ARCOS Group

Computer Science and Engineering Department
Universidad Carlos III de Madrid

Lesson 5 (a) Memory Management

Operating System Design

Degree in Computer Science and Engineering, Double Degree CS&E + BA



Recommended readings



Carretero 2007:

1. Chapter 4

Base





- Tanenbaum
 2006(en):
 - 1. Chapter 4
- 2. Stallings 2005:
 - Part three
- Silberschatz 2006:
 - Chapter 4

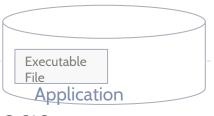
Remember...

- To study the associated theory.
 - Better study the bibliography readings because the slides are not enough.
 - ▶ To add questions with answers, and proper justification.
- 1. To review what in class is introduced.
 - To do the practical Linux task step-by-step.
- 2. To practice the knowledge and capacities.
 - To do the practical tasks as soon as possible.
 - ▶ To do as much exercises as possible.

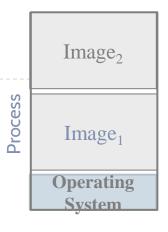
Overview

- Introduction to memory usage
 - 1. Abstract model
 - 2. Definitions and environments
 - 3. Regions of process memory
 - 4. How to prepare an executable
- Introduction to Virtual Memory

Overview

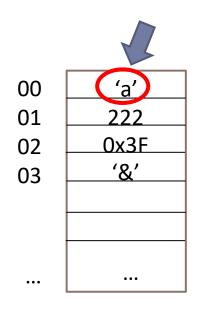


- Introduction to memory usage
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Basic usage of memory address, value, and size



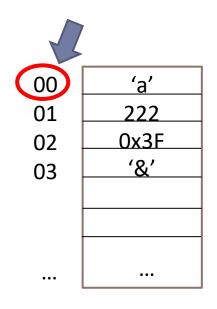


▶ Value

Element stored in memory.

Basic usage of memory address, value, and size

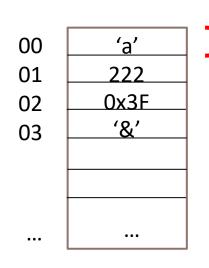




- **▶** Value
 - ▶ Element stored in memory.
- **▶** Address
 - Place in memory.

Basic usage of memory address, value, and size





▶ Value

- Element stored in memory.
- **▶** Address
 - Place in memory.
- Size
 - Number of bytes needed to stored the value.

Basic usage of memory functional interface



00	ʻa'
01	222
02	0x3F
03	' &'
•••	

- Value = read (Address)
- write (Address, Value)

(Tip) Before to access into a address, it must point to a memory area previously allocated.

Overview



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Introduction

Definitions

Application

Process image

Process

▶ Environments monoprogramming

multiprogramming

Introduction

Definitions

Application

Process image

Process

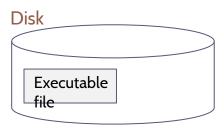
Environments monoprogramming

multiprogramming



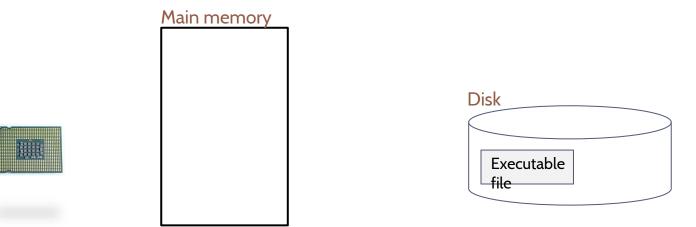
Application: set of data and instruction sequence that is able to perform a specific task or work.





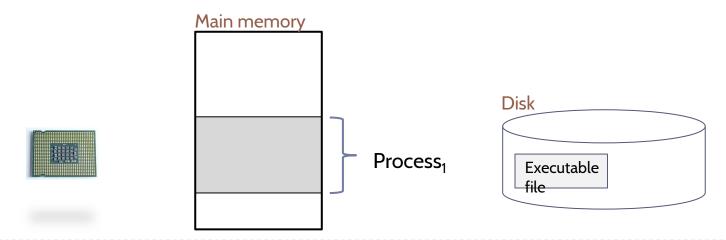


- Application: set of data and instruction sequence that is able to perform a specific task or work.
 - In order to be executed it has to be in memory.



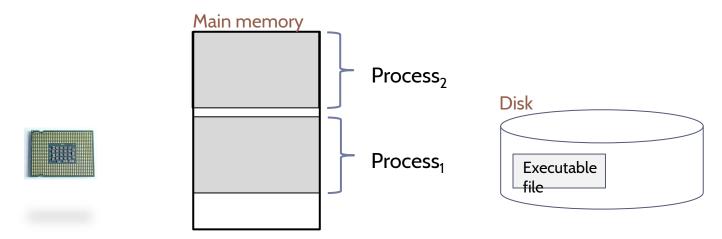


Process: executing application.

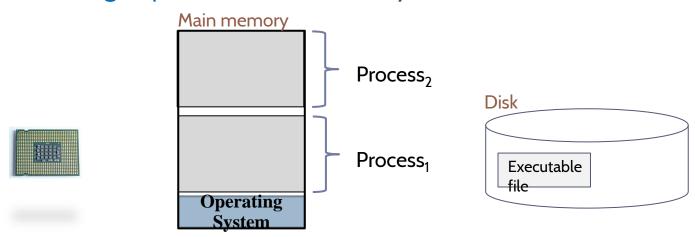




- Process: executing application.
 - It is possible that the same application executes several times (we will found several process in memory)



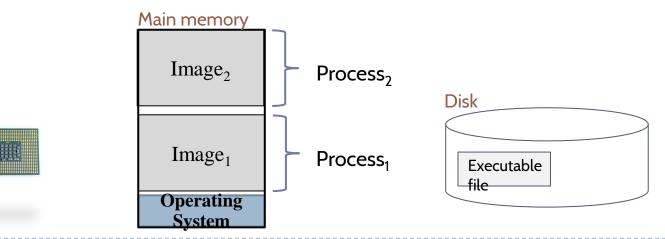
- Process: executing application.
 - ► The Operating System is loaded in memory and it performs the memory management in order to shared the memory among all process (in a similar way like it does with the CPU).



Process image



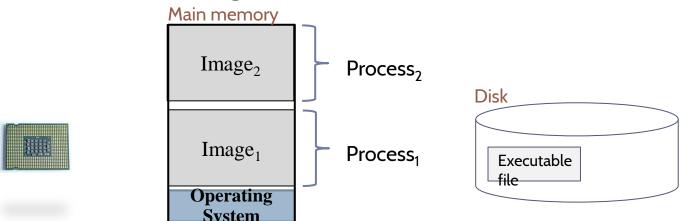
▶ Memory image: sequence of memory addresses (and its contents) allocated for a process.



Process image



- Memory image: sequence of memory addresses (and its contents) allocated for a process.
 - Part of the control information is not in the PCB because efficiency reasons and sharing reasons.



Introduction

Definitions

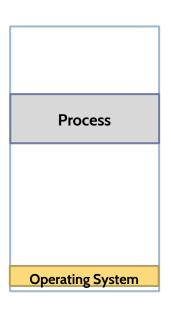
Application

Process image

Process

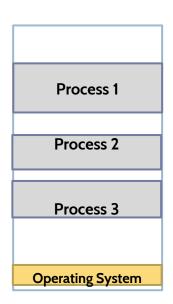
Environments monoprogramming multiprogramming

Monoprogramming Systems



- Only one process is executed at a time.
- Memory is shared between the operating system and the process.

▶ Ej.: MS-DOS, DR-DOS, etc.



- More than one process is kept in main memory.
- ▶ It improves the CPU occupancy:
 - ▶ If process blocks -> execute another
- ▶ The memory management work is basically a constrained optimization task.
- ► E.g.: Unix, Windows NT, etc.

Process 1

Process 2

Process 3

Operating System

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- More than one process is kept in main memory.
- ▶ It improves the CPU occupancy:
 - If process blocks -> execute another
- ▶ The memory management work is basically a constrained optimization task.
- ▶ E.g.: Unix, Windows NT, etc.

example of computing the CPU usage

Process 2

Process 3

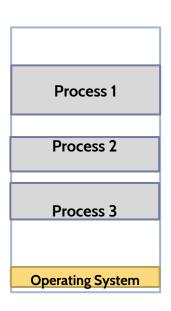
Operating System

n = 5 independent process

ightharpoonup p = 0,8 of time blocked (20% on CPU)

■ usage = 5*20% → 100%

example of computing the CPU usage



- **p** = % of time that a process is blocked
- **p**ⁿ = probability of n independent processes being all blocked
- ▶ 1 pⁿ = probability of CPU **not** being idle
 - ▶ n = 5 independent process
 - ightharpoonup p = 0,8 of time blocked (20% on CPU)
 - usage = 5*20% → 100%
 - usage = 1-0,8⁵ → 67% 1-0,8¹⁰ → 89%

Introduction

summary

Definitions

Application

Process image

Process

► Environments

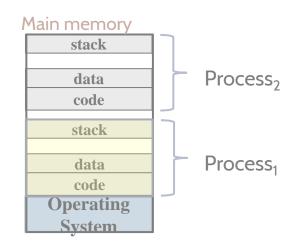
monoprogramming

multiprogramming

Overview

Introduction to memory usage

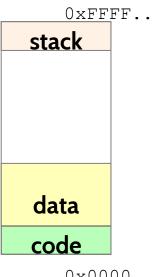
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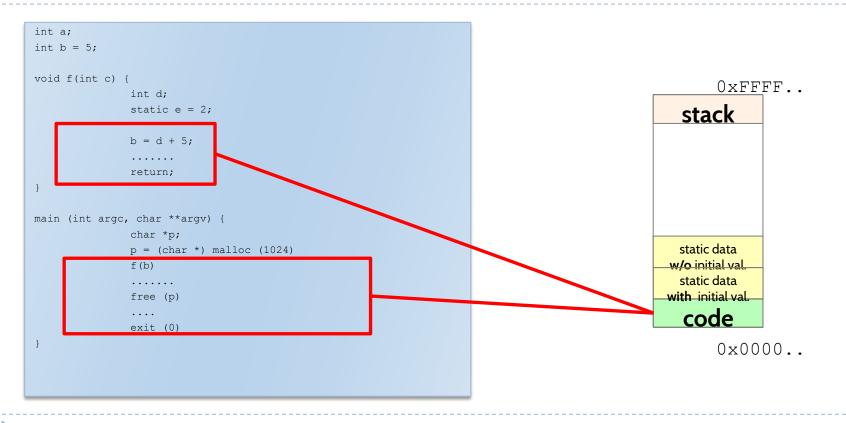
Logical organization (applications) process memory model

- One process consists of a list of memory regions.
- ▶ One region is one contiguous memory area of the process address space where all elements have the same properties.
- Main properties:
 - Permissions: read, write, and execution.
 - Shared among threads: private or shared
 - Size (fixed/variable)
 - Initial value (with or without support)
 - Static or dynamic creation
 - Growth direction

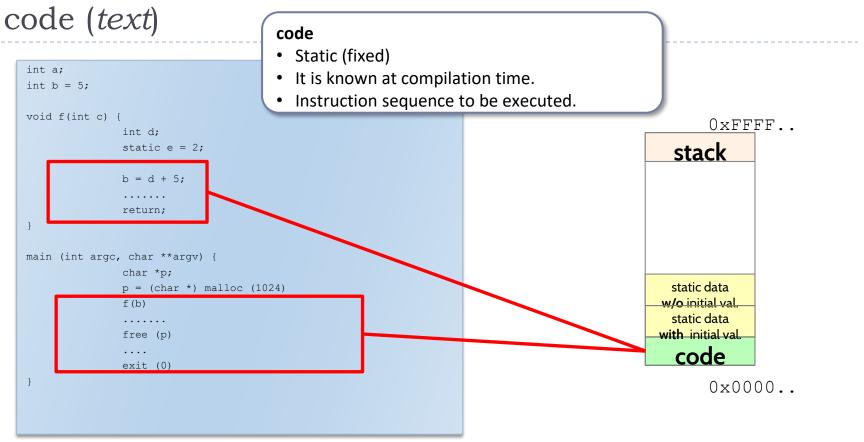


0x0000..

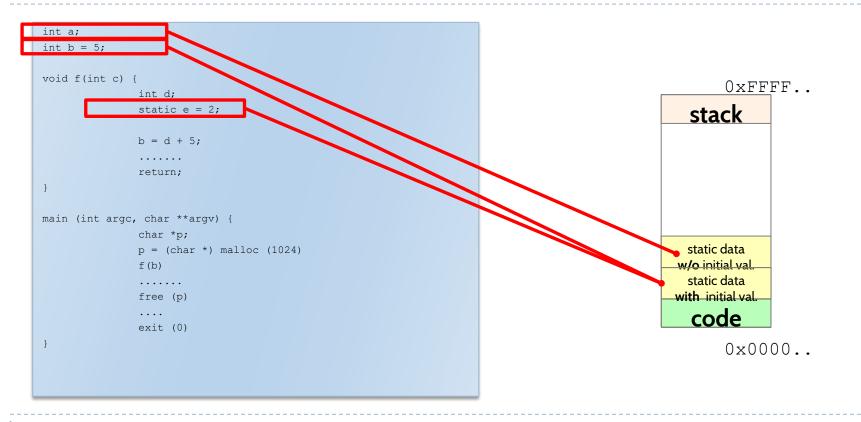
Main process regions code (text)



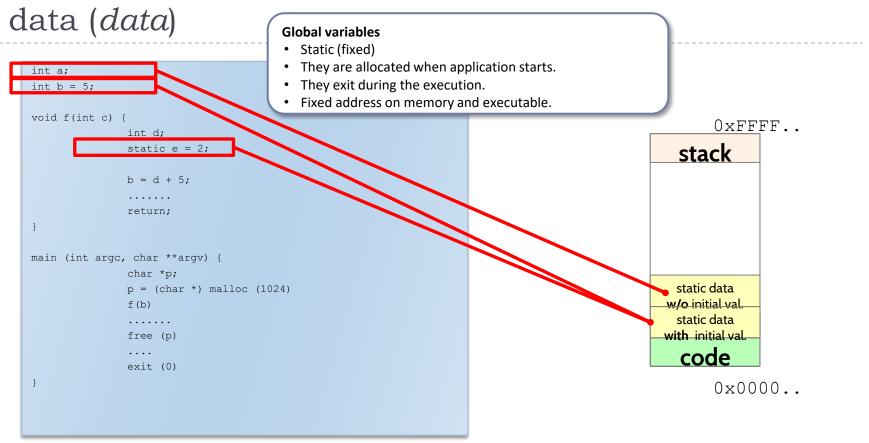
Main process regions



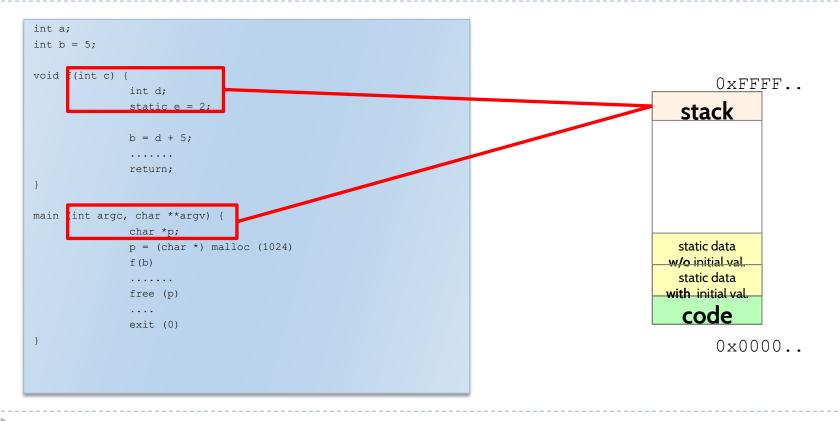
Main process regions data (data)



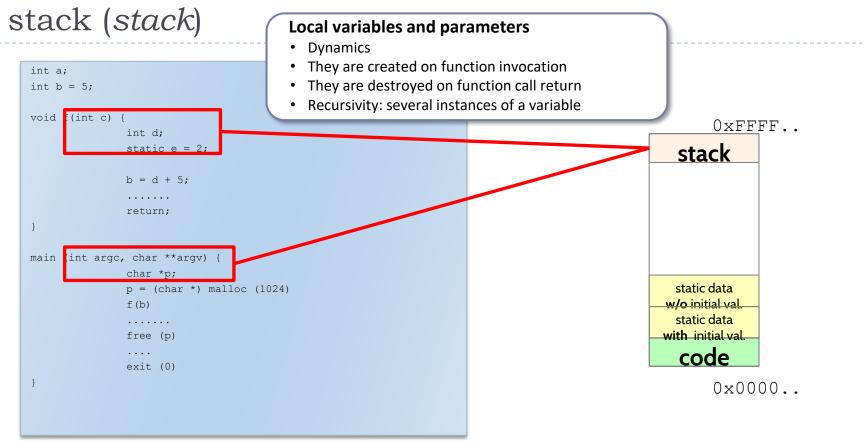
Main process regions



Main process regions stack (stack)



Main process regions

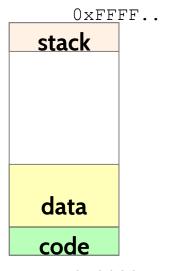


Main process regions stack (stack)

```
int a;
int b = 5;
void f(int c) {
                                                                                                            0xFFFF..
               int d;
               static e = 2;
                                                                                                       stack
               b = d + 5;
                                                                                                      stack'
               . . . . . . .
               return:
main (int argc, char **argv) {
               char *p;
                                                                                                       static data
               p = (char *) malloc (1024)
                                                                                                     w/o initial val
               f (b)
               ..... pthread create(f...)
                                                                                                       static data
                                                                                                     with initial val.
               free (p)
                                                                                                       code
               exit (0)
                                                                                                            0x0000..
```

Logical organization (applications) process memory model

- ▶ Code or text
 - ► Shared, RX, Fixed Size, executable support
- ▶ Data
 - ▶ With initial value
 - ► Shared, RW, Fixed size, executable support
 - Without initial value
 - ► Shared, RW, Fixed size, w/o support (O filled)
- **▶** Stack
 - Private, RW, Variable size, w/o support (O filled)
 - It grows toward lower addresses.
 - Initial stack: application arguments.



0x0000..

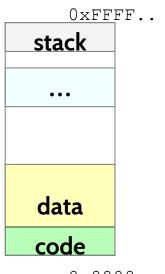
Logical organization (applications) process memory model

▶ Heap

- Support for dynamic memory (C malloc)
- Shared, RW, Variable size, w/o support (O filled?)
- It grows toward higher addresses.

▶ Mapped files

- Region associated to a mapped file.
- Private/Shared, variable size, support on file.
- Protection depends on projection.



0x0000..

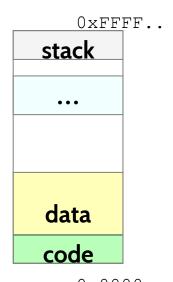
Logical organization (applications) process memory model

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- Protection depends on projection.



0x0000..

Main process regions dynamic data (heap)

```
int a;
int b = 5;
void f(int c) {
                                                                                                      0xFFFF..
              int d;
              static e = 2;
                                                                                                 stack
              b = d + 5;
              . . . . . . .
              return:
                                                                                               dynamic
main (int argc, char **argv) {
                                                                                                  data
              char *p;
              p = (char *) malloc (1024)
              f (b)
                                                                                               static data
              free (p)
                                                                                                 code
              exit (0)
                                                                                                      0x0000..
```

Main process regions

dynamic data (heap) Dynamic variable Variables with no memory space assigned on compilation time. int a; • The memory space is allocated (and free) on runtime. int b = 5; void f(int c) { 0xFFFF.. int d: static e = 2;stack b = d + 5;return; dynamic main (int argc, char **argv) { data char *p; p = (char *) malloc (1024)f (b) static data free (p) code exit (0) 0x0000..

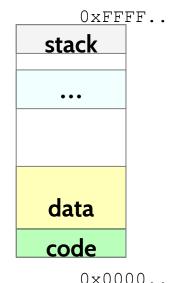
Logical organization (applications) process memory model

▶ Heap

- Soporte de memoria dinámica (C malloc)
- Shared, RW, Variable size, w/o support (O filled?)
- It grows toward higher addresses.

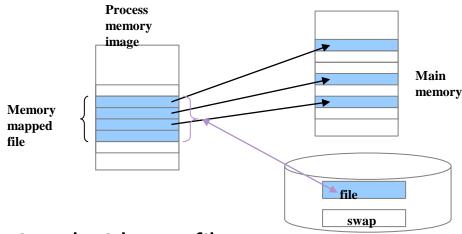
► Mapped files

- Region associated to a mapped file.
- Private/Shared, variable size, support on file.
- Protection depends on projection.



0x0000..

Memory mapped file (1/3)



- ▶ One process region is associated with one file.
- ▶ Some file blocks (pages) are in main memory.
- ▶ The process gets the file contents like it are consecutively in memory (access in memory rather than traditional read/write).

Memory mapped file (2/3)

void *mmap (void *addr, size_t len, int prot, int flags, int fildes, off_t off);

- ▶ Set a map between the process address space and the file descriptor or shared memory object.
 - ▶ It returns the memory address where the maps is going to be.
 - ▶ addr where mapping. If NULL then the O.S. will choose one.
 - ▶ len is the number of bytes to be mapped.
 - prot type of access (reading, writing, or executing).
 - flags options for the mapping operation (shared, private, etc.).
 - ▶ fildes file descriptor of a file or memory object to be mapped.
 - off offset within the file where the mapping is going to start.

void munmap (void *addr, size_t len);

▶ Unmaps part of the process address space that was mapped on the addr address.

Memory mapped file (3/3)

▶ How many times a character appears in a memory mapped file.

```
/* 1) To open the file descriptor */
fd=open(argv[2], O RDONLY));
fstat(fd, &fs); /* Get the file size */
/* 2) To map the file */
org=mmap((caddr t)0, fs.st size, PROT READ, MAP SHARED, fd, 0));
close(fd); /* close the file descriptor */
/* 3) Access loop */
p=org;
for (i=0; i<fs.st size; i++)</pre>
     if (*p++==caracter) contador++;
/* 4) To unmap the file */
munmap(org, fs.st size);
printf("%d\n", contador);
```

Logical organization (applications) process memory model

▶ Heap

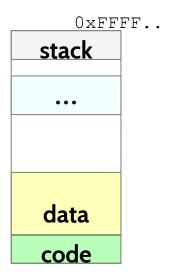
- Soporte de memoria dinámica (C malloc)
- Private, RW, Variable size, w/o support (O filled?)
- lt grows toward higher addresses.

▶ Mapped files

- Region associated to a mapped file.
- ▶ Shared, variable size, support on file.
- ▶ Protection depends on projection.

Dynamic libraries

- Particular case of mapped files.
- Code & data library is mapped to be executed.



0x0000..

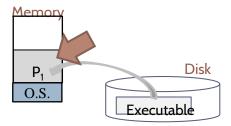
Example of a process memory map

Process' stack Thread 1 stack Dynamic library B Share memory zone Memory mapped file F Dynamic data (heap) static data w/o initial value static data with initial value code

0xFFFF..

0x0000..

To inspect a process



Details of the sections of a process:

```
acaldero@phoenix:~/infodso/$ cat /proc/1/maps
b7688000-b7692000 r-xp 00000000 08:02 1491
                                                /lib/libnss files-2.12.1.so
                                                /lib/libnss files-2.12.1.so
b7692000-b7693000 r--p 00009000 08:02 1491
b7693000-b7694000 rw-p 0000a000 08:02 1491
                                                /lib/libnss files-2.12.1.so
b7694000-b769d000 r-xp 00000000 08:02 3380
                                                /lib/libnss nis-2.12.1.so
                                                /lib/libnss nis-2.12.1.so
b769d000-b769e000 r--p 00008000 08:02 3380
b769e000-b769f000 rw-p 00009000 08:02 3380
                                                /lib/libnss nis-2.12.1.so
b769f000-b76b2000 r-xp 00000000 08:02 1414
                                                /lib/libns1-2.12.1.so
b76b2000-b76b3000 r--p 00012000 08:02 1414
                                                /lib/libns1-2.12.1.so
b76b3000-b76b4000 rw-p 00013000 08:02 1414
                                                /lib/libns1-2.12.1.so
b76b4000-b76b6000 rw-p 00000000 00:00 0
b78b7000-b78b8000 r-xp 00000000 00:00 0
                                                [vdso]
b78b8000-b78d4000 r-xp 00000000 08:02 811
                                                /lib/ld-2.12.1.so
b78d4000-b78d5000 r--p 0001b000 08:02 811
                                                /lib/ld-2.12.1.so
b78d5000-b78d6000 rw-p 0001c000 08:02 811
                                                /lib/ld-2.12.1.so
b78d6000-b78ef000 r-xp 00000000 08:02 1699
                                                /sbin/init
b78ef000-b78f0000 r--p 00019000 08:02 1699
                                                /sbin/init
b78f0000-b78f1000 rw-p 0001a000 08:02 1699
                                                /sbin/init
b81e5000-b8247000 rw-p 00000000 00:00 0
                                                [heap]
bf851000-bf872000 rw-p 00000000 00:00 0
                                                [stack]
```

address

perm. offset dev i-node

name

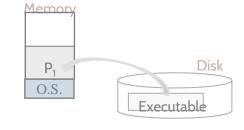
Exercice fill the attribute for typical regions

Region	Support	Protection	Shared/Priv.	Size
code	File	RX	Shared	Fixed
	•••			

Overview

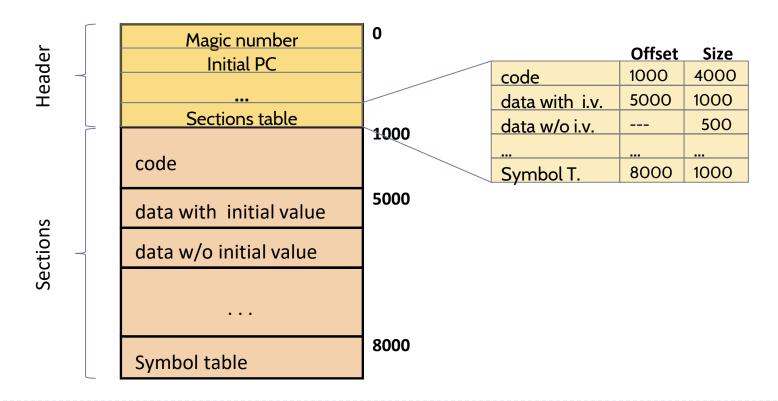
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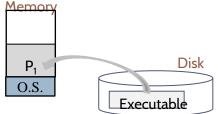


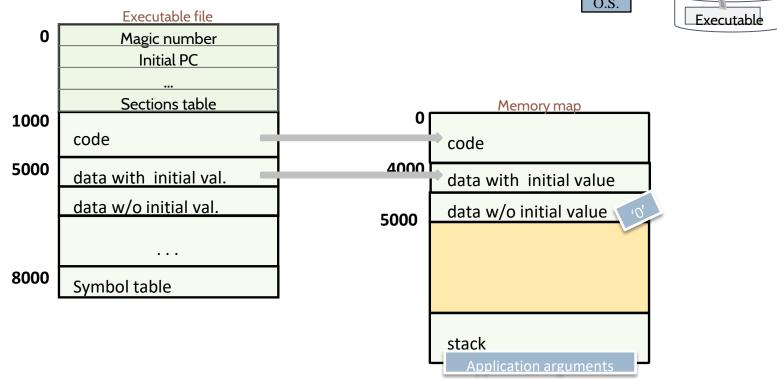
Introduction to Virtual Memory

Example of executable file format

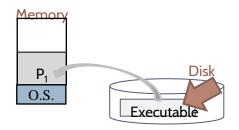


Build memory map from exe.





Inspect an executable



Dependences of one executable (dynamic libraries):

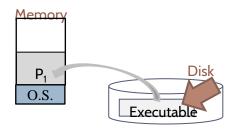
```
acaldero@phoenix:~/infodso/$ ldd main.exe
    linux-gate.so.1 => (0xb7797000)
    libdinamica.so.1 => not found
    libc.so.6 => /lib/libc.so.6 (0xb761c000)
    /lib/ld-linux.so.2 (0xb7798000)
```

```
acaldero@phoenix:~/infodso/$ nm main.exe

08049f20 d _DYNAMIC
08049ff4 d _GLOBAL_OFFSET_TABLE_
0804856c R _IO_stdin_used
    w _Jv_RegisterClasses

08049f10 d _CTOR_END__
08049f0c d __CTOR_LIST__
...
```

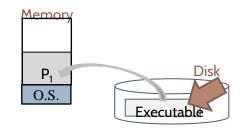
To inspect an executable



Details of the executable sections:

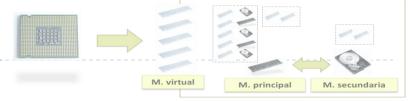
```
acaldero@phoenix:~/infodso/$ objdump -x main.exe
Program Header:
 DYNAMIC off
              0x00000f20 vaddr 0x08049f20 paddr 0x08049f20 align 2**2
        filesz 0x000000d0 memsz 0x000000d0 flags rw-
               0x00000000 vaddr 0x00000000 paddr 0x00000000 align 2**2
  STACK off
        filesz 0x00000000 memsz 0x00000000 flags rw-
Dynamic Section:
 NEEDED
                     libdinamica.so
 NEEDED
                     libc.so.6
 INIT
                     0x08048368
```

To inspect an executable



```
(contd.)
Sections:
                                             File off Algn
Tdx Name
                 Size
                          VMA
                                    LMA
 0 .interp
                 00000013 08048134 08048134 00000134 2**0
                 CONTENTS, ALLOC, LOAD, READONLY, DATA
. . .
12 .text
                 0000016c 080483e0 080483e0 000003e0 2**4
                 CONTENTS, ALLOC, LOAD, READONLY, CODE
 23 .bss
                 00000008 0804a014 0804a014 00001014 2**2
                 ALLOC
SYMBOL TABLE:
08048134 1
            d .interp
                                   00000000
                                                     .interp
08048148 1
             d .note.ABI-tag
                                   00000000
                                                     .note.ABI-tag
             d .note.gnu.build-id 00000000
                                                     .note.gnu.build-id
08048168 1
0804851a g
                                           .hidden i686.get pc thunk.bx
              F .text 00000000
08048494 g
              F .text 00000014
                                           main
08048368 g
             F .init 00000000
                                           init
```

Overview



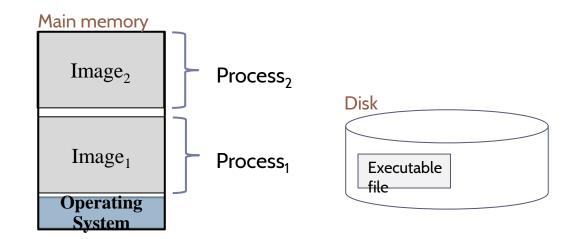
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Process image



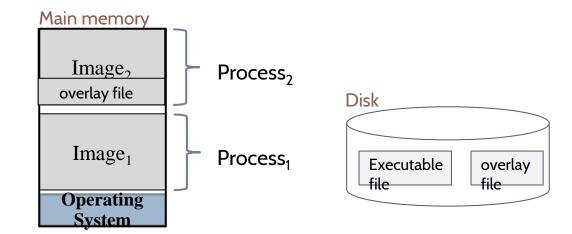
- ▶ The Operating System takes care of memory image parts:
 - Load/unload memory parts (only needed parts are in memory)
 - For multiprogramming + out-of-core



Process image



- Initially used the overlay mechanism:
 - Load/unload memory parts (only needed parts are in memory)
 - ▶ Programmer must manage the overlays of its processes

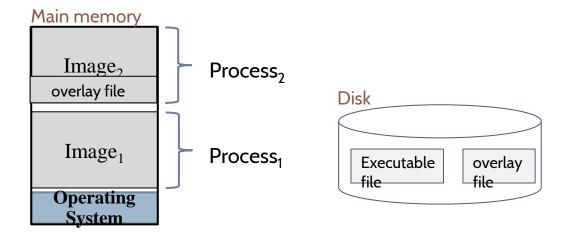




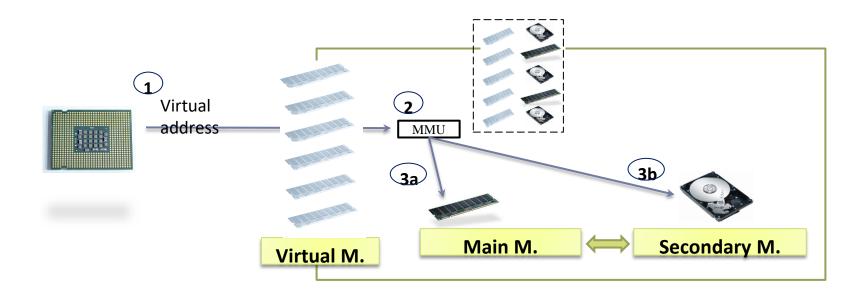
Process image

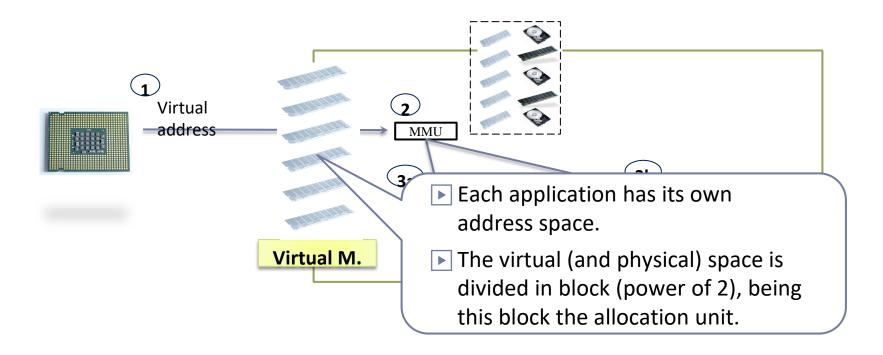


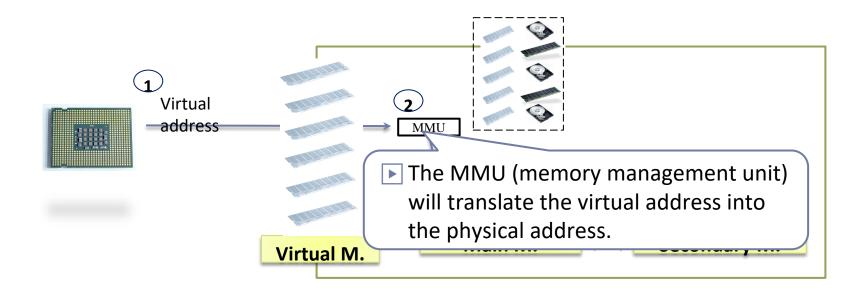
- ▶ CPU+O.S. offers an alternative mechanism to overlay:
 - ▶ Virtual Memory: only needed fragments are kept in memory
 - Transparent to programmer

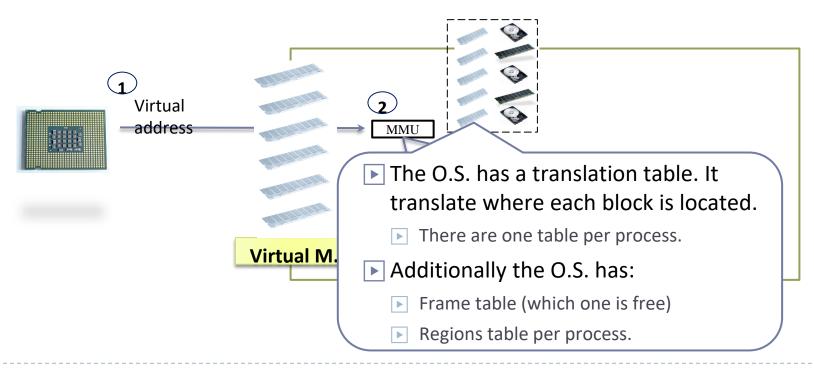


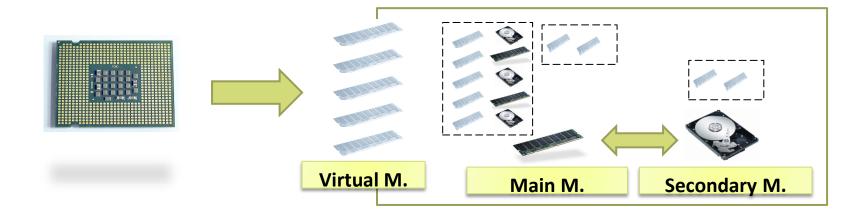


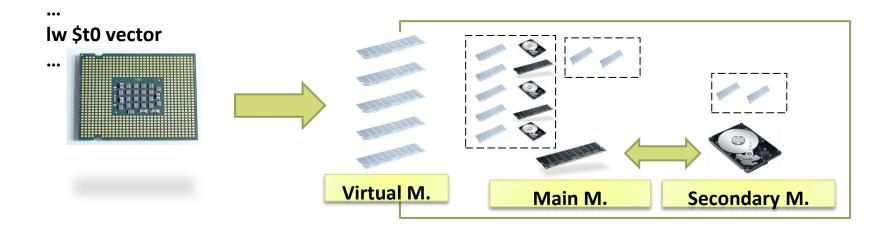


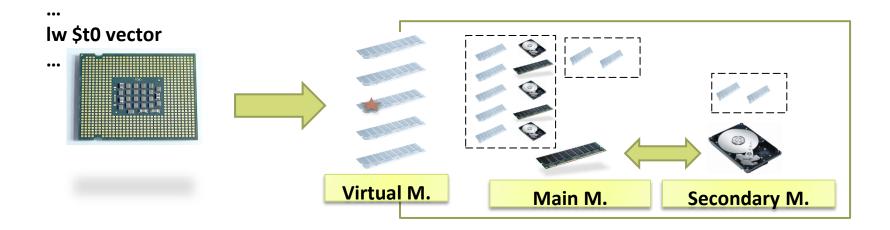


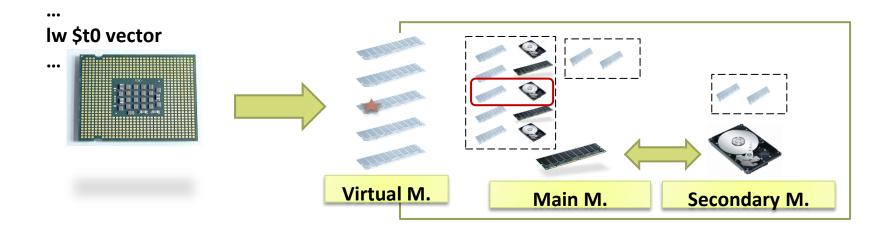


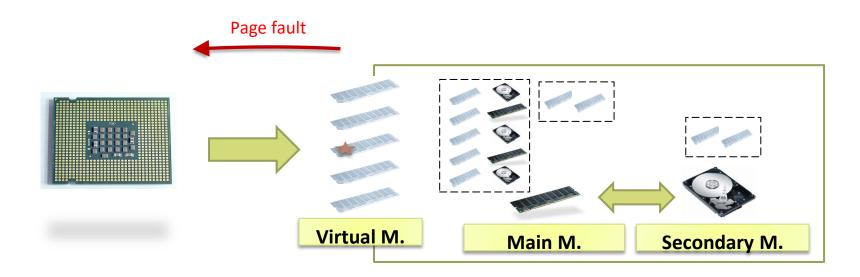




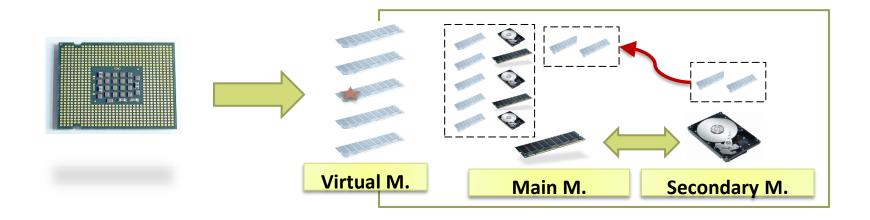




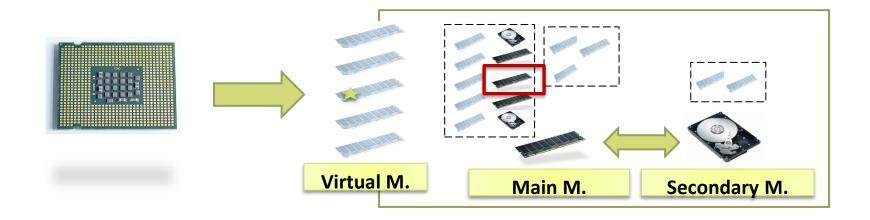




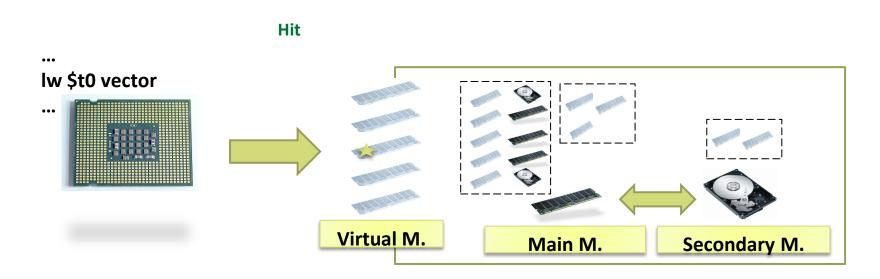
- ▶ The page fault is an exception that executes the associated handler in the O.S.
- ▶ The handler requests the associated disk blocks, and blocks the process.



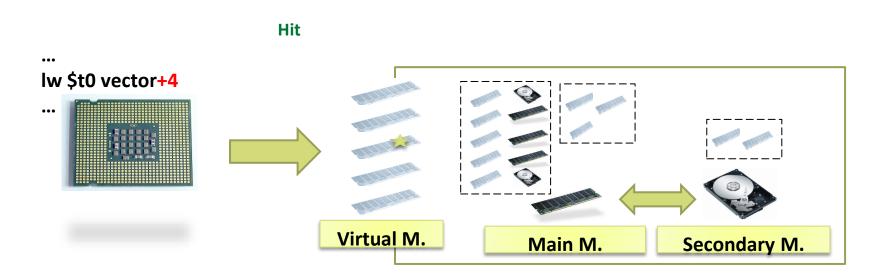
▶ The disk hardware interruption handler transfers the requested blocks into main memory, and fire a disk software interruption.



▶ The disk software interruption updates the 'block' table, and turns the process' status into ready for execution.

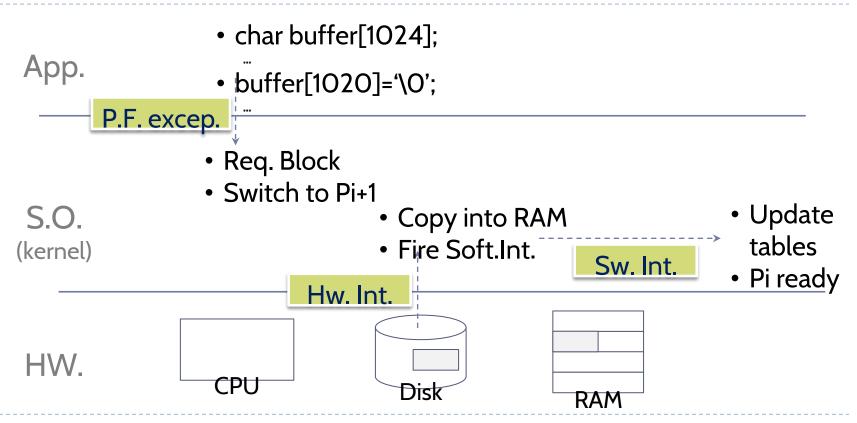


It is resumed the execution of the instruction that leads to the page fault.

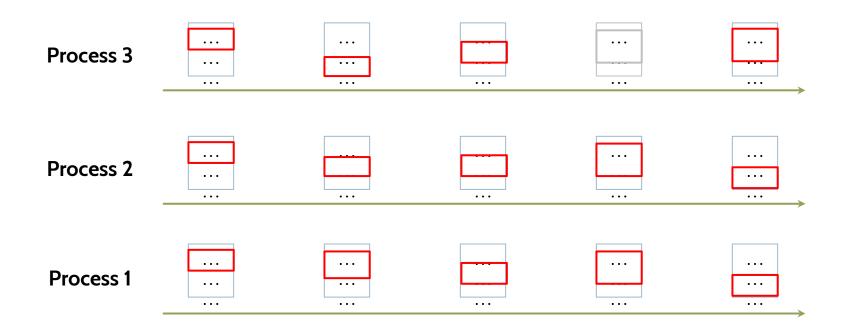


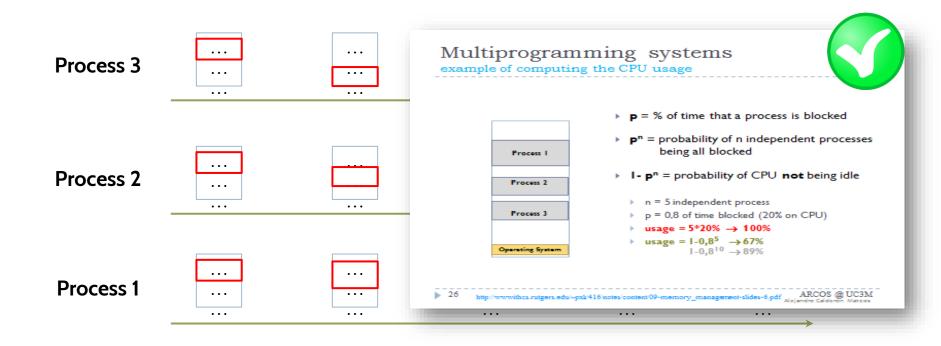
▶ The next instruction from the same block will not lead to page fault.

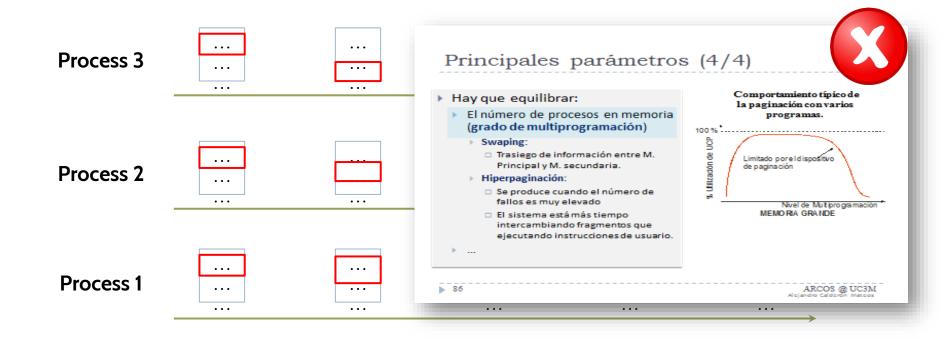
Summary of page fault exception





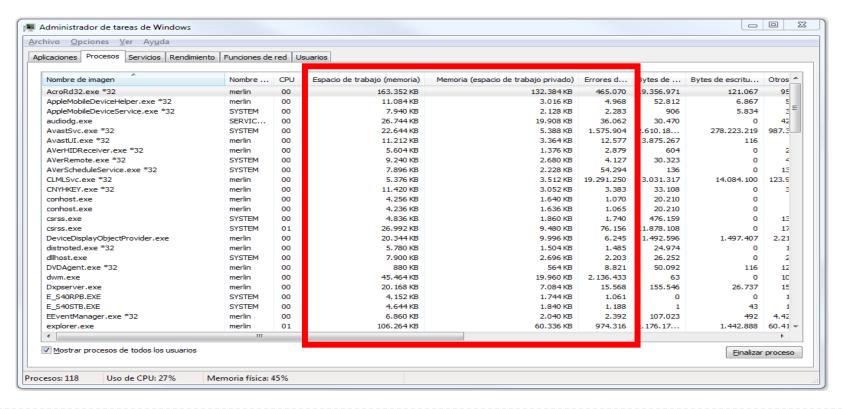






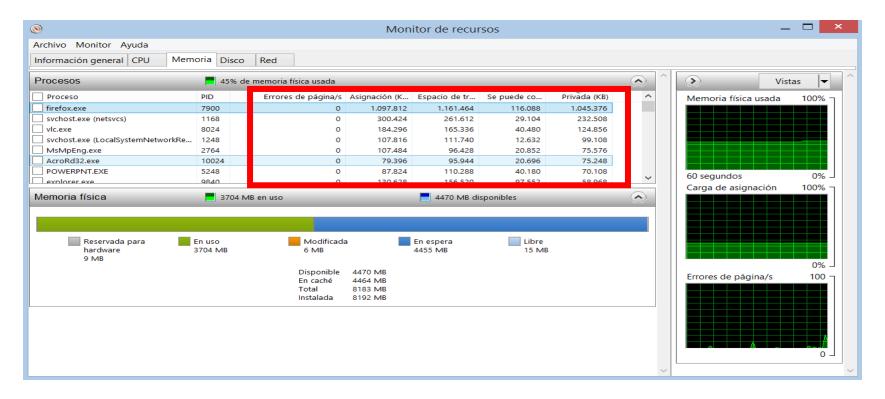
Virtual memory: Windows 7





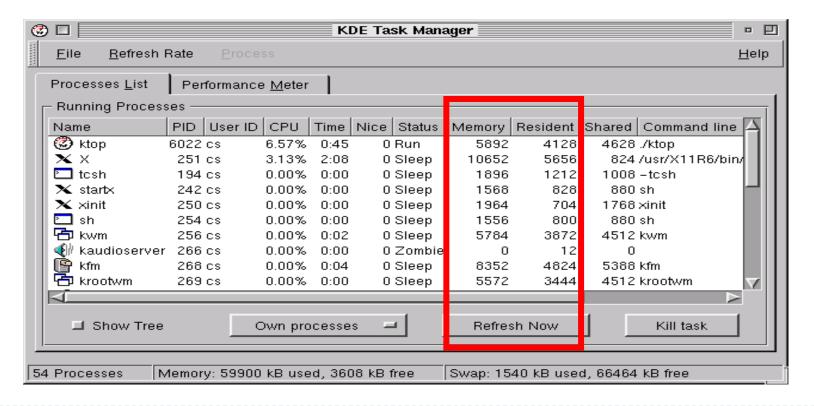
Virtual memory: Windows 8.x





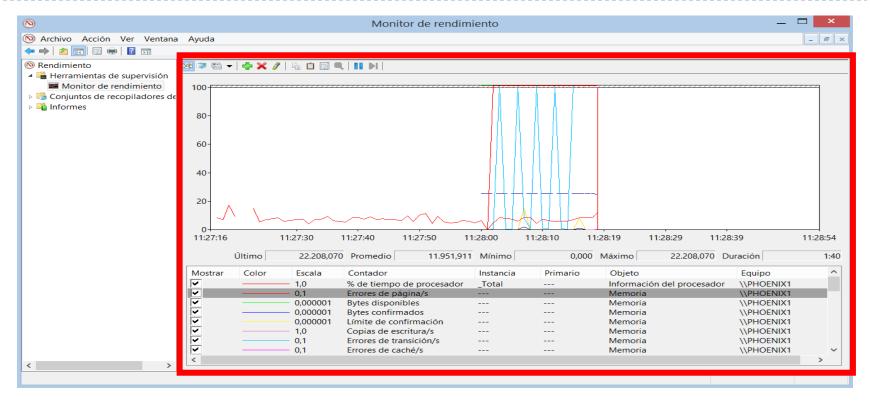
Virtual memory: Linux





Windows: perfmon









```
arcos:~$ ps -o min_flt,maj_flt 1
MINFL MAJFL
18333 25
```

Minor fault: it bookings a page

Major fault: it needs to access to Disk

Linux: ps, top, ...

	arcos:~\$ vmstat 1 5															
procs		ocs	memory				swa	p	io-	-systemcpu						
	r	b	swpd	free	buff	cache	si	so	bi	bo	in	cs	us	sy	id	wa
	1	0	140	3092132	1575132	2298820	0	0	12	19	20	32	1	2	97	0
	0	0	140	3092124	1575132	2298820	0	0	0	0	128	250	0	0	100	0
	0	0	140	3092124	1575132	2298820	0	0	0	16	143	281	0	0	100	1
	0	0	140	3092124	1575132	2298820	0	0	0	0	137	247	0	0	100	0
	0	0	140	3092124	1575132	2298820	0	0	0	0	138	270	0	0	100	0

Procs

- r: The number of processes waiting for run time.
- **b**: The number of processes in uninterruptible sleep.

Memory

swpd: the amount of virtual memory used.

free: the amount of idle memory.

buff: the amount of memory used as buffers. **cache**: the amount of memory used as cache. **inact**: the amount of inactive memory. (-a option) **active**: the amount of active memory. (-a option)

Swap

si: Amount of memory swapped in from disk (/s). so: Amount of memory swapped to disk (/s). 10

bi: Blocks received from a block device (blocks/s).

bo: Blocks sent to a block device (blocks/s).

System

in: The number of interrupts per second, including the clock.

cs: The number of context switches per second.

CPU

These are percentages of total CPU time.

us: Time spent running non-kernel code. (user time, including nice time)

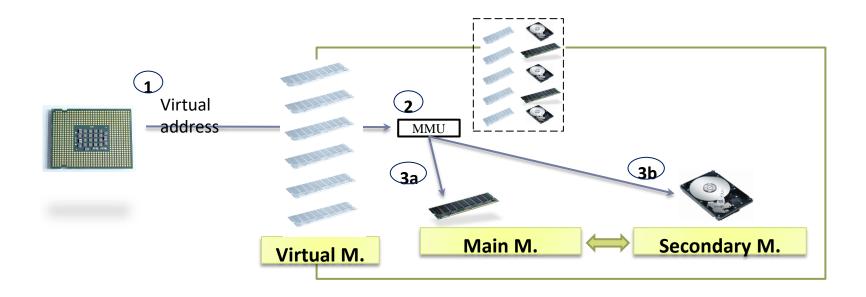
sy: Time spent running kernel code. (system time)

id: Time spent idle. Prior to Linux 2.5.41, this includes IO-wait time.

wa: Time spent waiting for IO. Prior to Linux 2.5.41, included in idle.

st: Time stolen from a virtual machine. Prior to Linux 2.6.11, unknown.

Virtual memory based systems

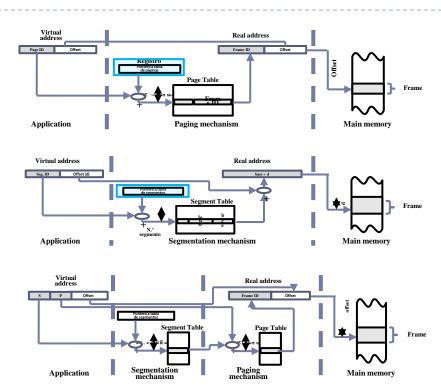


Virtual Memory

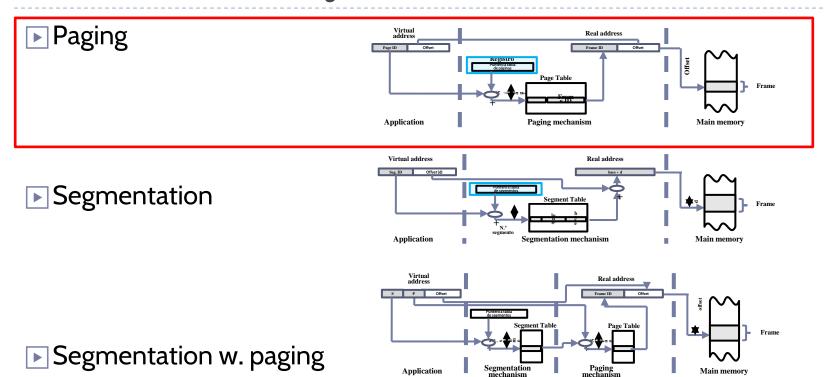
Paging

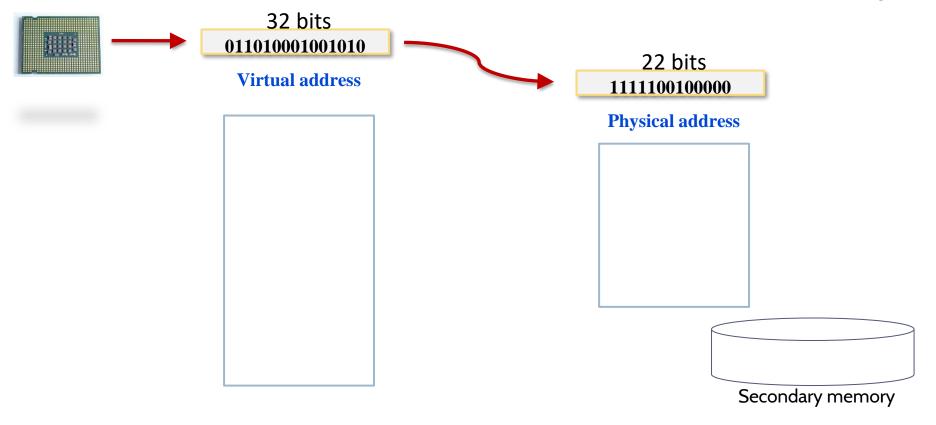
▶ Segmentation

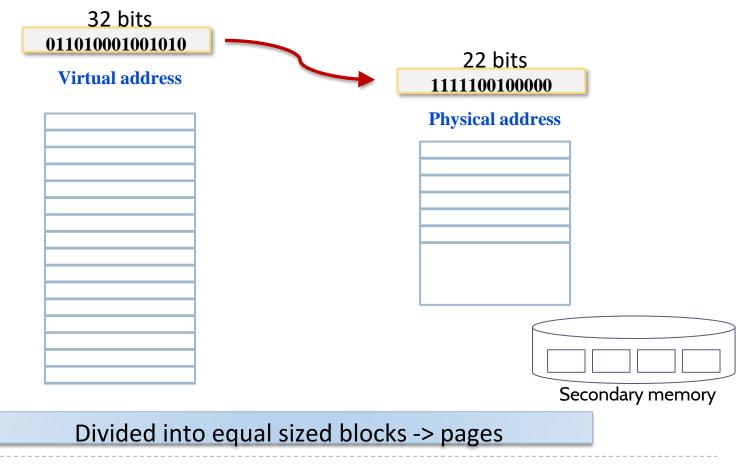
Segmentation w. paging

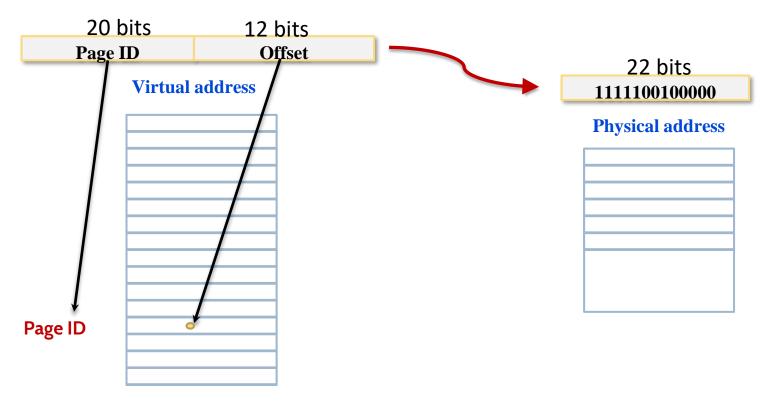


Virtual Memory

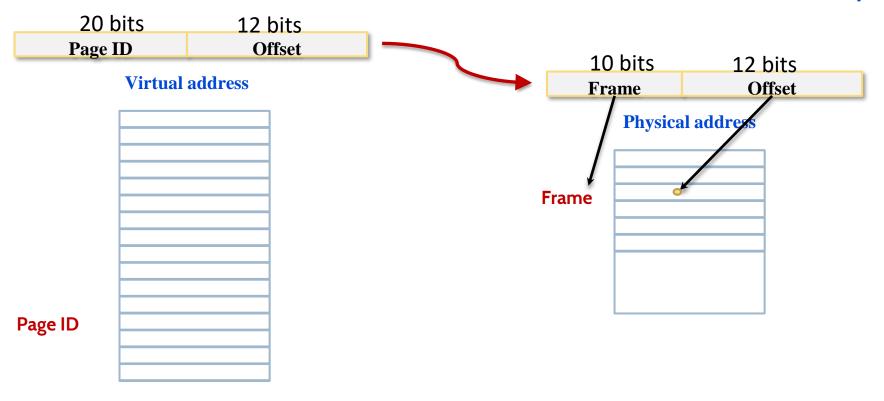




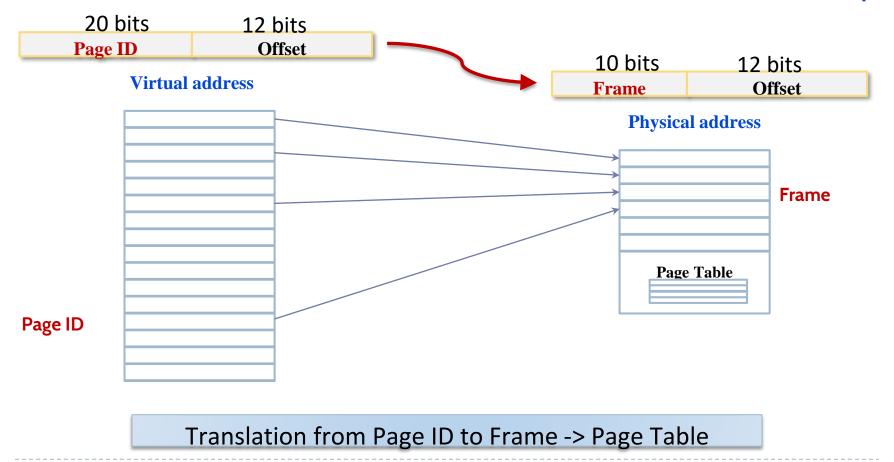


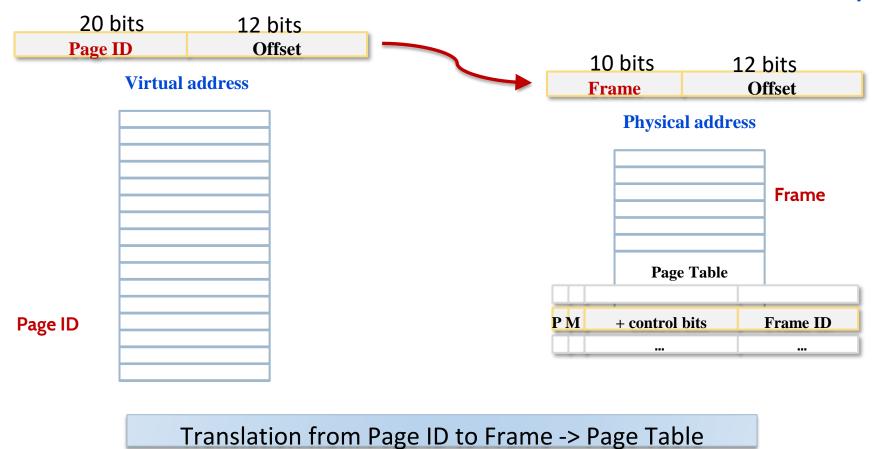


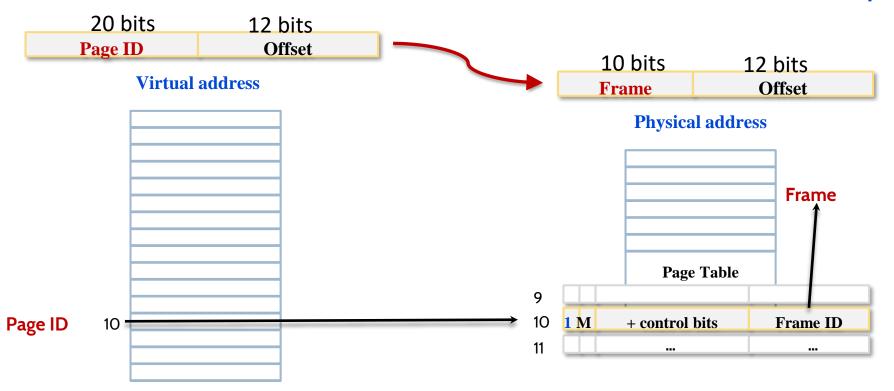
Divided into equal sized blocks -> pages



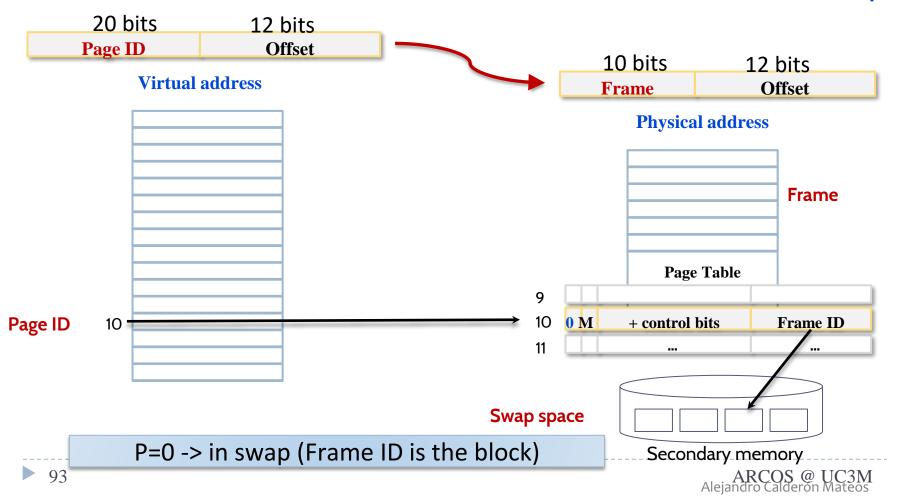
Divided into equal sized blocks -> pages





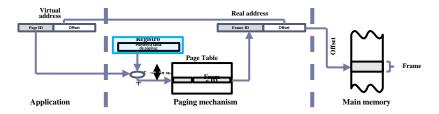


P=1 -> present in memory (Frame ID is where is it)

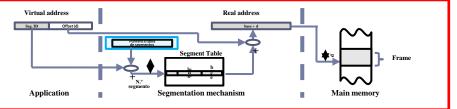


Virtual Memory

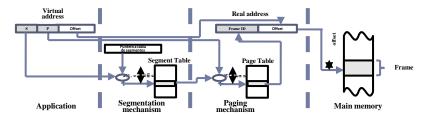


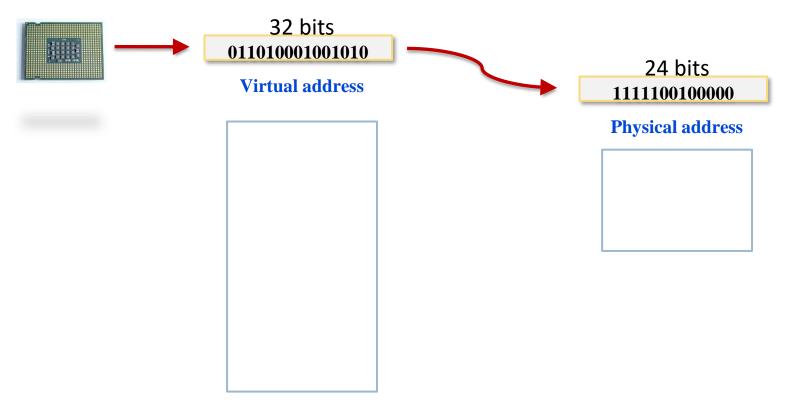


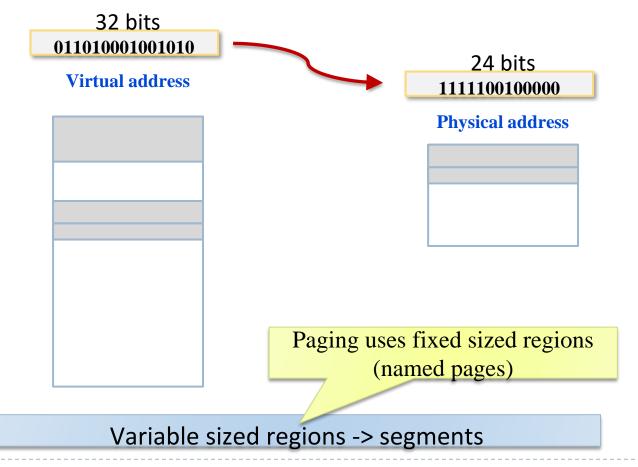
▶ Segmentation

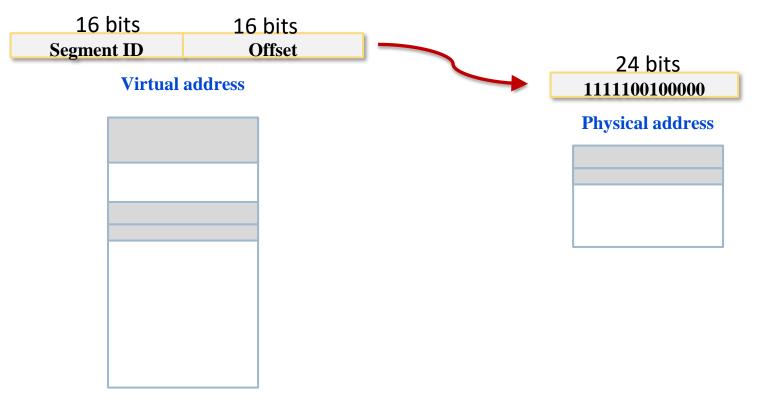


▶ Segmentation w. paging

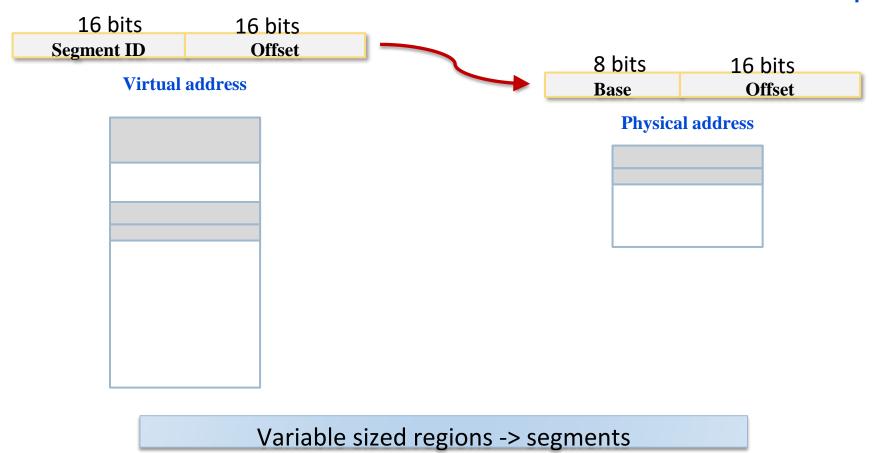


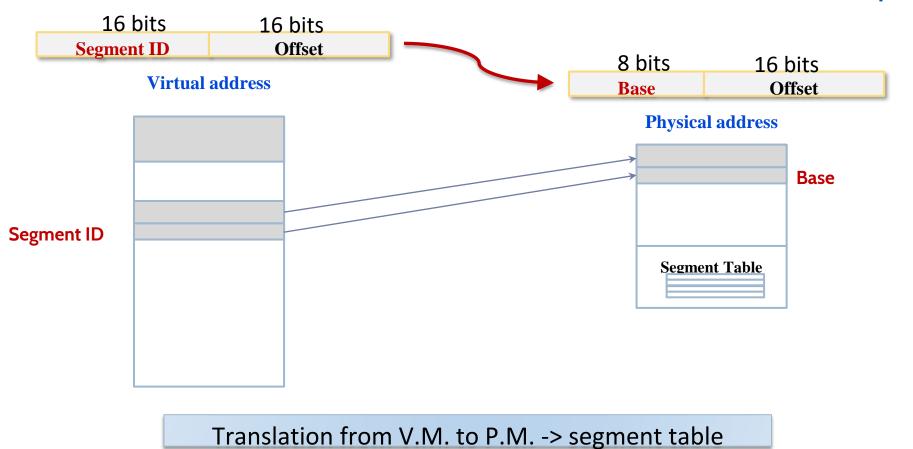


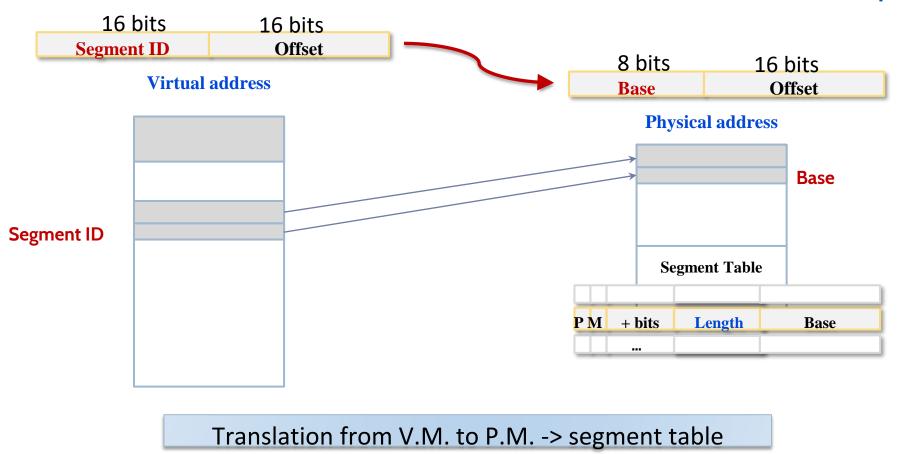


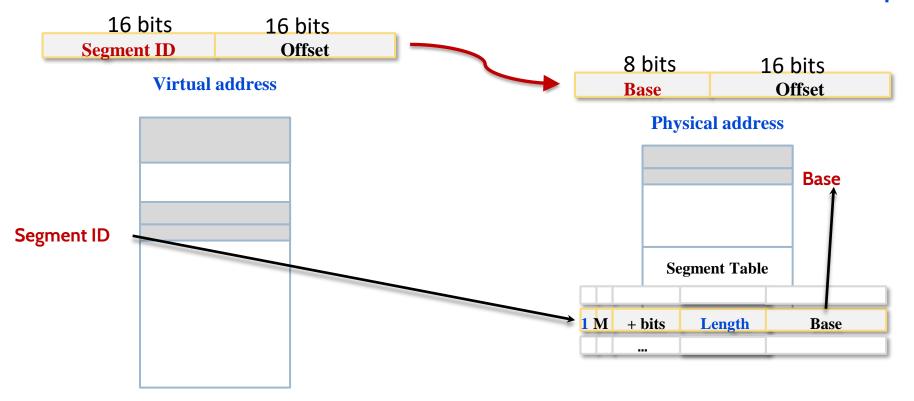


Variable sized regions -> segments



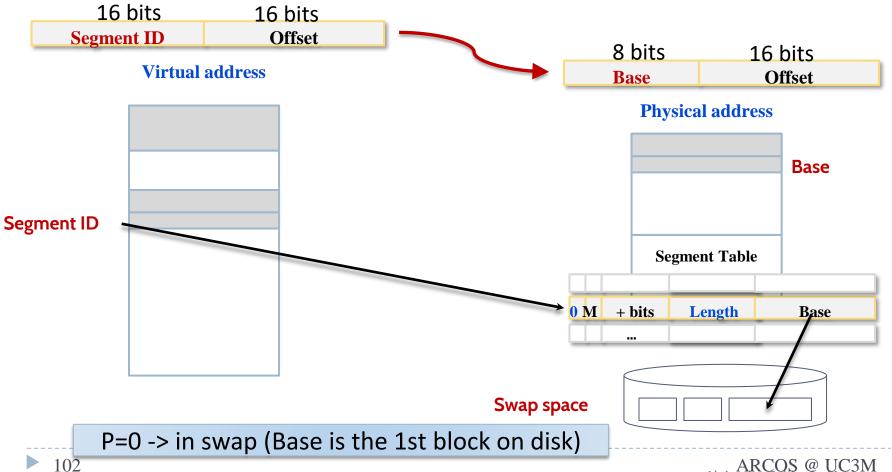






P=1 -> present in memory (Base is where is it)

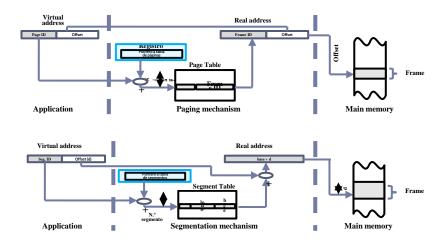
ARCOS @ UC3M Alejandro Calderón Mateos



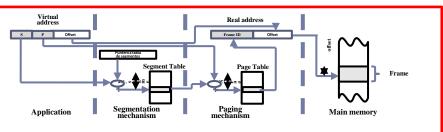
Virtual Memory

Paging

▶ Segmentation

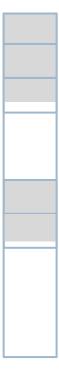


■ Segmentation w. paging

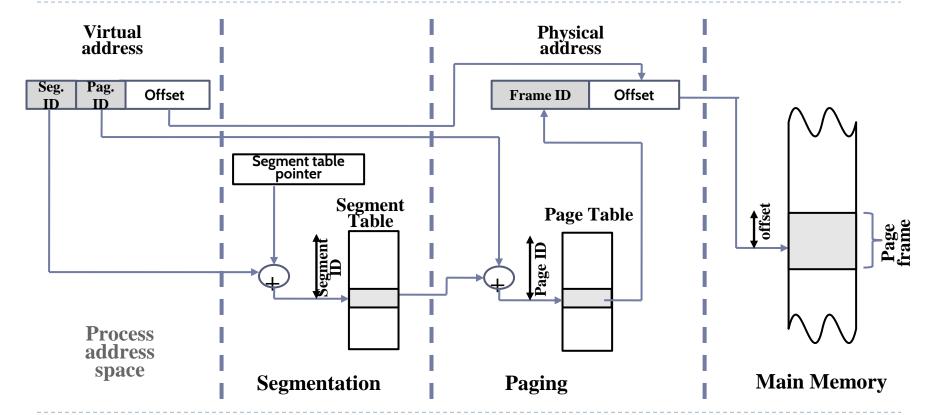


Virtual Memory: segmentation with paging

- An entry of the segment table "points to" a page table associated with the segment.
 - ▶ The variable sized segments are build up from fixed sized pages.

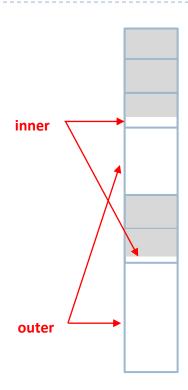


Address translation segmentation with paging



Virtual Memory: segmentation with paging

- ▶ An entry of the segment table "points to" a page table associated with the segment.
 - The variable sized segments are build up from fixed sized pages.
- ▶ Best from both worlds:
 - ▶ Segmentation:
 - ▶ It facilitates memory regions management
 - ▶ It avoids the inner fragmentation (it has outer one)
 - Paging:
 - ▶ It optimizes the secondary memory access
 - ▶ It avoids the outer fragmentation (it has inner one)



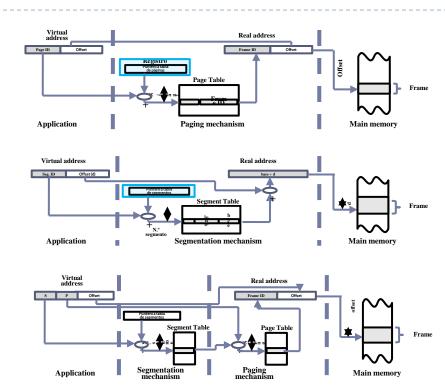
Virtual Memory

summary

Paging

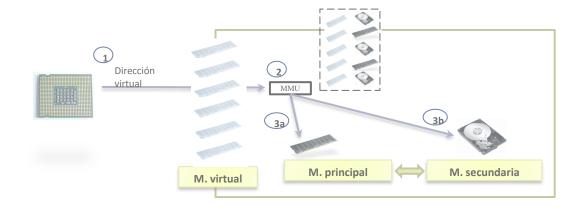
▶ Segmentation

Segmentation w. paging



Memory management

advanced aspects

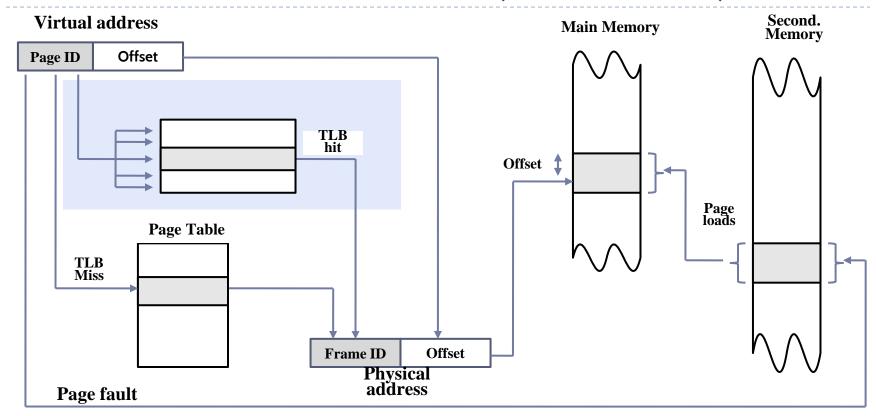


- **►** TLB
- ► Multi-level tables

Translatation cache

- Virtual memory based on page tables:
 - ▶ Problem: two access to memory (slow)
 - To the segment/paging table + to the data/instruction itself
 - ► Solution: TLB
 - **▶** Translation cache
- ▼TLB (Translation Lookaside Buffer)
 - Associative Cache Memory that stores the page table entries most used recently.
 - It is used to speedup the search stage.

Address translation (with TLB)



Multilevel paging

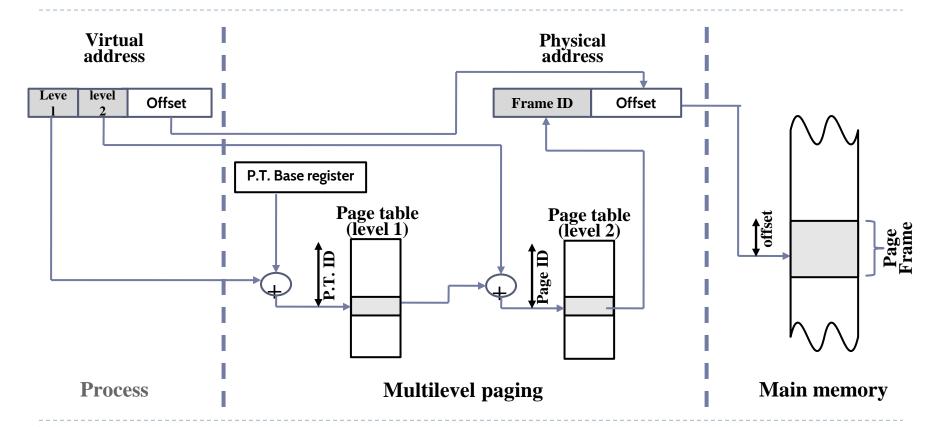
- ▶ Virtual memory based on page tables:
 - Problem: amount of memory needed for all tables
 - **■** E.g.: 4KB pages, 32 bits v. address, and 4 bytes per entry: $2^{20} * 4 = 4MB$ per process
 - Solution: multilevel tables

Multi-level table

- Two level translation scheme:

 - ▶ Only the second level page tables needed are in main memory
- More compact tables: 2¹⁰ * 4 = 4KB per table

Multi-level table



ARCOS Group

Computer Science and Engineering Department
Universidad Carlos III de Madrid

Lesson 5 (a) Memory Management

Operating System Design

Degree in Computer Science and Engineering, Double Degree CS&E + BA

