## Expressivity and Autosegmental Structure

Adam Jardine

**RUTGERS** 

Dept. of Linguistics, Rutgers University

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### Introduction

- ► Main goal: a restrictive yet sufficient theory of well-formedness in tone
- ► **Tool:** a theory of simple computations over autosegmental grammars
- ► **Side benefit:** further understanding of the relationship between expressivity and phonological representation

### Introduction

- ➤ **Result:** Graph Strictly Local (GSL) patterns provide a restrictive, sufficient, and unified characterization of the typology of tone
- ► GSL is based on *banned subgraphs* in autosegmental structures
- ► A *sufficient* theory from enriched representation; *restrictive* theory comes from computationally simple nature of banned substructure constraints

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$$L(R) = \{CV, VC, CVC, VCV, CVCV, VCVC, CVCVC, ...\}$$

▶ \*CCC, \*#bn, \*HH, etc.

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$$L(\langle T, R \rangle) = \{lVr, rVl, lVCrVl, ...\}$$

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► A string *w* is well-formed iff erase<sub>T</sub>(*w*) does not contain a substring in *R* 

$$L(\langle T, R \rangle) = \{lVr, rVl, lVCrVl, ...\}$$
 
$$*rVr, *lVClVl, \text{ etc.}$$

► Capures long-distance dissimilation and harmony with blocking (Heinz et al., 2011; McMullin and Hansson, 2016)

► **Strictly Piecewise (SP)** grammars: sub*sequence* (precedence), not substrings (Heinz, 2010; Rogers et al., 2010)

$$R = \{s...f, f...s\}$$

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$$R = \{s...f, f...s\}$$

$$L(R) = \{sVs, \int Vf, sCVCVs, \int CVCVf, ...\}$$
\* $sCVCVf$ , etc.

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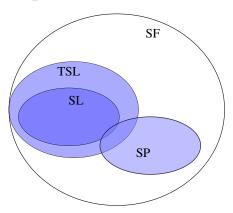
▶ Strictly Piecewise (SP) grammars: subsequence (precedence), not substrings (Heinz, 2010; Rogers et al., 2010)

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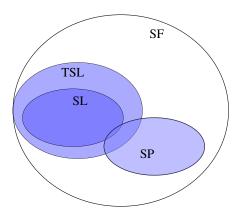
$$L(R) = \{sVs, fVf, sCVCVs, fCVCVf, ...\}$$

\*sCVCVf, etc.

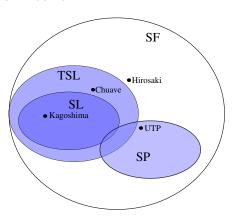
► Good fit to typology of consonant harmony (Heinz, 2010)



- ► SL, TSL, and SP provide a robust, yet restrictive, theory of segmental phonotactics
- Computation is based on banned substructures; differences are representational



▶ Opposed to, ex., **Star Free (SF)** class, which allows for global reasoning about a structure (McNaughton and Papert, 1971; Rogers et al., 2013; Jardine and Heinz, in press)



- ► Tone has both local and non-local patterns (Yip, 2002; Hyman, 2011)
- ► The following sample of *positional*, *obligatoriness*, and *culminativity* generalizations in tone fall in SL, TSL, SP, and SF

#### **Positional**

► Kagoshima Japanese: **Final or penult H** (Hirayama, 1951; Haraguchi, 1977; Kubozono, 2012)

a.	hána	'nose'	HL
b.	sakúra	'cherry blossom'	LHL
c.	kagaríbi	'watch fire'	LLHL
d.	kagaribí-ga	'watch fire' + NOM	LLLHL
e.	haná	'flower'	LH
f.	usagí	'rabbit'	LLH
g.	kakimonó	'document'	LLLH
h.	kakimono-gá	'document' + NOM	LLLLH

### **Obligatoriness**

► Chuave: **At least one H** (Donohue, 1997)

a.	kán	'stick'	e.	gíngódí	'snore'	
	H			HHH		*L
b.	gáán	'child'	f.	dénkábu	'mosquito'	
	HH			HHL		*LL
c.	gáam	'skim'	g.	énugú	'smoke'	
	HL			HLH		*LLL
d.	kubá	'bamboo'	h.	amámó	'k.o. yam'	
	LH			LHH		*LLLL
			i.	kóiom	'wing'	
				HLL		
			j.	komári	'before'	
				LHL		
			k.	koiyóm	'navel'	
				LLH		

### **Culminativity**

▶ Unbounded Tone Plateauing (UTP): **At most one** *span* **of H** (Hyman, 2011; Jardine, 2016)

```
kitabo
                    'book'
                                  LLL
a.
                    'chopper'
                                  LHL
h.
    mutéma
    kisikí
                    'log'
                                  LLH
    mutémá+bísíkí 'log chopper' LHHHHH
   *mutéma+bisikí
                   11 11
                                 *LHLLLH
                    (Luganda; Hyman, 2011; Hyman and Katamba, 2010)
```

### Positional + obligatoriness + culminativity

► Hirosaki Japanese: Exactly one H or F, F only word final (Haraguchi, 1977)

Noun	Isolation	+Nom	Noun	Isolation
a. 'handle'	é	e-gá	f. 'chicken'	niwatorí
	H	LH		LLLH
b. 'picture'	ê	é-ga	g. 'lightning'	kaminarî
	F	HL		LLLF
c. 'candy'	amé	ame-gá	h. 'fruit'	kudamóno
	LH	LLH		LLHL
d. 'rain'	amê	amé-ga	i. 'trunk'	toránku
	LF	LHL		LHLL
e. 'autumn'	áki	áki-ga	j. 'bat'	kóomori
	HL	HLL		HLLL
	*LLLL	*HLLH	*HLLF	*FLLL

**Kagoshima:** penult or final H

▶ Chuave: at least one H

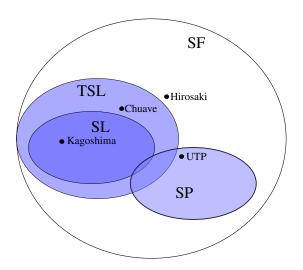
▶ **UTP:** At most one plateau of H

▶ **Hirosaki:** exactly one H or F; F word-final

positional obligatoriness

culminativity

all 3



```
Kagoshima pattern: \{ \forall HL \times, \forall LH \times, \forall LH \times, \forall LHL \times, \forall LLH \times, \forall LLHL \times, \forall LLLH \times, \forall LLLLL \times, \dots \} 

 R = \{ HLL, HH, HLH, LL \times, \forall LLLL \times, \forall LLLLL \times, \dots \} 
 * \forall HLLLL \times, * \forall HLLHL \times, * \forall LLLLL \times, \dots \}
```

```
Kagoshima pattern: \{ \forall HL \lor, \forall LH \lor, \forall LHL \lor, \forall LLH \lor, \forall LLH \lor, \forall LLHL \lor, \forall LLLH \lor, \dots \}

R = \{HLL, HH, HLH, LL \lor, \forall LLLL \lor, \forall LLLLL \lor, \forall LLLHL \lor, \forall LLHHL \lor, \forall LLHLL \lor, \dots \}
```

```
Kagoshima pattern: \{ \forall HL \lor, \forall LH \lor, \forall LH \lor, \forall LHL \lor, \forall LLH \lor, \forall LLHL \lor, \forall LLLH \lor, \dots \}

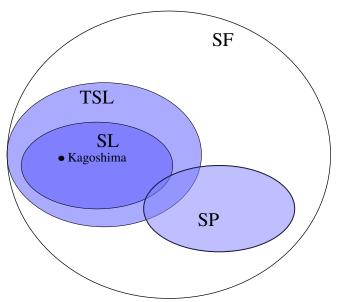
R = \{HLL, HH, HLH, LL \lor, \forall L \lor\}

*\forall HLLLL \lor, \forall HLLHL \lor, \forall LLLLL \lor, \dots
```

```
Kagoshima pattern: \{ \forall HL \lor, \forall LH \lor, \forall LH \lor, \forall LHL \lor, \forall LLH \lor, \forall LLHL \lor, \forall LLLH \lor, \dots \}

R = \{HLL, HH, HLH, LL \lor, \forall LV\}

*\forall HLLLL \lor, \forall HLLHL \lor, \forall LLLL \lor, \dots
```



```
* \rtimes L \ltimes, * \rtimes LL \ltimes, * \rtimes LLL \ltimes, * \rtimes LLLL \ltimes, ...
```

$$* \rtimes L \ltimes, * \rtimes LL \ltimes, * \rtimes LLL \ltimes, * \rtimes LLLL \ltimes, ...$$

```
Chuave pattern: \{ \forall LH \bowtie, \forall HL \bowtie, \forall HH \bowtie, \}
                                 \rtimes LLH \bowtie, \rtimes LHL \bowtie, \rtimes LHH \bowtie,
                                 \forallHLL\ltimes, \forallHLH\ltimes, \forallHHL\ltimes
                                 ×HHH⋉, ×LLLH⋉, ...

ightharpoonup \langle T = \{H\}, R = \{ \bowtie \bowtie \} \rangle
                              erase_T(\rtimes LLH \ltimes) = \rtimes H \ltimes
                              erase_T(\rtimes LLL) = \rtimes \ltimes
                *×L×. *×LL×. *×LLL×. *×LLLL×....
```

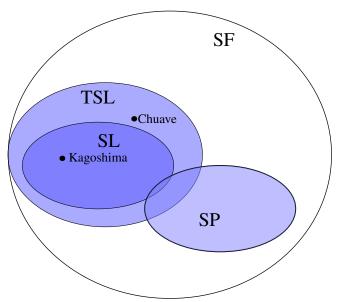
```
Chuave pattern: \{ \forall LH \bowtie, \forall HL \bowtie, \forall HH \bowtie, \}
                          ALLHK, ALHLK, ALHHK,
                          MHLLK, MHLHK, MHHLK
                          ×HHH⋉, ×LLLH⋉, ...

ightharpoonup \langle T = \{H\}, R = \{ \bowtie \bowtie \} \rangle
                       erase_T(\rtimes LLH \ltimes) = \rtimes H \ltimes
                        erase_T(\rtimes LLL) = \rtimes \ltimes
             *XLK, *XLLK, *XLLLK, *XLLLLK,...
```

#### **Obligatoriness** constraints are TSL

```
Chuave pattern: \{ \forall LH \bowtie, \forall HL \bowtie, \forall HH \bowtie, \}
                          ALLHK, ALHLK, ALHHK,
                          MHLLK, MHLHK, MHHLK
                          ×HHH⋉, ×LLLH⋉, ...

ightharpoonup \langle T = \{H\}, R = \{ \times \times \} \rangle
                       erase_T(\rtimes LLH \ltimes) = \rtimes H \ltimes
                        erase_T(\rtimes LLL\ltimes) = \rtimes \kappa
            *XLX.*XLLX.*XLLLX.*XLLLX....
```

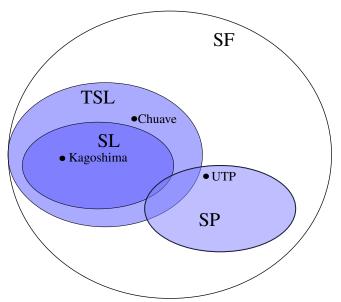


#### Culminativity constraints are SP

\*HLH, \*HLLH, \*HLLLH, \*HLLLLH, \*HLLLLHH, \*LHHLLLHHHLLL, ...

#### Culminativity constraints are SP

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Combined constraints are not necessarily SL, TSL, or SP

```
^{*} \times LLL \bowtie, ^{*} \times LLLL \bowtie, ^{*} \times LLLL \bowtie, ^{*} \times LLLL \bowtie, ...
^{*} \times HLF \bowtie, ^{*} \times HLLF \bowtie, ^{*} \times HLLLF \bowtie, ^{*} \times HLLLF \bowtie, ...
^{*} \times LFL \bowtie, ^{*} \times FLL \bowtie, ^{*} \times LLFL \bowtie, ^{*} \times LFLL \bowtie, ...
```

#### Combined constraints are not necessarily SL, TSL, or SP

► TSL:  $\langle T = \{H,F\}, R = \{ \bowtie \bowtie, HF, FH \} \rangle$ 

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#### Combined constraints are not necessarily SL, TSL, or SP

Hirosaki pattern:  $\{ \forall LLH \bowtie, \forall LLF \bowtie, \}$ 

```
\bowtie HLL \bowtie, \bowtie LLL LF \bowtie,
                            ×LLLH⋉, ×LLLLLF⋉,

ightharpoonup TSL: \langle T = \{H,F\}, R = \{ \bowtie \bowtie, HF, FH \} \rangle

ightharpoonup SL: R = \{FL\}
      *XLLLK,*XLLLLK,*XLLLLLK,...
     *AHLFK, *AHLLFK, *AHLLLFK, *AHLLLFK, ...
  *XLFLK, *XFLLK, *XLLFLK, *XLFLLK, *XFLLLK, ...
```

 $\bowtie LHL\bowtie$ ,  $\bowtie LLLF\bowtie$ ,

#### Combined constraints are not necessarily SL, TSL, or SP

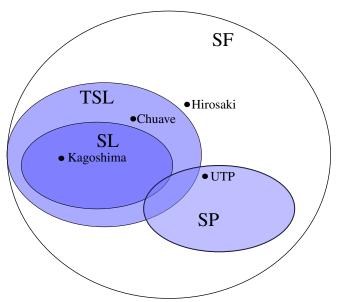
Hirosaki pattern:  $\{ \forall LLH \bowtie, \forall LLF \bowtie, \}$ 

```
\bowtie HLL \bowtie, \bowtie LLL LF \bowtie,
                            ×LLLH⋉, ×LLLLLF⋉,

ightharpoonup TSL: \langle T = \{H,F\}, R = \{ \bowtie \bowtie, HF, FH \} \rangle

ightharpoonup SL: R = \{FL\}
      *XLLLK,*XLLLLK,*XLLLLLK,...
     *AHLFK, *AHLLFK, *AHLLLFK, *AHLLLFK, ...
  *XLFLK, *XFLLK, *XLLFLK, *XLFLLK, *XFLLLK, ...
```

 $\bowtie LHL\bowtie$ ,  $\bowtie LLLF\bowtie$ ,



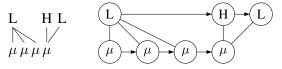
 String-based complexity classes provide a restrictive, but not entirely sufficient nor unified, characterization of tone

- String-based complexity classes provide a restrictive, but not entirely *sufficient* nor *unified*, characterization of tone
- ▶ Not unsurprising; tone has been claimed to be fundamentally autosegmental (Goldsmith, 1976; Yip, 2002; Hyman, 2011)

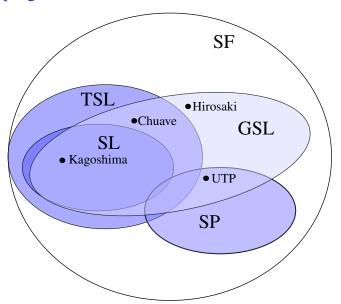
kaminarî LLLF 'lightning' L H L (Hirosaki)



► Autosegmental representations are **graphs** (Goldsmith, 1976; Coleman and Local, 1991)

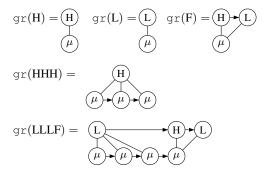


► We can instead consider **Graph Strictly Local** grammars, defined by restricted sub**graphs** 



#### **Building structure**

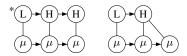
▶ We can define a function gr(w) that generates an autosegmental representation from strings (Jardine and Heinz, 2015)



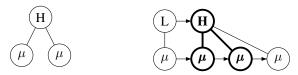
 Association preserves precedence relations (the No-Crossing Constraint (NCC))



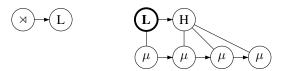
 Adjacent nodes on tonal tier cannot be identical (the Obligatory Contour Principle (OCP)



► Let a **subgraph** be some finite, connected piece of a graph



➤ Subgraphs may refer to boundaries on each tier (not depicted in full graphs)



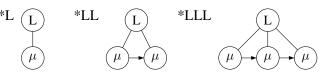
R is some set of restricted subgraphs

$$L(R) = \{ w \mid \text{no graph in } R \text{ is a subgraph of } gr(w) \}$$

Let us consider strings over  $\{H, L, F\}$ 

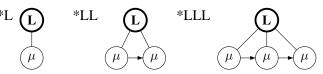
```
Chuave: At least one H { LH, HL, HH, LLH, LHL, LHH, HLL, HLH, LLLH, ... }
```

▶ No all L toned words:



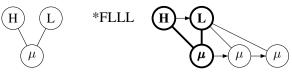
Chuave: At least one H { LH, HL, HH, LHH, LHL, LHH, HLL, HLH, LLLH, ... }

▶ No all L toned words:

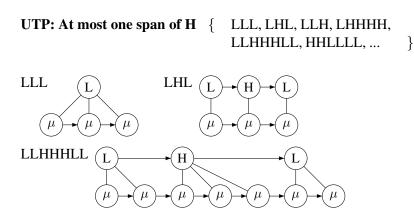


► First banned subgraph: (×) → (L) → (×)

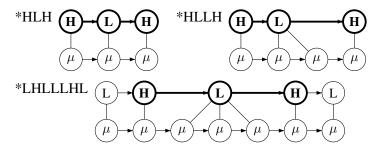
▶ No contours:



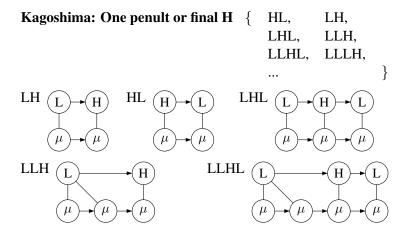
$$R = \left\{ (M) \rightarrow (L) \rightarrow (M) , \quad (H) \downarrow L \right\}$$



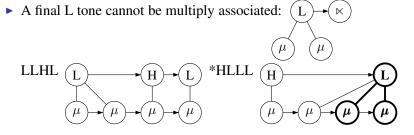
▶ Only one H tone per word: (H) → (L) → (H)



$$\qquad \qquad R = \left\{ \begin{array}{c} (H) & (H) \\ (H) & (H) \end{array} \right\}$$



Kagoshima: One penult or final H  $\{$  HL, LH, LHL, LLH, LLHL, LLLH,  $\dots$ 



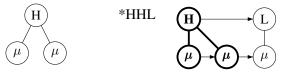
Only one H tone per word



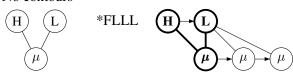
▶ No all L toned words



No spreading of H



No contours



Kagoshima: One penult or final H 
$$\{HL, LH, LH, LLH, LLH, LLHL, LLLH, LLHL, LLLH, LLHL, LLHL, LLHL, ... \}$$

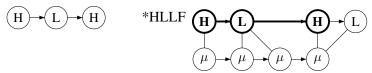
$$R = \{ (L) \times (H) \times (H) \times (H) \times (H) \times (H) \}$$

```
Hirosaki: Exactly one H or F; F always final
    LLH, LHL, HLL, LLLH, ...
    LLF, LLLF, LLLLF, LLLLLF, . . . }
LLH
                     LHL
                                          HLL
LLF
                          LLLF
```

### Hirosaki: Exactly one H or F; F always final

```
LLH, LHL, HLL, LLLH, ...
LLF, LLLF, LLLLF, LLLLF, ... }
```

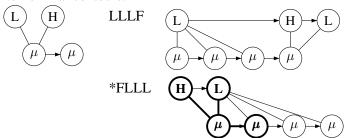
▶ No two Hs in the melody:



### Hirosaki: Exactly one H or F; F always final

```
LLH, LHL, HLL, LLLH, ...
LLF, LLLF, LLLLF, LLLLLF, ... }
```

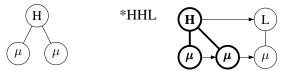
▶ No nonfinal contours:



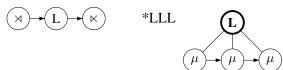
### Hirosaki: Exactly one H or F; F always final

```
{ LLH, LHL, HLL, LLLH, . . .
LLF, LLLF, LLLLF, LLLLLF, . . . }
```

No spreading of H



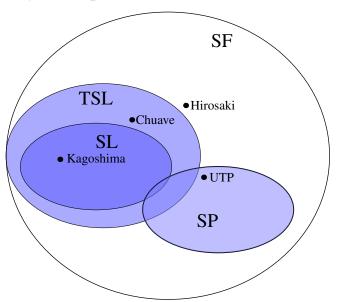
▶ No all L toned words

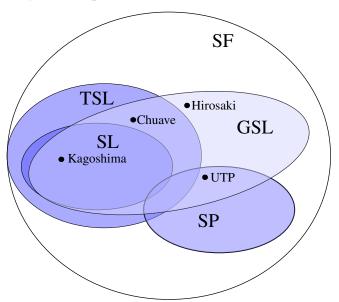


# Hirosaki: Exactly one H or F; F always final

LLH, LHL, HLL, LLLH, ...
LLF, LLLF, LLLLF, ... }

$$R = \left\{ \begin{array}{c} H \\ \end{array} \right\}, \begin{array}{c} L \\ \end{array} \right\}, \begin{array}{c} H \\ \end{array} \right\}, \begin{array}{c} H \\ \end{array} \right\}$$





- ► Tonal constraints fall into a number of distinct classes of string grammars
- Banned subgraph grammars provide a unified theory of positional, culminativity, and obligatoriness constraints in tone
- ► They are **restrictive** in that we can only *ban* structures—we can't require them (Jardine and Heinz, in press)

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- Banned subgraph grammars provide a unified theory of positional, culminativity, and obligatoriness constraints in tone
- ► They are **restrictive** in that we can only *ban* structures—we can't require them (Jardine and Heinz, in press)
  - ▶ Example: 'First last' patterns (Lai, 2012, 2015):  $\bowtie H \leftrightarrow H \bowtie$

- ► We can define mappings like gr(w) through mathematical logic (Courcelle, 1994; Engelfriet and Hoogeboom, 2001)
- ► The *structure* is restrictive because gr(w) is **first-order definable** from strings (using the order <)
- ► The structural relationships in an autosegmental structure are thus equivalent to FO-statements in a string
- ► Thus, using local autosegmental grammars will never take us out of SF
- ▶ (This is also true for  $erase_T(w)$ )

- ► Such structure-creating functions can aid in **learning**
- ▶ Banned substructure grammars have established learning techniques (García et al., 1990; Heinz, 2010; Heinz and Rogers, 2010)
- ► These techniques can learn long-distance patterns with additional structure known *a priori* (Hayes and Wilson, 2008; Heinz et al., 2011; Jardine and Heinz, 2016b)
- ➤ Tier structure can be learned (Goldsmith and Riggle, 2012; Jardine and Heinz, 2016a; Jardine and McMullin, to appear), but no work yet on autosegmental structure

#### Conclusion

- ► We have characterized tone by extending **banned subgraph** grammars to autosegmental representations
- This provided a sufficient and unified, yet restrictive, characterization of tone
- ▶ What about other structure: correspondence, syllables, stress grids, feet?
- ► How does autosegmental structure interact with the complexity of *transformations*? (Jardine, 2016)

### Acknowledgments

### Thank you!

This work is indebted to Jeff Heinz, Jim Rogers, Jane Chandlee, Bill Idsardi, the UD Phonetics & Phonology group, the students of my Phonology III course at Rutgers (Eileen Blum, Hazel Mitchley, Luca Iacoponi, and Nick Danis), and audiences at the 2016 LSA annual meeting, NAPhC, UPenn, and Rutgers University. The majority of this research was done under the auspices of a University of Delaware dissertation fellowship.

- ► First order logic for strings over {H, L}
  - ightharpoonup Variables x, y, z, ..., ranging over positions in the string



- ► First order logic for strings over {H, L}
  - $\triangleright$  Variables x, y, z, ..., ranging over positions in the string
  - ightharpoonup Predicates H(x) and L(x)



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  - ▶ Logical connectives  $\neg \phi$ ,  $\phi \land \psi$ ,  $\phi \lor \psi$ ,  $\phi \to \psi$



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  - ▶ Logical connectives  $\neg \phi$ ,  $\phi \land \psi$ ,  $\phi \lor \psi$ ,  $\phi \to \psi$
  - Quantifiers  $(\forall x)[\phi(x)]$  and  $(\exists x)[\phi(x)]$

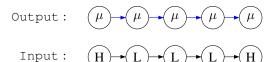


- Defining autosegmental positions and relationships in terms of the input string
  - $\blacktriangleright \ \mu_A^1(x) \stackrel{\mathrm{def}}{=} \mathrm{H}(x) \vee \mathrm{L}(x)$

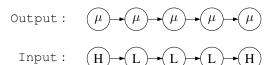


Input: 
$$(H) \rightarrow (L) \rightarrow (L) \rightarrow (H)$$

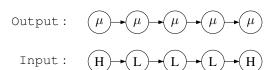
- Defining autosegmental positions and relationships in terms of the input string
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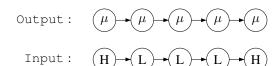
- ► Two useful predicates:
  - ▶ LSpanHd $(x) \stackrel{\text{def}}{=} L(x) \land (\forall y)[y \triangleleft x \rightarrow \neg L(x)]$



- ► Two useful predicates:
  - $\qquad \qquad \texttt{LSpanHd}(x) \stackrel{\text{def}}{=} \mathsf{L}(x) \wedge (\forall y)[y \lhd x \to \neg \mathsf{L}(x)]$
  - ▶  $\operatorname{HSpanHd}(x) \stackrel{\operatorname{def}}{=} \operatorname{H}(x) \wedge (\forall y)[y \triangleleft x \rightarrow \neg \operatorname{H}(x)]$



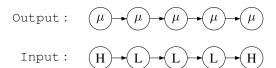
- ► Two useful predicates:
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  - $\blacktriangleright \ \mathrm{HSpanHd}(x) \stackrel{\mathrm{def}}{=} \mathrm{H}(x) \wedge (\forall y)[y \triangleleft x \rightarrow \neg \mathrm{H}(x)]$
  - ▶  $\operatorname{span}(x,y) \stackrel{\text{def}}{=} \left( \operatorname{H}(x) \wedge \operatorname{H}(y) \wedge (\forall z) [(x < z \wedge z < y) \to \operatorname{H}(z)] \right)$  $\vee \left( \operatorname{L}(x) \wedge \operatorname{L}(y) \wedge (\forall z) [(x < z \wedge z < y) \to \operatorname{L}(z)] \right)$



#### **Defining** gr(w) in **FO**

- Defining autosegmental positions and relations in terms of the input string
  - ▶  $H_A^2(x) \stackrel{\text{def}}{=} \text{HSpanHd}(x)$

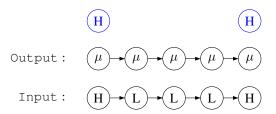
"Copy the first H in a sequence of Hs"



#### **Defining** gr(w) in **FO**

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"Copy the first H in a sequence of Hs"



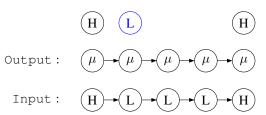
### **Defining** gr(w) in **FO**

- Defining autosegmental positions and relations in terms of the input string
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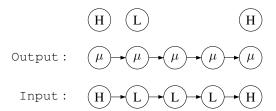
"Copy the first H in a sequence of Hs"

▶  $L_A^2(x) \stackrel{\text{def}}{=} \text{LSpanHd}(x)$ 

"Copy the first L in a sequence of Ls"



- Defining autosegmental positions and relations in terms of the input string
  - ►  $x \triangleleft_A^{2,2} y \stackrel{\text{def}}{=} x < y \land (\text{HSpanHd}(x) \lor \text{LSpanHd}(x)) \land$  "x starts a span..."



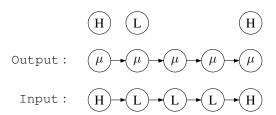
### **Defining** gr(w) **in FO**

► 
$$x \triangleleft_A^{2,2} y \stackrel{\text{def}}{=} x < y \land \big( \text{HSpanHd}(x) \lor \text{LSpanHd}(x) \big) \land$$

" $x \text{ starts a span...}$ "

 $(\forall z)[(x < z \land z < y) \rightarrow \text{span}(x, z)] \land$ 

"everything in between  $x$  and  $y$  is in a span with  $x$ "



### **Defining** gr(w) **in FO**

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$$x \triangleleft_A^{2,2} y \stackrel{\text{def}}{=} x < y \land (\text{HSpanHd}(x) \lor \text{LSpanHd}(x)) \land$$

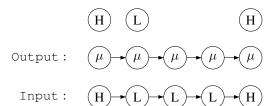
" $x \text{ starts a span...}$ "

 $(\forall z)[(x < z \land z < y) \rightarrow \text{span}(x, z)] \land$ 

" $everything \text{ in between } x \text{ and } y \text{ is in a span with } x$ "

 $\neg (\text{span}(x, y))$ 

" $x \text{ and } y \text{ are not in a span}$ "



#### **Defining** gr(w) in **FO**

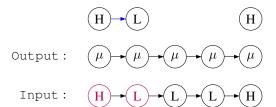
▶ 
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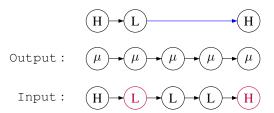
" $x \text{ starts } a \text{ span...}$ "

 $(\forall z)[(x < z \land z < y) \rightarrow \text{span}(x, z)] \land$ 

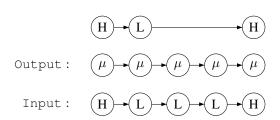
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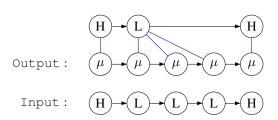
" $x \text{ and } y \text{ are not in a span}$ "



- Defining autosegmental positions and relations in terms of the input string



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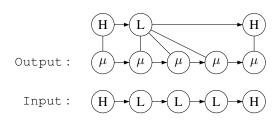


### **Defining** gr(w) in **FO**

• We've defined gr(w) by defining

$$\mu_A(x)$$
,  $H_A(x)$ ,  $L_A(x)$ ,  $x \triangleleft_A^{1,1} y$ ,  $x \triangleleft_A^{2,2} y$ ,  $x \triangleleft_A^{2,1} y$ 

in FO terms of the input string



$$\neg (\exists x, y, z) \left[ \begin{array}{c} x \triangleleft_A y \land y \triangleleft_A z \land H(x) \land L(y) \land H(z) \end{array} \right]$$

$$\equiv x < y \land \left( \text{HSpanHd}(x) \lor \text{LSpanHd}(x) \right) \land (\forall z) \left[ (x < z \land z < y) \rightarrow \text{span}(x, z) \right] \land \neg (\text{span}(x, y))$$