

# Efficient and Accurate Sampling of the Thermal Neutron Scattering Law in OpenMC

by

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ABSTRACT

THIS IS MY ABSTRACT LOCATED IN TITLEPAGE.TEX

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# Chapter 1

## Introduction

### 1.1 Motivation

The merit of a nuclear system simulation is heavily dependent on the accuracy of the input nuclear data. Nuclear data (such as cross sections, particle emissions, etc.) is often complicated and highly energy dependent, which poses a challenge for those interested in efficiently simulating the behavior of a nuclear system. Nuclear data is released in “evaluations”, which are prepared by statistically combining experimentally measured data with theoretical predictions. The most widely used format for these evaluations is called the Evaluated Nuclear Data File (ENDF) [1]. ENDF files are generally not directly used by nuclear simulations, but are rather preprocessed to account for simulation-specific conditions. In doing so, the ENDF is converted into a more usable form, called “a compact ENDF” (ACE) [2].

Currently, the most widely trusted code used for nuclear data preprocessing is NJOY, which has been developed and maintained at Los Alamos National Lab (LANL) since the early 1970’s [3]. It has a large users base and is versatile for many nuclear data related tasks, including resonance reconstruction, Doppler-Broadening, multi-group cross section generation, and the preparation of thermal neutron scattering data. NJOY’s capabilities are spread across 24 modules, each of which has a specified task. Two modules in particular, LEAPR and THERMR, handle the calculation and representation of thermal scattering from bound moderators. While the accuracy of thermal neutron scattering data can greatly dictate the quality of thermal reactor analysis and safety margin calculations, it remains a difficult problem, due to effects (molecular structure, neutron wavelength, described below) that are not apparent for higher neutron energies.

Both thermal neutron scattering and resonant neutron scattering are highly dependent on incoming neutron energy. However, thermal neutron scattering cross sections must also account for molecular structure, since the energy of the incoming neutron is generally on the order of the molecules’ excitation modes (i.e. below 1-10 eV). Excitation of these excitation modes can result in vibration, translation, or rotation of the target. Vibrational modes, also called phonons, are a primary concern when describing neutron scattering in a solid. In addition to the molecular excitation, thermal neutrons data processing is further complicated by considering the long neutron de Broglie wavelength. When

a neutron has energy in the thermal region, its wavelength can be on the order of the interatomic spacing, which allows it to interact with multiple nuclei, as opposed to a single atom [4].

Despite the above complications, a thermal scattering relationship  $S(\alpha, \beta, T)$  is constructed to relate unitless neutron momentum and energy exchange ( $\alpha$  and  $\beta$ , respectively) with the double differential inelastic incoherent scattering cross section  $\sigma(E \rightarrow E', \mu)$ . Once  $S(\alpha, \beta, T)$ , also called the “scattering law”, is obtained, the cross section can be obtained using

$$\sigma(E \rightarrow E', \mu) = \frac{\sigma_b}{2k_b T} \sqrt{\frac{E'}{E}} S(\alpha, \beta, T), \quad (1.1)$$

where  $\sigma_b$  is the characteristic bound scattering cross section of the target isotope, and  $k_b$  is Boltzmann’s constant and  $T$  is the temperature. As shown in Eq. 1.1, if  $S(\alpha, \beta, T)$  can be accurately obtained, the differential inelastic incoherent scattering cross section  $\sigma(E \rightarrow E', \mu)$  is simple to calculate. Thus, the quality of thermal nuclear system modeling is directly tied to the preparation of the scattering law.

## 1.2 Current Methods of Preparing $S(\alpha, \beta, T)$

### 1.2.1 Types of Thermal Neutron Scattering

As mentioned in Sec. 1.1, the most widely used code for nuclear data processing is NJOY, which through two of its modules (LEAPR and THERMR) has the ability to prepare thermal scattering data. In particular, LEAPR is used to create a  $S(\alpha, \beta, T)$  table, while THERMR tabulates the scattering law into a convenient form for simulations to use. Since LEAPR primarily calculates  $S(\alpha, \beta, T)$ , it will be of primary focus for the rest of this section.

Thermal neutron scattering can be broken into elastic and inelastic parts, which can then be further separated into coherent and incoherent parts. Elastic thermal scattering implies no change in neutron energy. Note that this differs from elastic scattering off of a single particle, in that a thermal neutron will elastically scatter off of an entire lattice, which makes the effective mass of the target extremely large. Thermal inelastic scattering can result in neutron energy loss, which corresponds to target excitation. At these low energies, neutron up-scattering can also occur, which implies neutron energy gain, and corresponds to target de-excitation. Excitations can correspond to creation of vibrational modes (phonons), as well as the creation of translational or rotational modes. For a system of particles with randomly distributed spins, coherent scattering consists of interacting wave effects, whereas incoherent scattering consists solely of a sum of non-interacting waves<sup>1</sup>.

For materials with randomly distributed crystallites, the form of coherent inelastic scattering nearly equals that of incoherent inelastic scattering. This allows the coherent and incoherent inelastic components to be combined into one inelastic contribution, an

---

<sup>1</sup>Materials such as cold hydrogen that do not have randomly distributed have additional effects, so they have be considered apart from this simple coherent/incoherent discussion.

approximation known as the “incoherent approximation” [3, 4]. Thus, LEAPR separates the scattering calculation into inelastic, coherent elastic, and incoherent inelastic. This project focuses on inelastic scattering, due to the predominant importance of neutron energy change.

### 1.2.2 Inelastic Thermal Neutron Scattering

Recall from Sec. 1.1 that the double differential inelastic cross section is defined as

$$\sigma(E \rightarrow E', \mu) = \frac{\sigma_b}{2kT} \sqrt{\frac{E'}{E}} S_{n.sym}(\alpha, \beta, T) \quad (1.1)$$

which describes a neutron with incoming energy  $E$  scattering with cosine  $\mu$  into energy  $E'$ . Recall again that  $\sigma_b$  is the characteristic bound scattering cross section for the target,  $k_b$  is Boltzmann’s constant, and  $T$  is the temperature. Note that  $S_{n.sym}(\alpha, \beta, T)$  is the non-symmetric form of the scattering law, which is related to the symmetric form by

$$S_{sym}(\alpha, \beta, T) = e^{\beta/2} S_{n.sym}(\alpha, \beta, T). \quad (1.2)$$

Dimensionless momentum and energy transfer,  $\alpha$  and  $\beta$  respectively, are defined as

$$\alpha = \frac{E' + E - 2\mu\sqrt{E'E}}{AkT} \quad (1.3)$$

$$\beta = \frac{E' - E}{kT} \quad (1.4)$$

The symmetric scattering law  $S_{n.sym}(\alpha, \beta)$  can be written as an integral across time in units of  $\hbar/k_b T$  seconds,

$$S_{n.sym}(\alpha, \beta, T) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\beta\hat{t}} e^{-\gamma(\hat{t})} d\hat{t} \quad (1.5)$$

where

$$\gamma(\hat{t}) = \alpha \int_{-\infty}^{\infty} P(\beta) \left[ 1 - e^{-i\beta\hat{t}} \right] e^{-\beta/2} d\beta \quad (1.6)$$

and

$$P(\beta) = \frac{\rho(\beta)}{2\beta \sinh(\beta/2)}. \quad (1.7)$$

Note that  $\rho(\beta)$  is the phonon distribution or excitation frequency spectrum characteristic to the material, and that it normalizes to unity,

$$\int_0^{\infty} \rho(\beta) d\beta = 1. \quad (1.8)$$

Note that to get to this point, the only assumption made is that equating the form of incoherent inelastic scattering with that of coherent elastic scattering (incoherent approximation). At this point, LEAPR decomposes the frequency spectrum  $\rho(\beta)$ ,

$$\rho(\beta) = \sum_{j=1}^{\# \text{ osc.}} \omega_j \delta(\beta_j) + \rho_s(\beta) + \rho_t(\beta) \quad (1.9)$$

into a sum of discrete oscillators (represented by weighted delta-functions  $\omega_j \delta(\beta_j)$ ), a solid-type spectrum  $\rho_s(\beta)$ , and a translational spectrum  $\rho_t(\beta)$ . The solid-type spectrum and translational spectrum integrate to  $\omega_s$  and  $\omega_t$ , respectively, such that

$$\sum_{j=1}^{\# \text{ OSC.}} \omega_j + \omega_s + \omega_t = 1. \quad (1.10)$$

LEAPR uses each component of this decomposed frequency distribution to create a corresponding scattering law  $S_{sym,i}(\alpha, \beta, T)$ , then convolves these individual scattering laws to retrieve the true scattering relation  $S_{n.sym}(\alpha, \beta, T)$ .

### Solid-Type Continuous Spectra

The solid-type continuous contribution to  $S_{n.sym}(\alpha, \beta, T)$ , denoted with an  $(s)$  superscript, can be calculated by representing Eq. 1.5 as a sum, and using a finite number of terms of that sum to approximate a contribution value. The solid-type continuous spectra depends on the frequency distribution  $\rho(\beta)$ . Eq. 1.6 can be rewritten as

$$\gamma(\hat{t}) = \alpha \lambda_s - \alpha \int_{-\infty}^{\infty} P(\beta) e^{-\beta/2} e^{-i\beta \hat{t}} d\beta. \quad (1.11)$$

where  $\lambda_s$ , known as the Debye-Waller coefficient for the solid-type spectra, is defined as

$$\lambda_s = \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} d\beta'. \quad (1.12)$$

Thus, the exponential of Eq. 1.11 is

$$e^{-\gamma(\hat{t})} = \sum_{n=0}^{\infty} \left( e^{-\alpha \lambda_s} \frac{1}{n!} \left[ \alpha \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} e^{-i\beta' \hat{t}} d\beta' \right]^n \right) \quad (1.13)$$

where the exponential of the latter term has been represented as a Taylor series. The above can be used in Eq. 1.5, yielding

$$S_{n.sym}^{(s)}(\alpha, \beta, T) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\beta \hat{t}} \sum_{n=0}^{\infty} \left( e^{-\alpha \lambda_s} \frac{1}{n!} \left[ \alpha \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} e^{-i\beta' \hat{t}} d\beta' \right]^n \right) d\hat{t} \quad (1.14)$$

$$= e^{-\alpha \lambda_s} \sum_{n=0}^{\infty} \frac{\alpha^n}{n!} \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\beta \hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} e^{-i\beta' \hat{t}} d\beta' \right]^n d\hat{t} \quad (1.15)$$

$$= e^{-\alpha \lambda_s} \sum_{n=0}^{\infty} \frac{1}{n!} [\alpha \lambda_s]^n \mathcal{T}_n(\beta) \quad (1.16)$$

where

$$\lambda_s^n \mathcal{T}_n(\beta) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i\beta \hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} e^{-i\beta' \hat{t}} d\beta' \right]^n d\hat{t}. \quad (1.17)$$

Note that

$$\mathcal{T}_0(\beta) = \delta(\beta) \quad (1.18)$$

and

$$\mathcal{T}_1(\beta) = \frac{1}{2\pi\lambda_s} \int_{-\infty}^{\infty} e^{i\beta\hat{t}} \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} e^{-i\beta'\hat{t}} d\beta' d\hat{t} \quad (1.19)$$

$$= \frac{1}{2\pi\lambda_s} \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} \int_{-\infty}^{\infty} e^{i(\beta-\beta')\hat{t}} d\hat{t} d\beta' \quad (1.20)$$

$$= \frac{1}{\lambda_s} \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} \delta(\beta - \beta') d\beta' \quad (1.21)$$

$$= \frac{P(\beta) e^{-\beta/2}}{\lambda_s}. \quad (1.22)$$

As shown in Appendix A.1,  $\mathcal{T}_n(\beta)$  can be attained using a convolution integral,

$$\mathcal{T}_n(\beta) = \int_{-\infty}^{\infty} \mathcal{T}_1(\beta') \mathcal{T}_{n-1}(\beta - \beta') d\beta'. \quad (\text{A.8})$$

Solving the solid-type, continuous contribution to  $S_{n.sym}(\alpha, \beta, T)$  can be done by computing  $\mathcal{T}_i(\beta)$  for  $i$  from 1 to a sufficiently large value of  $n$ . These  $\mathcal{T}_i(\beta)$  values can be used in the Eq. 1.16, which directly contributes to  $S_{n.sym}(\alpha, \beta, T)$ .

## Discrete Oscillator

Shown in Fig. 1.1 is the phonon distribution for H in H<sub>2</sub>O, as calculated by [5]. Often,

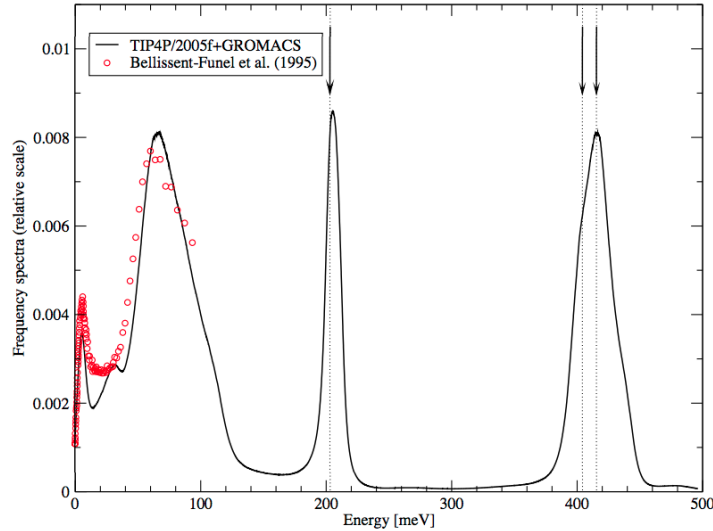


Figure 1.1: Phonon Distribution for H in H<sub>2</sub>O [5]. The red points and the arrows represent measured data against which to compare the calculated spectrum. Of particular interest are the two peaks near 200 and 400 meV, which are approximated in LEAPR as discrete oscillators.

vibrational modes appear as sharp peaks in the frequency distribution, and can be treated

as a weighted delta functions,  $\omega_i \delta_i(E)$ . The contribution to the scattering law from oscillator  $i$  is

$$S_{n.sym}^{(i)}(\alpha, \beta) = e^{-\alpha \lambda_i} \sum_{n=-\infty}^{\infty} \delta(\beta - n\beta_i) I_n \left[ \frac{\alpha w_i}{\beta_i \sinh(\beta_i/2)} \right] e^{-n\beta_i/2} \quad (1.23)$$

where the corresponding Debye-Waller coefficient is

$$\lambda_i = w_i \frac{\coth(\beta_i/2)}{\beta_i} \quad (1.24)$$

and  $I_n(x)$  is the modified Bessel function of the first kind. When combining a continuous, solid-type spectrum with discrete oscillators, the total Debye-Waller coefficient is simply the sum,

$$\lambda = \lambda_s + \sum_{i=1}^N \lambda_i \quad (1.25)$$

where there are  $N$  oscillators considered. Combining all the factors in Eq. 1.23 without a  $\beta$  term leaves us with

$$S_{n.sym}^{(i)}(\alpha, \beta) = \sum_{n=-\infty}^{\infty} A_{i,n}(\alpha) \delta(\beta - n\beta_i) \quad (1.26)$$

where  $A_{i,n}(\alpha)$  is defined as

$$A_{i,n}(\alpha) = e^{-\alpha \lambda_i} I_n \left[ \frac{\alpha w_i}{\beta_i \sinh(\beta_i/2)} \right] e^{-n\beta_i/2}. \quad (1.27)$$

Using Eq. 1.23 or Eq. 1.26, the contribution that an individual oscillator has to the total scattering law is rather simple to compute. Once computed, it must be convolved with the existing scattering law. Consider an example where two discrete oscillators contributions,  $S_{n.sym}^{(1)}$  and  $S_{n.sym}^{(2)}$ , are being combined to a scattering law contribution from solid type spectrum  $S_{n.sym}^{(s)}$ .

$$S_{n.sym}^{(s,1)}(\alpha, \beta) = \int_{-\infty}^{\infty} S_{n.sym}^{(1)}(\alpha, \beta') S_{n.sym}^{(s)}(\alpha, \beta - \beta') d\beta' \quad (1.28)$$

$$= \int_{-\infty}^{\infty} \sum_{n=-\infty}^{\infty} A_{1,n}(\alpha) \delta(\beta' - \beta_1) S_{n.sym}^{(s)}(\alpha, \beta - n\beta_1) d\beta' \quad (1.29)$$

$$= \sum_{n=-\infty}^{\infty} A_{1,n}(\alpha) S_{n.sym}^{(s)}(\alpha, \beta - n\beta_1) \quad (1.30)$$

$$S_{n.sym}^{(s,1,2)}(\alpha, \beta) = \int_{-\infty}^{\infty} S_{n.sym}^{(2)}(\alpha, \beta') S_{n.sym}^{(s,1)}(\alpha, \beta - \beta') d\beta' \quad (1.31)$$

$$= \int_{-\infty}^{\infty} \sum_{m=-\infty}^{\infty} A_{2,m}(\alpha) \delta(\beta' - m\beta_2) S_{n.sym}^{(s,1)}(\alpha, \beta - \beta') d\beta' \quad (1.32)$$

$$= \sum_{m=-\infty}^{\infty} A_{2,m}(\alpha) S_{n.sym}^{(s,1)}(\alpha, \beta - m\beta_2) \quad (1.33)$$

$$= \sum_{m=-\infty}^{\infty} A_{2,m}(\alpha) \sum_{n=-\infty}^{\infty} A_{1,n}(\alpha) S_{n.sym}^{(s)}(\alpha, \beta - n\beta_1 - m\beta_2) \quad (1.34)$$

$$(1.35)$$

## Translational Spectra

Thermal neutron scattering off of some materials, especially gasses and liquids, can be modeled by considering a solid-type, continuous spectrum, combined with a diffusive term. For liquid moderators, LEAPR makes use of the “effective width model” [6]. The effective width model defines the translational contribution to the scattering law as

$$S_{n.sym}^{(t)}(\alpha, \beta) = e^{[2c^2w_t\alpha - \beta/2]} \frac{2cw_t\alpha\sqrt{c^2 + .25}}{\pi\sqrt{\beta^2 + 4c^2w_t^2\alpha^2}} K_1 \left\{ \sqrt{c^2 + .25} \sqrt{\beta^2 + 4c^2w_t^2\alpha^2} \right\} \quad (1.36)$$

with the phonon distribution is defined as

$$\rho(\beta) = w_t \frac{4c}{\pi\beta} \sqrt{c^2 + .25} \sinh(\beta/2) K_1 \left\{ \sqrt{c^2 + .25} \beta \right\} \quad (1.37)$$

where  $w_t$  is the translational weight,  $c$  is the diffusion constant, and  $K_1$  is the modified Bessel function of the second kind.

For gas moderators, and even some liquid moderators, the free gas approximation can be used as an alternative to the effective width model,

$$S_{n.sym}^{(t)}(\alpha, \beta) = e^{-\beta} \frac{1}{\sqrt{4\pi w_t \alpha}} \exp \left[ -\frac{(w_t \alpha - \beta)^2}{4w_t \alpha} \right]. \quad (1.38)$$

Once the translational contribution to the scattering law is calculated, it is convolved with the other contributions to obtain the total  $S_{n.sym}(\alpha, \beta)$ .

## 1.3 Areas of Improvement

### 1.3.1 Input Phonon Distribution Approximations

### 1.3.2 Sampling from $S(\alpha, \beta, T)$

# Chapter 2

## Accurate Representation of Phonon Distributions

### 2.1 Discrete Oscillator Approximation

The LEAPR module of NJOY, which is used to prepare thermal scattering data in the form of the scattering law,  $S(\alpha, \beta, T)$ , often approximates peaks in the phonon spectra as discrete oscillators, and models them as weighted  $\delta$ -functions. In the event that a user would want to avoid this approximation, and instead apply the continuous phonon distribution treatment to those selected areas, it is crucial to verify agreement between these two methods. To test this, a simplified version of the NJOY 2016 release Test Problem 9 is considered [3]. The LEAPR component of Test Problem 9 models H in H<sub>2</sub>O using a slightly simplified phonon spectrum, and moderately coarse  $\alpha, \beta$  grids (65 and 75 values, respectively). Fig. 2.1 contains the phonon spectrum that NJOY 2016 uses in their Test Problem 9.

For Test Problem 9, the continuous region of phonon is defined as  $\rho(\beta) = \rho(\Delta E/k_b T)$  for  $\Delta E$  spanning from 0-0.16575 eV, where the energy grid is uniformly spaced in increments of 0.00255 eV. The translational contribution to Test Problem 9 is removed ( $\omega_t = 0$ ), and thus the continuous weighting ( $\omega_s$ ) is increased from 0.444444 to 0.5. The locations and weights of the two discrete oscillators are provided in Table 2.1. Note that, as required by Eq.1.10,

$$\omega_s + \omega_1 + \omega_2 = 0.5 + 0.166667 + 0.333333 = 1.0. \quad (2.1)$$

Table 2.1: Energies and Weights for  $\delta$  functions used in NJOY 2016 Test Problem 9

Energy (eV)	Weighting
0.205	0.166667
0.480	0.333333



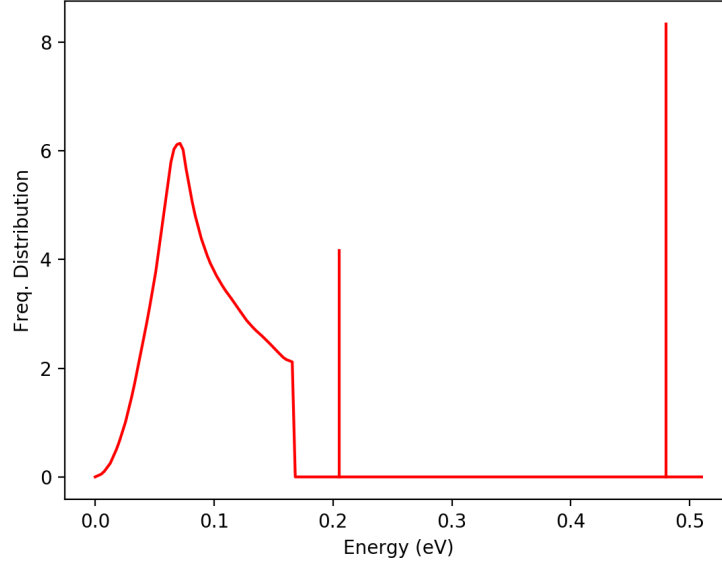


Figure 2.1: The phonon distribution for NJOY 2016 Test Problem 9 is shown above. It contains a continuous contribution, shown on the lower energy region, and two  $\delta$  functions to approximate the higher energy peaks.

## 2.2 Representing Discrete Oscillators as Continuous Points

In order to allow users to avoid the  $\delta$  function approximation that is commonly used in NJOY’s LEAPR module, it is crucial to demonstrate similar behavior between how the solid-type, continuous treatment vs. discrete oscillator treatment processes sharp peaks. For this discussion, Test Problem 9, which was described in Sec. 2.1 is considered. To allow for more flexible analysis, LEAPR’s source code was translated from Fortran to C++. So first, the results of the translated C++ LEAPR are compared against legacy Fortran LEAPR for the simple H in H<sub>2</sub>O model, to establish validity in the method. Then, the discrete oscillators in Test Problem 9 are replaced with triangles of varying thickness, to illustrate that as the thickness of the triangle decreases, it approaches the behavior characteristic of a  $\delta$  function oscillator.

### 2.2.1 Equivalence of Revised-LEAPR to Legacy-LEAPR

NJOY’s LEAPR module was translated from Fortran to C++, so as to provide flexibility in later analysis. The C++ translation must of course replicate the original NJOY code adequately. To illustrate this, Fig. 2.2 contains  $S(\alpha, \beta)$  as it was calculated using both the original LEAPR code, as well as the translated LEAPR code. Note that the for nearly all  $\alpha, \beta$  values shown, the two datasets are virtually indistinguishable from each other.

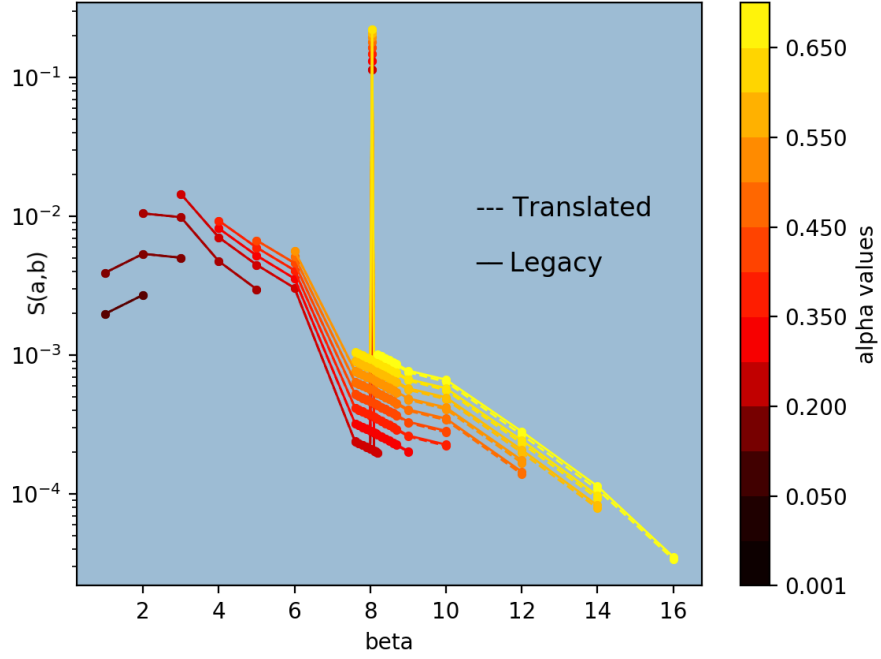


Figure 2.2: Test #9  $S(\alpha, \beta)$  results, comparing translated vs. legacy LEAPR for H in H<sub>2</sub>O Test #9 Input, which are represented using dotted and dashed lines, respectively.

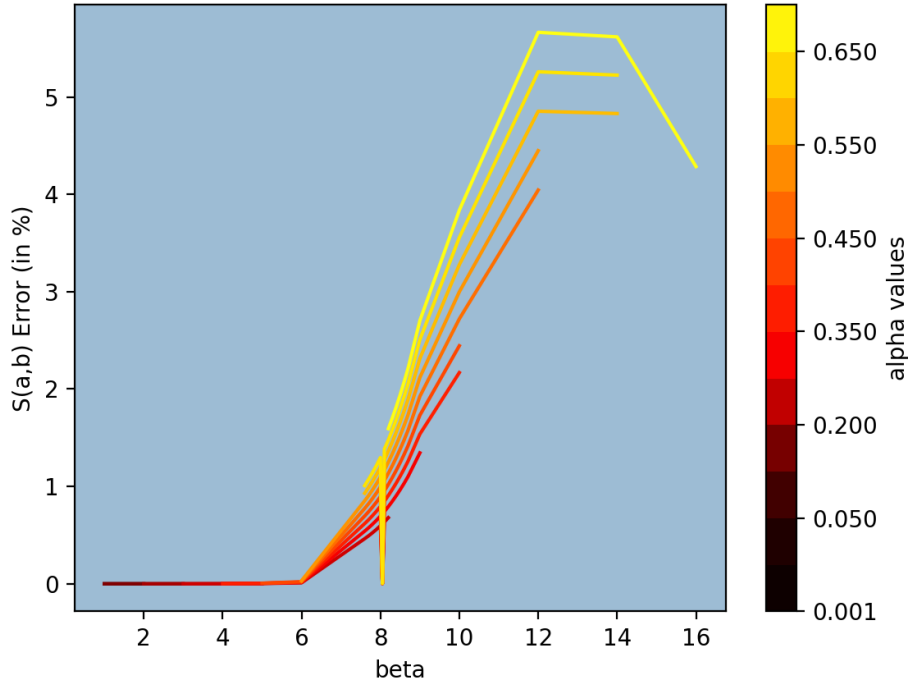


Figure 2.3: Comparison of translated vs. legacy LEAPR, for test #9, with the percent error plotted. Note that in the  $\beta$  region where percent error increases, is the same region in Fig. 2.2 where the  $S(\alpha, \beta)$  values become significantly smaller.

Fig. 2.3 shows the percent error between the  $S(\alpha, \beta)$  values produced by the translated and original LEAPR, that were plotted in Fig. 2.2. Notice that the percent error is significantly lower in the  $\beta$  regions where  $S(\alpha, \beta)$  is of reasonable size.

Thus, the translated version of LEAPR is considered an adequate tool for processing thermal data for the following discussion. However, conclusions drawn using the translated LEAPR will be verified alongside those drawn from the legacy LEAPR. Note that the translated LEAPR was also tested against legacy LEAPR with other cases considered.

### 2.2.2 Replacing Discrete Oscillator $\delta$ Functions as Triangles

To verify that discrete oscillator treatment can be replicated by using increasingly thin triangles, each triangle must integrate to the weight of its corresponding  $\delta$  function. Triangles of various widths (2,4,6,8, and 10 grid spaces) are used to replace both  $\delta$  functions, and are plotted in Fig. 2.4.

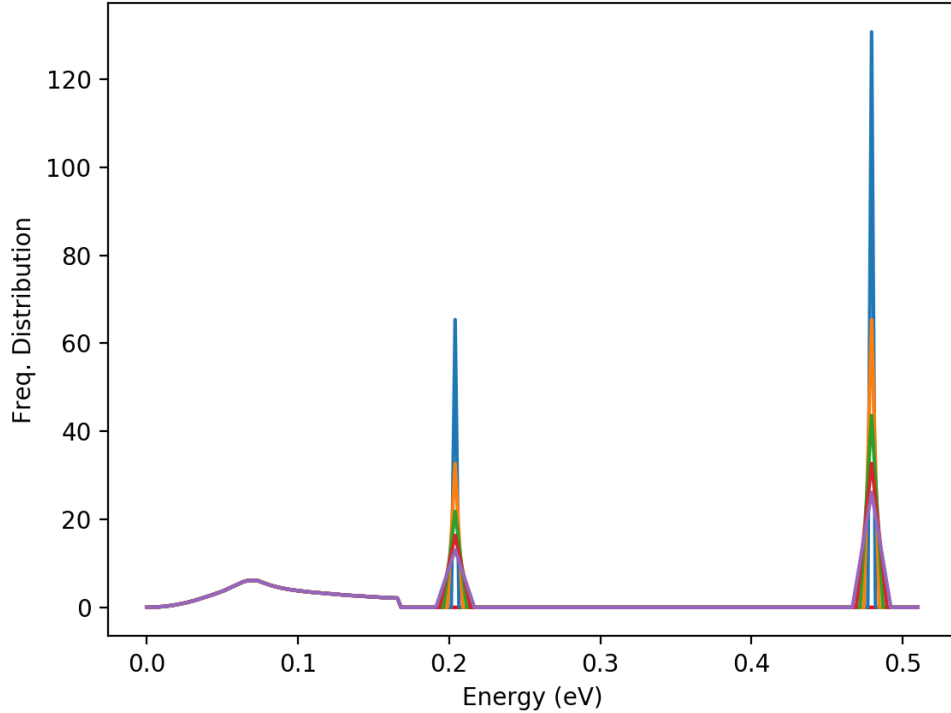


Figure 2.4: Phonon Distribution for H in H<sub>2</sub>O, with oscillators replaced with phonon distribution triangles of various widths. The area under each triangle integrates to its corresponding  $\delta$  function weight  $\omega_i$ , and the lower energy continuous component integrates to the solid-type weight  $\omega_s$ .

NJOY requires any continuous phonon distribution to be provided with respect to a uniformly-spaced energy grid. Thus, in Fig. 2.4, the centers of the triangles are not necessarily equal to the exact location of the  $\delta$  functions that are specified in Table. 2.1. A closer view of Fig. 2.4, shown in Fig. 2.5, illustrates an offset between the triangle centers

and the real oscillator location. Since the triangles' points are limited to increments of  $\Delta E = 0.00255$  eV, some inaccuracies are to be expected.

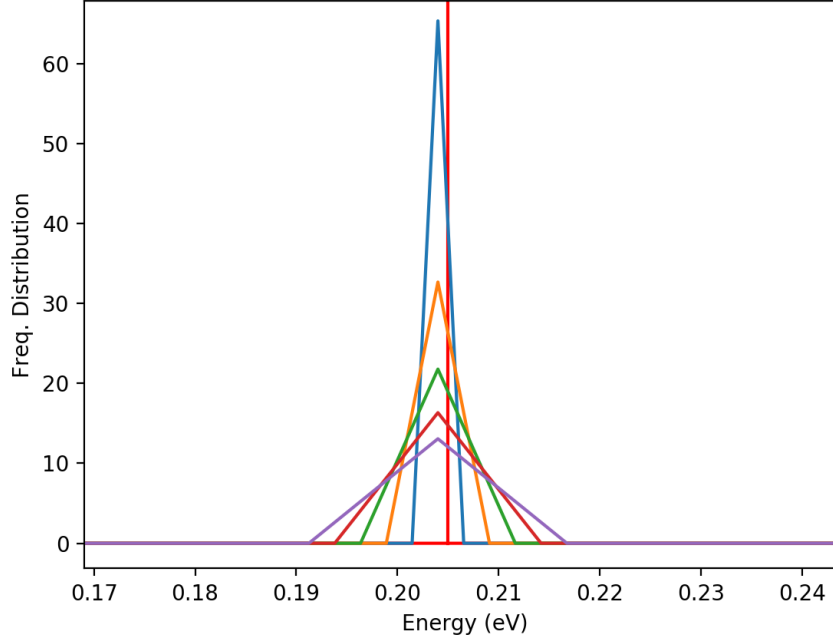


Figure 2.5: This is a zoomed in version of Fig. 2.4, where we focus in on the 0.204 eV  $\delta$  function. The red line represents the  $\delta$  function that is defined in the test #9, while other colors represent triangles used to approximate the  $\delta$  function.

As a result of the discrepancy between discrete oscillator location and triangle center location, the oscillators energies are shifted slightly to align better with the  $\Delta E = 0.00255$  eV grid to which NJOY is restricted. The  $\delta$  function parameters presented in Table. 2.1 are amended to those in Table. 2.2

Table 2.2: Energies and Weights for  $\delta$  functions, Amended to Align with Continuous Energy Grid

Energy (eV)	Weighting
0.204	0.166667
0.4794	0.333333

By slightly shifting the locations of the oscillators so that they are aligned with the triangles' grids, Fig. 2.5 now becomes Fig. 2.6. The oscillator energies detailed in Table 2.2 are the problem specifications used for the remainder of this discussion. The phonon distribution defined in Test #9, which has defined, non-zero values for energies up to 0.16575 eV, is combined with the appropriately sized triangles, and compared against the typical Test #9 results.

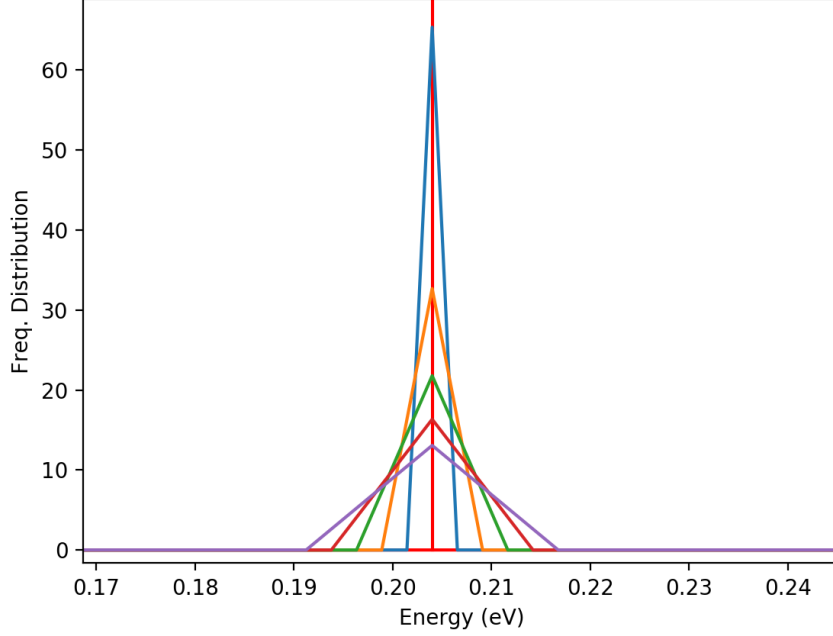


Figure 2.6: Fig. 2.5 illustrates an offset between the triangle centers and the  $\delta$  function location, due to restrictions in the  $\rho(E)$  grid. Thus,  $\delta$  function locations were shifted to the energies detailed in Table 2.2. Thus, Fig. 2.5 is amended to the above.

## 2.3 $S(\alpha, \beta)$ Response to Continuous vs. Discrete Oscillator Representation

### 2.3.1 $S(\alpha, \beta)$ Response to Thin Triangle vs. Oscillator

The phonon distributions presented in Fig. 2.4 are input into LEAPR, and the resultant  $S(\alpha, \beta)$  is collected. This is compared against the  $S(\alpha, \beta)$  grid that is resultant of the typical discrete oscillator representation for the higher end of the H in  $\text{H}_2\text{O}$  frequency distribution. As mentioned in Sec. 2.1, the phonon grid is defined with a uniform energy spacing of  $\Delta E = 0.00255$  eV, which means that the thinnest triangle available has a total width of  $2 \times \Delta E = 0.0051$  eV. For the remainder of Sec. 2.3.1, this minimum-width triangle will be the only triangle of focus. In Sec. 2.3.2, the effects of changing triangle width will be explored. Fig. 2.7 shows the  $S(\alpha, \beta)$  grids generated by translated LEAPR, using both the discrete oscillator and the thin triangle phonon representation. The  $S(\alpha, \beta)$  grid is plotted against  $\beta$  for various  $\alpha$  values. There is little discernible difference between the two datasets, so a closeup on the  $\beta \approx 8$  peak is provided in Fig. 2.8.

Looking at Fig. 2.8, it is apparent that the continuous representation of the peak has a wider spread than that of the discrete oscillator. This is to be expected, since the triangle needs three points in the frequency distribution to define its shape, while the  $\delta$  function is defined at one particular point.

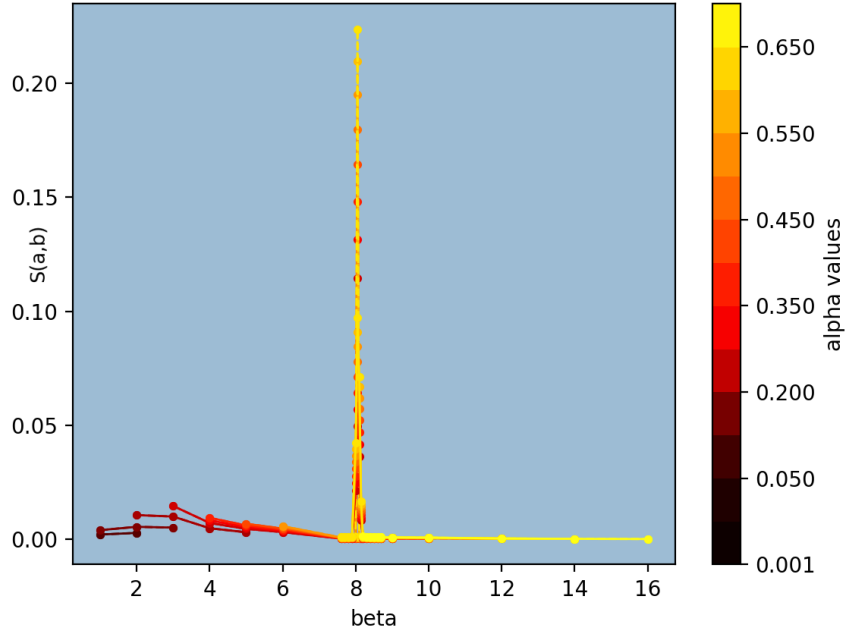


Figure 2.7:  $S(\alpha, \beta)$  generated from the translated LEAPR is plotted above, where the discrete oscillator and thin triangle representations are shown using dotted and solid lines, respectively.

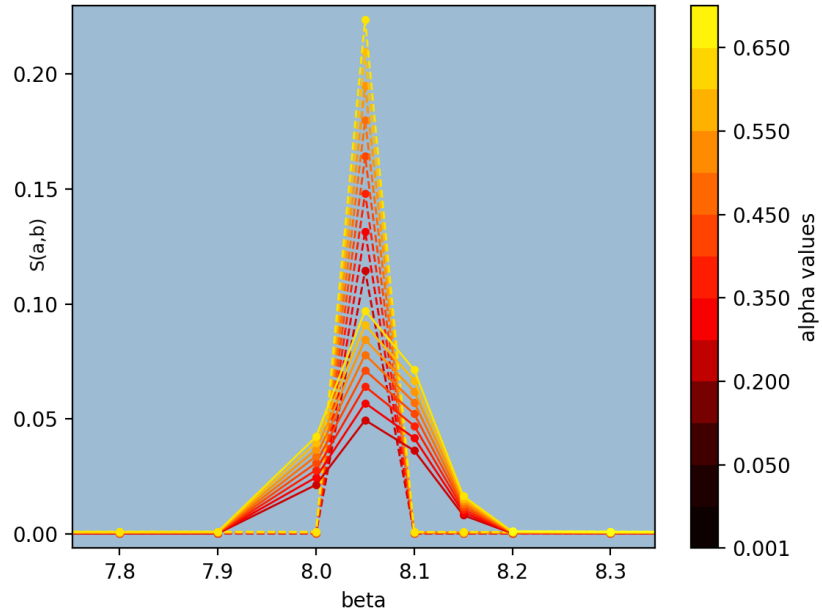


Figure 2.8:  $S(\alpha, \beta)$  generated from the translated LEAPR is plotted above, where the discrete oscillator and thin triangle representations are shown using dotted and solid lines, respectively. This is a close-up view of Fig. 2.7.

### 2.3.2 $S(\alpha, \beta)$ Response to Changes in Triangle Size

Results: Triangles of Various Widths vs.  $\delta$  Func.

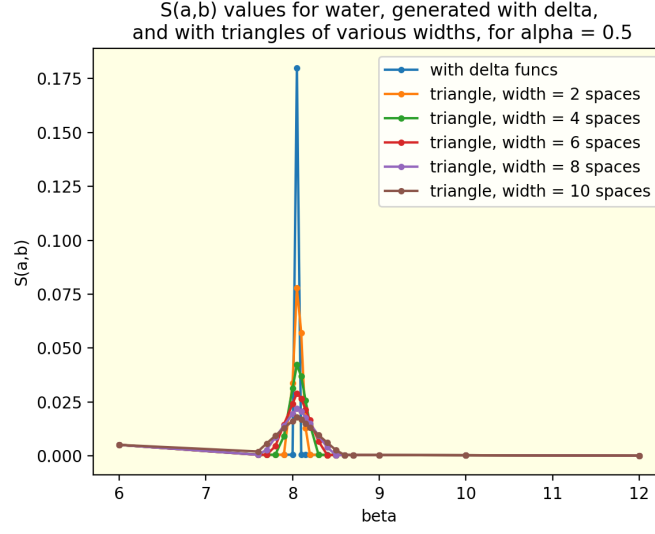


Figure 2.9:

We also look at the total error.

$$E_{total} = \sum_{\beta} \sum_{\alpha} \left| S^{\delta}(\alpha, \beta) - S^{\Delta}(\alpha, \beta) \right|$$

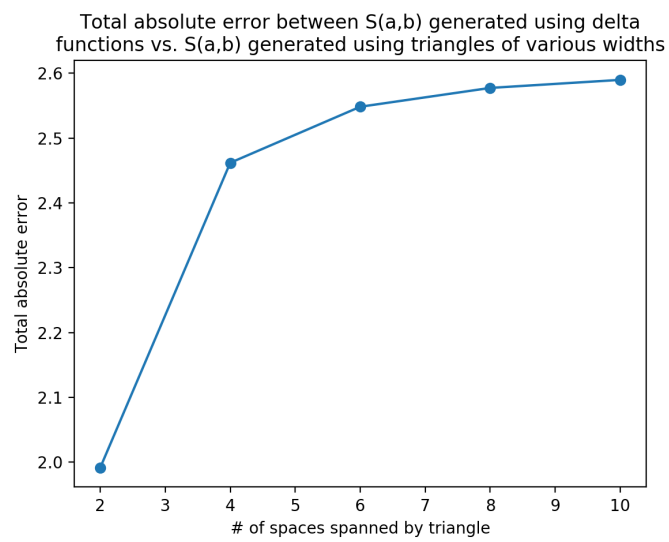


Figure 2.10: Note that as the width of the triangle decreases, the total accumulated error decreases significantly.



## 2.4 Nonuniform Phonon Distribution Energy Grid

## Chapter 3

# Improved Sampling of $S(\alpha, \beta)$

This is where I'm going to talk about Pavlou's paper

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# Appendices

# Appendix A

## Background

### A.1 $T_n(\beta)$ Representation as a Convolution Integral

$$\mathcal{T}_n(\beta) = \frac{1}{2\pi} \frac{1}{\lambda_s^n} \int_{-\infty}^{\infty} e^{i\beta\hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta') e^{-\beta'/2} e^{-i\beta'\hat{t}} d\beta' \right]^n d\hat{t}. \quad (\text{A.1})$$

$$\mathcal{T}_n(\beta) = \frac{1}{2\pi} \frac{1}{\lambda_s^n} \int_{-\infty}^{\infty} e^{-i\beta\hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta') e^{\beta'/2} e^{-i\beta'\hat{t}} d\beta' \right] \left[ \int_{-\infty}^{\infty} P(\beta'') e^{-\beta''/2} e^{-i\beta''\hat{t}} d\beta'' \right]^{n-1} d\hat{t} \quad (\text{A.2})$$

$$\mathcal{T}_n(\beta) = \frac{1}{2\pi} \frac{1}{\lambda_s^n} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-i\beta\hat{t}} P(\beta') e^{\beta'/2} e^{-i\beta'\hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta'') e^{-\beta''/2} e^{-i\beta''\hat{t}} d\beta'' \right]^{n-1} d\beta' d\hat{t} \quad (\text{A.3})$$

$$\mathcal{T}_n(\beta) = \frac{1}{2\pi} \frac{1}{\lambda_s^n} \int_{-\infty}^{\infty} e^{-i\beta\hat{t}} P(\beta') e^{\beta'/2} \int_{-\infty}^{\infty} e^{-i\beta'\hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta'') e^{-\beta''/2} e^{-i\beta''\hat{t}} d\beta'' \right]^{n-1} d\hat{t} d\beta' \quad (\text{A.4})$$

$$\mathcal{T}_n(\beta) = \frac{1}{2\pi} \frac{1}{\lambda_s^n} \int_{-\infty}^{\infty} P(\beta') e^{\beta'/2} \left[ \int_{-\infty}^{\infty} e^{i(\beta-\beta')\hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta'') e^{-\beta''/2} e^{-i\beta''\hat{t}} d\beta'' \right]^{n-1} d\hat{t} \right] d\beta' \quad (\text{A.5})$$

$$\mathcal{T}_n(\beta) = \int_{-\infty}^{\infty} \frac{P(\beta') e^{\beta'/2}}{\lambda_s} \left[ \frac{1}{2\pi \lambda_s^{n-1}} \int_{-\infty}^{\infty} e^{i(\beta-\beta')\hat{t}} \left[ \int_{-\infty}^{\infty} P(\beta'') e^{-\beta''/2} e^{-i\beta''\hat{t}} d\beta'' \right]^{n-1} d\hat{t} \right] d\beta' \quad (\text{A.6})$$

$$\mathcal{T}_n(\beta) = \int_{-\infty}^{\infty} \frac{P(\beta') e^{\beta'/2}}{\lambda_s} \mathcal{T}_{n-1}(\beta - \beta') d\beta' \quad (\text{A.7})$$

$$\mathcal{T}_n(\beta) = \int_{-\infty}^{\infty} \mathcal{T}_1(\beta') \mathcal{T}_{n-1}(\beta - \beta') d\beta' \quad (\text{A.8})$$