



# Pre-lecture brain teaser

What do each of the reductions prove?

1. All-pairs-shortest  $\leq_P$  u-v shortest path
2. SAT  $\leq_P$  Longest-path <sup>1</sup>
3. Shortest-path  $\leq_P$  SAT <sup>2</sup>

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<sup>1</sup>Given a graph  $G(V, E)$  and integer k, is there a simple path that uses atleast k vertices

<sup>2</sup>[http://www.aloul.net/Papers/faloul\\_iceee06.pdf](http://www.aloul.net/Papers/faloul_iceee06.pdf)

# ECE-374-B: Lecture 22 - Decidability I

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University of Illinois Urbana-Champaign

# Pre-lecture brain teaser

What do each of the reductions prove?

*Floyd-Warshall*  $O(n^3)$  *Dijkstra's algorithm*

1. All-pairs-shortest  $\leq_P$  u-v shortest path

u-v sp is atleast as hard as AP-sp

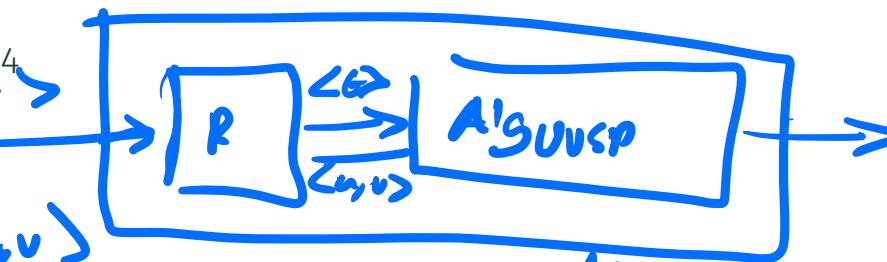
AP-sp is no more hard than uv-sp

2. SAT  $\leq_P$  Longest-path<sup>3</sup>

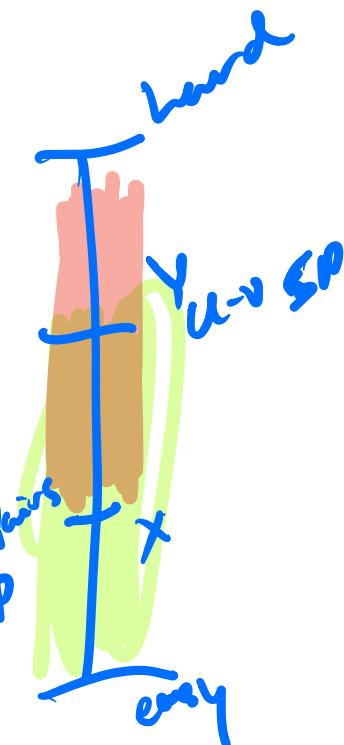
3. Shortest-path  $\leq_P$  SAT<sup>4</sup>

for all u/v

Run Alguvsp ( $G, u, v$ )



AlgSP



<sup>3</sup>Given a graph  $G = (V, E)$  and integer  $k$ , is there a simple path that uses atleast  $k$  vertices

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return SP

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is it possible in a  
fully connected graph  
for a LP  $< 10$  vertices  
Yes if some are  
negative

LP is NP-hard

Longest Path greater k

$n \ n-1 \ n-2 \ n-3 \dots 1$

$G = (V, E)$

$|V| = n$

$|E| = m$

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Assuming a fully connected graph of n! paths at n vertices  
 $n-1!$  that contain  $n-1$  vertices

$$\sum_{i=1}^n (i)!$$

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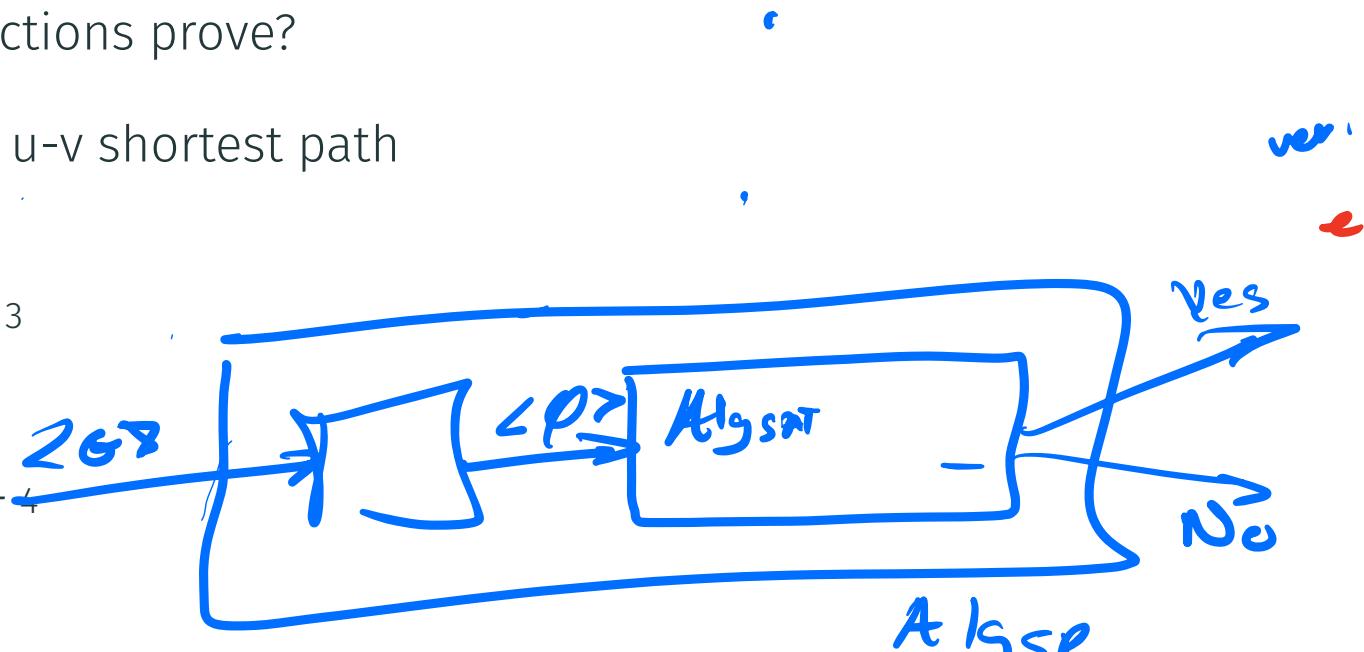
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SP is in NP

SAT  $\leq$  SP



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Assuming a fully connected graph  $n!$  paths of  $n$  vertices ( $n-1$ !) that contain  $n-1$  vertices  $\sum_{i=1}^n (i)!$

# Cantor's diagonalization argument

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# Diagonalization Intro

Published in 1891 by George Cantor, is the proof that sought to answer a single question:

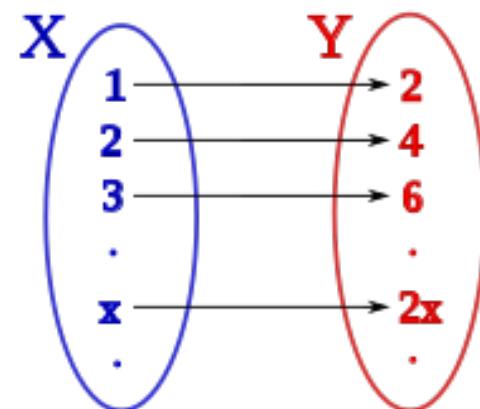
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# Diagonalization Intro

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Are all infinite sets  $(\mathbb{N}, \mathbb{Q}, \mathbb{Z}, \mathbb{R}, \mathbb{C})$  the same size?

Let's say a set is the same size if there is a 1-1 mapping between the two sets:



First we need an anchor point ( $\mathbb{N}$ ). Let's say the set of natural numbers has a particular size  $\aleph_0$

## Countable Sets I

We say the set  $\mathbb{N}$  is countable because you can list out all it's elements systematically:

$$1, 2, 3, 4, 5, 6, \dots \quad (1)$$

## Countable Sets I

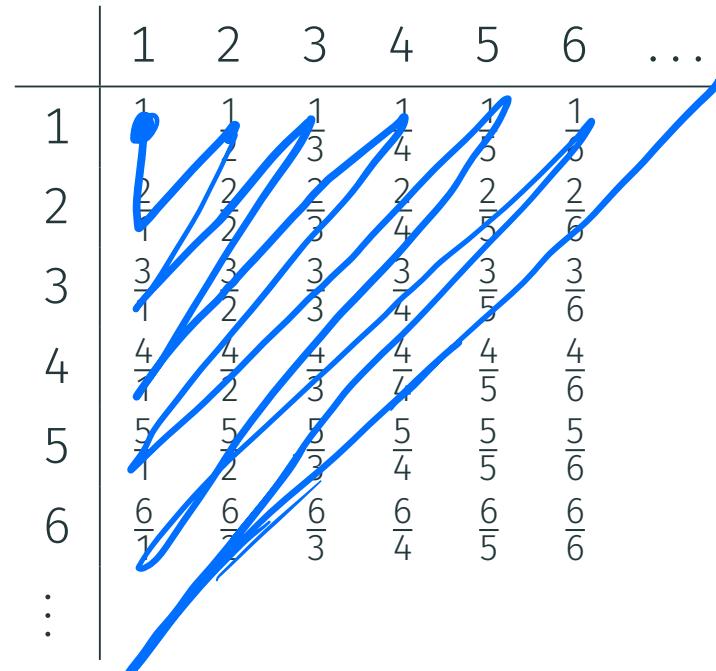
We say the set  $\mathbb{N}$  is countable because you can list out all it's elements systematically:

$$1, 2, 3, 4, 5, 6, \dots \tag{1}$$

Set of integers is also countable

## Countable Sets II

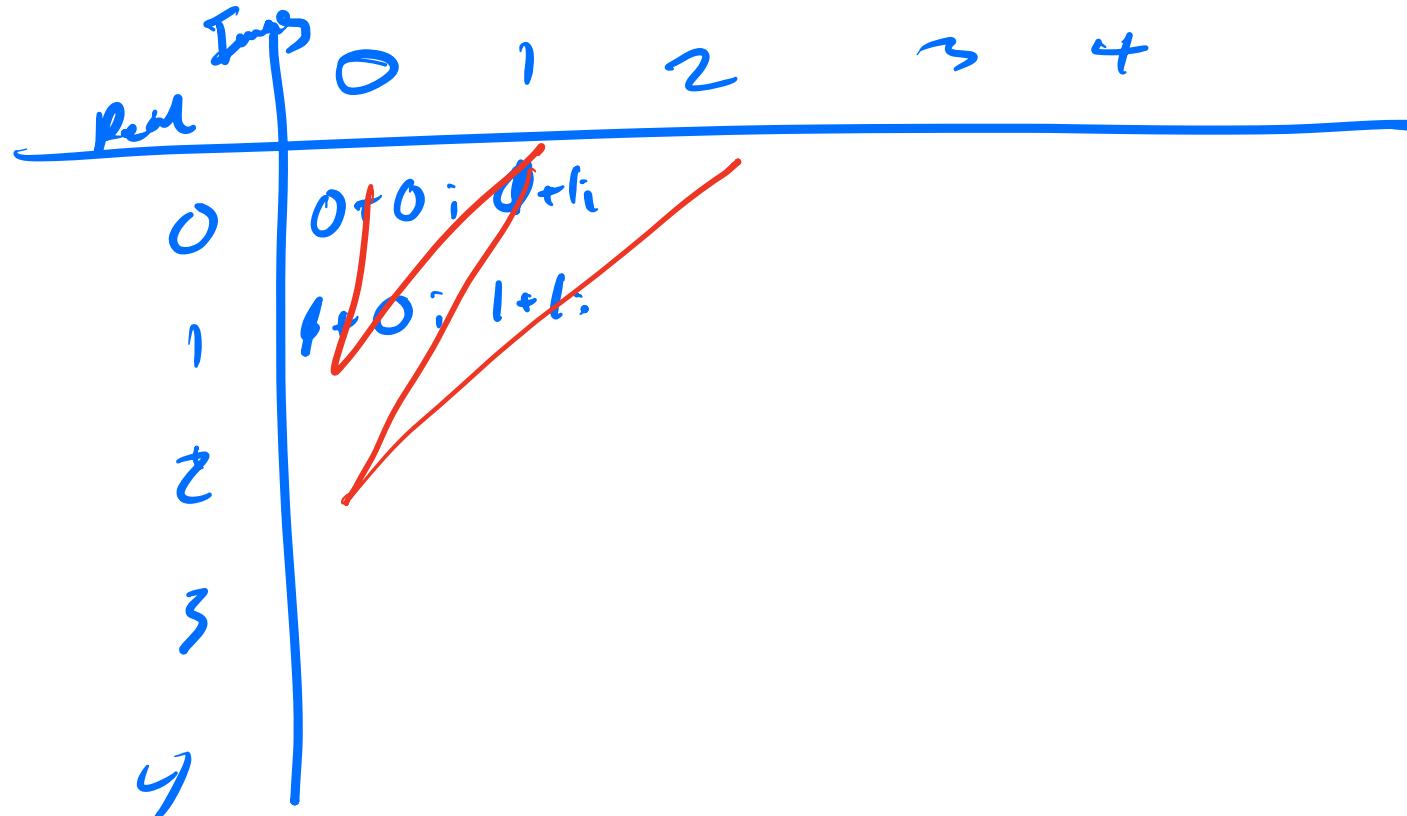
Set of rational numbers is also countable:



Focus on ordering numbers based on the diagonals.

## Countable Sets III

Is the set of complex *integers* countable?



## Countable Sets IV

Is  $\mathbb{R}$  countable?

$[0, 1)$

1	0.	9	8	2	1	2	...
2	0.	4	8	6	8	5	...
3	0.	1	7	3	7	9	
4	0.	0	6	7	2	7	
5	0.	3	2	3	4	8	
6	0.	0	3	2	7	0	
:							

How do we draw a 1-1 mapping between  $\mathbb{N}$  and  $\mathbb{R}$

## Countable Sets IV

Is  $\mathbb{R}$  countable?

1. Assume we have a mapping  
from  $\mathbb{N}$  to  $\mathbb{R}$

	0.	9	8	2	1	2	...
2	0.	4	8	6	8	5	...
3	0.	1	7	3	7	9	
4	0.	0	6	7	2	7	
5	0.	3	2	3	4	8	
6	0.	0	3	2	7	0	
:							
D	0	.	5	8	8	5	1

How do we draw a 1-1 mapping between  $\mathbb{N}$  and  $\mathbb{R}$

## You can not count the real numbers II

$I = (0, 1)$ ,  $\mathbb{N} = \{1, 2, 3, \dots\}$ .

**Claim (Cantor)**

$|\mathbb{N}| \neq |I|$ , where  $I = (0, 1)$ .

**Proof.**

Write every number in  $(0, 1)$  in its decimal expansion. E.g.,

$1/3 = 0.3333333333333333\dots$

Assume that  $|\mathbb{N}| = |I|$ . Then there exists a one-to-one mapping  $f : \mathbb{N} \rightarrow I$ . Let  $\beta_i$  be the  $i^{\text{th}}$  digit of  $f(i) \in (0, 1)$ .

$d_i = \text{any number in } \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\} \setminus \{d_{i-1}, \beta_i\}$

$D = 0.d_1d_2d_3\dots \in (0, 1)$ .

$D$  is a well defined unique number in  $(0, 1)$ ,

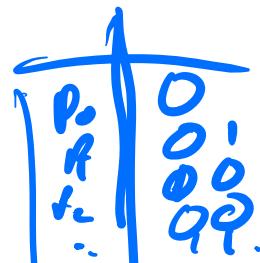
But there is no  $j$  such that  $f(j) = D$ . A contradiction.



# "Most General" computer?

TM

- ~~DFA~~s are simple model of computation.
- ~~Accepts only the regular languages~~
- Is there a kind of computer that can accept any language, or compute any function?
- Recall counting argument. Set of all languages:  
 $\{L \mid L \subseteq \{0,1\}^*\}$  is ~~countably infinite~~ / uncountably infinite
- Set of all programs:  
 $\{P \mid P \text{ is a finite length computer program}\}$ :  
is ~~countably infinite~~ / uncountably infinite.



All possible strings

	$\epsilon$	0	1	00	01	10	11	...
L0	1	0	0	0	0	0	0	...
L1	0	1	0	1	0	1	0	...

## “Most General” computer?

- DFAs are simple model of computation.
- Accept only the regular languages.
- Is there a kind of computer that can accept any language, or compute any function?
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- Set of all programs:  
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is ~~countably infinite~~ / ~~uncountably infinite~~.
- **Conclusion:** There are languages for which there are no programs.

## Program Diagonalization

How do we know that there are languages that cannot be represented by programs? Use Cantor!

# Program Diagonalization

How do we know that there are languages that cannot be represented by programs? Use Cantor! Recall a program can be represented by a string where:

- $M$  is the Turing machine (program)
- $\langle M \rangle$  is the string representation of the TM  $M$

# Program Diagonalization

Define  $f(i, j) = 1$  if  $M_i$  accepts  $\langle M_j \rangle$ , else 0

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\langle M_6 \rangle$	$\dots$
$M_1$	0	1	1	1	1	1	
$M_2$	1	1	0	0	0	0	
$M_3$	0	0	0	1	0	0	
$M_4$	1	1	1	0	1	1	
$M_5$	1	0	0	0	1	0	
$M_6$	0	1	0	1	1	0	
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# Program Diagonalization

Let's define a new program:

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	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	$\langle M_6 \rangle$	$\dots$	$\langle M_D \rangle$
$M_1$	0	1	1	1	1	1		1
$M_2$	1	1	0	0	0	0		1
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$M_D$	1	0	0	0	0	0		0

## Recap of decidability

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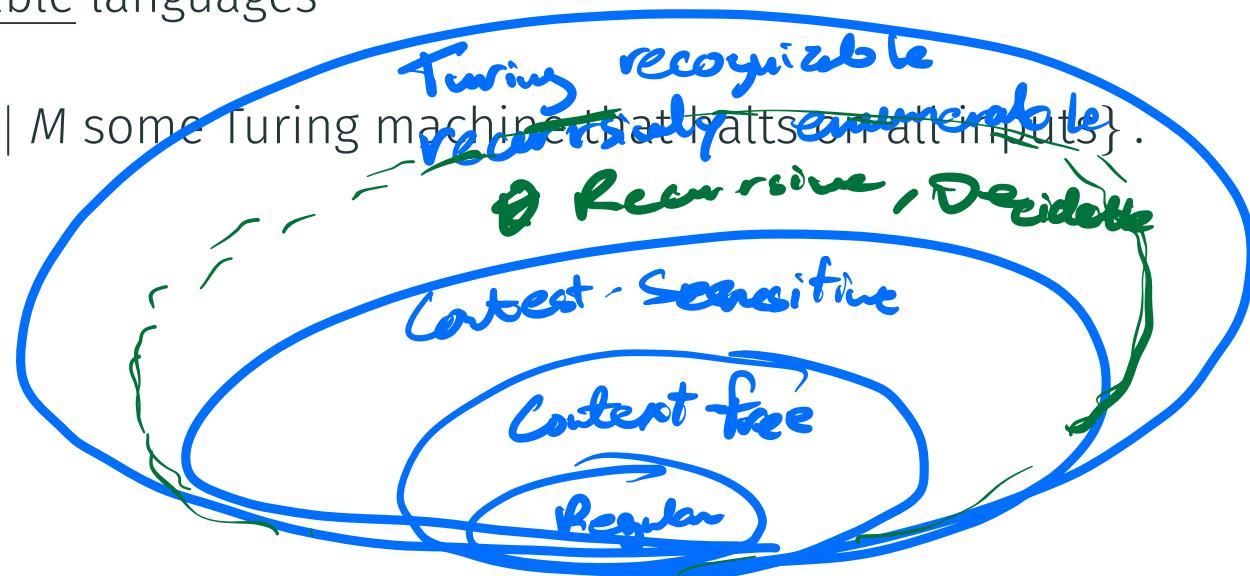
# Recursive vs. Recursively Enumerable

- Recursively enumerable (aka RE) languages

$$L = \{L(M) \mid M \text{ some Turing machine}\}.$$

- Recursive / decidable languages

$$L = \{L(M) \mid M \text{ some Turing machine that halts on all inputs}\}.$$



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- Fundamental questions:
  - What languages are RE?
  - Which are recursive?
  - What is the difference?
  - What makes a language decidable?

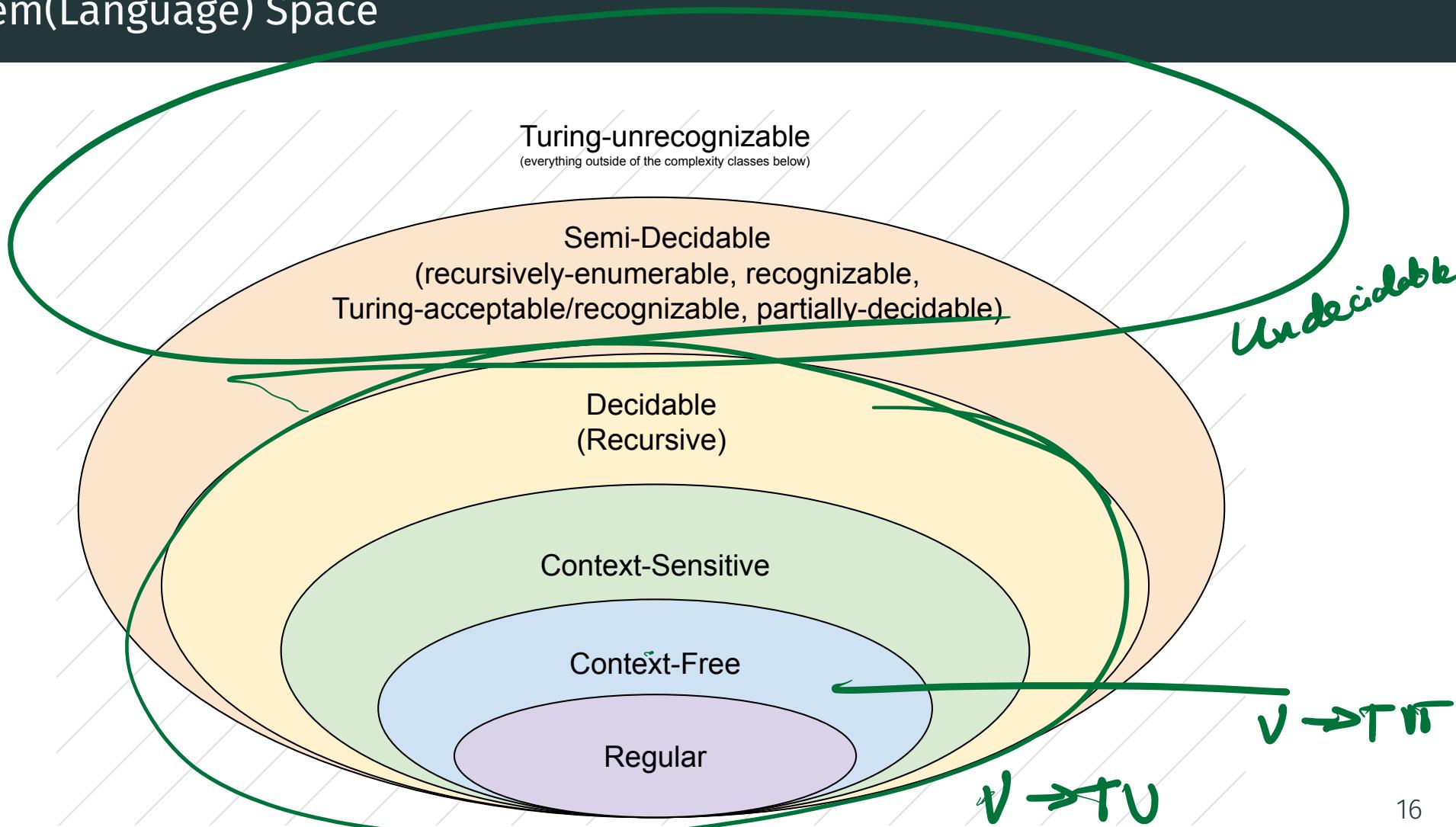
## Decidable vs recursively-enumerable

A semi-decidable problem (equivalent of recursively enumerable) could be:

- **Decidable** - equivalent of recursive (**TM** always accepts or rejects).
- **Undecidable** - Problem is not recursive (doesn't always halt on negative)

There are undecidable problems that are not semi-decidable (recursively enumerable).

# Problem(Language) Space



## Un-/Decidable anchor

Like in the case of NP-complete-ness, we need an anchor point to compare languages to to determine whether they are decidable (or not)!

# Introduction to the halting theorem

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# The halting problem

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**Halting problem:** Given a program  $Q$ , if we run it would it stop?

Q: Can one build a program  $P$ , that always stops, and solves the halting problem.

**Theorem (“Halting theorem”)**

*There is no program that always stops and solves the halting problem.*

# Intuition, why solving the Halting problem is really hard

## Definition

An integer number  $n$  is a weird number if

- the sum of the proper divisors (including 1 but not itself) of  $n$  the number is  $> n$ ,
- no subset of those divisors sums to the number itself.

70 is weird. Its divisors are 1, 2, 5, 7, 10, 14, 35.  $1 + 2 + 5 + 7 + 10 + 14 + 35 = 74$ . No subset of them adds up to 70.

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If can solve halting problem  $\implies$  can resolve this open problem.

# If you can halt, you can prove or disprove anything...

- Consider any math claim  $C$ .
- **Prover** algorithm  $P_C$ :
  - (A) Generate sequence of all possible proofs (sequence of strings) into a pipe/queue.

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  - (C) Feed  $\langle p \rangle$  and  $\langle C \rangle$ , into a proof verifier (“easy”).
  - (D) If  $\langle p \rangle$  valid proof of  $\langle C \rangle$ , then stop and accept.
  - (E) Go to (B).
- $P_C$  halts  $\iff C$  is true and has a proof.
- If halting is decidable, then can decide if any claim in math is true.

# Turing machines...

TM = Turing machine = program.

# Reminder: Undecidability

## Definition

Language  $L \subseteq \Sigma^*$  is undecidable if no program  $P$ , given  $w \in \Sigma^*$  as input, can **always stop** and output whether  $w \in L$  or  $w \notin L$ .

(Usually defined using **TM** not programs. But equivalent.)

## Reminder: The following language is undecidable

Decide if given a program  $M$ , and an input  $w$ , does  $M$  accepts  $w$ . Formally, the corresponding language is

$$A_{TM} = \left\{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \right\}.$$

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### Definition

A decider for a language  $L$ , is a program (or a  $TM$ ) that always stops, and outputs for any input string  $w \in \Sigma^*$  whether or not  $w \in L$ .

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Turing proved the following:

### Theorem

$A_{TM}$  is *undecidable*.

# The halting problem

---

$A_{TM}$  is not TM decidable!

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**Theorem (The halting theorem.)**  
 $A_{TM}$  is not Turing decidable.

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**Theorem (The halting theorem.)**  
 $A_{TM}$  is not Turing decidable.

**Proof:** Assume  $A_{TM}$  is **TM** decidable...

**Halt:** **TM** deciding  $A_{TM}$ . **Halt** always halts, and works as follows:

$$\text{Halt}(\langle M, w \rangle) = \begin{cases} \text{accept} & M \text{ accepts } w \\ \text{reject} & M \text{ does not accept } w. \end{cases}$$

# Halting theorem proof continued 1

We build the following new function:

```
Flipper(⟨M⟩)
  res ← Halt(⟨M, M⟩)
  if res is accept then
    reject
  else
    accept
```

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**Flipper** always stops:

$$\text{Flipper}(\langle M \rangle) = \begin{cases} \text{reject} & M \text{ accepts } \langle M \rangle \\ \text{accept} & M \text{ does not accept } \langle M \rangle . \end{cases}$$

## Halting theorem proof continued 2

$$\text{Flipper}(\langle M \rangle) = \begin{cases} \text{reject} & M \text{ accepts } \langle M \rangle \\ \text{accept} & M \text{ does not accept } \langle M \rangle . \end{cases}$$

**Flipper** is a **TM** (duh!), and as such it has an encoding  $\langle \text{Flipper} \rangle$ . Run **Flipper** on itself:

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Assumption that **Halt** exists is false.  $\implies A_{\text{TM}}$  is not **TM** decidable.

□

# Unrecognizable

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# TM recognizable

## Definition

Language  $L$  is **TM** decidable if there exists  $M$  that always stops, such that  $L(M) = L$ .

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Language  $L$  is **TM recognizable** if there exists  $M$  that stops on some inputs, such that  $L(M) = L$ .

## Theorem (Halting)

$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$ . is **TM recognizable**, but not **decidable**.

$\widehat{A}_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ does not accept } w \}$

# TM recognizable

## Lemma

If  $L$  and  $\bar{L} = \Sigma^* \setminus L$  are both TM recognizable, then  $L$  and  $\bar{L}$  are decidable.

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## Proof.

$M$ : TM recognizing  $L$ .

$M_c$ : TM recognizing  $\bar{L}$ .

Given input  $x$ , using UTM simulating running  $M$  and  $M_c$  on  $x$  in parallel. One of them must stop and accept. Return result.

$\implies L$  is decidable. □

## Complement language for $A_{TM}$

$$\overline{A_{TM}} = \Sigma^* \setminus \left\{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ accepts } w \right\}.$$

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But don't really care about invalid inputs. So, really:

$$\overline{A_{TM}} = \left\{ \langle M, w \rangle \mid M \text{ is a } TM \text{ and } M \text{ does not accept } w \right\}.$$

# Complement language for $A_{TM}$ is not TM-recognizable

Theorem

*The language*

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*is not TM recognizable.*

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**Proof.**

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**Proof.**

$A_{TM}$  is TM-recognizable.

If  $\overline{A_{TM}}$  is TM-recognizable

$\implies$  (by Lemma)

$A_{TM}$  is decidable. A contradiction. □

# Reductions

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**Meta definition:** Problem **X** reduces to problem **B**, if given a solution to **B**, then it implies a solution for **X**. Namely, we can solve **Y** then we can solve **X**. We will done this by  $X \implies Y$ .

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oracle ORAC for language  $L$  is a function that receives as a word  $w$ , returns **TRUE**  
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## Definition

oracle ORAC for language  $L$  is a function that receives as a word  $w$ , returns **TRUE**  
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## Lemma

A language  $X$  reduces to a language  $Y$ , if one can construct a **TM** decider for  $X$  using a given oracle ORAC $_Y$  for  $Y$ .

We will denote this fact by  $X \implies Y$ .

## Reduction proof technique

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- Contradiction  $\text{X}$  is not decidable.
- Thus,  $L$  must be not decidable.

# Reduction implies decidability

## Lemma

Let  $X$  and  $Y$  be two languages, and assume that  $X \Rightarrow Y$ . If  $Y$  is decidable then  $X$  is decidable.

## Proof.

Let  $T$  be a decider for  $Y$  (i.e., a program or a TM). Since  $X$  reduces to  $Y$ , it follows that there is a procedure  $T_{X|Y}$  (i.e., decider) for  $X$  that uses an oracle for  $Y$  as a subroutine. We replace the calls to this oracle in  $T_{X|Y}$  by calls to  $T$ . The resulting program  $T_X$  is a decider and its language is  $X$ . Thus  $X$  is decidable (or more formally TM decidable). □

## The contrapositive...

### **Lemma**

*Let  $X$  and  $Y$  be two languages, and assume that  $X \Rightarrow Y$ . If  $X$  is undecidable then  $Y$  is undecidable.*

# Halting

---

# The halting problem

Language of all pairs  $\langle M, w \rangle$  such that  $M$  halts on  $w$ :

$$A_{\text{Halt}} = \left\{ \langle M, w \rangle \mid M \text{ is a } \textcolor{orange}{TM} \text{ and } M \text{ stops on } w \right\}.$$

Similar to language already known to be undecidable:

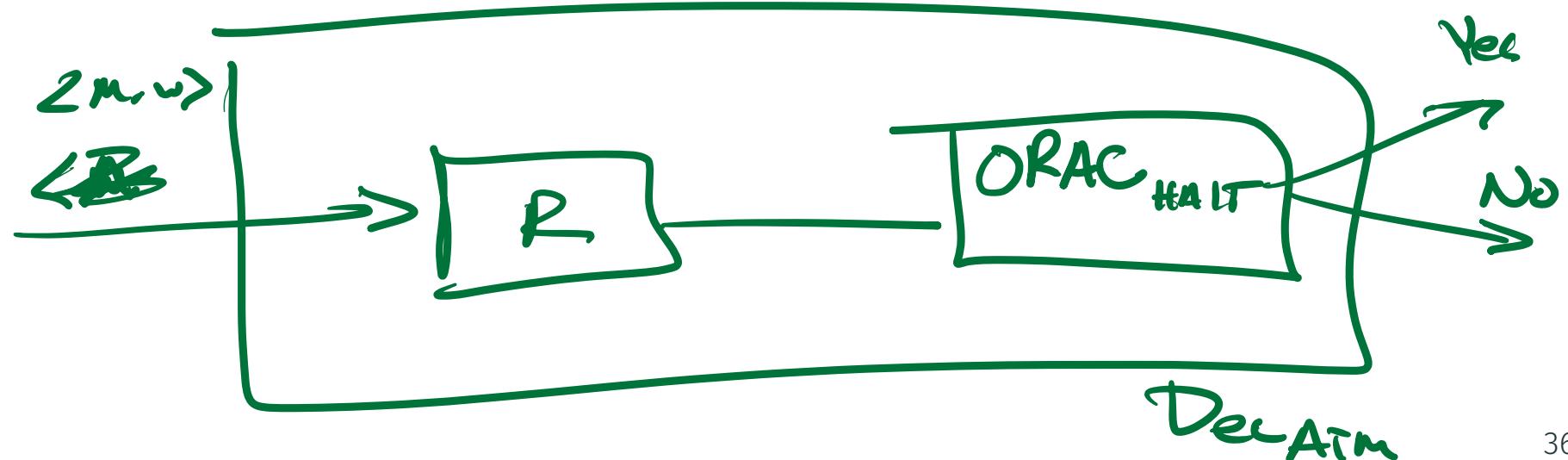
$$A_{\textcolor{orange}{TM}} = \left\{ \langle M, w \rangle \mid M \text{ is a } \textcolor{orange}{TM} \text{ and } M \text{ accepts } w \right\}.$$

On way to proving that Halting is undecidable...

### Lemma

The language  $A_{TM}$  reduces to  $A_{Halt}$ . Namely, given an oracle for  $A_{Halt}$  one can build a decider (that uses this oracle) for  $A_{TM}$ .

$$A_{TM} \xrightarrow{\quad} A_{Halt}$$



# On way to proving that Halting is undecidable...

## Proof.

Let  $\text{ORAC}_{\text{Halt}}$  be the given oracle for  $A_{\text{Halt}}$ . We build the following decider for  $A_{\text{TM}}$ .

```
AnotherDecider- $A_{\text{TM}}(\langle M, w \rangle)$ 
    res  $\leftarrow$   $\text{ORAC}_{\text{Halt}}(\langle M, w \rangle)$ 
    // if  $M$  does not halt on  $w$  then reject.
    if res = reject then
        halt and reject.
    //  $M$  halts on  $w$  since res=accept.
    // Simulating  $M$  on  $w$  terminates in finite time.
    res2  $\leftarrow$  Simulate  $M$  on  $w$ .
    return res2.
```

This procedure always return and as such its a decider for  $A_{\text{TM}}$ . □

# The Halting problem is not decidable

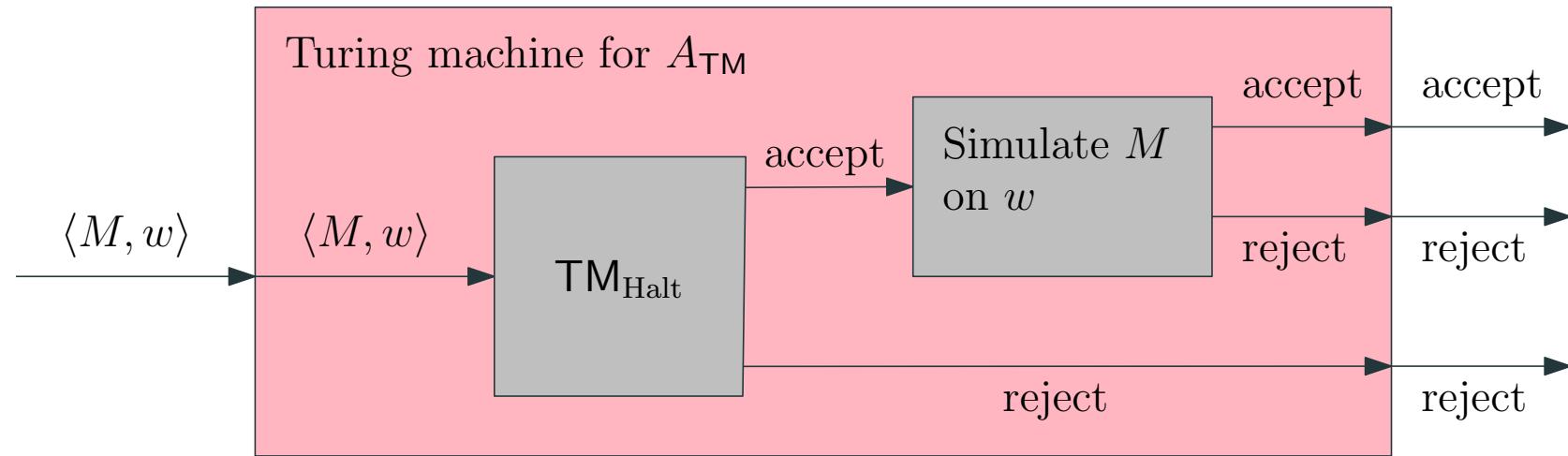
## Theorem

*The language  $A_{\text{Halt}}$  is not decidable.*

## Proof.

Assume, for the sake of contradiction, that  $A_{\text{Halt}}$  is decidable. As such, there is a  $\text{TM}$ , denoted by  $\text{TM}_{\text{Halt}}$ , that is a decider for  $A_{\text{Halt}}$ . We can use  $\text{TM}_{\text{Halt}}$  as an implementation of an oracle for  $A_{\text{Halt}}$ , which would imply that one can build a decider for  $A_{\text{TM}}$ . However,  $A_{\text{TM}}$  is undecidable. A contradiction. It must be that  $A_{\text{Halt}}$  is undecidable. □

The same proof by figure...



... if  $A_{\text{Halt}}$  is decidable, then  $A_{\text{TM}}$  is decidable, which is impossible.

More reductions next time

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