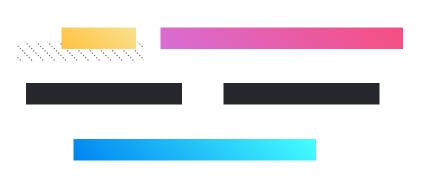
### **Theory of Computing:**

### 2. Finite Automata: DFA



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### **Outline:**

- Revision: Computation & Complexity
- Automaton Process Flow

- Representation of Automata
- DFA Construction and Examples
- Minimizing DFA

- What problems we can solve with a computer?
- And how efficient we solve them if they are computable?

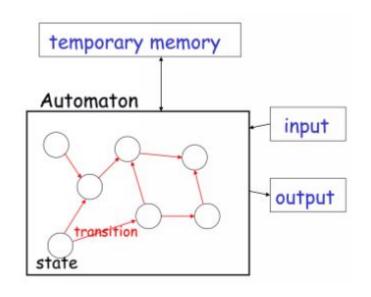
- What problems we can solve with a computer?
- And how efficient we solve them if they are computable?

What type of a computer?

 The part for processing the information or solving a given problem is the

#### **Automaton**

- In this case: CPU + Program memory for a physical device is replaced by:
  - States
  - Transitions



- Automaton :
  - Literal Meaning: a device that can do "things" on its own or a self-operating machine
    - Plural ( Automata )
  - In this course :
    - An abstract device for processing information to solve a given problem. (no labs, only pen and paper)

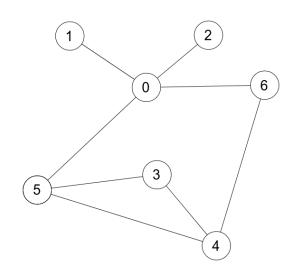
#### Automaton :

- are abstract models of machines that perform computations on an input by moving through a series of states or configurations.
- O At each state of the computation, a transition function determines the next configuration on the basis of a finite portion of the present configuration.
- O As a result, once the computation reaches an accepting configuration, it accepts that input. The most general and powerful automata is the Turing machine

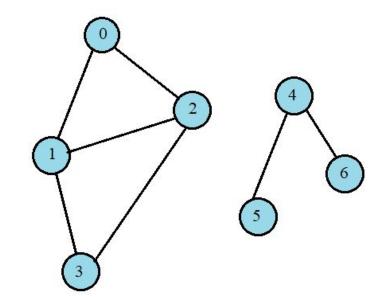
- There are different types of automata including :
  - Finite Automata ( no temporary memory)
  - Pushdown Automata (has a stack)
  - Turing Machines (Random access memory)

- Given a problem, given a computational model?
  - How to feed to the problem into the computational model?

- Given a problem, given a computational model?
  - Are all nodes connected?
  - Or, is this a connected graph?

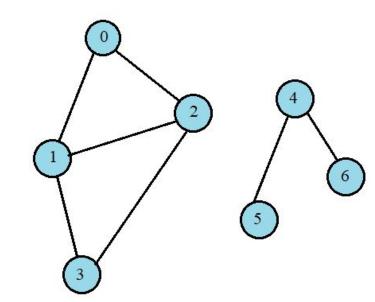


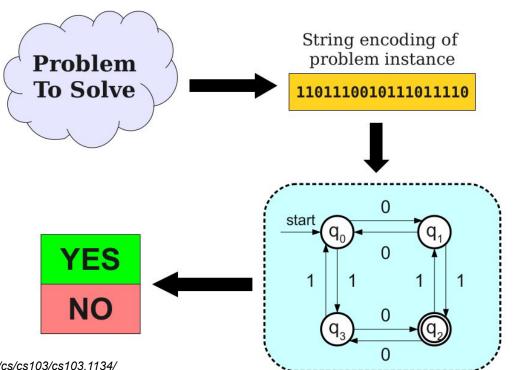
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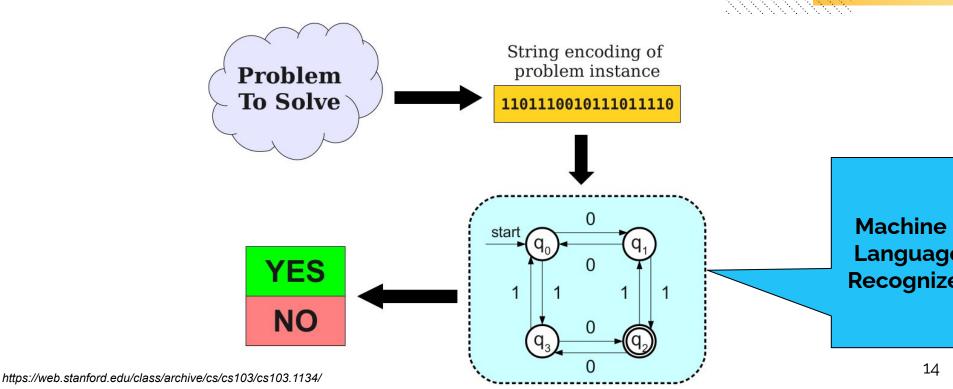


- Given a problem, given a computational model?
  - o Are all nodes connected ?
  - Or, is this a connected graph?

How to automate solving the problem?







#### • Symbol:

Atomic unit such as: a, 1, #, True, False (We cannot split into other units)

#### • Alphabet:

- Finite set of symbols
- Should not be empty
- Usually denoted by Sigma: Σ
- Examples:
  - Binary Alphabet :  $\Sigma = \{0, 1\}$
  - English Alphabet :  $\Sigma = \{ a...z, A...Z \}$
  - Binary Alphabet :  $\Sigma$  = { a, b }
  - Unary Alphabet :  $\Sigma = \{z\}$

#### • String:

- is a word with a **finite** set of symbols taken from the defined set of Alphabet.
- $\circ$  The empty string is denoted by arepsilon
- $\circ$  |x| = the length of the string x
- Examples:
  - $\blacksquare$  x= abababab from :  $\Sigma$  = { a, b }
  - $\blacksquare$  X=1111011 from  $\Sigma$  = { 0 , 1 }
  - $\blacksquare$  x=ENSIA  $\Sigma$  = { a,...,z,A,...Z,SPACE}

#### Powers of An Alphabet : Sets of Strings :

- $\circ$   $\Sigma$  is defined as the alphabet
- $\Sigma^k$  = is the set of all strings composed from the alphabet  $\Sigma$  and have a length of k
- $\circ$   $\Sigma^* = \Sigma^\circ \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \Sigma^4 \cup ... =$ the set of all strings from the alphabet  $\Sigma$ 
  - lacksquare  $\Sigma^*$  Called the universal set of all strings
- $\circ$   $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \Sigma^4 \cup \ldots$  All strings excluding the empty string
- o Examples for  $\Sigma = \{1, 0\}$ 
  - $\Sigma^2 = \{ 00, 01, 11, 10 \}$
  - $\Sigma^4$ = { 0011, 1011,1111, 1110,...}
  - $\Sigma = \{ \varepsilon, 0, 1, 00, 01, 11, 000, 001 ... \}$

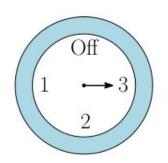
 We have a multi-speed fan and we want to design the logic for its controller.

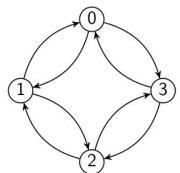


- The equipment has a rotary controller with the inputs { off,1, 2,3,4}
- Does the machine accept the sequence of the following commands/inputs:

Off 
$$\rightarrow 1 \rightarrow 3 \rightarrow 2$$

 Even though, it is trivial to decide, we draw a state diagram for the automaton:





• From the state diagram, we can observe that the sequence of commands Off  $\rightarrow$  1  $\rightarrow$  3  $\rightarrow$  2 is **not recognized**.

- Finite Automaton: is a computational model with an extremely limited amount of memory
  - There is a language
  - There are rules
- The basic components for finite automata are :
  - Finite number of states
  - Transitions between states
- The finite automaton acts a **Language Recognizer/Acceptor** as a decision problem with "Accept" or "Reject" (Or Yes vs No)

 Finite Automaton: is a decision problem solver that checks whether a given word is accepted or rejected

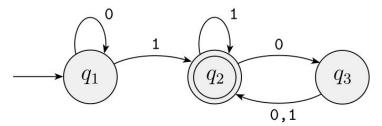


- Finite Automaton :
  - is a mathematical/abstract machine for determining whether a string is contained within some language.

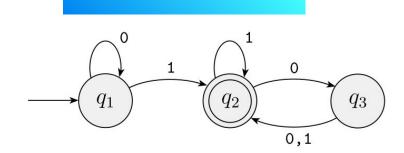
- Finite Automata are everywhere in many applications including :
  - ATMs,
  - Vending Machines
  - Traffic Signal Systems
  - Calculators
  - Washing Machines
  - Compilers
  - Search Engines.
  - Ο.

#### • State Diagram:

is the visual representation of finite automata as shown below :



- States : Circles
- Transitions: Arcs/Arrows/Directed Edges



#### States:

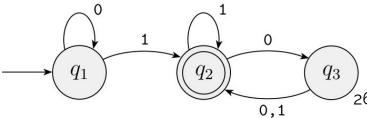
- Start State (q1):
  - There must be one start state with the inbound arrow
- Accepting State (Final or Terminating state ) (q2)
  - Drawn as double-line circle
  - There can be multiple or even none,
  - If the string/word ends at this state, the machine would decide an accept for the word.

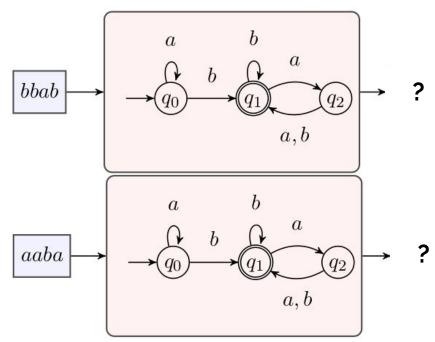
#### Non-Accepting State (q3)

- Drawn as single-line circle
- There can be many or none
- If the machine reaches the end of the word at this state, the word is rejected.

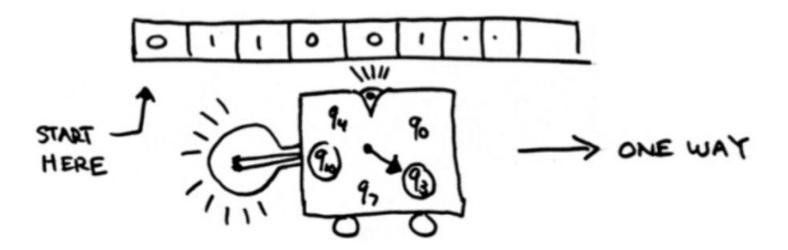
#### • Transitions:

- Are the labelled one-direction arrows between
  - Two different states
  - To the state itself.
- Each transition should have one or more labels reflecting the given input symbol from the alphabet

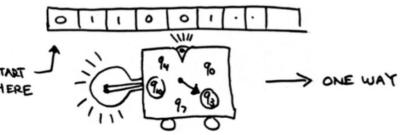




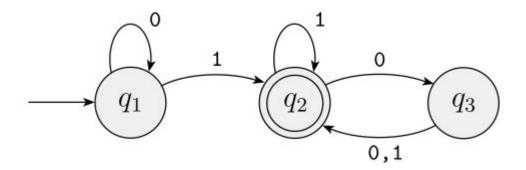
Visualization for a Finite Automaton:

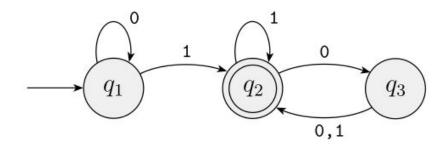


- Visualization for a Finite Automaton :
  - Automaton:
    - Limited Memory to store the current state.
  - The machine reads sequentially the tape in one way.
  - Every symbol the machine reads, the state is updated based on the transitions defined.
  - Whenever, it reaches the end of the tape (word), if the reached state is an accepting, it is an accept, otherwise, it is a reject.

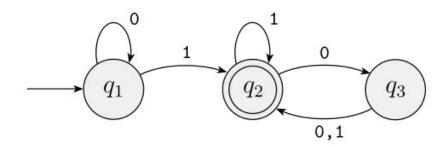


- Simulating the processing of a string on an Automaton:
  - We want to see if the string 1101 is accepted by by the following machine:

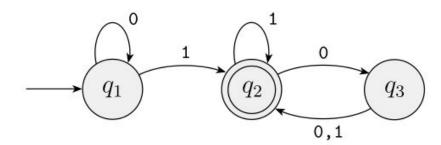




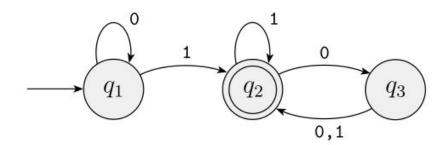
- Simulating the processing of a string on an Automaton:
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    - 1. The machine is initialized at **q1** (The starting state)



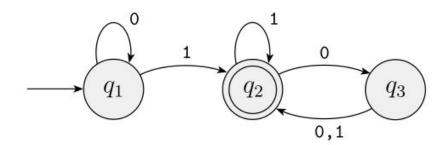
- Simulating the processing of a string on an Automaton:
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    - 2. The machine reads the first symbol 1 (Remember, current state is q1) → Transition to state q2 (We follow the transition label). → Current state is updated to q2 inside the limited memory: q1→q2



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  - We want to see if the string 1101 is accepted by by the following machine:
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    - 2. The machine reads the first **symbol 1** (Remember, current state is q1) → Transition to state **q2** (We follow the transition label). → Current state is updated to **q2** inside the limited memory: **q1**→**q2**
    - 3. The machine moves to read the next symbol: 1, There a transition of input 1 from q2 to q2 → q2: we stay at q2

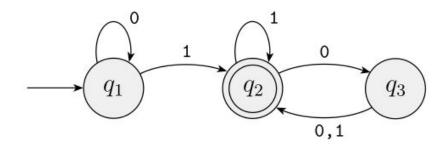


- Simulating the processing of a string on an Automaton:
  - We want to see if the string 1101 is accepted by by the following machine:
    - 4. The machine reads the next symbol o whilst the current state is q2, there is a transition with the label 0 towards q3. The machine updates the current state to q3: q2 → q3



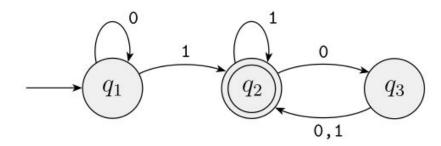
#### • Simulating the processing of a string on an Automaton:

- We want to see if the string 1101 is accepted by by the following machine:
  - 4. The machine reads the next symbol  $\mathbf{0}$  whilst the current state is  $\mathbf{q2}$ , there is a transition with the label 0 towards  $\mathbf{q3}$ . The machine updates the current state to  $\mathbf{q3}$ :  $\mathbf{q2} \rightarrow \mathbf{q3}$
  - 5. The machine reads the final symbol 1, there is a transition from q3 to q2 with the label 1. The machine moves to state q2: q3 → Q2



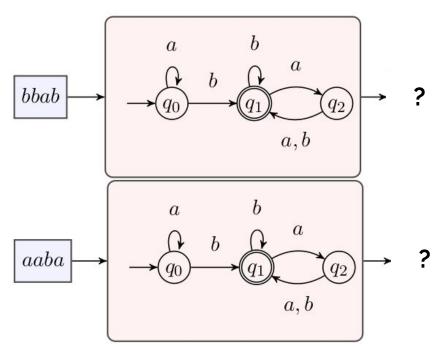
• Simulating the processing of a string on an Automaton:

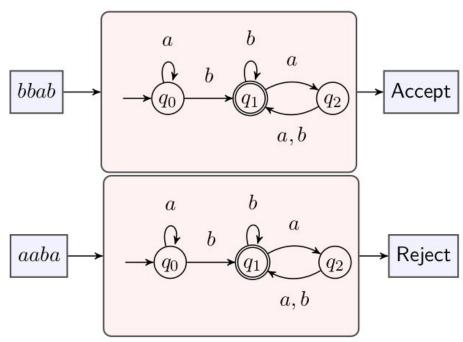
- We want to see if the string 1101 is accepted by by the following machine:
  - The machine read all symbols in the word/string.
  - Terminates at an accepting/final state
  - ⇒ The word is recognized by the finite automata



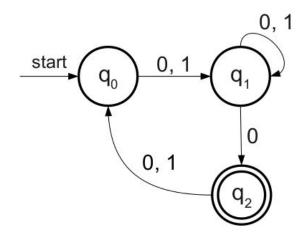
• Simulating the processing of a string on an Automaton:

Let's see if the word "10" is accepted by the machine?

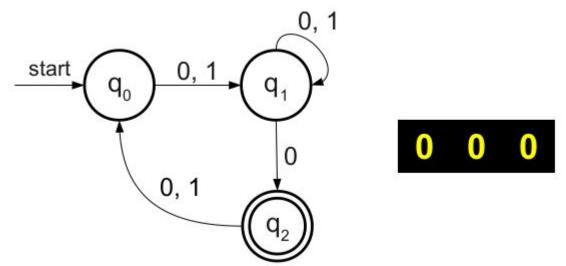




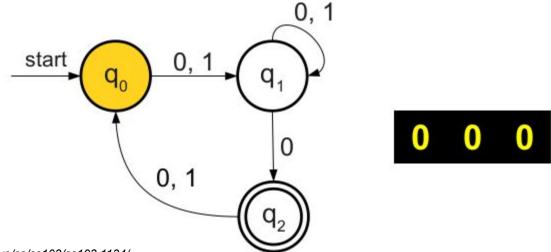
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Simulating the processing of a string on an Automaton:

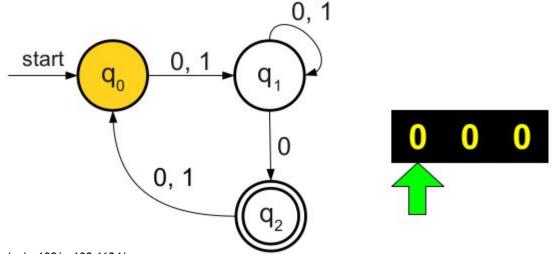


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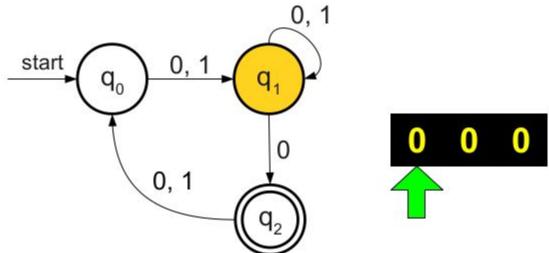


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• Simulating the processing of a string on an Automaton:

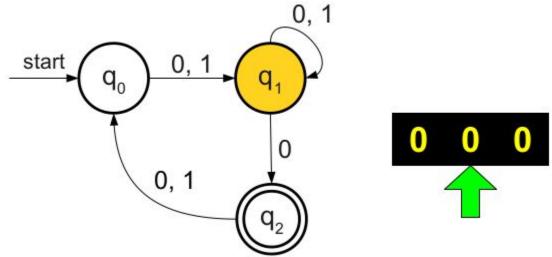


Simulating the processing of a string on an Automaton:



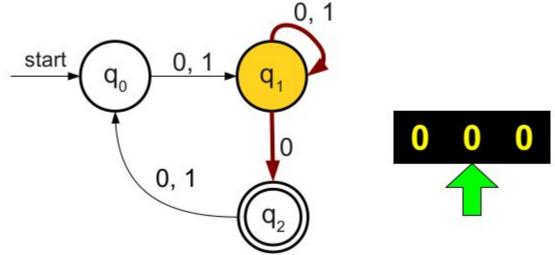
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• Simulating the processing of a string on an Automaton:



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• Simulating the processing of a string on an Automaton:

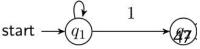


#### Deterministic Finite Automaton :

- Deterministic = Events can be determined precisely
- Finite = Finite and small amount of space used
- Automaton = Computing machine

### Deterministic ?

- From a given state there is only one transition for each symbol + Each transition must have non-empty string symbol.
- The q1 state: There are two transitions for the same symbol 1. Therefore, this is not a deterministic finite automaton 0.1

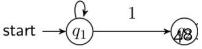


#### Deterministic Finite Automaton :

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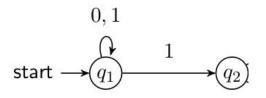
### Deterministic ?

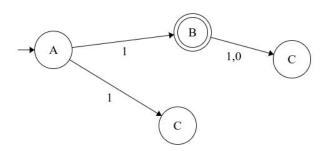
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#### Deterministic Finite Automaton :

- The following Automata are not deterministic because:
  - Left Automata: q1: has two outgoing transitions with input 1
  - Right Automata : A : has two transitions with input 1



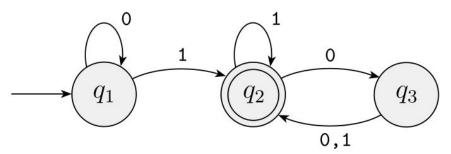


### Formal Definition of Deterministic Finite Automaton:

- Usually Automated are denoted by the letter M
- $\circ$  It is a 5-tuple defined as  $\quad (Q, \Sigma, \delta, q_0, F)$  Such that :
  - 1. Q is a finite set called the *states*,
  - 2.  $\Sigma$  is a finite set called the *alphabet*,
  - **3.**  $\delta: Q \times \Sigma \longrightarrow Q$  is the *transition function*,
  - **4.**  $q_0 \in Q$  is the *start state*, and
  - **5.**  $F \subseteq Q$  is the set of accept states.

#### Transitions Table :

- Finite Automata can be additionally represented by a Transition Table showing all possible transitions at different configuration:
  - For automaton shown  $M = (Q, \Sigma, \delta, q1, F)$  such that
    - $Q = \{q1,q2,q3\}$
    - F= { q2 }
    - $\Sigma = \{ 0, 1 \}$

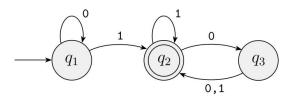


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• 
$$Q = \{q1,q2,q3\}$$

• 
$$\Sigma = \{ 0, 1 \}$$



	<u> </u>	0	1	
	$q_1$	$q_1$	$q_2$	
	$q_2$	$q_3$	$q_2$	
Current	$q_3$	$q_2$	$q_2,$	
Configuration				

- Formal Definition of Computation using Automata:
  - o Let:
    - $\mathbf{M} = (Q, \Sigma, \delta, qo, F)$  be a finite automaton
  - Then **M** accepts **w** if a **sequence** of states  $r_0$ ,  $r_1$ , ...,  $r_n$  in **Q** exists with three conditions:
    - 1.  $r_0 = q_0$ ,
    - **2.**  $\delta(r_i, w_{i+1}) = r_{i+1}$ , for  $i = 0, \ldots, n-1$ , and
    - 3.  $r_n \in F$ .

### Formal Definition of Computation using Automata:

- The notation δ (r,s): means the transition from state r when reading the symbol s
- Remember: δ is a function, it would map to a state.
- What about the notation :
  - δ\*(q,w)

### Formal Definition of Computation using Automata:

- The notation δ (r,s): means the transition from state r when reading the symbol s
- Remember: δ is a function, it would map to a state.
- What about the notation :
  - $\bullet$   $\delta^*(q,w)$
  - Means: the set of transitions starting with state q upon reading a string w ( Set of symbols )

### Regular Language

- Let M a finite Automata:
- We say that M recognizes language L if L = { w | M accepts/recognizes w}

language is called a **regular language** if some finite automaton recognizes it

Designing Automata

### DESIGNING FINITE AUTOMATA

Whether it be of automaton or artwork, design is a creative process. As such, it cannot be reduced to a simple recipe or formula. However, you might find a particular approach helpful when designing various types of automata. That is, put *yourself* in the place of the machine you are trying to design and then see

## **Important!**

When you design your abstract machine for a given language:

- 1. The machine should not miss words from the language
  - 2. Accept words not from the Language

DE:

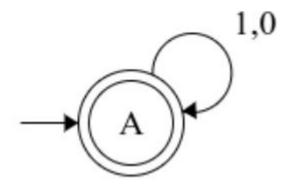
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ch, ind hat see

- Designing Automata:
  - o Alphabet  $\Sigma = \{ 0, 1 \}$
  - ∘ Design M which recognizes L =  $\Sigma^*$

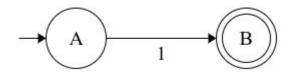
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- Designing Automata :
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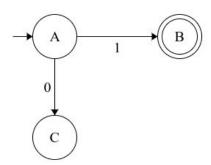


What happens if O is given as input?

### Trap or Dead States:

- Is a state that once entered, you can never leave.
- Used either:
  - to reject partly read strings that will never be accepted.
  - To accept party read strings that will definitely be accepted

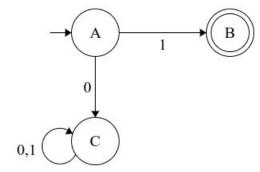
- Designing Automata:
  - o Alphabet  $\Sigma = \{0, 1\}$
  - Design M which recognizes L = { 1 }
    - We create a trap case C to deal with the rejected string o



What about the string 01 or 00 or 001 ....

- Designing Automata:
  - $\circ$  Alphabet  $\Sigma = \{0, 1\}$
  - Design M which recognizes L = { 1 }
    - The trap case now can handles other rejected string <u>starting</u>

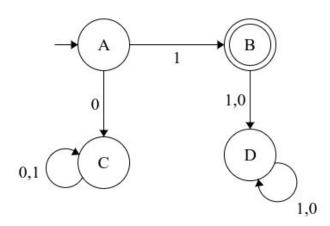
with zero



■ What about the string 11 or 10 or 1001 ....

### • Designing Automata:

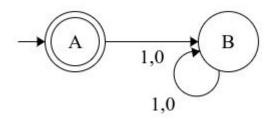
- o Alphabet  $\Sigma = \{ 0, 1 \}$
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- Designing Automata :
  - o Alphabet  $\Sigma = \{ 0, 1 \}$
  - Design M which recognizes L = {ε}

### • Designing Automata:

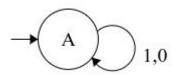
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- Designing Automata :
  - o Alphabet  $\Sigma = \{ 0, 1 \}$
  - Design M which recognizes L = Ø

### • Designing Automata:

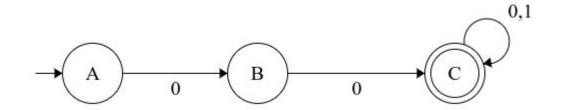
- Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = Ø



- Designing Automata:
  - o Alphabet  $\Sigma = \{ 0, 1 \}$
  - Design M which recognizes L = {w ∈ {0, 1}\* | w starts with 00 }

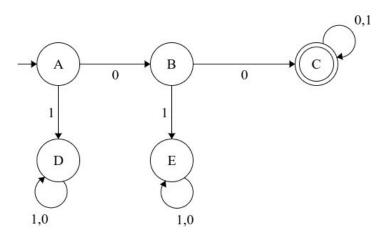
### Designing Automata:

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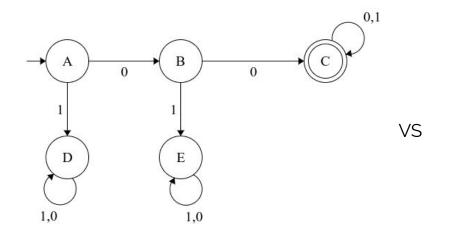


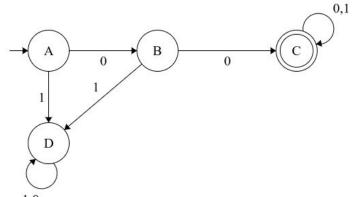
Let's add the dead states

- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w starts with 00 }

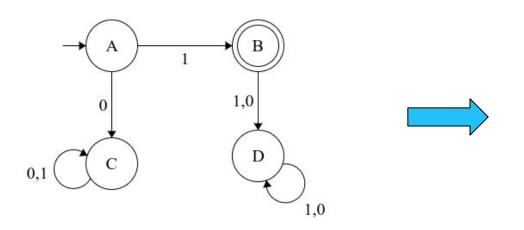


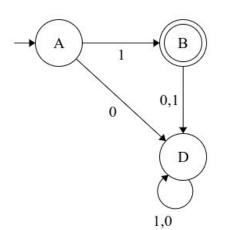
- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w starts with oo }





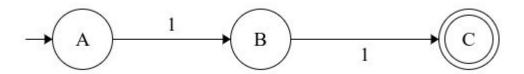
- Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = { 1 }



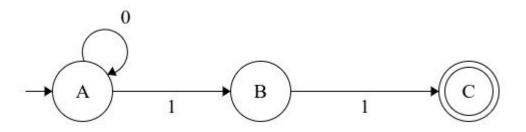


- Designing Automata:
  - $\circ$  Alphabet  $\Sigma = \{ 0, 1 \}$
  - Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }

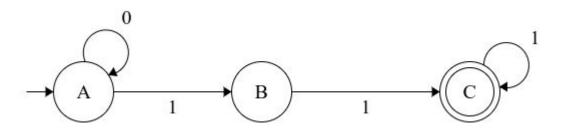
- Alphabet Σ = { 0 , 1 }
- Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }
  - The following accepts 11
  - What about: **011**? what to do?



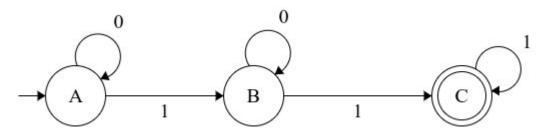
- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }
  - The following accepts 011
  - What about: **111**? what to do?



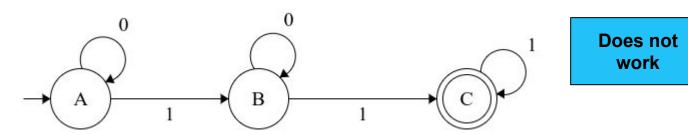
- Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }
  - The following accepts 111
  - What about: **01011**?



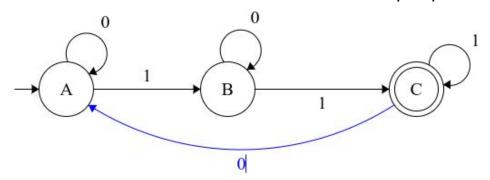
- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }
  - The following accepts **01011**
  - What about: **000011100011**?



- Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }
  - The following accepts **01011**
  - What about: 000011100011?

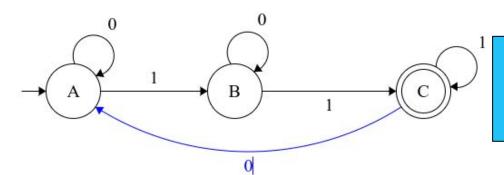


- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L =  $\{w \in \{0, 1\}^* \mid w \text{ ends with } 11\}$ 
  - The following accepts **01011**
  - What about: 000011100011? Check for further cases?



#### Designing Automata :

- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L =  $\{w \in \{0, 1\}^* \mid w \text{ ends with } 11\}$ 
  - What about: **000011100011**?
  - Let's Check further cases? back to the simple word: 101?

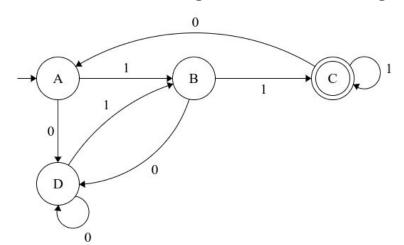


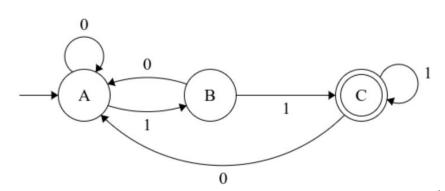
101: is accepted by the machine but it is not part of the language

#### • Designing Automata:

- o Alphabet  $\Sigma = \{0, 1\}$
- Design M which recognizes L = {w ∈ {0, 1}\* | w ends with 11 }

**VS** 





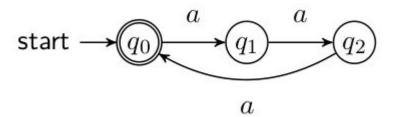
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- Designing Automata:
  - o Alphabet  $\Sigma = \{0, 1\}$
  - Language L such that ...

- Designing Automata :
  - o Alphabet  $\Sigma = \{0, 1\}$
  - Language L such that ..solution

#### • DFA for a Complement of a Language:

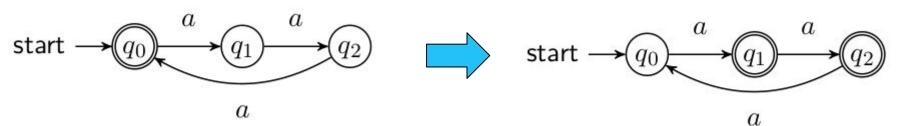
- M is the automaton for the language L = { w | the length of w is divisible by 3 }
- Alphabet is { a }
- Language L: {, aaa, aaaaaa, aaaaaaaaa, . . .}



- DFA for a Complement of a Language :
  - What's the automaton for the complement L' = { w | the length of w is
    not divisible by 3 }
  - Alphabet is { a }
  - Language L = { a, aa, aaaaaaa, aaaaaaaaa, . . .}

#### DFA for a Complement of a Language :

- What's the automaton for the complement L' = { w | the length of w is
  not divisible by 3 }
- Alphabet is { a }
- Language L = { a, aa, aaaaaaa, aaaaaaaaa, . . .}

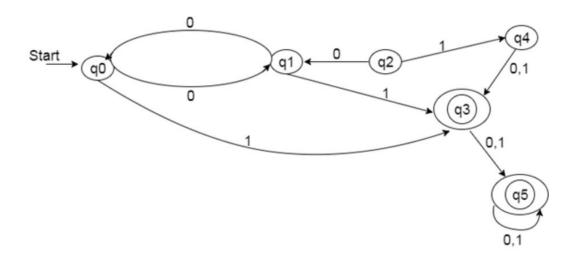


- DFA for a Complement of a Language :
  - Given
    - **M** = (Q, Σ, δ, qo, F) over the alphabet Σ,
    - its complement is :  $M' = (Q, \Sigma, \delta, q0, Q-F)$  (Another notation of difference : \
  - o Let  $w \in \Sigma^*$ , then  $w \in L(M')$  iff  $\delta^*(qo,w) \in Q-F$  iff  $\delta^*(qo,w) \in Q-F$  iff  $\neg$  ( $\delta^*(qo,w) \in F$ ) iff  $\neg$  ( $w \in L(M)$ ) iff  $w \in \Sigma^* L(M)$

- The steps or the Algorithm to minimize deterministic finite automaton:
  - Find Unreachable states and remove them
    - Any state that cannot be reached directly or indirectly from the start state
  - For other remaining states: Create two groups: Accept vs Non-Accept:
    - For each set **W**:
      - For each pair (B, V) of states in W:
        - See of B and V are **distinguishable** = their transitions for some symbol belongs to different **sets**.
        - If distinguishable → create a new set for the alien state(s)
          (Group alien states into a single set if their transition states belong to the same set)
  - Find Dead states and merge them if possible on the same input.

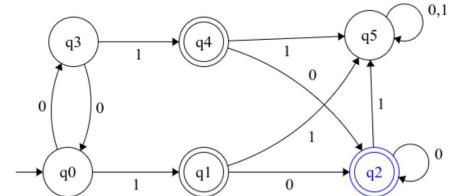
- Simpler version in plain English:
  - Group "equivalent" states into a single "region" or set:
  - Equivalent =
    - States equivalent if they lead to the same "region"
    - Region = set of equivalent states.
  - If elements in a grouped set/region are not equivalent:
    - We create a separate "region" for them.
  - We keep splitting regions until we are no able to split = all elements in each region are equivalent.

- Finding Unreachable States
  - Q2 and Q4 are not reachable from Q0



#### • Partition Algorithm

- Two sets:
  - N = { q0, q3, q5}, A = { q1,q2,q4}
- Partitioning round 1:
  - For N = { q0, q3, q5}:
    - For qo: { for  $0 \rightarrow q3$ , for  $1 \rightarrow q1$  }  $q3 \in N$ ,  $q1 \in A$
    - For q3: { for  $0 \rightarrow q0$ , for  $1 \rightarrow q4$ }  $q0 \in \mathbb{N}$ ,  $q4 \in \mathbb{A}$
    - For q5: { for  $0 \rightarrow q5$ , for  $1 \rightarrow q5$ }  $q5 \in \mathbb{N}$ ,  $q5 \in \mathbb{N}$ 
      - Equivalence Check : Finding states which are **not distinguishable**
      - qo vs q3 : for both, at o , results  $\in$  N, at 1, results  $\in$  A  $\rightarrow$  equivalent.
      - o qo vs q5 : at o, both results  $\subseteq$  N, **but for 1,** they belong to different sets  $\rightarrow$  **partitioning to a different set for q5** 
        - $N \rightarrow N1 = \{q0,q3\} \text{ and } N2 = \{q5\}$



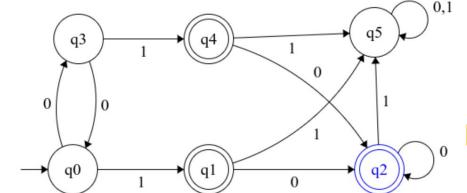
- Partition Algorithm
  - Two sets:
    - N1 ={q0,q3}, N2 = { q5}, A = { q1,q2,q4}
  - Partitioning round 1:
    - For A = { q1, q2, q4} :
      - For q1: { for  $0 \rightarrow q2$ , for  $1 \rightarrow q5$  }  $q2 \in A$ ,  $q5 \in N2$
      - For q2: { for  $0 \rightarrow q2$ , for  $1 \rightarrow q5$ } q2  $\in$  A, q5  $\in$  N2
      - For q4: { for  $0 \rightarrow q2$ , for  $1 \rightarrow q5$ }  $q2 \in A$ ,  $q5 \in N2$ 
        - Equivalence Check : Finding states which are not distinguishable

q3

q0

- o q1 vs q2 : for both, at 0 , results  $\in$  A, at 1, results  $\in$  N2 → equivalent.
- q1 vs q4 : for both, at 0 , results  $\in$  A, at 1, results  $\in$  N2  $\rightarrow$  equivalent.
- $\circ$  q2 vs q4 : for both, at 0 , results ∈ A, at 1, results ∈ N2  $\rightarrow$  equivalent.
  - No partitioning is needed

0,1



#### Partition Algorithm

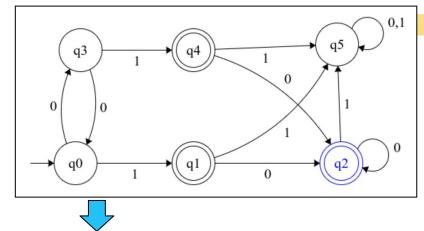
- Two sets:
  - $\blacksquare$  N1 = {q0,q3}, N2 = {q5}, A = {q1,q2,q4}
- o Partitioning round 2:
  - For N1 = { q0, q3} :
    - For qo: { for  $0 \rightarrow q3$ , for  $1 \rightarrow q1$  }  $q3 \in N$ ,  $q1 \in A$
    - For q3: { for  $0 \rightarrow q0$ , for  $1 \rightarrow q4$ }  $q0 \in \mathbb{N}$ ,  $q4 \in \mathbb{A}$ 
      - Equivalence Check: Finding states which are not distinguishable
      - $\circ$  q0 vs q3: for both, at 0, results  $\in$  N, at 1, results  $\in$  A  $\rightarrow$  equivalent.

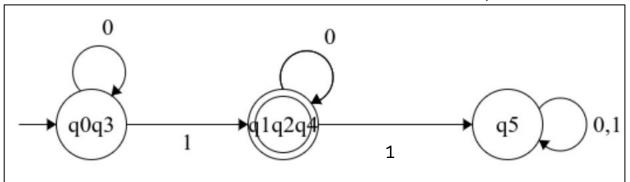
#### No partitioning is needed

- For N2, no need, it a single set..
- Partitioning round 3: no need as the no further partitioning is conducted.

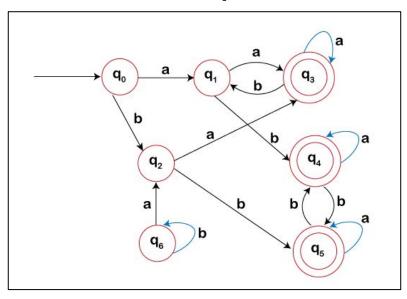
#### Partitioning Algorithm

- The new states:
  - {q0,q3} by merging : q0 and q3
  - { q5}
  - { q1,q2,q4} by merging three states:

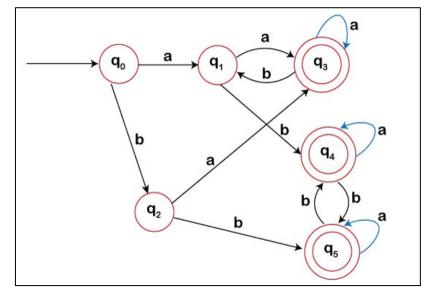




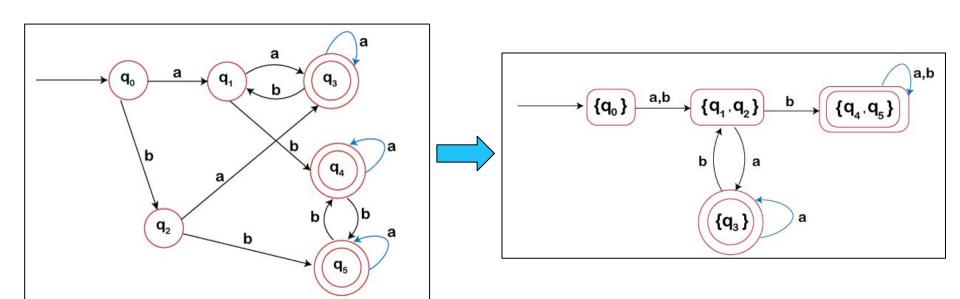
Another Example







Another Example



### **Questions**

How to tell if two different automata correspond to the same language?

 Provided that you have designed the finite automaton for validating email address. How to put it into action to extract all valid emails from a given text