### Mathematical and Logical Foundations of Computer Science

Lecture 12 - Predicate Logic (Natural Deduction Proofs)

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(some slides were adapted from Rajesh Chitnis' slides)

University of Birmingham

## Where are we?

- Symbolic logic
- Propositional logic
- ► Predicate logic
- ► Constructive vs. Classical logic
- Type theory

# Today

- Natural Deduction proofs for Predicate Logic
- ▶ ∀/∃ rules
- substitution

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### Further reading:

Chapter 8 of http://leanprover.github.io/logic\_and\_proof/

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We can write this argument as  $\forall x.(p(x) \rightarrow q(x)), p(s) \vdash q(s)$ 

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- Constant: s which stands for Socrates

The syntax of predicate logic is defined by the following grammar:

$$\begin{array}{ll} t & ::= & x \mid f(t,\ldots,t) \\ P & ::= & p(t,\ldots,t) \mid \neg P \mid P \land P \mid P \lor P \mid P \to P \mid \forall x.P \mid \exists x.P \end{array}$$

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#### where:

- x ranges over variables
- f ranges over function symbols
- $f(t_1, \ldots, t_n)$  is a well-formed term only if f has arity n
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The scope of a quantifier extends as far right as possible. E.g.,  $P \wedge \forall x. p(x) \vee q(x)$  is read as  $P \wedge \forall x. (p(x) \vee q(x))$ 

## Recap: Examples

### Consider the following domain and signature:

- ▶ Domain: N
- Functions:  $0, 1, 2, \ldots$  (arity 0); + (arity 2)
- ▶ Predicates: prime, even, odd (arity 1); =, >,  $\geqslant$  (arity 2)

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- Functions:  $0, 1, 2, \ldots$  (arity 0); + (arity 2)
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### Express the following sentences in predicate logic

▶ All prime numbers are either 2 or odd.

$$\forall x. \mathtt{prime}(x) \to x = 2 \lor \mathtt{odd}(x)$$

Every even number is equal to the sum of two primes.

$$\forall x. \mathtt{even}(x) \rightarrow \exists y. \exists z. \mathtt{prime}(y) \land \mathtt{prime}(z) \land x = y + z$$

▶ There is no number greater than all numbers.

$$\neg \exists x. \forall y. x \geqslant y$$

All numbers have a number greater than them.

$$\forall x. \exists y. y > x$$

### Domain is people, and we have 6 predicates

 $\mathsf{politician}(x) \hspace{0.2cm} \mathsf{rich}(x) \hspace{0.2cm} \mathsf{crazy}(x) \hspace{0.2cm} \mathsf{trusts}(x,y) \hspace{0.2cm} \mathsf{knows}(x,y) \hspace{0.2cm} \mathsf{related-to}(x,y)$ 

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- Nobody trusts a politician.
- Anyone who trusts a politician is crazy.
- Everyone knows someone who is related to a politician.
- Everyone who is rich is either a politician or knows a politician.

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- ▶ Everyone who is rich is either a politician or knows a politician.  $\forall x. \text{rich}(x) \rightarrow \text{politician}(x) \lor \exists y. \text{knows}(x,y) \land \text{politician}(y)$

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The set of variables occurring free/bound in a terms and formulas is recursively computed as follows:

fv(x)	=	$\{x\}$			
$fv(f(t_1,,t_n))$	=	$fv(t_1) \cup \cup fv(t_n)$			
$\mathtt{fv}(p(t_1,,t_n))$	=	$\mathtt{fv}(t_1) \cup \cup \mathtt{fv}(t_n)$	$\mathtt{bv}(p(t_1,,t_n))$	=	Ø
$fv(\neg P)$	=	fv(P)	$bv(\neg P)$	=	bv(P)
$fv(P_1 \wedge P_2)$	=	$fv(P_1) \cup fv(P_2)$	$bv(P_1 \wedge P_2)$	=	$bv(P_1) \cup bv(P_2)$
$fv(P_1 \vee P_2)$	=	$fv(P_1) \cup fv(P_2)$	$bv(P_1 \lor P_2)$	=	$\mathtt{bv}(P_1) \cup \mathtt{bv}(P_2)$
$fv(P_1 \rightarrow P_2)$	=	$fv(P_1) \cup fv(P_2)$	$bv(P_1 \rightarrow P_2)$	=	$\mathtt{bv}(P_1) \cup \mathtt{bv}(P_2)$
$fv(\forall x.P)$	=	$fv(P)\backslash\{x\}$	$bv(\forall x.P)$	=	$bv(P) \cup \{x\}$
$fv(\exists x.P)$	=	$fv(P)ackslash\{x\}$	$bv(\exists x.P)$	_	$bv(P) \cup \{x\}$

#### What are the free variables of the following formulas

$$P_1 = (\operatorname{odd}(x) \land \exists y.y < x \land \operatorname{odd}(y))$$

$$P_2 = (\operatorname{odd}(x) \land x > y \land \exists y.y < x \land \operatorname{odd}(y))$$

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The formula  $(\text{odd}(x) \land x > y \land \exists y.y < x \land \text{odd}(y))$  is considered the same as  $(\text{odd}(x) \land x > y \land \exists z.z < x \land \text{odd}(z))$ 

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Trickier than inference rules from propositional logic!

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We need to be careful with free and bound variables!

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What can we conclude from the fact that P is true for all x?

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For any element of the domain t, we can deduce that P is true where x is replaced by t is true

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- ▶ For any element of the domain *t*, we can deduce that *P* is true where *x* is replaced by *t* is true
- ► This "replacing" operation is a **substitution** operation as seen in lecture 2.

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- ▶ For any element of the domain *t*, we can deduce that *P* is true where *x* is replaced by *t* is true
- ► This "replacing" operation is a **substitution** operation as seen in lecture 2.
- ▶ However, we now have to be careful with free/bound variables.

Substitution is defined recursively on terms and formulas:  $P[x \backslash t]$  substitute all the free occurrences of x in P with t.

Substitution is defined recursively on terms and formulas:

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# 1st attempt (WRONG)

$$\begin{array}{rclcrcl} x[x \backslash t] & = & t \\ x[y \backslash t] & = & x \\ (f(t_1, \ldots, t_n))[x \backslash t] & = & f(t_1[x \backslash t], \ldots, t_n[x \backslash t]) \\ (p(t_1, \ldots, t_n))[x \backslash t] & = & p(t_1[x \backslash t], \ldots, t_n[x \backslash t]) \\ \hline (-P)[x \backslash t] & = & -P[x \backslash t] \\ (P_1 \wedge P_2)[x \backslash t] & = & P_1[x \backslash t] \wedge P_2[x \backslash t] \\ (P_1 \vee P_2)[x \backslash t] & = & P_1[x \backslash t] \vee P_2[x \backslash t] \\ (P_1 \rightarrow P_2)[x \backslash t] & = & P_1[x \backslash t] \rightarrow P_2[x \backslash t] \\ \hline (\forall x.P)[x \backslash t] & = & \forall x.P \\ \hline (\exists x.P)[x \backslash t] & = & \exists x.P \\ (\forall y.P)[x \backslash t] & = & \forall y.P[x \backslash t] \\ \hline (\exists y.P)[x \backslash t] & = & \exists y.P[x \backslash t] \\ \hline \end{array}$$

Substitution is defined recursively on terms and formulas:

 $P[x \mid t]$  substitute all the free occurrences of x in P with t.

## 1st attempt (WRONG)

$$\begin{aligned} x[x \setminus t] &= t \\ x[y \setminus t] &= x \\ (f(t_1, \dots, t_n))[x \setminus t] &= f(t_1[x \setminus t], \dots, t_n[x \setminus t]) \\ (p(t_1, \dots, t_n))[x \setminus t] &= p(t_1[x \setminus t], \dots, t_n[x \setminus t]) \\ (-P)[x \setminus t] &= -P[x \setminus t] \\ (P_1 \wedge P_2)[x \setminus t] &= P_1[x \setminus t] \wedge P_2[x \setminus t] \\ (P_1 \vee P_2)[x \setminus t] &= P_1[x \setminus t] \vee P_2[x \setminus t] \\ (P_1 \rightarrow P_2)[x \setminus t] &= P_1[x \setminus t] \rightarrow P_2[x \setminus t] \\ (\forall x. P)[x \setminus t] &= \forall x. P \\ (\exists x. P)[x \setminus t] &= \exists x. P \\ (\forall y. P)[x \setminus t] &= \exists y. P[x \setminus t] \\ (\exists y. P)[x \setminus t] &= \exists y. P[x \setminus t] \end{aligned}$$

Why is this wrong?

Substitution is defined recursively on terms and formulas:

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Why is this wrong?  $(\forall y.y > x)[x \setminus y]$  would return  $\forall y.y > y$ , where the free y is now bound! The free y got captured! The red occurrences of y stand for different variables than the green ones.

Substitution is defined recursively on terms and formulas:  $P[x \backslash t]$  substitute all the free occurrences of x in P with t.

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The additional conditions ensure that free variables do not get captured.

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 $P[x \setminus t]$  substitute all the free occurrences of x in P with t.

#### 2nd attempt (CORRECT)

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The additional conditions ensure that free variables do not get captured.

These conditions can always be met by silently renaming bound variables before substituting.

The correct rule is:

$$\frac{\forall x.P}{P[x \backslash t]} \quad [\forall E]$$

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**Example**: consider the formula  $\forall x. \exists y. y > x$ 

True over domain of natural numbers

The correct rule is:

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- P is  $\exists y.y > x$

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- ightharpoonup P is  $\exists y.y > x$
- ightharpoonup Let t be y

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- ▶ Therefore, we first rename bound variables that clash with fv(t), i.e., with y:  $\exists z.z > x$

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- ▶ This condition guarantees that we can do the substitution
- Substituting x with y without renaming bound variables would give the wrong answer (see previous slide)
- ▶ Therefore, we first rename bound variables that clash with fv(t), i.e., with y:  $\exists z.z > x$
- ▶ Then, we substitute:  $\exists z.z > y$

$$\frac{?}{\forall x.P}$$
  $[\forall I]$ 

$$\frac{?}{\forall x.P}$$
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When can we conclude P is true for all x?

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If we have proved P for a "general/representative/typical" variable

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When can we conclude P is true for all x?

If we have proved P for a "general/representative/typical" variable

$$\frac{P[x \backslash y]}{\forall x. P} \quad [\forall I]$$

**Condition**: y must not be free in any not-yet-discharged hypothesis or in  $\forall x.P$ 

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**Condition**: y must not be free in any not-yet-discharged hypothesis or in  $\forall x.P$ 

What could go wrong without this condition?

Otherwise, given the assumption y > 2, we could derive  $\forall x.x > 2$ , which is clearly wrong.

$$\frac{?}{\exists x.P}$$
 [ $\exists I$ ]

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When can we conclude P is true for some x?

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 [ $\exists I$ ]

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**Condition**: fv(t) must not clash with bv(P)

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$$\frac{P[x \backslash t]}{\exists x.P} \quad [\exists I]$$

**Condition**: fv(t) must not clash with bv(P)

**Example**: Consider the predicate  $P = (\forall y.y = x)$ 

• Without the substitution conditions  $P[x \mid y]$  would be true

$$\frac{?}{\exists x.P}$$
 [ $\exists I$ ]

When can we conclude P is true for some x?

If we have proved predicate P for an element of the domain

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**Condition**: fv(t) must not clash with bv(P)

- Without the substitution conditions  $P[x \mid y]$  would be true
- ▶ We could then deduce  $\exists x. \forall y. y = x$ , i.e., numbers are all equal to each other obviously incorrect!

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- The substitution conditions prevents such captures

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- Without the substitution conditions  $P[x \mid y]$  would be true
- ▶ We could then deduce  $\exists x. \forall y. y = x$ , i.e., numbers are all equal to each other obviously incorrect!
- ► The substitution conditions prevents such captures
- ightharpoonup [31]'s condition guarantees that the substitution conditions hold

$$\frac{\exists x.P}{?}$$
 [ $\exists E$ ]

$$\frac{\exists x.P}{?}$$
 [ $\exists E$ ]

What can we conclude from the fact that P is true for some x?

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What can we conclude from the fact that P is true for some x? We know that it holds about some element of the domain, but we do not know which

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What can we conclude from the fact that P is true for some x? We know that it holds about some element of the domain, but we do not know which

$$\frac{\overline{P[x \backslash y]}}{P[x \backslash y]} \stackrel{1}{=} \frac{\exists x. P \qquad Q}{Q} \stackrel{1}{=} \exists E]$$

$$\frac{\exists x.P}{?}$$
 [ $\exists E$ ]

What can we conclude from the fact that P is true for some x? We know that it holds about some element of the domain, but we do not know which

$$\frac{\overline{P[x \backslash y]}}{P[x \backslash y]} \stackrel{1}{=} \frac{1}{\sum_{Q \in \mathcal{Q}} 1} \stackrel{1}{=} \frac{1}{[\exists E]}$$

**Condition**: y must not be free in Q or in not-yet-discharged hypotheses or in  $\exists x.P$ 

$$\frac{\exists x.P}{?}$$
 [ $\exists E$ ]

What can we conclude from the fact that P is true for some x? We know that it holds about some element of the domain, but we do not know which

$$\frac{\overline{P[x \backslash y]}}{P[x \backslash y]} \stackrel{1}{=} \frac{1}{\underbrace{\exists x. P} \quad Q} \qquad 1 \quad [\exists E]$$

**Condition**: y must not be free in Q or in not-yet-discharged hypotheses or in  $\exists x.P$ 

This rule is similar to OR-elimination!

#### All four inference rules in one slide

$$\frac{P[x \backslash y]}{\forall x. P} \quad [\forall I]$$

**Condition**: y must not be free in any not-yet-discharged hypothesis or in  $\forall x.P$ 

$$\frac{\forall x.P}{P[x \backslash t]} \quad [\forall E]$$

Condition: fv(t) must not clash with bv(P)

$$\frac{P[x \backslash t]}{\exists x. P} \quad [\exists I]$$

Condition: fv(t) must not clash with bv(P)

$$\frac{P[x \setminus y]}{P[x \setminus y]} \quad 1$$

$$\vdots$$

$$\frac{\exists x.P \quad Q}{Q} \quad 1 \quad [\exists E]$$

**Condition**: y must not be free in Q or in not-yet-discharged hypotheses or in  $\exists x.P$ 

Prove that  $(\forall z.p(z)) \rightarrow \forall x.p(x) \lor q(x)$ 

Prove that 
$$(\forall z.p(z)) \rightarrow \forall x.p(x) \lor q(x)$$

We use backward reasoning

\_\_\_\_

\_\_\_\_

\_\_\_\_\_

$$(\forall z.p(z)) \to \forall x.p(x) \lor q(x)$$

Conditions:

Prove that 
$$(\forall z.p(z)) \rightarrow \forall x.p(x) \lor q(x)$$

We use backward reasoning

$$\frac{\overline{\forall z.p(z)}}{\overline{\forall x.p(x) \lor q(x)}}$$

$$\frac{\overline{\forall x.p(x) \lor q(x)}}{(\forall z.p(z)) \to \forall x.p(x) \lor q(x)} \ 1 \ [\to I]$$

Conditions:

Prove that 
$$(\forall z.p(z)) \rightarrow \forall x.p(x) \lor q(x)$$

We use backward reasoning

$$\frac{\overline{\forall z.p(z)}}{p(y) \lor q(y)} \frac{\overline{p(y) \lor q(y)}}{\forall x.p(x) \lor q(x)} [\forall I]$$

$$(\forall z.p(z)) \to \forall x.p(x) \lor q(x)$$
1 [\times I]

#### Conditions:

• y does not occur free in not-yet-discharged hypotheses or in  $\forall x.p(x) \lor q(x)$ 

Prove that 
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We use backward reasoning

$$\frac{\frac{\overline{\forall z.p(z)}}{p(y)}}{\frac{\overline{p(y)} \vee q(y)}{\forall x.p(x) \vee q(x)}} [\forall I_L] \\ \frac{\overline{\forall x.p(x) \vee q(x)}}{(\forall z.p(z)) \rightarrow \forall x.p(x) \vee q(x)} 1 [\rightarrow I]$$

#### Conditions:

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Prove that 
$$(\forall z.p(z)) \rightarrow \forall x.p(x) \lor q(x)$$

We use backward reasoning

$$\frac{\frac{\overline{\forall z.p(z)}}{p(y)}}{\frac{\overline{p(y)}}{p(y) \vee q(y)}} [\forall E] \\ \frac{\overline{p(y) \vee q(y)}}{\forall x.p(x) \vee q(x)} [\forall I] \\ \overline{(\forall z.p(z)) \rightarrow \forall x.p(x) \vee q(x)} \ 1 \ [\rightarrow I]$$

#### Conditions:

- y does not occur free in not-yet-discharged hypotheses or in  $\forall x.p(x) \lor q(x)$
- y does not clash with bound variables in p(z)

More generally, we can prove:

\_\_\_\_

\_\_\_\_

\_\_\_\_

 $(\forall z.P) \to \forall x.P \lor Q$ 

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\_\_\_\_

\_\_\_\_

\_\_\_\_

 $(\forall z.P) \to \forall x.P \lor Q$ 

More generally, we can prove:

$$\frac{\overline{\forall z.P}^{1}}{ (\forall z.P) \rightarrow \forall x.P \lor Q} \stackrel{1}{} [\rightarrow I]$$

More generally, we can prove:

$$\frac{\overline{\forall z.P}^{1}}{P[x \setminus y] \vee Q[x \setminus y]}$$

$$\frac{\overline{P[x \setminus y] \vee Q[x \setminus y]}}{\forall x.P \vee Q} \text{ [$\forall I$]}$$

$$(\forall z.P) \rightarrow \forall x.P \vee Q$$

$$1 [\rightarrow I]$$

We assume that y does not occur in P or Q

More generally, we can prove:

$$\frac{\frac{\overline{\forall z.P}}{P[x \backslash y]}^{1}}{\frac{P[x \backslash y] \vee Q[x \backslash y]}{\forall x.P \vee Q}} [\forall I_{L}]$$

$$\frac{\overline{\forall x.P \vee Q}}{(\forall z.P) \rightarrow \forall x.P \vee Q}^{1} [\rightarrow I]$$

We assume that y does not occur in P or Q

More generally, we can prove:

$$\frac{\frac{\overline{\forall z.P}}{P[x \backslash y]}^{1} [\forall E]}{\frac{P[x \backslash y] \vee Q[x \backslash y]}{\forall x.P \vee Q}} [\forall I_{L}]$$

$$\frac{\overline{\forall x.P \vee Q}}{(\forall z.P) \rightarrow \forall x.P \vee Q}^{1} [\rightarrow I]$$

We assume that y does not occur in P or Q

#### Conclusion

#### What did we cover today?

- Natural Deduction proofs for Predicate Logic
- ► ∀/∃ rules
- substitution

#### Conclusion

#### What did we cover today?

- Natural Deduction proofs for Predicate Logic
- ▶ ∀/∃ rules
- substitution

#### Next time?

Natural Deduction proofs for Predicate Logic – continued