Albert-Ludwigs-Universität Freiburg

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Bioinformatics Group / Department of Computer Science Algorithms and Datastructures, January 2018



Sorted Sequences

Linked Lists

Binary Search Trees

Introduction



Introduction



Structure:

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 - lookup(key): Find the element with the given key, if it is not available find the element with the next smallest key
 - next()/previous(): Returns the element with the next bigger/smaller key. This enables iteration over all elements

Sorted Sequences Introduction



Introduction



Application examples:

■ Example: Database for books, products or apartments

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- How could we implement this?

Implementation 1 (not good) - Static Array



3	5	9	14	18	21	26	40	41	42	43	46	
---	---	---	----	----	----	----	----	----	----	----	----	--

Implementation 1 (not good) - Static Array



Static array:

3	5	9	14	18	21	26	40	41	42	43	46	1
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■ lookup in time $O(\log n)$

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 - We have to copy up to n elements

Sorted Sequences Implementation 2 (bad) - Hash Table

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Hash map:

■ insert and remove in O(1)

Implementation 2 (bad) - Hash Table



Hash map:

■ insert and remove in O(1)

If the hash table is big enough and we use a good hash function



- insert and remove in *O*(1)

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 If element with exactly this key exists, otherwise we get None as result
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- \blacksquare insert and remove in O(1)
 - If the hash table is big enough and we use a good hash function
- lookup in time O(1)
 If element with **exactly** this key exists, otherwise we get
 None as result
- next / previous in time up to Θ(n)
 Order of the elements is independent of the order of the keys

Implementation 3 (good?) - Linked List



Linked list:

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Runtimes for doubly linked lists:

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- Runtimes for doubly linked lists:
 - \blacksquare next / previous in time O(1)
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 - lookup in time $\Theta(n)$
- Not yet what we want, but structure is related to binary search trees
- Let's have a closer look

Structure



Sorted Sequences

Linked Lists

Binary Search Trees

Introduction



Introduction



Linked list:

Dynamic datastructure

Introduction

- Dynamic datastructure
- Number of elements changeable



Introduction

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- Dynamic datastructure
- Number of elements changeable
- Data elements can be simple types or composed datastructures

Introduction



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Pointer to next element



Figure: Linked list

Introduction



Properties in comparison to an array:

Introduction



Properties in comparison to an array:

■ Minimal extra space for storing pointer

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Properties in comparison to an array:

- Minimal extra space for storing pointer
- We do not need to copy elements on insert or remove
- The number of elements can be simply modified
- No direct access of elements
 - ⇒ We have to iterate over the list

Variants



List with head / last element pointer:



Figure: Singly linked list

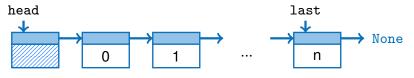


Figure: Singly linked list

Head element has pointer to first list element



Figure: Singly linked list

- Head element has pointer to first list element
- May also hold additional information:

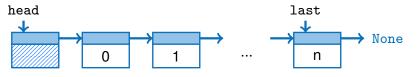


Figure: Singly linked list

- Head element has pointer to first list element
- May also hold additional information:
 - Number of elements

Variants



Doubly linked list:

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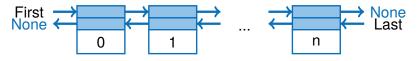


Figure: Doubly linked list

Doubly linked list:

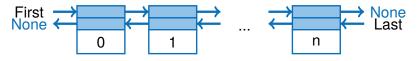


Figure: Doubly linked list

■ Pointer to successor element

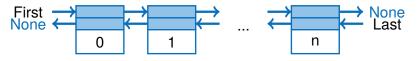


Figure: Doubly linked list

- Pointer to successor element
- Pointer to predecessor element

Doubly linked list:

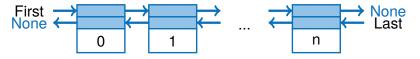


Figure: Doubly linked list

- Pointer to successor element
- Pointer to predecessor element
- Iterate forward and backward

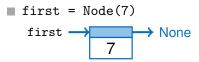
```
class Node:
    """ Defines a node of a singly linked
        list.
    def __init__(self, value, nextNode):
        self.value = value
        self.nextNode = nextNode
    def __init__(self, value):
        self.value = value;
        self.nextNode = None
```

Usage examples

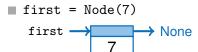


Creating linked lists - Python:

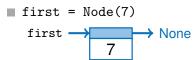
Creating linked lists - Python:



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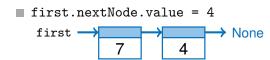


Creating linked lists - Python:

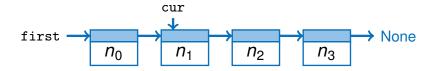


first.nextNode = Node(3)

first None



Inserting a node after node cur:



Implementation - Insert



Inserting a node after node cur:

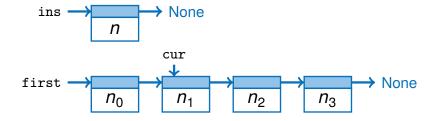
Implementation - Insert



Inserting a node after node cur:

 \blacksquare ins = Node(n)

$$\blacksquare$$
 ins = Node(n)



Implementation - Insert

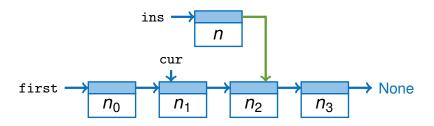


Inserting a node after node cur:

ins.nextNode = cur.nextNode

Inserting a node after node cur:

■ ins.nextNode = cur.nextNode



Implementation - Insert

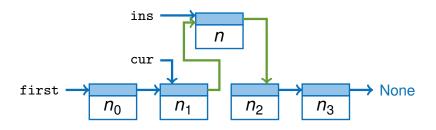


Inserting a node after node cur:

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Implementation - Insert



Inserting a node after node cur - single line of code:

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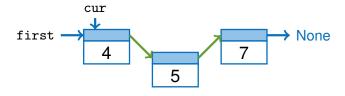


cur.nextNode = Node(value, cur.nextNode)

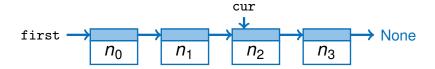
Inserting a node after node cur - single line of code:



cur.nextNode = Node(value, cur.nextNode)



Removing a node cur:



Implementation - Remove



Removing a node cur:



Removing a node cur:

■ Find the predecessor of cur:

```
pre = first
while pre.nextNode != cur:
    pre = pre.nextNode
```

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■ Runtime of O(n)

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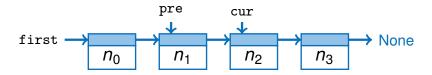
- \blacksquare Runtime of O(n)
- Does not work for first node!

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Implementation - Remove



Removing a node cur:

Implementation - Remove



Removing a node cur:

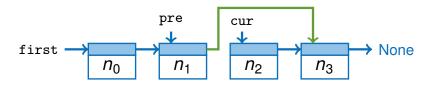
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Removing a node cur:

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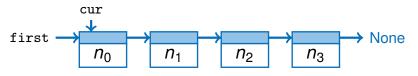
Implementation - Remove



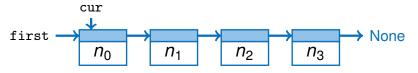
Removing the first node:



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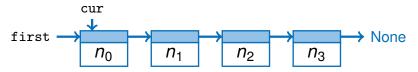


Removing the first node:



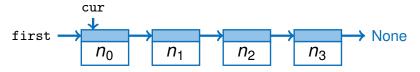
Update the pointer to the next element:

```
first = first.nextNode
```

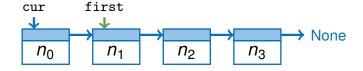


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Removing the first node:



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Removing a node cur: (General case)

```
if cur == first:
    first = first.nextNode
else:
    pre = first
    while pre.nextNode != cur:
        pre = pre.nextNode

pre.nextNode = cur.nextNode
```

Implementation - Head Node



Implementation - Head Node



Using a head node:

Advantage:

Implementation - Head Node



- Advantage:
 - Deleting the first node is no special case

Implementation - Head Node



- Advantage:
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- Disadvantage
 - We have to consider the first node at other operations

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 - Counting of all nodes

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```
class LinkedList:
    def init (self):
        self.itemCount = 0
        self.head = Node()
        self.last = self.head
    def size(self):
        return self.itemCount
    def isEmpty(self):
        return self.itemCount == 0
```

```
def append(self, value):
def insertAfter(self, cur, value):
def remove(self, cur):
def get(self, position):
def contains(self, value):
```

Implementation



Head, last:

Head, last:



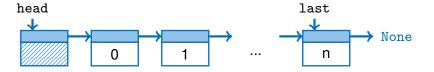
Implementation

Head, last:



■ Head points to the first node, last to the last node

Head, last:



- Head points to the first node, last to the last node
- We can append elements to the end of the list in O(1) through the last node

Head, last:



- Head points to the first node, last to the last node
- We can append elements to the end of the list in O(1) through the last node
- We have to keep the pointer to last updated after all operations

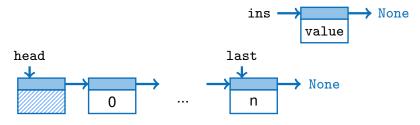
Implementation - Append



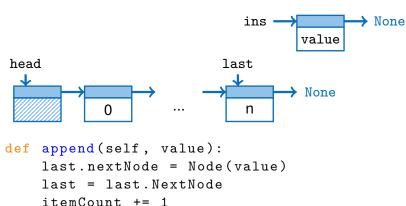
Appending an element:



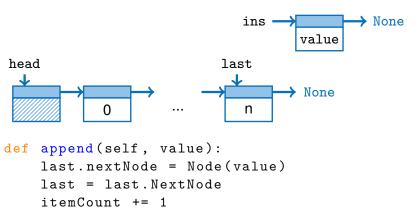
Appending an element:



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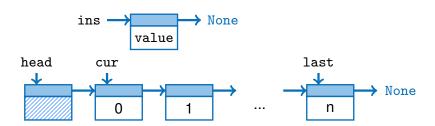


Appending an element:



The pointer to last avoids the iteration of the whole list

Inserting after node cur:



Implementation - Insert After



Inserting after node cur:

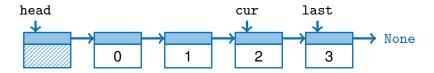
■ The pointer to head is not modified

Inserting after node cur:

■ The pointer to head is not modified

```
def insertAfter(self, cur, value):
    if cur == last:
        # also update last node
        append(value)
    else:
        # last node is not modified
        cur.nextNode = Node(value, \
              cur.nextNode)
        itemCount += 1
```

Remove node cur:



Implementation - Remove



Remove node cur:

■ Searching the predecessor in O(n)

Remove node cur:

■ Searching the predecessor in O(n)

```
def remove(self, cur):
    pre = first
    while pre.nextNode != cur:
        pre = pre.nextNode

    pre.nextNode = cur.nextNode
    itemCount -= 1

if pre.nextNode == None:
    last = pre
```

Implementation - Get



Getting a reference to node at pos:

■ Iterate the entries of the list until at position in O(n)

Getting a reference to node at pos:

■ Iterate the entries of the list until at position in O(n)

```
def get(self, pos):
    if pos < 0 or pos >= itemCount:
        return None

    cur = head
    for i in range(0, pos):
        cur = cur.nextNode

return cur
```

Implementation - Contains



Searching a value:

Implementation - Contains



Searching a value:

First element is head without an assigned value

Implementation - Contains



Searching a value:

- First element is head without an assigned value
- Iterate the entries of the list until value found in O(n)

Searching a value:

- First element is head without an assigned value
- Iterate the entries of the list until value found in O(n)

```
def contains(self, value):
    cur = head

for i in range(0, itemCount):
    cur = cur.nextNode
    if cur.value == value:
        return True
```

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Runtime:

Runtime



Runtime:

■ Singly linked list:

Runtime



- Singly linked list:
 - \blacksquare next in O(1)

Runtime



- Singly linked list:
 - \blacksquare next in O(1)
 - \blacksquare previous in $\Theta(n)$

Runtime



- Singly linked list:
 - \blacksquare next in O(1)
 - previous in $\Theta(n)$
 - insert in O(1)

Runtime



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 - \blacksquare remove in $\Theta(n)$

Runtime



- Singly linked list:
 - \blacksquare next in O(1)
 - \blacksquare previous in $\Theta(n)$
 - insert in O(1)
 - \blacksquare remove in $\Theta(n)$
 - lookup in $\Theta(n)$

Runtime



- Singly linked list:
 - \blacksquare next in O(1)
 - \blacksquare previous in $\Theta(n)$
 - \blacksquare insert in O(1)
 - \blacksquare remove in $\Theta(n)$
 - lookup in $\Theta(n)$
- Better with doubly linked lists

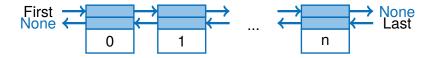




Each node has a reference to its successor and its predecessor

- Each node has a reference to its successor and its predecessor
- We can iterate the list forward and backward

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Linked Lists Doubly Linked List



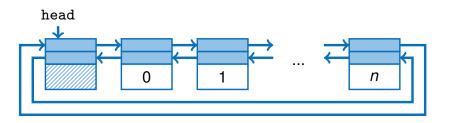
Doubly linked list:

■ It is helpful to have a head node



- It is helpful to have a head node
- We only need one head node if we connect the list cyclic

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Linked Lists Runtime



Runtime of doubly linked list:

Runtime



Runtime of doubly linked list:

 \blacksquare next and previous in O(1)

Linked Lists

Runtime



Runtime of doubly linked list:

 \blacksquare next and previous in O(1)

Each element has a pointer to pred-/sucessor

Linked Lists

Runtime



- next and previous in O(1)
 Each element has a pointer to pred-/sucessor
- insert and remove in O(1)

Linked Lists

Runtime



- next and previous in O(1)
 Each element has a pointer to pred-/sucessor
- insert and remove in O(1)
 - A constant number of pointers needs to be modified

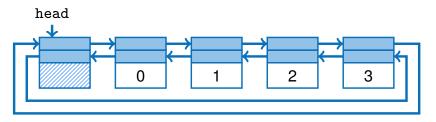
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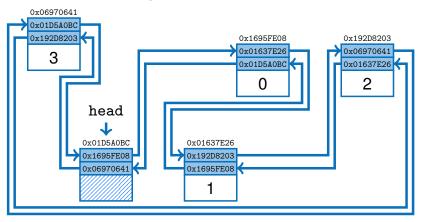
 Even if the elements are sorted we can only retrieve them in Θ(*n*)

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 Why?

Linked list in book:



Linked list in memory:



Structure



Sorted Sequences

Linked Lists

Binary Search Trees



Runtime of a search tree:



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 \blacksquare next and previous in O(1)



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Pointers corresponding to linked list

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The structure helps searching efficiently

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Idea:

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■ We define a total order for the search tree

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- All nodes of the left subtree have smaller keys than the current node

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- We define a total order for the search tree
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■ Edge direction indicates ordering

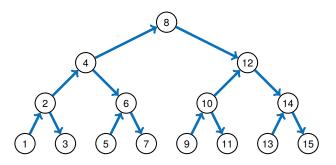


Figure: A binary search tree

Binary Search Trees

Introduction



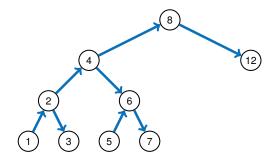


Figure: Another binary search tree

Binary Search Trees

Introduction



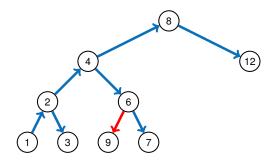


Figure: Not a binary search tree

Binary Search Trees Implementation

- For the heap we had all elements stored in an array
- Here we link all nodes through pointer / references, like linked lists

Implementation

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None for missing children

7

12

None

No

None None

None

None None None

Binary Search Trees Implementation

FREB

Binary Search Trees

Implementation



Implementation:

■ We create a sorted doubly linked list of all elements

Binary Search Trees

Implementation



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- This enables an efficient implementation of (next / previous)

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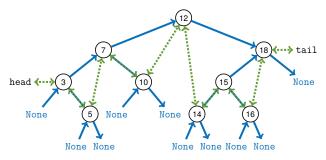


Figure: Binary search tree with links

Binary Search Trees

Implementation - Lookup



Implementation - Lookup

- Definition:
 - "Search the element with the given key. If no element is found return the element with the next (bigger) key."

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 - Go to the left / right until the child is None or the key is found
 - If the key is not found return the next bigger one

Implementation - Lookup



For each node applies the total order:



Implementation - Lookup



For each node applies the total order:

keys of left subtree < node.key < keys of right subtree

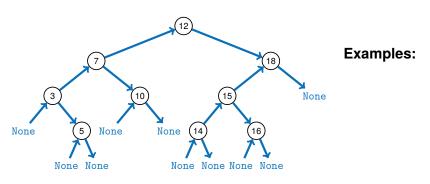


Figure: Binary search tree with total order "<"

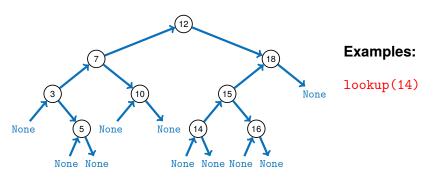


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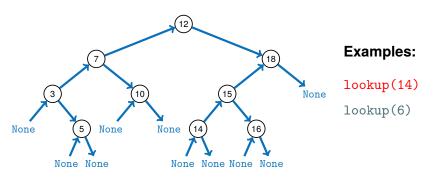


Figure: Binary search tree with total order "<"

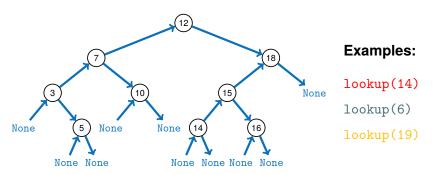


Figure: Binary search tree with total order "<"

Implementation - Insert



Implementation - Insert



Insert:

 $\hfill\blacksquare$ We search for the key in our search tree

Implementation - Insert





- We search for the key in our search tree
- If a node is found we replace the value with the new one



Implementation - Insert



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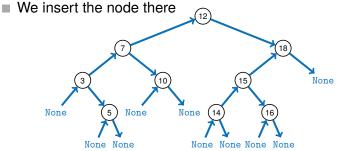


Figure: Binary search tree with total order "<"

Implementation - Remove



Implementation - Remove

Remove: Case 1: The node "5" has no children

■ Find parent of node "5" ("6")





- Find parent of node "5" ("6")
- Set left / right child of node "6" to None depending on position of node "5"

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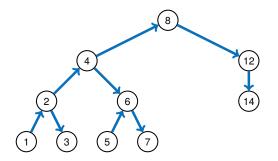


Figure: Binary search tree with total order "<"

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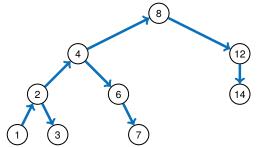


Figure: Binary search tree after deleting node "5"

Implementation - Remove



Implementation - Remove

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Remove: Case 2: The node "12" has one child

■ Find the child of node "12" ("14")

Implementation - Remove



- Find the child of node "12" ("14")
- Find the parent of node "12" ("8")

Implementation - Remove

- Find the child of node "12" ("14")
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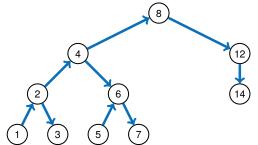


Figure: Binary search tree with total order "<"

- Find the child of node "12" ("14")
- Find the parent of node "12" ("8")
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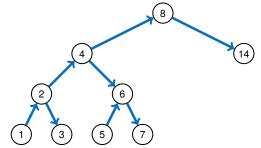


Figure: Binary search tree after delting node "12"

Implementation - Remove



Implementation - Remove

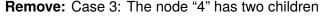
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Remove: Case 3: The node "4" has two children

■ Find the successor of node "4" ("5")

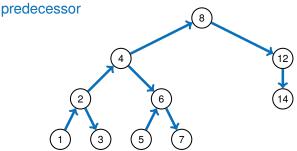
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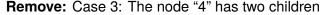
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- Replace the value of node "4" with the value of node "5"
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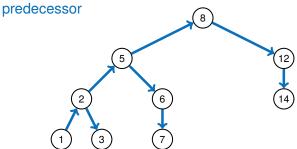
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Runtime Complexity



Runtime Complexity



How long takes insert and lookup?

■ Up to $\Theta(d)$, with d being the depth of the tree (The longest path from the root to a leaf)



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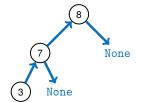


Figure: Degenerated binary

- Up to $\Theta(d)$, with d being the depth of the tree (The longest path from the root to a leaf)
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- Worst case with d = n the runtime is $\Theta(n)$
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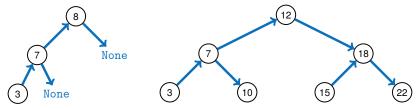


Figure: Degenerated binary tree d = n

Figure: Complete binary tree $d = \log n$

General

- [CRL01] Thomas H. Cormen, Ronald L. Rivest, and Charles E. Leiserson. Introduction to Algorithms. MIT Press, Cambridge, Mass, 2001.
- [MS08] Kurt Mehlhorn and Peter Sanders. Algorithms and data structures, 2008. https://people.mpi-inf.mpg.de/~mehlhorn/ftp/Mehlhorn-Sanders-Toolbox.pdf.

Linked List

[Wik] Linked list https://en.wikipedia.org/wiki/Linked_list

■ Binary Search Tree

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[Wik] Binary search tree
    https:
    //en.wikipedia.org/wiki/Binary_search_tree
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