# An Application of QPE: Order-Finding

Renato Neves





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# **Period-Finding**

### The Problem

A periodic function f. Find its period.

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# **Period-Finding**

#### The Problem

A periodic function f. Find its period.

Problem can be difficult (particularly if f has no obvious structure, such as being trigonometric)

We will see how quantum computation tackles it

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# **Order-Finding**

Actually we tackle only a specific case  $\Rightarrow$  order-finding

The latter is handled efficiently via QPE

Integer factorisation reduces to it

The only quantum component in Shor's algorithm

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### A Handful of Definitions

#### **Definition**

We call the integer x a divisor of the integer y if  $k \cdot x = y$  for some integer k

### **Examples**

2 is a divisor of 10 and 5 is a divisor of 15. What are the divisors of a prime number?

#### **Definition**

For two integers x and y, gcd(x, y) is the greatest divisor common to x and y

### **Examples**

$$gcd(8,12) = 4$$
 and  $gcd(10,15) = 5$ 

# A Handful of Definitions pt. II

#### Definition

Two integers x and y are called co-prime if gcd(x, y) = 1

### **Examples**

8 and 9 are co-prime and 13 and 15 are co-prime as well. The integers 12 and 15 are not co-prime.

### **Modular Arithmetic**

#### **Definition**

Given an integer N the set of integers mod N is  $\{0, 1, ..., N-1\}$ 

We can think of this set as a circular circuit with different positions and where the position after  ${\it N}-1$  is 0

#### **Definition**

For two integers x and y we write  $x \equiv y \pmod{N}$  if  $x \mod N = y$ 

### **Examples**

 $5\equiv 0\,(\mathrm{mod}\,5)$  and  $6\equiv 1\,(\mathrm{mod}\,5)$ 

# **Order-Finding**

#### **Definition**

For co-prime integers a < N the order of  $a \pmod{N}$  is the smallest integer r > 0 s.t.  $a^r \equiv 1 \pmod{N}$ 

### **Example**

If N=5 the sequence  $3^0,3^1,3^2,3^3,3^4,3^5,3^6,\dots$  leads to the sequence  $1,3,4,2,\frac{1}{1},3,4,\dots$ 

Order of  $3 \pmod{5}$  is thus 4

#### **Exercise**

What is the order of  $2 \pmod{11}$ ?

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# **Order-Finding**

### The Problem

Co-prime integers a < N

What is the order of  $a \pmod{N}$ ?

# **Order-Finding**

#### The Problem

Co-prime integers a < N

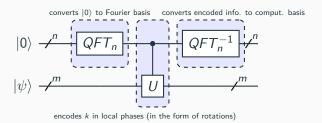
What is the order of  $a \pmod{N}$ ?

Classically, problem can be difficult for large integers

Quantumly, it can be solved efficiently via QPE

## **QPE** Revisited

#### Recall the QPE circuit



Need to choose suitable U and  $|\psi\rangle$  to disclose the order

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## **Choosing the Right Unitary**

Take co-prime integers a < N

Let 
$$m = \lceil \log_2 N \rceil$$
 and define  $U : \mathbb{C}^{2^m} \to \mathbb{C}^{2^m}$ 

$$U|x\rangle = \begin{cases} |xa \pmod{N}\rangle & \text{if } 0 \le x \le N-1 \\ |x\rangle & \text{otherwise} \end{cases}$$

#### **Exercise**

Show that  $U|a^n \pmod{N}\rangle = |a^{n+1} \pmod{N}\rangle$ 

# **Choosing the Right Unitary**

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#### **Exercise**

Show that 
$$U|a^n \pmod{N}\rangle = |a^{n+1} \pmod{N}\rangle$$

Next step is to identify suitable eigenvectors

## Starting with an Example

Recall: if N=5 sequence  $3^0,3^1,3^2,3^3,3^4,3^5,3^6,\dots$  leads to  $\underline{1,3,4,2,1},3,4,\dots$ 

Order r of  $3 \pmod{5}$  is 4. We then calculate,

$$U\left(\frac{1}{\sqrt{r}}(|1\rangle + |3\rangle + |4\rangle + |2\rangle\right)$$

$$= U\left(\frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}|3^{i} \pmod{5}\rangle\right)$$

$$= \frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}|3^{i+1} \pmod{5}\rangle$$

$$= \frac{1}{\sqrt{r}}\left(|3\rangle + |4\rangle + |2\rangle + |1\rangle\right)$$

$$= \frac{1}{\sqrt{r}}\left(|1\rangle + |3\rangle + |4\rangle + |2\rangle\right)$$

The latter state is therefore an eigenvector of U

### A First Approach

Previous example alludes to the equation

$$U\Big(\tfrac{1}{\sqrt{r}}\textstyle\sum_{i=0}^{r-1}\left|a^i\left(\operatorname{mod}N\right)\right\rangle\Big)=\tfrac{1}{\sqrt{r}}\textstyle\sum_{i=0}^{r-1}\left|a^i\left(\operatorname{mod}N\right)\right\rangle$$

Unfortunately, corresponding eigenvalue is  $1 = e^{i2\pi 0} \frac{1}{2^n}$ 

It does not disclose any information about the period r:(

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Unfortunately, corresponding eigenvalue is  $1 = e^{i2\pi 0} \frac{1}{2^n}$ 

It does not disclose any information about the period r:(

Need to find eigenvectors with more informative eigenvalues

## A Second Approach

Let 
$$\omega = e^{i2\pi \cdot \frac{1}{r}}$$
 (division of the unit circle in  $r$  slices)

$$\begin{split} &U\left(\frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}\omega^{-i}\left|a^{i}\left(\operatorname{mod}N\right)\right\rangle\right)\\ &=\frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}\omega^{-i}\left|a^{i+1}\left(\operatorname{mod}N\right)\right\rangle\\ &=\frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}\omega^{-(i+1)}\left|a^{i+1}\left(\operatorname{mod}N\right)\right\rangle\\ &=\omega\left(\frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}\omega^{-(i+1)}\left|a^{i+1}\left(\operatorname{mod}N\right)\right\rangle\right)\\ &=\omega\left(\frac{1}{\sqrt{r}}\sum_{i=0}^{r-1}\omega^{-i}\left|a^{i}\left(\operatorname{mod}N\right)\right\rangle\right) \end{split}$$

#### **Exercise**

Formally justify all the steps in the calculation above

## A Second Approach

Let 
$$\omega = e^{i2\pi \cdot \frac{1}{r}}$$
 and  $|\psi_1\rangle = \frac{1}{\sqrt{r}} \sum_{i=0}^{r-1} \omega^{-i} |a^i \pmod{N}\rangle$ 

Previous slide says  $U\ket{\psi_1} = \omega\ket{\psi_1}$ 

So if we feed QPE with  $\frac{U}{V}$  and  $|\psi_1\rangle$  we obtain an approximation of  $\frac{1}{r}$  with good success probability  $(\geq \frac{4}{\pi^2} \approx 0.4)$ 

## A Second Approach

Let 
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Previous slide says  $U\left|\psi_{1}\right\rangle =\omega\left|\psi_{1}\right\rangle$ 

So if we feed QPE with U and  $|\psi_1\rangle$  we obtain an approximation of  $\frac{1}{r}$  with good success probability ( $\geq \frac{4}{\pi^2} \approx 0.4$ )

However  $|\psi_1\rangle$  is difficult to construct. Can you see why?

## A Third Approach

We define a superposition of eigenvectors that is equal to  $|1\rangle$ :

set 
$$|\psi_k\rangle = \frac{1}{\sqrt{r}} \sum_{i=0}^{r-1} \omega^{-ik} |a^i \pmod{N}\rangle$$
 and  $|\psi\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |\psi_k\rangle$ 

#### **Exercise**

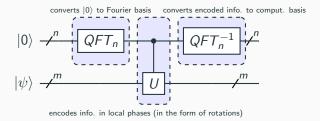
Then show  $U|\psi_k\rangle = \omega^k |\psi_k\rangle$ 

#### Exercise

Finally show  $|\psi\rangle=|1\rangle$  (hint: show  $\langle 1|\psi\rangle=1$  or alternatively use the closed-form formula of geometric series)

### A Third Approach

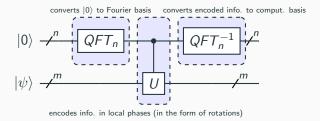
$$U|\psi_k\rangle = \omega^k |\psi_k\rangle = e^{i2\pi\frac{k}{r}} |\psi_k\rangle$$
 and  $|\psi\rangle = \frac{1}{\sqrt{r}} \sum_{i=0}^{r-1} |\psi_k\rangle$ . Therefore



returns  $\frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}\left(\left|\tilde{\phi}_{k}\right\rangle|\psi_{k}\right)$  where each  $\left|\tilde{\phi}_{k}\right\rangle$  is the best *n*-bit approximation of  $\frac{k}{r}$  with probability  $\geq \frac{4}{\pi^{2}}$ 

## A Third Approach

$$U|\psi_k\rangle = \omega^k |\psi_k\rangle = \mathrm{e}^{i2\pi\frac{k}{r}} |\psi_k\rangle$$
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returns  $\frac{1}{\sqrt{r}}\sum_{k=0}^{r-1}\left(\left|\tilde{\phi}_{k}\right\rangle|\psi_{k}\right)$  where each  $\left|\tilde{\phi}_{k}\right\rangle$  is the best  ${n \over r}$ -bit approximation of  ${k \over r}$  with probability  $\geq {4 \over \pi^{2}}$ 

But how to extract r from  $\left|\tilde{\phi}_{k}\right\rangle$ ?

## **Extracting the Period**

Let  $\varphi$  be the best *n*-bit approximation of some  $\frac{k}{r}$ 

#### **Theorem**

If  $\left|\frac{k}{r} - \varphi\right| \leq \frac{1}{2r^2}$  then we can extract  $\frac{k}{r}$  in <u>reduced form</u>, and with complexity  $O(m^3)$ 

### Proof.

Uses the continued fractions alg. (see Appendix 4, Nielsen and Chuang,  $Quantum\ Computation\ and\ Quantum\ Information)$ 

Previous theorem tells we need to use a minimum number n of qubits to represent  $\varphi$ . Particularly,

## **Extracting the Period**

recall: 
$$m = \lceil log_2 N \rceil$$

$$2^{n+1} \ge 2r^2$$

$$\Leftrightarrow 2^{n+1} \ge 2(2^m)^2 \qquad \qquad \{r \le N \le 2^m\}$$

$$\Leftrightarrow 22^n \ge 2(2^m)^2$$

$$\Leftrightarrow 2^n \ge 2^{2m}$$

$$\Leftrightarrow n > 2m$$

Thus the number of qubits to use in the approximation  $\varphi$  should be at least 2m

## Finally...

In order to obtain the order r, proceed with the following steps

- 1. run QPE + continued fractions alg. twice to obtain two reduced fractions  $\frac{k_1}{r_1}$  and  $\frac{k_2}{r_2}$
- 2. if  $gcd(k_1, k_2) = 1$  repeat previous step else set r := least common multiple of  $r_1$  and  $r_2$
- 3. if  $a^r \pmod{N} \equiv 1$  output r else go back to step 1

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- 3. if  $a^r \pmod{N} \equiv 1$  output r else go back to step 1

In step 2, probability of  $gcd(k_1, k_2) = 1$  is  $\geq \frac{1}{4}$ . Hence whole algorithm has constant probability of success

In step 2, computation of gcd and least common multiple has complexity  $O(m^2)$ . Hence the whole algorithm must be efficient