



ALMA MATER STUDIORUM  
UNIVERSITÀ DI BOLOGNA

# SOLVING THE HARVEST CPR APPROPRIATION PROBLEM WITH POLICY GRADIENT TECHNIQUES

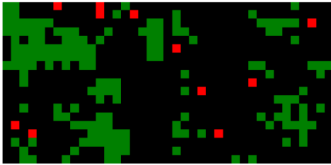
AAS FINAL PROJECT - ACADEMIC YEAR 2020/2021

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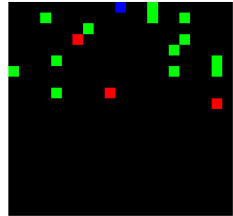
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# ENVIRONMENT



Full environment



Local observation

- Small  $25 \times 7$  grid for the single-agent setting and big  $39 \times 17$  map for multi-agent scenarios
- 9 actions in total: movement + tagging + gifting
- Local observation: RGB image of size  $3 \times 20 \times 21$  (20 squares ahead and 10 squares on each side of the agent)

- Social Learning: reshape the reward function of other agents with the goal of promoting cooperation
- Gifting: peer-rewarding strategy in which agents can reward others with a new specialized action
- Gifting mechanisms: each time an agent sends a gift  $g$ , its gifting budget is decremented by  $g$ 
  - Zero-Sum: the budget is infinite, but the agent incurs a penalty  $-g$  for every gifting action taken
  - Fixed Budget: the budget is fixed at the start of the episode and when it's empty no more gifting can happen
  - Replenishable Budget: the budget expands as a function of collected environmental rewards

1. Single-agent DQN vs VPG with RLLib
2. Custom VPG vs TRPO vs PPO on Cartpole
3. Custom VPG vs TRPO vs PPO on single-agent Harvest
4. Custom PPO on multi-agent Harvest, with and without Zero-Sum gifting
5. Custom PPO on multi-agent Harvest, with Replenishable and Fixed Budget gifting

THANK YOU FOR YOUR ATTENTION

## REFERENCES



A. Lupu and Doina Precup.

**Gifting in multi-agent reinforcement learning.**

In *AAMAS*, 2020.



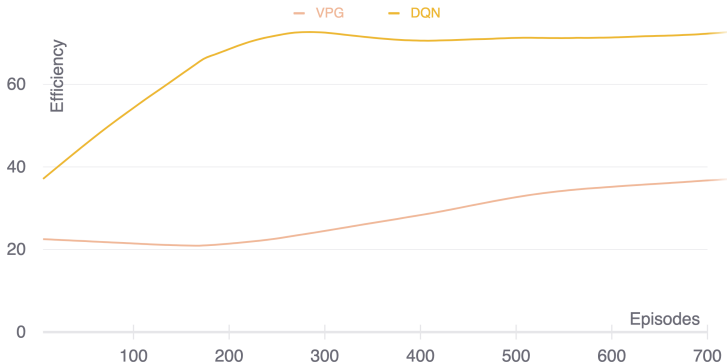
Julien Pérolat, Joel Z. Leibo, Vinícius Flores Zambaldi,  
Charles Beattie, Karl Tuyls, and Thore Graepel.

**A multi-agent reinforcement learning model of  
common-pool resource appropriation.**

*CoRR*, abs/1707.06600, 2017.

BACKUP FRAMES

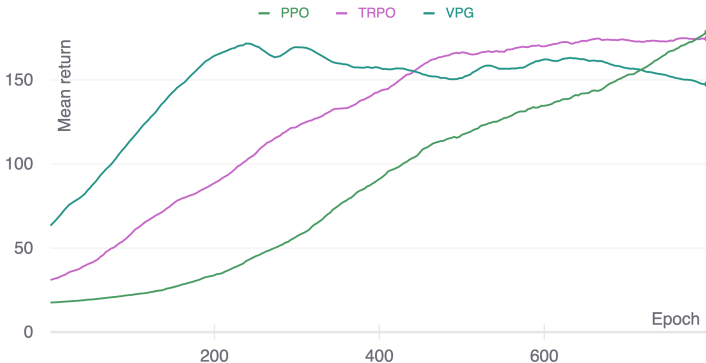
# SINGLE-AGENT DQN VS VPG WITH RLLIB



Value-based methods seem more suited for the Harvest environment (higher returns)



# CUSTOM VPG VS TRPO VS PPO ON CARTPOLE



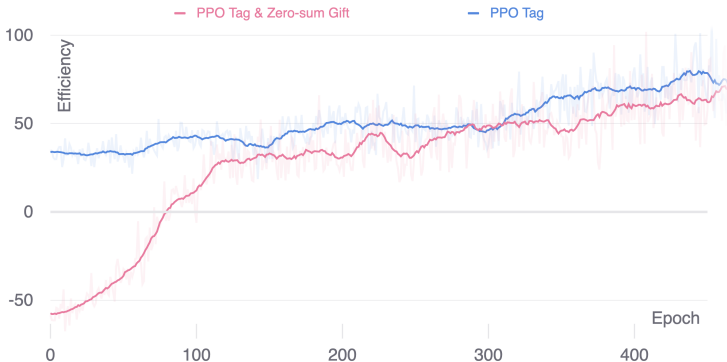
Custom implementations of policy gradient methods are valid, as all agents converge to good returns in the selected test environment

# CUSTOM VPG VS TRPO VS PPO ON SINGLE-AGENT HARVEST



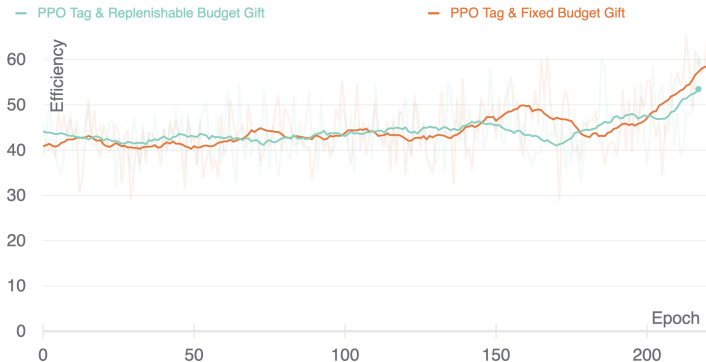
VPG and PPO converge to similar results, while TRPO diverges on the single-agent setting of Harvest

# CUSTOM PPO ON MULTI-AGENT HARVEST, WITH AND WITHOUT ZERO-SUM GIFTING



Agents tend to be very generous at the beginning, while later training stages show that enabling or disabling Zero-Sum leads to similar results

# CUSTOM PPO ON MULTI-AGENT HARVEST, WITH REPLENISHABLE AND FIXED BUDGET GIFTING



Results show that the Replenishable and Fixed Budget gifting strategies tend to follow similar training curves and converge to comparable results