

# GENERAL QUALIFYING EXAM SOLUTIONS: STELLAR ASTROPHYSICS

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# 1 Stellar Astrophysics

## 1.1 Question 1

Sketch out a Hertsprung-Russell diagram. Indicate where on the main sequence different spectral classes lie. Draw and describe the post main-sequence tracks of both low- and high-mass stars.

### 1.1.1 Short answer

Answer.

### 1.1.2 Additional context

**Evolution of a  $9 M_{\odot}$  star:** Figure 1 shows the calculated evolutionary path on the HRD of a  $9 M_{\odot}$  star of solar composition. Letters mark critical points in the course of evolution. Specifically, the various points mark the following events:

- A: Beginning of steady hydrogen burning, ZAMS.
- C-C0: Exhaustion of hydrogen in the core, and ignition of hydrogen burning in a shell surrounding the hydrogen-exhausted core.
- E: Arrival on the Hayashi line, that is, the envelope is (almost) fully convective.
- F: Ignition of helium burning in the center.
- J: Exhaustion of helium in the core.
- JK: Ignition of helium burning in a shell surrounding the helium-exhausted core.
- L: Back to the Hayashi line (fully convective envelope).
- LM: Early asymptotic giant branch phase (E-AGB).

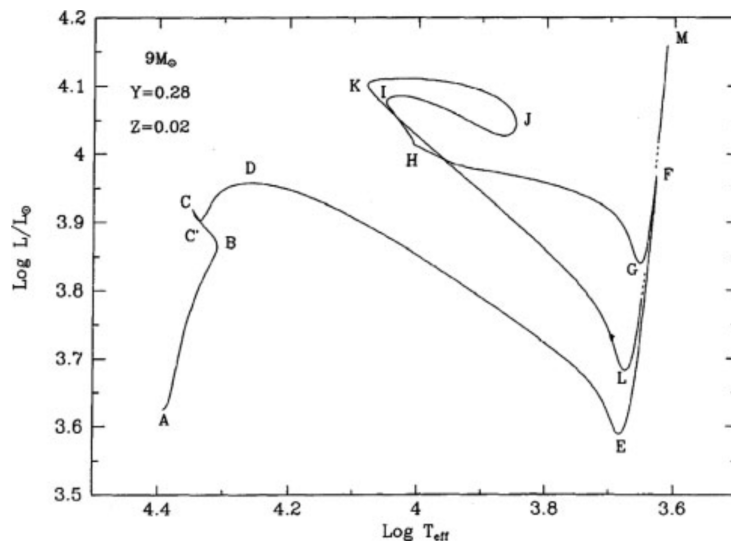


Figure 1: The evolutionary track in the HRD diagram of a  $9 M_{\odot}$  star with solar composition from the ZAMS all the way to the AGB phase (hydrogen and helium burning in two separate shells). What happens at the labeled points. Source: Renzini et al. (1992, *Astrophys. J.*, 400, 280). Figure taken from Greggio & Renzini (2011).

Before disclosing what happens at points BDGHI and K (not mentioned in the previous list) it is necessary to introduce a few concepts in the language of stellar model makers. The **core** is the innermost part of the star, where nuclear reactions have greatly altered the original composition. By **envelope** one means the outer part of the star, over (most of) which nuclear burning is negligible and whose composition may still be close to the original one.

Next is the concept of **thermal equilibrium**. A star is said to be in thermal equilibrium (TE) when the total rate of nuclear energy generation ( $L_N$ ) is almost perfectly equal to its surface luminosity ( $L_S$ ). TE means that the envelope is able to transfer outside and radiate into space quite precisely the same amount of energy which per unit time is produced by nuclear reactions in the core. If  $L_S \simeq L_N$  the star is in TE and its evolution proceeds on a nuclear timescale. Conversely, if  $L_S \neq L_N$  the star is out of TE, and its evolution proceeds on a thermal timescale, which usually is much shorter than the nuclear

timescale. TE is often broken when the core runs out of fuel or when a new fuel is ignited. A dramatic example of breaking TE is the helium ignition in a degenerate core, called a **helium flash**. At flash peak  $L_N \simeq 10^{10} L_\odot$  while  $L_S \simeq 2000 L_\odot$  (and decreases!). The energy that does not escape the star is used to expand the helium core, hence relieving its degeneracy.

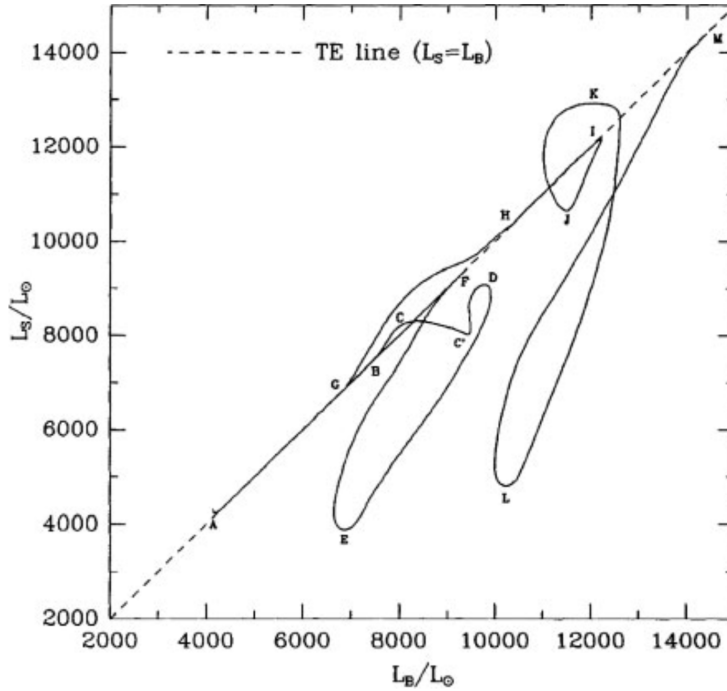


Figure 2: The luminosity radiated from the star's surface as a function of the luminosity released by the stellar core and entering the envelope from its base, for the same track shown in Figure 1. Labels of relevant points along the sequence are the same as in Figure 1. Source: Renzini et al. (1992, *Astrophys. J.*, 400, 280). Figure taken from Greggio & Renzini (2011).

Evolutionary phases in TE and those out of TE can be easily identified by plotting  $L_S$  versus  $L_N$ , or, equivalently, versus the luminosity impinging at the base of the envelope ( $L_B$ ), as shown in Figure 2 relative to the evolution of the same  $9 M_\odot$  star model whose HRD is shown in Figure 1. Letter labels in this figure mark the same events as in Figure 1, so that one can identify those phases that are in TE and those which are out of it.

Thus, phase AB (which is most of the main sequence phase) proceeds in strict TE, but as hydrogen approaches exhaustion in the core, nuclear burning starts to fall short of keeping in pace with the rate at which energy is being radiated away, the star starts departing from TE and begins to contract (point B). At point B, as the core is running out of fuel the envelope starts losing more energy than it receives, and contracts until at point C hydrogen is effectively exhausted over the central regions, and nuclear energy generation quickly shifts to a shell surrounding a hydrogen-exhausted helium core. The quick readjustment from core to shell burning leaves the star at point C', somewhat out of TE, but then the structure tends to approach again TE, until at point D this tendency is reverted and a major excursion away from TE begins. In stars a little less massive than the one considered here, TE is actually well restored shortly after point C', and yet (as here at point D) TE is broken and stars undergo an extensive loop in the  $L_S - L_B$  diagram, as shown in Figure 2. The journey across the HRD from point D to point E takes place on a thermal timescale, and the star expands to red giant dimensions. Clearly, a thermal instability erupts at point D. This is indeed a quite severe thermal instability, suffice to say from Figure 2 that at the peak of the instability the core releases  $\sim 7000 L_\odot$  but the envelope radiates away only  $\sim 4000 L_\odot$ , and  $\sim 3000 L_\odot$  are absorbed for its expansion.

The physical origin of this thermal instability is actually quite easy to understand. During phase C'D the luminosity provided by the hydrogen burning shell steadily increases as the shell moves out in the mass coordinate thanks to its own burning, and sits on a progressively more massive helium core. In response to the increasing luminosity impinging on its base ( $L_B$ ) the envelope slowly expands. By expanding the envelope cools, and by cooling heavy metal ions begin to recombine. Besides being scattered by free electrons, photons now begin to be absorbed by such heavy ions via bound-bound and bound-free transitions: *radiative opacity increases*. This opacity increase is the key factor that determines the onset of the thermal instability. At any point within the star the luminosity transmitted outwards by the radiation field is:

$$L_r = 4\pi r^2 \frac{4acT^3}{3\kappa\rho} \frac{dT}{dr} = 4\pi r^2 F_r \text{ [erg s}^{-1}\text{]},$$

where  $r$  is the distance from the center,  $T$  the temperature,  $\rho$  the density,  $\kappa$  the opacity, and  $F_r$  the

radiative energy flux. During phase C'D the envelope slowly expands, hence  $r^2$  increases while the flux  $F_r$  decreases, but their product still increases and the star is approaching TE. However, as this trend continues the increase in opacity accelerates and eventually the flux drops faster than  $r^2$  increases, and their product  $L_r$  starts to decrease. The decrease happens first near the stellar surface, and then (very quickly) through the whole envelope. At this point the envelope is transferring outwards and radiating away less energy than it receives from the stellar core, that is,  $\Delta L = L_B - L_S > 0$ . But as the envelope expands more ions recombine, opacity increases further, the flux drops even more, the thermal imbalance  $L_B - L_S$  increases and expansion accelerates: the stellar envelope is in a thermal runaway, as it becomes more and more unable to radiate away the energy it receives from the stellar interior.

At point E the surface luminosity starts to rise again,  $L_B - L_S$  begins to drop, and TE is rapidly restored. What relieves the instability and saves the star from literally falling apart is convection. As the expanding envelope cools, and opacity increases, eventually the radiative gradient  $\nabla_{\text{rad}}^1$  exceeds the adiabatic gradient  $\nabla_{\text{ad}}$ , first near the photosphere, where hydrogen is only partly ionized, and then rapidly through the whole envelope. Thus, convection replaces radiative transfer in carrying out energy through the envelope, and the thermal instability is quenched since it was intimately related to the radiative mode of energy transfer. Most of the energy flux in the envelope being now carried by convective motions, the envelope ceases to absorb energy, and the surface luminosity  $L_S$  starts to increase again: the star now ascends the Hayashi line, until at point F the helium burning reactions ignite in the core.

Following helium ignition, the helium core initiates a very slow expansion, which will last through a major fraction of the helium burning phase. This is because the helium burning core works like a breeder reactor during this stage, that is, it produces more new fuel than it burns. As **triple-** reactions produce fresh  $^{12}\text{C}$ , the  $^{12}\text{C}(\alpha, \gamma)^{16}\text{O}$  reactions release an increasing amount of energy, and the inner core is forced to expand slightly. Such a modest expansion of the core is sufficient to cause a decrease of temperature and density in the surrounding hydrogen burning shell and the stellar luminosity correspondingly starts to decrease. The star now evolves along the **Hayashi line** from F to G, burning helium in the core and hydrogen in the shell, until thermal stability is broken again at point G. As the envelope contracts it heats up gradually, and in particular near its base, heavy ions start losing electrons. Hence opacity decreases along with the radiative gradient. As  $\nabla_{\text{rad}}$  drops below  $\nabla_{\text{ad}}$  a radiative region appears at the base of the envelope and grows outwards in mass. As this growth progresses the envelope becomes more and more transparent to radiation, until it starts radiating away more energy than it receives from the core:  $L_B - L_S$  turns increasingly negative, the envelope starts deflating, but the more it contracts the more “transparent” it becomes, and the more energy it loses into space. The thermal instability bringing the star in its envelope deflation from point G to point H is precisely the reverse analog of the thermal instability that causes the runaway expansion from point D to point E. As envelope inflation can be ascribed to a runaway recombination of heavy elements in the envelope, envelope deflation is due to a runaway ionization of such heavy elements. A comparison of the three figures (Figures 1-3) helps in visualizing the onset of the thermal instability and of its results.

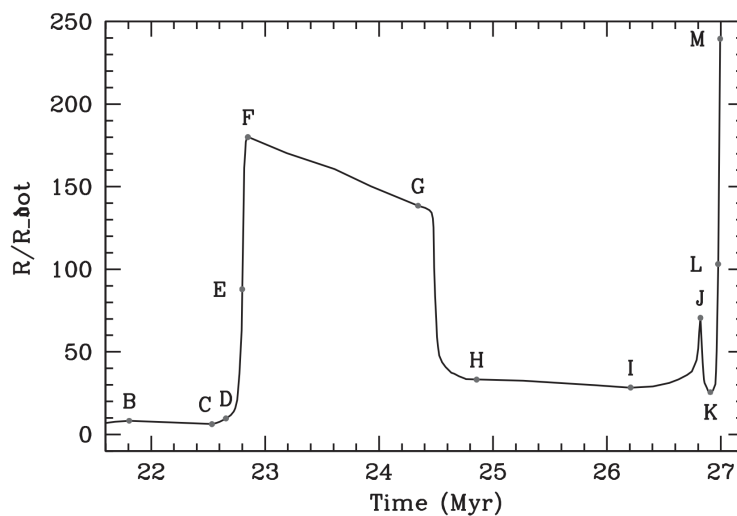


Figure 3: The stellar radius as a function of time for the same track shown in Figure 1. Labels of relevant points along the sequence are the same as in Figure 1. Source: Renzini et al. (1992, *Astrophys. J.*, 400, 280). Figure taken from Greggio & Renzini (2011).

Thus, in this star, the core helium burning phase in thermal equilibrium is spent in two distinct locations in the HRD: part on the Hayashi line as a red giant (F-G) and part as a blue giant (H-I) separated by a runaway contraction out of TE (G-H). This first blue loop (also called the **Cepheid blue loop**)

<sup>1</sup>Temperature gradients are defined as  $\nabla T(\rho, T, X_i) = (\partial \log T / \partial \log P)$ .

continues after point I when TE is broken again. Here the envelope is slowly expanding in response to the slowly increasing luminosity from the core and shell burning, when the envelope turns unstable again due to the same physical process already described for the DE phase.

However, the journey towards the Hayashi line is suddenly interrupted and inverted at point J, which marks the start of the so-called **second blue loop**. What happens at point J and after is a rather complex series of interconnected events: helium is exhausted in the core, the core contracts and helium burning rapidly shifts from the helium-exhausted CO core to a shell surrounding it; helium shell ignition is quite violent and causes expansion of the helium buffer above this shell: when the expansion front breaks through the hydrogen burning shell temperature and density in the shell drop; burning in the hydrogen shell (which was still providing most of the stellar luminosity) is effectively shut off completely causing a sudden drop of the luminosity impinging on the base of the envelope:  $L_B$  drops below  $L_S$  and the envelope stops expanding and contracts. In the meantime the strength of the helium burning shell steadily increases until it leads  $L_B$  to exceed  $L_S$  again, contraction stops and the star resumes at point K its runaway expansion that was temporarily stopped at J. The rest, from K to L to M is quite similar to the DEF phase, with convection replacing radiative transfer in the envelope and TE being rapidly restored, shortly before point M. Figure 3 clearly illustrates the dramatic effects of the envelope thermal instabilities on the overall structure of the star. Those phases which are in TE are nearly flat in this plot, that is, the stellar radius changes quite slowly with time. With one exception, those phases which are out of TE are instead nearly vertical, that is, the radius varies very rapidly during such runaway inflations or deflations. The exception is phase BC, which is only modestly out of TE, and contraction is relatively slow.

What happens past point M is still an open issue. A  $9 M_\odot$  star lies between two domains: massive stars that eventually undergo core collapse and supernova explosion, and intermediate-mass stars, which shedding all their hydrogen-rich envelope die as a white dwarf. One believes that in a  $9 M_\odot$  star carbon is ignited in the central core under only mildly degenerate conditions, and the star keeps ascending along the Hayashi line as a super asymptotic giant branch star experiencing a few thermal pulses in its deeper regions, where hydrogen and helium are still burning in two separate shells. Thus, if the envelope is completely lost in a wind the star leaves an ONeMg white dwarf. If instead mass loss is less severe then the core keeps growing in mass thanks to the active burning shells until electron captures in the core trigger a core collapse and we have a supernova explosion. Clearly the fate critically depends on the strength of the mass loss process.

**Evolution of a Solar-composition star:** Figure 1.4 shows stellar evolutionary tracks of solar composition, covering a wide range of initial masses, from a  $0.8 M_\odot$  star, whose MS evolutionary lifetime is longer than one Hubble time, up to a  $20 M_\odot$  model. The evolutionary tracks of stars with mass greater than  $20 M_\odot$  are heavily affected by mass loss.

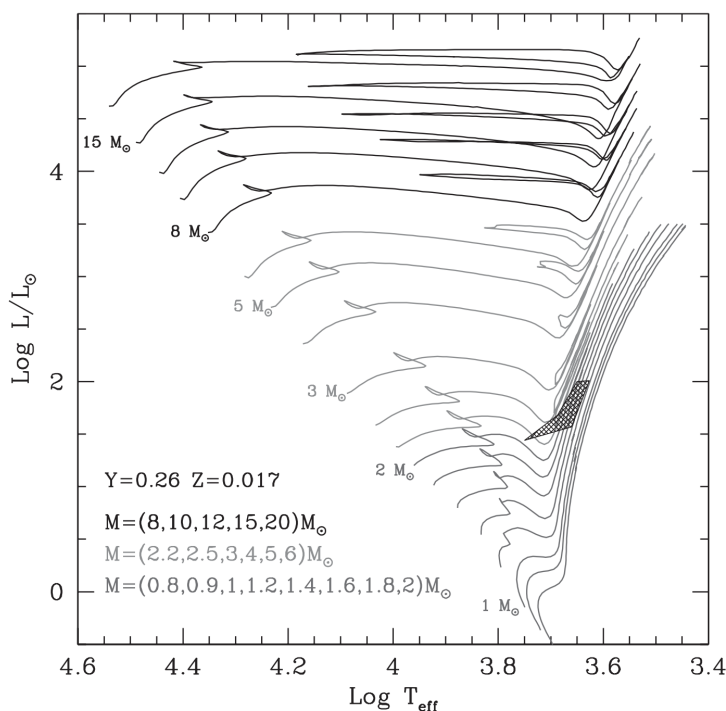


Figure 4: Evolutionary tracks of solar composition star. The shaded area shows the location of low-mass ( $0.55 \leq M/M_\odot \leq 2$ ) core helium burning models. Drawn using the YZVAR database (Bertelli, G. et al. 2008, *Astron. Astrophys.*, 484, 815; 2009, *Astron. Astrophys.*, 508, 355). Figure taken from Greggio & Renzini (2011).

Different gray shades in Figure 4 pertain to the different mass ranges as customarily distinguished in

stellar evolution: low-mass stars, up to  $\lesssim 2.2 M_{\odot}$ , intermediate-mass stars, up to  $\lesssim 8 M_{\odot}$ , and high-mass stars. These ranges correspond to different physical behavior during the evolution of the stars, and the mass limits depend on chemical composition. Low-mass stars develop an **electron degenerate helium core** after their MS evolution; intermediate mass stars ignite helium under non-degenerate conditions, but develop a **CO degenerate core** after central helium burning; massive stars experience all successive nuclear burnings up to the production of an **iron core**.

During their MS evolution, stars with mass below  $\sim 1 M_{\odot}$  burn hydrogen through the **p-p chain**, whose reaction rate is not extremely sensitive to temperature, so that these stars possess a radiative core. As evolution proceeds hydrogen is progressively depleted in the inner core, more in the center than in the periphery, leading to a smooth hydrogen profile from a central minimum up to the initial abundance in the outer layers. On the HRD, the stellar track climbs to higher luminosities and temperatures until the central hydrogen is severely depleted; at this point the effective temperature starts decreasing, producing the turnoff (TO), that is, the maximum temperature point on the MS, which is easily recognizable on the CMD of globular clusters. Shortly after the TO, hydrogen is completely exhausted in the center and the hydrogen shell burning phase starts as a natural progression from the previous core hydrogen burning phase. During shell hydrogen burning, the track forms the subgiant branch (SGB), evolving at (almost) constant luminosity and decreasing temperature until the external convection penetrates deeply inside, and the star becomes almost fully convective at the base of the red giant branch (RGB).

The MS stars (with  $M \gtrsim 1 M_{\odot}$ ) have a convective core, since at least part of the hydrogen burning occurs through the CNO cycle, whose energy generation rate is extremely sensitive to temperature. Because of central mixing, the hydrogen profile is characterized by an inner plateau; as evolution proceeds, the extension of the convective core progressively decreases, leaving behind a gradient of hydrogen abundance. In stars with a convective core, the fuel depletion affects a sizable central region, which starts rapid contraction when approaching fuel exhaustion. This happens at the local minimum effective temperature point during the MS evolution of stars with  $M > 1 M_{\odot}$  (Figure 4), which signals the beginning of the overall contraction phase. The evolutionary behavior across this phase and the following runaway expansion has been already described in detail in the previous section.

As already mentioned, the helium core of low-mass stars is (electron) degenerate: this implies that central helium ignition is delayed because core contraction does not lead to an efficient increase of the central temperature. The (almost) fully convective star climbs along the RGB, while the hydrogen burning shell progresses outward, thereby increasing the mass of the helium core. When the core reaches a critical limit, a helium flash occurs off-center, since neutrino losses induce a temperature inversion in the innermost layers. This event is not catastrophic for the star because local expansion removes the degeneracy; instead, a sequence of flashes occurring at progressively inner locations totally remove the core degeneracy. During this phase, which lasts 1 Myr, the star moves downward along the Hayashi line to settle on the core helium burning locus, either the red clump, or the horizontal branch (HB). The maximum luminosity reached on the RGB (RGB Tip, or TRGB) is a very important feature in the color-magnitude diagram (CMD) of stellar populations because it is virtually independent of mass, and then of evolutionary lifetime. This is because on the one hand the critical mass for helium ignition under degenerate conditions is almost constant ( $0.5 M_{\odot}$ ), and on the other, along the RGB there exists a core mass-luminosity relation. The evolutionary lifetimes of low-mass stars range from 1 Gyr up to the Hubble time, as seen in Figure 4, and all of them experience the helium flash at almost the same luminosity; therefore, the CMD of a stellar population with stars older than 1 Gyr will show a prominent TRGB feature whose luminosity is known, thus allowing us to determine the distance of the stellar population. The TRGB luminosity depends slightly on metallicity, being higher for higher metal content. However, by a fortunate combination, the absolute magnitude in the I-band of the TRGB does not depend much on metallicity, for metal-poor populations. This is because the effective temperature at the TRGB also depends on metallicity: the higher  $Z$ , the cooler the TRGB stars, and the higher the bolometric correction (in absolute value). The trend with metallicity of the bolometric correction to the I-band largely compensates that of the tip luminosity, so that the I-band absolute magnitude of the TRGB ( $M_{I, \text{TRGB}}$ ) is almost independent of age and weakly dependent on metallicity of the parent stellar population. This makes  $M_{I, \text{TRGB}}$  a very effective distance indicator in galaxies.

The luminosity of core helium burning low-mass stars, being fixed by the mass of their hydrogen-exhausted core, is also largely independent of their total mass, thereby providing another distance indicator. However, evolution during the core helium burning phase spreads the red clump stars over 0.6 magnitudes, as can be seen from the vertical size of the hatched region in Figure 4. In addition, the core mass at helium ignition is not a monotonic function of the total mass, and as the latter increases beyond the limit of the low-mass stars regime ( $M_{\text{Hef}}$ ), it decreases, reaches a minimum of about  $0.326 M_{\odot}$ , and then increases. As a result, stars with mass just above  $M_{\text{Hef}}$  start their core helium burning evolution at fainter luminosities, have the longest helium burning lifetimes, and cover a wider range of luminosities, compared to stars with  $M < M_{\text{Hef}}$ . Therefore, the core helium burners of a composite stellar population



$Z, Y$	H burning lifetime				Lifetime up to first pulse or C ignition			
	$a$	$b$	$c$	$\left\langle \frac{\Delta t_{\text{TO}}}{t_{\text{TO}}} \right\rangle$	$a$	$b$	$c$	$\left\langle \frac{\Delta t_{\text{tot}}}{t_{\text{tot}}} \right\rangle$
0.0001, 0.23	0.8751	-3.240	9.754	0.041	0.8130	-3.194	9.809	0.022
0.0001, 0.40	0.8248	-3.007	9.288	0.049	0.7784	-2.992	9.371	0.023
0.001, 0.26	0.8192	-3.168	9.705	0.040	0.7679	-3.147	9.776	0.031
0.001, 0.40	0.7590	-2.951	9.310	0.049	0.7363	-2.976	9.409	0.032
0.004, 0.26	0.8085	-3.230	9.796	0.040	0.7534	-3.211	9.875	0.042
0.017, 0.26	0.8071	-3.423	10.029	0.038	0.7260	-3.369	10.104	0.053
0.04, 0.26	0.7466	-3.516	10.213	0.049	0.6694	-3.457	10.281	0.063
0.04, 0.40	0.7649	-3.361	9.767	0.073	0.7324	-3.371	9.866	0.063
0.04, 0.46	0.7362	-3.243	9.554	0.082	0.7427	-3.303	9.669	0.068
0.07, 0.40	0.7322	-3.420	9.855	0.079	0.7065	-3.437	9.948	0.066

Table 1: Coefficients of  $\log t$  for various chemical compositions, resulting from the least square fit of the hydrogen burning and the total lifetimes as a function of  $M_0$ . The fit covers the range  $0.6 \leq M_0/M_\odot \leq 20$ ; columns 5 and 9 report the average relative accuracy on the evolutionary lifetimes at the TO and at the first thermal pulse or central carbon ignition. The YZVAR database (Bertelli, G. et al. 2008, *Astron. Astrophys.*, 484, 815; 2009, *Astron. Astrophys.*, 508, 355) has been used to derive the coefficients. Table taken from Greggio & Renzini (2011).

with a sizable component at ages just below 1 Gyr are spread over a wide magnitude range, which limits the use of this feature for distance determinations.

The effective temperature of low mass core helium burning stars depends on the mass of their envelope, a dependence which is very pronounced when the envelope is thinner than for example  $0.2 M_\odot$  for  $Z \lesssim 0.1 Z_\odot$ . Below this threshold, the lower the envelope mass, the hotter the core helium burning stars. In a coeval and homogeneous stellar population the core helium burning stars all have virtually the same core mass and then luminosity; a spread of their envelope masses produces a feature on the HRD at about constant (bolometric) magnitude extending over a temperature range. The observational counterpart of this locus is the horizontal branch: a prominent feature in the HRD of globular clusters whose age is old enough to host core helium burning stars with low-mass envelopes. The existence of wide HBs in globular clusters was explained as due to a dispersion of mass lost during the RGB phase, but recently it became apparent that other effects are also at play. Evolutionary tracks during the core helium burning phase exhibit a wide variety of morphologies, depending on their mass, envelope mass, metallicity and helium abundance. At central helium exhaustion, a rapid core contraction leads to shell helium ignition; the model star expands and moves again towards the Hayashi line to start the asymptotic giant branch (AGB) evolutionary stage. However, if the envelope mass is small enough, the shell helium burning phase is entirely spent at high effective temperatures, as an AGB manqué star. The hot HB stars and their AGB manqué progeny are likely responsible for the UV emission from elliptical galaxies which host old stellar populations.

The evolution of intermediate and high-mass stars during the hydrogen and helium burning stages is very similar to what has already been described for the  $9 M_\odot$  star. But, following helium exhaustion, intermediate-mass stars behave similarly to low-mass stars. We only notice here that for stars with mass in the vicinity of  $M_{\text{Hef}}$  the core helium burning phase is spent in a red clump, which becomes a wider and wider blue loop as the model mass grows. Thus, the core helium burning phase of intermediate-mass stars is spent part in the blue and part in the red. The very occurrence of the loop, its extension and the fraction of lifetime spent on each side of the loop, are sensitive to a number of parameters describing the input physics of the models, like metallicity, opacity, convection and others. Therefore, the blue-to-red ratio in stellar populations with stars in this mass range is difficult to interpret. The luminosity of intermediate mass core helium burners is instead a more robust prediction of the models, and can be effectively used as an age indicator of stellar populations.

**Dependence on chemical composition:** The evolutionary tracks of given mass are very sensitive to the (initial) helium content ( $Y$ ) and metallicity ( $Z$ ), which control the energy generation rates and the opacity. We briefly illustrate some dependencies relevant to the interpretation of the HR diagram and of the spectral energy distribution of stellar populations.

At a given initial mass, evolutionary lifetimes become shorter as  $Y$  increases, because stars with higher molecular weight are more compact, hotter, brighter, hence faster in consuming the hydrogen fuel, of which less is available. Instead, lifetimes become longer as  $Z$  increases, because the hydrogen burning models get fainter due to the higher opacity, while the hydrogen fuel reservoir remains virtually unchanged.

Table 1.2 lists the values of the coefficients of the following relation:

$$\log t = a \log^2 M_0 + b \log M_0 + c \text{ [yr]},$$

adopted to describe the evolutionary lifetimes (in years) as a function of initial mass (in  $M_{\odot}$ ). The parabolic fit over the whole considered mass range is a rather drastic approximation; nevertheless these analytic relations can be useful to estimate evolutionary lifetimes and their dependence on composition. As mentioned previously, the values of mass defining the low, intermediate and high-mass range depend somewhat on chemical composition. For example  $M_{\text{Hef}}$  decreases with  $Y$  increasing and with  $Z$  decreasing. Therefore, an extended RGB on the HRD of a stellar population traces the presence of evolved stars with mass lower than  $2.1 M_{\odot}$  for solar composition, or with mass lower than  $1.5 M_{\odot}$  if  $Z = 0.001$ ,  $Y = 0.4$ . However, evolutionary lifetimes at given mass also depend on composition, and, by and large, an extended and well populated RGB is developed in stellar populations older than 1 Gyr almost irrespective of chemical composition.

At fixed initial mass, zero age main sequence models are hotter and brighter for a higher helium content, and/or a lower metallicity. Indeed, the locus of the corresponding stars on the HRD (ZAMS) is used to infer the composition of the target stellar population, and is virtually the only way to estimate the helium content of these stars, if  $Z$  is known from spectroscopy. The puzzling composition of multiple stellar populations in some galactic globular clusters has been derived from ZAMS fitting.

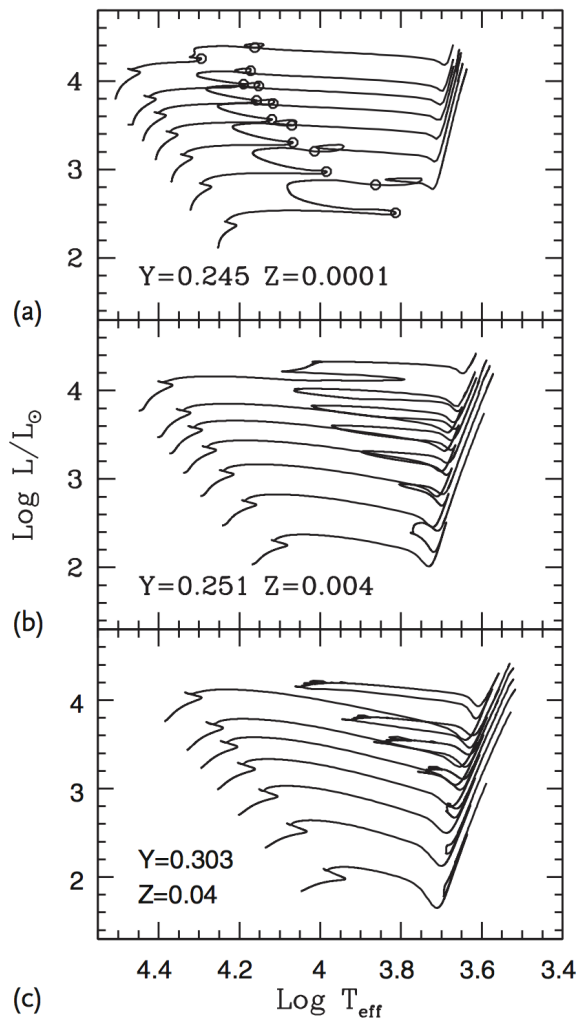


Figure 5: a-c) Evolutionary tracks of intermediate-mass stars ( $M/M_{\odot} = 3, 4, 5, 6, 7, 8, 10$ ) for different compositions, as labeled. In (a), the open circles mark start and the end of the core helium burning phase. Drawn using the BaSTI database (Pietrinferni, A. et al. 2004, *Astrophys. J.*, 612, 168). the Figure taken from Greggio & Renzini (2011).

Figure 5 shows some evolutionary tracks of intermediate-mass stars for three different initial compositions. The described trend of the ZAMS with metal content is readily visible, together with some other properties already mentioned: at very low metallicity (Figure 5a) the entire core helium burning phase occurs in the blue part of the HRD, and the thermal runaway in the envelope which brings the model star to the Hayashi track is delayed to the very latest stages. As metallicity increases, the luminosity decrease associated with the thermal runaway gets more and more pronounced: this reflects the progressively higher opacity, and then radiative energy trapping in the envelope. At the same time, at higher metallicity it is more difficult to produce extended blue loops: the 3 and  $4 M_{\odot}$  tracks at  $Z \sim 2 Z_{\odot}$  do not present a blue loop at all, and the loop of the  $5 M_{\odot}$  track is just alluded to. The models in Figure 1.5 are computed adopting classical recipes for the input physics, and even slight modifications of the assumptions lead to dramatic variations of the tracks shape. For example, intermediate-mass models with  $Z = 0.04$ ,  $Y = 0.40$  which adopt a modest overshooting from the convective core lack the loops completely, and the core helium



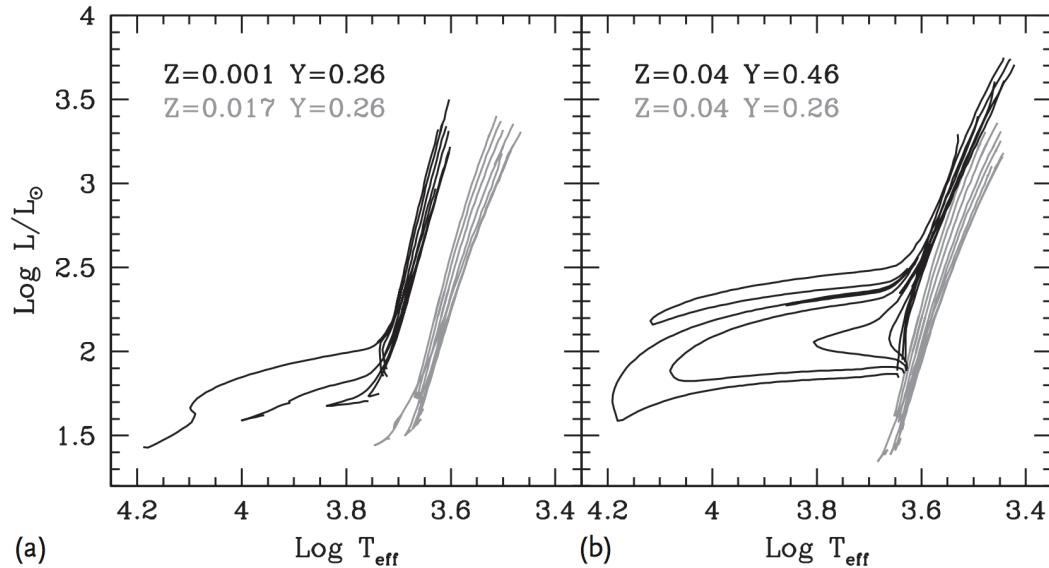


Figure 6: (a,b) Evolutionary tracks of low-mass stars during the core helium burning and early AGB phases. The chemical composition is labeled. Initial model masses are  $M/M_{\odot} = 0.55, 0.6, 0.65, 0.7, 1, 1.2, 1.4, 1.6$  except for the ( $Z = 0.04, Y = 0.46$ ) set, for which the  $1.6 M_{\odot}$  track ignites helium under non-degenerate conditions. Drawn using the YZVAR database (Bertelli, G. et al. 2008, *Astron. Astrophys.*, 484, 815; 2009, *Astron. Astrophys.*, 508, 355). Figure taken from Greggio & Renzini (2011).

burning phase is totally spent close to the Hayashi line.

Figure 6 illustrates the effect of chemical composition on core helium burners of low-mass. The gray tracks in Figure 6a show that at solar composition this evolutionary phase is completely spent in the red, even for masses as low as  $0.55 M_{\odot}$ . Conversely, at low metallicity (black lines), low-mass core helium burners are blue, opening the possibility of producing extended HBs in old stellar populations. The tracks in Figure 1.6b show the effect of enhancing the helium abundance: at high metallicity the core helium burning phase is completely spent close to the Hayashi line for a solar helium abundance, but if the helium abundance is high, a blueward excursion occurs during the core helium burning phase which is very wide for low-mass stars. Therefore the production of blue HB stars in old stellar populations can be achieved either assuming heavy mass loss on the RGB, or a high helium content, or both. Actually, the existence of multiple stellar populations with different helium content in NGC 2808 has been first suggested on the basis of its HB stars distribution, and confirmed later from the multiple MSs. Mass loss and helium abundance have indeed an important impact on the HRD of stellar populations, as well as on their spectral energy distribution, since stars in the core helium burning phase provide an important contribution to the total light.

- How does metallicity affect this diagram?
- Elaborate on the life sequence of low-mass stars.
- Elaborate on the life sequence of high-mass stars.
- Which direction does radius increase?
- What's the functional form for how radius increases as a function of temperature and luminosity?
- Why can you use the tip of the red giant branch for distance determination?
- How can you use the H-R diagram to determine ages? Which of the two techniques is more accurate?

## 1.2 Question 2

Sketch a plot of radius versus mass for various “cold” objects made of normal matter, including planets, brown dwarfs and white dwarfs. Explain the mass-size relationship for rocky and gaseous objects. Why is there an upper mass limit?

### 1.2.1 Short answer

Answer.

### 1.2.2 Additional context

Additional context.

### 1.2.3 Follow-up Questions

- How do you calculate the Chandrasekhar mass limit?
- Why is Saturn smaller than Jupiter? Or, why do we see a range of radii in extrasolar planets (e.g., hot Jupiters)?

### 1.3 Question 3

Describe the physical conditions that lead to the formation of absorption lines in stars' spectra. What leads to emission lines?

#### 1.3.1 Short answer

Answer.

#### 1.3.2 Additional context

If an absorber  $X$  is in a level  $\ell$  and there is radiation present with photons having an energy equal to  $E_u - E_\ell$ , where  $E_\ell$  and  $E_u$  are the energies of levels  $\ell$  (for “lower”) and  $u$  (for “upper”), the absorber can absorb a photon and undergo an upward transition:

$$\text{absorption : } X_\ell + h\nu \rightarrow X_u, \quad h\nu = E_u - E_\ell.$$

Suppose that we have number density  $n_\ell$  of absorbers  $X$  in level  $\ell$ . The rate per volume at which the absorbers absorb photons will obviously be proportional to both the density of photons of the appropriate energy and the number density  $n_\ell$ , so we can write the rate of change of  $n_\ell$  due to photo-absorption by level  $\ell$  as

$$\left(\frac{dn_\ell}{dt}\right)_{\ell \rightarrow u} = -\left(\frac{dn_\ell}{dt}\right)_{\ell \rightarrow u} = n_\ell B_{\ell u} u_\nu, \quad \nu = \frac{E_u - E_\ell}{h},$$

where  $u_\nu$  is the **radiation energy density per unit frequency**, and the proportionality constant  $B_{\ell u}$  is the **Einstein B coefficient** for the transition  $\ell \rightarrow u$ .

An absorber  $X$  in an excited level  $u$  can decay to a lower level  $\ell$  with emission of a photon. There are two ways this can happen:

$$\text{spontaneous emission : } X_u \rightarrow X_\ell + h\nu, \quad \nu = (E_u - E_\ell)/h,$$

$$\text{stimulated emission : } X_u + h\nu \rightarrow X_\ell + 2h\nu, \quad \nu = (E_u - E_\ell)/h.$$

**Spontaneous emission** is a random process, independent of the presence of a radiation field, with a probability per unit time  $A_{u\ell}$  – the **Einstein A coefficient**.

**Stimulated emission** occurs if photons of the identical frequency, polarization, and direction of propagation are already present, and the rate of stimulated emission is proportional to the density of these photons. Thus the total rate of depopulation of level  $u$  due to emission of photons can be written

$$\left(\frac{dn_\ell}{dt}\right)_{u \rightarrow \ell} = -\left(\frac{dn_u}{dt}\right)_{u \rightarrow \ell} = n_u (A_{u\ell} + B_{u\ell} u_\nu), \quad \nu = \frac{E_u - E_\ell}{h},$$

where the coefficient  $B_{u\ell}$  is the **Einstein B coefficient** for the downward transition  $u \rightarrow \ell$ . Thus we now have three coefficients characterizing radiative transitions between levels  $u$  and  $\ell$ :  $A_{u\ell}$ ,  $B_{u\ell}$  and  $B_{\ell u}$ . We will now see that they are not independent of one another.

In TE, the radiation field becomes the “blackbody” radiation field, with intensity given by the **blackbody spectrum**

$$B_\nu(T) = \frac{2h\nu^2}{c^2} \frac{1}{\exp(h\nu/k_B T) - 1} \text{ [erg s}^{-1} \text{ cm}^{-2} \text{ Hz}^{-1} \text{ sr}^{-1}\text{]},$$

with specific energy density

$$(u_\nu)_{\text{LTE}} = \frac{4\pi}{c} B_\nu(T) = \frac{8\pi h\nu^3}{c^3} \frac{1}{\exp(h\nu/k_B T) - 1} \text{ [erg s}^{-2} \text{ cm}^{-1} \text{ Hz}^{-1} \text{ sr}^{-1}\text{]}.$$

If we place absorbers  $X$  into a blackbody radiation field, then the net rate of change of level  $u$  is

$$\begin{aligned} \frac{dn_u}{dt} &= \left(\frac{dn_u}{dt}\right)_{\ell \rightarrow u} + \left(\frac{dn_u}{dt}\right)_{u \rightarrow \ell} \\ &= n_\ell B_{\ell u} \frac{8\pi h\nu^3}{c^3} \frac{1}{\exp(h\nu/k_B T) - 1} - n_u \left( A_{u\ell} + B_{u\ell} \frac{8\pi h\nu^3}{c^3} \frac{1}{\exp(h\nu/k_B T) - 1} \right). \end{aligned}$$

If the absorbers are allowed to come to equilibrium with the radiation field, levels  $\ell$  and  $u$  must be populated according to  $n_u/n_\ell = (g_u/g_\ell)e^{(E_\ell - E_u)/k_B T}$ , with  $dn_u/dt = 0$ . From the equation above, it is easy to show that  $B_{u\ell}$  and  $B_{\ell u}$  must be related to  $A_{u\ell}$  by

$$B_{u\ell} = \frac{c^3}{8\pi h\nu^3} A_{u\ell} [\text{s}^{-1}],$$

$$B_{\ell u} = \frac{g_u}{g_\ell} B_{u\ell} = \frac{g_u}{g_\ell} \frac{c^3}{8\pi h\nu^3} A_{u\ell} [\text{s}^{-1}].$$

Thus the strength of stimulated emission ( $B_{u\ell}$ ) and absorption ( $B_{\ell u}$ ) are both determined by  $A_{u\ell}$  and the ratio  $g_u/g_\ell$ .

Rather than discussing absorption and stimulated emission in terms of the radiation energy density  $u_\nu$ , it is helpful to characterize the intensity of the radiation field by a dimensionless quantity, the photon occupation number  $n_\gamma$ :

$$n_\gamma \equiv \frac{c^2}{2h\nu^3} I_\nu [\text{dimensionless}],$$

$$\bar{n}_\gamma \equiv \frac{c^2}{2h\nu^3} \bar{I}_\nu = \frac{c^3}{8\pi h\nu^3} u_\nu [\text{dimensionless}],$$

where the bar denotes averaging over directions. With this definition of  $n_\gamma$ , we can rewrite equations for  $(dn_u/dt)_{\ell \rightarrow u}$  and  $(dn_\ell/dt)_{u \rightarrow \ell}$  as simply

$$\left( \frac{dn_\ell}{dt} \right)_{u \rightarrow \ell} = n_u A_{u\ell} (1 + \bar{n}_\gamma),$$

$$\left( \frac{dn_u}{dt} \right)_{\ell \rightarrow u} = n_\ell \frac{g_u}{g_\ell} A_{u\ell} \bar{n}_\gamma.$$

If the radiation field depends on frequency in the vicinity of the transition frequency  $\nu_{u\ell}$ , then  $n_\gamma$  needs to be averaged over the emission and absorption profiles in the above two equations.

From the first of the above two equations, we immediately see that the photon occupation number  $n_\gamma$  determines the relative importance of stimulated and spontaneous emission: stimulated emission is unimportant when  $\bar{n}_\gamma \ll 1$ , but should otherwise be included in analyses of level excitation.

**Absorption cross section:** Having determined the rate at which photons are absorbed by an absorber exposed to electromagnetic radiation, it is useful to recast this in terms of an absorption cross section. The photon density per unit frequency is just  $u_\nu/h\nu$ . Let  $\sigma_{\ell u}(\nu)$  be the cross section for absorption of photons of frequency  $\nu$  with resulting  $\ell \rightarrow u$  transition. The absorption rate is then

$$\left( \frac{dn_u}{dt} \right)_{\ell \rightarrow u} = n_\ell \int \sigma_{\ell u}(\nu) c \frac{u_\nu}{h\nu} d\nu \approx n_\ell u_\nu \frac{c}{h\nu} \int \sigma_{\ell u}(\nu) d\nu [\text{s}^{-1}],$$

where we have assumed that  $u_\nu$  (and  $h\nu$ ) do not vary appreciably over the line profile of  $\sigma_{\ell u}$ . Thus

$$B_{\ell u} = \frac{c}{h\nu} \int \sigma_{\ell u}(\nu) d\nu [\text{s}^{-1}],$$

and, using our equation that relates  $B_{\ell u}$  to  $A_{u\ell}$ , we obtain the integral over the absorption cross section:

$$\int \sigma_{\ell u}(\nu) d\nu = \frac{g_u}{g_\ell} \frac{c^2}{8\pi\nu_{\ell u}^2} A_{u\ell} [\text{Hz cm}^2].$$

Thus we may relate the monochromatic absorption cross section  $\sigma_{\ell u}(\nu)$  to a normalized line profile  $\phi_\nu$ :

$$\sigma_{\ell u}(\nu) = \frac{g_u}{g_\ell} \frac{c^2}{8\pi\nu_{\ell u}^2} A_{u\ell} \phi_\nu [\text{cm}^2], \quad \int \phi_\nu d\nu = 1.$$

**Intrinsic line profile:** The intrinsic line profile is characterized by a normalized profile function  $\phi_\nu^{\text{intr}}$ :

$$\sigma_\nu^{\text{intr}} = \frac{\pi e^2}{m_e c^2} f_{\ell u} \phi_\nu^{\text{intr}} [\text{cm}^2], \quad \int \phi_\nu^{\text{intr}} d\nu = 1.$$

The intrinsic line profile of an absorption line is normally described by the **Lorentz line profile** function:

$$\phi_\nu^{\text{intr}} = \frac{4\gamma_{u\ell}}{16\pi^2(\nu - \nu_{u\ell})^2 + \gamma_{u\ell}^2} [\text{erg s}^{-1} \text{ cm}^{-2} \text{ sr}^{-1}],$$

where  $\nu_{u\ell} \equiv (E_u - E_\ell)/h$ . The Lorentz profile provides an accurate (but not exact)<sup>2</sup> approximation to the actual line profile. The Lorentz line profile has a **full width at half maximum** (FWHM) of

$$(\Delta\nu)_{\text{FWHM}}^{\text{intr}} = \frac{\gamma_{u\ell}}{2\pi} [\text{Hz}].$$

<sup>2</sup>The line profile is more accurately given by the **Kramers-Heisenberg formula**; Lee (2003) discusses application of this formula to the Lyman  $\alpha$  line.

The intrinsic width of the absorption line reflects the uncertainty in the energies of levels  $u$  and  $\ell$  due to the finite lifetimes of these levels<sup>3</sup> against transitions to all other levels, including both radiative and collisional transitions. If the primary process for depopulating levels  $u$  and  $\ell$  is spontaneous decay (as is often the case in the ISM), then

$$\gamma_{u\ell} \equiv \gamma_{\ell u} = \sum_{E_j < E_u} A_{uj} + \sum_{E_j < E_\ell} A_{\ell j}.$$

In the case of a **resonance line**, where  $\ell$  is the ground state, the second sum vanishes.

**Doppler broadening; The voigt line profile:** Atoms and ions are generally in motion, and the velocity distribution is often approximated by a Gaussian, this being of course the correct form if the velocities are entirely due to thermal motions:

$$p_v = \frac{1}{\sqrt{2\pi}} \frac{1}{\sigma_v} e^{-(v-v_0)^2/2\sigma_v^2} = \frac{1}{\sqrt{\pi}} \frac{1}{b} e^{-(v-v_0)^2/b^2},$$

where  $p_v dv$  is the probability of the velocity along the line of sight being in the interval  $[v, v + dv]$ ,  $\sigma_v$  is the one-dimensional velocity dispersion, and  $b$  is the **broadening parameter**,  $b \equiv \sqrt{2}\sigma_v$ .

The width of the velocity distribution is also sometimes specified in terms of the FWHM; for a Gaussian distribution of velocities, this is just

$$(\Delta v)_{\text{FWHM}} = \sqrt{8 \ln 2} \sigma_v = 2\sqrt{\ln 2} b \text{ [m s}^{-1}\text{]}.$$

If the velocity dispersion is entirely due to thermal motion with kinetic temperature  $T = 10^4 T_4$  K, then

$$\begin{aligned} \sigma_v &= \left( \frac{k_B T}{M} \right)^{1/2} = 9.12 \left( \frac{T_4}{M/\text{amu}} \right)^{1/2} \text{ [km s}^{-1}\text{]} \\ b &= \left( \frac{2k_B T}{M} \right)^{1/2} = 12.90 \left( \frac{T_4}{M/\text{amu}} \right)^{1/2} \text{ [km s}^{-1}\text{]} \\ (\Delta v)_{\text{FWHM}}^{\text{therm}} &= \left[ \frac{(8 \ln 2) k_B T}{M} \right]^{1/2} = 21.47 \left( \frac{T_4}{M/\text{amu}} \right)^{1/2} \text{ [km s}^{-1}\text{]}. \end{aligned}$$

The intrinsic absorption line profile  $\phi_\nu^{\text{intr}}$  must be convolved with the velocity distribution of the absorbers to obtain the line profile

$$\phi_\nu = \int p_v(v) \frac{4\gamma_{u\ell}}{16\pi^2 [\nu - (1 - v/c)\nu_{u\ell}]^2 + \gamma_{u\ell}^2} dv \text{ [erg s}^{-1} \text{ cm}^{-2} \text{ sr}^{-1}\text{]},$$

where  $p_v dv$  is the probability of the absorber having radial velocity in the interval  $[v, v + dv]$ . If the absorbers have a **Maxwellian** (i.e., Gaussian) one-dimensional velocity distribution  $p_v$ , then the absorption line will have a so-called **Voigt line profile**:

$$\phi_\nu^{\text{Voigt}} \equiv \frac{1}{\sqrt{2\pi}} \int \frac{e^{-v^2/2\sigma_v^2}}{\sigma_v} p_v(v) \frac{4\gamma_{u\ell}}{16\pi^2 [\nu - (1 - v/c)\nu_{u\ell}]^2 + \gamma_{u\ell}^2} dv \text{ [erg s}^{-1} \text{ cm}^{-2} \text{ sr}^{-1}\text{]}.$$

Unfortunately, the Voigt line profile cannot be obtained analytically except for limiting cases.<sup>5</sup> However, if, as is generally the case, the one-dimensional velocity dispersion  $\sigma_v \gg (\Delta v)_{\text{FWHM}}^{\text{intr}}$ , the central core of the line profile is well-approximated by treating the intrinsic line profile as a  $\delta$ -function, so that the central core of the line has a Maxwellian profile:

$$\phi_\nu \approx \frac{1}{\pi} \frac{1}{\nu_{u\ell}} \frac{c}{b} e^{-v^2/b^2} \text{ [erg s}^{-1} \text{ cm}^{-2} \text{ sr}^{-1}\text{]}, \quad b \equiv \sqrt{2}\sigma_v.$$

**Selection rules for radiative transitions:** Some energy levels are connected by strong radiative transitions; in other cases, radiative transitions between the levels may be extremely slow. The strong transitions always satisfy what are referred to as the **selection rules for electric dipole transitions**. Here, we summarize the selection rules for the strong electric dipole transitions, and we also give the selection rules for **inter-system** and **forbidden transitions** that do not satisfy the electric dipole selection rules but nevertheless are strong enough to be astrophysically important. We will use the ion NII as an example; the first nine energy levels of NII are shown in Figure 7.

**Allowed: Electric dipole transitions:** The strongest transitions are electric dipole transitions. These are transitions satisfying the following selection rules:

<sup>3</sup>The **Heisenberg uncertainty principle**  $\Delta E \Delta t \geq \hbar$  implies that an energy level  $u$  has a width  $\Delta E_u \approx \hbar/\tau_u$ , where  $\tau_u$  is the level lifetime.

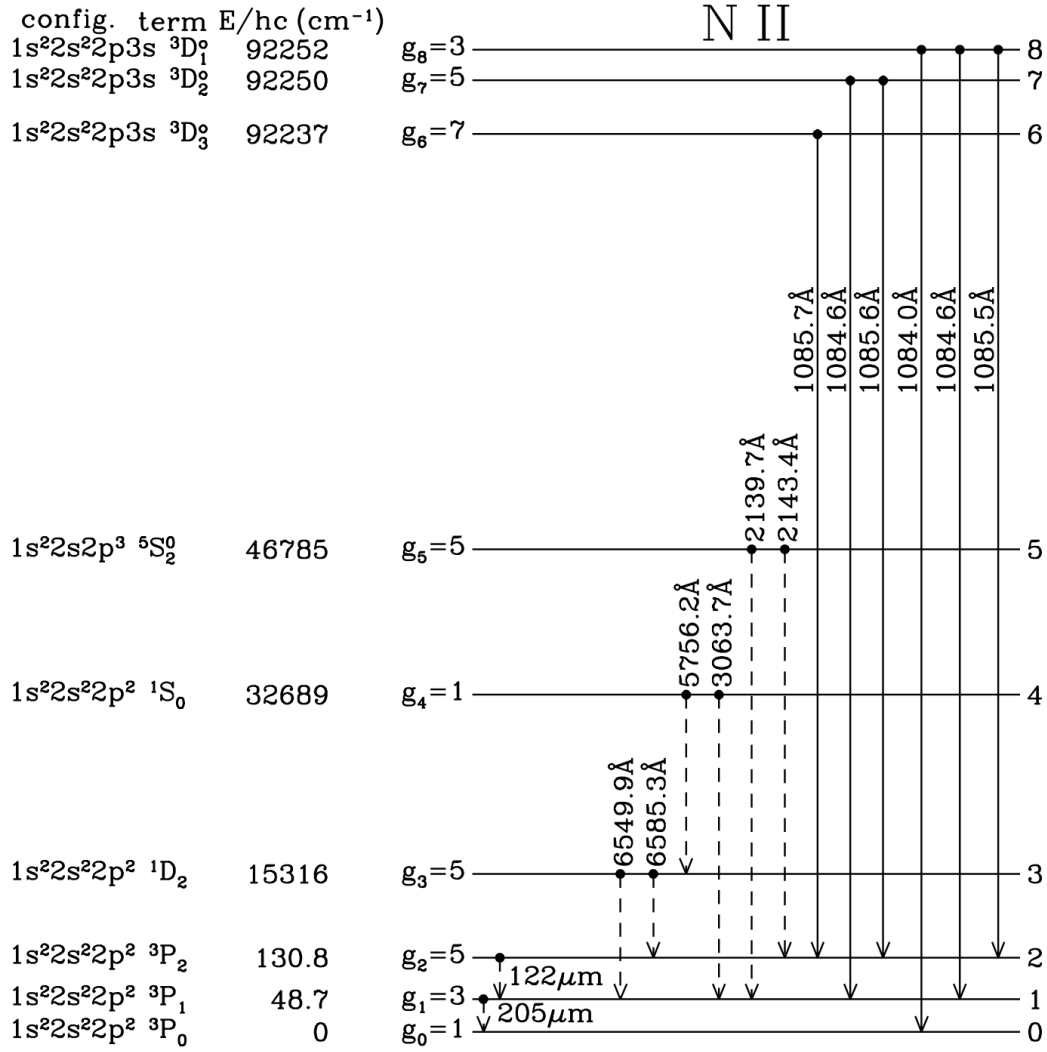


Figure 7: First nine energy levels of NII. Forbidden transitions are indicated by broken lines, and allowed transitions by solid lines; forbidden decays are not shown from levels that have permitted decay channels. Fine-structure splitting is not to scale. Hyperfine splitting is not shown. Figure taken from Draine (2015).

1. Parity must change.
2.  $\Delta L = 0, \pm 1$ .
3.  $\Delta J = 0, \pm 1$ , but  $\Delta J = 0 \rightarrow 0$  is forbidden.
4. Only one single-electron wave function  $n\ell$  changes, with  $\Delta\ell = \pm 1$ .
5.  $\Delta S = 0$ : Spin does not change.

An allowed transition is denoted *without* square brackets, for example,

$$\text{NII } 1084.0 \text{ \AA } ^3P_0 - ^3D_1^o.$$

This is a transition between the  $\ell = 1s^22s^22p^2\ ^3P_0$  and  $u = 1s^22s^22p3s\ ^3D_1^o$  levels of NII, with a wavelength  $\lambda_{ul} = 1084.0 \text{ \AA}$ . The transition has  $A_{ul} = 2.18 \times 10^8 \text{ s}^{-1}$ . This decay is very fast – the lifetime of the  $^3D_1^o$  level against this decay is only  $1/A_{ul} = 4.6 \text{ ns}$ !

**Spin-Forbidden or inter-system transitions:** These are transitions that fulfill the electric dipole selection rules 1 to 4 but have  $\Delta S \neq 0$ . These transitions are considerably weaker than allowed transitions. Such transitions are sometimes referred to as **semi-forbidden**, or **inter-combination**, or **inter-system transitions**; the latter is the terminology that we will use. An inter-system transition is denoted with a single right bracket – for example,

$$\text{NII} ] 2143.4 \text{ \AA } ^3P_2 - ^5S_2^o.$$



This is a transition between the  $\ell = 1s^2 2s^2 2p^2 \ ^3P_2$  and  $u = 1s^2 2s 2p^3 \ ^5S_2^o$  levels of NII, with a wavelength  $\lambda_{u\ell} = 2143.4 \text{ \AA}$  and  $A_{u\ell} = 1.27 \times 10^2 \text{ s}^{-1}$ .

**Forbidden transitions:** Forbidden transitions are those that fail to fulfill at least one of the selection rules 1 to 4. The transition probabilities vary widely, depending on the values of the electric quadrupole or magnetic dipole matrix elements between the upper and lower states. A forbidden transition is denoted with two square brackets – for example,

$$[\text{NII}] \ 6549.9 \text{ \AA} \ ^3P_1 - ^1D_2^o.$$

This is a transition between the  $\ell = 1s^2 2s^2 2p^2 \ ^3P_1$  and  $u = 1s^2 2s^2 2p^2 \ ^1D_2^o$  levels of NII, with a wavelength  $\lambda_{u\ell} = 6549.9 \text{ \AA}$  and  $A_{u\ell} = 9.20 \times 10^{-4} \text{ s}^{-1}$ . This fails rule 1 (parity is unchanged) and it fails rule 4 (single electron wave functions are unchanged). This is an example of a **magnetic dipole transition**.

Another example of a forbidden transition is the **electric quadrupole transition**

$$[\text{NII}] \ 5756.2 \text{ \AA} \ ^1D_2 - ^1S_0^o.$$

This is a transition between the  $\ell = 1s^2 2s^2 2p^2 \ ^1D_2$  and  $u = 1s^2 2s^2 2p^2 \ ^1S_0^o$  levels of NII, with a wavelength  $\lambda_{u\ell} = 5756.2 \text{ \AA}$  and  $A_{u\ell} = 1.17 \text{ s}^{-1}$ . This fails rules 1 (parity is unchanged) and 4 (single electron wave functions are unchanged) and it fails rules 2 and 3 ( $\Delta L = -2$  and  $\Delta J = -2$ ), yet its transition probability is three orders of magnitude larger than the magnetic dipole transition  $[\text{NII}] \ 6549.9 \text{ \AA}$ !

We see then that there is a hierarchy in the transition probabilities: very roughly speaking, inter-system lines are  $\sim 10^6$  times weaker than permitted transitions, and forbidden lines are  $\sim 10^2 - 10^6$  times weaker than inter-system transitions.

Despite being very “weak,” forbidden transitions are important in astrophysics for the simple reason that every atom and ion has excited states that can only decay via forbidden transitions. At high densities, such excited states would be depopulated by collisions, but at the very low densities of interstellar space, collisions are sufficiently infrequent that there is time for forbidden radiative transitions to take place.

### 1.3.3 Follow-up Questions

- Why aren't emission and absorption lines delta functions?
- How does this relate to population levels and excitation temperatures?
- Are there emission lines in the Sun? Why is there emission from the Calcium doublet?
- Write down the heat transfer equation. What do solutions look like?

## 1.4 Question 4

Describe these important sources of stellar opacity: electron scattering, free-free, bound-free, and the H<sup>-</sup> ion.

### 1.4.1 Short answer

Answer.

### 1.4.2 Additional context

**Electron scattering:** If an EM wave passes an electron, the electric field makes the electron oscillate. The oscillating electron represents a classical dipole that radiates in other directions, (i.e., the electron scatters part of the energy of the incoming wave). The weakening of the original radiation due to scattering is equivalent to that by absorption, and we can describe it by way of a cross section at frequency  $\nu$  per unit mass ( $\kappa_\nu$ ). This can be calculated classically giving the result

$$\kappa_\nu = \frac{8\pi}{3} \frac{e_e^2}{\mu_e m_u} = 0.20(1 + X) [\text{cm}^2 \text{g}^{-1}],$$

where  $r_e$  is the classical electron radius,  $X$  the mass fraction of hydrogen, and the constant is in  $\text{cm}^2 \text{g}^{-1}$ . The term  $\mu_e m_u$  arises because  $\kappa_\nu$  is taken per unit mass; and  $\mu_e$  is replaced by (4.30). Since  $\kappa_\nu$  does not depend on the frequency, we immediately obtain the **Rosseland mean for electron scattering**:

$$\kappa_{\text{sc}} = 0.20(1 + X) [\text{cm}^2 \text{g}^{-1}].$$

The Thomson scattering just described neglects the exchange of momentum between electron and radiation. If this becomes important, then  $\kappa_\nu$  will be reduced compared to the value given above, though this effect plays a role only at temperatures sufficiently high for the scattered photons to be very energetic. In fact, during the scattering process the electron must obtain such a large momentum that its velocity is comparable to  $c$ , say  $v \gtrsim 0.1c$  for the equation above to become a bad approximation. The momentum of the photon is  $h\nu/c$ , which after scattering is partly transferred to the electron,  $m_e v \sim h\nu/c$ . Therefore relativistic corrections (**Compton scattering**) become important if the average energy of the photons is  $h\nu \gtrsim 0.1 m_e c^2$ . For  $h\nu$  we take the frequency at which the Planck function has a maximum; then according to Wien's law this is at  $h\nu = 4.965 k_B T$ , and the full Compton scattering cross section has to be taken into account if  $T > 0.1 m_e c^2 / (4.965 \text{ K})$ , or roughly  $T > 10^8 \text{ K}$ . In fact even at  $T = 10^8 \text{ K}$  Compton scattering reduces the opacity by only 20% of that given by the equation above.

**Free-free:** If during its thermal motion a free electron passes an ion, the two charged particles form a system which can absorb and emit radiation. This mechanism is only effective as long as the electron and ion are sufficiently close. Now, the mean thermal velocity of the electrons is  $v \sim \sqrt{T}$ , and the time during which they form a system able to absorb or emit is proportional to  $1/v \sim 1/\sqrt{T}$ ; therefore, if in a mass element the numbers of electrons and ions are fixed, the number of systems temporarily able to absorb is proportional to  $1/\sqrt{T}$ .

The absorption properties of such a system have been derived classically; the absorption coefficient per system is proportional to  $Z^2 \nu^{-3}$ , where  $Z$  is the charge number of the ion. We therefore expect the absorption coefficient  $\kappa_\nu$  of a given mixture of (fully ionized) matter to be

$$\kappa_\nu \sim Z^2 \rho T^{-1/2} \nu^{-3} [\text{cm}^2 \text{g}^{-1}].$$

Here the factor  $\rho$  appears because for a given mass element the probability that two particles are accidentally close together is proportional to the density.

For the determination of the Rosseland mean  $\kappa$  of this absorption coefficient we make use of a simple theorem: a factor  $\nu^\alpha$  contained in  $\kappa_\nu$  gives a factor  $T^\alpha$  in  $\kappa$ . With this and the above equation for  $\kappa_\nu$  we find

$$\kappa_{\text{ff}} \sim \rho T^{-7/2} [\text{cm}^2 \text{g}^{-1}].$$

All opacities of this form are called **Kramers opacities** and give only a classical approximation. One normally multiplies the Kramers formula by a correction factor  $g$ , the so-called **Gaunt factor**, in order to take care of the quantum-mechanical correction. In the equation for  $\kappa_{\text{ff}}$ , we have still omitted the factor  $Z^2$  which appears in  $\kappa_\nu$ . In general, one has a mixture of different ions, and therefore one has to add the contributions of the different chemical species. The (weighted) sum over the values of  $Z^2$  is taken into the constant of proportionality in  $\kappa_{\text{ff}}$ , which then depends on the chemical composition. For a fully ionized mixture a good approximation is given by

$$\kappa_{ff} = 3.8 \times 10^{22} (1 + X) [(X + Y) + B] \rho T^{-7/2} [\text{cm}^2 \text{g}^{-1}],$$

with the numerical constant in cgs. The mass fractions of H and He are  $X$  and  $Y$ , respectively. Here the factor  $(1 + X)$  arises, since  $\nu_{ff}$  must be proportional to the electron density which is proportional to  $(1 + X)\rho$ . The term  $(X + Y)$  in the brackets can be understood in the following way: there are  $X/m_u$  hydrogen ions and  $Y/(4m_u)$  helium ions. The former have the charge number 1, the latter the charge number 2. But since  $\kappa_\nu \sim Z^2$ , when adding the contributions of H and He to the total absorption coefficient, we obtain the factor  $X/m_u + 4Y/(4m_u) = (X_Y)m_u$ . Correspondingly the term  $B$  gives the contribution of the heavier elements:

$$B = \sum_i \frac{X_i Z_i^2}{A_i} [\text{dimensionless}],$$

where the summation extends over all elements higher than helium and  $A_i$  is the atomic mass number.

**Bound-free:** We first consider a (neutral) hydrogen atom in its ground state, with an ionization energy of  $\chi_0$  (i.e., a photon of energy  $h\nu > \chi_0$  can ionize the atom). Energy conservation then demands that

$$h\nu = \chi_0 + \frac{1}{2} m_e v_e^2 [\text{eV}],$$

where  $v_e$  is the velocity of the electron released (relative to the ion, which is assumed to be at rest before and after ionization).

If we define an absorption coefficient  $a_\nu$  per ion ( $a_\nu = \kappa_\nu \rho / n_{\text{ion}}$ ), we expect  $a_\nu = 0$  for  $\nu < \chi_0/h$  and  $a_\nu > 0$  for  $\nu \geq \chi_0/h$ . Classical considerations similar to those which lead to the Kramers dependence of  $\kappa_\nu$  for free-free transitions give a  $a_\nu \sim \nu^{-3}$  for  $\nu \geq \chi_0/h$ . Quantum-mechanical corrections can again be taken into account by a Gaunt factor. But if we have neutral hydrogen atoms in different stages of excitation, the situation is different: an atom in the first excited stage has an absorption coefficient  $a_\nu = 0$  for  $h\nu < \chi_1$ , where  $\chi_1$  is the energy necessary to ionize a hydrogen atom from the first excited state, while  $a_\nu \sim \nu^{-3}$  for  $h\nu \geq \chi_1$ . The absorption coefficient  $\kappa_\nu$  for a mixture of hydrogen atoms in different states of excitation is a superposition of the  $a_\nu$  for different stages of excitation. The resulting  $\kappa_\nu$  is a saw-tooth function. In order to obtain  $\kappa_\nu$  for a certain value of the temperature  $T$ , one has to determine the relative numbers of atoms in the different stages of excitation by the Boltzmann formula; then their absorption coefficients  $a_\nu$ , weighted with their relative abundances, are to be summed.

If there are ions of different chemical species with different degrees of ionization, one has to sum the functions  $a_\nu$  for all species in all stages of excitation and all degrees of ionization before carrying out the Rosseland integration. An important source of opacity are bound-free transitions of neutral hydrogen atoms, in which case the opacity must be proportional to the number of neutral hydrogen atoms and  $\kappa$  can be written in the form

$$\kappa_{bf} = X(1 - x) \tilde{\kappa}(T) [\text{cm}^2 \text{g}^{-1}].$$

Here  $\tilde{\kappa}(T)$  is obtained by Rosseland integration over (weighted) sums of functions  $a_\nu$  for the different stages of excitation, while  $x$  is the degree of ionization.

**H<sup>-</sup> ion:** Hydrogen atom has a bound state for a second electron in the field of the proton, though it has a very low ionization potential,  $E_{\text{H}^-} = 0.75 \text{ eV}$ . The number density of negative hydrogen ions will be proportional to the electron density, which, in all but the most metal-poor stars, will be set by ionization of the metals (which have much lower ionization potentials than hydrogen and helium). Thus, the H<sup>-</sup> opacity will scale as  $\kappa_{\text{H}^-} \propto \rho X Z$  at low temperatures; H<sup>-</sup> is of course easily ionized at higher temperatures, and at very low temperatures even metals will not be ionized, so there will be no electrons to form H<sup>-</sup> by combining with H.

## 1.5 Question 5

Describe the processes that can cause pulsations in a stars luminosity, and provide at least one example of a class of stellar pulsation.

### 1.5.1 Short answer

Answer.

### 1.5.2 Additional context

Additional context.

### 1.5.3 Follow-up Questions

- What about the instability strip? RR Lyrae?
- What is the period-luminosity relation?
- What is the form of the period-luminosity relation?
- How would you derive the time scale of pressure waves in a star?
- How would you order-of-magnitude estimate the period for a pulsation?

## 1.6 Question 6

Briefly describe the sources of thermal energy for stars and planets.

### 1.6.1 Short answer

Answer.

### 1.6.2 Additional context

Additional context.

### 1.6.3 Follow-up Questions

- Why do different nuclear reaction pathways have different temperature sensitivities?
- If I assume a constant core temperature on the main sequence, how does stellar radius depend on mass?
- What are some other thermal sources, like say for neutron stars?

## 1.7 Question 7

Describe the process by which supernovae produce light. Why are Type Ia supernovae generally brighter than Type II events?

### 1.7.1 Short answer

Answer.

### 1.7.2 Additional context

Additional context.

### 1.7.3 Follow-up Questions

- When a star goes supernova, how much of the luminous energy generated at the rebound is available for heating the gas? As in, where does the heat come from?
- Are there cases where the rebound shock wave can't blow up the star? Why, and what happens then?



## 1.8 Question 8

Describe the condition for a stars envelope to become convective. Why are low mass stars convective in their outer envelopes while high mass stars are convective in their inner cores?

### 1.8.1 Short answer

Answer.

### 1.8.2 Additional context

Additional context.

### 1.8.3 Follow-up Questions

- How do we know that the Sun's outer envelope is convective?
- How far into the surface of the Sun does the convective zone permeate? How can we measure this?

## 1.9 Question 9

What is Eddington's luminosity limit? Explain why this limit is important for the properties and lifetimes of massive stars.

### 1.9.1 Short answer

Answer.

### 1.9.2 Additional context

Additional context.

### 1.9.3 Follow-up Questions

- Draw a force diagram of what's happening.
- What particles experience gravity the most?
- What particles experience photon pressure the most?

## **1.10 Question 10**

Explain why we know what the Sun's central temperature ought to be, and how we know what it actually is.

### **1.10.1 Short answer**

Answer.

### **1.10.2 Additional context**

Additional context.

## 1.11 Question 11

Which have higher central pressure, high-mass or low-mass main-sequence stars? Roughly, what is their mass-radius relation? Derive this.

### 1.11.1 Short answer

Answer.

### 1.11.2 Additional context

Additional context.

### 1.11.3 Follow-up Questions

- How would we actually know the central pressure?
- What properties can we measure to test models of stellar structure?

## 1.12 Question 12

Sketch the SED of an O, A, G, M, and T star. Give defining spectral characteristics, such as the Balmer lines and Balmer jump and Calcium doublets, and describe physically.

### 1.12.1 Short answer

Answer.

### 1.12.2 Additional context

Additional context.

### 1.12.3 Follow-up Questions

- Are there emission lines?
- What molecular lines are in the Sun?
- What important lines are there are much longer wavelengths than those in the optical?
- What if the A star had a protoplanetary disk?
- What is the significance of a  $\lambda F_\lambda$  (or  $\nu F_\nu$ ) spectrum?
- How can the relative height of the stellar vs disk bumps change? (i.e., total energy of the system cannot change; dust bump can't be higher than star bump without extinction.)

### **1.13 Question 13**

What can be learned about young stars (T Tauri and pre-main-sequence stars) from an analysis of their spectral features?

#### **1.13.1 Short answer**

Answer.

#### **1.13.2 Additional context**

Additional context.

#### **1.13.3 Follow-up Questions**

- How does the spectrum change as planets start to form?



## 1.14 Question 14

Sketch the spectral energy distribution (SED) of a T Tauri star surrounded by a protoplanetary disk. How would the SED change: (a) if the disk develops a large inner hole, (b) if the dust grains in the disk grow in size by agglomeration (with the same total mass)?

### 1.14.1 Short answer

Answer.

### 1.14.2 Additional context

Additional context.

## **1.15 Question 15**

What are the primary origins of the heat lost to space by infrared luminosity of Jupiter, Earth, and Io?

### **1.15.1 Short answer**

Answer.

### **1.15.2 Additional context**

Additional context.

## **1.16 Question 16**

Explain the observational problem of radius inflation for hot Jupiters and describe two possible solutions.

### **1.16.1 Short answer**

Answer.

### **1.16.2 Additional context**

Additional context.

## **1.17 Question 17**

Explain the effects of an atmosphere on a planets surface temperature and the position of the habitable zone. What special considerations must one make for habitability around M-type stars?

### **1.17.1 Short answer**

Answer.

### **1.17.2 Additional context**

Additional context.

## **1.18 Question 18**

Explain the process of nuclear fusion and give two examples of important fusion processes that affect the lives of stars.

### **1.18.1 Short answer**

Answer.

### **1.18.2 Additional context**

Additional context.

## **1.19 Question 19**

What is Fermis Paradox? Explain its logic and assess the current state of the Paradox in light of modern knowledge.

### **1.19.1 Short answer**

Answer.

### **1.19.2 Additional context**

Additional context.

### **1.19.3 Follow-up Questions**

- What percentage of stars have planets?



## **1.20 Question 20**

The so-called r- and s- processes are mechanisms that produce elements heavier than iron. Describe these mechanisms and evidence for them from abundance patterns. Where is the r-process thought to act?

### **1.20.1 Short answer**

Answer.

### **1.20.2 Additional context**

Additional context.

## 1.21 Resources

- Stellar Populations; Greggio & Renzini (2011)
- The Fundamentals of Stellar Astrophysics, Collins (2003)
- Stellar Structure and Evolution, Kippenhahn, Weigert & Weiss (2012)
- Evolution of Stars and Stellar Populations, Salaris & Cassisi (2005)
- The Astronomical Reach of Fundamental Physics, Burrows & Ostriker (2014)
- Opacity, Huebner & Barfield (2014)
- Radiative Processes in Astrophysics, Rybicky & Lightman (1979)
- Understanding Variable Stars, Percy (2007)