

# ECE 374 B Algorithms: Cheatsheet

## 1 Recursion

### Simple recursion

- **Reduction:** solve one problem using the solution to another.
- **Recursion:** a special case of reduction - reduce problem to a smaller instance of itself (self-reduction).

#### Definitions

- Problem instance of size  $n$  is reduced to one or more instances of size  $n - 1$  or less.
- For termination, problem instances of small size are solved by some other method as *base cases*

Arguably the most famous example of recursion. The goal is to move  $n$  disks one at a time from the first peg to the last peg.

#### Pseudocode: Tower of Hanoi

```
Hanoi( $n$ , src, dest, tmp):  
  if ( $n > 0$ ) then  
    Hanoi( $n - 1$ , src, tmp, dest)  
    Move disk  $n$  from src to dest  
    Hanoi( $n - 1$ , tmp, dest, src)
```

#### Tower of Hanoi

### Recurrences

Suppose you have a recurrence of the form  $T(n) = rT(n/c) + f(n)$ .

The *master theorem* gives a good asymptotic estimate of the recurrence. If the work at each level is:

Decreasing:  $rf(n/c) = \kappa f(n)$  where  $\kappa < 1$   $T(n) = O(f(n))$   
Equal:  $rf(n/c) = f(n)$   $T(n) = O(f(n) \cdot \log_c n)$   
Increasing:  $rf(n/c) = Kf(n)$  where  $K > 1$   $T(n) = O(n^{\log_c r})$

Some useful identities:

- Sum of integers:  $\sum_{k=1}^n k = \frac{n(n+1)}{2}$
- Geometric series closed-form formula:  $\sum_{k=0}^n ar^k = a \frac{1-r^{n+1}}{1-r}$
- Logarithmic identities:  $\log(ab) = \log a + \log b$ ,  $\log(a/b) = \log a - \log b$ ,  $a^{\log_c b} = b^{\log_c a}$  ( $a, b, c > 1$ ),  $\log_a b = \log_c b / \log_c a$ .

### Backtracking

*Backtracking* is the algorithm paradigm involving guessing the solution to a single step in some multi-step process and recursing backwards if it doesn't lead to a solution. For instance, consider the longest increasing subsequence (LIS) problem. You can either check all possible subsequences:

#### Pseudocode: LIS - Naive enumeration

```
algLISNaive( $A[1..n]$ ):  
  maxmax = 0  
  for each subsequence  $B$  of  $A$  do  
    if  $B$  is increasing and  $|B| > \text{max}$  then  
      max =  $|B|$   
  return max
```

On the other hand, we don't need to generate every subsequence; we only need to generate the subsequences that are increasing:

#### Pseudocode: LIS - Backtracking

```
LIS_smaller( $A[1..n]$ ,  $x$ ):  
  if  $n = 0$  then return 0  
  max = LIS_smaller( $A[1..n - 1]$ ,  $x$ )  
  if  $A[n] < x$  then  
    max = max {max, 1 + LIS_smaller( $A[1..(n - 1)]$ ,  $A[n]$ )}  
  return max
```

### Divide and conquer

*Divide and conquer* is an algorithm paradigm involving the decomposition of a problem into the same subproblem, solving them separately and combining their results to get a solution for the original problem.

Algorithm	Runtime	Space
Sorting algorithms	Mergesort	$O(n \log n)$ $O(n)$ (if optimized)
	Quicksort	$O(n^2)$ $O(n \log n)$ if using MoM

We can divide and conquer multiplication like so:

$$bc = 10^n b_L c_L + 10^{n/2} (b_L c_R + b_R c_L) + b_R c_R.$$

We can rewrite the equation as:

$$bc = b(x)c(x) = (b_L x + b_R)(c_L x + c_R) = (b_L c_L)x^2 + ((b_L + b_R)(c_L + c_R) - b_L c_L - b_R c_R)x + b_R c_R,$$

#### Karatsuba's algorithm

Its running time is  $O(n^{\log_2 3}) = O(n^{1.585})$ .

### Linear time selection

The *median of medians* (MoM) algorithms give an element that is larger than  $\frac{3}{10}$ 's and smaller than  $\frac{7}{10}$ 's of the array elements. This is used in the linear time selection algorithm to find element of rank  $k$ .

#### Pseudocode: Quickselect with median of medians

```
Median-of-medians( $A, i$ ):  
  sublists =  $A[j:j+5]$  for  $j \leftarrow 0, 5, \dots, \text{len}(A)$   
  medians = [sorted(sublist)[len(sublist)/2] for sublist in sublists]  
  
  // Base case  
  if len( $A$ )  $\leq 5$  return sorted( $A$ )[ $i$ ]  
  
  // Find median of medians  
  if len(medians)  $\leq 5$   
    pivot = sorted(medians)[len(medians)/2]  
  else  
    pivot = Median-of-medians(medians, len/2)  
  
  // Partitioning step  
  low =  $j$  for  $j \in A$  if  $j < \text{pivot}$   
  high =  $j$  for  $j \in A$  if  $j > \text{pivot}$   
  
  k = len(low)  
  if  $i < k$   
    return Median-of-medians(low,  $i$ )  
  else if  $i > k$   
    return Median-of-medians(low,  $i - k - 1$ )  
  else  
    return pivot
```

## Dynamic programming

Dynamic programming (DP) is the algorithm paradigm involving the computation of a recursive backtracking algorithm iteratively to avoid the recomputation of any particular subproblem.

### Longest increasing subsequence

The longest increasing subsequence problem asks for the length of a longest increasing subsequence in a unordered sequence, where the sequence is assumed to be given as an array. The recurrence can be written as:

$$LIS(i, j) = \begin{cases} 0 & \text{if } i = 0 \\ LIS(i-1, j) & \text{if } A[i] \geq A[j] \\ \max \begin{cases} LIS(i-1, j) \\ 1 + LIS(i-1, i) \end{cases} & \text{else} \end{cases}$$

Pseudocode: LIS - DP

**LIS-Iterative**( $A[1..n]$ ):

$A[n+1] = \infty$

**for**  $j \leftarrow 0$  **to**  $n$

**if**  $A[i] \leq A[j]$  **then**  $LIS[0][j] = 1$

**for**  $i \leftarrow 1$  **to**  $n-1$  **do**

**for**  $j \leftarrow i$  **to**  $n-1$  **do**

**if**  $A[i] \geq A[j]$

$LIS[i, j] = LIS[i-1, j]$

**else**

$LIS[i, j] = \max \{ LIS[i-1, j], 1 + LIS[i-1, i] \}$

**return**  $LIS[n, n+1]$

### Edit distance

The edit distance problem asks how many edits we need to make to a sequence for it to become another one. The recurrence is given as:

$$Opt(i, j) = \min \begin{cases} \alpha_{x_i y_j} + Opt(i-1, j-1), \\ \delta + Opt(i-1, j), \\ \delta + Opt(i, j-1) \end{cases}$$

**Base cases:**  $Opt(i, 0) = \delta \cdot i$  and  $Opt(0, j) = \delta \cdot j$

Pseudocode: Edit distance - DP

**EDIST**( $A[1..m], B[1..n]$ )

**for**  $i \leftarrow 1$  **to**  $m$  **do**  $M[i, 0] = i\delta$

**for**  $j \leftarrow 1$  **to**  $n$  **do**  $M[0, j] = j\delta$

**for**  $i = 1$  **to**  $m$  **do**

**for**  $j = 1$  **to**  $n$  **do**

$$M[i][j] = \min \begin{cases} COST[A[i]] [B[j]] \\ + M[i-1][j-1], \\ \delta + M[i-1][j], \\ \delta + M[i][j-1] \end{cases}$$

## 2 Graph algorithms

### Graph basics

A graph is defined by a tuple  $G = (V, E)$  and we typically define  $n = |V|$  and  $m = |E|$ . We define  $(u, v)$  as the edge from  $u$  to  $v$ . Graphs can be represented as **adjacency lists**, or **adjacency matrices** though the former is more commonly used.

- path:** sequence of *distinct* vertices  $v_1, v_2, \dots, v_k$  such that  $v_i v_{i+1} \in E$  for  $1 \leq i \leq k-1$ . The length of the path is  $k-1$  (the number of edges in the path).  
*Note:* a single vertex  $u$  is a path of length 0.
- cycle:** sequence of *distinct* vertices  $v_1, v_2, \dots, v_k$  such that  $(v_i, v_{i+1}) \in E$  for  $1 \leq i \leq k-1$  and  $(v_k, v_1) \in E$ . A single vertex is not a cycle according to this definition.  
*Caveat:* Sometimes people use the term cycle to also allow vertices to be repeated; we will use the term *tour*.
- A vertex  $u$  is *connected* to  $v$  if there is a path from  $u$  to  $v$ .
- The *connected component* of  $u$ ,  $con(u)$ , is the set of all vertices connected to  $u$ .
- A vertex  $u$  can *reach*  $v$  if there is a path from  $u$  to  $v$ . Alternatively  $v$  can be reached from  $u$ . Let  $rch(u)$  be the set of all vertices reachable from  $u$ .

### Directed acyclic graphs

Directed acyclic graphs (dags) have an intrinsic ordering of the vertices that enables dynamic programming algorithms to be used on them.

A *topological ordering* of a dag  $G = (V, E)$  is an ordering  $\prec$  on  $V$  such that if  $(u, v) \in E$  then  $u \prec v$ .

Pseudocode: Kahn's algorithm

**Kahn**( $G(V, E), u$ ):

    toposort  $\leftarrow$  empty list

**for**  $v \in V$ :

$in(v) \leftarrow |\{u \mid u \rightarrow v \in E\}|$

**while**  $v \in V$  that has  $in(v) = 0$ :

        Add  $v$  to end of toposort

        Remove  $v$  from  $V$

**for**  $v$  in  $u \rightarrow v \in E$ :

$in(v) \leftarrow in(v) - 1$

**return** toposort

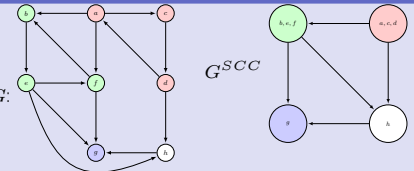
**Running time:**  $O(n + m)$

- A dag may have multiple topological sorts.
- A topological sort can be computed by DFS, in particular by listing the vertices in decreasing post-visit order.

### Strongly connected components

- Given  $G$ ,  $u$  is *strongly connected* to  $v$  if  $v \in rch(u)$  and  $u \in rch(v)$ .

- A *maximal* group of  $G$ : vertices that are all strongly connected to one another is called a strong component.



Pseudocode: Metagraph - linear time

**Metagraph**( $G(V, E)$ ):

    Compute  $rev(G)$  by brute force

    ordering  $\leftarrow$  reverse postordering of  $V$  in  $rev(G)$

        by **DFS**( $rev(G), s$ ) for any vertex  $s$

    Mark all nodes as unvisited

**for** each  $u$  in ordering **do**

**if**  $u$  is not visited and  $u \in V$  **then**

$S_u \leftarrow$  nodes reachable by  $u$  by **DFS**( $G, u$ )

            Output  $S_u$  as a strong connected component

$G(V, E) \leftarrow G - S_u$

**Running time:**  $O(m + n)$

## DFS and BFS

Pseudocode: Explore (DFS/BFS)

```
Explore( $G, u$ ):
  for  $i \leftarrow 1$  to  $n$ :
    Visited[ $i$ ]  $\leftarrow$  False
  Add  $u$  to ToExplore and to  $S$ 
  Visited[ $u$ ]  $\leftarrow$  True
  Make tree  $T$  with root as  $u$ 
  while ToExplore is non-empty do
    Remove node  $x$  from ToExplore
    for each edge  $(x, y)$  in  $Adj(x)$  do
      if Visited[ $y$ ] = False
        Visited[ $y$ ]  $\leftarrow$  True
        Add  $y$  to ToExplore,  $S$ ,  $T$  (with  $x$  as parent)
```

- If  $B$  is a queue, *Explore* becomes BFS.
- If  $B$  is a stack, *Explore* becomes DFS.

Running time:  $O(m + n)$

Pre/post  
numbering

Pre and post numbering aids in analyzing the graph structure. By looking at the numbering we can tell if a edge  $(u, v)$  is a:

- *Forward edge*:  $\text{pre}(u) < \text{pre}(v) < \text{post}(v) < \text{post}(u)$
- *Backward edge*:  $\text{pre}(v) < \text{pre}(u) < \text{post}(u) < \text{post}(v)$
- *Cross edge*:  $\text{pre}(u) < \text{post}(u) < \text{pre}(v) < \text{post}(v)$

## Minimum Spanning Tress

- Tree = undirected graph in which any two vertices are connected by exactly one path.
- Sub-graph  $H$  of  $G$  is *spanning* for  $G$ , if  $G$  and  $H$  have same connected components.
- A minimum spanning tree is composed of all the safe edges in the graph
- An edge  $e = (u, v)$  is a *safe* edge if there is some partition of  $V$  into  $S$  and  $V \setminus S$  and  $e$  is the unique minimum cost edge crossing  $S$  (one end in  $S$  and the other in  $V \setminus S$ ).
- An edge  $e = (u, v)$  is an *unsafe* edge if there is some cycle  $C$  such that  $e$  is the unique maximum cost edge in  $C$ .

Pseudocode: Boruvka's algorithm:  $O(m \log(n))$

```
 $T$  is  $\emptyset$  (' $T$  will store edges of a MST')
while  $T$  is not spanning do
   $X \leftarrow \emptyset$ 
  for each connected component  $S$  of  $T$  do
    add to  $X$  the cheapest edge between  $S$  and  $V \setminus S$ 
  Add edges in  $X$  to  $T$ 
return the set  $T$ 
```

Running time:  $O(m \log(n))$

Pseudocode: Kruskal's algorithm:  $(m + n) \log(m)$  (using Union-Find structure)

```
Sort edges in  $E$  based on cost
 $T$  is empty (*  $T$  will store edges of a MST *)
each vertex  $u$  is placed in a set by itself
while  $E$  is not empty do
  pick  $e = (u, v) \in E$  of minimum cost
  if  $u$  and  $v$  belong to different sets
    add  $e$  to  $T$ 
    merge the sets containing  $u$  and  $v$ 
return the set  $T$ 
```

Running time:  $O((m + n) \log(m))$  if using union-find data structure

Pseudocode: Prim's algorithm:  $(n) \log(n) + m$  (using Priority Queue)

```
 $T \leftarrow \emptyset, S \leftarrow \emptyset, s \leftarrow 1$ 
 $\forall v \in V(G) : d(v) \leftarrow \infty, p(v) \leftarrow \emptyset$ 
 $d(s) \leftarrow 0$ 
while  $S \neq V$  do
   $v = \arg \min_{u \in V \setminus S} d(u)$ 
   $T = T \cup \{vp(v)\}$ 
   $S = S \cup \{v\}$ 
  for each  $u$  in  $Adj(v)$  do
     $d(u) \leftarrow \min \begin{cases} d(u) \\ c(vu) \end{cases}$ 
    if  $d(u) = c(vu)$  then
       $p(u) \leftarrow v$ 
return  $T$ 
```

Running time:  $O(n \log(n) + m)$  if using Fibonacci heaps

## Shortest paths

Dijkstra's algorithm:

Find minimum distance from vertex  $s$  to **all** other vertices in graphs *without* negative weight edges.

Pseudocode: Dijkstra

```
for  $v \in V$  do
   $d(v) \leftarrow \infty$ 
 $X \leftarrow \emptyset$ 
 $d(s, s) \leftarrow 0$ 
for  $i \leftarrow 1$  to  $n$  do
   $v \leftarrow \arg \min_{u \in V - X} d(u)$ 
   $X = X \cup \{v\}$ 
  for  $u$  in  $Adj(v)$  do
     $d(u) \leftarrow \min \{d(u), d(v) + \ell(v, u)\}$ 
return  $d$ 
```

Running time:  $O(m + n \log n)$  (if using a Fibonacci heap as the priority queue)

Bellman-Ford algorithm:

Find minimum distance from vertex  $s$  to **all** other vertices in graphs *without* negative cycles. It is a DP algorithm with the following recurrence:

$$d(v, k) = \begin{cases} 0 & \text{if } v = s \text{ and } k = 0 \\ \infty & \text{if } v \neq s \text{ and } k = 0 \\ \min \begin{cases} \min_{u \in V} \{d(u, k-1) + \ell(u, v)\} \\ d(v, k-1) \end{cases} & \text{else} \end{cases}$$

Base cases:  $d(s, 0) = 0$  and  $d(v, 0) = \infty$  for all  $v \neq s$ .

Pseudocode: Bellman-Ford

```
for each  $v \in V$  do
   $d(v) \leftarrow \infty$ 
 $d(s) \leftarrow 0$ 

for  $k \leftarrow 1$  to  $n - 1$  do
  for each  $v \in V$  do
    for each edge  $(u, v) \in E$  do
       $d(v) \leftarrow \min \{d(v), d(u) + \ell(u, v)\}$ 

return  $d$ 
```

Running time:  $O(nm)$

Floyd-Warshall algorithm:

Find minimum distance from *every* vertex to *every* vertex in a graph *without* negative cycles. It is a DP algorithm with the following recurrence:

$$d(i, j, k) = \begin{cases} 0 & \text{if } i = j \\ \infty & \text{if } (i, j) \notin E \text{ and } k = 0 \\ \min \begin{cases} d(i, j, k-1) \\ d(i, k, k-1) + d(k, j, k-1) \end{cases} & \text{else} \end{cases}$$

Then  $d(i, j, n-1)$  will give the shortest-path distance from  $i$  to  $j$ .

Pseudocode: Floyd-Warshall

```
Metagraph( $G(V, E)$ ):
  for  $i \in V$  do
    for  $j \in V$  do
       $d(i, j, 0) \leftarrow \ell(i, j)$ 
      (*  $\ell(i, j) \leftarrow \infty$  if  $(i, j) \notin E$ , 0 if  $i = j$  *)

  for  $k \leftarrow 0$  to  $n - 1$  do
    for  $i \in V$  do
      for  $j \in V$  do
         $d(i, j, k) \leftarrow \min \begin{cases} d(i, j, k-1), \\ d(i, k, k-1) + d(k, j, k-1) \end{cases}$ 

  for  $v \in V$  do
    if  $d(i, i, n-1) < 0$  then
      return "exists negative cycle in  $G$ "

  return  $d(\cdot, \cdot, n-1)$ 
```

Running time:  $\Theta(n^3)$