NOVA Microhypervisor Interface Specification

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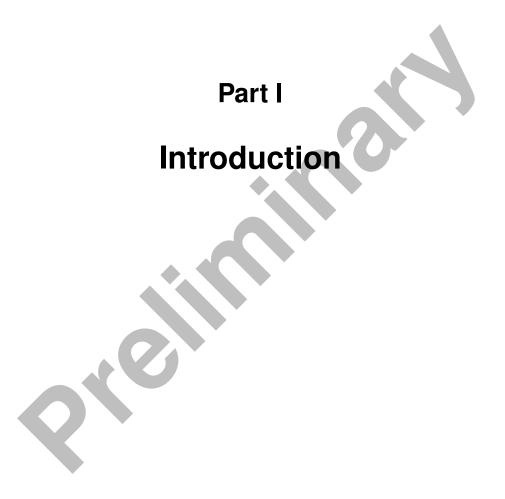
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Notation

The key words **must**, **must not**, **required**, **should**, **should not**, **recommended**, **may** and **optional** in this document are to be interpreted as described in RFC 2119 [5].

Throughout this document, the following symbols are used:

- Indicates that the value of this parameter or field is **undefined**. Future versions of this specification may define a meaning for the parameter or field.
- _ Indicates that the value of this parameter or field is **ignored**. Future versions of this specification may define a meaning for the parameter or field.
- \equiv Indicates that the value of this parameter or field is **unchanged**. The microhypervisor will preserve the value across hypercalls.



1 System Architecture

The NOVA OS Virtualization Architecture facilitates the coexistence of multiple legacy guest operating systems and a user-mode host framework on a single platform [10]. The core system leverages hardware virtualization technology provided by modern x86 or ARM platforms and comprises the NOVA microhypervisor and one or more Virtual-Machine Monitors (VMMs).

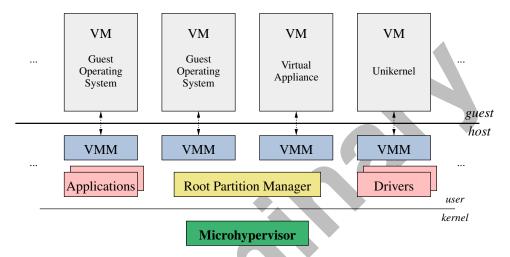


Figure 1.1: System Architecture

Figure 1.1 shows the structure of the system. The microhypervisor is the only component executing in privileged host/kernel mode. It isolates the various user-mode components, including the virtual-machine monitors, from one another by placing them in different protection domains in unprivileged host/user mode. Each legacy guest operating system runs in its own virtual-machine environment in guest mode and is therefore isolated from the other components.

Besides spatial and temporal isolation, the microhypervisor also provides mechanisms for partitioning and delegation of platform resources, such as CPU time, physical memory, I/O ports and hardware interrupts and for establishing communication channels and signaling between different protection domains.

The virtual-machine monitors handle virtualization events and implement virtual devices that enable legacy guest operating systems to function in the same manner as they would on bare-metal hardware. Providing this functionality outside the microhypervisor in the VMMs reduces the size of the trusted computing base significantly for all components that do not require virtualization support.

The architecture and interfaces of the VMM and the user-mode host framework are not described in this document.

Part II Basic Abstractions

2 Kernel Objects

2.1 Protection Domain

- 1. The Protection Domain (PD) is a unit of protection and spatial isolation.
- 2. Access to a Protection Domain is controlled by a PD Object Capability (CAP_{OBJpp}).
- 3. A Protection Domain is composed of a set of spaces that store Capabilities (CAPs) to kernel objects or platform resources that can be accessed by Execution Contexts (ECs) within that PD. Not all spaces are available on all architectures (see 5.1.3 for details). The following subsections detail all spaces.

2.1.1 Object Space

- 1. An Object Capability Selector (SELOBJ) serves as index into the Object Space and selects a slot.
- 2. Each slot of the Object Space contains either a Null Capability (CAP₀) or an Object Capability (CAP_{0BJ}) that refers to a kernel object.
- 3. Each hypercall issued from within the PD explicitly specifies the SEL_{OBJ} to select the CAP_{OBJ} for the kernel object on which it operates.

2.1.2 Memory Space

- 1. A Memory Capability Selector (SEL_{MEM}) serves as index into the Memory Space and selects a slot.
- 2. Each slot of the Memory Space contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}) that refers to a 4 KiB page frame in physical memory.
- 3. Each memory access issued from within the PD implicitly uses the virtual page number (VirtAddr >> 12) of the access as SEL_{MEM} to select the CAP_{MEM} for the 4 KiB page frame on which it operates.

2.1.3 I/O Port Space

- 1. An I/O Port Capability Selector (SEL_{PIO}) serves as index into the I/O Port Space and selects a slot.
- 2. Each slot of the I/O Port Space contains either a Null Capability (CAP₀) or an I/O Port Capability (CAP_{PIO}) that refers to the physical I/O port corresponding to the slot number.
- 3. Each I/O access (IN/OUT instruction) issued from within the PD implicitly uses the I/O port number of the access as SEL_{PIO} to select the CAP_{PIO} for the I/O port on which it operates.

2.1.4 MSR Space

- 1. An MSR Capability Selector (SEL_{MSR}) serves as index into the MSR Space and selects a slot.
- 2. Each slot of the MSR Space contains either a Null Capability (CAP₀) or an MSR Capability (CAP_{MSR}) that refers to the physical MSR corresponding to the slot number.
- 3. Each MSR access (RDMSR/WRMSR instruction) issued from within the PD implicitly uses the MSR number of the access as SEL_{MSR} to select the CAP_{MSR} for the MSR on which it operates.

2.2 Execution Context

- 1. The Execution Context (EC) is an abstraction for an activity within a PD.
- 2. Access to an Execution Context is controlled by an EC Object Capability (CAP_{OBJEC}).
- 3. An EC is permanently bound to exactly one physical CPU.
- 4. An EC is permanently bound to the PD for which it was created.
- 5. There exist three types of Execution Context:
 - Local Threads these may optionally have PTs (but not SCs) bound to it.
 - Global Threads these may optionally have an SC (but not PTs) bound to it.
 - Virtual CPUs these may optionally have an SC (but not PTs) bound to it.
- 6. An EC comprises the following state:
 - Reference to bound PD (2.1)
 - Event Selector Base [ARM, x86] (SEL_{EVT})
 - User Thread Control Block [ARM, x86] (UTCB) (4.3)
 - Central Processing Unit (CPU) registers (architecture dependent)
 - Floating Point Unit (FPU) registers (architecture dependent)

2.3 Scheduling Context

- 1. The Scheduling Context (SC) is a unit of prioritization and temporal isolation.
- 2. Access to a Scheduling Context is controlled by an SC Object Capability (CAP_{OB1sc}).
- 3. An SC is permanently bound to exactly one physical CPU.
- 4. An SC is permanently bound to the EC for which it was created.
- 5. Donation allows another EC to consume the budget of the SC for the duration of the donation.
- 6. A scheduling context comprises the following state:
 - Reference to bound EC (2.2)
 - Scheduling priority numerically higher priorities always preempt numerically lower priorities
 - Scheduling budget time after which the SC can be preempted by an SC with the same priority

2.4 Portal

- 1. A Portal (PT) represents a dedicated entry point into the PD for which the portal was created.
- 2. Access to a Portal is controlled by a PT Object Capability (CAPOBJet).
- 3. A PT is permanently bound to the EC for which it was created.
- 4. A portal comprises the following state:
 - Reference to bound EC (2.2)
 - Message Transfer Descriptor [ARM, x86] (MTD) (4.4)
 - Entry Instruction Pointer (IP)
 - Portal Identifier (PID)

2.5 Semaphore

- 1. A Semaphore (SM) provides a means to synchronize execution and interrupt delivery by selectively blocking and unblocking Execution Contexts (ECs).
- 2. Access to a Semaphore is controlled by a SM Object Capability (CAP_{OBJ_{SM}}).



3 Hardware Resources

3.1 System Time Counter

The system time is represented by an unsigned 64-bit System Time Counter (STC) with the following properties:

- 1. The STC starts with a power-on value of 0.
- 2. Subsequent reads of the STC return a higher value that reflects the platform uptime.
- 3. While the platform is in a shallow sleep state, the STC retains its current value.
- 4. While the platform is running, the STC monotonically increments at a fixed frequency, which is conveyed in the Hypervisor Information Page [ARM, x86] (HIP).
- 5. The STC and its frequency are synchronized across all CPUs. Applications can use both values to convert between system time and wall clock time.
- 6. Applications can obtain the current STC value as follows:

ARM: By reading CNTVCT_ELO via the MRS instruction [3].

x86: By reading IA32_TSC via the RDTSC instruction [1,4].

Part III Application Programming Interface

4 Data Types

4.1 Capability

A Capability (CAP) is a reference to a resource coupled with auxiliary data, such as access permissions.

Capabilities are opaque and immutable for applications – they cannot be inspected or modified directly; instead applications refer to a Capability via a Capability Selector (SEL).

4.1.1 Null Capability

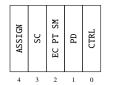
A Null Capability (CAP₀) does not refer to anything and carries no permissions.

4.1.2 Object Capability

An Object Capability (CAP_{OBJ}) is stored in the Object Space (SPC_{OBJ}) of a PD and refers to a kernel object.

4.1.2.1 PD Object Capability

A PD Object Capability (CAP_{OBJpD}) refers to a Protection Domain (PD) and carries the following permissions:



```
CTRL ctrl pd permitted if set.

PD create pd permitted if set.
```

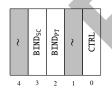
EC PT SM create_ec, create_pt, create_sm permitted it set.

SC create_sc permitted if set.

ASSIGN assign_dev permitted if set.

4.1.2.2 EC Object Capability

An EC Object Capability (CAPOBJEC) refers to an Execution Context (EC) and carries the following permissions:



```
CTRL ctrl_ec permitted if set.
```

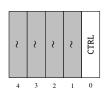
 $BIND_{SC}$

BIND_{PT} create_pt can bind a Portal (PT) to the EC if set.

create_sc can bind a Scheduling Context (SC) to the EC if set.

4.1.2.3 SC Object Capability

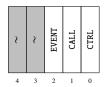
An SC Object Capability (CAP_{OBJsc}) refers to a Scheduling Context (SC) and carries the following permissions:



CTRL ctrl_sc permitted if set.

4.1.2.4 PT Object Capability

A PT Object Capability (CAP_{OBJer}) refers to a Portal (PT) and carries the following permissions:



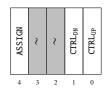
CTRL ctrl_pt permitted if set.

CALL ipc_call permitted if set.

EVENT Delivery of events permitted if set.

4.1.2.5 SM Object Capability

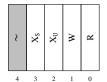
An SM Object Capability (CAP_{OBJsM}) refers to a Semaphore (SM) and carries the following permissions:



CTRL_{UP} ctrl_sm (Up) permitted if set.
CTRL_{DN} ctrl_sm (Down) permitted if set.
ASSIGN † assign_int permitted if set.

4.1.3 Memory Capability

A Memory Capability (CAP_{MEM}) is stored in the Memory Space (SPC_{MEM}) of a PD, refers to a 4 KiB page frame, and carries the following permissions:



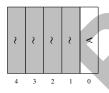
R the page frame is readable if set.
W the page frame is writable if set.

 X_U [‡] the page frame is executable (in user mode) if set.

 X_S [‡] the page frame is executable (in supervisor mode) if set.

4.1.4 I/O Port Capability

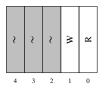
A I/O Port Capability (CAP_{PI0}) is stored in the I/O Port Space (SPC_{PI0}) of a PD, refers to an I/O port, and carries the following permissions:



the I/O port is accessible (via IN/OUT) if set.

4.1.5 MSR Capability

A MSR Capability (CAP_{MSR}) is stored in the MSR Space (SPC_{MSR}) of a PD, refers to a Model-Specific Register (MSR), and carries the following permissions:



R the MSR is readable (via RDMSR) if set.
W the MSR is writable (via WRMSR) if set.

[†]This permission bit is only defined for interrupt semaphores.

[‡] If the hardware supports only combined execute permissions (X) for both modes, then $X = X_U \vee X_S$.

4.2 Capability Selector

A Capability Selector (SEL) is an application-visible unsigned number as follows:

- An Object Capability Selector (SEL_{OBJ}) indexes into the Object Space (SPC_{OBJ}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or an Object Capability (CAP_{OBJ}).
- A Memory Capability Selector (SEL_{MEM}) indexes into the Memory Space (SPC_{MEM}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or a Memory Capability (CAP_{MEM}).
- An I/O Port Capability Selector (SEL_{PIO}) indexes into the I/O Port Space (SPC_{PIO}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or an I/O Port Capability (CAP_{PIO}).
- An MSR Capability Selector (SEL_{MSR}) indexes into the MSR Space (SPC_{MSR}) of a Protection Domain (PD) and selects a slot that contains either a Null Capability (CAP₀) or an MSR Capability (CAP_{MSR}).



4.3 User Thread Control Block

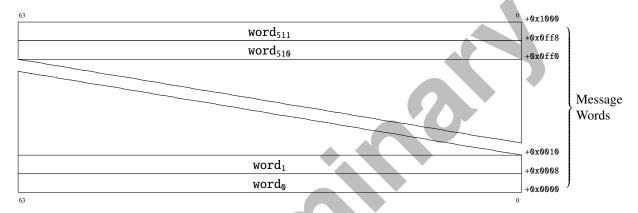
Each host EC (local/global thread) has its own User Thread Control Block [ARM, x86] (UTCB), which is mapped into the Memory Space (SPC_{MEM}) of the PD in which that EC is executing. A guest EC (virtual CPU) does not have a UTCB.

A User Thread Control Block [ARM, x86] has a size of one memory page (4 KiB). Because a UTCB is owned by the microhypervisor, it cannot be delegated using ctrl_pd.

To ensure proper visibility of loads and stores with relaxed memory ordering, application programs are expected to access a UTCB only from the EC to which that UTCB is bound.

4.3.1 Regular Layout

During regular IPC (see 4.4.1), the UTCB is used for data transfer and has a regular layout with 512 message words.



The data transfer from one UTCB to another UTCB is defined as follows:

- The data transfer is performed by the CPU on which the caller EC and callee EC execute.
- The data transfer uses the regular layout.
- The data is copied from low words to high words, beginning with word₀.
- The granularity of the loads and stores used for copying is undefined.
- Loads from and stores to the UTCB are non-atomic and use relaxed memory ordering.

4.3.2 Architectural Layout

During architectural IPC (see 4.4.2), the UTCB is used for state transfer and has an architectural layout (ARM, x86).

The state transfer between the architectural registers and a UTCB is defined as follows:

- The state transfer is performed by the CPU on which the affected EC and callee EC execute.
- The state transfer uses the architectural layout.
- The state is copied between architectural registers and the UTCB in an undefined order.
- The granularity of the loads and stores used for copying is **undefined**.
- Loads from and stores to the UTCB are non-atomic and use relaxed memory ordering.

4.4 Message Transfer Descriptor

4.4.1 Regular IPC

For regular Inter-Process Communication (IPC), the Message Transfer Descriptor [ARM, x86] (MTD) is provided by the sender, passed to the receiver, and uses the following layout:



The MTD controls the data transfer (see 4.3.1) as shown in Figure 4.1:

- During ipc_call, it specifies the number of message words to transfer from the UTCB of the caller EC (sender) to the UTCB of the callee EC (receiver).
- During ipc_reply, it specifies the number of message words to transfer from the UTCB of the callee EC (sender) to the UTCB of the caller EC (receiver).

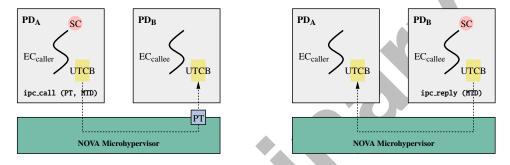


Figure 4.1: Regular IPC

4.4.2 Architectural IPC

For exceptions and intercepts, the Message Transfer Descriptor [ARM, x86] (MTD) is provided by the architectural event-specific portal (ARM, x86) or sender, passed to the receiver, and uses an architectural bitfield layout (ARM, x86):

- If a bit is 0, then the microhypervisor does **not** transmit the architectural state associated with that bit.
- If a bit is 1, then the microhypervisor transmits the architectural state associated with that bit.

The MTD controls the state transfer (see 4.3.2) as shown in Figure 4.2:

- During an exception/intercept, it specifies the subset of registers to transfer from the architectural state of the affected EC (sender) to the UTCB of the callee EC (receiver).
- During ipc_reply, it specifies the subset of registers to transfer from the UTCB of the callee EC (sender) to the architectural state of the affected EC (receiver).

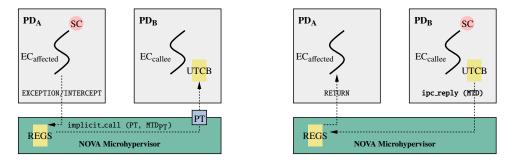


Figure 4.2: Architectural IPC

5 Hypercalls

5.1 Definitions

5.1.1 Hypercall Numbers

Each hypercall is identified by a unique number. The following hypercalls are currently defined:

Number	Hypercall	Section
0x0	ipc_call	5.2.1
0x1	ipc_reply	5.2.2
0x2	create_pd	5.3.1
0x3	create_ec	5.3.2
0x4	create_sc	5.3.3
0x5	create_pt	5.3.4
0x6	create_sm	5.3.5
0x7	ctrl_pd	5.4.1
8x0	ctrl_ec	5.4.2
0x9	ctrl_sc	5.4.3
0xa	ctrl_pt	5.4.4
0xb	ctrl_sm	5.4.5
0xc	ctrl_pm	5.5.1
0xd	$assign_int$	5.5.2
0xe	assign_dev	5.5.3
0xf	reserved for future use	

5.1.2 Status Codes

Hypercalls return a status code to indicate success or failure. The following status codes are currently defined:

Number	Status Code	Description
0x0	SUCCESS	Operation Successful
0x1	TIMEOUT	Operation Timeout
0x2	ABORTED	Operation Abort
0x3	OVRFLOW	Operation Overflow
0x4	BAD_HYP	Invalid Hypercall
0x5	BAD_CAP	Invalid Capability
0x6	BAD_PAR	Invalid Parameter
0x7	BAD_FTR	Invalid Feature
8x0	BAD_CPU	Invalid CPU Number
0 x9	BAD_DEV	Invalid Device ID
0xa	INS_MEM	Insufficient Memory
≥0xb	reserved for future use	

5.1.3 Space Type

The following table lists the currently defined space types and for which architectures they are valid (\checkmark) :

Number	TYPE _{SPC}	ARM	x86	Description
0x0	SPC _{OBJ}	✓	✓	Object Space
0x1	SPC _{MEM}	\checkmark	\checkmark	Memory Space
0x2	SPC _{PIO}	×	\checkmark	I/O Port Space
0x3	SPC_{MSR}	×	\checkmark	MSR Space
≥ 0 x4	reserved for future use			

5.1.4 Access Type

The following table lists the currently defined access types and for which space types they are valid (\checkmark) :

Number	TYPE _{ACC}	SPC _{OBJ}	SPC _{MEM}	SPC _{PIO}	SPC_{MSR}	Description
0x0	CPU_HST	✓	✓	√	×	CPU Access from Host
0x1	CPU_GST	×	\checkmark	\checkmark	\checkmark	CPU Access from Guest
0x2	DMA_HST	×	\checkmark	×	×	DMA Access from Host
0x3	DMA_GST	×	\checkmark	×	×	DMA Access from Guest
≥0x4	reserved for future use					

5.2 Communication

5.2.1 IPC Call

Parameters:

Flags:



Description:

Sends a message from EC_{CURRENT} (caller) to the EC (callee) to which the specified Portal (PT) is bound. Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} pt } must refer to a PT Object Capability (CAP_{OBJPT}) with permission CALL.

If the hypercall completed successfully:

- If **T=0** (**No Timeout**): If the callee **EC** was still busy handling a prior **ipc_call**, then the caller **EC** has helped run that prior **ipc_call** to completion, i.e. until the callee **EC** became available again.
- The microhypervisor has transferred a message from the UTCB of the caller EC to the UTCB of the callee EC. The content of that message is defined by the MTD mtd, which has been passed from the caller EC to the callee EC.
- The hypercall returns once the callee EC has issued an ipc_reply. Upon return, the UTCB of the caller EC and the parameter mtd have been updated by the reply message.
- The Current Scheduling Context (SC_{CURRENT}) has been donated to the callee EC upon ipc_call and returned back upon ipc_reply, thereby accounting the entire handling of the request to SC_{CURRENT}.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} pt } did not refer to a PT Object Capability (CAP_{OBJPT}) or that capability had insufficient permissions.

BAD_CPU

• Caller EC and callee EC are on different CPUs.

TIMEOUT

• The callee EC is still busy handling a prior ipc_call – only if **T=1** (**Timeout**).

ABORTED

• The callee EC is dead and the operation aborted.

5.2.2 IPC Reply

Parameters:

Flags:



Description:

Sends a reply message from EC_{CURRENT} (callee) back to the caller EC (if one exists) and subsequently waits for the next incoming message.

If the hypercall completed successfully:

- If a caller **EC** exists:
 - The microhypervisor has transferred a reply message from the UTCB of the callee EC back to the UTCB of the caller EC.
 - The content of that reply message is defined by the MTD mtd, which has been passed from the callee EC back to the caller EC.
 - The Current Scheduling Context (SC_{CURRENT}) that had been donated to the callee EC upon ipc_call
 has been returned back to the caller EC.
- ECCURRENT blocks until the next incoming message arrives on any Portal (PT) bound to it.

Status:

This hypercall does not return directly.

Instead, when the next message arrives via a subsequent ipc call to any Portal (PT) bound to the callee EC:

- The microhypervisor passes the Portal Identifier (PID) of the called PT to the callee EC.
- The UTCB of the callee EC and the parameter mtd have been updated by the incoming message.
- Execution of the callee EC continues at the Instruction Pointer (IP) configured in the called PT.

5.3 Object Creation

5.3.1 Create Protection Domain

Parameters:

Flags:



Description:

Creates a new Protection Domain (PD).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJpp}) with permission PD.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Protection Domain (PD) has been created.
- The resources for the created PD were accounted to the PD referred to by { $PD_{CURRENT}$, SEL_{OBJ} own }.
- { $PD_{CURRENT}$, SEL_{OBJ} sel } refers to a PD Object Capability (CAP_{OBJPD}) for the created PD with defined permissions inherited from { $PD_{CURRENT}$, SEL_{OBJ} own }.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).

INS_MEM

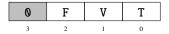
• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for PD creation.

5.3.2 Create Execution Context

Parameters:

```
status = create_ec (SEL<sub>OBJ</sub>
                                sel,
                                              // Created EC
                                              // Owner PD
                       SEL<sub>OB1</sub>
                                own,
                                              // UTCB Address (Page Number)
                       SEL_{MEM}
                                utcb,
                       UINT
                                              // CPU Number
                                cpu,
                       UINT
                                              // Initial Stack Pointer
                                sp,
                                              // Event Selector Base
                       SELEVE
                                evt):
```

Flags:



Description:

Creates a new Execution Context (EC).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJPD}) with permission EC.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- V=0 (Thread): A new host Execution Context (EC) has been created with its UTCB mapped at virtual page number utcb and its initial Stack Pointer (SP) set to sp.
 - T=0 (Local Thread): Portals (PTs) can subsequently be bound to that EC and the EC will run whenever any of those bound portals is called.
 - T=1 (Global Thread): The EC will generate a startup exception the first time a Scheduling Context (SC) is bound to it.
- V=1 (Virtual CPU): A new guest Execution Context (EC) has been created. The EC will generate a startup exception the first time a Scheduling Context (SC) is bound to it. The parameters utcb and sp were ignored.
 - T=0: The virtual CPU uses no time adjustment.
 - T=1: The virtual CPU uses time offsetting.
- The created EC will be able to use FPU instructions only if the F-flag is set. Otherwise any FPU access by that EC will generate an exception.
- The created EC is bound to the PD referred to by { PD_{CURRENT}, SEL_{OBJ} own } on CPU cpu with its Event Selector Base [ARM, x86] (SEL_{EVT}) set to evt.
- The resources for the created EC were accounted to the PD referred to by { PD_CURRENT, SEL_OBJ own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an EC Object Capability (CAP_{OBJEC}) for the created EC with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).

BAD_CPU

• The CPU number is invalid.

BAD_FTR

• Virtual CPUs are not supported on the machine.

BAD_PAR

• UTCB region is not free or outside the user-accessible memory range.

INS_MEM

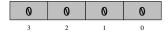
• { $PD_{CURRENT}$, SEL_{OBJ} own } had insufficient memory resources for EC creation.



5.3.3 Create Scheduling Context

Parameters:

Flags:



Description:

Creates a new Scheduling Context (SC).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJpp}) with permission SC.
- { PD_{CURRENT}, SEL_{OBJ} ec } must refer to an EC Object Capability (CAP_{OBJEC}) with permission BIND_{SC}.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Scheduling Context (SC) has been created.
- The created SC is bound to the EC referred to by { PD_{CURRENT}, SEL_{OBJ} ec } on the CPU of that EC with its scheduling parameters set to budget and priority.
- The resources for the created SC were accounted to the PD referred to by { PD_CURRENT, SELOBJ own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an SC Object Capability (CAP_{OBJsc}) for the created SC with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} ec } did not refer to a EC Object Capability (CAP_{OBJEC}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).
- Binding the SC to the EC failed, e.g. because the EC is a local EC.

BAD_PAR

• Scheduling budget or priority was zero.

INS_MEM

 \bullet { $PD_{CURRENT}$, $\;SEL_{OBJ}\;\;own\;\;$ } had insufficient memory resources for SC creation.

5.3.4 Create Portal

Parameters:

Flags:



Description:

Creates a new Portal (PT).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJPD}) with permission PT.
- { $PD_{CURRENT}$, SEL_{OBJ} ec } must refer to an EC Object Capability ($CAP_{OBJ_{EC}}$) with permission $BIND_{PT}$.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Portal (PT) has been created.
- The created PT is bound to the EC referred to by { PD_{CURRENT}, SEL_{OBJ} ec } on the CPU of that EC, with its portal Instruction Pointer (IP) set to ip, its initial MTD set to 0 and its initial PID set to 0.
- The resources for the created PT were accounted to the PD referred to by { PD_CURRENT, SEL_OBJ own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an PT Object Capability (CAP_{OBJPT}) for the created PT with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OB1} own } did not refer to a PD Object Capability (CAP_{OBJpD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} ec } did not refer to a EC Object Capability (CAP_{OBJ_{EC}}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).
- Binding the PT to the EC failed, e.g. because the EC is not a local EC.

INS_MEM

• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for PT creation.

5.3.5 Create Semaphore

Parameters:

Flags:



Description:

Creates a new Semaphore (SM).

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} own } must refer to a PD Object Capability (CAP_{OBJPD}) with permission SM.
- { PD_{CURRENT}, SEL_{OBJ} sel } must refer to a Null Capability (CAP₀).

If the hypercall completed successfully:

- A new Semaphore (SM) has been created.
- The created SM has its initial counter value set to cnt.
- The resources for the created SM were accounted to the PD referred to by { $PD_{CURRENT}$, SEL_{OBJ} own }.
- { PD_{CURRENT}, SEL_{OBJ} sel } refers to an SM Object Capability (CAP_{OBJSM}) for the created SM with all defined permissions set.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} own } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} sel } did not refer to a Null Capability (CAP₀).

INS_MEM

• { PD_{CURRENT}, SEL_{OBJ} own } had insufficient memory resources for SM creation.

5.4 Object Control

5.4.1 Control Protection Domain

Parameters:

```
status = ctrl_pd (SEL<sub>OB1</sub> spd,
                                            // Protection Domain: Source
                                            // Protection Domain: Destination
                    SELOBI dpd,
                                            // Base Selector: Source
                    SEL
                           src,
                           dst,
                                            // Base Selector: Destination
                    SEL
                                            // Order
                    UINT
                           ord,
                    UINT
                                            // Permission Mask
                           pmm,
                    TYPE_{SPC} spc,
                                            // Space Type
                                            // Access Type
                    TYPE_{ACC} acc,
                                            // Cacheability Attribute
                    ATTR<sub>CA</sub> ca,
                    ATTR<sub>SH</sub> sh);
                                            // Shareability Attribute
```

Flags:



Description:

Takes capabilities from the Source Protection Domain (PD) and grants them to the Destination Protection Domain (PD) and thereby optionally reduces the permissions of the destination capabilities.

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} spd } must refer to a PD Object Capability (CAP_{OBJpD}) with permission CTRL.
- { PD_{CURRENT}, SEL_{OBJ} dpd } must refer to a PD Object Capability (CAP_{OBJpp}) with permission CTRL.
- { PD_{CURRENT}, SEL_{OBJ} dpd } must not refer to a PD Object Capability (CAP_{OBJPD}) for PD_{NOVA}.
- SEL src and SEL dst must be order-aligned, i.e. src=0 (mod 2^{ord}) and dst=0 (mod 2^{ord}).
- TYPE_{SPC} spc and TYPE_{ACC} acc must be valid, i.e. supported by the architecture.
- ATTR_{CA} ca and ATTR_{SH} sh must be valid, i.e. supported by the architecture.

If the hypercall completed successfully:

- If spc=SPC_{OBJ}: All CAP_{OBJ} and CAP₀ from source SEL range { PD spd, SEL_{OBJ} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{OBJ} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{OBJ} in the destination selector range were revoked. The parameters acc, ca and sh were ignored.
- If spc=SPC_{MEM}: All CAP_{MEM} and CAP₀ from source SEL range { PD spd, SEL_{MEM} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{MEM} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{MEM} in the destination selector range were revoked.
- If spc=SPC_{PIO}: All CAP_{PIO} and CAP₀ from source SEL range { PD spd, SEL_{PIO} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{PIO} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{PIO} in the destination selector range were revoked. The parameters ca and sh were ignored.
- If spc=SPC_{MSR}: All CAP_{MSR} and CAP₀ from source SEL range { PD spd, SEL_{MSR} src...src+2^{ord}-1 } were delegated to destination SEL range { PD dpd, SEL_{MSR} dst...dst+2^{ord}-1 }. Any pre-existing CAP_{MSR} in the destination selector range were revoked. The parameters ca and sh were ignored.
- The permissions of each destination capability were masked by computing the logical AND of the permissions of the respective source capability and the permission mask pmm, i.e.
 - for bits set (1) in pmm, the respective permissions were *inherited* from the source capability.
 - for bits clear (0) in pmm, the respective permissions were *removed* for the destination capability.
- If the source capability was a Null Capability (CAP₀) or if the destination capability has zero permissions after masking, then the destination capability is now a Null Capability (CAP₀).
- The resources for storing the granted capabilities were accounted to the PD referred to by { PD_CURRENT, SEL_OBJ dpd }.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} spd } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} dpd } did not refer to a PD Object Capability (CAP_{OBJ_{PD}}) or that capability had insufficient permissions.
- { PD_{CURRENT}, SEL_{OBJ} dpd } referred to a PD Object Capability (CAP_{OBJpp}) for PD_{NOVA}.

BAD_PAR

- SEL src or SEL dst was not order-aligned.
- SEL src+2^{ord}-1 or SEL dst+2^{ord}-1 was larger than the maximum selector number.
- If **spc=SPC**_{PIO} **or spc=SPC**_{MSR}: SEL src was not equal to SEL dst.
- TYPE_{SPC} spc or TYPE_{ACC} acc was not valid, i.e. not supported by the architecture.
- ATTR_{CA} ca or ATTR_{SH} sh was not valid, i.e. not supported by the architecture.

INS_MEM

• { PD_{CURRENT}, SEL_{OBJ} dpd } had insufficient memory resources for allocating the storage required for granting all destination capabilities. This constitutes a partial failure of the operation, because all destination capabilities up to the first allocation failure have been granted.



5.4.2 Control Execution Context

Parameters:

```
status = ctrl_ec (SEL<sub>OBJ</sub> ec);  // Execution Context
```

Flags:



Description:

Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} ec } must refer to a EC Object Capability (CAP_{OBJFC}) with permission CTRL.

If the hypercall completed successfully:

- The EC referred to by { PD_{CURRENT}, SEL_{OBJ} ec } has been forced to enter the microhypervisor. It will generate a recall exception prior to its next exit from the microhypervisor and will traverse through the respective Portal (PT).
- If **S=0** (**Weak**): the hypercall returns as soon as the recall exception has been *pended*, i.e. the EC may not have entered the microhypervisor yet.
- If **S=1** (**Strong**): the hypercall returns as soon as the recall exception has been *observed*, i.e the EC will have entered the microhypervisor.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} ec } did not refer to a EC Object Capability (CAP_{OBJEC}) or that capability had insufficient permissions.

5.4.3 Control Scheduling Context

Parameters:

Flags:



Description:

Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} sc } must refer to an SC Object Capability (CAP_{OBJsc}) with permission CTRL.

If the hypercall completed successfully:

• The microhypervisor has returned the total consumed execution time as System Time Counter (STC) value for the SC referred to by { PD_{CURRENT}, SEL_{OBJ} sc }.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} sc } did not refer to an SC Object Capability (CAP_{OBJsc}) or that capability had insufficient permissions.



5.4.4 Control Portal

Parameters:

Flags:



Description:

Prior to the hypercall:

• { PD_{CURRENT}, SEL_{OBJ} pt } must refer to a PT Object Capability (CAP_{OBJpt}) with permission CTRL.

If the hypercall completed successfully:

- The microhypervisor has set the Portal Identifier (PID) to pid and the Message Transfer Descriptor [ARM, x86] (MTD) to mtd for the Portal referred to by { PD_CURRENT, SEL_OBJ pt }.
- Subsequent portal traversals will use the new MTD and return the new PID.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} pt } did not refer to a PT Object Capability (CAP_{OBJPT}) or that capability had insufficient permissions.



5.4.5 Control Semaphore

Parameters:

Flags:



Description:

Prior to the hypercall:

- If D=0 (Up): { $PD_{CURRENT}$, SEL_{OBJ} sm } must refer to a SM Object Capability (CAP_{OBJ_{SM}}) with permission CTRL_{UP}.
- If D=1 (Down): { $PD_{CURRENT}$, SEL_{OBJ} sm } must refer to a SM Object Capability (CAP_{OBJsm}) with permission CTRL_{DN}.

If the hypercall completed successfully:

- If **D=0** (**Up**): if there were **EC**s blocked on the semaphore, then the microhypervisor has released one of those blocked **EC**s. Otherwise, the microhypervisor has incremented the semaphore counter. The timeout value and the Z-flag were ignored.
- If **D=1** (**Down**): if the semaphore counter was larger than zero, then the microhypervisor has decremented the semaphore counter (**Z=0**) or set it to zero (**Z=1**). Otherwise, the microhypervisor has blocked EC_{CURRENT} on the semaphore. If the timeout value was non-zero, EC_{CURRENT} unblocks with a timeout status when the System Time Counter (STC) reaches or exceeds the specified value.

Blocking and releasing of ECs on a semaphore uses the FIFO queueing discipline.

Status:

SUCCESS

• The hypercall completed successfully.

TIMEOUT

• If **D=1**: Down operation aborted when the timeout triggered.

OVRFLOW

• If **D=0**: Up operation aborted because the semaphore counter would overflow.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} sm } did not refer to a SM Object Capability (CAP_{OBJsm}) or that capability had insufficient permissions.

BAD_CPU

• If **D=1** on an interrupt semaphore: Attempt to wait for the interrupt on a different CPU than the CPU to which that interrupt has been routed via assign_int.

5.5 Platform Management

5.5.1 Control Power Management

Parameters:

status = ctrl_pm (UINT state); // State Information

Flags:



Description:

Transitions the platform to the specified power management state.

Prior to the hypercall:

- PD_{CURRENT} must be the Root Protection Domain (PD_{ROOT}).
- If OP=1 (S-State Transition):
 - The state parameter uses the following encoding:

0		В		A		S		
15	9	8	6	5	3	2		0

The value S designates the state to enter. The values A and B are the first two bytes of the respective _Sx package in the ACPI root namespace as follows:

\mathbf{S}	A	В	Shallow	Description
0x1	_S1[0]	_S1[1]		S1: Power-On Suspend
0x2	_S2[0]	_S2[1]		S2: Standby
0x3	_S3[0]	_S3[1]		S3: Suspend to RAM
0x4	_S4[0]	_S4[1]	×	S4: Suspend to Disk
0x5	_S5[0]	_S5[1]	×	S5: Soft Off
0x7	0x0	0x0	×	Platform Reset

- The caller is responsible for invoking the necessary pre-sleep ACPI methods, for transitioning platform devices into a suitable Dx sleep state, and for programming wakeup events.

If the hypercall completed successfully:

- If **OP=1** (**S-State Transition**): The platform enters the specified **ACPI** sleep state or resets.
 - For shallow sleep states, the hypercall returns upon a wakeup event. The caller is responsible for invoking the necessary post-sleep ACPI methods and for transitioning platform devices back into the D0 working state.
 - For deep sleep states or platform reset, the hypercall does not return.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_HYP

• The hypercall was not issued from the Root Protection Domain (PD_{ROOT}).

BAD_PAR

• The requested operation (OP) is not supported.

BAD_FTR

• The requested power management state is not supported.

ABORTED

• A concurrent power management request prevailed.

5.5.2 Assign Interrupt

Parameters:

Flags:



Description:

Configures an interrupt and routes it to the specified CPU.

Prior to the hypercall:

- { PD_{CURRENT}, SEL_{OBJ} sm } must refer to a SM Object Capability (CAP_{OBJss}) with permission ASSIGN.
- CAP_{OBJsm} must refer to an interrupt semaphore and thereby designates the interrupt.

If the hypercall completed successfully:

- The interrupt referred to by { PD_{CURRENT}, SEL_{OBJ} sm } has been routed to the CPU cpu.
- Mask
 - M=0: The interrupt is now unmasked, i.e. it will be signaled on the semaphore.
 - M=1: The interrupt is now masked, i.e. it will not be signaled on the semaphore.
- Trigger
 - **T=0**: The interrupt is now configured for edge-triggered operation.
 - T=1: The interrupt is now configured for level-triggered operation.
- Polarity
 - **P=0**: The interrupt is now configured for active-high operation.
 - P=1: The interrupt is now configured for active-low operation.
- Guest
 - **G=0**: The interrupt is now host-owned.
 - **G=1**: The interrupt is now guest-owned (VM pass-through).
- If the interrupt is an MSI, only the PCI device referred to by dev will be authorized to generate that MSI. The device driver must program the returned msi_addr and msi_data values into the MSI registers of that device to ensure proper interrupt operation. If the interrupt is pin-based, the parameter dev was ignored and the parameters msi_addr and msi_data return 0.

Prior to the first invocation of assign_int for an interrupt, the state of that interrupt is as follows:

- the interrupt is masked.
- trigger, polarity and ownership are undefined.
- target CPU and authorized device are undefined.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_CPU

• The specified CPU number was invalid.

BAD_CAP

- { PD_{CURRENT}, SEL_{OBJ} sm } did not refer to a SM Object Capability (CAP_{OBJ_{SM}}) or that capability had insufficient permissions.
- CAP_{OBJ_{SM}} did not refer to an interrupt semaphore.



5.5.3 Assign Device

Parameters:

Flags:



Description:

Assigns the specified device (*) to the specified Protection Domain (PD):

- ARM: dev encodes the SID of the device and also the SMMU resources (stream mapping group, translation context) to be used for managing that device.
- x86: dev encodes the BDF of the device. There are no SMMU resources needed.

Prior to the hypercall:

- PD_{CURRENT} must be the Root Protection Domain (PD_{ROOT}).
- { PD_{CURRENT}, SEL_{OBJ} pd } must refer to a PD Object Capability (CAP_{OBJP}) with permission ASSIGN.
- { PD_{NOVA}, SEL_{MEM} smmu } must refer to the physical address of an SMMU device.
- The SID/BDF and SMMU resources encoded in dev must be supported by the hardware (see 7.6.1).
- TYPE_{ACC} acc must refer to a DMA access type.

If the hypercall completed successfully:

- The device, referred to by the SID/BDF in dev, has been assigned to the Protection Domain (PD) referred to by { PD_{CURRENT}, SEL_{OBJ} pd }, such that DMA transactions of that device will be translated by the DMA page table corresponding to acc of that PD.
- DMA transactions of that device will be managed using the SMMU resources encoded in dev. Prior users
 of those SMMU resources have been unconfigured.

Status:

SUCCESS

• The hypercall completed successfully.

BAD_HYP

• The hypercall was not issued from the Root Protection Domain (PD_{ROOT}).

BAD_DEV

• { PD_{NOVA}, SEL_{MEM} smmu } did not refer to the physical address of an SMMU device.

BAD_CAP

• { PD_{CURRENT}, SEL_{OBJ} pd } did not refer to a PD Object Capability (CAP_{OBJPD}) or that capability had insufficient permissions.

BAD_PAR

• At least one of the parameters dev or acc was not valid.

^{*}See the architecture-specific binding for encoding details.

6 Booting

6.1 Microhypervisor

6.1.1 ELF Image Loading

The bootloader must place all loadable (PT_LOAD) program segments of the NOVA microhypervisor into physical memory (RAM) according to the physical addresses (p_paddr) and memory sizes (p_memsz) defined in the NOVA microhypervisor ELF image. The following is an example:

```
readelf -1 nova.elf

Elf file type is EXEC (Executable file)

Entry point 0x48000000

There are 2 program headers, starting at offset 64
```

Program Headers:

T	0.00+	17.5 A -J -J	Dhua Adda
Type	Offset	VirtAddr	PhysAddr
	FileSiz	MemSiz	Flags Align
LOAD	0x000000000000000b0	0x0000000048000000	0x0000000048000000
	0x0000000000000268	0x0000000000001000	RWE 0x8
LOAD	0x000000000000000000000000000000000000	0x0000ff8000001000	0x0000000048001000
	0x000000000000000e960	0x00000000000fff000	RWF 0x800

If the physical address range defined in the ELF image is suboptimal for a particular platform, the bootloader may optionally shift all loadable program segments lower or higher in physical memory, by applying an offset, subject to the following constraints:

- The same offset must be applied to each loadable program segment and to the entry point.
- The offset must be a multiple of 2 MiB, i.e. PhysAddr_{NEW} = PhysAddr_{ELF} \pm n \times 2 MiB.
- The entire physical memory region occupied by the NOVA microhypervisor must be RAM.

After loading the NOVA microhypervisor into physical memory, the bootloader must invoke the entry point of the ELF image with architecture-specific preconditions (ARM, x86).

6.1.2 Platform Resource Access

Possession of a PD Object Capability (CAP_{OBJpD}) for PD_{NOVA} allows the caller to invoke the ctrl_pd hypercall to take resources from the NOVA Protection Domain and grant them to another Protection Domain.

The following capabilities can be taken from the NOVA Protection Domain (PD_{NOVA}):

Physical Memory

{ PD_{NOVA}, SEL_{MEM} 0...PHYS_{NUM}-1 } refer to CAP_{MEM} for page frames in physical memory, where PHYS_{NUM} is the number of page frames supported by the platform. Physical memory regions protected by the NOVA microhypervisor (ARM, x86) cannot be taken.

Interrupt Semaphores

{ PD_{NOVA} , SEL_{OBJ} 1024...1024+INT_{NUM}-1 } refer to $CAP_{OBJ_{SM}}$ for interrupt semaphores, where INT_{NUM} is the number of supported interrupts, as conveyed by the HIP. These capabilities can be used with the $ctrl_{sm}$ and $assign_{int}$ hypercalls.

Console Signaling Semaphore

{ PD_{NOVA} , SEL_{OBJ} $SEL_{NUM}-1$ } refers to a $CAP_{OBJ_{SM}}$ for the signaling semaphore of the NOVA memory-buffer console. This capability can be used with the $ctrl_sm$ hypercall.

6.2 Root Protection Domain

After the NOVA microhypervisor has initialized the system, it creates the following initial kernel objects:

- PD_{ROOT} the Root Protection Domain
- EC_{ROOT} the Root Execution Context (executing in PD_{ROOT})
- SC_{ROOT} the Root Scheduling Context (bound to EC_{ROOT})

The Root Protection Domain is responsible for bootstrapping the other components of the user-mode framework by creating additional kernel objects, loading additional images, assigning resources, etc.

6.2.1 ELF Image Format

The ELF image of the Root Protection Domain (PD_{ROOT}) must be an executable (ET_EXEC) file that has been compiled for the respective architecture and

- linked such that p_filesz = p_memsz
- loaded such that p_vaddr = LOAD_ADDR* + p_offset (mod PAGE_SIZE)

holds for each loadable (PT_LOAD) program segment. These constraints ensure that the NOVA microhypervisor can map all program segments directly from physical into virtual memory without any additional memory allocation or copying. The following is an example:

```
readelf -l root.elf

Elf file type is EXEC (Executable file)
Entry point 0x10000120

There are 2 program headers, starting at offset 64
```

Program Headers:

Type	Offset	VirtAddr	PhysAddr
	FileSiz	MemSiz	Flags Align
LOAD	0x00000000000000000	0x0000000010000000	0x000000010000000
	0x00000000000000a75	0x00000000000000a75	R E 0x1000
LOAD	0x0000000000001000	0x0000000010001000	0x0000000010001000
	0x000000000000f004	0x000000000000f004	RW 0x1000

6.2.2 Initial Configuration

Prior to invoking the entry point of the Root Protection Domain (PD_{ROOT}) ELF image, using the Root Execution Context (EC_{ROOT}), the NOVA microhypervisor sets up PD_{ROOT} as follows.

6.2.2.1 Object Space

The object space contains the following initial capabilities:

```
    { PD<sub>ROOT</sub>, SEL<sub>OBJ</sub> SEL<sub>NUM</sub>-1 } refers to a PD Object Capability (CAP<sub>OBJPD</sub>) for PD<sub>NOVA</sub>.
    { PD<sub>ROOT</sub>, SEL<sub>OBJ</sub> SEL<sub>NUM</sub>-2 } refers to a PD Object Capability (CAP<sub>OBJPD</sub>) for PD<sub>ROOT</sub>.
    { PD<sub>ROOT</sub>, SEL<sub>OBJ</sub> SEL<sub>NUM</sub>-3 } refers to a EC Object Capability (CAP<sub>OBJEC</sub>) for EC<sub>ROOT</sub>.
    { PD<sub>ROOT</sub>, SEL<sub>OBJ</sub> SEL<sub>NUM</sub>-4 } refers to a SC Object Capability (CAP<sub>OBJSC</sub>) for SC<sub>ROOT</sub>.
```

All other { PD_{ROOT} , SEL_{OBJ} } refer to a Null Capability (CAP₀).

The value of SEL_{NUM} is conveyed in the Hypervisor Information Page [ARM, x86].

^{*}This is the address in physical memory at which the bootloader has placed the ELF image.

6.2.2.2 Memory Space

ELF Program Segments

The microhypervisor maps the Root Protection Domain (PD_{ROOT}) into virtual memory according to the virtual addresses (p_vaddr), memory sizes (p_memsz) and page attributes (p_flags) of all loadable (PT_LOAD) program segments defined in the PD_{ROOT} ELF image.

Hypervisor Information Page

The microhypervisor maps the Hypervisor Information Page [ARM, x86] read-only into the memory space 4 KiB below the end of user-accessible virtual memory. The virtual address of the HIP is passed to EC_{ROOT} at the entry point (ARM, x86).

UTCB

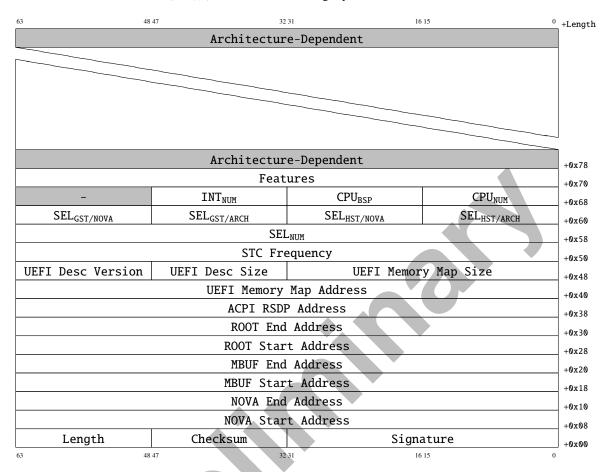
The microhypervisor maps the User Thread Control Block [ARM, x86] of EC_{ROOT} into the memory space 4 KiB below the address of the Hypervisor Information Page [ARM, x86].

All other { PD_{ROOT} , SEL_{MEM} } refer to a Null Capability (CAP₀).



6.3 Hypervisor Information Page

The Hypervisor Information Page [ARM, x86] (HIP) conveys information about the platform and configuration to the Root Protection Domain (PD_{ROOT}) and has the following layout:



All HIP fields are unsigned values, unless stated otherwise, and have the following meaning:

Signature

The value 0x41564f4e identifies the NOVA microhypervisor.

Checksum

The checksum is valid if 16bit-wise addition of the entire HIP contents produces a value of 0.

Length

Length of the entire HIP in bytes.

NOVA Start/End Address

Physical start and end address of the NOVA microhypervisor image.

MBUF Start/End Address

Physical start and end address of the memory buffer console region (see C.1).

ROOT Start/End Address

Physical start and end address of the root protection domain image.

ACPI RSDP Address

UEFI Memory Map Address

UEFI Memory Map Size

Total size of the **UEFI** Memory Map (**0** if not present).

UEFI Desc Size

UEFI Memory Descriptor Size (0 if not present).

UEFI Desc Version

UEFI Memory Descriptor Version (**0** if not present).

STC Frequency

Frequency of the System Time Counter (STC) in Hz.

SEL_{NUM}

Total number of capability selectors in each object space.

SEL_{HST/ARCH}

Number of capability selectors required for handling architectual host events. (ARM, x86)

SELHST/NOVA

Number of additional capability selectors required for handling microhypervisor host events. (ARM, x86)

SEL_{GST/ARCH}

Number of capability selectors required for handling architectual guest events. (ARM, x86)

SEL_{GST/NOVA}

Number of additional capability selectors required for handling microhypervisor guest events. (ARM, x86)

CPU_{NUM}

Total number of CPUs that are online.

CPU_{BSP}

The bootstrap CPU on which ECROOT and SCROOT have been created.

INT_{NUM}

Total number of interrupts that can be used via interrupt semaphores.

Features

Supported platform features.

Architecture-Dependent

Architecture-dependent part. (ARM, x86)

Part IV Application Binary Interface

7 ABI aarch64

7.1 Boot State

7.1.1 NOVA Microhypervisor

The bootloader must set up the CPU register state according to one of the launch types listed below when it transfers control to the NOVA microhypervisor entry point. Furthermore, the following preconditions must be satisfied:

- The CPU must execute in EL2 (hypervisor mode) or in EL3 (monitor mode).
- Paging (MMU) must be disabled (SCTLR_ELx.M=0) or must use an identity (1:1) mapping.
- Interrupts must be disabled (PSTATE.DAIF=0b1111).
- The physical memory region occupied by the microhypervisor image must be clean to the PoC.
- All DMA activity targeting the physical memory region occupied by the microhypervisor must be quiesced. That physical memory region should also be protected against DMA accesses on systems with an SMMU.

7.1.1.1 Multiboot v2 Launch

Only this launch type supports 64-bit UEFI platforms.

Register	Value / Description
IP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
X0	Multiboot v2 magic value (0x36d76289) [8]
X1	Physical address of the Multiboot v2 information structure [8]
0ther	~

The NOVA microhypervisor consumes the following multiboot tags, if present: 1, 3, 12, 20.

7.1.1.2 Multiboot v1 Launch

Register	Value / Description		
IP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point		
X0	X0 Multiboot v1 magic value (0x2badb002) [7]		
X1	Physical address of the Multiboot v1 information structure [7]		
Other	~		

The NOVA microhypervisor consumes the following multiboot flags, if present: 2, 3.

7.1.1.3 Legacy Launch

Register	Value / Description
IP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
X0	Physical address of the Flattened Device Tree [6] (FDT) for the hardware platform [†]
X1	Physical address of the Root Protection Domain (PD _{ROOT}) ELF image
Other	~

[†]Due to its alignment constraint, a valid FDT address will never be equal to a Multiboot magic value.

7.1.2 Root Protection Domain

The NOVA microhypervisor sets up the CPU register state as follows when it transfers control to the Root Execution Context (EC_{ROOT}):

Register	Value / Description
IP	Virtual address of the Root Protection Domain (PD _{ROOT}) ELF image entry point
SP	Virtual address of the Hypervisor Information Page [ARM, x86] (HIP)
X0	X0 at boot time [†]
X1	X1 at boot time †
X2	X2 at boot time †
Other	~



[†]The register contains the preserved original value from the point when control was transferred from the bootloader to the microhypervisor.

7.2 Protected Resources

The following resources are protected by the NOVA microhypervisor and are therefore inaccessible to user-mode applications.

7.2.1 Memory Space

- Physical memory occupied by the NOVA microhypervisor (conveyed via HIP).
- Physical memory occupied by GICD, GICR, GICC, GICH devices (conveyed via ACPI MADT or via FDT).
- Physical memory occupied by SMMU devices (conveyed via ACPI IORT or via FDT).
- Physical memory occupied by firmware runtime services (conveyed via UEFI memory map).

7.3 Physical Memory

7.3.1 Memory Map

The Root Protection Domain (PD_{ROOT}) can obtain a list of available/reserved memory regions as follows:

- On platforms using Unified Extensible Firmware Interface [13], by parsing the UEFI memory map.
- On platforms using Flattened Device Tree [6], by parsing the FDT.

7.4 Virtual Memory

The accessible virtual memory range for user-mode applications is 0 - 0x7fffffffff.

7.4.1 Cacheability Attributes

Encoding	ATTR _{CA}	Description
0x0	DEV	Device
0x1	DEV_E	Device, Early Ack
0x2	DEV_RE	Device, Early Ack, Reordering
0x3	DEV_GRE	Device, Early Ack, Reordering, Gathering
0x4	-	reserved
0x5	MEM_NC	Memory, Inner/Outer Non-Cacheable
0x6	MEM_WT	Memory, Inner/Outer Write-Through
0x7	MEM_WB	Memory, Inner/Outer Write-Back

Please refer to [3] for details on the architectural behavior.

7.4.2 Shareability Attributes

Encoding	ATTR _{SH}	Description
0x0	NONE	Not Shareable
0x1	-	reserved
0x2	OUTER	Outer Shareable
0x3	INNER	Inner Shareable

Please refer to [3] for details on the architectural behavior.

7.5 Event-Specific Capability Selectors

For the delivery of exception/intercept messages, the microhypervisor performs an implicit portal traversal.

The selector for the destination portal (SEL_{OBJ}):

- is determined by adding the exception/intercept number to the affected Execution Context's Event Selector Base [ARM, x86] (SEL_{EVT}).
- indexes into the Object Space (SPC_{OBJ}) of the affected EC's Protection Domain (PD).
- must refer to a PT Object Capability (CAP_{OBJ_{PT}}) with permission EVENT that is bound to an EC on the same core as the affected EC, otherwise the affected EC is killed.

7.5.1 Architectural Events

Host Exceptions and Guest Intercepts

SEL _{OBJ}	Exception / Intercept	SEL _{OBJ}	Exception / Intercept
SEL _{EVT} + 0x00	Unknown Reason	SEL _{EVT} + 0x20	Instruction Abort (lower EL)
$SEL_{EVT} + 0x01$	Trapped WFI or WFE	$\frac{SEL_{EVT}}{} + 0x21$	Instruction Abort (same EL)*
$SEL_{EVT} + 0x02$	reserved	$\frac{SEL_{EVT}}{} + 0x22$	PC Alignment Fault
$SEL_{EVT} + 0x03$	Trapped MCR or MRC	$SEL_{EVT} + 0x23$	reserved
$SEL_{EVT} + 0x04$	Trapped MCRR or MRRC	$SEL_{EVT} + 0x24$	Data Abort (lower EL)
$SEL_{EVT} + 0x05$	Trapped MCR or MRC	$\frac{SEL_{EVT}}{} + 0x25$	Data Abort (same EL)*
$SEL_{EVT} + 0x06$	Trapped LDC or STC	$\frac{SEL_{EVT}}{} + 0x26$	SP Alignment Fault
$SEL_{EVT} + 0x07$	SME, SVE, SIMD, FPU	$SEL_{EVT} + 0x27$	Memory Operation Exception
$SEL_{EVT} + 0x08$	Trapped VMRS Access	$SEL_{EVT} + 0x28$	Trapped FPU (AArch32)
$SEL_{EVT} + 0x09$	Trapped PAuth Instruction	$\frac{SEL_{EVT}}{} + 0x29$	reserved
$SEL_{EVT} + 0x0a$	Trapped LD64B or ST64B	$SEL_{EVT} + 0x2a$	reserved
$SEL_{EVT} + 0x0b$	reserved	$SEL_{EVT} + 0x2b$	reserved
$SEL_{EVT} + 0x0c$	Trapped MRRC	$SEL_{EVT} + 0x2c$	Trapped FPU (AArch64)
$SEL_{EVT} + 0x0d$	Branch Target Exception	$SEL_{EVT} + 0x2d$	reserved
$SEL_{EVT} + 0x0e$	Illegal Execution State	$SEL_{EVT} + 0x2e$	reserved
$SEL_{EVT} + 0x0f$	reserved	$SEL_{EVT} + 0x2f$	SError
$SEL_{EVT} + 0x10$	reserved	$SEL_{EVT} + 0x30$	Breakpoint (lower EL)
$SEL_{EVT} + 0x11$	SVC (from AArch32 State)	$SEL_{EVT} + 0x31$	Breakpoint (same EL)*
$\frac{SEL_{EVT}}{} + 0x12$	HVC (from AArch32 State)	$SEL_{EVT} + 0x32$	Software Step (lower EL)
$SEL_{EVT} + 0x13$	SMC (from AArch32 State)	$SEL_{EVT} + 0x33$	Software Step (same EL)*
$\frac{SEL_{EVT}}{} + 0x14$	reserved	$SEL_{EVT} + 0x34$	Watchpoint (lower EL)
$SEL_{EVT} + 0x15$	SVC (from AArch64 State)*	$SEL_{EVT} + 0x35$	Watchpoint (same EL)*
$SEL_{EVT} + 0x16$	HVC (from AArch64 State)	$SEL_{EVT} + 0x36$	reserved
$\frac{SEL_{EVT}}{} + 0x17$	SMC (from AArch64 State)	$SEL_{EVT} + 0x37$	reserved
$SEL_{EVT} + 0x18$	Trapped MSR or MRS	$SEL_{EVT} + 0x38$	BKPT (AArch32)
$\frac{SEL_{EVT}}{} + 0x19$	Trapped SVE	$SEL_{EVT} + 0x39$	reserved
$SEL_{EVT} + 0x1a$	Trapped ERET	$SEL_{EVT} + 0x3a$	Vector Catch (AArch32)
$SEL_{EVT} + 0x1b$	TSTART Exception	$SEL_{EVT} + 0x3b$	reserved
$SEL_{EVT} + 0x1c$	PAuth Instruction Failure	$SEL_{EVT} + 0x3c$	BRK (AArch64)
$SEL_{EVT} + 0x1d$	Trapped SME	$SEL_{EVT} + 0x3d$	reserved
$SEL_{EVT} + 0x1e$	Granule Protection Exception	$SEL_{EVT} + 0x3e$	reserved
$SEL_{EVT} + 0x1f$	reserved	$SEL_{EVT} + 0x3f$	reserved

Please refer to [3] for more details on each of these events.

^{*}These events may be handled by the microhypervisor, in which case they will not cause portal traversals.

7.5.2 Microhypervisor Events

SEL _{OBJ}	Event
SEL _{EVT} + SEL _{ARCH} + 0x0	Startup
$SEL_{EVT} + SEL_{ARCH} + 0x1$	Recall
$SEL_{EVT} + SEL_{ARCH} + 0x2$	Virtual Timer

The value of $SEL_{\mbox{\scriptsize ARCH}}$ depends on the origin of the event:

- $SEL_{ARCH} = SEL_{HST/ARCH}$ (0x40) for events that occurred in the host.
- $SEL_{ARCH} = SEL_{GST/ARCH}$ (0x40) for events that occurred in the guest.



7.6 Architecture-Dependent Structures

7.6.1 Hypervisor Information Page

63	48 47	32 31	16 15		+Length
	~	(CTX _{NUM}	SMG_{NUM}	Arch+0x00
63	48 47	32 31	16 15		0

SMG_{NUM}

Number of SMMU stream mapping groups.

CTX_{NIIM}

Number of SMMU translation contexts.

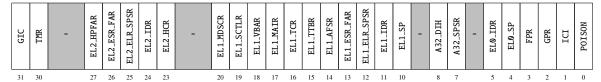


7.6.2 User Thread Control Block

-		VMCR	ELRSR	+0x2d0)
AP1R3	AP1R2	AP1R1	AP1R0	+0x2c0	
APOR3	APOR2	APOR1	AP0R0	+0x2b0	
LR15 LR13		LR	14	+0x2a0	
		LR12		+0x290	
LRI	11	LR	10	+0x280	GIC
LR	9	LI	R8	+0x270	
LR	.7	LR6		+0x260	
LR	.5	LI	R4	+0x250	
LR	.3	LI	R2	+0x240	
LR	.1	LI	20	+0x230)
CNTVOF	'F_EL2	CNTKC	ΓL_EL1	+0x230 +0x220)
CNTV_C7	 ΓL_EL0	CNTV_CV	/AL_ELO	+0x210	TMR
-		HPFA		+0x210 +0x200)
FAR_	EL2	ESR			
SPSR.		ELR		+0x1f0	EL2
VMPIDI		VPID		+0x1e0	
HCRX		HCR		+0x1d0	J
_		MDSC		+0x1c0)
SCTLR	 EI.1	VBAR		+0x1b0	
AMAIR				+0x1a0	
TCR		MAIR_EL1 TTBR1_EL1 AFSR1_EL1 FAR_EL1 SPSR_EL1 CONTEXTIDR_EL1		+0x190	
TTBRO				+0x180	EL1
AFSRO				+0x170	
ESR_				+0x160	
ELR				+0x150	
TPIDR		SP_		+0x140)
-	HSTR	IFSR	DACR	+0x130 ()
SPSR_und	SPSR_irq	SPSR_fiq	SPSR_abt	+0x120	A32
TPIDRR		TPID		+0x110)
SP_E		X		+0x100	
X2				+0x0f0	
X2		X28 X26		+0x0e0	
X2				+0x0d0	
X2		X24		+0x0c0	
		X22		+0x0b0	
	X21		X20		
	X19		X18		EL0
	X17		X16		ELU
X15		X14		+0x070	
X13		X12		+0x060	
X1		X		+0x050	
Х9		X		+0x040	
	Х7		Х6		1
X			X4		ı
XX	5			+0x020	
X	5 3	X X X	2	+0x020 +0x010	

7.6.3 Message Transfer Descriptor

The Message Transfer Descriptor [ARM, x86] (MTD), which controls the subset of the architectural state transferred during exceptions and intercepts, as described in Section 4.4.2, has the following layout:



Each MTD bit controls the transfer of the listed architectural state to/from the respective fields in the UTCB (7.6.2) as follows:

- State with access r can be read from the architectural state into the UTCB.
- State with access w can be written from the UTCB into the architectural state.

MTD Bit	Access	Host Exception State	Guest Intercept State
POISON	W	Kills the Thread	Kills the vCPU
ICI^{\dagger}	W	Invalidates the entire I-Cache	Invalidates the entire I-Cache
GPR	rw	X0 X30	X0 X30
EL0_SP	rw	SP_EL0	SP_EL0
EL0_IDR	rw	TPIDR_EL0, TPIDRRO_EL0	TPIDR_EL0, TPIDRRO_EL0
A32_SPSR	rw	-	SPSR_ABT, SPSR_FIQ, SPSR_IRQ, SPSR_UND
A32_DIH	rw	-	DACR, IFSR, HSTR
EL1_SP	rw	-	SP_EL1
EL1_IDR	rw	_	TPIDR_EL1, CONTEXTIDR_EL1
EL1_ELR_SPSR	rw	-	ELR_EL1, SPSR_EL1
EL1_ESR_FAR	rw	-	ESR_EL1, FAR_EL1
EL1_AFSR	rw	-	AFSR0_EL1, AFSR1_EL1
$EL1_{-}TTBR$	rw	- 4	TTBR0_EL1, TTBR1_EL1
EL1_TCR	rw	-	TCR_EL1
EL1_MAIR	rw	-	MAIR_EL1, AMAIR_EL1
EL1_VBAR	rw	-	VBAR_EL1
EL1_SCTLR	rw	-	SCTLR_EL1
EL1_MDSCR	rw	-	MDSCR_EL1
EL2_HCR	rw		HCR_EL2, HCRX_EL2
EL2_IDR	rw	-	VPIDR_EL2, VMPIDR_EL2
EL2_ELR_SPSR	rw	ELR_EL2, SPSR_EL2	ELR_EL2, SPSR_EL2
EL2_ESR_FAR	r	ESR_EL2, FAR_EL2	ESR_EL2, FAR_EL2
EL2_HPFAR	r	_	HPFAR_EL2
TMR	701.1		CNTV_CVAL_ELO, CNTV_CTL_ELO
ITIK	rw	_	CNTKCTL_EL1, CNTVOFF_EL2
GIC	rw		LRO LR15, APxRO APxR3
GIC	r	_	ELRSR, VMCR

[†]Only affects a VIPT instruction cache of the local core. Has no effect on PIPT instruction caches, data caches, or caches of other cores.

7.7 Calling Convention

The following pages describes the calling convention for each hypercall. An execution context calls into the microhypervisor by loading the hypercall identifier and other parameters into the specified processor registers and then executes the svc #0 instruction [3].

The hypercall identifier consists of the hypercall number and hypercall-specific flags, as illustrated in Figure 7.1.



Figure 7.1: Hypercall Identifier

The status code returned from a hypercall has the format shown in Figure 7.2.

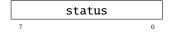


Figure 7.2: Status Code

The assignment of hypercall parameters to general-purpose registers is shown on the left side; the contents of the registers after the hypercall is shown on the right side.

IPC Call

$$\begin{array}{c|cccc} pt_{[63-8]} \ hypercall_{[7-0]} & \textbf{X0} & & & \textbf{ipc_call} \\ & mtd_{[31-0]} & \textbf{X1} & & & \textbf{X1} \\ & & & & & & \textbf{IP} & & \textbf{IP} & \textbf{IP+4} \end{array}$$

IPC Reply

Create Protection Domain

Create Execution Context

Create Scheduling Context

Create Portal

Create Semaphore

Control Protection Domain

Control Execution Context

Control Scheduling Context

Control Portal

Control Semaphore

Control Power Management

Assign Interrupt

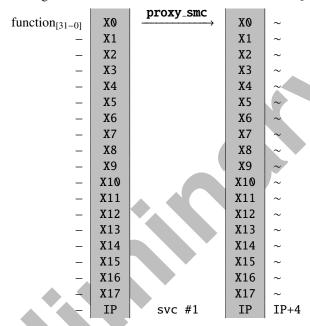
Assign Device

7.8 Supplementary Functionality

This section describes functions that do **not** conform to the calling convention for hypercalls. Because these functions cannot perform capability-based access control, their invocation is restricted to the Root Protection Domain (PD_{ROOT}). Invocation of these functions from any other Protection Domain generates an exception.

Secure Monitor Call

This call is proxy-filtered by the microhypervisor. If the function parameter indicates an **atomic SIP service call**, then the microhypervisor issues the corresponding SMC to the platform firmware on behalf of the caller. Otherwise this function generates an exception. Register allocation conforms to the ARM SMCCC [2].



8 ABI x86-64

8.1 Boot State

8.1.1 NOVA Microhypervisor

The bootloader must set up the CPU register state according to one of the launch types listed below when it transfers control to the NOVA microhypervisor entry point. Furthermore, the following preconditions must be satisfied:

- The CPU state must conform to a machine state defined in the Multiboot Specification v2 [8] or v1 [7].
- All DMA activity targeting the physical memory region occupied by the microhypervisor must be quiesced. That physical memory region should also be protected against DMA accesses on systems with an IOMMU.

8.1.1.1 Multiboot v2 Launch

Only this launch type supports 64-bit **UEFI** platforms.

Register	Value / Description
EIP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
EAX	Multiboot v2 magic value (0x36d76289) [8]
EBX	Physical address of the Multiboot v2 information structure [8]
0ther	~

The NOVA microhypervisor consumes the following multiboot tags, if present: 1, 3, 12, 20.

8.1.1.2 Multiboot v1 Launch

Register	,
EIP	Physical address of the NOVA Protection Domain (PD _{NOVA}) ELF image entry point
EAX	Multiboot v1 magic value (0x2badb002) [7]
EBX	Physical address of the Multiboot v1 information structure [7]
Other	~

The NOVA microhypervisor consumes the following multiboot flags, if present: 2, 3.

8.1.2 Root Protection Domain

The NOVA microhypervisor sets up the CPU register state as follows when it transfers control to the Root Execution Context (EC_{ROOT}):

Register	Value / Description
RIP	Virtual address of the Root Protection Domain (PD _{ROOT}) ELF image entry point
RSP	Virtual address of the Hypervisor Information Page [ARM, x86] (HIP)
RDI	EAX at boot time †
RSI	EBX at boot time †
0ther	~

[†]The register contains the preserved original value from the point when control was transferred from the bootloader to the microhypervisor.

8.2 Protected Resources

The following resources are protected by the NOVA microhypervisor and are therefore inaccessible to user-mode applications.

8.2.1 Memory Space

- Physical memory occupied by the NOVA microhypervisor (conveyed via HIP).
- Physical memory occupied by Local APIC and I/O APIC devices (conveyed via ACPI MADT).
- Physical memory occupied by IOMMU devices (conveyed via ACPI DMAR or IVRS).
- Physical memory occupied by firmware runtime services (conveyed via UEFI memory map).

8.2.2 I/O Port Space

- The ACPI fixed register PM1a_CNT (conveyed via ACPI FADT).
- The ACPI fixed register PM1b_CNT (conveyed via ACPI FADT).
- The ACPI fixed register PM2_CNT (conveyed via ACPI FADT).
- The SMI_CMD port (conveyed via ACPI FADT).

8.3 Physical Memory

8.3.1 Memory Map

The Root Protection Domain (PD_{ROOT}) can obtain a list of available/reserved memory regions as follows:

- On platforms using Multiboot v2 (UEFI boot services enabled), by parsing the UEFI memory map [13].
- On platforms using Multiboot v2, by parsing the Multiboot v2 memory map [8].
- On platforms using Multiboot v1, by parsing the Multiboot v1 memory map [7].

8.4 Virtual Memory

The accessible virtual memory range for user-mode applications is 0-0x7ffffffffff.

8.4.1 Cacheability Attributes

Encoding	ATTR _{CA}	Description
0x0	WB	Write Back
0x1	WT	Write Through
0x2	WC	Write Combining
0x3	UC	Strong Uncacheable
0x4	WP	Write Protected

Please refer to [1, 4] for details on the architectural behavior.

8.4.2 Shareability Attributes

Encoding	ATTR _{SH}	Description
0x0	UNUSED	Always use this value

8.5 Event-Specific Capability Selectors

For the delivery of exception/intercept messages, the microhypervisor performs an implicit portal traversal.

The selector for the destination portal (SEL_{OBJ}):

- is determined by adding the exception/intercept number to the affected Execution Context's Event Selector Base [ARM, x86] (SEL_{EVT}).
- indexes into the Object Space (SPC_{OBJ}) of the affected EC's Protection Domain (PD).
- must refer to a PT Object Capability (CAP_{OBJ_{PT}}) with permission EVENT that is bound to an EC on the same core as the affected EC, otherwise the affected EC is killed.

8.5.1 Architectural Events

Host Exceptions

SEL _{OBJ}	Exception	SEL _{OBJ}	Exception				
$\overline{SEL_{EVT} + 0x00}$	#DE	SEL _{EVT} + 0x10	#MF				
$SEL_{EVT} + 0x01$	#DB	$SEL_{EVT} + 0x11$	#AC				
$\frac{SEL_{EVT}}{} + 0x02$	reserved	$\frac{SEL_{EVT}}{} + 0x12$	#MC*				
$SEL_{EVT} + 0x03$	#BP	$SEL_{EVT} + 0x13$	#XM				
$SEL_{EVT} + 0x04$	#OF	$SEL_{EVT} + 0x14$	#VE				
$\frac{SEL_{EVT}}{} + 0x05$	#BR	$\frac{SEL_{EVT}}{} + 0x15$	#CP				
$SEL_{EVT} + 0x06$	#UD	$\frac{SEL_{EVT}}{} + 0x16$	reserved				
$\frac{SEL_{EVT}}{} + 0x07$	#NM*	$SEL_{EVT} + 0x17$	reserved				
$SEL_{EVT} + 0x08$	#DF*	$\frac{SEL_{EVT}}{} + 0x18$	reserved				
$SEL_{EVT} + 0x09$	reserved	$\frac{SEL_{EVT}}{} + 0x19$	reserved				
$SEL_{EVT} + 0x0a$	#TS*	SEL _{EVT} + 0x1a	reserved				
$SEL_{EVT} + 0x0b$	#NP	$SEL_{EVT} + 0x1b$	reserved				
$SEL_{EVT} + 0x0c$	#SS	$SEL_{EVT} + 0x1c$	reserved				
$SEL_{EVT} + 0x0d$	#GP	SEL _{EVT} + 0x1d	reserved				
$SEL_{EVT} + 0x0e$	#PF	$SEL_{EVT} + 0x1e$	reserved				
$SEL_{EVT} + 0x0f$	reserved	$SEL_{EVT} + 0x1f$	reserved				

^{*}These events may be handled by the microhypervisor, in which case they will not cause portal traversals.

[†]These events may be force-enabled by the microhypervisor, in which case they will cause portal traversals.

Guest Intercepts (VMX)

SEL _{OBJ}	Intercept	SEL _{OBJ}	Intercept
$SEL_{EVT} + 0x00$	Exception or NMI*	SEL _{EVT} + 0x28	PAUSE
$SEL_{EVT} + 0x01$	External Interrupt*	$\frac{SEL_{EVT}}{} + 0x29$	VM Entry Failure (MCE)
$SEL_{EVT} + 0x02$	Triple Fault [†]	$SEL_{EVT} + 0x2a$	reserved
$SEL_{EVT} + 0x03$	INIT [†]	$SEL_{EVT} + 0x2b$	TPR Below Threshold
$SEL_{EVT} + 0x04$	SIPI [†]	$SEL_{EVT} + 0x2c$	APIC Access
$SEL_{EVT} + 0x05$	I/O SMI	$SEL_{EVT} + 0x2d$	Virtualized EOI
$SEL_{EVT} + 0x06$	Other SMI	$SEL_{EVT} + 0x2e$	GDTR/IDTR Access
$SEL_{EVT} + 0x07$	Interrupt Window	$SEL_{EVT} + 0x2f$	LDTR/TR Access
$SEL_{EVT} + 0x08$	NMI Window	$SEL_{EVT} + 0x30$	EPT Violation [†]
$SEL_{EVT} + 0x09$	Task Switch [†]	$SEL_{EVT} + 0x31$	EPT Misconfiguration
$SEL_{EVT} + 0x0a$	CPUID [†]	$SEL_{EVT} + 0x32$	INVEPT
$SEL_{EVT} + 0x0b$	GETSEC [†]	$SEL_{EVT} + 0x33$	RDTSCP
$SEL_{EVT} + 0x0c$	HLT [†]	$SEL_{EVT} + 0x34$	Preemption Timer
$SEL_{EVT} + 0x0d$	INVD [†]	$SEL_{EVT} + 0x35$	INVVPID
$SEL_{EVT} + 0x0e$	INVLPG*	$SEL_{EVT} + 0x36$	WBINVD, WBNOINVD
$SEL_{EVT} + 0x0f$	RDPMC	$SEL_{EVT} + 0x37$	XSETBV
$SEL_{EVT} + 0x10$	RDTSC	$SEL_{EVT} + 0x38$	APIC Write
$SEL_{EVT} + 0x11$	RSM	$\frac{SEL_{EVT}}{} + 0x39$	RDRAND
$SEL_{EVT} + 0x12$	VMCALL	$SEL_{EVT} + 0x3a$	INVPCID
$SEL_{EVT} + 0x13$	VMCLEAR	$SEL_{EVT} + 0x3b$	VMFUNC
$SEL_{EVT} + 0x14$	VMLAUNCH	$SEL_{EVT} + 0x3c$	ENCLS
$SEL_{EVT} + 0x15$	VMPTRLD	$SEL_{EVT} + 0x3d$	RDSEED
$SEL_{EVT} + 0x16$	VMPTRST	$SEL_{EVT} + 0x3e$	PML Log Full
$\frac{SEL_{EVT}}{} + 0x17$	VMREAD	$SEL_{EVT} + 0x3f$	XSAVES
$\frac{SEL_{EVT}}{} + 0x18$	VMRESUME	$SEL_{EVT} + 0x40$	XRSTORS
$\frac{SEL_{EVT}}{} + 0x19$	VMWRITE	$SEL_{EVT} + 0x41$	reserved
$SEL_{EVT} + 0x1a$	VMXOFF	$\frac{SEL_{EVT}}{} + 0x42$	SPP Miss / Misconfiguration
$SEL_{EVT} + 0x1b$	VMXON	$SEL_{EVT} + 0x43$	UMWAIT
$SEL_{EVT} + 0x1c$	CR Access*	SEL _{EVT} + 0x44	TPAUSE
$SEL_{EVT} + 0x1d$	DR Access	$SEL_{EVT} + 0x45$	LOADIWKEY
$SEL_{EVT} + 0x1e$	I/O Access [†]	$SEL_{EVT} + 0x46$	reserved
$SEL_{EVT} + 0x1f$	RDMSR [†]	$SEL_{EVT} + 0x47$	reserved
$SEL_{EVT} + 0x20$	WRMSR [†]	$SEL_{EVT} + 0x48$	ENQCMD PASID Failure
$SEL_{EVT} + 0x21$	VM Entry Failure (State) [†]	$SEL_{EVT} + 0x49$	ENQCMDS PASID Failure
$SEL_{EVT} + 0x22$	VM Entry Failure (MSR)	$SEL_{EVT} + 0x4a$	Bus Lock
$\frac{SEL_{EVT}}{} + 0x23$	reserved	$SEL_{EVT} + 0x4b$	Notify Window
$\frac{SEL_{EVT}}{} + 0x24$	MWAIT	$SEL_{EVT} + 0x4c$	SEAMCALL
$SEL_{EVT} + 0x25$	MTF	$SEL_{EVT} + 0x4d$	TDCALL
$SEL_{EVT} + 0x26$	reserved	$SEL_{EVT} + 0x4e$	reserved
$SEL_{EVT} + 0x27$	MONITOR	SEL _{EVT} + 0x4f	reserved

Please refer to [4] for more details on each of these events.

8.5.2 Microhypervisor Events

SEL _{OB} J	Event
SEL _{EVT} + SEL _{ARCH} + 0x0	Startup
$SEL_{EVT} + SEL_{ARCH} + 0x1$	Recall

The value of SEL_{ARCH} depends on the origin of the event:

- $SEL_{ARCH} = SEL_{HST/ARCH}$ (0x20) for events that occurred in the host.
- SEL_{ARCH} = SEL_{GST/ARCH} (0x100) for events that occurred in the guest.

8.6 Architecture-Dependent Structures

8.6.1 Hypervisor Information Page

The architecture-dependent HIP structure is empty.

8.6.2 User Thread Control Block

	-	IA32_KERN	EL_GS_BASE			
IA32	FMASK	IA32_LSTAR				
IA32	_STAR	IA32_EFER				
IA32	PAT	IA32_SYSENTER_EIP				
IA32_SYS	ENTER_ESP	IA32_SYS	ENTER_CS	4		
DI	R7	CI	R8			
CI	R4	CR3				
CI	R2	CI	30			
PDP	TE3	PDP	TE2			
PDP	TE1	PDP	TE0			
Base	IDTR	Limit IDTR		-		
Base	GDTR	Limit GDTR		_		
Base	LDTR	Limit LDTR	AR LDTR*	SEL LDTR		
Base	e TR	Limit TR	AR TR*	SEL TR		
Base	e GS	Limit GS	AR GS*	SEL GS		
Base	e FS	Limit FS	AR FS*	SEL FS		
Base	e ES	Limit ES	AR ES*	SEL ES		
Base	e DS	Limit DS	AR DS*	SEL DS		
Base	e SS	Limit SS	AR SS*	SEL SS		
Base	e CS	Limit CS	AR CS*	SEL CS		
DT Vectoring Error	IDT Vectoring Info	Interruption Error	Interruption Info [†]			
TPR Threshold	Exception Bitmap	PF Error Match	or Match PF Error Mask			
	Controls	2nd Exec Controls 1st Exec Controls				
2nd Exit Qu	alification	1st Exit Qu	alificatio	on		
Activity	Interruptibility	Instruction Info Instruction Length				
RIP		RFLAGS				
R15	V	R14				
R13		R12				
R11)	R10				
R9		R8				
	(RDI)	R6	(RSI)			
	(RBP)	R4 (RSP)				
	(RBX)		(RDX)			
R1	(RCX)	R0	(RAX)			

^{*}See Section 8.6.2.1 for encoding details. † See Section 8.6.2.2 for encoding details.

8.6.2.1 Encoding: Segment Access Rights

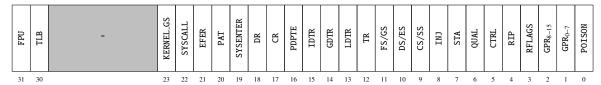
~	U	G	D/B	L	AVL	P	DF	PL	S		Type	
	12	11	10	9	8	7	6	5	4	3		0
Field	Field				Description							
U			0 = S	egmei	nt Usal	ole			_			
U			1 = Segment Unusable									
G	Granularity											
D/B			0 = 16-bit segment				_					
<i>D</i> / В			1 = 32-bit segment									
L	L				64-bit mode active (CS only)							
AVL	AVL			Available for use by system software								
P	Segment Present											
DPL	PL D					Descriptor Privilege Level					4	
S			0 = S	ystem	l				_			
ა			1 = 0	Code o	r Data							
Type			Segment Type									

8.6.2.2 Encoding: Interruption Information

V	Vector N I E Type Vector					
31	13 12 11 10 8 7 0					
Field	Description					
V	0 = Fields E, Type, Vector are invalid					
V	1 = Fields E, Type, Vector are valid					
N	0 = Do not request an NMI window					
N	1 = Request an NMI window					
т	0 = Do not request an interrupt window					
1	1 = Request an interrupt window					
E	0 = Do not deliver the error code from the UTCB Interruption Error field					
E	1 = Deliver the error code from the UTCB Interruption Error field					
	0 = External Interrupt					
	2 = Non-Maskable Interrupt					
Type	3 = Hardware Exception					
Type	4 = Software Interrupt					
	5 = Privileged Software Exception					
	6 = Software Exception					
	7 = Other Event (not delivered through IDT)					
Vector	IDT Vector of Interrupt or Exception					

8.6.3 Message Transfer Descriptor

The Message Transfer Descriptor [ARM, x86] (MTD), which controls the subset of the architectural state transferred during exceptions and intercepts, as described in Section 4.4.2, has the following layout:



Each MTD bit controls the transfer of the listed architectural state to/from the respective fields in the UTCB (8.6.2) as follows:

- State with access r can be read from the architectural state into the UTCB.
- State with access w can be written from the UTCB into the architectural state.

MTD Bit	Access	Host Exception State	Guest Intercept State
POISON	W	Kills the Thread	Kills the vCPU
GPR ₀₋₇	rw	R0 R7	R0 R7
GPR_{8-15}	rw	R8 R15	R8 R15
RFLAGS	rw	RFLAGS*	RFLAGS
RIP	rw	RIP	RIP, Instruction Length, Instruction Info
CTRL	W	-	Execution Controls, Exception Bitmap, PF Error
QUAL	r	Exit Qualifications [†]	Exit Qualifications
STA	rw	_	Interruptibility State, Activity State
INJ	rw		Interruption Info, Interruption Error
INJ	r	_	IDT Vectoring Info, IDT Vectoring Error
CS/SS	rw	-	CS, SS (Selector, Base, Limit, AR)
DS/ES	rw	-	DS, ES (Selector, Base, Limit, AR)
FS/GS	rw	-	FS, GS (Selector, Base, Limit, AR)
TR	rw	-	TR (Selector, Base, Limit, AR)
LDTR	rw	-	LDTR (Selector, Base, Limit, AR)
GDTR	rw	-	GDTR (Base, Limit)
IDTR	rw	-	IDTR (Base, Limit)
PDPTE	rw	-	PDPTE0 PDPTE3
CR	rw		CRO, CR2, CR3, CR4, CR8
DR	rw		DR7
SYSENTER	rw	-	IA32_SYSENTER_{CS,ESP,EIP}
PAT	rw) -	IA32_PAT
EFER	rw	–	IA32_EFER
SYSCALL	rw	_	IA32_{STAR,LSTAR,FMASK}
KERNEL_GS	rw	-	IA32_KERNEL_GS_BASE
TLB	W	_	Invalidates the TLB for the vCPU

^{*}Only the arithmetic flags are writable.

[†]The 1st exit qualification contains the exception error code. The 2nd exit qualification contains the fault address.

8.7 Calling Convention

The following pages describes the calling convention for each hypercall. An execution context calls into the microhypervisor by loading the hypercall identifier and other parameters into the specified processor registers and then executes the syscall instruction [1, 4].

The hypercall identifier consists of the hypercall number and hypercall-specific flags, as illustrated in Figure 8.1.

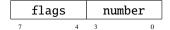


Figure 8.1: Hypercall Identifier

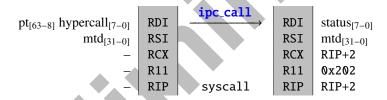
The status code returned from a hypercall has the format shown in Figure 8.2.



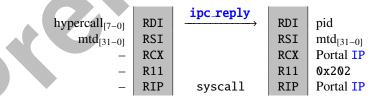
Figure 8.2: Status Code

The assignment of hypercall parameters to general-purpose registers is shown on the left side; the contents of the registers after the hypercall is shown on the right side.

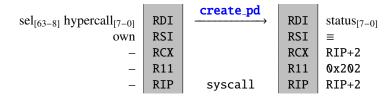
IPC Call



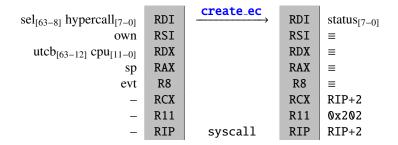
IPC Reply



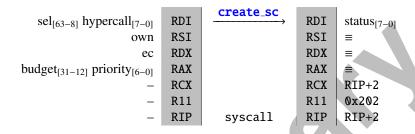
Create Protection Domain



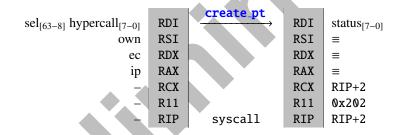
Create Execution Context



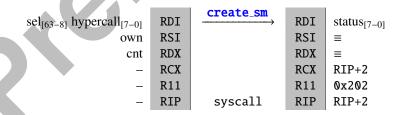
Create Scheduling Context



Create Portal



Create Semaphore



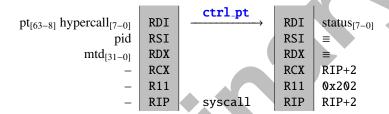
Control Protection Domain

Control Execution Context

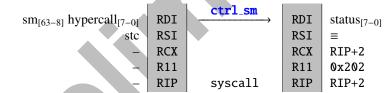
Control Scheduling Context

sc _[63-8] hypercall _[7-0]	RDI		RDI	status _[7-0]
_	RSI		RSI	stc
_	RCX		RCX	RIP+2
_	R11		R11	0x202
_	RIP	syscall	RIP	RIP+2

Control Portal



Control Semaphore



Control Power Management

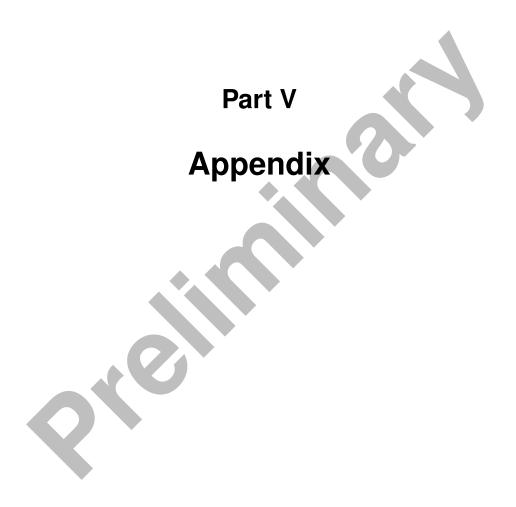
Assign Interrupt

sm _[63-8] hypercall _[7-0] cpu	RDI RSI	assign_int →	RDI RSI	status _[7-0] msi_addr _[31-0]
$bdf_{[15-0]}$	RDX		RDX	msi_data _[15-0]
_	RCX		RCX	RIP+2
_	R11		R11	0x202
_	RIP	syscall	RIP	RIP+2

Assign Device

pd _[63-8] hypercall _[7-0] smmu _[63-12] acc _[1-0]	RDI RSI RDX	assign_dev →	RDI RSI RDX	status _[7-0] ≡ ≡
bdf _[15-0] –	RCX		RCX	= RIP+2
- -	R11 RIP	syscall	R11 RIP	0x202 RIP+2





A Acronyms

ACPI Advanced Configuration and Power Interface [12]

ATTR_{CA} Cacheability Attribute [ARM, x86]
ATTR_{SH} Shareability Attribute [ARM, x86]

BDF PCI Bus:Device:Function

CAP Capability

CAP₀ **Null Capability** CAP_{MEM} Memory Capability **MSR** Capability CAP_{MSR} **CAP**_{OBJ} **Object Capability** $CAP_{OBJ_{PD}}$ PD Object Capability $CAP_{OBJ_{EC}}$ **EC** Object Capability $CAP_{OBJ_{SC}}$ SC Object Capability PT Object Capability $CAP_{OBJ_{PT}}$ **SM** Object Capability $CAP_{OBJ_{SM}}$ I/O Port Capability CAP_{PIO} CPU Central Processing Unit

EC Execution Context

EC_CURRENT Current Execution Context

EC_{ROOT} Root Execution Context

ELF Executable and Linkable Format [11]

FDT Flattened Device Tree [6]
FPU Floating Point Unit

HIP Hypervisor Information Page [ARM, x86]

Direct Memory Access

IP Instruction Pointer

IPC Inter-Process Communication
MSI Message Signaled Interrupt [9]

MSR Model-Specific Register

MTD Message Transfer Descriptor [ARM, x86]
PCI Peripheral Component Interconnect [9]

PD Protection Domain

PD_{CURRENT} Current Protection Domain
PD_{NOVA} NOVA Protection Domain
PD_{ROOT} Root Protection Domain

PID Portal Identifier

PT Portal

SC Scheduling Context

DMA

 SCCURRENT
 Current Scheduling Context

 SCROOT
 Root Scheduling Context

 SEL
 Capability Selector

SEL_{EVT} Event Selector Base [ARM, x86]
SEL_{MEM} Memory Capability Selector
SEL_{MSR} MSR Capability Selector
SEL_{OBJ} Object Capability Selector
SEL_{PIO} I/O Port Capability Selector

SID Stream Identifier
SM Semaphore

SMMU System Memory Management Unit

SP Stack Pointer

SPC_{MEM} Memory Space

SPC_{MSR} MSR Space

SPC_{OBJ} Object Space

SPC_{PIO} I/O Port Space

STC System Time Counter

 $\begin{array}{ll} \textbf{TYPE}_{SPC} & Space \ Type \\ \textbf{TYPE}_{ACC} & Access \ Type \end{array}$

UEFI Unified Extensible Firmware Interface [13]
UTCB User Thread Control Block [ARM, x86]

VMM Virtual-Machine Monitor

ipc_callipc_replyHypercall [ARM, x86]: IPC Callipc_replyHypercall [ARM, x86]: IPC Reply

create_pd Hypercall [ARM, x86]: Create Protection Domain
create_ec Hypercall [ARM, x86]: Create Execution Context
create_sc Hypercall [ARM, x86]: Create Scheduling Context

create_pt Hypercall [ARM, x86]: Create Portal

create_sm Hypercall [ARM, x86]: Create Semaphore

ctrl_pdHypercall [ARM, x86]: Control Protection Domainctrl_ecHypercall [ARM, x86]: Control Execution Contextctrl_scHypercall [ARM, x86]: Control Scheduling Context

ctrl_pt Hypercall [ARM, x86]: Control Portal

ctrl_sm Hypercall [ARM, x86]: Control Semaphore

ctrl_pm Hypercall [ARM, x86]: Control Power Management

assign_int Hypercall [ARM, x86]: Assign Interrupt assign_dev Hypercall [ARM, x86]: Assign Device

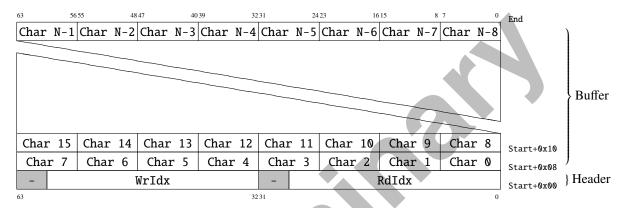
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C Console

C.1 Memory-Buffer Console

The NOVA microhypervisor implements a memory-buffer console that provides run-time debug output. The memory-buffer console consists of a signaling semaphore (see 6.1.2) and an in-memory data structure with a header and a buffer as follows:



The start address and end address of the memory-buffer console are conveyed in the HIP.

The buffer size (N characters) can be computed as:

The fields of the header are used as follows:

- RdIdx ranges from 0 ... N-1.
 It points to the next character in the buffer that the console consumer will read and is typically advanced by the console consumer.
- WrIdx ranges from 0 ... N-1.
 It points to the next character in the buffer that the NOVA microhypervisor will write and is only advanced by the NOVA microhypervisor.
- The buffer is empty if RdIdx is equal to WrIdx.
- Otherwise Wrldx is ahead of Rdldx, wrapping around the buffer size N accordingly, i.e. character N+x will be stored in the same buffer slot as character x.
- If the buffer becomes full, the NOVA microhypervisor advances RdIdx, forcing the oldest character to be discarded from the buffer.
- At the end of each line, the NOVA microhypervisor invokes ctrl_sm (Up) on the signaling semaphore. The console consumer should use ctrl_sm (Down) on the signaling semaphore instead of polling WrIdx.

C.2 UART Console

Additionally several different UART consoles can be used to provide boot-time-only debug output of the microhypervisor. UART consoles must be configured for 115200 baud and 8N1 mode.

D Download

The source code of the NOVA microhypervisor and the latest version of this document can be downloaded from GitHub.

https://github.com/udosteinberg/NOVA

