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User defined data types - part 1

Level of difficulty of this handout

This handout includes material of easy, medium, hard and advanced level. If some of the material feels difficult, it is probably because it is difficult rather than your fault. This means you have to work hard if you want to achieve a high mark in the module, as is the case for all modules.

Video lectures for this handout

The following videos are also linked at appropriate points of this handout for your convenience.

- 1. Introduction, the booleans revisited, isomorphisms, Weekdays and the new Maybe type constructor (35 min)
- 2. Type retracts (13 min)
- 3. Either and And and pairs (9 min)
- 4. Lists revisited (9 min)
- 5. Binary trees (12 min)
- 6. Directions, addresses and paths in binary trees (15 min)
- 7. Traversals in binary trees (10 min)
- 8. Inverting traversals (18 min)

Total 2hrs.

Experimenting with the Haskell code included here

You should experiment with the Haskell code in theses notes in order to achieve full understanding. This means running the code and adding things to it, such as solutions of exercises and puzzles, or your own brilliant ideas.

These lecture notes are in <u>markdown</u> format including Haskell code. To get the Haskell code out of the markdown code, we can use the program <u>mdtohs.hs</u> included in the <u>Resources</u> directory, as follows in a Unix/Linux terminal:

```
$ cat Data1.md | runhaskell ../../Resources/mdtohs.hs > Data1.hs
```

This means "copy the contents of the file Data1.md to the standard input of the Haskell program mdtohs.hs and store the output of the program in the file Data1.hs". This can be equivalently written as

```
$ runhaskell ../../Resources/mdtohs.hs < Data1.md > Data1.hs
```

This removes all the markdown code and keeps only the Haskell code, so that we can work with it.

We have already run this for you, and the file <u>Data1.hs</u> is available in this GitLab repository. Make your own **copy** of this file to avoid conflicts when we update it.

Please note, if you are planning on running your code in GHCi, then you need to first expose the random package by running :set -package random before you use :1 Data1.hs to load your file.

Haskell imports in these lecture notes

Any needed library imports should be mentioned here at the top of the file. We need the following for generating random inputs for testing:

module Data1 where

import System.Random

Contents

- Type synonyms
- User defined data types

- The booleans revisited
- <u>Type isomorphisms</u>
- Weekdays
- Some important type constructors
 - o The Maybe type constructor
 - Type retracts
 - The Either type constructor
 - The And type constructor, defined by ourselves
- Lists revisited
 - o Implementing some basic operations on lists
 - o An aside on accumulators
- Binary trees
 - o Basic functions on binary trees
 - o <u>Directions, addresses and paths in binary trees</u>
 - o Proofs on binary trees by induction
 - o <u>Traversals in binary trees</u>
 - o Inverting traversals (generating trees)

Type synonyms

A video discussing the next few sections is available on Canvas.

Sometimes, mainly for the sake of clarity, we may wish to give a new name to an existing type. For example, the Haskell prelude defines a string to be a list of characters:

```
type String = [Char]
```

Since String is just a type synonym, operations such as list concatenation and reverse

```
(++) :: [a] -> [a] -> [a] reverse :: [a] -> [a]
```

can be freely applied to strings:

```
> "abc" ++ reverse "def"
"abcfed"
```

Type synonyms can also have parameters, as in e.g.

```
type Lst a = [a]
```

User defined data types

The booleans revisited

The booleans are defined as follows in Haskell, in the prelude:

```
data Bool = False | True
```

This defines a new type, called Bool , with two elements (or constructors), called False and True :

```
False :: Bool
True :: Bool
```

Functions over a data type can be conveniently defined by pattern-matching on its constructors. For example, in the prelude, the conjunction operation

```
(&&) :: Bool -> Bool
```

is defined as follows:

```
False && _ = False
```

```
True && x = x
```

A slightly subtle aspect of the semantics of pattern-matching in Haskell is that:

- 1. the different pattern-matching clauses are tried in order from top to bottom, and
- 2. the input arguments of the function are only evaluated to the extent needed to check whether they match the current pattern.

A consequence of this semantics is that the above definition of conjunction implements short-circuit evaluation: if the first argument is False, then the function returns False without even evaluating the second argument.

In contrast, consider the following alternative definition of conjunction:

```
conj :: Bool -> Bool -> Bool
conj False False = False
conj False True = False
conj True False = False
conj True True = True
```

This version does *not* implement short-circuit evaluation: the second argument will always be evaluated regardless of the value of the first. We can observe the difference between these two versions of conjunction by running the following experiment in the GHC interpreter:

```
> False && undefined
False
> False `conj` undefined

*** Exception: Prelude.undefined
#CallStack (from HasCallStack):
   error, called at libraries/base/GHC/Err.hs:79:14 in base:GHC.Err
   undefined, called at <interactive>:28:11 in interactive:Ghci5
```

Type isomorphisms

Let's introduce another data type BW defined by

```
data BW = Black | White
```

This type is isomorphic to the type Bool , via the type-conversion functions

```
bw2bool :: BW -> Bool
bw2bool Black = False
bw2bool White = True

bool2bw :: Bool -> BW
bool2bw False = Black
bool2bw True = White
```

That the pair of functions (bw2bool, bool2bw) is an isomorphism means that they are mutually inverse, in the sense that

```
bw2bool(bool2bw b) = b
```

for all b :: Bool, and

```
bool2bw(bw2bool c) = c
```

for all c :: BW.

Type isomorphisms should *not* be confused with type synonyms. For example, if we try to directly use a value of type BW where a value of type Bool is expected, we get a type error:

On the other hand, if we wrap up the values using the explicit coercions bw2bool and bool2bw, then everything checks:

```
> let test = bool2bw (bw2bool Black && True)
```

Of course, the names Black and White are arbitrary, and there is another isomorphism between BW and Bool that swaps Black with True and White with False instead.

```
bw2bool' :: BW -> Bool
bw2bool' Black = True
bw2bool' White = False

bool2bw' :: Bool -> BW
bool2bw' False = White
bool2bw' True = Black
```

And both of the types Bool and BW are of course isomorphic (again in two different ways each) to the type

```
data Bit = Zero | One
```

of binary digits. One of the isomorphisms is the following:

```
bit2Bool :: Bit -> Bool
bool2Bit :: Bool -> Bit

bit2Bool Zero = False
bit2Bool One = True

bool2Bit False = Zero
bool2Bit True = One
```

Another one is the following:

```
bit2Bool' :: Bit -> Bool
bool2Bit' :: Bool -> Bit

bit2Bool' Zero = True
bit2Bool' One = False

bool2Bit' False = One
bool2Bit' True = Zero
```

Note: The syntax rules of Haskell require that both type names (here Bool, BW, Bit) and constructor names (here False, True, Black, White, Zero, One) should start with a capital letter.

Weekdays

Another example of a data type is

```
data WeekDay = Mon | Tue | Wed | Thu | Fri | Sat | Sun
```

We can ask Haskell to do some jobs for free for us (there are alternative ways of doing them ourselves with our own sweat, using type class instances, which we will discuss later):

This automatically adds the type WeekDay to the type classes with these five names, which give functions

```
show :: WeekDay -> String
read :: String -> WeekDay
(==) :: WeekDay -> Bool
(<), (>), (<=), (>=) :: WeekDay -> Bool
succ, pred :: WeekDay -> WeekDay
```

Look this up in our adopted textbook. Notice that show is the counterpart of Java's toString , and read does the opposite. Some examples are:

```
> show Tue
"Tue"
```

```
> read "Tue" :: WeekDay -- (the type annotation tells Haskell to try to parse the string as a WeekDay)
Tue
> read "Dog" :: WeekDay
*** Exception: Prelude.read: no parse
> Mon == Tue
False
> Mon < Tue
True
> succ Mon
Tue
> pred Tue
Mon
> [Mon .. Fri]
[Mon,Tue,Wed,Thu,Fri]
```

Monday doesn't have a predecessor, and Sunday doesn't have a successor:

```
> pred Mon
*** Exception: pred{WeekDay}: tried to take `pred' of first tag in enumeration
CallStack (from HasCallStack):
   error, called at Data1.hs:20:47 in main:Main
> succ Sun
*** Exception: succ{WeekDay}: tried to take `succ' of last tag in enumeration
CallStack (from HasCallStack):
   error, called at Data1.hs:20:47 in main:Main
```

Notice that the choice of names in the type of weekdays is arbitrary. An equally good, isomorphic definition is

```
data WeekDay' = Monday | Tuesday | Wednesday | Thursday | Friday | Saturday | Sunday
```

Some important type constructors

The Maybe type constructor

Sometimes a function may not be able to give a result, in which case we would like it to say explicitly that it cannot. We use the Maybe type from the prelude for that purpose:

```
data Maybe a = Nothing | Just a
```

Here a is a type parameter, and we have the following types for Nothing and Just:

```
Nothing :: Maybe a

Just :: a -> Maybe a
```

This means that the constructor Just is a function. It converts an element of type a into an element of type Maybe a . So this function Just is a so-called *type coercion*, also known as a *type cast*.

For example:

```
Just 17 :: Maybe Integer
```

In summary, the only possible elements of the type Maybe a are Nothing and Just x where x has type a .

In Java the Maybe type constructor is called ${\tt Optional}$.

Example: integer computations that may give errors

In the following definition of division, if the denominator is zero, then division is impossible, and so the result is Nothing. If it is possible, we simply perform the division, and we convert the resulting Int to Maybe Int using the type conversion function Just, which then gives the result of the function dive:

```
dive :: Int -> Int -> Maybe Int
x `dive` y = if y == 0 then Nothing else Just (x `div` y)
```

For example, we get

```
>10 `dive` 2
```

```
Just 5
> 10 `dive` 0
Nothing
```

But now suppose you want to do 3 + (10 `dive` 0). You would expect Nothing but instead this expression doesn't even type check:

```
> 3 + (10 `dive` 0)

<interactive>:11:1: error:
    No instance for (Num (Maybe Int)) arising from a use of [+]
    In the expression: 3 + (5 `dive` 0)
    In an equation for [it]: it = 3 + (5 `dive` 0)
```

What this is saying is that (10 `div` 0) is expected to be an Int , because + expects an Int as its right argument, but it isn't. That's because it is a Maybe Int . So we need a version of addition that can cope with errors as its possible inputs:

```
adde :: Maybe Int -> Maybe Int
adde Nothing    Nothing = Nothing
adde Nothing (Just y) = Nothing
adde (Just x) Nothing = Nothing
adde (Just x) (Just y) = Just (x + y)
```

Now to fix 3 + (5 `dive` 0) we replace + by adde, but also we need to convert the number 3 to the type Maybe Int with the type coercion or type cast Just.

```
> Just 3 `adde` (10 `dive` 2)

Just 8
> Just 3 `adde` (10 `dive` 0)

Nothing
```

A more concise definition of adde:

```
adde' :: Maybe Int -> Maybe Int adde' (Just x) (Just y) = Just (x+y)

adde' _ _ = Nothing
```

This works because the execution of Haskell programs tries patterns from top to bottom, and the last pattern catches all remaining possibilities.

A definition using cases is also possible:

```
adde'' :: Maybe Int -> Maybe Int
adde'' xm ym = case xm of

Nothing -> Nothing

Just x -> case ym of

Nothing -> Nothing

Just y -> Just (x+y)
```

Later we will see that there is a much more concise way of making such definitions using *monads*. But for now we will stick to pattern matching and cases.

Example: find the first position an element occurs in a list

Since the first position is undefined if the element doesn't occur in the list, in that case we answer Nothing:

For example:

```
> firstPosition 'a' ['a'..'z']
Just 0
> firstPosition 'b' ['a'..'z']
Just 1
```

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```
> firstPosition 'z' ['a'..'z']
Just 25
> firstPosition '!' ['a'..'z']
Nothing
```

which we summarize as

```
firstPosition 'a' ['a'..'z'] = Just 0
firstPosition 'b' ['a'..'z'] = Just 1
firstPosition 'z' ['a'..'z'] = Just 25
firstPosition '!' ['a'..'z'] = Nothing
```

You are required to use the book to find out what case is and how it works in general, but in this example it should be clear. You are also required to use the book to find out about conditional definitions using | to indicate *guards* for equations.

We will use the Maybe type constructor very often, because there are many occasions in which some inputs are invalid.

Task. Define a function all Positions:: Eq $a \Rightarrow a \Rightarrow [a] \Rightarrow [Int]$ that finds all positions in which an element element occurs in a list. For example, we should have all Positions 17 [13,17,17,666] = [1,2] and all Positions 17 [1,2,3] = [].

Type retracts

A video discussing this section is available.

This section may be rather hard at your current level. Consider it as a challenge. If you get lost, feel free to skip it at a first reading, go to the next section, and come back to it later. This is important because it deals with data coding, which is an important, or even crucial, aspect of Computer Science. What we say here is in Haskell, but it actually applies to any programming language.

We have already seen examples of type isomorphisms. For example, the type Bool is isomorphic to the type BW of black-and-white colours, and also to the type Bit of binary digits Zero and One.

More generally, an isomorphism of types a and b is a pair of functions

```
f :: a -> b
g :: b -> a
```

such that

- f (g y) = y for all y :: b, and
 g (f x) = x for all x :: a.
- We summarize these two equations by saying that these two functions are mutually inverse. This means that we can convert back and forth between elements of the type $\, b \,$, like we did when we converted False to Zero and True to One. You can think of $\, f \,$ and $\, g \,$ as renaming functions: the function $\, f \,$ = bit2Bool renames a bit to a boolean, and the function $\, g \,$ = bool2Bit renames a boolean to a bit.

In practice, this means that it doesn't matter whether we work with the type Bool with elements True and False, or the type Bit with elements Zero and One. In fact, computers work by exploting this identification of booleans with binary digits.

There is another relationship between types that is also useful in practice: a type b can "live" inside another type a, in the sense of having a "copy" in the type a. A simple example is that the type Bool has a copy inside the type Int:

```
bool2Int :: Bool -> Int
bool2Int False = 0
bool2Int True = 1
```

Not only do we have a copy of Bool inside Int, but also we can go back, so that we get False and True from 0 and 1:

However, notice that not only $\,1\,$ is converted back to $\,$ True , but also everything other than $\,\theta\,$ is converted to $\,$ True .

We have

```
int2Bool (bool2Int y) = y
```

for every y :: Bool, but we don't have bool2Int (int2Bool x) = x for all x :: Int, as this fails for e.g. x = 17 because bool2Int (int2Bool 17) is 1 rather than 17.

We can say that there is enough room in the type integers for it to host a copy of the type of booleans, but there isn't enough room in the type of booleans for it to host a copy of the type of integers.

When there are functions

```
f :: a -> b
g :: b -> a
```

such that

• f(g y) = y for all y :: b,

but not necessarily g(f x) = x for all x :: a, we say that the type b is a retract of the type a

Our discussion above shows that the type Bool is a retract of the type Int . This retraction is the same as that performed in the programming language C, where the integer 0 codes False and everything else codes True.

But notice that there are other ways in which the type Bool lives inside the type Int as a retract: for example, we can send False to 13 and True to 17, and then send back everything bigger than 15 to True and everything else to False. We are free to code things as we wish.

Task. Show that the type Maybe a is a retract of the type [a]. The idea is that Nothing corresponds to the empty list [] and that Just x corresponds to the one-element list [x]. Make this idea precise by writing back and forth functions between these types so that they exhibit Maybe a as a retract of [a]. Our adopted textbook exploits such a retraction often, albeit without making it explicit. In fact, what the book does very often is to avoid the type Maybe a and instead work with the type [a], considering only the list [] (corresponding to Nothing) and singleton lists [x] (corresponding to Just x), and ignoring lists of length 2 or greater. (The reason the book does that is to avoid monads (before they are taught) in favour of list comprehensions (which are taught early on), as list comprehensions happen to accomplish the same thing as "do notation" for monads, in the particular case of the list monad. So this coding is done for pedagogical purposes in this case.)

If we have a type retraction (f,g) as above, then:

• f is a surjection.

This means that for every y :: b there is at least one x :: a with f x = y.

For example, in the case of the booleans as a retract of the integers, this means that every boolean is coded by at least one integer.

• g is an injection.

This means that for every x :: a there is at most one y :: b with g y = x.

In the first example of the booleans as a retract of the integers, this is the case:

- \circ For x = 0 we have exactly one y with bool2Int y = x, namely y=False.
- \circ For x = 1 we have exactly one y with bool2Int y = x, namely y=True.
- \circ For x different from 0 and 1 we have no y with bool2Int y = x.

So for every x there is at most one such y (i.e. exactly one or none).

Task. Define

```
data WorkingWeekDay = Mon' | Tue' | Wed' | Thu' | Fri'
```

We add primes to the names because the names without prime are already used as elements of the type WeekDay defined above. Show that the type WorkingWeekDay is a retract of the type WeekDay . Arbitrary choices will need to be performed in one direction, like e.g. the language C arbitrarily decides that any integer other than 0 codes true.

Puzzle. Consider the function

```
g :: Integer -> (Integer -> Bool)
g y = \x -> x == y
```

We can visualize g in the following table:

	 -5	-4	 -1	0	1	•••	4	5	•••
g(-5)=	 True	False	 False	False	False		False	False	
g(-4)=	 False	True	 False	False	False		False	False	
g(-1)=	 False	False	 True	False	False		False	False	

	•••	-5	-4	 -1	0	1	•••	4	5	
g(0)=		False	False	 False	True	False		False	False	
g(1)=		False	False	 False	False	True		False	False	
g(4)=		False	False	 False	False	False		True	False	
g(5)=		False	False	 False	False	False		False	True	

That is, the function g codes the integer g as the function g such that g as the function g is an injection. In this sense, the type Integer lives inside the function type Integer -> Bool. Do you think g has a companion g is an injection. In this sense, the type Integer lives inside the function type Integer -> Bool. Do you think g has a companion g is an injection. In this sense, the type Integer lives inside the function type Integer -> Bool. Do you think g has a companion g is a companion g integer g integer back as g integer g in

The Either type constructor

A video discussing the next few sections is available on Canvas.

It is defined in the prelude as follows:

```
data Either a b = Left a | Right b
```

Then we have

```
Left :: a -> Either a b
Right :: b -> Either a b
```

For example:

```
Left 17 :: Either Integer String
Right "abd" :: Either Integer String
```

The idea is that the type Either a b is the disjoint union of the types a and b, where we tag the elements of a with Left and those of b with Right in the union type. An example of its use is given below.

The And type constructor, defined by ourselves

The following has an isomorphic version predefined in the language, as we shall see soon:

```
data And a b = Both a b
```

This is a type constructor with two parameters, and with an element constructor Both, which is a function

```
Both :: a -> b -> And a b
```

For example, assuming we have defined types MainDish , Dessert , Drink ,

```
data MainDish = Chicken | Pasta | Vegetarian
data Dessert = Cake | IceCream | Fruit
data Drink = Tea | Coffee | Beer
```

we can define:

```
type SaverMenu = Either (And MainDish Dessert) (And MainDish Drink)
```

which can be equivalently written

```
type SaverMenu = Either (MainDish `And` Dessert) (MainDish `And` Drink)
```

(Choose which form of definition you like better. Haskell accepts both.)

So what is available in the saver menu is either a main dish and a dessert, or else a main dish and a drink. It should be intuitively clear that this is

isomorphic to

```
type SaverMenu' = And MainDish (Either Dessert Drink)
```

meaning that you can have a main dish and either dessert or a drink. This intuition is made precise by the isomorphism

```
prime :: SaverMenu -> SaverMenu'
prime (Left (Both m d)) = Both m (Left d)
prime (Right(Both m d)) = Both m (Right d)

unprime :: SaverMenu' -> SaverMenu
unprime (Both m (Left d)) = Left (Both m d)
unprime (Both m (Right d)) = Right(Both m d)
```

So, as a software developer, you can choose either SaverMenu as your implementation, or else SaverMenu'. They are different, but essentially equivalent.

We actually don't need to define And , because an equivalent type constructor is already available in Haskell, namely the type of pairs. We have an isomorphism as follows:

```
and2pair :: And a b -> (a,b)
and2pair (Both x y) = (x,y)

pair2and :: (a,b) -> And a b
pair2and (x,y) = Both x y
```

And so we have further isomorphic versions of the saver menu type:

```
type SaverMenu'' = Either (MainDish, Dessert) (MainDish, Drink)
type SaverMenu''' = (MainDish, Either Dessert Drink)
```

Lookup the type of pairs (tuple types) in the book and read about it.

Lists revisited

A video discussing the next few sections is $\underline{\text{available on Canvas}}.$

With a pinch of salt, the type of lists is predefined by

```
data [a] = [] | a : [a] -- not quite a Haskell definition
```

which says that a list of a 's is either empty, or else an element of the type a followed (indicated by :) by a list of a 's. This is an example of a recursive data type definition. We have the following types for the list constructors:

```
[] :: [a]
(:) :: a -> [a] -> [a]
```

Although the above not-quite-a-Haskell-definition is semantically correct, it is syntactically wrong, because Haskell (unfortunately) doesn't accept this kind of syntactical definition. If we don't care about syntax, we can define an isomorphic version as follows:

```
data List a = Nil | Cons a (List a)
```

Then the types of the constructors are

```
Nil :: List a
Cons :: a -> List a
```

For example, the native list [1,2,3] is written Cons 1 (Cons 2 (Cons 3 Nil)) in our isomorphic version of the type of lists. Let's define the isomorphism to make this clear:

```
nativelist2ourlist :: [a] -> List a
nativelist2ourlist [] = Nil
nativelist2ourlist (x:xs) = Cons x (nativelist2ourlist xs)

ourlist2nativelist :: List a -> [a]
ourlist2nativelist Nil = []
```

```
ourlist2nativelist (Cons x xs) = x:ourlist2nativelist xs
```

Notice that these coercions are defined recursively, corresponding to the fact that the data type itself is defined recursively.

Implementing some basic operations on lists

Let's write our own versions of the list concatenation ("append") and reverse operations from the prelude:

We can try to test that these do the right thing by comparing them to the implementations of list concatenation and reversal in the Haskell prelude, using the isomorphism between List a and [a]. Indeed, we expect that

```
ourlist2nativelist (append (nativelist2ourlist xs) (nativelist2ourlist ys)) == xs ++ ys
```

and

```
ourlist2nativelist (rev (nativelist2ourlist xs)) == reverse xs
```

should evaluate to True for all native lists xs, ys :: [a] . Let's test these properties:

```
> let xs = [1..5]
> let ys = [6..10]
> ourlist2nativelist (append (nativelist2ourlist xs) (nativelist2ourlist ys)) == xs ++ ys
True
> ourlist2nativelist (rev (nativelist2ourlist xs)) == reverse xs
True
```

Of course, here we have only tested on a couple examples, but it is true in general. (Question: how would you prove this?)

Although our definitions are functionally correct, there is a more subtle problem with our implementation of rev . By inspection of the code, append xs ys computes the concatenation of two lists in time O(n), where n is the length of xs, since each recursive call to append decreases the length of xs by one, and the calls to S constant time. On the other hand, rev is S by the same argument, since each recursive call to rev decreases the length of S by one, and each call to append is S S0S1.

This is not just a theoretical problem — we quickly bump into it if we compare reversing a reasonably large list using the native reverse function versus the implementation rev above.

```
> let xs = [1..10^5]
> length (reverse xs) -- this is fast (we return the length of the reversed list in order to keep the output small)
100000
> length (ourlist2nativelist (rev (nativelist2ourlist xs))) -- this is really slow, so we give up and hit Control-C
C-c C-cInterrupted.
```

There's a much more efficient way of implementing reversal by introducing a helper function with an extra argument:

One way to think of the second argument of the helper function revapp is as a stack, initially set to be empty (Nil). The function recursively scans the input from the first argument, pushing each element onto the stack (second argument). When there are no more input elements, the stack is simply popped directly to the output, with all of the elements of the original list now in reverse order.

Here's a concrete illustration of how this works, unrolling the definitions of fastrev and revapp to reverse a four-element list:

```
fastrev (Cons 1 (Cons 2 (Cons 3 (Cons 4 Nil))))
= revapp (Cons 1 (Cons 2 (Cons 3 (Cons 4 Nil)))) Nil
= revapp (Cons 2 (Cons 3 (Cons 4 Nil))) (Cons 1 Nil)
```

```
= revapp (Cons 3 (Cons 4 Nil)) (Cons 2 (Cons 1 Nil))
= revapp (Cons 4 Nil) (Cons 3 (Cons 2 (Cons 1 Nil)))
= revapp Nil (Cons 4 (Cons 3 (Cons 2 (Cons 1 Nil))))
= Cons 4 (Cons 3 (Cons 2 (Cons 1 Nil)))
```

Another way of thinking of the function revapp is suggested by its name: given two lists xs and ys, we have that revapp xs ys computes the reversal of xs appended with ys. It's not hard to see that this binary operation revapp is more general than the original unary reversal operation: the latter can be recovered by taking ys = Nil. On the other hand, revapp is much more efficient than our original function rev, being only O(n) in the length of its first argument xs.

This pattern — where we manage to solve a problem or solve it more efficiently by replacing it with a more general and seemingly more difficult problem — happens again and again in functional programming.

An aside on accumulators

The extra argument ys that we used in the helper function revapp is sometimes called an "accumulator", since it accumulates a value that is eventually passed to the output. Above we saw how an accumulator could be used to turn an $O(n^2)$ algorithm into an O(n) algorithm for list reversal. For an even starker example, consider the problem of computing the Fibonacci numbers F_n .

The mathematical definition of the Fibonacci sequence in Wikipedia may be translated directly into the following Haskell code:

```
fib 0 = 0
fib 1 = 1
fib n = fib (n-1) + fib (n-2)
```

But although this definition is correct, it is extremely inefficient!

We can already see this if we try to use the above definition to compute, say, the first 32 Fibonacci numbers:

```
> :set +s -- ask ghci to print time and space usage

> [fib n | n <- [0..31]]

[0,1,1,2,3,5,8,13,21,34,55,89,144,233,377,610,987,1597,2584,4181,6765,10946,17711,28657,46368,75025,121393,196418,3178

(10.23 secs, 4,086,282,024 bytes)
```

Over ten seconds to compute 32 Fibonacci numbers! Indeed, the running time of fib n is roughly $O(2^n)$, since the recursive case makes two calls to fib while only decreasing n by 1 or 2.

Here is an alternative, much more efficient implementation using a pair of accumulators $\, x \,$ and $\, y \,$:

```
fastfib n = fibAcc n 0 1
where
fibAcc 0 x y = x
fibAcc 1 x y = y
fibAcc n x y = fibAcc (n-1) y (x+y)
```

With this implementation we have no trouble computing, say, the first 100 Fibonacci numbers in a fraction of a second:

```
> [fastfib n | n <- [0..99]]
[0,1,1,2,3,5,8,13,21,34,55,89,144,233,377,610,987,1597,2584,4181,6765,10946,17711,28657,46368,75025,121393,196418,3178
(0.02 secs, 3,057,552 bytes)
```

Again to see what is going on it is helpful to unroll the definitions on a concrete example:

```
fastfib 7
= fibAcc 7 0 1
= fibAcc 6 1 1
= fibAcc 5 1 2
= fibAcc 4 2 3
= fibAcc 3 3 5
= fibAcc 2 5 8
= fibAcc 1 8 13
= 13
```

We can see that this functional implementation of the Fibonacci numbers using a pair of accumulator arguments x and y works very similarly to the way one might compute the Fibonacci numbers in Java, by updating a pair of variables x and y inside a loop:

```
static int fastfib(int n) {
 int x = 0, y = 1;
```

```
while (n > 1) {
    int z = x+y;
    x = y;
    y = z;
    n = n-1;
}
return (n == 0 ? x : y);
}
```

In addition to this low-level view of what fastfib and in turn fibAcc is doing, there is also a more high-level view (as we saw before in the case of revapp). Can you identify a sense in which the helper function fibAcc n x y computes a more general function than fib n?

Binary trees

A video discussing the next few sections is available on Canvas .

A binary tree over elements of a type a is either empty, or consists of a root labelled by an element of the type a followed by two binary trees, called the left and right subtrees:

```
data BT a = Empty | Fork a (BT a) (BT a)
```

· We have the empty tree, to be called Empty. We take the convention that empty trees are drawn as dangling leaves.

```
I
```

• Given two trees 1 and r and an element x::a, we have the new tree

```
x
/\
/ \
l r
```

written Fork x l r.

For example, the tree

```
8
/ \
/ \
4     16
/ \ / \
2     20
/ \ / \
```

is written, in this notation, as

```
btexample = Fork 8 (Fork 4 (Fork 2 Empty Empty) Empty) (Fork 16 Empty (Fork 20 Empty Empty))
```

We can ask Haskell to do some work for us by deriving things as above.

We have that

```
Empty :: BT a
Fork :: a -> BT a -> BT a
```

Puzzle. It should be clear what the automatically derived Show, Read and Eq do. But what do you think the order on trees derived with 0rd should be? *Hint*. This is a non-trivial question. So examine it first for the type of lists. In that case, the automatically derived order is the <u>lexicographic order</u>, which is like the dictionary order.

Basic functions on binary trees

To get started, let's mirror trees, so that e.g. from the above we get

```
8
/ \
/ \
16 4
/ \ / \
20 2
/ \ / \
```

We do this as follows:

```
mirror :: BT a -> BT a
mirror Empty = Empty
mirror (Fork x l r) = Fork x (mirror r) (mirror l)
```

Running this on the above example we get

```
mirror btexample = Fork 8 (Fork 16 (Fork 20 Empty Empty) Empty) (Fork 4 Empty (Fork 2 Empty Empty))
```

This notation for trees is not very good for visualizing them, as you can see, but is very good for computation.

We define the size of a tree as its total number of nodes:

```
size :: BT a -> Integer
size Empty = 0
size (Fork x l r) = 1 + size l + size r
```

Since we are considering binary trees, the size (i.e., the number of nodes) is also equal to the number of leaves minus one:

```
leaves :: BT a -> Integer
leaves Empty = 1
leaves (Fork x l r) = leaves l + leaves r
```

We define the height of a tree to be the length of the longest path from the root, measured in number of nodes:

```
height :: BT a -> Integer
height Empty = 0
height (Fork x l r) = 1 + max (height l) (height r)
```

A balanced binary tree has height approximately log of its size, whereas a binary tree which is very unbalanced, such as

```
20
/ \
16
/ \
8
/ \
4
/ \
2
/ \
```

```
btleft = Fork 20 (Fork 16 (Fork 8 (Fork 4 (Fork 2 Empty Empty) Empty) Empty) Empty) Empty
```

has height approximately equal to its size.

Directions, addresses and paths in binary trees

A video discussing the next few sections is available on Canvas.

To pick a subtree of a binary tree, we go left or right successively, until we find it. But a wrong list of directions, called an address here, may be given, and hence we need the Maybe type for the output:

```
data Direction = L | R deriving (Show)
type Address = [Direction]

subtree :: Address -> BT a -> Maybe(BT a)
subtree [] t = Just t
```

```
subtree (_:_) Empty = Nothing
subtree (L:ds) (Fork _ l _) = subtree ds l
subtree (R:ds) (Fork _ _ r) = subtree ds r
```

Following the above pattern, we can define a function that checks whether an address in a given tree is valid:

```
isValid :: Address -> BT a -> Bool
isValid [] _ = True
isValid (_:_) Empty = False
isValid (L:ds) (Fork _ l _) = isValid ds l
isValid (R:ds) (Fork _ _ r) = isValid ds r
```

The list of valid addresses for subtrees can be computed as follows:

List comprehensions can always be eliminated. In this example they become

```
validAddresses' :: BT a -> [Address]
validAddresses' Empty = [[]]
validAddresses' (Fork _ l r) = [[]]
++ (map (L:) (validAddresses' l))
++ (map (R:) (validAddresses' r))
```

We expect that

```
isValid ds t = ds `elem` (validAddresses t)
```

Or, in words, an address is valid if and only if it is an element of the list of valid addresses. Should this be intuitively clear? The statement, yes. But the fact, given our definitions, I don't think so. I would say that it requires a convincing argument. In any case, intuition is something we develop based on convincing arguments we learn.

The list of all paths from the root to a leaf has a similar definition:

Proofs on binary trees by induction

If we have a property P of trees, and we want to show that P(t) holds for all trees t, we can do this by induction on trees as follows:

- Argue that P(Empty) holds.
- Argue that if P(l) and P(r) hold for given trees l and r, then it holds for P(Fork x l r) where x is arbitrary.

We are not going to emphasize proofs in this module, but we will indicate when some claims genuinely require proofs, and, moreover, we will try to be precise regarding the specifications of the programs we write.

It is often the case that somebody shows us a clever algorithm and we feel stupid because we don't understand it. But this feeling is wrong. If we don't understand an algorithm, what is missing is a proof. A proof is an explanation. This is what proof means. In order to understand an algorithm we need

- · the algorithm itself,
- · a precise description of what it is intended to do, and
- a convincing explanation that the algorithm does do what it is intended to do.

Programs alone are not sufficient. We need to know what they are intended to accomplish, and we want to know an explanation justifying that they accomplish what we promise. This promise is called the *specification* of the algorithm / program. Program correctness means "the promise is fulfilled". One way to attempt to prove that the promise is fulfilled is to *test* the program. But actually, all that testing can do it to show that the promise is *not* fulfilled, by finding counterexamples. When the good examples work, we have some kind of evidence that the algorithm works, but not full confidence, because we may have missed examples of inputs that give wrong outputs. Full confidence can only be given by a convincing explanation, also known as *proof*. If you ever asked yourself what "proof" really means, the ultimate answer is "convincing argument".

Functional proofs

The dependently typed language Agda allows to write functional programs and their correctness proofs, where the proofs themselves are written as functional programs. As an example, here is a computer-checked proof of the above relation between the functions is Valid and validAddresses in Agda. This is not examinable, and is included here for the sake of illustration only.

Traversals in binary trees

A video discussing the next few sections is available on Canvas.

We now define the standard in-order and pre-order traversals.

```
treeInOrder :: BT a -> [a]
treeInOrder Empty = []
treeInOrder (Fork x l r) = treeInOrder l ++ [x] ++ treeInOrder r

treePreOrder :: BT a -> [a]
treePreOrder Empty = []
treePreOrder (Fork x l r) = [x] ++ treePreOrder l ++ treePreOrder r
```

For instance, for the trees btexample and btleft considered above,

```
20

/\

16

/\

btleft = 8

/\

4

/\

2

/\
```

we get:

```
> (treeInOrder btexample, treePreOrder btexample)
([2,4,8,16,20],[8,4,2,16,20])
> (treeInOrder btleft, treePreOrder btleft)
([2,4,8,16,20],[20,16,8,4,2])
```

<u>Breadth-first traversal</u> is trickier. We first define a function that given a tree, produces a lists of lists, with the nodes of level zero (just the root), then the nodes of level one (the successors of the root), then the nodes of level two, and so on:

(Compare zipappend to the prelude function $\underline{\text{zipWith}}$.) For example:

```
> levels btexample
[[8],[4,16],[2,20]]
> levels btleft
[[20],[16],[8],[4],[2]]
```

With this we can define

```
treeBreadthFirst :: BT a -> [a]
```

```
treeBreadthFirst = concat . levels
```

where . stands for function composition (look it up in our textbook), and the prelude function concat :: [[a]] -> [a] concatenates a list of lists, for example getting [8,4,16,2,20] from [[8],[4,16],[2,20]] . For further discussion about breadth-first search, see The under-appreciated unfold (a free version is at the authors web page), but this is probably beyond your current level for most of you.

Inverting traversals (generating trees)

A video discussing the next few sections is available on Canvas.

Many different trees can have the same (in-order/pre-order/breadth-first) traversal, as we saw above with btexample and btleft, which have the same in-order traversal. In other words, all of the functions

```
treeInOrder, treePreOrder, treeBreadthFirst :: BT a -> [a]
```

are non-injective and hence non-invertible. Nonetheless, an interesting and tractable problem is to try to construct a binary tree with a given (inorder/pre-order/breadth-first) traversal, or even to generate all possible binary trees with a given traversal.

As an example, the following will produce a balanced binary tree given its in-order traversal (which will be a binary search tree if the input is sorted):

(The prelude function splitAt splits a list in two lists at a given position.) This satisfies the equation

```
treeInOrder (balancedTree xs) = xs
```

for all xs :: [a] . In the other direction, it is certainly **not** the case that

```
balancedTree (treeInOrder t) = t
```

for all t :: Bin a , for instance

```
balancedTree (treeInOrder btleft) = Fork 8 (Fork 4 (Fork 2 Empty Empty) Empty) (Fork 20 (Fork 16 Empty Empty) Empty)
```

which is not equal to $\verb|btleft|$. Indeed, the composite function

```
balance :: BT a -> BT a
balance = balancedTree . treeInOrder
```

which applies treeInOrder to a tree followed by balancedTree to the resulting list can be seen as an operation for rebalancing a binary tree.

Now, using list comprehensions, it is a small step from the function balancedTree above to a function generating all binary trees with a given in-order traversal.

This satisfies the property that

```
elem t (inOrderTree xs)
```

if and only if

```
treeInOrder t = xs
```

for all t :: BT a and xs :: [a] . For example, running

```
> inOrderTree [1..3]
[Fork 1 Empty (Fork 2 Empty (Fork 3 Empty Empty)),Fork 1 Empty (Fork 3 (Fork 2 Empty Empty) Empty),Fork 2 (Fork 1 Empty)
```

successfully computes all five binary search trees whose in-order traversal is [1,2,3]:

```
/\
     /\
Fork 1 Empty (Fork 2 Empty (Fork 3 Empty Empty))
   1
  /\
    3
   /\
  2
Fork 1 Empty (Fork 3 (Fork 2 Empty Empty) Empty)
   /\
 /\ /\
Fork 2 (Fork 1 Empty Empty) (Fork 3 Empty Empty)
   3
  /\
  1
 /\
Fork 3 (Fork 1 Empty (Fork 2 Empty Empty)) Empty
     3
    / \
   2
  /\
 1
 /\
Fork 3 (Fork 2 (Fork 1 Empty Empty) Empty) Empty
```

Task: write a function preOrderTree :: [a] -> [BT a], with the property that elem t (preOrderTree xs) if and only if treePreOrder t = xs for all t :: Bin a and xs :: [a].

Very hard task: write a function breadthFirstTree :: [a] -> [BT a], with the property that elem t (breadthFirstTree xs) if and only if treeBreadthFirst t = xs for all t :: Bin a and xs :: [a] . solution)