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# **AspectJML: Modular Specification and Runtime Checking for Crosscutting Contracts**

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#### **Abstract**

Aspect-oriented programming (AOP) is a popular technique for modularizing crosscutting concerns. In this context, researchers have found that the realization of design by contract (DbC) is crosscutting and fares better when modularized by AOP. However, previous efforts aimed at supporting crosscutting contracts modularly actually compromised the main DbC principles. For example, in AspectJ-style, reasoning about the correctness of a method call may require a whole-program analysis to determine what advice applies and what that advice does relative to DbC implementation and checking. Also, when contracts are separated from classes a programmer may not know about them and may break them inadvertently. In this paper we solve these problems with *AspectJML*, a new specification language that supports crosscutting contracts for Java code. We also show how AspectJML supports the main DbC principles of modular reasoning and contracts as documentation.

Categories and Subject Descriptors D.2.4 [Software/Program Verification]: Programming by contract, Assertion Checkers; F.3.1 [Specifying and Verifying and Reasoning about Programs]: Assertions, Invariant, Pre- and postconditions, Specification techniques

General Terms Design, Languages, Verification

**Keywords** Design by contract, aspect-oriented programming, crosscutting contracts, JML, AspectJ, AspectJML

# 1. Introduction

Design by Contract (DbC), originally conceived by Meyer [30], is a useful technique for developing a program using specifications. The key mechanism in DbC is the use of behavioral specifications called "contracts". Checking these contracts against the actual code at runtime has a long tradition in the research community [7, 11, 13, 23, 25, 44, 50]. This idea of checking contracts at runtime was popularized by Eiffel [31] in the late 80s. In addition to Eiffel, other DbC languages include the Java Modeling Language (JML) [25], Spec# [4], and Code Contracts [13].

It is claimed in the literature [6, 14, 20, 27–29, 40, 41, 45] that the contracts of a system are de-facto a crosscutting concern and fare better when modularized with aspect-oriented programming [21] (AOP) mechanisms such as pointcuts and advice [20]. The idea has also been patented [28]. However, Balzer, Eugster, and Meyer's study [3] contradicts this intuition by concluding that the use of aspects hinders design by contract specification and fails to achieve the main DbC principles such as documentation and modular reasoning. Indeed, they go further to say that "no module in a system (e.g., class or aspect) can be oblivious of the presence of contracts" [3, Section 6.3]. According to them, contracts should appear in the modules themselves and separating such contracts as aspects contradicts this view [30].

However, plain DbC languages like Eiffel [31] and JML [25] also have problems when dealing with crosscutting contracts. Although mechanisms such as invariant declarations help avoid scattering of specifications, the basic pre- and postcondition specification mechanisms do not prevent scattering of crosscutting contracts. For example, there is no way in Eiffel or JML to write a single pre- and postcondition and apply it to several of methods of a particular type. Instead, such a pre- or postcondition must be repeated and scattered among several methods.

To cope with these problems this paper proposes *AspectJML*, a simple and practical aspect-oriented extension to JML. It supports the specification of crosscutting contracts for Java code in a modular way while keeping the benefits of a DbC language, like documentation and modular reasoning.

In the rest of this paper we discuss these problems and our AspectJML solution in detail. We also provide a real case study to show the effectiveness of our approach when dealing with crosscutting contracts.

#### **JML Contracts**

```
1
    class Package {
                                                                   privileged aspect PackageContracts {
     double width, height;
                                                                    pointcut instMeth():
3
     //@ invariant this.width > 0 && this.height > 0;
                                                              69
                                                                     execution(!static * Package+.*(..));
4
     double weight;
                                                              70
     //@ invariant this.weight > 0;
                                                              71
                                                                    pointcut sizeMeths(double w, double h):
                                                              72
                                                                     execution(void Package.*Size(double, double))
     //@ requires width > 0 && height > 0;
                                                              73
8
     //@ requires width * height <= 400; // max dimension
                                                              74
9
     //@ ensures this.width == width;
                                                              75
                                                                    pointcut setOrReSize(double w, double h):
     //@ ensures this.height == height;
                                                              76
                                                                     execution(void Package.setSize(double, double))
     //@ signals_only \nothing;
                                                              77
                                                                      || execution(void Package.reSize(double, double))
     void setSize(double width, double height) {
                                                              78
      this.width = width;
                                                              79
      this.height = height;
                                                              80
                                                                    pointcut reSizeMeth(double w, double h):
                                                                     execution(void Package.setSize(double, double))
16
                                                              82
                                                                      && args(w, h);
17
     //@ requires width > 0 && height > 0;
     //@ requires width * height <= 400; // max dimension</pre>
18
                                                                    pointcut allMeth(): execution(* Package+.*(..));
     //@ requires this.width != width;
     //@ requires this.height != height;
                                                                    before(Package obj): instMeth() && this(obj) {
                                                                     boolean pred = obj.width > 0 && obj.height > 0
     //@ ensures this.width == width;
     //@ ensures this.height == height;
                                                              88
                                                                      && obj.weight > 0;
     //@ signals_only \nothing;
                                                                     Checker.checkInvariant(pred);
     void reSize (double width, double height) {
                                                              90
      this.width = width;
26
      this.height = height;
                                                              92
                                                                    before (double w, double h): sizeMeths(w, h) {
27
                                                                     boolean pred = w > 0 &  h > 0
                                                                      && w * h <= 400; // max dimension
29
     //@ requires width > 0 && height > 0;
                                                                     Checker.checkPrecondition(pred);
30
     //@ requires width * height <= 400: // max dimension
                                                              96
31
     //@ signals_only \nothing;
32
     boolean containsSize(double width, double height) {
                                                              98
                                                                    before(Package obj, double w, double h):
33
      if(this.width == width && this.height == height) {
                                                                     reSizeMeth(w, h) && this(obj) {
                                                             100
                                                                      boolean pred = obj.width != w && obj.height != h;
34
       return true;
35
                                                             101
                                                                      Checker.checkPrecondition(pred);
                                                             102
36
      else return false:
37
                                                             103
                                                                    after(Package obj, double w, double h) returning():
38
                                                             104
39
     //@ signals only \nothing:
                                                             105
                                                                      \verb|setOrReSize(w, h) && \textbf{this}(obj) \{ \\
40
                                                             106
                                                                       \textbf{boolean pre} = \texttt{obj.width} == \texttt{w}
     double getSize() {
41
      return this.width * this.height;
                                                                        && obj.height == h;
                                                             107
                                                             108
42
                                                                       Checker.checkNormalPostcondition(pred)
43
                                                             109
44
                                                             110
     //@ signals_only \nothing;
45
                                                             111
                                                                    after() throwing(Exception ex): allMeth() {
46
     void setWeight(double weight) {
                                                             112
                                                                     boolean pred = false;
47
      this.weight = weight;
                                                             113
                                                                     Checker.checkExceptionalPostcondition(pred);
48
                                                             114
49
    ... // other methods
                                                             115
50
                                                             116
                                                                    after(Point obj): instInv() && this(obj) {
                                                                     boolean pred = obj.width > 0 && obj.height > 0
&& obj.weight > 0;
51
                                                             117
52
    class GiftPackage extends Package {
                                                             118
53
                                                             119
                                                                     Checker.checkInvariant(pred);
     //@ signals_only \nothing;
                                                             120
55
     void setWeight(double weight) {
                                                             121
                                                                    // other advice for checking contracts
                                                             122
57
                                                             123
     ... // other methods
                                                             124
                                                                  aspect GiftPackageContracts {...}
59
                                                             125
                                                             126
                                                                  aspect CourierContracts {...}
61
    class Courier {
                                                             127
                                                             128
                                                                   aspect Tracing {
                                                                    after() returning(): execution(* Package.*(..)) {
     void deliver (Package p, String destination) {
                                                             129
                                                             130
                                                                     System.out.println("Exiting"+thisJoinPoint);
65
     }
                                                             131
66
    }
```

**AspectJ Contracts** 

Figure 1. The JML and AspectJ contract implementations of the delivery service system [33].

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# 2. Design by Contract and Modularity

In this section we discuss the existing problems in modularizing crosscutting contracts in practice. The first two problems are AOP/AspectJ [20, 21] based, and the last, but not least, problem is related to a design by contract language like JML [25].

# 2.1 A Running Example

Figure 1 illustrates a simple delivery service system [33] that manages package delivery. It uses contracts expressed in JML [25] (lines 1-66) and AspectJ [20] (lines 67-126). In addition, we also

include a tracing crosscutting concern modularized with AspectJ (lines 128-132).

In JML specifications, preconditions are defined by the keyword requires and postconditions by ensures. The specification signals\_only \nothing is an exceptional postcondition which says that no exception (including runtime exceptions but excluding errors) can be thrown. For example, all methods declared in class Package are not allowed to throw exceptions. The invariants defined in class Package restricts package's dimension and weight to be always greater than zero.

JML's counterpart in AspectJ is shown on lines 67-126. The main motivation in applying an AspectJ-like language is that we can explore some modularization opportunities that are otherwise not possible in a DbC language like JML. For instance, in the PackageContracts aspect, the second before advice (lines 92-96) checks the common preconditions, which are scattered on the JML side, for any method with name ending in Size and taking two arguments of type double. Similarly, the after-returning advice (lines 104-109) checks the common postconditions for both setSize and reSize methods. This advice only enforces the constraints after normal termination. In JML, the postconditions are called normal postconditions since they are only required to hold when a method returns normally [25]. A third example is the after-throwing advice (lines 111-114), which forbids any method in Package or subtypes from throwing any exception. This is illustrated in the JML counterpart with the scattered specification signals\_only \nothing. This second kind of postcondition in JML is called an exceptional postcondition [25].

#### 2.2 The Modular Reasoning Problem

If we consider plain JML/Java without AspectJ, the example in Figure 1 supports modular reasoning [24, 26, 32, 39]. For example, suppose one wants to write code that manipulates objects of type Package. One could reason about Package objects using just Package's contract specifications (lines 1-50) in addition to any inherited from its supertypes [12, 24, 26].

Consider the Java and AspectJ implementation of the delivery service system (without the JML specifications).

In addition to the classes in the base/Java code, Figure 1 defines three aspects for contract checking and one aspect for tracing. In plain AspectJ, advice declarations are applied by the compiler without explicit reference to aspects from a module or a client module; therefore by definition, modular reasoning about the Package module does not consider the advice declared by these four aspects. The aspect behavior is only available via non-modular reasoning. That is, in AspectJ, a programmer must consider every aspect that refers to the Package class in order to reason about the Package module. So the answer to the question "What advice/contract applies to the method setSize in Package?" cannot (in general) be answered modularly. Therefore, a programmer cannot study the system one module at a time [2, 3, 19, 35, 39, 49].

#### 2.3 Lack of Documentation Problem

In a design by contract language the pre- and postconditions and invariant declarations are typically placed directly in or next to the code they are specifying. Hence, contracts increase system documentation [3, 32, 36]. In AspectJ, however, the advising code (that checks contracts) is separated from the code it advises and this forces programmers to consider all aspects in order to understand the correctness of a particular method. In addition, the physical separation of contracts can be harmful in the sense that an oblivious programmer can violate a method's pre- or postconditions when these are only recorded in aspects [3, 32, 36].

Consider now the tracing concern (Figure 1), modularized by the aspect Tracing. It prints a message after the successful execution of any method in the Package class when called. For this concern, different orders of composition with other aspects (that check contracts) lead to different behaviors/outputs. As a consequence, the after-returning advice (line 129) could violate Package's invariants and pass undetected if the advice runs after those advice (in the PackageContracts aspect) responsible for checking the Package's invariant. Without either documentation or the use of AspectJ's declare precedence [20] to enforce a specific order on aspects, it is quite difficult-perhaps impossible—to understand the order in which pre- and postconditions will be executed until they are actually executed.

Another problem caused by the lack of documentation implied by separating contracts as aspects is discussed by Balzer, Eugster, Meyer's work [3]. They argue that as programmers become aware of contracts only when using special tools like AJDT [22], they are more likely to forget to account for the contracts when changing the classes.

# 2.4 Lack of Support for Crosscutting Contract Specification in DbC Languages

Balzer, Eugster, and Meyer's study [3] helped crystallize our thinking about the goals of a DbC language and about the parts of such languages that provides good documentation, modular reasoning, and non-contract-obliviousness. One straightforward way to avoid the previous two problems discussed above is to use a plain DbC language like JML [25].

We make two about the JML specifications in Figure 1. First, a DbC language like JML can be used to modularize some contracts. For example, the invariant clauses (declared in Package) can be viewed as a form of built-in modularization. That is, instead of writing the same pre- and postconditions for all methods in a class, we declare a single invariant that modularizes those pre- and postconditions. Second, specification inheritance is another form of modularization. In JML, an overriding method inherits method contracts and invariants from the methods it overrides. 

1. First, a DbC language 1. First, a DbC language 2. First, a DbC language 3. First, a DbC langu

However, DbC languages (like JML) do not capture all forms of crosscutting contract structure [18, 20] that can arise in specifications. For example, consider the JML specifications illustrated in lines 1-66 of Figure 1. In this example there are three ways in which crosscutting contracts are not properly modularized with plain JML constructs:

- (1) We can write preconditions constraining the input parameters on the methods setSize, reSize, and containsSize (in Package) to be greater than zero and less than or equal to 400 (the package dimension) only once and apply them to these or other methods with the same design constraint;
- (2) The two normal postconditions of the methods setSize and reSize of Package are the same. They ensure that the both width and height fields are equal to the corresponding method parameters. However, we cannot write a simple and local quantified form of these postconditions and apply them to the constrained methods; and
- (3) The exceptional postcondition signals\_only \nothing must be explicitly written for all the methods that forbid exceptions. This is the case for the declared methods in Package and GiftPackage classes. There is no way to modularize such a JML contract in one place and apply it to all constrained methods.

<sup>&</sup>lt;sup>1</sup>Even though inheritance is not exactly a crosscutting structure [18, 20], a DbC language avoids repeating contracts for overriding methods.

#### 2.5 The Dilemma

It is clear that we face a dilemma with respect to crosscutting contracts. If we use AspectJ to modularize them, the result is a poor contract documentation and compromised modular reasoning. If we go back to a design by contract language such as JML, we face the scattered nature of common contracts shown previously. This dilemma leads us to the following research question: Is it possible to have the best of both worlds? That is, can we achieve good documentation and modular reasoning while also specifying crosscutting contracts in a modular way?

In the following, we discuss how our AspectJML DbC language provides constructs to specify crosscutting contracts in a modular and convenient way and overcomes the above problems.

# 3. The AspectJML Language

AspectJML extends JML [25] with support for crosscutting contracts [29]. It allows programmers to define additional constructs (in addition to those of JML) to modularly specify pre- and post-conditions and check them at certain well-defined points in the execution of a program. We call this the *crosscutting contract specification* mechanism, or XCS for short.

XCS in AspectJML is based on a subset of AspectJ's constructs [20]. However, since JML is a design by contract language tailored for plain Java, we would need special support to use the traditional AspectJ syntax. To simplify the adoption of AspectJML, the included AspectJ constructs are based on the alternative @AspectJ syntax [5].

The @AspectJ (often pronounced as "at AspectJ") syntax was conceived as a part of the merge of standard AspectJ with AspectWerkz [5]. This merge enables crosscutting concern implementation by using constructs based on the metadata annotation facility of Java 5. The main advantage of this syntactic style is that one can compile a program using a plain Java compiler, allowing the modularized code using AspectJ to work better with conventional Java IDEs and other tools that do not understand the traditional AspectJ syntax. In particular, this applies to the so-called "common" JML compiler on which ajmlc, the AspectJML compiler, is based [8, 42, 43].

Figure 2 illustrates the @AspectJ version of the tracing crosscutting concern previously implemented with the traditional syntax (see Figure 1). Instead of using the aspect keyword, we use a class annotated with an @Aspect annotation. This tells the AspectJ/ajc compiler to treat the class as an aspect declaration. Similarly, the @Pointcut annotation marks the empty method trace as a pointcut declaration. The expression specified in this pointcut is the same as the one used in the standard AspectJ syntax. The name of the method serves as the pointcut name. Finally, the @AfterReturning annotation marks the method afterReturningAdvice as an after returning advice. The body of the method is used to modularize the crosscutting concern (the advising code). This code is executed after the matched join point's execution returns without throwing an exception.

In the rest of this section, we present the main elements of the crosscutting contract specification support in our language. The presentation is informal and running-example-based.

# 3.1 XCS with Pointcut-Specifications

This is the simplest way to modularize crosscutting contracts at source code level. Recall that a *pointcut designator* enables one to select well-defined points in a program's execution, which are known as *join points* [20]. Optionally, a pointcut can also include some of the values in the execution context of intercepted join

```
@Aspect()
class Tracing {
  @Pointcut("execution(* Package.*(..))")
  public void trace() {}

  @AfterReturning("trace()")
  public void afterReturingAdvice(JoinPoint jp) {
    System.out.println("Exiting"+jp);
  }
}
```

**Figure 2.** The tracing crosscutting concern implementation of Figure 1 using @AspectJ syntax.

points. In AspectJML, we can compose these AspectJ pointcuts combined with JML specifications.

The major difference, in relation to plain AspectJ, is that a specified pointcut is always processed when using the AspectJML compiler (ajmlc). In standard AspectJ, a single pointcut declaration does not contribute to the execution flow of a program unless we define some AspectJ advice that uses such a pointcut. In AspectJML, we do not need to define an advice to check a specification in a crosscutting fashion. Although it is possible to use advice declarations in AspectJML (as we discuss in subsection 3.2), we do not require them. This makes AspectJML simpler and a programmer only needs to know AspectJ's pointcut language in addition to the main JML features.

#### Specifying crosscutting preconditions

Recall our first crosscutting contract scenario described in Subsection 2.4. It consists of two preconditions for any method, in Package (Figure 1) with a name ending with Size that returns **void** and takes two arguments of type **double**. For this scenario, consider the JML annotated pointcut with the following preconditions:

The pointcut sizeMeths matches all the executions of size-like methods of class Package. As observed, this pointcut is exposing the intercepted method arguments of type double. This is done in @AspectJ by listing the formal parameters in the pointcut method. We bind the parameter names in the pointcut's expression (within the annotation @Pointcut) using the argument-based pointcut args [20].

The main difference between this pointcut declaration and standard pointcut declarations in @AspectJ is that we are adding two JML specifications (using the requires clause). In this example the JML says to check the declared preconditions before the executions of intercepted methods.

# Specifying crosscutting postconditions

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We discuss now how to properly modularize crosscutting postconditions in AspectJML. JML supports two kinds of postconditions: normal and exceptional. Normal postconditions constrain methods that return without throwing an exception. To illustrate AspectJML's design, we discuss scenarios (2) and (3) from Subsection 2.4.

For scenario (2), we use the following specified pointcut:

```
//@ ensures this.width == width;
//@ ensures this.height == height;
@Pointcut("(execution(* Package.setSize(double, double))"+
"|| execution(* Package.reSize(double, double)))"+
"&& args(width, height)")
void setOrReSize(double width, double height) {}
```

This pointcut constrains the executions of setSize and reSize methods in Package to ensure that, after their executions, the fields width and height have values equal to the ones passed as arguments.

To modularize the crosscutting postcondition of scenario (3), we use the following JML annotated pointcut declaration.

```
//@ signals_only \nothing;
@Pointcut("execution(* Package+.*(..))")
void allMeth() {}
```

The above specification forbids the executions of any method in Package (or a subtype, such as GiftPackage) to throw an exception. If any intercepted method throws an exception (even a runtime exception), a JML exceptional postcondition error is thrown to signal the contract violation. In this pointcut, we do not expose any intercepted method's context.

# Multiple specifications per pointcut

All the crosscutting contract specifications discussed above consist of only one kind of JML specification per pointcut declaration. However, AspectJML can include more than one kind of JML specification in a pointcut declaration. For example, assume that the Package type in Figure 1 does not contain the containsSize method or its JML specifications. In this scenario, we can write a single pointcut to modularize the recurrent pre- and postconditions of methods setSize and reSize. Therefore, instead of having separate JML annotated pointcuts for each crosscutting contract, we specify them in a new version of the pointcut sizeMeths:

This pointcut declaration modularly specifies both preconditions and normal postconditions of the same intercepted size methods (setSize and reSize) of Package.

#### Specification of unrelated types

Another issue to consider is whether or not AspectJML can modularize inter-type<sup>2</sup> crosscutting specifications. All the crosscutting contract specifications we discuss are related to one type (intratype) or its subtypes. However, AspectJ can advise methods of different (unrelated) types in a system. This quantification property of AspectJ is quite useful [51] but can also be problematic from the point of view of modular reasoning, since one needs to consider all the aspect declarations to understand the overall system behavior [2, 19, 39, 47–49]. Instead of ruling this completely out, the design of ApsectJML allows the specifier to use specifications that constrain unrelated inter-types, but in a explicit and limited manner (see Section 3.4 for more details about non-obliviousness in AspectJML).

As an example, recall the running example in Figure 1. We know that all the methods declared in Package and its subtype GiftPackage are forbidden to throw exceptions (see the signals\_only specification). Suppose now that the deliver method in type Courier also has this constraint. Note that the type Courier is not a subtype of Package. They are related in the sense that the method deliver depends on the Package type due to the declaration of a formal parameter. Consider further that Courier

contains many methods that are not dependent on Package in any way. Consider the following type declaration:

```
interface CommonSignalsOnly {
  class CommonSignalsOnlyXCS {
    //@ signals_only \nothing;
    @Pointcut("execution(* CommonSignalsOnly+.*(..))")
    void allMeth() {}
  }
}
```

This type declaration illustrates how we specify crosscutting contracts for interfaces. As we know, pointcuts are not allowed to be declared within interfaces. We overcome this problem by adding an inner class that represents the crosscutting contracts of the outer interface declaration. As a part of our strategy, the pointcut declared in the inner class refers only to the outer interface (see the reference in the pointcut predicate expression). Now any type that wants to forbid its method declarations to throw exceptions need only to implement the interface <code>CommonSignalsOnly</code>. Such an interface acts like a marker interface [17]. This is important to avoid obliviousness and maintain modular reasoning (according to our definition).

#### Collected XCS examples

All the crosscutting contract specifications used so far in this section (discussed as scenarios in Subsection 2.4) with pointcuts-specifications are illustrated in Figure 3 (the shadowed part illustrates the XCS in AspectJML's pointcuts and specifications).

#### 3.2 XCS with Pointcut-Advice-Specifications

A second way to specify crosscutting contracts, at the source code level is to use aspects and advice declarations in addition to pointcuts and JML specifications.

In order to exemplify the use of pointcut-advice-specifications, recall scenario (1) from Section 2.4 and consider the modified version of the Package class in Figure 4. We observe an important difference in the Package class when compared to the previous examples. There is an inner aspect named PackageAspect with pointcut and a before advice. We use an inner aspect is because we cannot declare AspectJ advice inside classes. We also moved the preconditions to the before advice. The semantics of precondition checking in AspectJML remains the same; the preconditions are checked before the executions of the intercepted join points by the pointcut sizeMeths. The main difference is that we have another behavior that will be executed just before the join point's executions. This is illustrated by the before advice that performs a trace implementation for the intercepted join points.

The main advantage of the strategy in Figure 4 is that we cannot only check the specifications in a crosscutting fashion, but also define another crosscutting implementation for the same constrained methods.

One can argue that, based on the given AspectJML specification in Figure 4, it would be more sensible to move the specifications of the **before** advice back to the pointcut definition. That would work as well; in Figure 4, we are intentionally showing how to achieve the same effect using a JML specification attached to an advice declaration.

Figure 5 shows a scenario where this technique is more useful; since the **before** advice uses an anonymous pointcut [20], the only way to constrain the join points with specifications is by adding them directly to the advice declaration.

It is important to stress that AspectJML does not check such preconditions within the given **before** advice. In addition, the reader should not think that the above preconditions are for the advice itself. Our crosscutting contract specifications do not check AspectJ advice. Thus all contract specifications are for the base code that is advised. Specifying and checking AspectJ advice is an avenue for future research.

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<sup>&</sup>lt;sup>2</sup> Inter-types here are not the AspectJ feature [20] that allows adding methods or fields with a static crosscutting mechanism. Instead, they are unrelated modules in a system; that is, types that are not related to each other but can present a common crosscutting contract structure.

```
class Package {
     double width, height;
3
     //@ invariant this.width > 0 && this.height > 0;
 4
     double weight;
     //@ invariant this.weight > 0;
     //@ requires width > 0 && height > 0;
8
     //@ requires width * height <= 400; // max dimension
     @Pointcut("execution(* Package.*Size(double, double))"+
10
       "&& args(width, height)")
     void sizeMeths(double width, double height) {}
11
12
13
     //@ ensures this.width == width;
14
     //@ ensures this.height == height;
     @Pointcut("(execution(* Package.setSize(double,double))"
15
16
      + "|| execution(* Package.reSize(double, double)))"+
      "&& args(width, height)")
17
     void setOrReSize(double width, double height) {}
18
19
     //@ signals_only \nothing;
20
21
     @Pointcut("execution(* Package+.*(..))")
22
     void allMeth() {}
24
25
     void setSize(double width, double height) { . . . }
26
27
     //@ requires this.width != width;
28
     //@ requires this.height != height;
29
     void reSize(double width, double height) { . . . }
30
31
     boolean containsSize(double width, double height){...}
32
     double getSize() { . . . }
33
34
35
     void setWeight(double weight) {...}
36
    ... // other methods
37
38
    class GiftPackage extends Package {
39
40
     void setWeight(double weight) {...}
41
     ... // other methods
42
```

**Figure 3.** The crosscutting contract specifications used so far for the delivery service system [33] with AspectJML.

**Figure 4.** A crosscutting precondition specification using pointcuts-advice-specifications.

#### 3.3 AspectJML Expressiveness

So far we have used the **execution** and **within** pointcut designators to select join points. This conforms with the supplier-side checking adopted by most DbC/runtime assertion checkers (RAC). Such RAC compilers typically operate by injecting code to check each method's precondition at the beginning of its code, and injecting code to check the method's postcondition at the end of its code.

Figure 5. Specifications added to advice with an anonymous pointcut.

This checking code is then run from within the method's body at the supplier side.

AspectJML also includes other primitive pointcut designators that identify join points in different ways [20]. For instance, we can use the call pointcut. This would provide runtime checking at the call site. Code Contracts [13] is an example of a DbC language that provides runtime checking at the call site. However, it supports only precondition checking. Since JML also supports client-side checking [38], the call pointcut enables client-side checking for AspectJML in relation to specified crosscutting contracts.

This is an example of a crosscutting precondition specification, in AspectJML, that takes into account both execution and call pointcut designators.

AspectJML also supports AspectJ's control-flow based pointcuts (e.g., cflow) [20].

# 3.4 AspectJML's Benefits

As mentioned, design by contract is a recurrent concern and several authors claim that it could be better modularized and handled by means of aspect-oriented mechanisms like those we find in AspectJ [6, 14, 20, 27–29, 40, 41, 45]. After that, Balzer, Eugster, and Meyer [3] argued against the aspectization of contracts, saying that documentation and modular reasoning are compromised when using an AspectJ-like language. Indeed, AOP/AspectJ themselves have been focus of a grand debate involving modularity and modular reasoning [2, 19, 39, 47–49].

# **Enabling modular reasoning**

Recall that our notion of modular reasoning means that one can soundly verify a piece of code in a given module, such as a class, using only the module's own specifications, its own implementation, and the interface specifications of modules that it references [12, 24, 26, 32, 39].

With respect to whether or not AspectJML supports modular reasoning like a DbC language such as JML, consider the client code, which we will imagine is written by Cathy, shown in Figure 6.

```
// written by Cathy
public class ClientClass {
  public void clientMeth(Package p)
  { p.setSize(0, 1); }
}
```

7

Figure 6. setSize's Client code.

To verify the call to setSize, Cathy must determine what specifications to use. If she uses the definition of modular reasoning, she must use the specifications for setSize in Package. Let us

assume that she uses the JML specifications of Figure 1. Hence, she uses:

- The pre- and postconditions located at the method setSize (lines 7-11);
- (2) The first invariant definition on line 3, which constrains the Package dimension (width and height) fields; and
- (3) The second invariant (line 5) related to the Package's weight.

Cathy only needs these three steps, including 7 JML pre- and postcondition, and invariant specifications, when using plain JML reasoning. (Package has no supertype; otherwise, she would also need to consider specifications inherited from such supertypes.) After obtaining these specifications, she can see that there is a precondition violation regarding the width value of 0 passed to setSize (in Figure 6).

Suppose now that Cathy wants to perform again the same modular reasoning task, but using the AspectJML specifications in Figure 3 instead of the JML specifications in Figure 1. In this case she needs to find the following pieces of specified code:

- The first invariant definition on line 3, that constrains the Package dimension (width and height) fields;
- (2) The second invariant (line 5) related to the Package's weight;
- (3) The preconditions of the pointcut (lines 7-8) sizeMeths, since it intercepts the execution of method setSize;
- (4) The normal postconditions (lines 13-14) located at the pointcut setOrReSize; and
- (5) The exceptional postcondition (line 20) of pointcut allMeth.

As before, this involves only modular reasoning and she can still detect the potential precondition violation related to Package's width. In this case, Cathy needed the same 7 specifications, but with two more steps (five in total) to reason about the correctness the call to setSize. So, although AspectJML supports modular reasoning, Cathy must follow a slightly more indirect process to reason about the correctness of a call. This confirms that the obliviousness issue present in AspectJ-like languages [15] does not occur in this example. Cathy is completely aware of the contracts of Package class, though it does take her longer to determine them.

#### **Enabling documentation**

This example shows that, despite the added indirection, reasoning with AspectJML specifications does not necessarily have a modularity difference compared to reasoning with JML specifications. Only the location where these specifications can appear can be different, due to the use of pointcut declarations in AspectJML.

Our conclusion is that an inherent cost of crosscutting contract modularization and reuse is the cost of some indirection in finding contract specifications, which is necessary to avoid scattering (repeated specifications). However, using AspectJML, users also have several new possibilities for crosscutting contracts.

# Taming obliviousness

Since AspectJML allows pointcut declarations in AspectJ-style, one can argue that a programmer can specify several unrelated modules in one single place. This phenomenon brings into focus again whether AspectJML allows the controversial obliviousness property of AOP [2, 19, 39, 47–49].

The answer is no. AspectJML rules out this possibility. If one tries to write such pointcuts, they will have no effect with respect to crosscutting specification and runtime checking. This happens because AspectJML associates the specified pointcut with the type in which it was declared (see the discussion in the next section and

```
/** Generated by AspectJML to check the precondition of
  * method(s) intercepted by sizeMeths pointcut. */
before (Package object$rac, final double width,
  final double height):
  (execution(* p.Package.*Size(double, double))
    && this(object$rac) && args(width, height)) {
  boolean rac$b = (((width > +0.0D) && (height > +0.0D))
    && ((width * height) <= 400.0D));
  JMLChecker.checkPrecondition(rac$b, "errorMsg");
}</pre>
```

**Figure 7.** Generated before advice to check the crosscutting preconditions of Package in Figure 3.

the generated code in Figure 7). Hence, only join points within the given type or its subtypes are allowed. The cross-references generated by AspectJML (see Subsection 3.6) can help visualize the intercepted types.

Even though there is no way in AspectJML to specify unrelated modules anonymously, the declared pointcuts can still be used within aspect types that can crosscut unrelated types. Those pointcuts can be used to modularize other kinds of crosscutting concerns using the standard AspectJ pointcuts-advice mechanisms [20].

#### 3.5 Runtime Assertion Checking

We implemented the AspectJML crosscutting contract specification technique in our JML/ajmlc compiler [42, 43], which is available online at: http://www.cin.ufpe.br/~hemr/JMLAOP/ajmlc.htm. This is the first runtime assertion checking compiler to support crosscutting contract specifications.

#### **Compilation strategy**

The ajmlc compiler itself was described in a previous work [43]. Unlike the classical JML compiler, jmlc [8, 10], it generates aspects to check specifications. It also has various code optimizations [42] and better error reporting. The main difference between the previous ajmlc and the new one is support for AspectJML features like specified pointcuts. Instead of saying JML/ajmlc, we now say AspectJML/ajmlc.

Figure 7 shows the **before** advice generated by the ajmlc compiler to check the crosscutting preconditions of class Package defined in Figure 3.<sup>3</sup> The variable rac\$b denotes the precondition to be checked. This variable is passed as an argument to JMLChecker.checkPrecondition, which checks such preconditions; if it is not true, then a precondition error is thrown. As discussed in Subsection 3.4, note that the exposed object type is Package. Hence, this precondition can only be checked to join points of Package or its subtypes like GiftPackage (see Figure 1).

# Ordering of checks

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As ajmlc generates AspectJ aspects to check contracts, it also enforces/declares aspect precedence. For instance, if we have advising code for other crosscutting concerns, it can only be allowed to execute after the preconditions are satisfied; otherwise, a precondition violation is thrown.

The postconditions are only checked after all the advising code's execution. This ordering prevents undetected postcondition violations, which could happen if postconditions were checked before the execution of the advising code.

<sup>&</sup>lt;sup>3</sup> The ajmlc compiler provides a compilation option that prints all the checking code as aspects instead of weaving them.

```
class Package {
      double width, height;
      // @ invariant this.width > 0 && this.height > 0;
      double weight;
      // @ invariant this.weight > 0;
      //@ requires width > 0 && height > 0;
      //@ requires width * height <= 400; // max dimension
      @Pointcut("execution(* Package.*Size(double,double))"+
                '&& args(width, height)")
      void sizeMeths(double width, double height) {}
      void setSize(double width, double height){
           this.width = width;
          this.height = height;
      //@ requires this.width != width;
      //@ requires this.height != height;

    advised by PackageCrossRef.around(double,double): delivery.Package.sizeMethsl
        this.width = width;

          this.height = height;
      // ... other methods
  }
```

**Figure 8.** The crosscutting contract structure in the Package class using AspectJML/AJDT [22].

**Figure 9.** An example of a malformed pointcut declaration in AspectJML.

# Contract violation example in AspectJML

As an example of runtime checking using AspectJML/ajmlc, recall the client code illustrated in Figure 6. In this scenario, we got the following precondition error in the AspectJML RAC:

```
Exception in thread "main" org.jmlspecs.ajmlrac.runtime.JMLEntryPreconditionError: by method Package.setSize regarding code at File "Package.java", line 13 (Package.java:13), when 'width' is 0.0 'height' is 1.0
```

As can be seen, in this error output, the shadowed input parameter width is displaying 0.0. But the precondition requires a package's width to be greater than zero. As a result, this precondition violation occurs during runtime checking when calling such client code.

#### 3.6 Tool Support

In aspect-oriented programming, development tools like Eclipse/A-JDT [22], allow programmers to easily browse the crosscutting structure of their programs. For, AspectJML, we are developing analogous support for browsing crosscutting contract structure. Toward this end, we use the already provided functionality of Eclipse/AJDT with minor adjustments.

For example, consider the crosscutting contract structure of the Package class using AspectJML/AJDT [22]. Note the arrows indicating where the crosscutting contracts apply. In plain AspectJ/A-JDT this example show no crosscutting structure information, because it has only pointcut declarations without advice. In AspectJ, we need to associate the declared pointcuts to advice in order to be able to browse the crosscutting structure of a system. Hence, we have implemented an option in AspectJML that generates the cross-references information for crosscutting contracts when we have only pointcut declarations.

Figure 9 shows another example where the use of the AspectJ/AJDT helps an AspectJML programmer to write a valid pointcut declaration. As depicted, the AspectJML programmer got an error from AJDT because he/she forgot to bind the formal parameters of the pointcut method declaration with the pointcut expression by using the argument-based pointcut args. The well-formed pointcut can be seen in Figure 8. All the AspectJ/AJDT IDE validation is inherited by AspectJML.

Note that the AJDT is just a helpful functionality to assist (beginners) AspectJML programmers to see where the specified pointcuts intercept. Once pointcut language and quantification mechanism are understood, this tool is not required to reason about AspectJML in a modular way (as discussed in Subsection 3.4).

# 4. The HealthWatcher Case Study

Our evaluation of the XCS feature of AspectJML involves a medium-sized case study. The chosen system is a real health web-based complaint system, called Health Watcher (HW) [16, 46]. The main purpose of the HW system is to allow citizens to register complaints regarding health issues. This system was selected because it has a detailed requirements document available [16]. This requirements document describes 13 use cases and forms the basis for our JML specifications.

We analyzed the crosscutting contract structure of the HW system, comparing its specification in JML and AspectJML. Our results are available online at [37].

# 4.1 Understanding the Crosscutting Contract Structure

One of the most important steps in the evaluation is to recognize how the contract structure crosscuts the modules of the HW system. We now show some of the crosscutting contracts present in HW using the standard JML specifications.

# **Crosscutting preconditions**

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Crosscutting preconditions occur in the HW system's IFacade interface. This facade makes available all 13 use cases as methods. Consider the following code from this interface:

```
//@ requires code >= 0;
IteratorDsk searchSpecialitiesByHealthUnit(int code);
//@ requires code >= 0;
Complaint searchComplaint(int code);
//@ requires code >= 0;
DiseaseType searchDiseaseType(int code);
//@ requires code >= 0;
IteratorDsk searchHealthUnitsBySpeciality(int code);
//@ requires healthUnitCode >= 0;
HealthUnit searchHealthUnit(int healthUnitCode);
```

These methods comprise all the search-based operations that HW makes available. The preconditions of these methods are identical, as each requires that the input parameter, the code to be searched, is at least zero. However, in plain JML one cannot write a single precondition for all 5 search-based methods.

# Crosscutting postconditions

Still considering the HW's facade interface IFacade, we focus now on crosscutting postconditions. First, we analyze the crosscutting contract structure for normal postconditions:

```
//@ ensures \result != null;
IteratorDsk searchSpecialitiesByHealthUnit(int code);

//@ ensures \result != null;
IteratorDsk searchHealthUnitsBySpeciality(int code);

//@ ensures \result != null;
IteratorDsk getSpecialityList()

//@ ensures \result != null;
IteratorDsk getDiseaseTypeList()

//@ ensures \result != null;
IteratorDsk getHealthUnitList()

//@ ensures \result != null;
IteratorDsk getPartialHealthUnitList()

//@ ensures \result != null;
IteratorDsk getPartialHealthUnitList()
```

As observed, all the methods in IFacade that returns IteratorDsk should return a non-null object reference. In standard JML there are two more ways to express this constraint [9]. The first one uses the non-null semantics for object references. In this case we do not need to write out such normal postconditions to handle non-null. However, we can deactivate this option in JML if there most reference variables in the system are possibly null. In this scenario, whenever we find a method that should return non-null, we still need to write these normal postconditions. So, by assuming that we are not using the non-null semantics of JML as default, these postconditions become redundant. The second is to use the JML type modifier non\_null; however, even this would lead to some (smaller) amount of repeated postconditions.

With respect to exceptional postconditions of IFacade interface, we found an interesting crosscutting structure scenario. Consider the following code:

```
//@ signals_only java.rmi.RemoteException;
void updateComplaint (Complaint q) throws
   java.rmi.RemoteException,...;

//@ signals_only java.rmi.RemoteException;
IteratorDsk getDiseaseTypeList() throws
   java.rmi.RemoteException,...;

//@ signals_only java.rmi.RemoteException;
IteratorDsk getHealthUnitList() throws
   java.rmi.RemoteException,...;

//@ signals_only java.rmi.RemoteException;
int insertComplaint (Complaint complaint) throws
   java.rmi.RemoteException,...;
... // all facade methods contain this constraint
```

As can be seen, these IFacade methods can throw the Java RMI exception RemoteException (see the methods throws clause). This exception is used as a part of the Java RMI API used by the HW system. Even though we list only four methods, all the methods contained in the IFacade interface contain this exception in their throws clause. Because of that, the signals\_only clause shown needs to be repeated for all methods in the IFacade interface. However, in JML one cannot write a single signals\_only clause to constrain all such methods in this way.

Another example of exceptional postconditions occurs with the search-based methods discussed previously. All these search-based methods should have a **signals\_only** clause that allows the <code>ObjectNotFoundException</code> to be thrown. As with the

RemoteException, one cannot write this specification once and apply it to all search-based methods.

#### 4.2 Modularizing Crosscutting Contracts in HW

To restructure/modularize the crosscutting contracts of the HW system, we use the XCS mechanisms of AspectJML. By doing this, we avoid repeated specifications, which is an improvement over standard DbC mechanisms. In the following we show the details of how AspectJML achieves a better separation of the contract concern for this example.

#### **Specifying crosscutting preconditions**

We can properly modularize the crosscutting preconditions of HW with the following JML annotated pointcut in AspectJML:

```
//@ requires code >= 0;
@Pointcut("execution(* IFacade.search*(int))"+
    "&& args(code)")
void searchMeths(int code) {}
```

With this pointcut specification, we are able to locate the preconditions for all the search-based methods in a single place. To select the search-based methods, we use a property-based pointcut [20] that matches join points by using wildcarding. Our pointcut matches any method starting with search and taking an int parameter. Before the executions of such intercepted methods, the precondition that constrains the code argument to be at least zero is enforced during runtime; if it does not hold, then one gets a precondition violation error.

#### Specifying crosscutting postconditions

Consider the modularization of the two kinds of crosscutting postconditions we discussed previously. For normal postconditions, we add the following code in AspectJML:

```
//@ ensures \result != null;
@Pointcut("execution(IteratorDsk IFacade.*(..))")
void nonNullReturnMeths() {}
```

With this pointcut specification, we are able to explicitly modularize the non-null constraint. The pointcut expression we use matches any method with any list of parameters returning IteratorDsk.

The AspectJML code responsible for modularizing the exceptional postconditions is similar:

```
//@ signals_only java.rmi.RemoteException;
@Pointcut("execution(* IFacade.*(..))")
void remoteExceptionalMeths() {}

//@ signals_only ObjectNotFoundException;
@Pointcut("execution(* IFacade.search*(..))")
void objectNotFoundExceptionalMeths() {}
```

These two specified pointcuts in AspectJML are responsible for modularizing the exceptional postconditions for methods that can throw RemoteException and methods that can throw ObjectNotFoundException, respectively. The first pointcut applies the specification for all methods in IFacade, whereas the second one intercepts just the search-based methods.

# 4.3 Reasoning About Change

The main benefit of AspectJML is to allow the modular specification of crosscutting contracts in an explicit and expressive way. The key mechanism is the quantification property inherited from AspectJ [20]. In addition to the documentation and modularization of crosscutting contracts achieved by using AspectJML, another immediate benefit of using our approach is easier software maintenance.

For example, if we add a new exception that can be thrown by all IFacade methods, instead of (re)writing a signals\_only

clause, we can add this exception to the **signals\_only** list of the remoteExceptionalMeths pointcut. This pointcut can be reused whenever we want to apply constraints to methods already intercepted by the pointcut.

Another maintenance benefit occurs during system evolution. On one hand, we may add more methods in the IFacade interface to handle system's new use cases. On the other hand, we do not need to explicitly apply existing constraints to the newly added methods. The modularized contracts that apply to all methods also automatically apply to the newly added ones, with no cost. Finally, even if the crosscutting contracts are well documented by using JML specifications, the AJDT tool helps programmers to visualize the overall crosscutting contract structure. Just after a method is declared, we can see which crosscutting contracts apply to it through the cross-references feature of AJDT [22].

#### 5. Discussion

This section discusses some issues with the AspectJML specification language, including limitation, compatibility, open issues, and related work.

#### 5.1 A Limitation of AspectJML

Even though AspectJML has the benefit of modularity when handling crosscutting contracts, there are some situations that AspectJML cannot currently deal with.

In order to exemplify the main drawback, consider the following JML/Java code:

```
//@ requires x > 0;
public void m(int x) {}

//@ requires x > 0;
//@ requires y > 0;
public void n(int x, int y) {}

//@ requires y > 0;
public void o(double x, int y, double z) {}

//@ requires z > 0;
public void p(double y, int z) {}
```

In this code, we can observe that all formal parameters involving the Java primitive int types should be greater than zero (see the preconditions). In JML, we cannot write this precondition only once and apply it for all int arguments for the above methods. Unfortunately, this also cannot be done with AspectJML. The reason is that we cannot write a pointcut that matches all methods with int types in any position and associate a bound variable that can be used in the precondition. This is also a limitation of AspectJ's pointcut mechanism.

# 5.2 AspectJML compatibility

One of the goals of this work is to support a substantial user community. To make this concrete, we have chosen to design crosscutting contract specification in AspectJML as a compatible extension to JML using AspectJ's pointcut language. This takes advantage of AspectJ's familiarity among programmers. Our goal is to make programming and specifying with AspectJML feel like a natural extension of programming and specifying with Java and JML. The AspectJML/ajmlc compiler has the following properties:

- all legal JML annotated Java programs are legal AspectJML programs:
- all legal AspectJ programs are legal AspectJML programs;
- · all legal Java programs are legal AspectJML programs; and
- all legal AspectJML programs run on standard Java virtual machines.

# 5.3 JML Versus AspectJ

We have discussed the main problems of dealing with contracts expressed in both JML and AspectJ. Indeed, this comparison was suggested by Kiczales and Mezini [22]. They asked researchers to explore what issues are better specified as contract/behavioral specifications and what issues are better addressed directly in pointcuts. In this context, AspectJML goes beyond their question in the sense that it combines both pointcuts and contracts. We showed that DbC is better used with a design by contract language, but for situations involving scattering of contracts it can be advantageous to provide a form of specified pointcuts to allow crosscutting contract specifications.

#### 5.4 Open Issues

Our evaluation of AspectJML is limited to two systems, the delivery service system [33] and the Health Watcher [46]. Although we know of no scaling issues, larger-scale validation is still needed to analyze more carefully the benefits and drawbacks of AspectJML. Library specification and runtime checking studies are another interesting area for future work.

Another open issue, which we intend to address in future versions of AspectJML, is related to the pointcut parameters and methods with common argument types (see Subsection 5.1).

Two more important open issues that could be explored in AspectJML are related to specification and modular reasoning of AspectJ programs [40]. These are interesting because we can also program in AspectJ using AspectJML.

# 5.5 Other forms of Aspectized DbC

As discussed throughout the paper, there are several works in the literature that argue in favor of implementing DbC with AOP [14, 20, 28, 41]. Kiczales opened this research avenue by showing a simple precondition constraint implementation in one of his first papers on AOP [20]. After that, other authors explored how to implement and separate the DbC concern with AOP [14, 20, 28, 40, 41]. All these works offer common templates and guidelines for DbC aspectization.

We go beyond these works by showing how to combine the best design features of a design by contract language like JML and the quantification benefits of AOP such as AspectJ. As a result we conceive the AspectJML specification language that is suitable for specifying crosscutting contracts. In AspectJML, one can specify crosscutting contracts in a modular way while preserving key DbC principles such as documentation and modular reasoning.

The work of Bagherzadeh  $et\ al.\ [2]$  contains "translucid" contracts that are grey-box specifications of the behavior of advice. Although which advice applies is unspecified, the specification allows modular verification of programs with advice, since all advice must satisfy the specifications given. The grey-box parts of translucid contracts are able to precisely specify control effects, for example specifying that a particular method must be called a certain number of times, and under certain conditions, which is not possible with AspectJ or AspectJML.  $Ptolemy_x$  [1] is an exception-aware extension to  $Ptolemy/translucid\ contracts\ [2]$ . As with AspectJML,  $Ptolemy_x$  supports specification and modular reasoning about exceptional behaviors. The main difference is that AspectJML is used to specify and reason about Java code. On the other hand,  $Ptolemy_x$  is used to specify and reason about event announcement and handling.

Pipa [52] is a design by contract language tailored for AspectJ. As with AspectJML, Pipa is an extension to JML. However, Pipa uses the same approach as JML to specify AspectJ programs, with just a few new constructs. AspectJML uses JML in addition to AspectJ's pointcut designators to specify crosscutting contracts.

There are several other interface technologies that are related to ours [19, 34, 48]. However, none of them can modularize crosscutting contracts and keep DbC benefits such as documentation. None of these checks contracts of base code.

# 6. Summary

AspectJML is an aspect-oriented extension to JML that enables the explicit specification of crosscutting contracts for Java code. It uses a mechanism called crosscutting contract specification (XCS). With XCS, AspectJML supports specification and runtime checking for crosscutting contracts in a modular way.

Using AspectJML, allows programmers to enable modular reasoning in the presence of crosscutting contracts, and to recover the main DbC benefits such as documentation. Also, AspectJML gives programmers limited control over modularity for specifications. An AspectJML programmer cannot implicitly add contracts to unrelated modules. Therefore, using AspectJML, programmers get modular reasoning benefits at any time.

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# A. Online Appendix

We invite researchers to replicate our case study. Source code of the JML and AspectJML versions of the running example and HW systems, and other resources are available at

http://www.cin.ufpe.br/~hemr/modularity14.