



# **Deep Learning - MAI**

# **Theory - Transformers**

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#### Disclaimer:

Many of the works this lesson is based on have not been thoroughly replicated yet.

Conclusions and interpretations may be unreliable.





## Context

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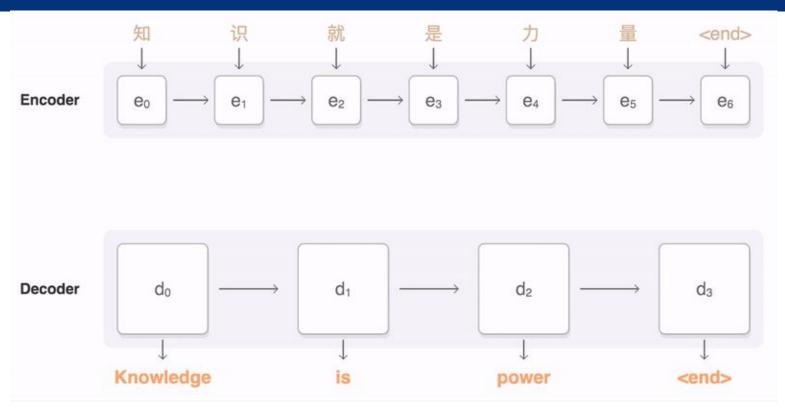
#### From Encoder-Decoder to Attention

- seq2seq limitations
  - Full sentence into a fixed-sized, unique embedding (bottleneck)
  - Different parts of the decoder focus on different parts of the input

- Solution: Attention
  - Let each decoder step decide which part of the input use



#### **Attention overview**





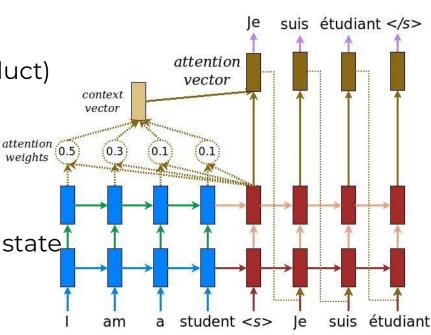


### Seq2seq with attention

- All encoder hidden states as decoder input
- Decoder states...
  - Score prev. hidden states (dot product)
  - Weight by their own probabilities (multuply by softmax value)
  - Sum to make the context vec.
  - Concatenate with hidden decoder state
  - Output and fed to next step

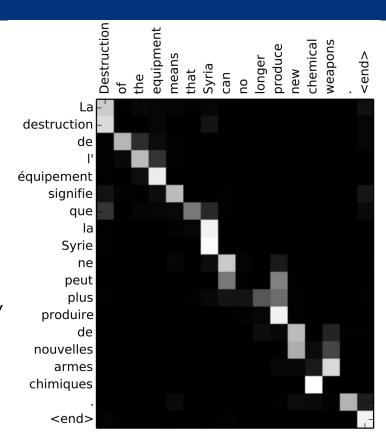






### Why seq2seq with attention

- Enables one different context for each decoding step
  - No fix-sized bottleneck
- Provides shortcuts (better gradient flows)
- More fine-grained -> better interpretability











### **Attention to Transformers**

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#### The limits of RNNs

- The main challenges of RNNs
  - Distances (long, short or both?)
  - Directionality (data accessibility)
  - Lack of focus specificity (all look the same)
  - Poor parallelization
- How can we solve that?
  - As long as we work with sequences, is tough
    - Memory is hard to implement
    - o Computational dependencies by sequential design





#### The Attention revolution

- What if we get rid of the sequence? What if attention is enough?
  - No more sequences, no more memory, no more dependencies
  - Meet the Transformers
- Closer to fully connected than RNNs.
- All tokens processed concurrently (instead of recurrently)
  - Inputs are sets instead of sequences
  - Self-attention for focus





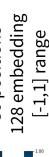
### **Transformers and Order Position**

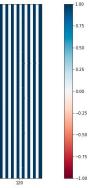
- We need to keep some notion of position
  - Add order information on the input token embedding
  - Token representation changes with position
- Positional encoding through Sinusoidal functions
  - Add the position vector to each embedding
  - Provides consistent distances in the embedding space
    - Regardless of sequence length
    - Bounded range of values
    - Deterministic





[54,55]





#### **How basic attention works**

- Pseudo-limited connectivity (learnt sparsity, dense computation)
- Every input token has its own embedding
- All tokens stacked (e.g., word embeddings) are the input
- Length of token is arbitrary (e.g., 512)
- Number of tokens defined by dataset





### Why attention works

- What should be computed together with input X?
- Learn and use a 'mask'
  - Query for what you want to match (current token)
  - Keys to match the query with (other tokens)
  - Value to be returned (relevance between both)
- Let's do it weightedly, through matrix multiply
  - No dependencies. Parallelism!





#### **Basic attention**

- Three weight matrices
  (Q,K,V) learnt
- Dot product from input embedding of token X and
   Q,K,V matrices
  - Q,K,V vectors for token X
  - Typically smaller dimensionality than token

Input

**Embedding** 

**Oueries** 

Kevs

Values

- Thinking

- k<sub>2</sub>









Machines







WQ









#### **Basic attention**

- Attention of token X on token Y (all with all):
  - Dot product between Q vector of X and K vector of Y
  - Stabilize gradients (square root of vector length)
  - Normalize (apply softmax)
  - Multiply by V vector of Y (weighting Y by relevance of Y w.r.t X)
  - Sum over all Y -> output for X

$$\operatorname{Attention}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \operatorname{softmax}\left(rac{\mathbf{Q}\mathbf{K}^T}{\sqrt{d_k}}
ight)\mathbf{V}$$



### **Multiple Embedding Spaces**

- Multi-headed attention
- Learn different sets of Q,K,V matrices
- Each provides a different view on the data (enforceable on att. weights)
- On output
  - Concat all output embeddings in feature dim.
  - Multiply by another learnt matrix to fit dim.
- Attention heads can be computed in parallel





### **Computing in Parallel**

- Attention relates inputs at arbitrary distance within constant num. ops
  - Close or far away, it's the same
  - Fully-connected style
- ByteNet does so within a logarithmic num. ops (dilated convolutions)
- Convs s2s does so within a linear num. ops
- Retaining memory is more complicated as this grows





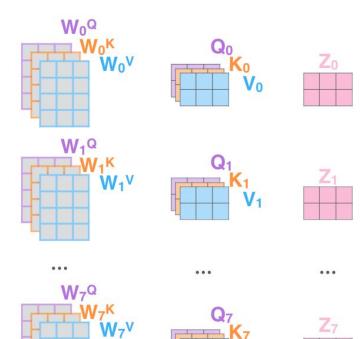
- 1) This is our input sentence\*
- 2) We embed each word\*
- 3) Split into 8 heads. We multiply X or R with weight matrices
- 4) Calculate attention using the resulting Q/K/V matrices
- 5) Concatenate the resulting Z matrices, then multiply with weight matrix W<sup>o</sup> to produce the output of the layer

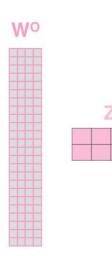
Thinking Machines



\* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one









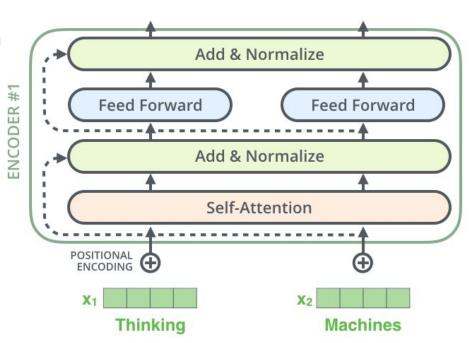


#### The Encoder block

- Self-Attention + Feed Forward
  - Each token follows its own path
- Both with
  - Residual connection
    - To self-attend or not
  - Layer normalization
    - Sample-wise layer-wide mean and var.
- Stack several of these blocks

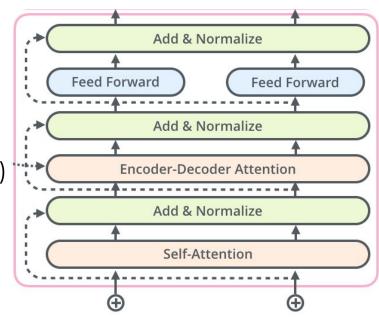






#### The Decoder block

- Same components as encoder
  - Self-Attention in the past only (mask out previous tokens)
  - Encoder-Decoder attention
     (K & V from encoder, Q from prev dec.)
  - Feed Forward, Residual & Norm
- Input: Special token, then previous token (also with pos. encoding)



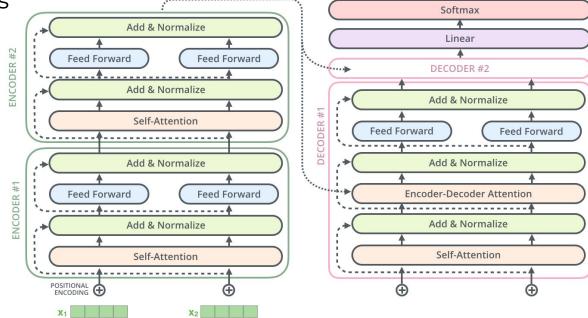




### From input to output

Linear layer for logits (dictionary length!)

Softmax for probabilities



Machines

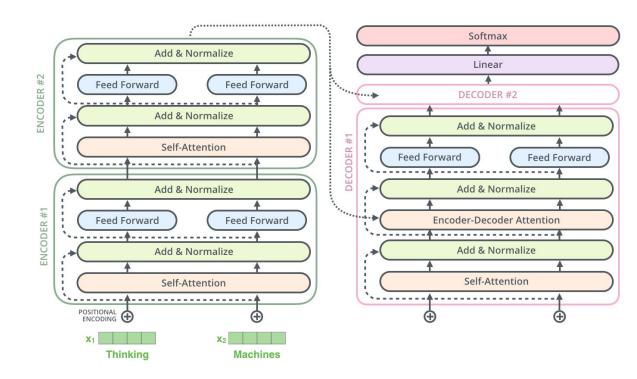




**Thinking** 

#### **General Transformers**

 Without positional encoding, a transformer is a fully connected NN with focus

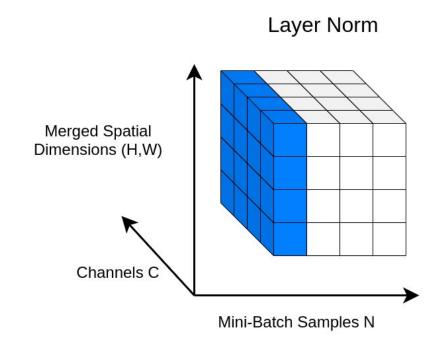






### **Layer Normalization**

- Normalize sample-wise
- Compute mean and std-dev across spatial dimensions (1 for sequences) and channels







### **Loss & Training**

- \* A transformer outputs a vector of probabilities a number of times (?)
  - Cross entropy loss against golden probabilities\*
- Batch training requires padding
- As with RNNs, decoders use
  - Greedy search (explore one path only)
  - Beam search (explore n branches on each step)





#### **Transformer details**

- In the original paper
  - Adam optimizer. Warm-up round and then decay
  - Dropout on residual connections, embeddings sums and pos. enc.
  - Label smoothing



#### **Limitations of Transformers**

- Reduced resolution (averaging attention)
  - Multi-head to circumvent
- Sequence length
  - All tokens must be computed concurrently (for context)
- Computational cost / Complexity
  - All relations are learnt (quadratic self-attention complexity). No limited connectivity by design.



#### A serious issue

- Transformers are efficient, but costly
  - Worthy trade-off?
  - Measuring efficiency
- Interpretability (too many heads)
- Google ethical crisis (Gebru, Bengio, ...)
  - Stochastic parrots
- Interpretability (too many heads) & Bias (too many data)

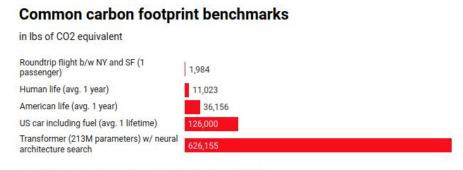


Chart: MIT Technology Review • Source: Strubell et al. • Created with Datawrapper





**Fancy Transformers** 

### **Beyond Encoder-Decoder**

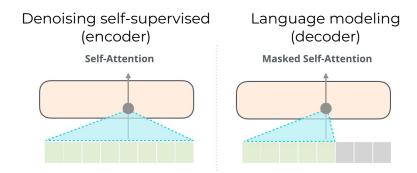
- Encoder-Decoder was inherited from RNN times
- Transformers (aka self-attention) is beyond that
- What works:
  - Pre-train heavy (as in Google-level, Millions of \$)
  - Fine-tune for everything
- The story goes: GPT BERT GPT2 GPT3 ....
- Tell me how do you pre-train and...



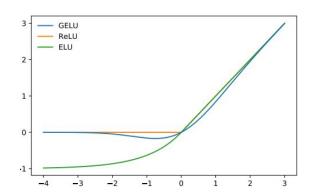


#### The two (main) sides

- Encoder only (BERT)
  - Bidirectional Transformer
  - Gain context (classification \*)
- Decoder only (GPT family)
  - Left to Right Transformer
  - Gain auto-regression (generation \*)



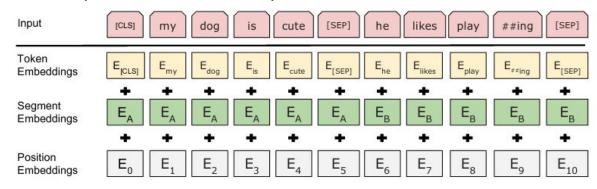
- GELU instead of ReLU
  - Gaussian Error Linear Unit







- For text generation: Encoder only
- Special token to separate sentences, and embedded id (+pos. enc.)
- Train two tasks concurrently
  - Masked LM: Mask 15% of tokens, and try to predict them
  - NSP (Sentence prediction): Is the follow up sentence correct?



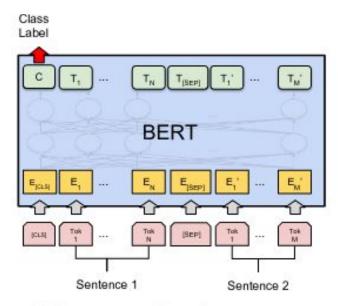




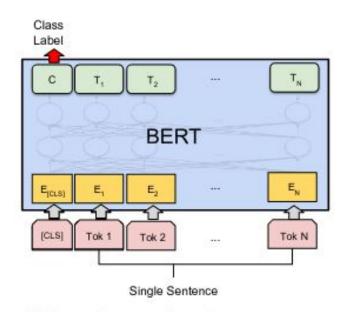
- Pre-train (bulk text) + fine-tuning (paraphrasing, QA, classification, ...)
- ♦ BERT-base:
  - 6 blocks, 12 attention heads, 110M params (4 TPUs 4 days)
- BERT-large
  - 12 blocks, 16 attention heads, 340M params (16 TPUs 4 days)
- Fine-tuning: 1 TPU 1 hour







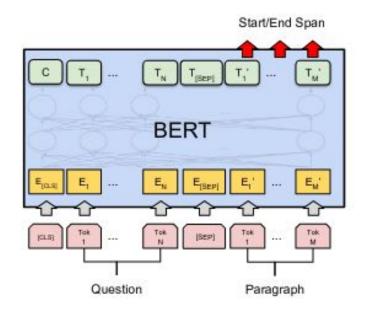
(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG



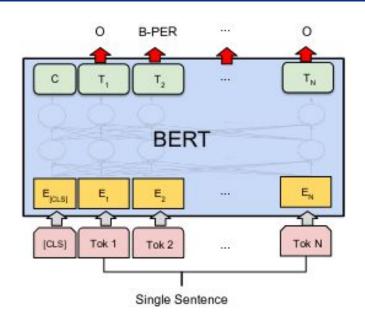
(b) Single Sentence Classification Tasks: SST-2, CoLA







(c) Question Answering Tasks: SQuAD v1.1



(d) Single Sentence Tagging Tasks: CoNLL-2003 NER





#### **Famous Transformers: GPT**

- GPT
  - Pretrain + fine-tune (117 M params)
- ❖ GPT2
  - More data, 48 blocks, zero-shot task/transfer (1,500 M params)
  - 1024 tokens
- GPT3 (& DALL-E)
  - More data, 96 blocks, 96 heads, (175 B params)
  - 2048 tokens





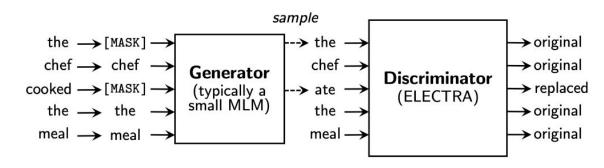
### Pre-training Transformers like GANs

- Masked Language Model (BERT)
  - Limited token efficiency
  - Differences between train/test
- Electra
  - Generator / Discriminator scheme (keep the later)
  - Validate each token
  - Full token efficiency
  - Faster (12x)









#### **Vision Transformers (ViTs)**

- Lack inductive biases implicit in CNNs
  - Translation invariance (weight sharing)
  - Locality (limited connectivity)
- These can be learnt from enough data (14M 300M samples)
  - Mitigable by knowledge distillation soft labels noisy student (?)
- Each pixel attending to each other pixel is unfeasible
  - Several local self-attention mechanisms are being proposed





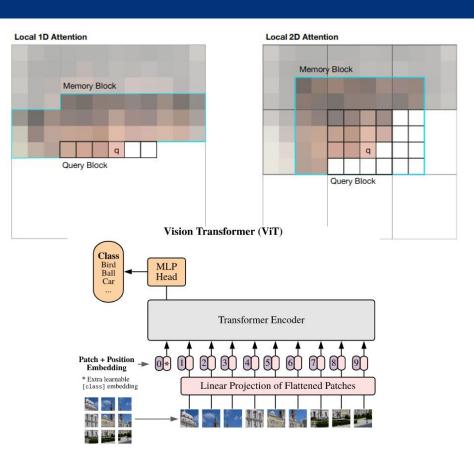
#### **Vision Transformers (ViTs)**

- Doing CNNs with Transformers
  - Self-attention limited spatially
  - Images flattened to 1D
  - Positional encodings
  - Attention bottlenecks
  - Autoencoders





[70,71,77,78]



#### So what are Transformers?

- Great models for processing data which can be represented as a set of independent numerical features
  - More powerful and smarter version of FFN nets
  - If computation and data availability allows!
- Capable of including location info through Positional Encodings
- Can be good for sequences (the shorter the better). Not for streams, recursion and hierarchies.
- The biggest hammer out there right now





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