NLP Tasks and Applications Using Word Embeddings End-to-end problem solving

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Outline

NLP Applications

• Using embeddings. Compositionality.

• End to end neural models

NLP Applications Classical NLP Pipeline and Features

What we have learned so far

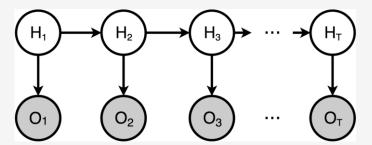
- Tokenization
- Pos tagging
- Chunking / Syntactic parsing / Dependency parsing
- Word co-occurrence and distributional semantic models
- Word embeddings

"Linguistic" tasks: Text tokenization and POS tagging

- Tokenization: output is a text segmented in tokens
 - Regular expressions, BPE

I sold my book for \$ 80.00 .

- POS tagging: output is a sequence of hidden states:
 - noun, verb, adjective
 - Hidden Markov Models (HMM)



"Linguistic" tasks: Chunking and constituent analysis

- Grouping words together based on their shared "function" in the text
- Find all groups that "function together" in the sentence
- I went to the movies with a friend who I know from high school.
 - [I] went to the movies with a friend who I know from high school.
 - I [went] to the movies with a friend who I know from high school.
 - I went [to the movies] with a friend who I know from high school.
 - I went to the movies [with a friend who I know from high school] .

"Linguistic" tasks: The problem of syntax

"What combinations can we get with the constituents "dog", "human", and "bites"

- "Dog bites human" (statistically) most common
- "Human bites dog" meaningful, possible, but unlikely
- "Bites dog human", "Human dog bites", etc. ungrammatical
- Same constituents, different rules -> different (im-)possible complex expression



"Linguistic" tasks: Full syntactic parsing

"Colorless green ideas sleep furiously in love

S -> NP VP

 $NP \rightarrow AN$

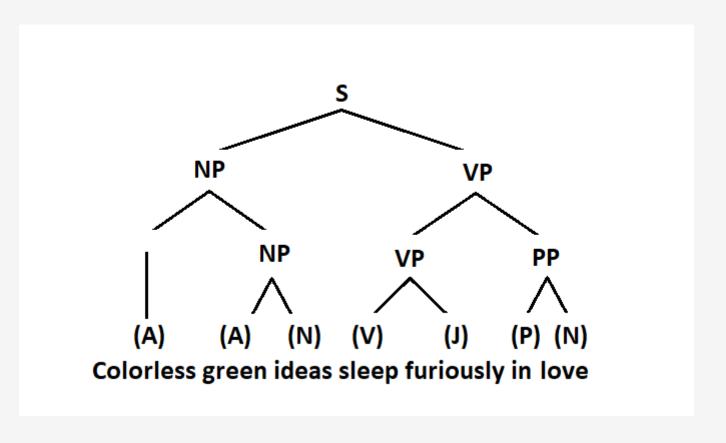
NP -> A NP

 $VP \rightarrow VJ$

VP -> VP PP

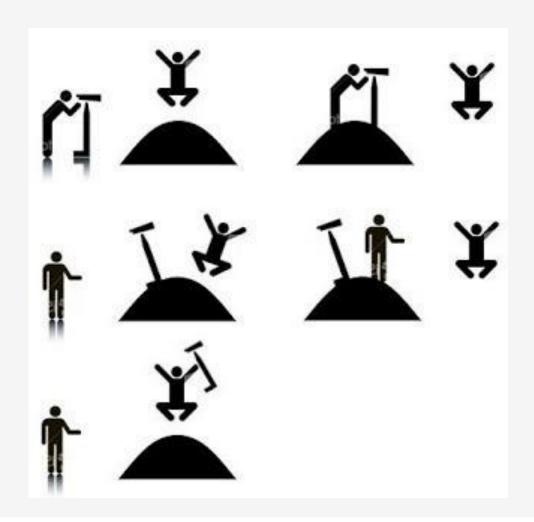
 $PP \rightarrow P N$

(NP -> N)



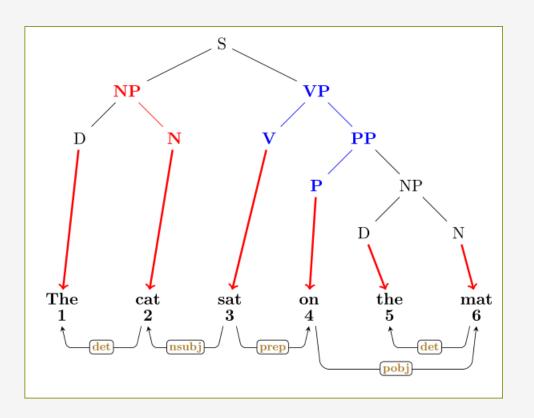
Syntactic ambiguity

- Sentences are often ambiguous
- How many interpretations for the following sentence:
 - "I saw a man in the park with the telescope"



"Linguistic" tasks: Dependency parsing

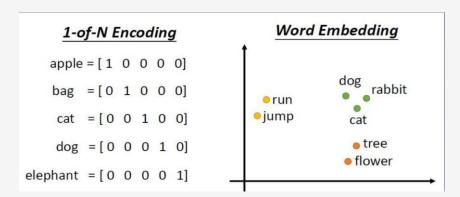
- The (det) -> cat
- cat (subj) -> sat
- on (prep) -> sat
- mat (pobj) -> on
- the (det) mat



"Linguistic" tasks: word embeddings

- What do the words "mean"?
- How can we "measure" the meaning?
- Distributional semantics:
 - the meaning is a function of the context

Word vectors: one-hot, count based, embeddings



"Linguistic" NLP tasks

- Why do we need linguistic tasks?
 - To help computers make sense of language
 - Pre-processing and feature extraction
 - To help humans make sense of language
 - Linguistic and cognitive science experiments
 - For some problems "linguistic" NLP tasks are end goals

Linguistic tasks and machine learning

• Linguistic tasks are a goal on their own

• Linguistic tasks are tools in the (classical) NLP toolbox

- The bi-direction interaction between ML and linguistic tasks and data
 - Many linguistic tasks require ML
 - The output of linguistic analysis is used in practical applications
 - Word embeddings and transfer learning

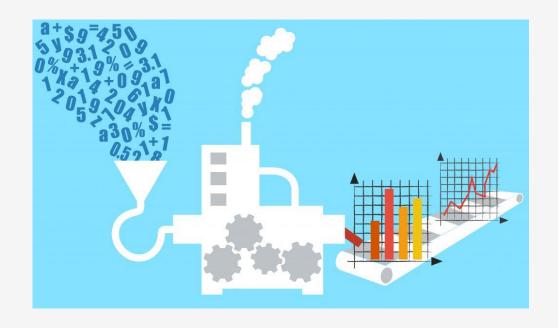
Everyday tasks that use language / NLP

- Marketing
 - Sentiment analysis
 - Recommender systems
 - Content creation



Everyday tasks that use language / NLP (2)

- News articles, social media, and search
 - Information extraction
 - Question answering
 - Inference and Fact checking
 - Content moderation



Everyday tasks that use language / NLP

- User experience and assistance
 - Conversational Agents / Chat bots
 - Machine translation
 - Personal assistants
 - Autocomplete, copilot



Extra-linguistic tasks

- Extra-linguistic tasks are not "internal" to language
 - Part-of-speech tagging vs book recommendation

Language can be used to solve extra-linguistic tasks (in part)

- Rest of the module: taking a more practical direction
 - How do we solve problems using language
 - How do we define (and evaluate) problems and solutions
 - Feature-based solutions vs end-to-end solutions

Text classification Feature Engineering

Machine learning and NLP – supervised and unsupervised NLP

• Types of machine learning problems: supervised, unsupervised, reinforcement

Pop quiz: can you name ML problems of each of the three types?

- NLP problems are predominantly (represented as) supervised
 - Text classification: Sentiment analysis, Textual Inference, Fact checking, Toxic language detection
 - Text generation: Question answering, Chatbots, Machine translation

Text classification

- Observations are independent from each other (e.g. single tweet)
- Observations are preprocessed and fed into (a trained) classifier
- The classifier assigns the correct class-label to the observation
- Classes are discreet and often disjointed:
 - an email is either spam or ham, never both

Different types of classification: binary, multi-class, multi-label

Extra-linguistic problems and feature engineering

Historically, we approached extra-linguistic problems via feature engineering

- Feature engineering
 - Converting language data into relevant data that is easy to process for machines
 - A "faulty" translation from "human" to "computer"
 - Loses some (often a lot of) information
 - Requires a lot of human intervention

Feature engineering

Analyze the problem, the input, and the desired outcome

• Explore existing resources and processing techniques

• Select the most relevant features and feature-extraction methods

Empirically test what works best

Feature engineering

- Various features can be extracted from texts
- Bag-of-words (+ tf-idf)
- N-grams
- Part-of-speech tags
- Named entities
- Sentiment words
- Stop words
- Length

Feature engineering (example)

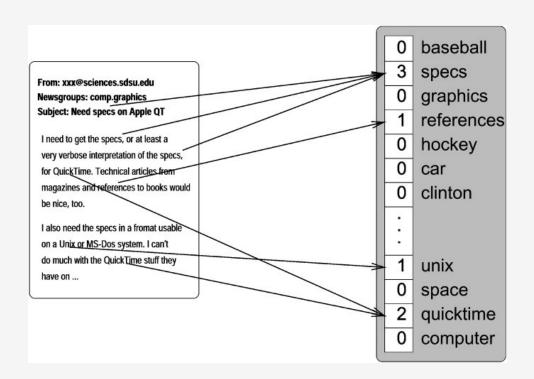
- Consider the task of sentiment analysis
- What features can you extract from the following examples?
- Are these tweets positive or negative?
- 1." Absolutely in love with my new headphones from SoundWave! The sound quality is top-notch, crystal clear, and the noise cancellation is a game-changer! Highly recommend to all music lovers out there! #SoundWave #MusicLife © "
- 2."Really disappointed with my purchase from QuickTech. The laptop crashes constantly and the battery life is a joke.

 Worst customer service ever they just don't care. Totally regret this buy. #QuickTechFail #Frustrated



Feature extraction

- Define the set of relevant features
- Train (or program) algorithms to process the text
- Extract features
- Represent the text via the features

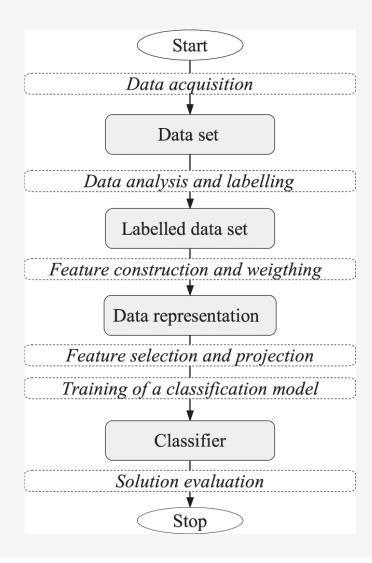


Text classification using features

- Step by step process
- Involves active human engagement
 - Feature selection and extraction

• Data is fed into a classifier (Logistic, NB, SVM)

• Iteratively improve feature selection and model (hyper) parameters



The shift towards data-driven approaches

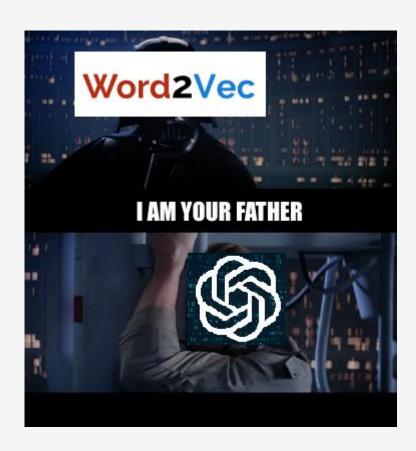
- DSM and embeddings extract (relevant) features from the data
 - Minimal supervision
 - Easier maintenance

• Allow computers to "read" and use language in a more direct way

The cost is loss of control and transparency

New NLP paradigm – the rise of end-to-end neural models

- Word embeddings mark a major shift in NLP
- What do you think is an "end-to-end" neural model?
- End-to-end neural model represent the complete target system
 - No external preprocessing
 - No explicit pipelines
 - Input-output mapping
 - Training for a specific task (with some transfer learning)
- What would be some limitations of end-to-end models?



Using embeddings Compositionality

Use of word embeddings

• Embeddings are high dimensional vector representations of words

• All words in the vocabulary can be represented as a high dimensional vector

- What can we use embeddings for?
 - Write five different applications

Querying embeddings for lexical information

- Embeddings are originally a lexical resource
- Performing operations at word level
 - Find words that are semantically similar to a given word
 - Expand existing dictionaries by automatically searching for similar words
 - Explore relational similarity (e.g., UK London: France Paris)
 - Compare the meaning of a word to its context
 - Automatic error correction

Querying embeddings for lexical information

- Learning from embeddings
 - Learn specific semantic relations (e.g. hypernymy) (Shwartz, et al. 2016)
 - Learn compositionality rules (Baroni and Zampareli, 2010, Socher et al. 2013)
 - Learn representations for phrases and compare with words
 - Clustering (Kovatchev et al. 2016)
 - Topics
 - Part of speech

Evaluating word embeddings

- Embeddings are trained on word prediction (or noise detection)
- Embedding algorithms converge to the best (mathematical) solution
- We throw away the original task!
- How do we evaluate embeddings?
 - Word similarity and word analogy
 - Downstream tasks

From words to text

Embeddings represent words

• NLP is about processing text

• "The cat sat on a mat" vs "the mat sat on a cat"



Compositionality of meaning

• "The meaning of a complex expression is determined by the meanings of its constituent expressions and the rules used to combine them"

- Two key questions:
 - How do we combine individual word meaning?
 - Does the word meaning remain static?

How to combine word meaning

Assume that the word meaning is a vector or a tensor

- How can you calculate the meaning of a phrase?
 - Addition/aggregation
 - Complex (hierarchical) operations
 - Via a deep neural network

Vector addition

- The simplest form of compositionality
 - "The cat sat on a mat" = "The" + "cat" + "sat" + "on" + a" + "mat"
- Advantages
 - Easy to calculate
 - Fixed vector length, regardless of text length
- Disadvantages
 - Loses word order
 - Lower impact of individual words (e.g., "not")

Vector concatenation

Alternative to vector addition

Instead of adding dimensions, we concatenate the vectors

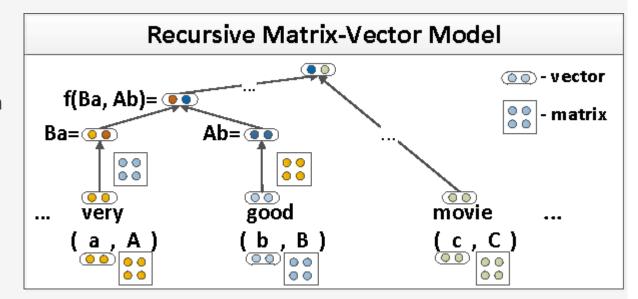
Can you identify advantages and disadvantages of this approach?

Recursive compositionality

- Baroni and Zampareli (2010), Socher et al. (2012, 2013)
- Meaning is not just a vector, but can be a vector + matrix

• Compositionality is a recursive vector-matrix operation

Follow the syntactic structure

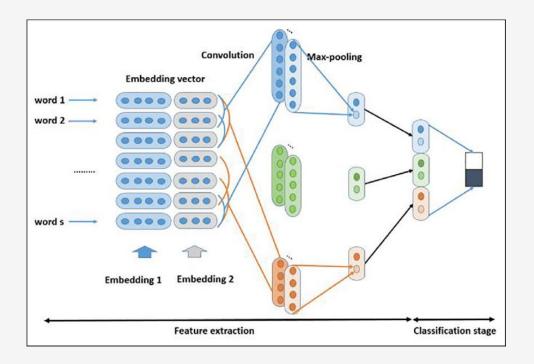


Compositionality via deep neural networks

The current state-of-the-art

• Input the embeddings into a neural network

- Let the network handle the interactions
 - The network architecture determines the compositionality



Task specific embeddings

- General purpose word embeddings
- Polysemy ("blue cat", "cat myfile.sh", "CAT scan")
- Retraining embeddings
 - Domain: news, medical, social media (Major et al. 2018, Soares, 2019)
 - Task: sentiment, NER (Siencnik, 2015)
 - Languages

Contextual word embeddings

- The problem of polysemy
 - "The **cat** sat on a mat"
 - "You can cat this text file"
 - "I just got the results from my cat scanner"

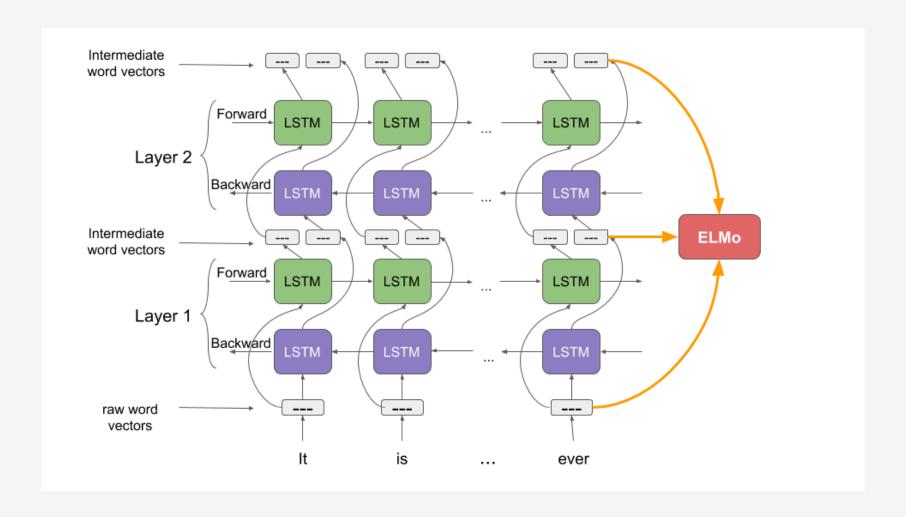
- Are these the same word?
- Should they have the same embedding?
- Task specific embeddings may solve the problem, but can we do better?

ELMO – Deep contextualized word representations

- Key idea: generate a dynamic embedding, based on the context a word appears
 - "The cat sat on a mat" -> W2V -> the vector of "cat" depends only on "cat"
 - "The cat sat on a mat" -> ELMO -> the vector of "cat" depends on all words

- How? Bi-directional (LSTM) language model
- Concatenation of different layers
- Task-specific weights

Bi-directional language model



Bi-directional language model

• Forward language model:

$$p(t_1, t_2, \dots, t_N) = \prod_{k=1}^{N} p(t_k \mid t_1, t_2, \dots, t_{k-1}).$$

• Backward language model:

$$p(t_1, t_2, \dots, t_N) = \prod_{k=1}^{N} p(t_k \mid t_{k+1}, t_{k+2}, \dots, t_N).$$

Bi-directional LM:

$$\sum_{k=1}^{N} (\log p(t_k \mid t_1, \dots, t_{k-1}; \Theta_x, \overrightarrow{\Theta}_{LSTM}, \Theta_s) + \log p(t_k \mid t_{k+1}, \dots, t_N; \Theta_x, \overleftarrow{\Theta}_{LSTM}, \Theta_s)).$$

• Predict a word given its left and right context; keep input and output weights shared;

Deep representations

• For each token k, an L-layer bi-directional LM obtains 2L + 1 representations

$$R_k = \{\mathbf{x}_k^{LM}, \overrightarrow{\mathbf{h}}_{k,j}^{LM}, \overleftarrow{\mathbf{h}}_{k,j}^{LM} \mid j = 1, \dots, L\} = \{\mathbf{h}_{k,j}^{LM} \mid j = 0, \dots, L\}$$

- Initial (static) representation x
- For each layer hidden representation of forward and backward

• ELMO learns a task-specific linear combination:

$$\mathbf{ELMo}_{k}^{task} = E(R_{k}; \Theta^{task}) = \gamma^{task} \sum_{j=0}^{L} s_{j}^{task} \mathbf{h}_{k,j}^{LM}.$$
(1)

- The representations R_k depend only on the context
- The embedding ELMO_k depends on the context and the task

The impact and importance of ELMO

- ELMO (Peters et al. 2017) significantly improves NLP model performance
- Not the first contextual representation
- The first deep contextual representation (prior work only takes last layer)
- The first task-specific representation
- Short lived success due to the appearance of transformers and BERT
- Many of the concepts in ELMO are adopted in BERT

Using embeddings in downstream tasks and student projects

- What kind of vectors to use?
- Use pre-trained or re-train?
- Use as feature vectors or use to learn features?
 - E.g., can you "learn" which vectors are positive?
- Can you combine with other features?
- What would be the classifier?
- Size and scale of vectors?

End to end neural models

Accumulation of errors in a pipeline

- Assume a classifier working at 95%, but...
 - 95% accuracy tokenizer
 - 95% accuracy POS tagger
 - 95% accuracy dependency parser
 - 95% relevant feature mapping
 - 95% accurate classifier
 - What would be the total performance?
 - 77.3%

Why not go end to end?

- Do we need full pipelines?
- Embeddings make it possible to "feed" text directly into models

- Is it possible to go fully end-to-end and eliminate
 - Accumulation of errors
 - Human labor and supervision
 - (In)compatibility issues between elements?

High level idea

• Embeddings represent individual words

Neural architecture combines embeddings ("words") together

• Deeper hidden layers represent interactions between words (phrases, clauses, sentences)

• Backpropagation updates the way we combine words, but can also update embeddings

Neural architectures

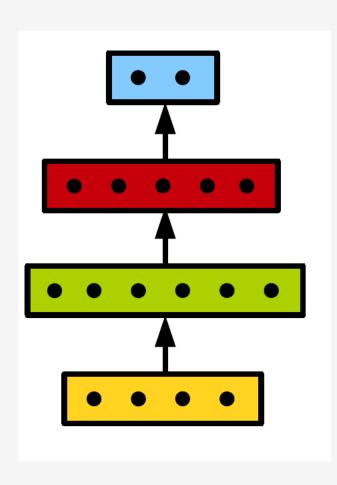
Feed forward neural networks

- Recurrent neural networks
 - LSTM, BiLSTM, GRU

Convolutional neural networks

Feed-forward networks Multi-layer perceptron

Multi-layer perceptron

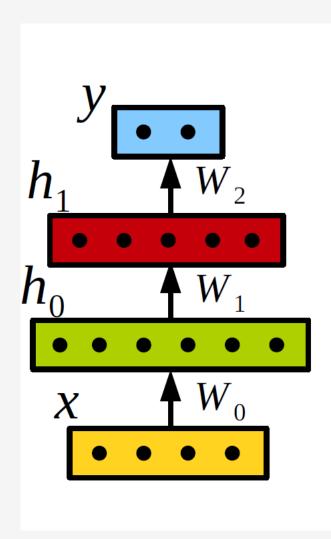


An input layer (embedding)

• One or more hidden layers, each computed from the previous layer

- An output layer
 - Based on the last hidden layer
 - Class probabilities (spam / ham)

Multi-layer perceptron



•
$$y = softmax(h_1 \cdot W_2 + b_2)$$

•
$$h_1 = f(h_0 \cdot W_1 + b_1)$$

•
$$h_0 = f(x \cdot W_0 + b_0)$$

Non-linear functions f:

• Sigmoid:
$$\sigma(x) = \frac{1}{\exp(-x)}$$

• Hyperbolic:
$$tanh(x) = \frac{1 - exp(-2x)}{1 + exp(-2x)}$$

• ReLU: rect(x) = max(0, x)

What is the input X?

- "The cat sat on a mat"
- We can convert all words into vectors
 - w2v("the"), w2v("cat"), w2v("sat"), w2v("on"), w2v("a"), w2v("mat")
 - We obtain 6 300 dimensional vectors
- How do we add the input in the MLP?
 - Single fixed vector
 - A matrix of vectors
 - What are some advantages and disadvantages of each approach?

Training MLP

- Using Stochastic Gradient Descent
- Start with pretrained embeddings and random weights and biases
- Each epoch
 - Shuffle training data and select a training batch (set of k examples)
 - Compute the "forward" and the loss function
 - Compute the gradient of the loss function (backward)
 - Update the parameters (learning rate η): W = W $\eta \frac{1}{K} \sum_{i=0}^{K-1} \nabla J_i(W)$
- Repeat until convergence

Training MLP

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Training MLP

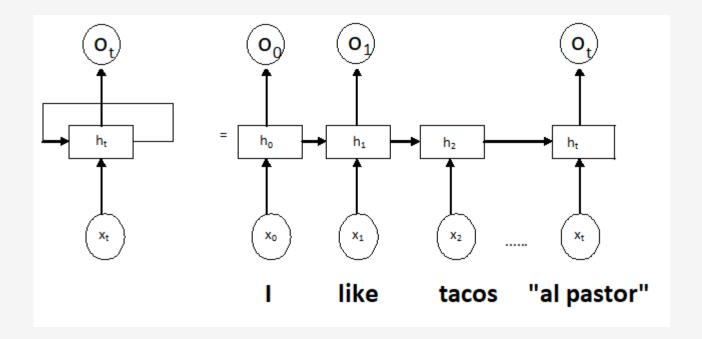
- SGD requires calculating the gradient of the loss function J, ∇J
 - For each example x_i
 - For each parameter $w \in W$

- Do we also update embeddings?
- How do we do that if we want to?

RNN, LSTM, and BiLSTM Neural Language Models

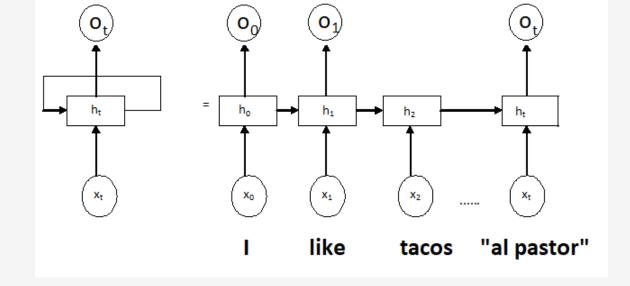
Recurrent neural networks

- How to represent text of varying length?
- Copy the network for each word
- At each timestep t, input is X_t and h_(t-1)
- I + like + tacos + "al pastor"
- Left to right, combining words one at a time
- Sequence classification
- Sequence to sequence



RNNs (formally)

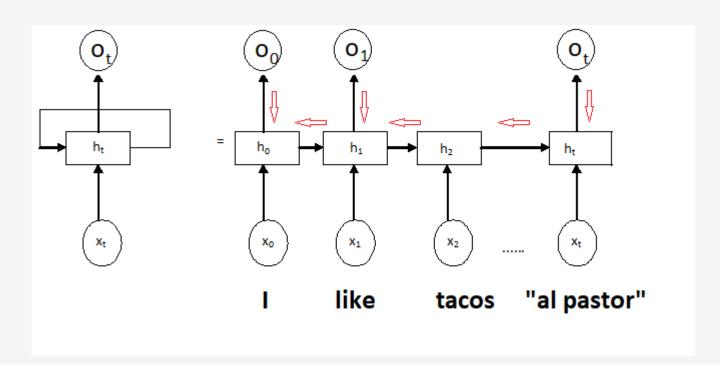
- At each timestep
 - Input x_t, and previous hidden state h_(t-1)
 - Three sets of weights:
 - input (W_x), hidden (W_h), and output (W_o)
 - $h_t = f_h(W_x x_t + W_h h_{(t-1)})$
 - $O_t = f_o(W_o h_t)$
 - $J_t = f(O_t, y_t)$



• Pop quiz: are $W_{x'}$ $W_{h'}$ and W_o at time t_0 different than at time t_1 ?

Backpropagation through time

- Gradients flow backwards
- Propagate the gradient through each step, starting from the last
- Update the three weight matrices
- What would be a potential problem?
 - Exploding/vanishing gradients
 - Saturating non-linearity



Language modeling

- Recall: a language model assigns probability to a sequence of words:
 - Traditional Markov model: $p(w_1, ..., wm) = \prod_{t=1}^m p(w_t | w_1, ..., w_{\binom{t-1}{2}}) \approx \prod_{t=1}^m p(w_t | w_{\binom{t-n}{2}, ..., w_{\binom{t-1}{2}}})$

• Estimate the probability of a word given a history of a predefined length

• Estimate the probability of a sequence by multiplying the probabilities of all words

Pop-quiz: is word2vec a traditional language model?

Neural language modeling

• If we use an RNN based model, we can drop Markov assumption

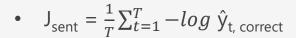
• Hidden state at time t keeps information about everything seen before

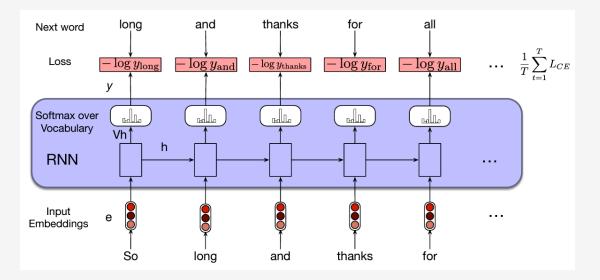
• At each step, we can add a classifier on top of the hidden state and predict the next word

Add special symbols to mark the start and end of the sentence (<s> </s>)

Neural language modeling with RNNs

- More formally:
 - $h_t = tanh(W_x x_t + W_h h_{(t-1)})$
 - $\hat{y}_t = softmax(W_y h_t)$
 - $J_t = -\log \hat{y}_{t, \text{ correct}}$ (- log prob the word at t+1)





Tying the weights

Pop quiz: What are the dimensions of W_y?

• What are the dimensions of the embedding matrix E?

• We can use a quick "trick" and set the dimension of h to be same as the size of the vectors

- Then we can use E^T instead of W_y
 - Easier to train and performing better

The output layer in RNNs

• The output layer "knows" the history until time step t

• If the output layer predicts words, this the RNN becomes a language model (!)

- However, the output layer does not have to predict words
 - RNN can be used for classification, sequence tagging, generation

RNN for sequence tagging

RNN can be trained to predict POS tags

• At each step, use the hidden state to predict POS

• Softmax over tags instead of words

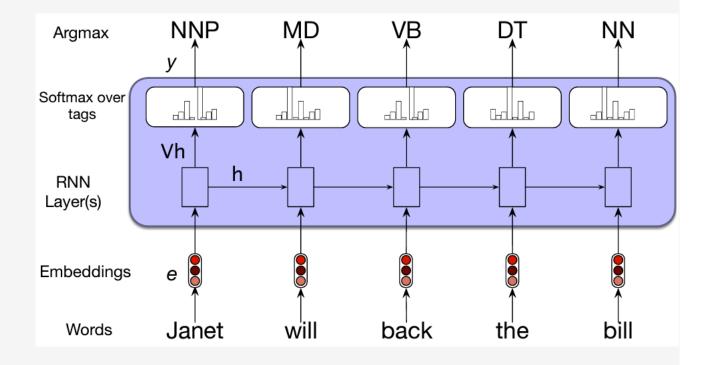


Figure 9.7 from SLP, chapter 9

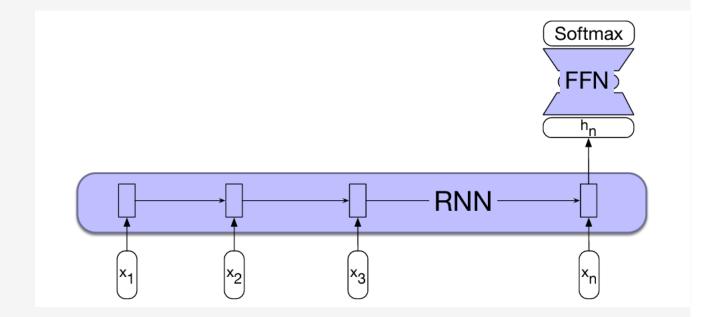
RNN for text classification

• RNN can be used directly for classification

• Only use the last hidden layer

Either predict directly, or add a FFN

Predict intermediate sentiment?



Using RNN to generate text

Generative Al

How do you generate text using a Markov model?

- Generating using RNN
 - Sampling
 - Updating expectations
 - Teacher forcing

Stacked and bidirectional RNNs

• The basic RNN is a powerful tool

• We can go deeper

• Stacking RNNs

Bidirectional RNNs

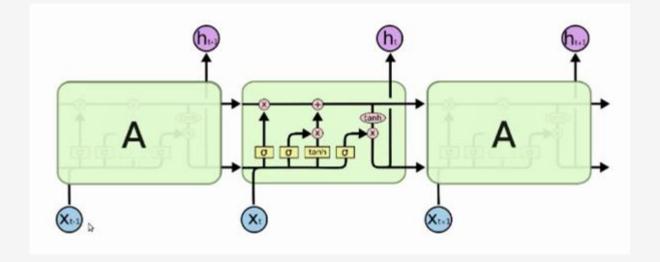
• Pop quiz: in a BiRNN for classification, which hidden states do we use from forward and backwards?

LSTM

- Popular variation of RNN that address native limitations
- Same recurrent concept (copy the network)
- Three different "gates":
 - Forget gate
 - Input gate
 - Output gate
- Gates manipulate the flow of information through time
 - Addressing conflicting objectives

LSTM. Some Notations

- x_t input at time t
- h_t hidden state at t after output gate
 - "short term memory"
- C_t state at t before output gate
 - "long term memory"
- O_t output at t
- W and U weights with respect to x and h



Understanding the gates

- All gates have the same format:
 - A feedforward layer followed by a sigmoid

• The gates are filtering out information at a certain part of the network

- Each gate has two important aspects:
 - How to calculate the filter
 - What is the input that the filter is applied to

LSTM Gates: The forget gate

- Goal: determine how much to keep from the previous information (the long-term memory)
- Formula: $f_t = \sigma(U_f h_{(t-1)} + W_f x_t)$
 - Input at the current state (x_t), weighted by W_f
 - Hidden state at state t-1, weighted by U_f
 - Sigmoid activation (0 forget everything, 1 keep everything)
- "How much information to keep from the Long-term memory, given the current input and short-term memory"
- f_t is applied to $C_{(t-1)}$

LSTM Gates: The new information

- Goal: determine how surprising the new information is
- Formula: $g_t = tanh(U_gh_{(t-1)} + W_gx_t)$
 - This is our "normal" recurrence in RNN
 - Tanh activation: what values does it take?
 - Tanh values are in [-1, 1]
 - If the value of g_t is negative, the new information is subtracted from the memory, rather than added
- Note: this is not a gate, this is just your typical RNN hidden state

LSTM Gates: The input gate

- Goal: determine how much to use from the new information
- Formula: $i_t = \sigma(U_i h_{(t-1)} + W_i x_t)$
 - Similar to the forget gate, but with its own set of weights
- i_t is applied to the value of new information N_t
 - The input at state t is filtered twice: through N_t and i_t
- If we add the information that passes through the input and forget gates, we get the current long-term memory
 - $C_t = f_t * C_{(t-1)} + i_t * g_t$

LSTM Gates: The output gate

- Goal: determine what part of the long-term memory is necessary right now
- Consider machine translation: what is needed to translate current word vs what is needed to translate everything
- Formula: $o_t = \sigma(U_o h_{(t-1)} + W_o x_t)$
 - Very similar to forget and input gates, with its own set of weights
- o_t is applied to the value of C_t after passing it through another tanh
 - $h_t = o_t * tanh(C_t)$
- h_t is the "traditional" hidden state it can be used to make predictions at time step t

LSTM Results and improvements

- LSTM quickly became dominant paradigm in NLP
- Used in a variety of tasks:
 - Sentiment analysis (Wang et al. 2016)
 - Sequence labeling (Miwa et al. 2016)
 - Question Answering (Wang et al. 2015)
 - AMR parsing (Foland et al. 2017)
 - NLI (Chen et al. 2017)

BiLSTM, stacked LSTMs, GRU

Similar to RNN

Deep LSTM

• BiLSTM – the de-facto norm of using LSTMs

• GRU – a different variation of gated RNN, slightly simpler than LSTM

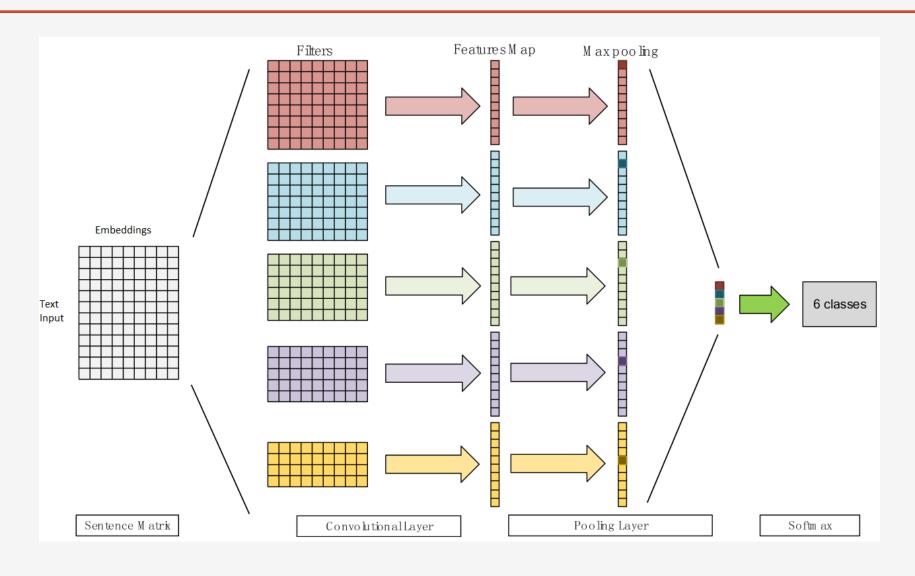
Convolutional neural networks (CNN)

- Another popular architecture for NLP
 - Deals with text of various size

• Inspired by computer vision success

- Applying filters of different size to the input (2,3,4) + pooling
 - Analogous to n-grams

Convolutional neural networks (CNN)



Multimodality and multilinguality

- Embeddings and multilinguality
 - Words of any language can be mapped to vectors
 - Words of different languages can be mapped to the same space
 - Mapping and similarity across languages

- Embeddings and multimodality
 - Images, sound, and other modalities can also be mapped to vectors (e.g., using CNNs)
 - Shared multimodal spaces (vision + language)

The first "should I worry about my job"

Rapid change in technologies can be stressful

- Three "major" milestones in NLP in the past 10 years
 - Word2Vec and end-to-end models (BiLSTM, CNN) 2013
 - BERT (and the transformer family) 2018
 - Large generative language models (GPT3, ChatGPT, Bard) 2022 2023

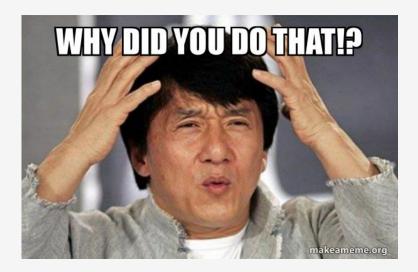
There are still many unsolved problems

Some concerns

Explainability and Interpretability

- Interpreting feature-based models
 - Feature values ("v1agra") + weights = prediction ("spam")

- Interpreting end-to-end neural networks
 - Feature values (300d dense vector)
 - weights (input, forget, output gates)
 - different types of nonlinearity



Explainability and Interpretability

• Why did you do that?

• How did you do that? What makes you say that?

• How can I verify your results?

Bias, Guarantees, and Robustness

Does the algorithm discriminate?

• Does the algorithm contain bias with respect to race, gender, religion, sexual orientation?

• Does the algorithm guarantee consistent and robust performance?

Is the algorithm secure from adversarial attacks?

New fields of study in NLP in the are of deep learning

• Explainability of neural networks

Algorithmic fairness

• Evaluation, unit testing, and adversarial attacks for NLP

Data centric Al

Conclusions

Embeddings in NLP

Embeddings changed the way we do NLP

- Valuable stand-alone resource
 - Can be used to query lexical information
 - Can be used as automatically extracted features
 - Can be used for a simple text representation
 - Static and dynamic embeddings, polisemy

• Enable end-to-end neural models

End-to-end neural models

- Minimizing human interaction and supervision
 - Remove the need of feature engineering
 - Only require labeled data for classification tasks

• Improving efficiency and removing accumulation of errors in pipeline

• Enabling full training without depending on external resources

End-to-end neural models

- Introducing new challenges and problems
 - Increased computational complexity
 - Need for more data
 - Error accumulation becomes internal
 - Difficult to interpret and debug
 - Potentially containing biases
 - Ultimately re-invent many of the pipeline parts during training