

ECE 374 B Algorithms: Cheatsheet

1 Recursion

Simple recursion

- Reduction:** solve one problem using the solution to another.
- Recursion:** a special case of reduction - reduce problem to a smaller instance of itself (self-reduction).

Definitions

- Problem instance of size n is reduced to one or more instances of size $n - 1$ or less.
- For termination, problem instances of small size are solved by some other method as *base cases*

Arguably the most famous example of recursion. The goal is to move n disks one at a time from the first peg to the last peg.

Pseudocode: Tower of Hanoi

```
Hanoi (n, src, dest, tmp):
if (n > 0) then
    Hanoi (n - 1, src, tmp, dest)
    Move disk n from src to dest
    Hanoi (n - 1, tmp, dest, src)
```

Tower of Hanoi

Divide and conquer

Divide and conquer is an algorithm paradigm involving the decomposition of a problem into the same subproblem, solving them separately and combining their results to get a solution for the original problem.

Algorithm	Runtime	Space
Mergesort	$O(n \log n)$	$O(n \log n)$ $O(n)$ (if optimized)
Quicksort	$O(n^2)$ $O(n \log n)$ if using MoM	$O(n)$

We can divide and conquer multiplication like so:

$$bc = 10^n b_{LC}L + 10^{n/2}(b_{LC}R + b_{RC}L) + b_{RC}R.$$

We can rewrite the equation as:

$$\begin{aligned} bc &= b(x)c(x) = (b_Lx + b_R)(c_Lx + c_R) = (b_Lc_L)x^2 \\ &\quad + ((b_L + b_R)(c_L + c_R) - b_Lc_L - b_Rc_R)x \\ &\quad + b_Rc_R, \end{aligned}$$

Karatsuba's algorithm

Its running time is $O(n^{\log_2 3}) = O(n^{1.585})$.

Recurrences

Suppose you have a recurrence of the form $T(n) = rT(n/c) + f(n)$.

The *master theorem* gives a good asymptotic estimate of the recurrence. If the work at each level is:

- Decreasing: $r f(n/c) = \kappa f(n)$ where $\kappa < 1$ $T(n) = O(f(n))$
 Equal: $r f(n/c) = f(n)$ $T(n) = O(f(n) \cdot \log_c n)$
 Increasing: $r f(n/c) = Kf(n)$ where $K > 1$ $T(n) = O(n^{\log_c r})$

Some useful identities:

- Sum of integers: $\sum_{k=1}^n k = \frac{n(n+1)}{2}$
- Geometric series closed-form formula: $\sum_{k=0}^n ar^k = a \frac{1-r^{n+1}}{1-r}$
- Logarithmic identities: $\log(ab) = \log a + \log b, \log(a/b) = \log a - \log b, a^{\log_c b} = b^{\log_c a}$ ($a, b, c > 1$), $\log_a b = \log_c b / \log_c a$.

Backtracking

Backtracking is the algorithm paradigm involving guessing the solution to a single step in some multi-step process and recursing backwards if it doesn't lead to a solution. For instance, consider the longest increasing subsequence (LIS) problem. You can either check all possible subsequences:

Pseudocode: LIS - Naive enumeration

```
algLISNaive(A[1..n]):
maxmax = 0
for each subsequence B of A do
    if B is increasing and |B| > maxmax then
        maxmax = |B|
return maxmax
```

On the other hand, we don't need to generate every subsequence; we only need to generate the subsequences that are increasing:

Pseudocode: LIS - Backtracking

```
LIS_smaller(A[1..n], x):
if n = 0 then return 0
max = LIS_smaller(A[1..n - 1], x)
if A[n] < x then
    max = max {max, 1 + LIS_smaller(A[1..(n - 1)], A[n])}
return max
```

Linear time selection

The *median of medians* (MoM) algorithms give a element that is larger than $\frac{3}{10}$'s and smaller than $\frac{7}{10}$'s of the array elements. This is used in the linear time selection algorithm to find element of rank k .

Pseudocode: Quickselect with median of medians

```
Median-of-medians (A, i):
sublists = [A[j:j+5] for j ← 0, 5, ..., len(A)]
medians = [sorted(sublist)[len(sublist)/2]
           for sublist ∈ sublists]

// Base case
if len (A) ≤ 5 return sorted (a)[i]

// Find median of medians
if len (medians) ≤ 5
    pivot = sorted (medians)[len (medians)/2]
else
    pivot = Median-of-medians (medians, len/2)

// Partitioning step
low = [j for j ∈ A if j < pivot]
high = [j for j ∈ A if j > pivot]

k = len (low)
if i < k
    return Median-of-medians (low, i)
else if i > k
    return Median-of-medians (low, i-k-1)
else
    return pivot
```

Dynamic programming

Dynamic programming (DP) is the algorithm paradigm involving the computation of a recursive backtracking algorithm iteratively to avoid the recomputation of any particular subproblem.

Longest increasing subsequence

The longest increasing subsequence problem asks for the length of a longest increasing subsequence in a unordered sequence, where the sequence is assumed to be given as an array. The recurrence can be written as:

$$LIS(i, j) = \begin{cases} 0 & \text{if } i = 0 \\ LIS(i - 1, j) & \text{if } A[i] \geq A[j] \\ \max \left\{ LIS(i - 1, j), 1 + LIS(i - 1, i) \right\} & \text{else} \end{cases}$$

Pseudocode: LIS - DP

```
LIS-Iterative(A[1..n]):
    A[n + 1] = ∞
    for j ← 0 to n
        if A[i] ≤ A[j] then LIS[0][j] = 1

    for i ← 1 to n - 1 do
        for j ← i to n - 1 do
            if A[i] ≥ A[j]
                LIS[i, j] = LIS[i - 1, j]
            else
                LIS[i, j] = max { LIS[i - 1, j],
                                  1 + LIS[i - 1, i] }
    return LIS[n, n + 1]
```

Edit distance

The edit distance problem asks how many edits we need to make to a sequence for it to become another one. The recurrence is given as:

$$\text{Opt}(i, j) = \min \begin{cases} \alpha_{x_i y_j} + \text{Opt}(i - 1, j - 1), \\ \delta + \text{Opt}(i - 1, j), \\ \delta + \text{Opt}(i, j - 1) \end{cases}$$

Base cases: $\text{Opt}(i, 0) = \delta \cdot i$ and $\text{Opt}(0, j) = \delta \cdot j$

Pseudocode: Edit distance - DP

```
EDIST(A[1..m], B[1..n])
for i ← 1 to m do M[i, 0] = iδ
for j ← 1 to n do M[0, j] = jδ
```

```
for i = 1 to m do
    for j = 1 to n do
        M[i][j] = min
            COST[A[i]][B[j]]
            + M[i - 1][j - 1],
            δ + M[i - 1][j],
            δ + M[i][j - 1]
```

2 Graph algorithms

Graph basics

A graph is defined by a tuple $G = (V, E)$ and we typically define $n = |V|$ and $m = |E|$. We define (u, v) as the edge from u to v . Graphs can be represented as **adjacency lists**, or **adjacency matrices** though the former is more commonly used.

- **path**: sequence of *distinct* vertices v_1, v_2, \dots, v_k such that $v_i v_{i+1} \in E$ for $1 \leq i \leq k - 1$. The length of the path is $k - 1$ (the number of edges in the path). Note: a single vertex u is a path of length 0.
- **cycle**: sequence of *distinct* vertices v_1, v_2, \dots, v_k such that $(v_i, v_{i+1}) \in E$ for $1 \leq i \leq k - 1$ and $(v_k, v_1) \in E$. A single vertex is not a cycle according to this definition.
Caveat: Sometimes people use the term cycle to also allow vertices to be repeated; we will use the term *tour*.
- A vertex u is *connected* to v if there is a path from u to v .
- The *connected component* of u , $\text{con}(u)$, is the set of all vertices connected to u .
- A vertex u can *reach* v if there is a path from u to v . Alternatively v can be reached from u . Let $\text{rch}(u)$ be the set of all vertices reachable from u .

Directed acyclic graphs

Directed acyclic graphs (dags) have an intrinsic ordering of the vertices that enables dynamic programming algorithms to be used on them. A *topological ordering* of a dag $G = (V, E)$ is an ordering \prec on V such that if $(u, v) \in E$ then $u \prec v$.

Pseudocode: Kahn's algorithm

```
Kahn(G(V, E), u):
    toposort ← empty list
    for v ∈ V:
        in(v) ← |{u | u → v ∈ E}|
    while v ∈ V that has in(v) = 0:
        Add v to end of toposort
        Remove v from V
        for v in u → v ∈ E:
            in(v) ← in(v) - 1
    return toposort
```

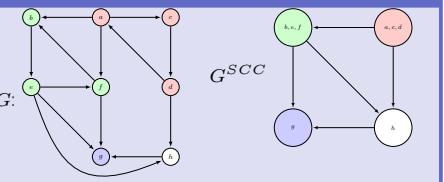
Running time: $O(n + m)$

- A dag may have multiple topological sorts.
- A topological sort can be computed by DFS, in particular by listing the vertices in decreasing post-visit order.

Strongly connected components

- Given G , u is *strongly connected* to v if $v \in \text{rch}(u)$ and $u \in \text{rch}(v)$.

- A *maximal* group of G : vertices that are all strongly connected to one another is called a *strong component*.



Pseudocode: Metagraph - linear time

```
Metagraph(G(V, E)):
    Compute rev(G) by brute force
    ordering ← reverse postordering of V in rev(G)
    by DFS(rev(G), s) for any vertex s
    Mark all nodes as unvisited
    for each u in ordering do
        if u is not visited and u ∈ V then
             $S_u \leftarrow$  nodes reachable by  $u$  by DFS(G, u)
            Output  $S_u$  as a strong connected component
             $G(V, E) \leftarrow G - S_u$ 
```

Running time: $O(m + n)$

DFS and BFS

Pseudocode: Explore (DFS/BFS)

```

Explore( $G, u$ ):
  for  $i \leftarrow 1$  to  $n$ :
    Visited[ $i$ ]  $\leftarrow$  False
    Add  $u$  to ToExplore and to  $S$ 
    Visited[ $u$ ]  $\leftarrow$  True
    Make tree  $T$  with root as  $u$ 
    while ToExplore is non-empty do
      Remove node  $x$  from ToExplore
      for each edge  $(x, y)$  in  $Adj(x)$  do
        if Visited[ $y$ ]  $=$  False
        Visited[ $y$ ]  $\leftarrow$  True
        Add  $y$  to ToExplore,  $S, T$  (with  $x$  as parent)
  
```

- If B is a queue, $Explore$ becomes BFS.
- If B is a stack, $Explore$ becomes DFS.

Running time: $O(m + n)$

Pre/post numbering
Pre and post numbering aids in analyzing the graph structure. By looking at the numbering we can tell if a edge (u, v) is a:

- **Forward edge:** $\text{pre}(u) < \text{pre}(v) < \text{post}(v) < \text{post}(u)$
- **Backward edge:** $\text{pre}(v) < \text{pre}(u) < \text{post}(u) < \text{post}(v)$
- **Cross edge:** $\text{pre}(u) < \text{post}(u) < \text{pre}(v) < \text{post}(v)$

Minimum Spanning Tress

- Tree = undirected graph in which any two vertices are connected by exactly one path.
- Sub-graph H of G is *spanning* for G , if G and H have same connected components.
- A minimum spanning tree is composed of all the safe edges in the graph
- An edge $e = (u, v)$ is a *safe* edge if there is some partition of V into S and $V \setminus S$ and e is the unique minimum cost edge crossing S (one end in S and the other in $V \setminus S$).
- An edge $e = (u, v)$ is an *unsafe* edge if there is some cycle C such that e is the unique maximum cost edge in C .

Pseudocode: Boruvka's algorithm: $O(m \log(n))$

```

 $T$  is  $\emptyset$  (*  $T$  will store edges of a MST *)
while  $T$  is not spanning do
   $X \leftarrow \emptyset$ 
  for each connected component  $S$  of  $T$  do
    add to  $X$  the cheapest edge between  $S$  and  $V \setminus S$ 
  Add edges in  $X$  to  $T$ 
return the set  $T$ 
  
```

Running time: $O(m \log(n))$

Pseudocode: Kruskal's algorithm: $(m + n)\log(m)$ (using Union-Find structure)

```

Sort edges in  $E$  based on cost
 $T$  is empty (*  $T$  will store edges of a MST *)
each vertex  $u$  is placed in a set by itself
while  $E$  is not empty do
  pick  $e = (u, v) \in E$  of minimum cost
  if  $u$  and  $v$  belong to different sets
    add  $e$  to  $T$ 
    merge the sets containing  $u$  and  $v$ 
return the set  $T$ 
  
```

Running time: $O((m + n) \log(m))$ if using union-find data structure

Pseudocode: Prim's algorithm: $(n)\log(n) + m$ (using Priority Queue)

```

 $T \leftarrow \emptyset, S \leftarrow \emptyset, s \leftarrow 1$ 
 $\forall v \in V(G) : d(v) \leftarrow \infty, p(v) \leftarrow \emptyset$ 
 $d(s) \leftarrow 0$ 
while  $S \neq V$  do
   $v = \arg \min_{u \in V \setminus S} d(u)$ 
   $T = T \cup \{vp(v)\}$ 
   $S = S \cup \{v\}$ 
  for each  $u$  in  $Adj(v)$  do
     $d(u) \leftarrow \min \begin{cases} d(u) \\ c(vu) \end{cases}$ 
    if  $d(u) = c(vu)$  then
       $p(u) \leftarrow v$ 
return  $T$ 
  
```

Running time: $O(n \log(n) + m)$ if using Fibonacci heaps

Shortest paths

Dijkstra's algorithm:

Find minimum distance from vertex s to **all** other vertices in graphs *without* negative weight edges.

Pseudocode: Dijkstra

```

for  $v \in V$  do
   $d(v) \leftarrow \infty$ 
   $X \leftarrow \emptyset$ 
   $d(s, s) \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
   $v \leftarrow \arg \min_{u \in V - X} d(u)$ 
   $X = X \cup \{v\}$ 
  for  $u$  in  $Adj(v)$  do
     $d(u) \leftarrow \min \{d(u), d(v) + \ell(v, u)\}$ 
return  $d$ 
  
```

Running time: $O(m + n \log n)$ (if using a Fibonacci heap as the priority queue)

Bellman-Ford algorithm:

Find minimum distance from vertex s to **all** other vertices in graphs *without* negative cycles. It is a DP algorithm with the following recurrence:

$$d(v, k) = \begin{cases} 0 & \text{if } v = s \text{ and } k = 0 \\ \infty & \text{if } v \neq s \text{ and } k = 0 \\ \min \{ \min_{u \in E} \{d(u, k-1) + \ell(u, v)\} \} & \text{else} \\ d(v, k-1) & \end{cases}$$

Base cases: $d(s, 0) = 0$ and $d(v, 0) = \infty$ for all $v \neq s$.

Pseudocode: Bellman-Ford

```

for each  $v \in V$  do
   $d(v) \leftarrow \infty$ 
   $d(s) \leftarrow 0$ 
for  $k \leftarrow 1$  to  $n - 1$  do
  for each  $v \in V$  do
    for each edge  $(u, v) \in \text{in}(v)$  do
       $d(v) \leftarrow \min \{d(v), d(u) + \ell(u, v)\}$ 
return  $d$ 
  
```

Running time: $O(nm)$

Floyd-Warshall algorithm:

Find minimum distance from *every* vertex to *every* vertex in a graph *without* negative cycles. It is a DP algorithm with the following recurrence:

$$d(i, j, k) = \begin{cases} 0 & \text{if } i = j \\ \infty & \text{if } (i, j) \notin E \text{ and } k = 0 \\ \min \{ d(i, j, k-1) \\ d(i, k, k-1) + d(k, j, k-1) \} & \text{else} \end{cases}$$

Then $d(i, j, n - 1)$ will give the shortest-path distance *from i to j*.

Pseudocode: Floyd-Warshall

```

Metagraph( $G(V, E)$ ):
for  $i \in V$  do
  for  $j \in V$  do
     $d(i, j, 0) \leftarrow \ell(i, j)$ 
    (*  $\ell(i, j) \leftarrow \infty$  if  $(i, j) \notin E$ , 0 if  $i = j$  *)
for  $k \leftarrow 0$  to  $n - 1$  do
  for  $i \in V$  do
    for  $j \in V$  do
       $d(i, j, k) \leftarrow \min \begin{cases} d(i, j, k-1), \\ d(i, k, k-1) + d(k, j, k-1) \end{cases}$ 
for  $v \in V$  do
  if  $d(i, i, n - 1) < 0$  then
    return "∃ negative cycle in  $G$ "
return  $d(\cdot, \cdot, n - 1)$ 
  
```

Running time: $\Theta(n^3)$