Locality and learning over autosegmental representations

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LSA 2016 January 7, 2016

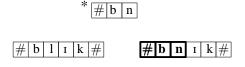
► How do we learn over non-linear phonological structures?

- Autosegmental phonological representations (APRs) still offer important insights in phonology (McCarthy, 2010; de Lacy, 2011; Walker, 2014, etc.), especially in tone (Hyman, 2011, 2014)
- ► There is cross-linguistic variation in what constitutes a well-formed APR
- ► How do we learn these language-specific well-formedness constraints?

- ► Grammatical inference (de la Higuera, 2010) gives us ways to learn grammars organized around **local substructures** (García et al. 1990; Heinz 2010a; Jardine and Heinz, to appear)
- ► "Learning" = efficiently inducing the target pattern exactly given finite data (de la Higuera, 1997)

- ► This talk extends this idea of learning local substructures to APRs
- ▶ By invoking grammars organized around **subgraphs**, we get a learning model which
 - can learn attested language-specific well-formedness constraints on APRs
 - can learn long-distance generalizations for which no proven learner exists
 - cannot learn complex, unattested patterns which require counting over the representation

- ▶ blick vs. *bnick
- ► Constraint: *#bn
- ► This is a forbidden substructure constraint



- \blacktriangleright #bn is a **3-factor** of *#bnik# (but not #blik#)
- ► Formal languages described with forbidden *k*-factors are the **Strictly** *k***-Local** (**SL**) **languages** (McNaughton and Papert, 1971)

Learning forbidden substructure constraints (strings)

ightharpoonup Fix k

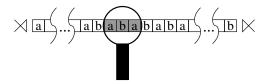
$$fact_k(w) = \{u | u \text{ is a } k\text{-factor of } w\}$$

$$\operatorname{fact}_k(D) = \bigcup_{w \in D} \operatorname{fact}_k(w)$$

 $\blacktriangleright \mathsf{fact}_3(\#bl\imath k\#) = \{\#bl, bl\imath, l\imath k, \imath k\#\}$

Learning forbidden substructure constraints (strings)

ightharpoonup fact_k scans each input string with a window of size k



Rogers and Pullum (2011); Rogers et al. (2013)

Learning forbidden substructure constraints (strings)

- Let F_k be the set of all possible k-factors
- ▶ Learner based on fact_k:

<u>Time</u>	<u>Datum</u>	Grammar
(start)		F_k
0	w_0	$F_k - \mathtt{fact}_k(\{w_0\})$
1	w_1	$F_k - \mathtt{fact}_k(\{w_0, w_1\})$
2	w_2	$F_k-\mathtt{fact}_k(\{w_0,w_1,w_2\})$
n	w_n	$F_k - \text{fact}_k(\{w_0, w_1, w_2,, w_n\})$

- For any target grammar G of forbidden k-factors, there is some data point w_i for which the learner converges to G (García et al., 1990; Heinz, 2010b)
- fact_k(D) calculated in time linear in size of D

- ▶ SL languages are are provably, efficiently learnable
- ► Tier-based Strictly *k*-Local (TSL) languages are also so learnable, even without prior knowledge of the tier (Jardine and Heinz, to appear)

- ➤ Tone seems to need some representation beyond strings (Hyman, 2014)
- Some tone patterns (e.g., Hirosaki Japanese) are beyond power of even TSL grammars (Jardine, forthcoming)
- ▶ How do we learn over non-linear representations?
- First step: local theory of constraints for non-linear representations

Mende word tone (Leben, 1973; Goldsmith, 1976; Leben, 1978)

```
b. pélé HH 'house' c. háwámá HHH
a. kó
       Η
            'war'
                                                        'waist'
                   e. bèlè LL 'pants' f. kpàkàlì
                                                  LLL
                                                        'stool'
d. kpà
          'debt'
g. mbû F
                   h. ngílà HL 'dog' i. félàmà
                                                  HLL
         'owl'
                                                        'junction'
j. mbă
            'rice'
                   k. nìká
                           LH 'cow' l. ndàvúlá
                                                  LHH
                                                        'sling'
                   n. nyàhâ LF
                               'woman'
                                                  LHL
                                                        'nut'
m. mbà R-F 'comp.'
                                        o. nìkílì
```

^{*}LLH, *HHL, *RH, *RHH, etc.

Mende word tone (Leben, 1973; Goldsmith, 1976; Leben, 1978)

```
H a. kó H 'war' b. pélé HH 'house' c. háwámá HHH 'waist'
L d. kpà L 'debt' e. bèlè LL 'pants' f. kpàkàlì LLL 'stool'
HL g. mbû F 'owl' h. ngílà HL 'dog' i. félàmà HLL 'junction'
LH j. mbǎ R 'rice' k. nìká LH 'cow' l. ndàvúlá LHH 'sling'
LHL m. mbã R-F 'comp.' n. nyàhâ LF 'woman' o. nìkílì LHL 'nut'
```

*LLH, *HHL, *RH, *RHH, etc.

Hausa tone-integrating suffixes (Newman, 1986, 2000)

```
HHH
                                                                              'deaf mute'
a. jáa
              'pull'
                        b. jíráa
                                    HH
                                          'wait for'
                                                      c. béebíyáa
c. wàa
              'who?'
                        d. màcè
                                    LL
                                           'woman'
                                                      e. zàmfàrà
                                                                     LLL
                                                                              'Zamfara'
f. jàakíi
        LH
              'donkey'
                        g. jìmìnúu
                                    HHL
                                           'ostriches'
                                                      h. bàbbàbbàkú
                                                                     LLLH
                                                                              'roasted'
i. fáadì
         HL
              'fall'
                                    LLH
                                           'noses'
                        j. hántúnàa
                                                      k. búhúnhúnàa HHHL
                                                                              'sacks'
l. mântá
         FΗ
              'forget'
                        m. káràntá
                                    HLH
                                           'read'
                                                      n. kákkáràntá
                                                                      HHLH
                                                                              'reread'
```

Hausa tone-integrating suffixes (Newman, 1986, 2000)

a. jáa	Η	'pull'	b. jíráa	HH	'wait for'	c. béebíyáa	HHH	'deaf mute'
c. wàa	L	'who?'	d. màcè	LL	'woman'	e. zàmfàrà	LLL	'Zamfara'
f. jàakíi	LH	'donkey'	g. jìmìnúu	HHL	'ostriches'	h. bàbbàbbàkú	LLLH	'roasted'
i. fáadì	HL	'fall'	j. hántúnàa	LLH	'noses'	k. búhúnhúnàa	HHHL	'sacks'
1. mântá	FH	'forget'	m. káràntá	HLH	'read'	n. kákkáràntá	HHLH	'reread'







Kukuya word tone (Hyman, 1987; Zoll, 2003)

- a. kâ 'to pick' b. sámà 'conversation' HL c. káràgà 'entangled' HLL d. så 'knot' R e. kàrá 'paralytic' LH f. m^wàrègí 'brother' LLH H h. bágá 'show knives' HH i. bálágá 'fence' g. bá 'palms' HHH j. bvî falls' R-F k. pàlî 'goes out' LF kàlágì 'turns' LHL
 - H $\sigma \sigma \sigma$

 $\begin{array}{c|c}
L & H \\
\hline
\sigma & \sigma & \sigma
\end{array}$

Previous approaches:

 Derivational frameworks parameterized directionality (e.g., Archangeli and Pulleyblank, 1994) and employed language specific rules for quality-dependent spreading (Hyman, 1987)

► In OT, ALIGN for directionality and *CLASH for Kukuya (Zoll, 2003)

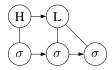
Previous approaches:

- Unclear how language-specific rules are learned
- ▶ ALIGN can generate complex patterns (Eisner, 1997) and cannot capture 'edge-in' patterns like in N. Karanga Shona (Hewitt and Prince, 1989; Zoll, 2003)

Computational locality (APRs)

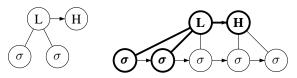
- ▶ All of these patterns are **local**
- ▶ What is a substructure in an APR?

▶ APRs are **graphs** (Goldsmith, 1976; Coleman and Local, 1991)



Computational locality (APRs)

▶ Let a **subgraph** be some finite, connected piece of a graph



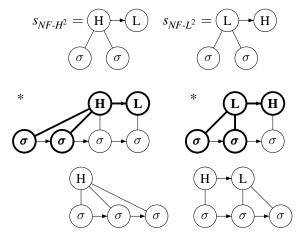
▶ Let *k* be number of nodes

Computational locality (APRs)

- ▶ A local grammar specifies sets of **forbidden subgraphs** $\{s_1, s_2, ..., s_n\}$, where each s_i is a subgraph
- ► This is a set of **inviolable** and **language-specific** constraints

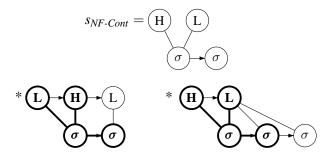
Case study: Mende

Plateaus in Mende



Case study: Mende

Contours in Mende

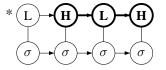


► c.f. Zhang (2000)

Case study: Mende

Melody constraint in Mende

$$s_{HLH} = H \longrightarrow L \longrightarrow H$$



Local language-specific well-formedness

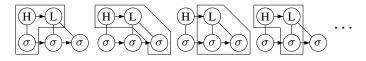
- ▶ Mende grammar: $\{s_{HLH}, s_{NF-Cont}, s_{NF-H^2}, s_{NF-L^2}\}$
- k=4
- ► Language-specific constraints in Hausa, Kukuya, N. Karanga all local in this way (Jardine and Heinz, AMP)
- ► Long-distance patterns, like Hirosaki Japanese, are local over APRs (Jardine, forthcoming)
- ▶ Because they are local, do not overgenerate like ALIGN

ightharpoonup Fix k

$$\mathrm{subg}_k(g) = \{s | s \text{ is a k-subgraph of } g\}$$

$$\mathrm{subg}_k(D) = \bigcup_{g \in D} \mathrm{subg}_k(g)$$

▶ Cognitive interpretation of $subg_k$ is the same as $fact_k$: scan input structures, remembering substructures of size k



- Let S_k be the set of all possible k-subgraphs
- Learner based on subg_k:

<u>Time</u>	<u>Datum</u>	<u>Grammar</u>
(start)		S_k
0	g_0	$S_k - \mathtt{subg}_k(\{g_0\})$
1	g_1	$S_k - \mathtt{subg}_k(\{g_0,g_1\})$
2	g_2	$S_k - \mathtt{subg}_k(\{g_0,g_1,g_2\})$
n	g_n	$S_k - subg_k(\{g_0, g_1, g_2,, g_n\})$

- ▶ For any target grammar G of forbidden k-subgraphs, there is some data point g_i for which the learner converges to G
- ▶ Work in graph theory suggests $subg_k(D)$ is efficient (Ferreira, 2013)

A note on the input data

► Given correspondence of string symbols to graph primitives, input can be strings (Jardine and Heinz, 2015)

Discussion/conclusions

- ► This method learns autosegmental constraints
- ► This is thanks to a **theory** of constraints which captures the typology of tone patterns **locally**
- ► The result is a sufficient, yet restrictive model of tone-pattern learning
- ▶ It is able to capture long-distance patterns

Discussion/conclusions

- ▶ We can extend ideas to Optimal Domains Theory (Cassimjee and Kisseberth, 2001), correspondence theory (Rose and Walker, 2004; Shih and Inkelas, 2014), and others
- ► We can extend idea of locality to learning APR transformations (a la Chandlee, 2014, et seq.)

Acknowledgements

Many thanks to James Rogers, Rémi Eyraud, the Berkeley Phonology Phorum, audiences at AMP 2015 and NECPhon 2017, the students of Jeffrey Heinz's spring 2014 Doing Computational Phonology seminar, and the members of the UD Phonology & Phonetics and Computational Linguistics groups for their comments, questions, and inspiration.

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Hirosaki Japanese (Haraguchi, 1977)

Exactly one H or F-toned mora in every word

3	•
In L_{HJ}	Not in L_{HJ}
H, F, LH, LF, HL,	L, LL, HH, HF,
LLH, LLF, LHL, HLL,	LLL, FLL, LFL, HLF,
LLLH, LLLF, LLHL, LHLL,	LLLL, LLFL, LFLL,
HLLL,	FLLL, HLLF,
H, F, LH, LF, HL, LLH, LLF, LHL, HLL, LLLH, LLLF, LLHL, LHLL,	L, LL, HH, HF, LLL, FLL, LFL, HLF, LLLL, LLFL, LFLL,

- F can only be word final
- No k can exclude a word L^{k+1}
- ▶ One-H generalization is TSL, but final F is SL

Hirosaki Japanese

Over APRs, HJ describable with

$$\{ s_{HLH}, s_{NF-Cont}, s_{\#L\#}, s_{H^2} \}$$

$$s_{\#L\#} = (\#) - (L) - (\#)$$

$$s_{H^2} = (H)$$

$$\mu$$