# **Generative Adversarial Networks (GANs)**

So far in CS231N, all the applications of neural networks that we have explored have been **discriminative models** that take an input and are trained to produce a labeled output. This has ranged from straightforward classification of image categories to sentence generation (which was still phrased as a classification problem, our labels were in vocabulary space and we'd learned a recurrence to capture multi-word labels). In this notebook, we will expand our repetoire, and build **generative models** using neural networks. Specifically, we will learn how to build models which generate novel images that resemble a set of training images.

#### What is a GAN?

In 2014, <u>Goodfellow et al.</u> presented a method for training generative models called Generative Adversarial Networks (GANs for short). In a GAN, we build two different neural networks. Our first network is a traditional classification network, called the **discriminator**. We will train the discriminator to take images, and classify them as being real (belonging to the training set) or fake (not present in the training set). Our other network, called the **generator**, will take random noise as input and transform it using a neural network to produce images. The goal of the generator is to fool the discriminator into thinking the images it produced are real.

We can think of this back and forth process of the generator (G) trying to fool the discriminator (D), and the discriminator trying to correctly classify real vs. fake as a minimax game:  $\$  underset{G}{\text{minimize}}\, \underset{D}{\text{maximize}}\, \underset{D}{\text{maximize}}\

To optimize this minimax game, we will aternate between taking gradient *descent* steps on the objective for \$G\$, and gradient *ascent* steps on the objective for \$D\$:

- 1. update the **generator** (\$G\$) to minimize the probability of the **discriminator making the** correct choice.
- 2. update the **discriminator** (\$D\$) to maximize the probability of the **discriminator making** the correct choice.

While these updates are useful for analysis, they do not perform well in practice. Instead, we will use a different objective when we update the generator: maximize the probability of the **discriminator making the incorrect choice**. This small change helps to allevaiate problems with the generator gradient vanishing when the discriminator is confident. This is the standard update used in most GAN papers, and was used in the original paper from <u>Goodfellow et al.</u>.

In this assignment, we will alternate the following updates:

- Update the generator (\$G\$) to maximize the probability of the discriminator making the incorrect choice on generated data: \$\$\underset{G}{\text{maximize}}\; \mathbb{E}\_{z \sim p(z)}\left[\log D(G(z))\right]\$\$
- 2. Undate the discriminator (EDE) to maximize the probability of the discriminator making the

z. Opuate the discriminator ( $\mathfrak{p}\mathfrak{D}\mathfrak{p}$ ), to maximize the probability of the discriminator making the correct choice on real and generated data:  $\$  \sim p\_\text{data}\\[ [\log D(x)\right] + \mathbb{E}\_{z \le p(z)}\left[\log \left(1-D(G(z))\right]\

#### What else is there?

Since 2014, GANs have exploded into a huge research area, with massive workshops, and hundreds of new papers. Compared to other approaches for generative models, they often produce the highest quality samples but are some of the most difficult and finicky models to train (see this github repo that contains a set of 17 hacks that are useful for getting models working). Improving the stabiilty and robustness of GAN training is an open research question, with new papers coming out every day! For a more recent tutorial on GANs, see here. There is also some even more recent exciting work that changes the objective function to Wasserstein distance and yields much more stable results across model architectures: WGAN, WGAN-GP.

GANs are not the only way to train a generative model! For other approaches to generative modeling check out the <u>deep generative model chapter</u> of the Deep Learning <u>book</u>. Another popular way of training neural networks as generative models is Variational Autoencoders (co-discovered <u>here</u> and <u>here</u>). Variational autoencoders combine neural networks with variational inference to train deep generative models. These models tend to be far more stable and easier to train but currently don't produce samples that are as pretty as GANs.

Example pictures of what you should expect (yours might look slightly different):

## Setup

In [212]:

```
import tensorflow as tf
import numpy as np
import os
import matplotlib.pyplot as plt
import matplotlib.gridspec as gridspec
%matplotlib inline
plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
plt.rcParams['image.interpolation'] = 'nearest'
plt.rcParams['image.cmap'] = 'gray'
# A bunch of utility functions
def show images(images):
    images = np.reshape(images, [images.shape[0], -1]) # images reshape to
(batch size, D)
    sqrtn = int(np.ceil(np.sqrt(images.shape[0])))
    sqrtimg = int(np.ceil(np.sqrt(images.shape[1])))
    fig = plt.figure(figsize=(sqrtn, sqrtn))
    gs = gridspec.GridSpec(sqrtn, sqrtn)
    gs.update(wspace=0.05, hspace=0.05)
    for i, imq in enumerate(images):
```

```
ax = plt.subplot(gs[i])
        plt.axis('off')
        ax.set xticklabels([])
       ax.set yticklabels([])
        ax.set aspect('equal')
        plt.imshow(img.reshape([sqrtimg, sqrtimg]))
    return
def preprocess img(x):
   return 2 * x - 1.0
def deprocess img(x):
   return (x + 1.0) / 2.0
def rel error (x, y):
   return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))))
def count params():
    """Count the number of parameters in the current TensorFlow graph """
    param_count = np.sum([np.prod(x.get_shape().as list()) for x in
tf.global variables()])
   return param count
def get session():
   config = tf.ConfigProto()
   config.gpu options.allow growth = True
    session = tf.Session(config=config)
    return session
answers = np.load('gan-checks-tf.npz')
```

### **Dataset**

GANs are notoriously finicky with hyperparameters, and also require many training epochs. In order to make this assignment approachable without a GPU, we will be working on the MNIST dataset, which is 60,000 training and 10,000 test images. Each picture contains a centered image of white digit on black background (0 through 9). This was one of the first datasets used to train convolutional neural networks and it is fairly easy -- a standard CNN model can easily exceed 99% accuracy.

**Heads-up**: Our MNIST wrapper returns images as vectors. That is, they're size (batch, 784). If you want to treat them as images, we have to resize them to (batch,28,28) or (batch,28,28,1). They are also type np.float32 and bounded [0,1].

#### In [213]:

```
class MNIST(object):
    def __init__(self, batch_size, shuffle=False):
        """

        Construct an iterator object over the MNIST data

        Inputs:
        - batch_size: Integer giving number of elements per minibatch
        - shuffle: (optional) Boolean, whether to shuffle the data on each
epoch

"""

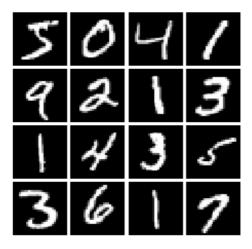
train, _ = tf.keras.datasets.mnist.load_data()
```

```
X, y = train
X = X.astype(np.float32)/255
X = X.reshape((X.shape[0], -1))
self.X, self.y = X, y
self.batch_size, self.shuffle = batch_size, shuffle

def __iter__(self):
    N, B = self.X.shape[0], self.batch_size
    idxs = np.arange(N)
    if self.shuffle:
        np.random.shuffle(idxs)
    return iter((self.X[i:i+B], self.y[i:i+B]) for i in range(0, N, B))
```

#### In [214]:

```
# show a batch
mnist = MNIST(batch_size = 16)
show_images(mnist.X[:16])
```



## LeakyReLU

In the cell below, you should implement a LeakyReLU. See the <u>class notes</u> (where alpha is small number) or equation (3) in <u>this paper</u>. LeakyReLUs keep ReLU units from dying and are often used in GAN methods (as are maxout units, however those increase model size and therefore are not used in this notebook).

HINT: You should be able to use tf.maximum

#### In [215]:

```
def leaky_relu(x, alpha=0.01):
    """"Compute the leaky ReLU activation function.

Inputs:
    - x: TensorFlow Tensor with arbitrary shape
    - alpha: leak parameter for leaky ReLU

Returns:
    TensorFlow Tensor with the same shape as x
    """
# TODO: implement leaky ReLU
#pass
```

```
return ti.maximum(x,aipna*x)
```

Test your leaky ReLU implementation. You should get errors < 1e-10

```
In [216]:
```

```
def test_leaky_relu(x, y_true):
    tf.reset_default_graph()
    with get_session() as sess:
        y_tf = leaky_relu(tf.constant(x))
        y = sess.run(y_tf)
        print('Maximum error: %g'%rel_error(y_true, y))

test_leaky_relu(answers['lrelu_x'], answers['lrelu_y'])
```

Maximum error: 0

### **Random Noise**

Generate a TensorFlow Tensor containing uniform noise from -1 to 1 with shape [batch\_size, dim].

```
In [217]:
```

```
def sample_noise(batch_size, dim):
    """Generate random uniform noise from -1 to 1.

Inputs:
    - batch_size: integer giving the batch size of noise to generate
    - dim: integer giving the dimension of the the noise to generate

Returns:
    TensorFlow Tensor containing uniform noise in [-1, 1] with shape [batch_size, dim]
    """
    # TODO: sample and return noise
    #pass

return tf.random_uniform(shape = [batch_size, dim], minval = -1, maxval
= 1)
```

Make sure noise is the correct shape and type:

```
In [218]:
```

```
def test_sample_noise():
    batch_size = 3
    dim = 4
    tf.reset_default_graph()
    with get_session() as sess:
        z = sample_noise(batch_size, dim)
        # Check z has the correct shape
        assert z.get_shape().as_list() == [batch_size, dim]
        # Make sure z is a Tensor and not a numpy array
        assert isinstance(z, tf.Tensor)
        # Check that we get different noise for different evaluations
```

```
z1 = sess.run(z)
z2 = sess.run(z)
assert not np.array_equal(z1, z2)
# Check that we get the correct range
assert np.all(z1 >= -1.0) and np.all(z1 <= 1.0)
print("All tests passed!")

test_sample_noise()</pre>
```

All tests passed!

### **Discriminator**

Our first step is to build a discriminator. You should use the layers in tf.layers to build the model. All fully connected layers should include bias terms. For initialization, just use the default initializer used by the tf.layers functions.

#### Architecture:

- Fully connected layer with input size 784 and output size 256
- LeakyReLU with alpha 0.01
- Fully connected layer with output size 256
- LeakyReLU with alpha 0.01
- Fully connected layer with output size 1

The output of the discriminator should thus have shape [batch\_size, 1], and contain real numbers corresponding to the scores that each of the batch size inputs is a real image.

#### In [219]:

```
def discriminator(x):
    """Compute discriminator score for a batch of input images.
    Inputs:
    - x: TensorFlow Tensor of flattened input images, shape [batch size, 78
41
   Returns:
    TensorFlow Tensor with shape [batch size, 1], containing the score
    for an image being real for each input image.
    11 11 11
   with tf.variable scope("discriminator"):
        # TODO: implement architecture
        #pass
        fc1 = tf.layers.dense(inputs = x, units = 256, activation = leaky re
lu, use bias=True)
        fc2 = tf.layers.dense(inputs = fc1, units = 256, activation = leaky
relu, use bias=True)
        logits = tf.layers.dense(inputs = fc2, units = 1)
        return logits
```

Test to make sure the number of parameters in the discriminator is correct:

```
In [220]:
```

```
def test_discriminator(true_count=267009):
    tf.reset_default_graph()
    with get_session() as sess:
        y = discriminator(tf.ones((2, 784)))
        cur_count = count_params()
        if cur_count != true_count:
            print('Incorrect number of parameters in discriminator. {0}
    instead of {1}. Check your achitecture.'.format(cur_count,true_count))
        else:
            print('Correct number of parameters in discriminator.')

test_discriminator()
```

Correct number of parameters in discriminator.

```
In [142]:
```

```
Out[142]:
<tf.Tensor 'ones_1:0' shape=(2, 784) dtype=float32>
```

### Generator

Now to build a generator. You should use the layers in tf.layers to construct the model. All fully connected layers should include bias terms. Note that you can use the tf.nn module to access activation functions. Once again, use the default initializers for parameters.

#### Architecture:

- Fully connected layer with inupt size tf.shape(z)[1] (the number of noise dimensions) and output size 1024
- ReLU
- Fully connected layer with output size 1024
- ReLU
- Fully connected layer with output size 784
- TanH (To restrict every element of the output to be in the range [-1,1])

#### In [221]:

```
def generator(z):
    """Generate images from a random noise vector.

Inputs:
    - z: TensorFlow Tensor of random noise with shape [batch_size, noise_dim]

Returns:
    TensorFlow Tensor of generated images, with shape [batch_size, 784].
    """
    with tf.variable_scope("generator"):
        # TODO: implement architecture
        #pass
        fc1 = tf.layers.dense(inputs = z, units = 1024, activation = tf.nn.r
elu, use_bias = True)
        fc2 = tf.layers.dense(inputs = fc1, units = 1024, activation = tf.nn
.relu, use_bias = True)
```

```
img = tf.layers.dense(inputs = fc2, units = 784, activation = tf.nn.
tanh, use_bias = True)

return img
```

Test to make sure the number of parameters in the generator is correct:

```
In [222]:
```

```
def test_generator(true_count=1858320):
    tf.reset_default_graph()
    with get_session() as sess:
        y = generator(tf.ones((1, 4)))
        cur_count = count_params()
        if cur_count != true_count:
            print('Incorrect number of parameters in generator. {0} instead
    of {1}. Check your achitecture.'.format(cur_count,true_count))
        else:
            print('Correct number of parameters in generator.')
```

Correct number of parameters in generator.

## **GAN Loss**

Compute the generator and discriminator loss. The generator loss is:  $\$  \ell\_G = -\mathbb{E}\_{z \le p(z)}\ and the discriminator loss is:  $\$  \ell\_D = -\mathbb{E}\_{x \le p(z)}\ \left[\log D(x)\right] - \mathbb{E}\_{z \le p(z)}\\ \text{\data}\\ \eft[\log D(z))\right] \square \text{\data}\\ \text{\data}\\ \eft[\log D(z))\right] \square \text{\data}\\ \text{\data}\\ \eft[\log D(z))\right] \square \text{\data}\\ \eft[\log D(z))\right] \square \text{\data}\\ \ext{\data}\\ \ext{\dat

**HINTS**: Use <u>tf.ones\_like</u> and <u>tf.zeros\_like</u> to generate labels for your discriminator. Use <u>tf.nn.sigmoid\_cross\_entropy\_with\_logits</u> to help compute your loss function. Instead of computing the expectation, we will be averaging over elements of the minibatch, so make sure to combine the loss by averaging instead of summing.

```
In [263]:
```

```
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once at the very end).
   # TODO: compute D_loss and G_loss
    \#D loss = None
    \#G loss = None
    #pass
    labels real = tf.ones like(logits real)
    labels fake = tf.zeros like(logits fake)
    D loss = tf.reduce mean(tf.nn.sigmoid cross entropy with logits(labels=
labels real, logits=logits real))
    D loss += tf.reduce mean(tf.nn.sigmoid cross entropy with logits(labels
=labels fake, logits=logits fake))
    labels fake = tf.ones like(logits fake)
    G loss = tf.reduce mean(tf.nn.sigmoid cross entropy with logits(labels=
labels fake, logits=logits fake))
    return D_loss, G_loss
```

Test your GAN loss. Make sure both the generator and discriminator loss are correct. You should see errors less than 1e-5.

```
In [264]:
def test gan loss (logits real, logits fake, d loss true, g loss true):
    tf.reset default_graph()
    with get session() as sess:
        d loss, g loss = sess.run(gan loss(tf.constant(logits real), tf.cons
tant(logits fake)))
    print("Maximum error in d loss: %g"%rel error(d loss true, d loss))
    print("Maximum error in g loss: %g"%rel error(g loss true, g loss))
test_gan_loss(answers['logits_real'], answers['logits_fake'],
              answers['d loss true'], answers['g loss true'])
Maximum error in d loss: 6.02597e-17
Maximum error in g loss: 7.19722e-17
In [265]:
type(answers['d loss true'])
Out[265]:
numpy.ndarray
```

# **Optimizing our loss**

Make an AdamOptimizer with a 1e-3 learning rate, beta1=0.5 to mininize G\_loss and D\_loss separately. The trick of decreasing beta was shown to be effective in helping GANs converge in the Improved Techniques for Training GANs paper. In fact, with our current hyperparameters, if you set beta1 to the Tensorflow default of 0.9, there's a good chance your discriminator loss will go to zero and the generator will fail to learn entirely. In fact, this is a common failure mode in GANs; if your D(x) learns to be too fast (e.g. loss goes near zero), your G(z) is never able to learn. Often D(x) is trained with SGD with Momentum or RMSProp instead of Adam, but here we'll use Adam for both D(x) and

#### In [266]:

```
# TODO: create an AdamOptimizer for D solver and G solver
def get solvers(learning rate=1e-3, beta1=0.5):
    """Create solvers for GAN training.
   Inputs:
    - learning rate: learning rate to use for both solvers
    - betal: betal parameter for both solvers (first moment decay)
   Returns:
   - D solver: instance of tf.train.AdamOptimizer with correct learning ra
te and beta1
   - G solver: instance of tf.train.AdamOptimizer with correct learning ra
te and beta1
    11 11 11
    #D solver = None
   #G solver = None
   #pass
    D solver = tf.train.AdamOptimizer(learning rate, beta1)
    G solver = tf.train.AdamOptimizer(learning rate, beta1)
    return D solver, G solver
```

## Putting it all together

Now just a bit of Lego Construction.. Read this section over carefully to understand how we'll be composing the generator and discriminator

#### In [267]:

```
tf.reset default graph()
# number of images for each batch
batch size = 128
# our noise dimension
noise dim = 96
# placeholder for images from the training dataset
x = tf.placeholder(tf.float32, [None, 784])
# random noise fed into our generator
z = sample noise(batch size, noise dim)
# generated images
G \text{ sample} = generator(z)
with tf.variable_scope("") as scope:
    #scale images to be -1 to 1
    logits real = discriminator(preprocess img(x))
    # Re-use discriminator weights on new inputs
    scope.reuse_variables()
    logits fake = discriminator(G sample)
# Get the list of variables for the discriminator and generator
D vars = tf.get collection(tf.GraphKeys.TRAINABLE VARIABLES,
'discriminator')
G vars = tf.get collection(tf.GraphKeys.TRAINABLE VARIABLES, 'generator')
```

```
# get our solver
D_solver, G_solver = get_solvers()

# get our loss
D_loss, G_loss = gan_loss(logits_real, logits_fake)

# setup training steps
D_train_step = D_solver.minimize(D_loss, var_list=D_vars)
G_train_step = G_solver.minimize(G_loss, var_list=G_vars)
D_extra_step = tf.get_collection(tf.GraphKeys.UPDATE_OPS, 'discriminator')
G_extra_step = tf.get_collection(tf.GraphKeys.UPDATE_OPS, 'generator')
```

## **Training a GAN!**

Well that wasn't so hard, was it? After the first epoch, you should see fuzzy outlines, clear shapes as you approach epoch 3, and decent shapes, about half of which will be sharp and clearly recognizable as we pass epoch 5. In our case, we'll simply train D(x) and G(z) with one batch each every iteration. However, papers often experiment with different schedules of training D(x) and G(z), sometimes doing one for more steps than the other, or even training each one until the loss gets "good enough" and then switching to training the other.

#### In [268]:

```
# a giant helper function
def run a gan (sess, G train step, G loss, D train step, D loss,
G_extra_step, D_extra_step,\
              show every=2, print every=1, batch size=128, num epoch=10):
    """Train a GAN for a certain number of epochs.
    Inputs:
    - sess: A tf. Session that we want to use to run our data
    - G train step: A training step for the Generator
    - G loss: Generator loss
    - D train step: A training step for the Generator
    - D loss: Discriminator loss
    - G extra step: A collection of tf.GraphKeys.UPDATE OPS for generator
    - D extra step: A collection of tf.GraphKeys.UPDATE OPS for discriminat
or
    Returns:
        Nothing
    # compute the number of iterations we need
    mnist = MNIST(batch size=batch size, shuffle=True)
    for epoch in range(num epoch):
        # every show often, show a sample result
        if epoch % show every == 0:
            samples = sess.run(G sample)
            fig = show images(samples[:16])
            plt.show()
            print()
        for (minibatch, minbatch y) in mnist:
            # run a batch of data through the network
            _, D_loss_curr = sess.run([D_train_step, D loss], feed dict={x:
minibatch })
            _, G_loss_curr = sess.run([G_train_step, G_loss])
        # print loss every so often.
```

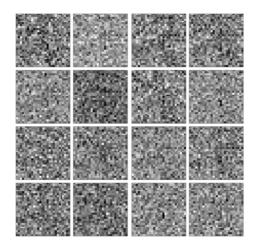
```
# we want to make sure D_loss doesn't go to U
if epoch % print_every == 0:
    print('Epoch: {}, D: {:.4}, G:{:.4}'.format(epoch,D_loss_curr,G_loss_curr))
    print('Final images')
    samples = sess.run(G_sample)

fig = show_images(samples[:16])
    plt.show()
```

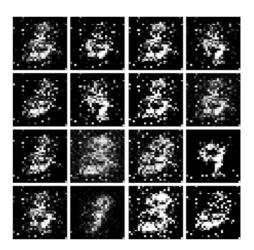
# Train your GAN! This should take about 10 minutes on a CPU, or less than a minute on GPU.

#### In [269]:

```
with get_session() as sess:
    sess.run(tf.global_variables_initializer())
    run_a_gan(sess,G_train_step,G_loss,D_train_step,D_loss,G_extra_step,D_e
xtra_step)
```

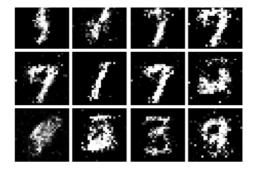


Epoch: 0, D: 1.231, G:0.9907 Epoch: 1, D: 1.352, G:1.047



Epoch: 2, D: 1.141, G:2.549 Epoch: 3, D: 1.322, G:0.9026





Epoch: 4, D: 1.182, G:0.9019 Epoch: 5, D: 1.497, G:0.8338

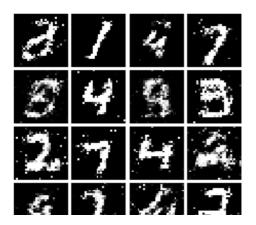


Epoch: 6, D: 1.325, G:0.8605 Epoch: 7, D: 1.403, G:0.8567



Epoch: 8, D: 1.473, G:0.8296 Epoch: 9, D: 1.205, G:0.8509

Final images





# **Least Squares GAN**

We'll now look at Least Squares GAN, a newer, more stable alternative to the original GAN loss function. For this part, all we have to do is change the loss function and retrain the model. We'll implement equation (9) in the paper, with the generator loss:  $\$  \ell\_G = \frac{1}{2}\mathbb{E}\_{z \times p(z)}\ and the discriminator loss:  $\$  \ell\_D = \frac{1}{2}\mathbb{E}\_{z \times p(z)}\ \left[\left(D(G(z))-1\right)^2\right]\\$ and the discriminator loss:  $\$  \ell\_D = \frac{1}{2}\mathbb{E}\_{z \times p(z)}\left[\left(D(G(z))\right)^2\right]\\$

**HINTS**: Instead of computing the expectation, we will be averaging over elements of the minibatch, so make sure to combine the loss by averaging instead of summing. When plugging in for D(x) and D(G(z)) use the direct output from the discriminator (score\_real and score\_fake).

#### In [271]:

```
def lsgan loss(scores real, scores fake):
    """Compute the Least Squares GAN loss.
    Inputs:
    - scores real: Tensor, shape [batch size, 1], output of discriminator
        The score for each real image
    - scores fake: Tensor, shape[batch size, 1], output of discriminator
        The score for each fake image
   Returns:
    - D loss: discriminator loss scalar
    - G loss: generator loss scalar
    # TODO: compute D loss and G loss
    \#D loss = None
    \#G loss = None
    #pass
    true labels = tf.ones like(scores fake)
    fake image loss = tf.reduce mean((scores real - true labels)**2)
    real image loss = tf.reduce mean(scores fake**2)
    D loss = 0.5* (fake image loss + real image loss)
    G loss = 0.5 * tf.reduce mean((scores fake - true labels)**2)
    return D loss, G loss
```

Test your LSGAN loss. You should see errors less than 1e-7.

#### In [272]:

```
Maximum error in g_loss: 0
```

#### Create new training steps so we instead minimize the LSGAN loss:

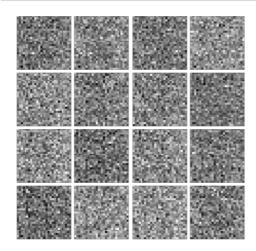
```
In [273]:
```

```
D_loss, G_loss = lsgan_loss(logits_real, logits_fake)
D_train_step = D_solver.minimize(D_loss, var_list=D_vars)
G_train_step = G_solver.minimize(G_loss, var_list=G_vars)
```

#### Run the following cell to train your model!

```
In [274]:
```

```
with get_session() as sess:
    sess.run(tf.global_variables_initializer())
    run_a_gan(sess, G_train_step, G_loss, D_train_step, D_loss,
G_extra_step, D_extra_step)
```



```
InvalidArgumentError
                                          Traceback (most recent call last)
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in do call(self, fn, *args)
         try:
   1326
-> 1327
            return fn(*args)
   1328
          except errors.OpError as e:
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in run fn(feed dict, fetch li
st, target_list, options, run_metadata)
   1311
             return self. call tf sessionrun(
-> 1312
                 options, feed_dict, fetch_list, target_list,
run metadata)
   1313
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in call tf sessionrun(self, o
ptions, feed dict, fetch list, target list, run metadata)
   1419
                    self. session, options, feed dict, fetch list, target li
_> 1/20
                    etatue run motadatal
```

```
-/ 1420
                    Status, Iun metauata,
   1421
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/framework/errors impl.py in exit (self, type
arg, value arg, traceback arg)
    515
                    compat.as text(c api.TF Message(self.status.status)),
--> 516
                    c api.TF GetCode(self.status.status))
    517
            # Delete the underlying status object from memory otherwise it
stays alive
InvalidArgumentError: Incompatible shapes: [96,1] vs. [128,1]
  [[Node: sub 3 = Sub[T=DT FLOAT,
device="/job:localhost/replica:0/task:0/device:CPU:0"]
(discriminator/dense 2/BiasAdd, ones like 3)]]
During handling of the above exception, another exception occurred:
InvalidArgumentError
                                          Traceback (most recent call last)
<ipython-input-274-bdbd7efbaf56> in <module>()
      1 with get session() as sess:
            sess.run(tf.global variables initializer())
            run_a_gan(sess, G_train_step, G_loss, D_train_step, D_loss,
G extra step, D extra step)
<ipython-input-268-65d217674af1> in run a gan(sess, G train step, G loss, D
train step, D loss, G extra step, D extra step, show every, print every, b
atch size, num epoch)
                for (minibatch, minbatch y) in mnist:
     26
                    # run a batch of data through the network
---> 27
                    _, D_loss_curr = sess.run([D_train_step, D loss], feed c
ict={x: minibatch})
     28
                    , G loss curr = sess.run([G train step, G loss])
     29
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in run(self, fetches, feed dic
t, options, run metadata)
    903
            try:
    904
              result = self. run(None, fetches, feed dict, options ptr,
--> 905
                                 run metadata ptr)
    906
              if run metadata:
    907
                proto data = tf session.TF GetBuffer(run metadata ptr)
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in run(self, handle, fetches,
feed dict, options, run metadata)
           if final fetches or final targets or (handle and feed dict tensor
   1138
r):
   1139
              results = self. do run(handle, final targets, final fetches,
-> 1140
                                     feed dict tensor, options,
run metadata)
   1141
           else:
   1142
             results = []
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in do run(self. handle. targe
```

```
packages, consortion, pychon, offene, session.py in _ac_tan(sett, manate, carge
t list, fetch list, feed dict, options, run metadata)
   if handle is None:
              return self. do call ( run fn, feeds, fetches, targets, option
   1320
s,
-> 1321
                                   run metadata)
   1322
          else:
   1323
              return self. do call ( prun fn, handle, feeds, fetches)
/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/client/session.py in do call(self, fn, *args)
   1338
               except KeyError:
   1339
-> 1340
             raise type(e) (node def, op, message)
   1341
   1342
        def extend graph(self):
InvalidArgumentError: Incompatible shapes: [96,1] vs. [128,1]
  [[Node: sub_3 = Sub[T=DT_FLOAT,
device="/job:localhost/replica:0/task:0/device:CPU:0"]
(discriminator/dense 2/BiasAdd, ones like 3)]]
Caused by op 'sub 3', defined at:
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/runpy.py", line 193, in run module as main
    " main ", mod spec)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/runpy.py", line 85, in run code
    exec(code, run globals)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel launcher.py", line
16, in <module>
    app.launch new instance()
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/traitlets/config/application.py", line 658, in launch_instance
    app.start()
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel/kernelapp.py", line
477, in start
    ioloop.IOLoop.instance().start()
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/zmq/eventloop/ioloop.py", lin
e 177, in start
    super(ZMQIOLoop, self).start()
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/tornado/ioloop.py", line 888,
in start
    handler func(fd obj, events)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/tornado/stack context.py", li
ne 277, in null wrapper
    return fn(*args, **kwargs)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/zmq/eventloop/zmqstream.py",
line 440, in _handle_events
    self. handle recv()
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/zmq/eventloop/zmqstream.py",
line 472, in handle recv
```

```
self. run callback(callback, msg)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/zmg/eventloop/zmgstream.py",
line 414, in run callback
    callback(*args, **kwargs)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/tornado/stack context.py", li
ne 277, in null wrapper
    return fn(*args, **kwargs)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel/kernelbase.py", lin
e 283, in dispatcher
    return self.dispatch shell(stream, msg)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel/kernelbase.py", lin
e 235, in dispatch shell
    handler(stream, idents, msg)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel/kernelbase.py", lin
e 399, in execute request
    user expressions, allow stdin)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel/ipkernel.py", line
196, in do execute
    res = shell.run cell(code, store history=store history, silent=silent)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-packages/ipykernel/zmgshell.py", line
533, in run cell
    return super(ZMQInteractiveShell, self).run cell(*args, **kwargs)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/IPython/core/interactiveshell.py", line 2717, in run cell
    interactivity=interactivity, compiler=compiler, result=result)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/IPython/core/interactiveshell.py", line 2821, in run_ast_nodes
    if self.run code(code, result):
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/IPython/core/interactiveshell.py", line 2881, in run_code
    exec(code obj, self.user_global_ns, self.user_ns)
  File "<ipython-input-273-0b8053754f81>", line 1, in <module>
    D loss, G loss = lsgan loss(logits real, logits fake)
  File "<ipython-input-271-be47cf6d18a2>", line 19, in lsgan loss
    fake image loss = tf.reduce mean((scores real - true labels)**2)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/ops/math ops.py", line 971, in binary op wrapper
    return func(x, y, name=name)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/ops/gen_math_ops.py", line 7933, in sub
    "Sub", x=x, y=y, name=name)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
packages/tensorflow/python/framework/op def library.py", line 787, in appl
y_op_helper
    op def=op def)
  File "/Users/adele/.pyenv/versions/anaconda3-
4.3.1/envs/cs231n/lib/python3.6/site-
```

# **Deep Convolutional GANs**

In the first part of the notebook, we implemented an almost direct copy of the original GAN network from Ian Goodfellow. However, this network architecture allows no real spatial reasoning. It is unable to reason about things like "sharp edges" in general because it lacks any convolutional layers. Thus, in this section, we will implement some of the ideas from <a href="DCGAN">DCGAN</a>, where we use convolutional networks as our discriminators and generators.

#### **Discriminator**

We will use a discriminator inspired by the TensorFlow MNIST classification <u>tutorial</u>, which is able to get above 99% accuracy on the MNIST dataset fairly quickly. *Be sure to check the dimensions of x and reshape when needed*, fully connected blocks expect [N,D] Tensors while conv2d blocks expect [N,H,W,C] Tensors. Please use tf.layers to define the following architecture:

#### Architecture:

- Conv2D: 32 Filters, 5x5, Stride 1, padding 0
- Leaky ReLU(alpha=0.01)
- Max Pool 2x2, Stride 2
- Conv2D: 64 Filters, 5x5, Stride 1, padding 0
- Leaky ReLU(alpha=0.01)
- Max Pool 2x2, Stride 2
- Flatten
- Fully Connected with output size 4 x 4 x 64
- Leaky ReLU(alpha=0.01)
- Fully Connected with output size 1

Once again, please use biases for all convolutional and fully connected layers, and use the default parameter initializers. Note that a padding of 0 can be accomplished with the 'VALID' padding option.

#### In [275]:

```
def discriminator(x):
    """Compute discriminator score for a batch of input images.

Inputs:
    - x: TensorFlow Tensor of flattened input images, shape [batch_size, 78]
```

```
Returns:
    TensorFlow Tensor with shape [batch size, 1], containing the score
    for an image being real for each input image.
    with tf.variable scope("discriminator"):
        # TODO: implement architecture
        #pass
       x1 = tf.reshape(x, shape = [-1, 28, 28, 1])
        conv1 = tf.layers.conv2d(inputs = x1, kernel size = 5, strides = 1,
filters = 32 ,activation = leaky relu)
       maxpool1 = tf.layers.max pooling2d(inputs = conv1, pool size = 2, st
rides = 2)
        conv2 = tf.layers.conv2d(inputs = maxpool1, kernel size = 5, strides
= 1, filters = 64, activation = leaky relu)
       maxpool2 = tf.layers.max pooling2d(inputs=conv2, pool size=2, stride
s=2)
        flatten = tf.reshape(maxpool2, shape = [-1, 1024])
        fc1 = tf.layers.dense(inputs = flatten, units = 1024, activation = 1
eaky_relu)
        logits = tf.layers.dense(inputs = fc1, units = 1)
        return logits
test discriminator (1102721)
```

Correct number of parameters in discriminator.

#### Generator

For the generator, we will copy the architecture exactly from the <u>InfoGAN paper</u>. See Appendix C.1 MNIST. Please use tf.layers for your implementation. You might find the documentation for <u>tf.layers.conv2d\_transpose</u> useful. The architecture is as follows.

#### Architecture:

- Fully connected with output size 1024
- ReLU
- BatchNorm
- Fully connected with output size 7 x 7 x 128
- ReLU
- BatchNorm
- Resize into Image Tensor of size 7, 7, 128
- Conv2D^T (transpose): 64 filters of 4x4, stride 2
- ReLU
- BatchNorm
- Conv2d^T (transpose): 1 filter of 4x4, stride 2
- TanH

Once again, use biases for the fully connected and transpose convolutional layers. Please use the default initializers for your parameters. For padding, choose the 'same' option for transpose convolutions. For Batch Normalization, assume we are always in 'training' mode.

```
In [276]:
```

```
def generator(z):
```

```
"""Generate images from a random noise vector.
    - z: TensorFlow Tensor of random noise with shape [batch size, noise di
m / 1
    Returns:
    TensorFlow Tensor of generated images, with shape [batch size, 784].
   with tf.variable scope("generator"):
        # TODO: implement architecture
        #pass
        # Dense layer #1
        fc1 = tf.layers.dense(inputs = z, units = 1024, activation = tf.nn.r
elu)
        fc1 bn = tf.layers.batch normalization(inputs = fc1, training = True
)
        # Dense layer #2
        fc2 = tf.layers.dense(inputs = fc1 bn, units = 7*7*128, activation =
        fc2 bn = tf.layers.batch normalization(inputs = fc2, training = True
)
        reshaped tensor = tf.reshape(fc2 bn, shape = [-1, 7, 7, 128])
        # Transposed convolutional layer #1:
        #trans_conv1 = tf.nn.conv2d_transpose(value = reshaped_tensor, fil
ters = 64, kernel size = 4, strides = 2, padding = 'SAME', activation =
tf.nn.relu)
        #trans conv1 = tf.nn.conv2d transpose(value = reshaped tensor, fil
ters = 64, strides = 2, padding = 'SAME', activation = tf.nn.relu)
        conv t1 = tf.layers.conv2d transpose(inputs = reshaped tensor, filte
rs = 64, kernel size = 4, strides = 2, padding = 'SAME', activation = tf.nn.
relu)
        conv t1 bn = tf.layers.batch normalization(inputs = conv t1, trainir
g = True)
        # Transposed convolutional layer #2:
        conv t2 = tf.layers.conv2d transpose(inputs = conv t1 bn, filters =
1, kernel size = 4, strides = 2, padding = 'SAME', activation = tf.nn.tanh)
        img = tf.reshape(conv t2, shape=[-1, 784])
        return img
test generator(6595521)
                                                                           Þ
```

Correct number of parameters in generator.

We have to recreate our network since we've changed our functions.

#### In [277]:

```
tf.reset_default_graph()

batch_size = 128
# our noise dimension
noise_dim = 96

# placeholders for images from the training dataset
y = tf_placeholder(tf_float32__[None__7841)
```

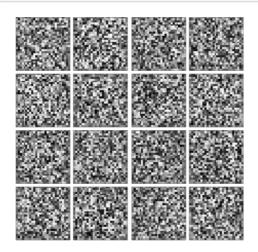
```
A - CI.PIACEHOIMEI (CI.IIOACOZ, [NOME, 704])
z = sample noise(batch size, noise dim)
# generated images
G \text{ sample} = generator(z)
with tf.variable scope("") as scope:
    #scale images to be -1 to 1
    logits real = discriminator(preprocess img(x))
    # Re-use discriminator weights on new inputs
    scope.reuse variables()
    logits fake = discriminator(G sample)
# Get the list of variables for the discriminator and generator
D vars = tf.get collection(tf.GraphKeys.TRAINABLE VARIABLES, 'discriminator'
)
G vars = tf.get collection(tf.GraphKeys.TRAINABLE VARIABLES, 'generator')
D solver, G solver = get solvers()
D loss, G loss = gan loss(logits real, logits fake)
D_train_step = D_solver.minimize(D_loss, var_list=D_vars)
G train step = G solver.minimize(G loss, var list=G vars)
D extra step = tf.get collection(tf.GraphKeys.UPDATE OPS, 'discriminator')
G extra step = tf.get collection(tf.GraphKeys.UPDATE OPS, 'generator')
```

#### Train and evaluate a DCGAN

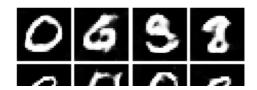
This is the one part of A3 that significantly benefits from using a GPU. It takes 3 minutes on a GPU for the requested five epochs. Or about 50 minutes on a dual core laptop on CPU (feel free to use 3 epochs if you do it on CPU).

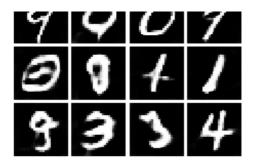
#### In [280]:

```
with get_session() as sess:
    sess.run(tf.global_variables_initializer())
    run_a_gan(sess,G_train_step,G_loss,D_train_step,D_loss,G_extra_step,D_e
xtra_step,num_epoch=3)
```

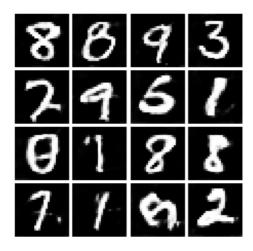


Epoch: 0, D: 1.017, G:1.476 Epoch: 1, D: 1.134, G:0.8794





Epoch: 2, D: 1.193, G:0.6598 Final images



In [ ]:

## **INLINE QUESTION 1**

We will look at an example to see why alternating minimization of the same objective (like in a GAN) can be tricky business.

Consider f(x,y)=xy. What does  $\min_x x y f(x,y)$  evaluate to? (Hint: minmax tries to minimize the maximum value achievable.)

Now try to evaluate this function numerically for 6 steps, starting at the point (1,1), by using alternating gradient (first updating y, then updating x) with step size 1. You'll find that writing out the update step in terms of  $x_t,y_t,x_{t+1}$ , will be useful.

Record the six pairs of explicit values for \$(x\_t,y\_t)\$ in the table below.

#### Your answer:

\$y_0\$	\$y_1\$	\$y_2\$	\$y_3\$	\$y_4\$	\$y_5\$	\$y_6\$
1						
\$x_0\$	\$x_1\$	\$x_2\$	\$x_3\$	\$x_4\$	\$x_5\$	\$x_6\$
1						

INITIAL CHECKION O

#### **INLINE QUESTION 2**

Using this method, will we ever reach the optimal value? Why or why not?

#### Your answer:

### **INLINE QUESTION 3**

If the generator loss decreases during training while the discriminator loss stays at a constant high value from the start, is this a good sign? Why or why not? A qualitative answer is sufficient

#### Your answer:

That is not a good sign. Ideally both G and D loss should decrease and converge to a similar value. We want to maintain some degree of balance between a generator and a discriminator such that both parties should win roughly half of the time (eg Nash equilibrium). the fact that G loss is decreasing is expected. The fact that D loss stays constant implies that the discriminator is not learning anything. we should make the discriminator more powerful.