one permission level which grants permission to shared users to view and comment on the collection. Also it lacks the ability to define reusable user groups and requires explicitly defining the list of users every time when sharing a collection.

## 8 CONCLUSIONS

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