Albert-Ludwigs-Universität Freiburg

#### Prof. Dr. Rolf Backofen

Bioinformatics Group / Department of Computer Science Algorithmns and Datastructures, January 2017



Feedback

Exercises Lecture

**Sorted Sequences** 

Linked Lists

**Binary Search Trees** 



Feedback

Exercises Lecture

Sorted Sequences

**Linked Lists** 

Binary Search Trees

## Feedback from the exercises



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■ The few people who gave feedback wrote that it was simple to doable.

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- Mastertheorem already on exercise sheet, but not in lecture

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- Missing support in forum

## Feedback from the lecture



January 2017

#### Feedback from the lecture



Added german lecture recordings to current semester page

### Feedback from the lecture



- Added german lecture recordings to current semester page
- Lecture recordings are now password protected



Feedback Exercises Lecture

**Sorted Sequences** 

**Linked Lists** 

Binary Search Trees

Introduction



Introduction



#### Structure:

■ We have a set of keys mapped to values

Introduction



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  - insert(key, value): Insert the given pair
  - remove(key): Remove the pair with the given key
  - lookup(key): Find the element with the given key, if it is not available find the element with the smallest key >key
  - next()/previous(): Returns the element with the next bigger/smaller key. This enables iteration over all elements.

# **Sorted Sequences** Introduction

Introduction



#### **Application examples:**

■ Example: Database for books, products or apartments

Introduction



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#### Introduction

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  - We can implement this with a combination of lookup(key) and next()
  - It's not essential if an apartments exists with exactly 400€ monthly rent
- We do not want to sort all elements every time on an insert operation
- How could we implement this?

Implementation 1 (not good) - Static Array



3	5	9	14	18	21	26	40	41	42	43	46	
---	---	---	----	----	----	----	----	----	----	----	----	--

Implementation 1 (not good) - Static Array



## Static array:

3	5	9	14	18	21	26	40	41	42	43	46	1
---	---	---	----	----	----	----	----	----	----	----	----	---

■ lookup in time  $O(\log n)$ 

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  - with **binary search**

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  - Example: lookup(41)
- $\blacksquare$  next / previous in time O(1)
  - They are next to each other
- insert and remove up to  $\Theta(n)$ 
  - We have to copy up to *n* elements

# Sorted Sequences Implementation 2 (bad) - Hash Table



Hash map:

# Sorted Sequences

Implementation 2 (bad) - Hash Table



# Hash map:

 $\blacksquare$  insert and remove in O(1)

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Implementation 2 (bad) - Hash Table

- If the hash table is big enough and we use a good hash function
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  - If the hash table is big enough and we use a good hash function
- lookup in time O(1)
  - if element with exactly this key exists, otherwise we get None as result
- next / previous in time up to  $\Theta(n)$ 
  - The order of the elements is independent of the order of the keys

# **Sorted Sequences**

Implementation 3 (good?) - Linked List



Runtimes for doubly linked lists:

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- Not yet what we want, but structure is related to binary search trees
- Lets have a closer look

# Structure



Feedback Exercises Lecture

Sorted Sequences

**Linked Lists** 

Binary Search Trees

Introduction





Introduction



#### **Linked list:**

Dynamic datastructure



Introduction



- Dynamic datastructure
- Number of elements changeable



Introduction

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- Dynamic datastructure
- Number of elements changeable
- Data elements can be simple types or composed datastructures

Introduction



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- Elements are linked through references / pointer to the predecessor / successor
- Single / Doubly linked lists possible

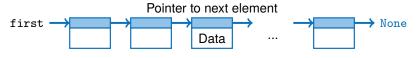


Figure: Linked list

Introduction



Introduction



# Properties in comparison to an array:

■ Minimal extra space for storing pointer

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- We do not need to copy elements on insert or remove
- The number of elements can be simply modified
- No direct access of elements
  - ⇒ We have to iterate over the list

Variation



List with head / last element pointer:

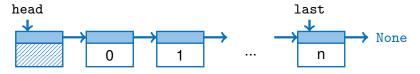


Figure: Singly linked list

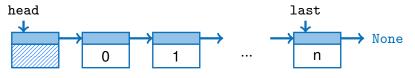


Figure: Singly linked list

Head element has pointer to first list element

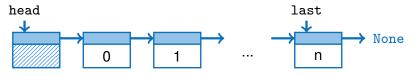


Figure: Singly linked list

- Head element has pointer to first list element
- May also hold additional information:



Figure: Singly linked list

- Head element has pointer to first list element
- May also hold additional information:
  - Number of elements

Variation



# **Doubly linked list:**

# Doubly linked list:

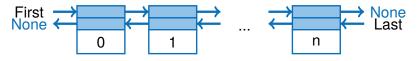


Figure: Doubly linked list

# **Doubly linked list:**

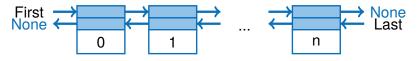


Figure: Doubly linked list

■ Pointer to successor element

# **Doubly linked list:**

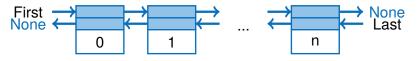


Figure: Doubly linked list

- Pointer to successor element
- Pointer to predecessor element

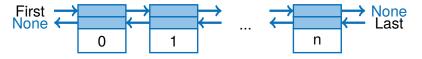


Figure: Doubly linked list

- Pointer to successor element
- Pointer to predecessor element
- Iterate forward and backward

Implementation - Node/Element - Java

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public class Listelem

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```

```
public class Listelem
{    //2 fields: integer and reference
```

```
JNI
```

```
public class Listelem
{    //2 fields: integer and reference
    //private only available in class
    private int data;
    private Listelem next;
```



```
public class Listelem
{    //2 fields: integer and reference
    //private only available in class
    private int data;
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    //2 constructors: for instance of class
    public Listelem(int d)
    { data = d; next = null; }
```

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    public Listelem(int d, Listnode n)
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    { data = d; next = n; }
    //adopted from Mary K. Vernon
    //Introduction to Data Structures
```





```
//Function to read and write private fields
public int getData() {return data; }
public void setData(int d) { data = d; }
```



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public int getData() {return data; }
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public Listelem getNext() { return next; }
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```



```
Z W
```

```
//Function to read and write private fields
public int getData() {return data; }
public void setData(int d) { data = d; }

public Listelem getNext() { return next; }
public void setNext(Listelem n) { next = n; }

//Integer represents possible data, e.g.
//self defined refence datatypes
```



```
class Listelem
{
```



```
class Listelem
{
private:
   int data;
   Listelem* next;
```



```
class Listelem
{
private:
   int data;
   Listelem* next; //Pointer instead of reference
```



```
class Listelem
private:
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  Listelem* next: //Pointer instead of reference
public:
  Listelem(int d)
  { data = d; next = NULL; }
  Listelem(int d, Listelem* n)
  { data = d; next = n; }
```





```
int getData() { return data; }
void setData(int d) {data = d; }
```

```
int getData() { return data; }
void setData(int d) {data = d; }

Listelem* getNext() { return next; }
void setNext(Listelem* n) { next = n; }
}
```

```
class Node:
    """ Defines a node of a singly linked
        list.
    def __init__(self, value, nextNode):
        self.value = value
        self.nextNode = nextNode
    def __init__(self, value):
        self.value = value;
        self.nextNode = None
```

Usage examples



**Creating linked lists - Python:** 

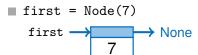
Usage examples

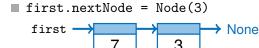


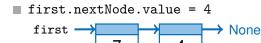
# **Creating linked lists - Python:**

first = Node(7)
$$\begin{array}{c}
\text{first} & \rightarrow & \text{None} \\
\hline
7
\end{array}$$

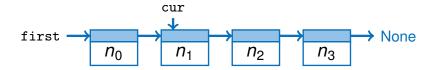
# **Creating linked lists - Python:**







# Inserting a node after node cur:



Implementation - Insert



Inserting a node after node cur:

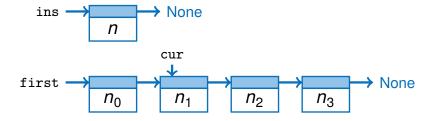
Implementation - Insert



# Inserting a node after node cur:

 $\blacksquare$  ins = Node(n)

$$\blacksquare$$
 ins = Node(n)



Implementation - Insert



Inserting a node after node  $\operatorname{cur}$ :

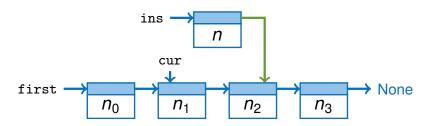
Implementation - Insert



# Inserting a node after node cur:

ins.nextNode = cur.nextNode

ins.nextNode = cur.nextNode



Implementation - Insert



Inserting a node after node cur:

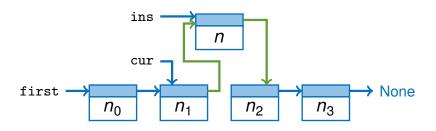
Implementation - Insert



## Inserting a node after node cur:

cur.nextNode = ins

cur.nextNode = ins



Implementation - Insert



Inserting a node after node cur - single line of code:

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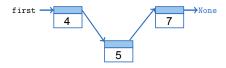


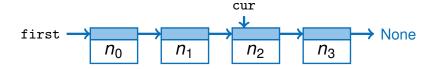
cur.nextNode = Node (value ,cur.nextNode )

## Inserting a node after node cur - single line of code:



cur.nextNode = Node (value ,cur.nextNode )





Implementation - Remove



# Removing a node cur:



■ Find the predecessor of cur:

```
pre = first
while pre.nextNode != cur:
    pre = pre.nextNode
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■ Runtime of O(n)



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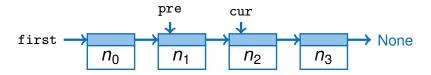
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- Does not work for first node!

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Implementation - Remove



Removing a node cur:

Implementation - Remove

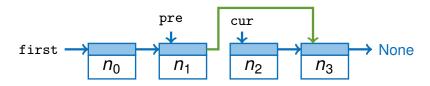


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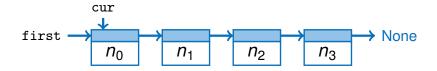
Implementation - Remove



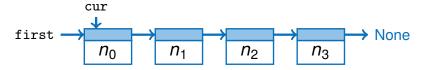
Removing the first node:



# Removing the first node:



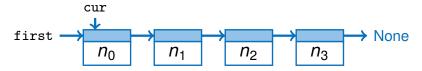
# Removing the first node:



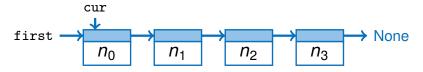
Update the pointer to the next element:

```
first = first.nextNode
```

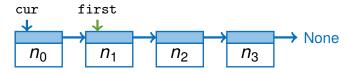
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```
Removing a node cur: (General case)
```

```
if cur == first:
    first = first.nextNode
else:
    pre = first
    while pre.nextNode != cur:
        pre = pre.nextNode

pre.nextNode = cur.nextNode
```

Implementation - Head Node



Implementation - Head Node



# Using a head node:

Advantage:

Implementation - Head Node



- Advantage:
  - Deleting the first node is no special case

Implementation - Head Node



- Advantage:
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- Disadvantage
  - We have to consider the first node at other operations

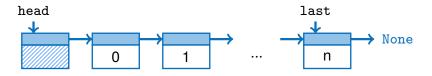
Implementation - Head Node



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  - Iterating all nodes
  - Counting of all nodes

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  - **...**



```
class LinkedList:
    def init (self):
        self.itemCount = 0
        self.head = Node()
        self.last = self.head
    def size(self):
        return self.itemCount
    def isEmpty(self):
        return self.itemCount == 0
```

```
def append(self, value):
def insertAfter(self, cur, value):
def remove(self, cur):
def get(self, position):
def contains(self, value):
```



```
/**
 * A singly linked list with data type int.
 */
public class LinkedList {
    private long itemCount;
    private Node head;
    private Node last;
    public LinkedList() {
        itemCount = 0;
        head = new Node();
        last = head;
```

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```

```
public int size() {
        return itemCount;
    public boolean isEmpty() {
        return (itemCount == 0);
public void add (int data) { ... }
    public void insertAfter(Node cur, int data)
        { ... }
    public void remove(Node cur) { ... }
    public Node get(int position) { ... }
    public boolean contains( int data) { ... }
```

Implementation

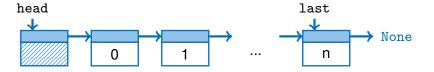


Head, last:

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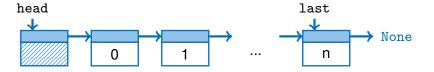


## Head, last:



■ Head points to the first node, last to the last node

### Head, last:



- Head points to the first node, last to the last node
- We can append elements to the end of the list in O(1) through the last node

# Implementation

### Head, last:



- Head points to the first node, last to the last node
- We can append elements to the end of the list in O(1) through the last node
- We have to keep the pointer to last updated after all operations

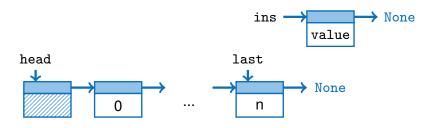
Implementation - Append



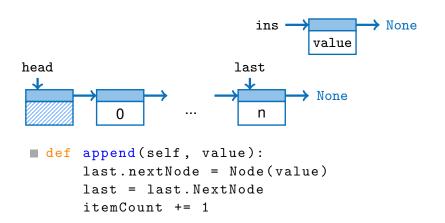
# Appending an element:



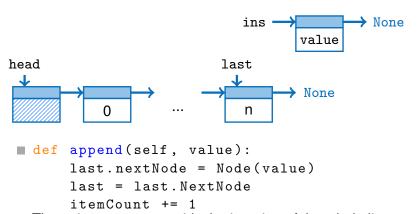
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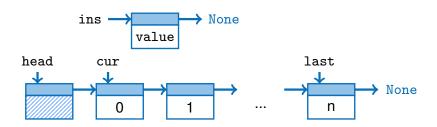


# Appending an element:



■ The pointer to last avoids the iteration of the whole list

# Inserting after node cur:



Implementation - Insert After



Inserting after node cur:

Implementation - Insert After



# Inserting after node cur:

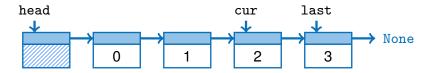
■ The pointer to head is not modified

# Inserting after node cur:

■ The pointer to head is not modified

```
def insertAfter(self, cur, value):
    if cur == last:
        # also update last node
        append(value)
    else:
        # last node is not modified
        cur.nextNode = Node(value, \
              cur.nextNode)
        itemCount += 1
```

#### Remove node cur:



Implementation - Remove



#### Remove node cur:

Implementation - Remove



#### Remove node cur:

■ Searching the predecessor in O(n)

#### Remove node cur:

■ Searching the predecessor in O(n)

```
def remove(self, cur):
    pre = first
    while pre.nextNode != cur:
        pre = pre.nextNode

    pre.nextNode = cur.nextNode
    itemCount -= 1

if pre.nextNode == None:
    last = pre
```

Implementation - Get



Getting a reference to node at pos:

Implementation - Get



Getting a reference to node at pos:

Implementation - Get



# Getting a reference to node at pos:

■ Iterate the entries of the list until at position (O(n))

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```
def get(self, pos):
    if pos < 0 or pos >= itemCount:
        return None

    cur = head
    for i in range(0, pos):
        cur = cur.nextNode

    return cur
```

Implementation - Contains



Searching a value:

Implementation - Contains



#### Searching a value:

First element is head without an assigned value

Implementation - Contains



#### Searching a value:

- First element is head without an assigned value
- Iterate the entries of the list until value found (O(n))

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- First element is head without an assigned value
- Iterate the entries of the list until value found (O(n))

```
def contains(self, value):
    cur = head

for i in range(0, itemCount):
    cur = cur.nextNode
    if cur.value == value:
        return true

return false
```

Runtime

Runtime



#### **Runtime:**

■ Singly linked list:

Runtime



- Singly linked list:
  - $\blacksquare$  next in O(1)

Runtime



- Singly linked list:
  - $\blacksquare$  next in O(1)
  - $\blacksquare$  previous in  $\Theta(n)$

Runtime



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  - insert in O(1)

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Runtime



- Singly linked list:
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  - lookup in  $\Theta(n)$

Runtime



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  - $\blacksquare$  previous in  $\Theta(n)$
  - insert in O(1)
  - $\blacksquare$  remove in  $\Theta(n)$
  - lookup in  $\Theta(n)$
- Better with doubly linked lists

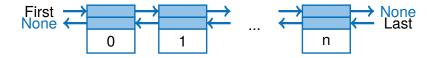




Each node has a reference to its successor and its predecessor

- Each node has a reference to its successor and its predecessor
- We can iterate the list forward and backward

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# Linked Lists Doubly Linked List



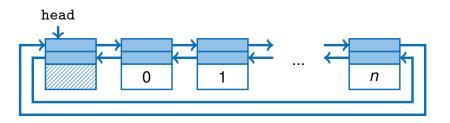
# **Doubly linked list:**

■ It is helpful to have a head node



- It is helpful to have a head node
- We only need one head node if we connect the list cyclic

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- We only need one head node if we connect the list cyclic





Runtime

Runtime



#### **Runtime:**

Runtime



- Doubly linked list:
  - $\blacksquare$  next and previous in O(1)

Runtime



- Doubly linked list:
  - $\blacksquare$  next and previous in O(1)
    - each element has a pointer to pred-/sucessor

Runtime



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Runtime



- Doubly linked list:
  - $\blacksquare$  next and previous in O(1)
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  - $\blacksquare$  insert and remove in O(1)
    - a constant number of pointers needs to be modified

Runtime



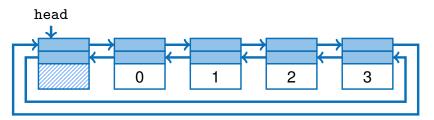
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Runtime

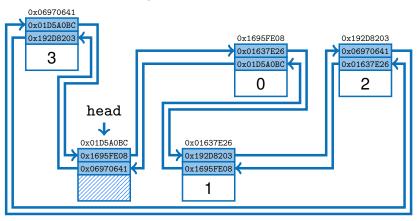


- Doubly linked list:
  - $\blacksquare$  next and previous in O(1)
    - each element has a pointer to pred-/sucessor
  - $\blacksquare$  insert and remove in O(1)
    - a constant number of pointers needs to be modified
  - lookup in  $\Theta(n)$ 
    - Even if the elements are sorted we can only retrieve them in  $\Theta(n)$ .
      - Why?

#### Linked list in book:



## Linked list in memory:



# Structure



Feedback Exercises Lecture

Sorted Sequences

**Linked Lists** 

**Binary Search Trees** 







# Runtime of a search tree:

 $\blacksquare$  next and previous in O(1)

II IBURG

- $\blacksquare$  next and previous in O(1)
  - pointers corresponding to linked list

Introduction



- $\blacksquare$  next and previous in O(1)
  - pointers corresponding to linked list
- insert and remove in O(log n)

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  - We will see why

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  - We will see why
- lookup in O(log n)
  - The structure helps searching efficiently



Idea:

EIBURG

#### Idea:

■ We define a total order for the search tree



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- We define a total order for the search tree
- All nodes of the left subtree have smaller keys than the current node

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- We define a total order for the search tree
- All nodes of the left subtree have smaller keys than the current node
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Introduction



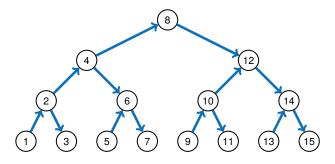


Figure: A binary search tree

Introduction



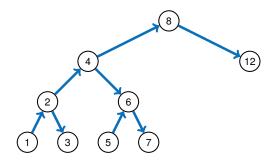


Figure: Another binary search tree

Introduction



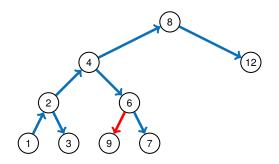


Figure: Not a binary search tree

# Binary Search Trees Implementation

BURG BURG



Implementation



- For the heap we had all elements stored in an array
- Here we link all nodes through pointer / references, like linked lists

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- Here we link all nodes through pointer / references, like linked lists
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Null for missing children

7

18

None

None None

None

None None None

# Binary Search Trees Implementation

BURG BURG

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Implementation



## Implementation:

■ We create a sorted doubly linked list of all elements

Implementation



- We create a sorted doubly linked list of all elements
- This enables an efficient implementation of (next / previous)

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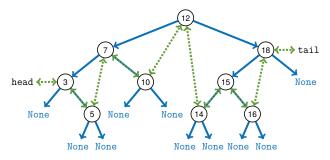


Figure: Binary search tree with links

Implementation - Lookup



Implementation - Lookup

- Definition:
  - "Search the element with the given key. If no element is found return the element with the next (bigger) key."

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### Lookup:

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- We search from the root downwards:
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  - If the key is not found return the next bigger one

Implementation - Lookup



For each node applies the total order:

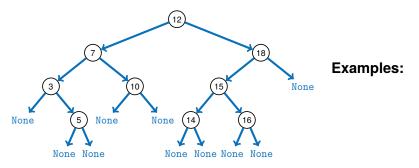
Implementation - Lookup



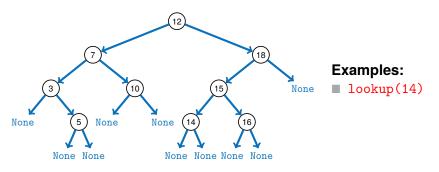
#### For each node applies the total order:

keys of left subtree < node.key < keys of right subtree

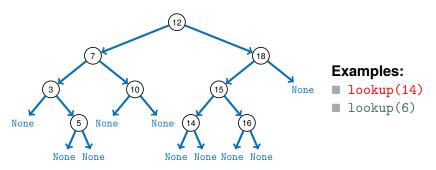
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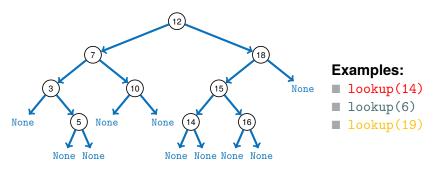
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Implementation - Insert



Implementation - Insert



#### Insert:

 $\hfill\blacksquare$  We search for the key in our search tree

Implementation - Insert



- We search for the key in our search tree
- If a node is found we replace the value with the new one



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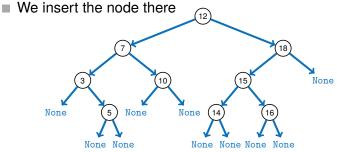


Figure: Binary search tree with total order "<"

Implementation - Remove



Implementation - Remove

NI REBURG

Remove: Case 1: The node "5" has no children

■ Find parent of node "5" ("6")

- Find parent of node "5" ("6")
- Set left / right child of node "6" to None depending on position of node "5"

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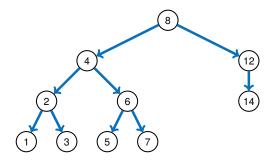


Figure: Binary search tree with total order "<"

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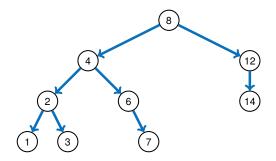
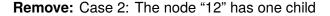


Figure: Binary search tree after deleting node "5"

Implementation - Remove





Implementation - Remove

NI

Remove: Case 2: The node "12" has one child

■ Find the child of node "12" ("14")

Implementation - Remove



Remove: Case 2: The node "12" has one child

- Find the child of node "12" ("14")
- Find the parent of node "12" ("8")

Remove: Case 2: The node "12" has one child

- Find the child of node "12" ("14")
- Find the parent of node "12" ("8")
- Set left / right child of node "8" to "14" depending on position of node "12" (skip node "14")

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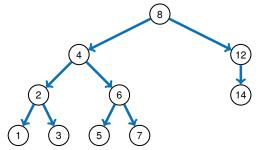


Figure: Binary search tree with total order "<"

- Find the child of node "12" ("14")
- Find the parent of node "12" ("8")
- Set left / right child of node "8" to "14" depending on position of node "12" (skip node "14")

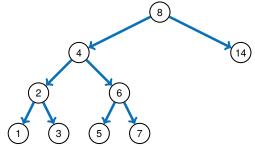


Figure: Binary search tree after delting node "12"

Implementation - Remove



Implementation - Remove

NI SEBURG

Remove: Case 3: The node "4" has two children

■ Find the successor of node "4" ("5")

Implementation - Remove



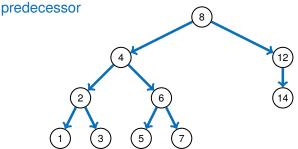
- Find the successor of node "4" ("5")
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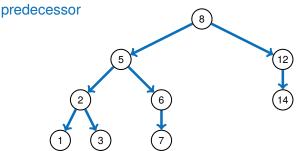
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- There is no left node because we are deleting the predecessor



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Runtime Complexity



Runtime Complexity



#### How long takes insert and lookup?

■ Up to  $\Theta(d)$ , with d being the depth of the tree (The longest path from the root to a leaf)



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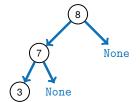


Figure: Degenerated binary

- Up to  $\Theta(d)$ , with d being the depth of the tree (The longest path from the root to a leaf)
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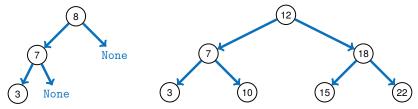


Figure: Degenerated binary tree d = n

Figure: Complete binary tree  $d = \log n$ 

#### General

- [CRL01] Thomas H. Cormen, Ronald L. Rivest, and Charles E. Leiserson. Introduction to Algorithms. MIT Press, Cambridge, Mass, 2001.
- [MS08] Kurt Mehlhorn and Peter Sanders. Algorithms and data structures, 2008. https://people.mpi-inf.mpg.de/~mehlhorn/ftp/Mehlhorn-Sanders-Toolbox.pdf.

#### Linked List

[Wik] Linked list https://en.wikipedia.org/wiki/Linked\_list

### ■ Binary Search Tree

```
[Wik] Binary search tree
    https:
    //en.wikipedia.org/wiki/Binary_search_tree
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