

Pre-lecture brain teaser

We know that SAT is NP-complete which means that it is in NP-Hard. HALT is also in NP-Hard. Is SAT reducible to HALT? How?

ECE-374-B: Lecture 23 - Decidability II

Instructor: Nickvash Kani

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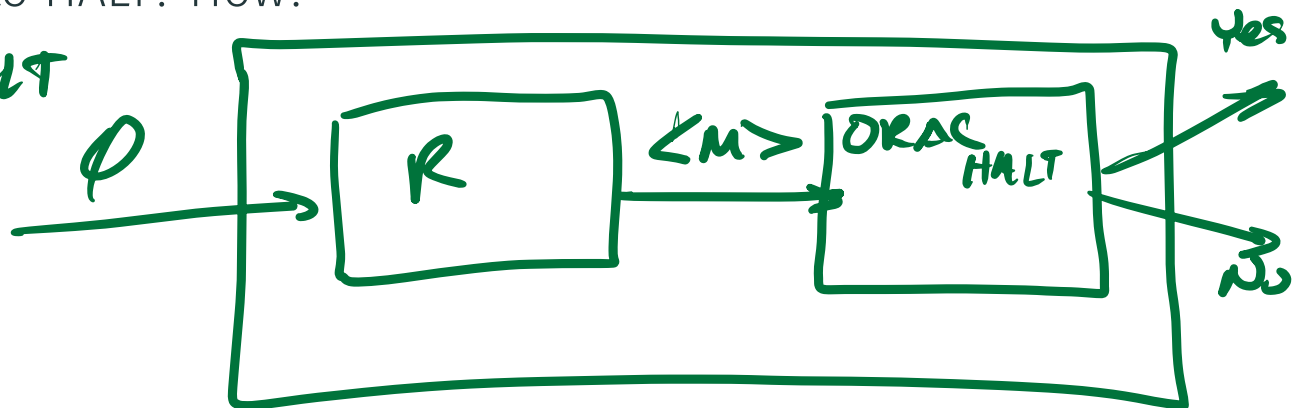
University of Illinois Urbana-Champaign

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$SAT \Rightarrow HALT$
Reduction
encode program M

```
U(  
  hardcode  $\varphi = \varphi$   
  for every truth assignment  $x$   
  { if  $\varphi(x) = T$   
    return  
  }  
  loop...
```



$\{x_1, x_2, x_3, \dots\} \rightarrow \{1, 0, \text{Yes}, \text{No}, \dots\}$

Reductions

Reduction

Meta definition: Problem X reduces to problem Y , if given a solution to Y , then it implies a solution for X . Namely, we can solve Y then we can solve X . We will done this by $X \implies Y$.

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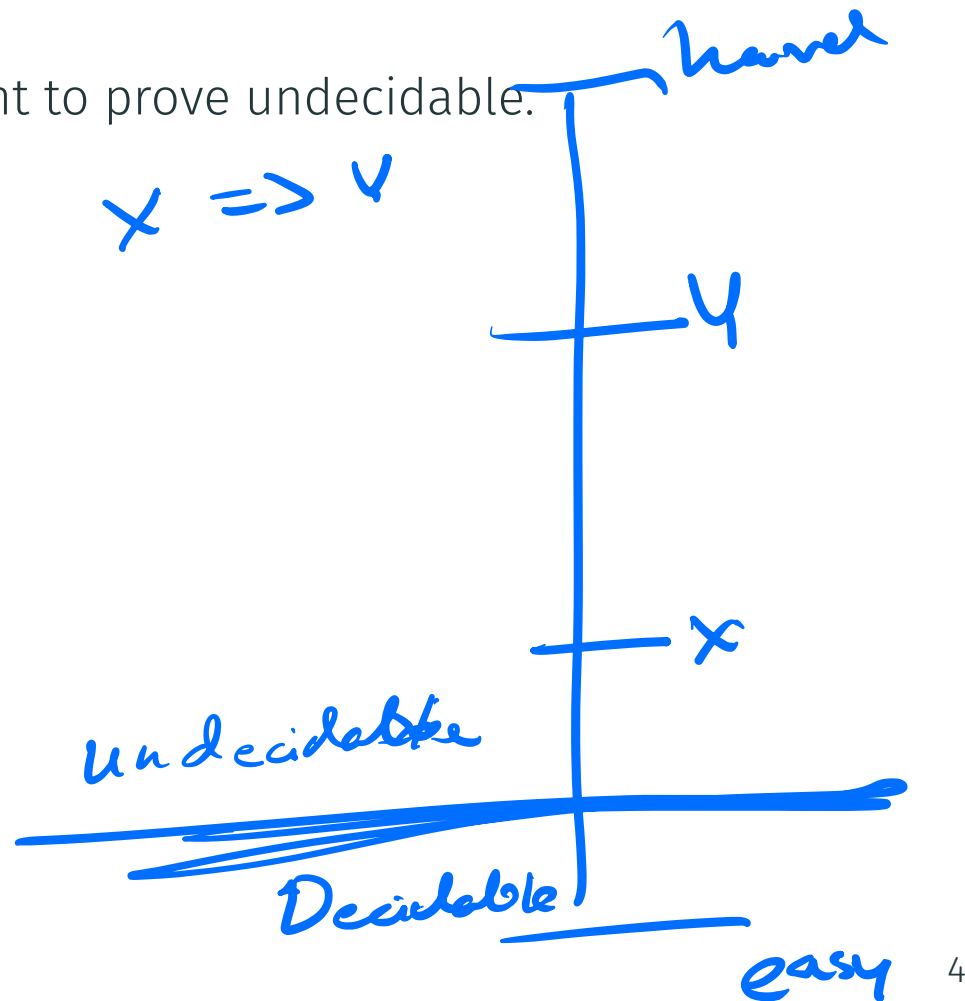
Lemma

A language X reduces to a language Y , if one can construct a **TM** decider for X using a given oracle ORAC_Y for Y .

We will denote this fact by $X \implies Y$.

Reduction proof technique

- **Y**: Problem/language for which we want to prove undecidable.



Reduction proof technique

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- Contradiction **X** is not decidable.

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- Proof via reduction. Result in a proof by contradiction.
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- Assume L is decided by **TM** M .
- Create a decider for known undecidable problem **X** using M .
- Result in decider for **X** (i.e., A_{TM}).
- Contradiction **X** is not decidable.
- Thus, L must be not decidable.

Reduction implies decidability

Lemma

Let X and Y be two languages, and assume that $X \implies Y$. If Y is decidable then X is decidable.

Proof.

Let T be a decider for Y (i.e., a program or a **TM**). Since X reduces to Y , it follows that there is a procedure $T_{X|Y}$ (i.e., decider) for X that uses an oracle for Y as a subroutine. We replace the calls to this oracle in $T_{X|Y}$ by calls to T . The resulting program T_X is a decider and its language is X . Thus X is decidable (or more formally **TM** decidable). □

The contrapositive...

Lemma

Let X and Y be two languages, and assume that $X \implies Y$. If X is undecidable then Y is undecidable.

Halting

The halting problem

Language of all pairs $\langle M, w \rangle$ such that M halts on w :

$$A_{\text{Halt}} = \left\{ \langle M, w \rangle \mid M \text{ is a } \textcolor{brown}{TM} \text{ and } M \text{ stops on } w \right\}.$$

Similar to language already known to be undecidable:

$$A_{\textcolor{brown}{TM}} = \left\{ \langle M, w \rangle \mid M \text{ is a } \textcolor{brown}{TM} \text{ and } M \text{ accepts } w \right\}.$$

One way to proving that Halting is undecidable...

Lemma

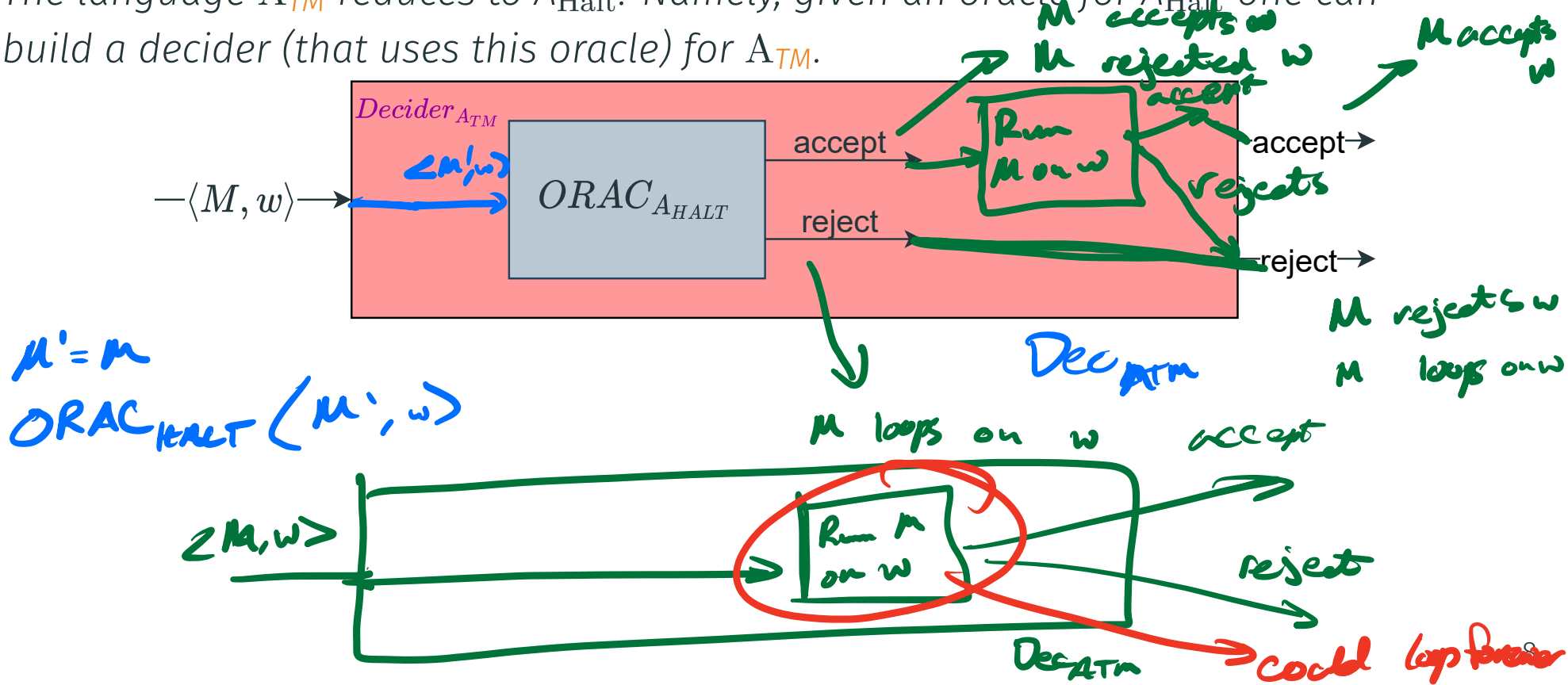
The language A_{TM} reduces to A_{Halt} . Namely, given an oracle for A_{Halt} one can build a decider (that uses this oracle) for A_{TM} .

$A_{TM} \Rightarrow A_{Halt}$

One way to proving that Halting is undecidable...

Lemma

The language A_{TM} reduces to A_{Halt} . Namely, given an oracle for A_{Halt} , one can build a decider (that uses this oracle) for A_{TM} .



One way to proving that Halting is undecidable...

Proof.

Let $\text{ORAC}_{\text{Halt}}$ be the given oracle for A_{Halt} . We build the following decider for A_{TM} .

```
AnotherDecider- $A_{\text{TM}}$ ( $\langle M, w \rangle$ )  
   $res \leftarrow \text{ORAC}_{\text{Halt}}(\langle M, w \rangle)$   
  // if  $M$  does not halt on  $w$  then reject.  
  if  $res = \text{reject}$  then  
    halt and reject.  
  //  $M$  halts on  $w$  since  $res = \text{accept}$ .  
  // Simulating  $M$  on  $w$  terminates in finite time.  
   $res_2 \leftarrow \text{Simulate } M \text{ on } w.$   
  return  $res_2$ .
```

This procedure always return and as such its a decider for A_{TM} . □

The Halting problem is not decidable

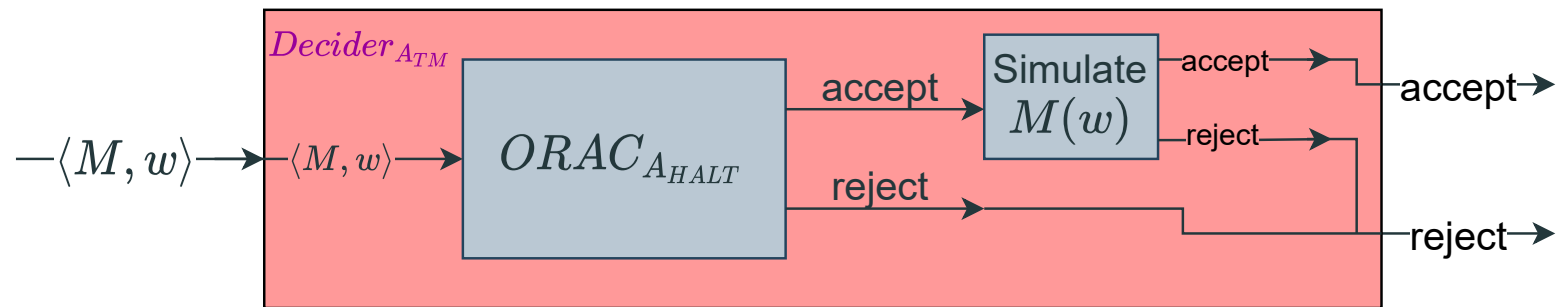
Theorem

The language A_{Halt} is not decidable.

Proof.

Assume, for the sake of contradiction, that A_{Halt} is decidable. As such, there is a TM , denoted by TM_{Halt} , that is a decider for A_{Halt} . We can use TM_{Halt} as an implementation of an oracle for A_{Halt} , which would imply that one can build a decider for A_{TM} . However, A_{TM} is undecidable. A contradiction. It must be that A_{Halt} is undecidable. □

The same proof by figure...



... if A_{HALT} is decidable, then A_{TM} is decidable, which is impossible.

Emptiness

The language of empty languages

$$A_{TM} \iff E_{TM}$$

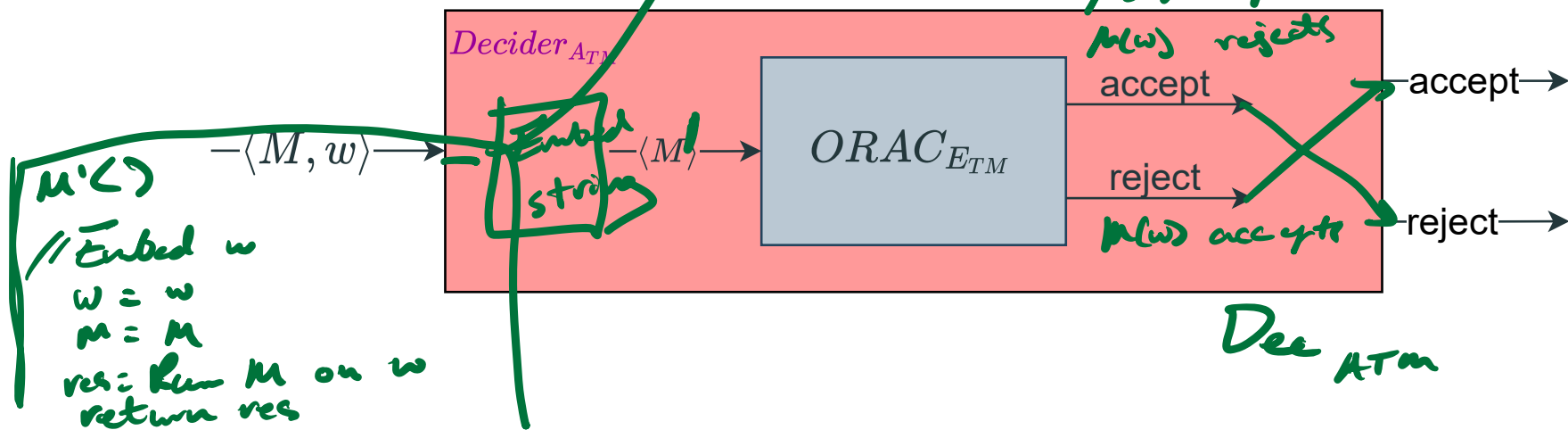
- $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}.$
- TM_{ETM} : Assume we are given this decider for E_{TM} .
- Need to use TM_{ETM} to build a decider for A_{TM} .
- Decider for A_{TM} is given M and w and must decide whether M accepts w .
- Restructure question to be about Turing machine having an empty language.
- Somehow make the second input (w) disappear.

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What language does M' represent

$M(w)$	$L(M')$
loops	\emptyset
accepts	ϵ
rejects	\emptyset



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- Restructure question to be about Turing machine having an empty language.
- Somehow make the second input (w) disappear.
- Idea: hard-code w into M , creating a TM M_w which runs M on the fixed string w .
- TM $M_w(x)$:
 1. Input = x (which will be ignored)
 2. Simulate M on w .
 3. If the simulation accepts, accept. Else, reject.

Embedding strings...

- Given program $\langle M \rangle$ and input w ...
- ...can output a program $\langle M_w \rangle$.
- The program M_w simulates M on w . And accepts/rejects accordingly.
- **EmbedString**($\langle M, w \rangle$) input two strings $\langle M \rangle$ and w , and output a string encoding (TM) $\langle M_w \rangle$.

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- **EmbedString**($\langle M, w \rangle$) input two strings $\langle M \rangle$ and w , and output a string encoding (TM) $\langle M_w \rangle$.
- What is $L(M_w)$?
- Since M_w ignores input x .. language M_w is either Σ^* or \emptyset .
It is Σ^* if M accepts w , and it is \emptyset if M does not accept w .

Emptiness is undecidable

Theorem

The language E_{TM} is undecidable.

- Assume (for contradiction), that E_{TM} is decidable.
- TM_{ETM} be its decider.
- Build decider **AnotherDecider- A_{TM}** for A_{TM} :

```
AnotherDecider- $A_{TM}(\langle M, w \rangle)$   
   $\langle M_w \rangle \leftarrow \text{EmbedString}(\langle M, w \rangle)$   
   $r \leftarrow TM_{ETM}(\langle M_w \rangle)$ .  
  if  $r = \text{accept}$  then  
    return reject  
  //  $TM_{ETM}(\langle M_w \rangle)$  rejected its input  
  return accept
```

Emptiness is undecidable...

Consider the possible behavior of **AnotherDecider- A_{TM}** on the input $\langle M, w \rangle$.

- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is empty. This implies that M does not accept w . As such, **AnotherDecider- A_{TM}** rejects its input $\langle M, w \rangle$.
- If TM_{ETM} accepts $\langle M_w \rangle$, then $L(M_w)$ is not empty. This implies that M accepts w . So **AnotherDecider- A_{TM}** accepts $\langle M, w \rangle$.

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\implies **AnotherDecider- A_{TM}** is decider for A_{TM} .

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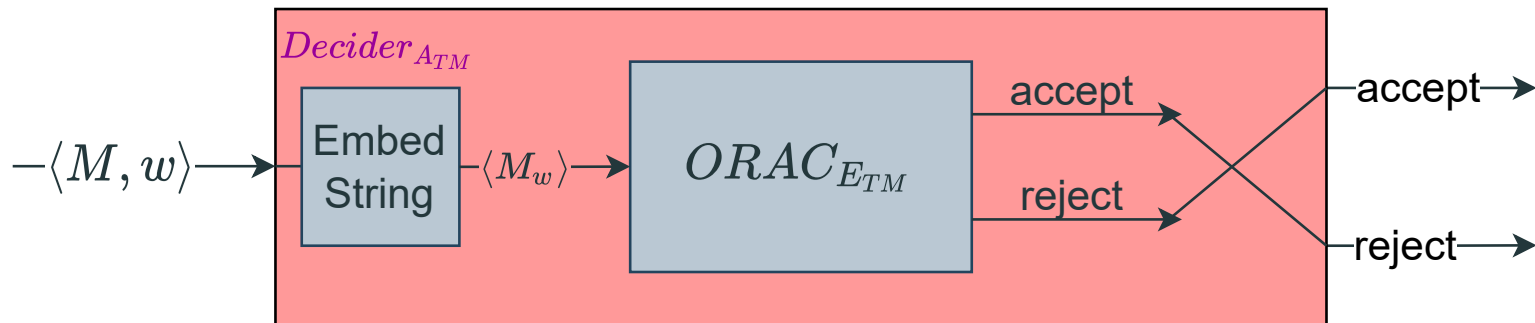
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But A_{TM} is undecidable...

...must be assumption that E_{TM} is decidable is false.

Emptiness is undecidable via diagram



AnotherDecider- A_{TM} never actually runs the code for M_w . It hands the code to a function TM_{ETM} which analyzes what the code would do if run it. So it does not matter that M_w might go into an infinite loop.

Equality

Equality is undecidable

$$EQ_{TM} = \left\{ \langle M, N \rangle \mid M \text{ and } N \text{ are TM's and } L(M) = L(N) \right\}.$$

Lemma

The language EQ_{TM} is undecidable.

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Let's try something different. We know E_{TM} is undecidable. Let's use that:

$$\overline{E_{TM}} \Rightarrow EQ_{TM}$$

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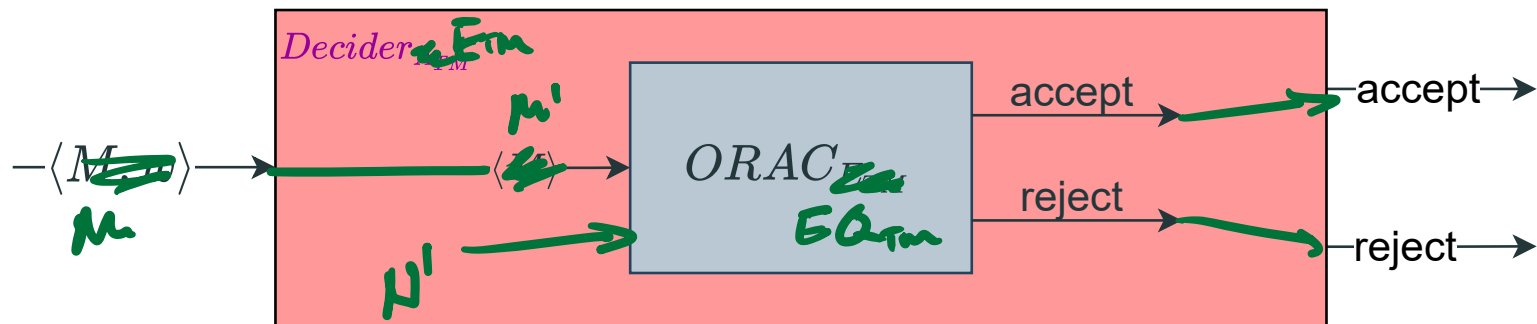
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$$\overset{\text{X}}{E_{TM}} \implies \overset{\text{Y}}{EQ_{TM}}$$


Equality diagram



$$E \Rightarrow EQ$$

$$L(N') = \emptyset$$

$$M' = M$$

$$N' = \boxed{N'(\tau) \text{ rejects all}}$$

Proof.

Suppose that we had a decider **DeciderEqual** for EQ_{TM} . Then we can build a decider for E_{TM} as follows:

TM R :

1. Input = $\langle M \rangle$
2. Include the (constant) code for a TM T that rejects all its input. We denote the string encoding T by $\langle T \rangle$.
3. Run **DeciderEqual** on $\langle M, T \rangle$.
4. If **DeciderEqual** accepts, then accept.
5. If **DeciderEqual** rejects, then reject.



DFAs

DFAs are empty?

$$E_{\text{DFA}} = \left\{ \langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset \right\}.$$

What does the above language describe?

~~All DFAs that represent languages that
are empty~~

All DFAs which accept nothing

What does E_{DFA} describe in words?

DFAs are empty?

$$E_{DFA} = \left\{ \langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset \right\}.$$

Is the language above decidable? *Yes*



BFS

DFS

Brute force (listing all simple paths)

DFA's are empty?

$$E_{DFA} = \left\{ \langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset \right\}.$$

Is the language above decidable?

Lemma

The language E_{DFA} is decidable:

$$A_{TM} \leq E_{DFA}$$



Proof.

Unlike in the previous cases, we can directly build a decider (**DeciderEmptyDFA**) for E_{DFA}

TM R :

1. Input = $\langle A \rangle$
2. Mark start state of A as visited.
3. Repeat until no new states get marked:
 - Mark any state that has a transition coming into it from any state that is already marked.
4. If no accept state is marked, then accept.
5. Otherwise, then reject.



Equal DFAs

DFAs are equal?

$$EQ_{DFA} = \left\{ \langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B) \right\}.$$

What does the above language describe?

All pairs of DFA encodings that represent the same language

DFAs are equal?

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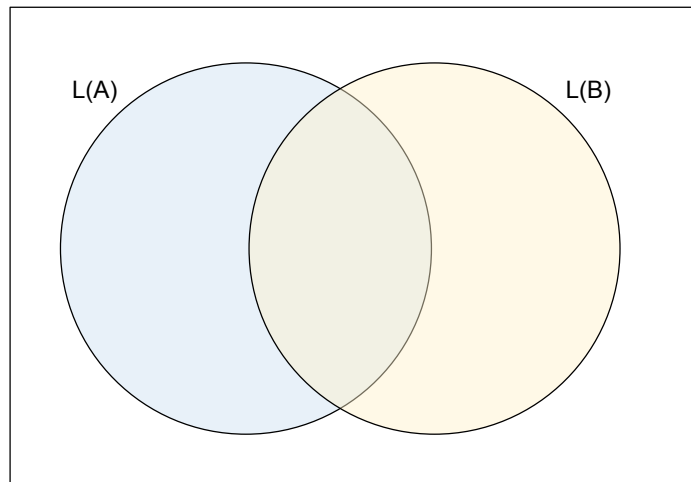
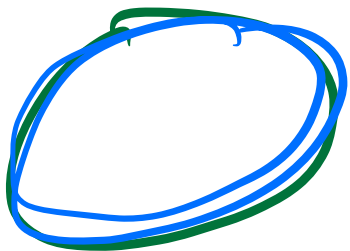
The language EQ_{DFA} is decidable.

Can we show this using reductions?

Equal DFA trick I

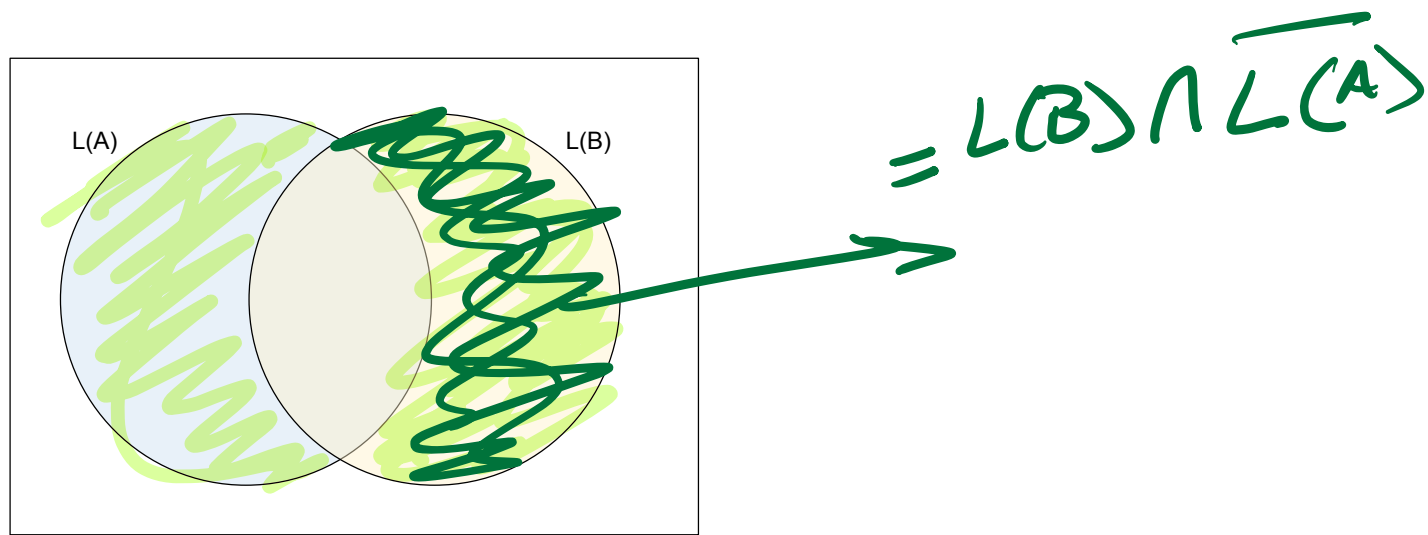
Need a way to determine if there any strings in one language and not the other...

$$L(A) = L(B)$$



Equal DFA trick I

Need a way to determine if there any strings in one language and not the other...



This is known as the symmetric difference. Can create a new DFA (C) which represents the symmetric difference of L_A and L_B .

Equal DFA trick II

Notice with $L(C)$:

- If $L(A) = L(B)$ then $L(C) = \emptyset$
- If $L(A) \neq L(B)$ then $L(C)$ is not empty

Good time to use E_{DFA} proof from before.....How do we show EQ_{DFA} is decidable using a reduction?

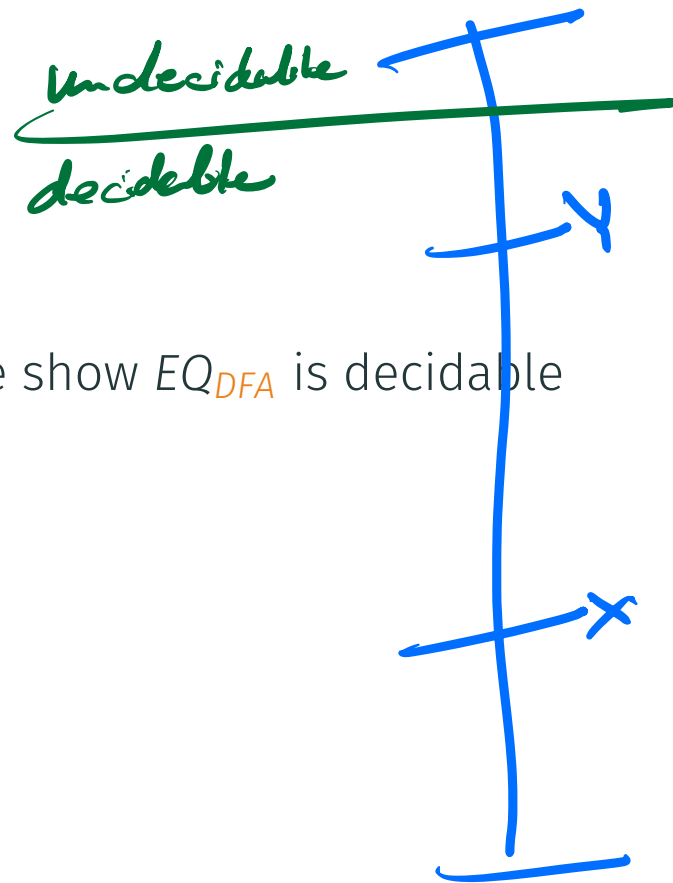
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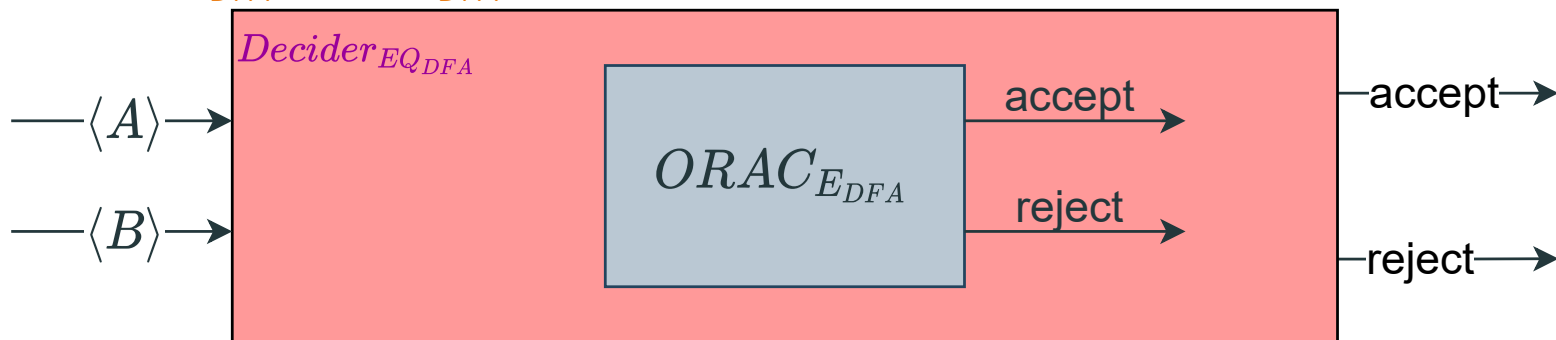
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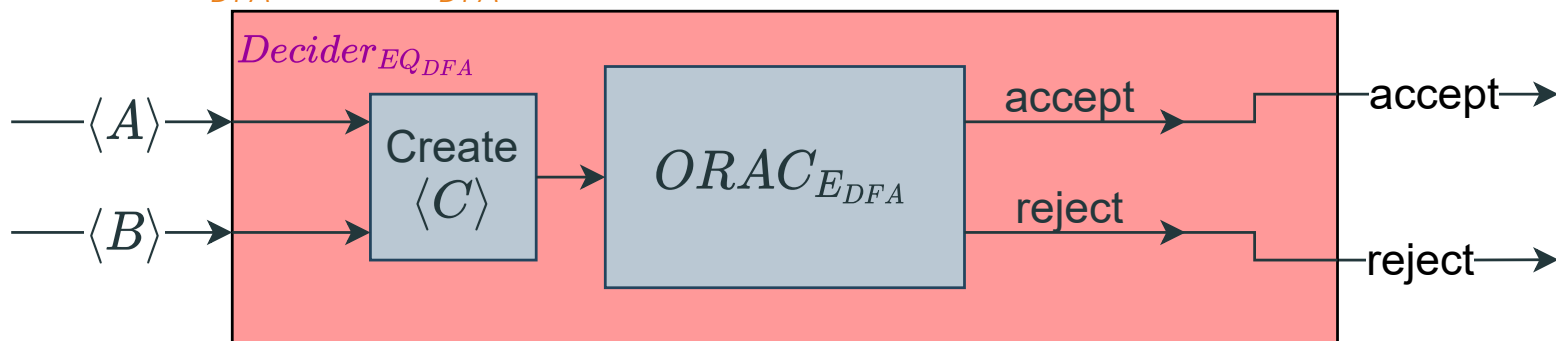
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Equal DFA decider

TM F :

1. Input = $\langle A, B \rangle$ where A and B are DFAs
2. Construct DFA C as described before
3. Run **DeciderEmptyDFA** from previous slide on C
4. If accepts, then accept.
5. If rejects, then reject.

Regularity

Many undecidable languages

- Almost any property defining a **TM** language induces a language which is undecidable.
- proofs all have the same basic pattern.
- Regularity language: $\text{Regular}_{\text{TM}} = \left\{ \langle M \rangle \mid M \text{ is a TM and } L(M) \text{ is regular} \right\}$.
- **DeciderRegL**: Assume **TM** decider for $\text{Regular}_{\text{TM}}$.
- Reduction from halting requires to turn problem about deciding whether a **TM** M accepts w (i.e., is $w \in A_{\text{TM}}$) into a problem about whether some **TM** accepts a regular set of strings.

Outline of IsRegular? reduction



$$A_{TM} \leq Reg_{TM}$$

Proof continued...

- Given M and w , consider the following TM M'_w :
TM M'_w :
 - (i) Input = x
 - (ii) If x has the form $a^n b^n$, halt and accept.
 - (iii) Otherwise, simulate M on w .
 - (iv) If the simulation accepts, then accept.
 - (v) If the simulation rejects, then reject.
- not executing M'_w !
- feed string $\langle M'_w \rangle$ into **DeciderRegL**
- **EmbedRegularString**: program with input $\langle M \rangle$ and w , and outputs $\langle M'_w \rangle$, encoding the program M'_w .
- If M accepts w , then any x accepted by M'_w : $L(M'_w) = \Sigma^*$.
- If M does not accept w , then $L(M'_w) = \{a^n b^n \mid n \geq 0\}$.

Proof continued...

- $a^n b^n$ is not regular...
- Use **DeciderRegL** on M'_w to distinguish these two cases.
- Note - cooked M'_w to the decider at hand.
- A decider for A_{TM} as follows.

AnotherDecider- A_{TM} ($\langle M, w \rangle$)

$\langle M'_w \rangle \leftarrow \text{EmbedRegularString}(\langle M, w \rangle)$

$r \leftarrow \text{DeciderRegL}(\langle M'_w \rangle).$

return r

- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*)

Proof continued...

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- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*) $\implies M$ accepts w . So **AnotherDecider- A_{TM}** should accept $\langle M, w \rangle$.

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- If **DeciderRegL** rejects $\implies L(M'_w)$ is not regular $\implies L(M'_w) = a^n b^n$

Proof continued...

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- If **DeciderRegL** accepts $\implies L(M'_w)$ regular (its Σ^*) $\implies M$ accepts w . So **AnotherDecider- A_{TM}** should accept $\langle M, w \rangle$.
- If **DeciderRegL** rejects $\implies L(M'_w)$ is not regular $\implies L(M'_w) = a^n b^n \implies M$ does not accept $w \implies$ **AnotherDecider- A_{TM}** should reject $\langle M, w \rangle$.

Rice theorem

The above proofs were somewhat repetitious...

...they imply a more general result.

Theorem (Rice's Theorem.)

Suppose that L is a language of Turing machines; that is, each word in L encodes a TM. Furthermore, assume that the following two properties hold.

- (a) Membership in L depends only on the Turing machine's language, i.e. if $L(M) = L(N)$ then $\langle M \rangle \in L \Leftrightarrow \langle N \rangle \in L$.
- (b) The set L is "non-trivial," i.e. $L \neq \emptyset$ and L does not contain all Turing machines.

Then L is undecidable.