



# **Deep Learning - MAI**

# **Theory - RNNs**

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Context
Vanilla RNNs
Advanced RNNs
RNNs extensions





## Context

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#### The Sequence

- Fully-connected and CNNs\* have fixed inputs and outputs
- What if we have a variable shape input? Or output?
- Given a sequence (Text, video, audio, signal, bioinformatics...)
  - Learn the relations between the symbols that compose it
- \* CNNs can have a certain degree of variable inputs/outputs thanks to convolution

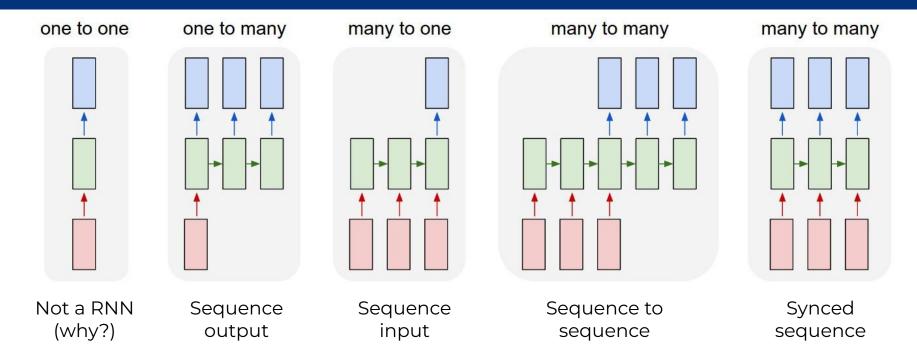


#### What do we want

- Sequences can be processed using traditional methods (e.g., sliding windows), but sequences need to have the same length
- We want to...
  - process sequences of arbitrary length
  - provide different mappings (one to many, many to one, ...)



#### The ways of the sequence



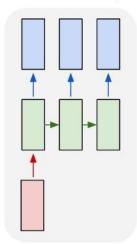




#### One to many

Image captioning

#### one to many





"man in black shirt is playing guitar."



"construction worker in orange safety vest is working on road."



"two young girls are playing with lego toy."

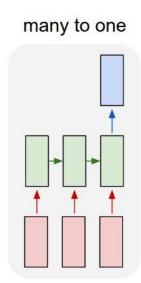


### Many to one

- Sentiment analysis
  - My flight was just delayed, s\*\*t ⇒ Negative
  - Never again BA, thanks for the dreadful flight ⇒ Negative
  - We arrived on time, yeehaaa! ⇒ Positive
  - Another day, another flight ⇒ Neutral
  - Efficient, quick, delightful, always with BA ⇒ Positive





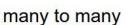


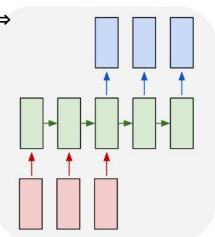
#### **Sequence to Sequence**

- Automatic translation
  - [How, many, programmers, for, changing, a,lightbulb,?] ⇒
  - [Wie, viele, Programmierer, zum, Wechseln, einer,Gl ühbirne,?] ⇒
  - [Combien, de, programmeurs, pour, changer, une,ampoule,?] ⇒
  - [¿,Cuantos, programadores, para, cambiar,una,bombilla,?] ⇒
  - [Zenbat, bonbilla, bat, aldatzeko,programatzaileak,?]





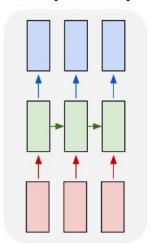




### **Synced Sequence**

#### Frame classification

many to many













### Vanilla RNNs

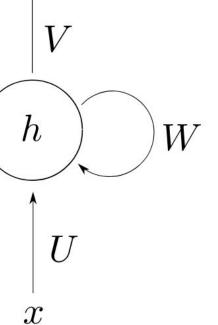
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#### What makes a RNN?

- Recurrent Neural Networks are feed-forward networks with edges that span adjacent time steps (recurrent edges)
- On each step, a neuron receives inputs from data (as usual) and from previous time steps (history)
- In other words, previous time steps can influence future time steps
- RNNs are universal function approximators (Turing Complete)





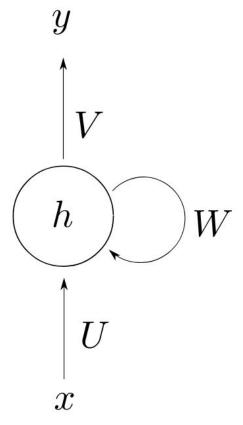


#### Who is who

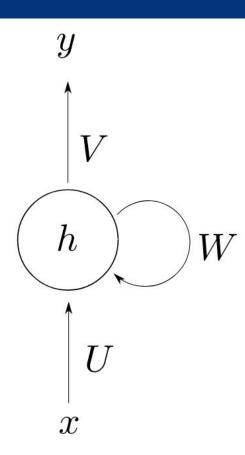
- RNNs Input (x) is a vector of values for time t
- The hidden node (h) stores the state
- Weights are shared through time (input independent!)
- Each step the computation uses the previous step
- RNN are a deep network that stacks layers through time







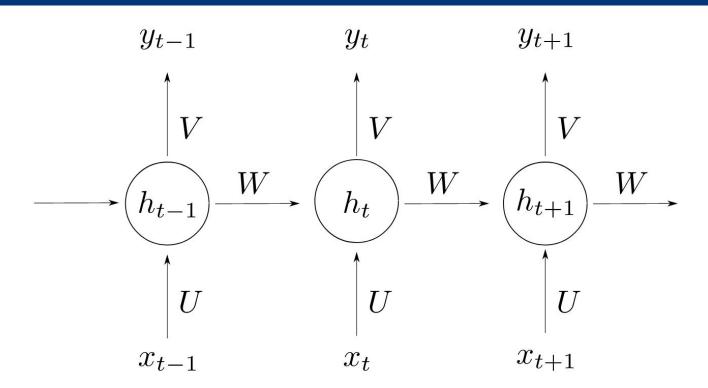
### Before unrolling







### After unrolling

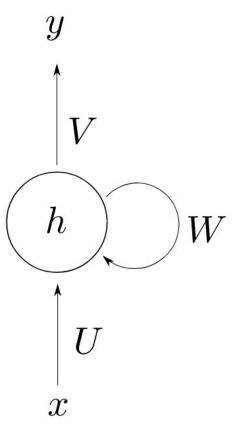






### The computation

$$a^{(t)} = b + W \cdot h^{(t-1)} + U \cdot x^{(t)}$$
 $h^{(t)} = tanh(a^{(t)})$ 
 $y^{(t)} = c + V \cdot h^{(t)}$ 







#### **Practical Tips I**

The power of RNNs lies in the unrolling, not in depth

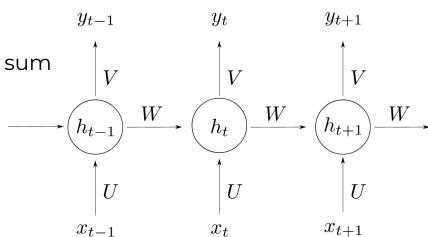
- RNNs with many layers are very expensive to compute
- Plus, the real challenge is in the memory
- Activation functions
  - Tanh is better than sigmoid
  - ReLU is also popular
- When working with text, inputs are most frequently word embeddings





#### **How to train RNNs**

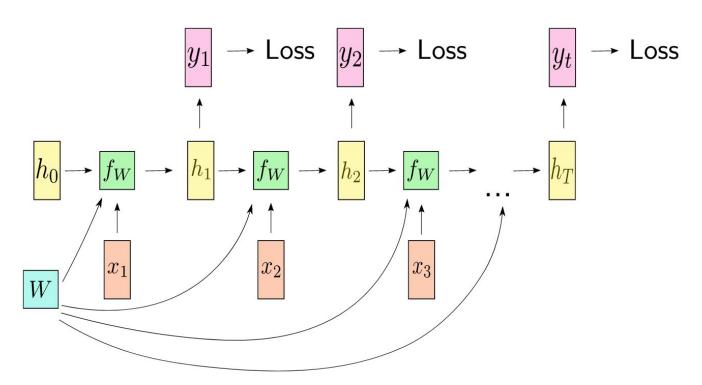
- Backprop + SGD on the unfolded sequence
  - Compute activations and gradient
- Backpropagation Through Time (BPTT) by sum
- Input is limited in time to limit cost
  - Truncated BPTT
  - Influence limited to a time horizon







### **Combining losses**







#### **Training issues**

- Sharing weights, with longer sequences, easily yields
  - Vanishing gradient (favours close by patterns wrt distant ones)
  - Exploding gradient
- Clipping gradients to prevent exploding
  - Scale gradient if the norm is above a threshold
- Vanishing gradients, next





### **Key features of RNNs**

- Can process any length of input
  - For fixed sized inputs, consider other options
- Implements memory by design
- Model complexity is independent of input length
- All inputs processed with the same weights reuse knowledge





### **RNNs** inputs

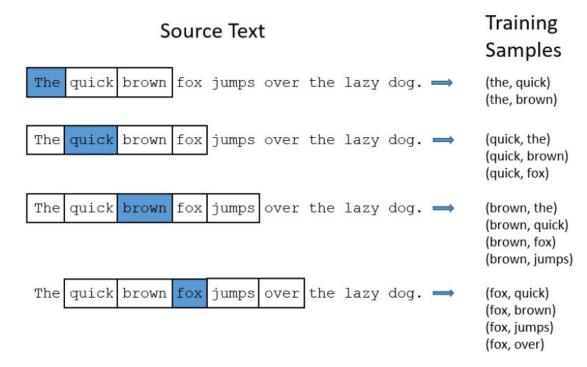
- Word embeddings!
  - Language models
  - Unsupervised learning
  - Shallow NN
- word2vec vs glove (nobody cares anymore)
  - Expensive to train





#### Word embedding task

- Words are defined by their context
- Endless source of training data
- Use a sliding window of fixed length

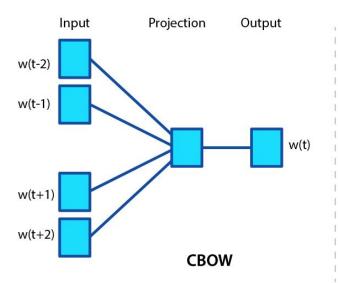




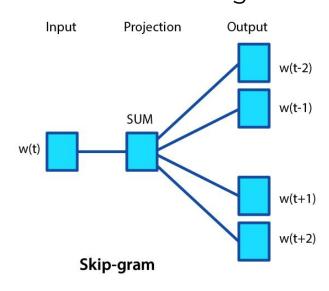


### Word embedding models

Predict word given context



Predict context given word



Faster and slightly better for frequent words

Good for little training data and rare word representation





### Word embedding properties

- Word similarity (cosine distance among vectors)
- Regularities
  - Add & Substract
- So much bias...
- Go play

Relationship	Example 1	Example 2	Example 3
France - Paris	Italy: Rome	Japan: Tokyo	Florida: Tallahassee
big - bigger	small: larger	cold: colder	quick: quicker
Miami - Florida	Baltimore: Maryland	Dallas: Texas	Kona: Hawaii
Einstein - scientist	Messi: midfielder	Mozart: violinist	Picasso: painter
Sarkozy - France	Berlusconi: Italy	Merkel: Germany	Koizumi: Japan
copper - Cu	zinc: Zn	gold: Au	uranium: plutonium
Berlusconi - Silvio	Sarkozy: Nicolas	Putin: Medvedev	Obama: Barack
Microsoft - Windows	Google: Android	IBM: Linux	Apple: iPhone
Microsoft - Ballmer	Google: Yahoo	IBM: McNealy	Apple: Jobs
Japan - sushi	Germany: bratwurst	France: tapas	USA: pizza

https://projector.tensorflow.org/

https://ronxin.github.io/wevi/









### **Advanced RNNs**

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#### The limitations

- RNNs keep multiplying the same weight matrix over and over, which makes it error prone
- Keeping long term relations are hard for RNN
- The state has to encode both short and long term relations, which gets complicated as input sequences grow
- What if we make the state more powerful?





#### **LSTM**

- Long-Short Term Memory
  - In addition to the hidden state, add a cell state
  - Hidden state stores short-term info (large updates)
  - Cell state stores long-term info (small updates)
  - Include gate operators to erase, write and read from the cell
- Gates allow regulating the cell state, deciding the operation (e/r/w), its target (cell state segment) and magnitude (gate in range [0,1]), based on context

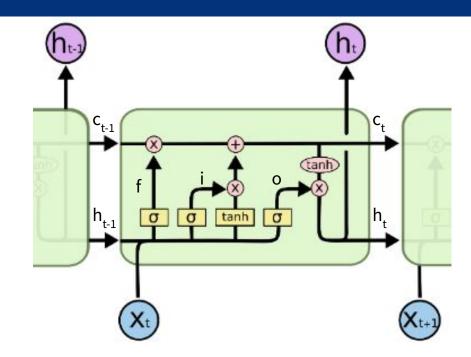




#### **Inside a LSTM**

Forget gate: What is kept in the cell

- Input gate: What is added to the cell
  - $\bullet \quad i_t = \sigma(W_i \cdot [h_{t-1}, X_t])$
  - $\hat{c}_t = tanh(W_c \cdot [h_{t-1}, x_t])$  (new content)
- Output gate: What is passed to the hidden
  - $\bullet_{t} = \sigma(W_{o} \cdot [h_{t-1}, X_{t}])$
  - $c_t = f_t c_{t-1} + i_t \times \hat{c}_t \text{ (new cell state)}$



 $h_t = o_t \times tanh(c_t)$  (new hidden state)





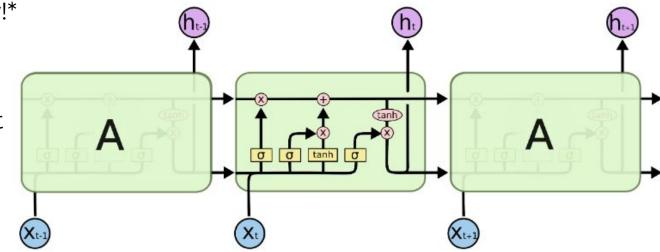
### Why do LSTM work

If forget gate is set to 0 (remember everything), long-term relations are always kept

It includes a sort of short-cut, as long as you do not forget everything,

gradient will flow!\*

\* No more W exp, but sigmoid can still vanish the gradient







#### **Gated Recurrent Units**

- A simplified version of LSTMs (thanks!)
  - No cell state
  - Update gate: What in the hidden state is updated/left as it is
    - LSTMs forget gate + input gate
  - Reset gate: Which part of the previous hidden state are used
    - Close to 1: Previous state has more relevance
    - Close to 0: New state has more relevance





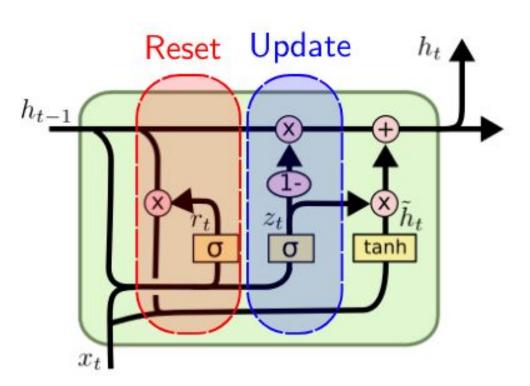
#### Inside a GRU

- Update gate: How to change hidden state \*\*
  - $z_{t} = \sigma(W_{\tau} \cdot [h_{t-1}, X_{t}])$
- Reset gate: How to combine \*
  - $\mathbf{r}_{t} = \mathbf{\sigma}(\mathsf{W}_{r} \cdot [\mathsf{h}_{t-1}, \mathsf{x}_{t}])$
- New hidden state content
  - $\hat{h}_{t} = tanh(W_{h} \cdot [r_{t} \times h_{t-1}, X_{t}])$
- Hidden state output
  - $h_{t} = (1-z_{t}) \times h_{t-1} + z_{t} \times \hat{h}_{t}$









#### Vanilla RNN vs LSTM vs GRU

- Of all RNN variants, LSTMs and GRUs are the most widely used
  - Vanilla falls short in complex tasks, lacking long memory capacity
- Main difference between LSTM and GRUs: Complexity
  - Training GRUs is faster and includes less parameters
- Performance-wise, there are no consistent results





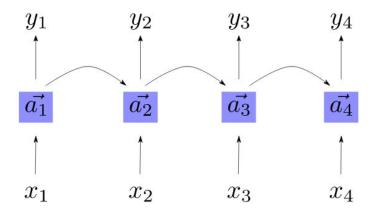


## **RNNs Extensions**

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#### **Bidirectional RNNs**

Hidden states encode past states to influence current state



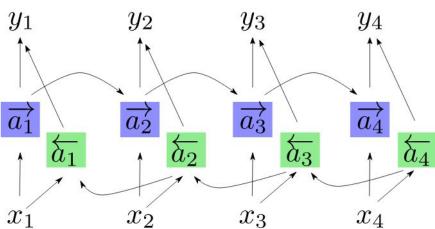
- What about future states? What if read from end to start?
  - PoS tagging, machine translation, speech/handwritting recognition





#### **Bidirectional RNNs**

- "Reading" in both directions at the same time
- Two RNNs, one in each direction, with different weights, concatenating their outputs





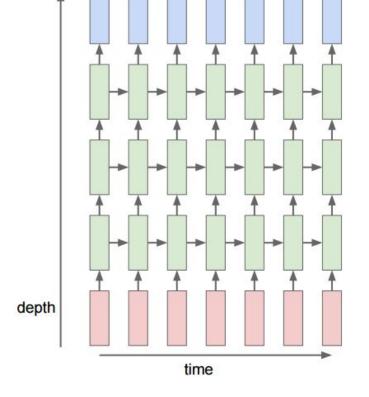
# Training Bidirectional RNNs

- Both RNNs trained concurrently, but dependencies must be respected
  - Forward: Compute output of both RNNs and combine step by step
  - Backward: Compute gradient of both RNNs and combine step by step
- ❖ If possible (complete input sequence available), use bidirectionality



## Multi-layer RNNs

- So far, only one layer used
  - Depth is provided by unrolling.
- Multi-layer RNNs
  - Stacking layers (rarely more than 4)
  - Provide extra abstraction capacity
  - A computational nightmare

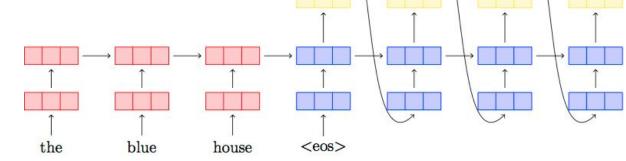






## **Encoder-Decoder RNNs (seq2seq)**

- Sequence to sequence of different length (Neural Machine Translation)
- One RNN encodes words within their context
- Generates a sentence embedding
- One RNN decodes embeddings into words
- Start and end tokens



casa





# **Encoder-Decoder practical details**

- **Applications** 
  - Automatic language translation
  - Dialogue generation
  - Document summarization
  - Automatic response generation
  - Input parsing







- Each decoding step generates one loss
- Negative log-likelihood of the true outcome at that point
- Average all losses at each step
- Decoder feedback replaced by true outcome

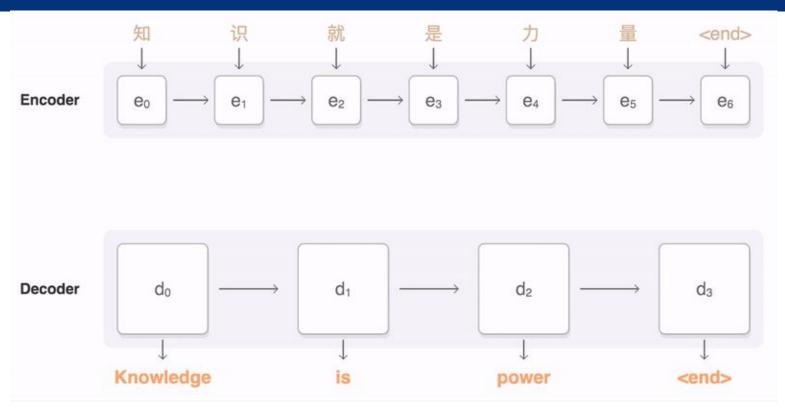
#### From Encoder-Decoder to Attention

- seq2seq limitations
  - Full sentence into a fixed-sized, unique embedding (bottleneck)
  - Different parts of the decoder focus on different parts of the input
  - Greedy decoding: Carrying on errors
  - Beam search decod.: Keep top k branches, find most probable path
- Solution: Attention
  - Let each decoder step decide which part of the input use





#### **Attention overview**





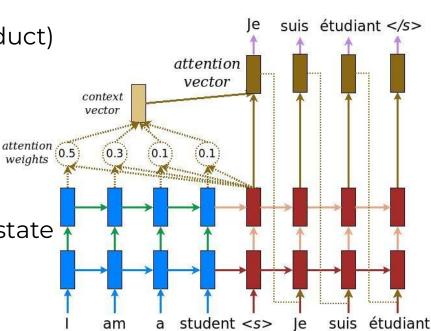


#### Seq2seq with attention

- Each decoder state
  - Scores prev. hidden states (dot product)
  - Turn into probabilities (softmax)
  - Sum to make the context vec.
  - Concatenate with hidden decoder state
  - Output and fed to next step

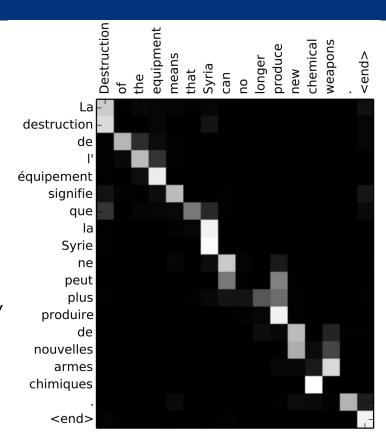






## Why seq2seq with attention

- Enables one different context for each decoding step
  - No fix-sized bottleneck
- Provides shortcuts (better gradient flows)
- More fine-grained -> better interpretability







## A typical RNN model today...

"An encoder-decoder bidirectional, multilayered LSTM-based RNN with an attention mechanism"





#### References

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