# 1 | CHROMATIN DOMAIN BOUNDARIES

#### 1.1 INTRODUCTION

Multiple studies have defined chromatin domains of different types, for example: chromosome compartments; [1] topological associating domains (TADs); [2] contact and loop domains; [3] physical domains; [4,5] and others. [6] The existence of these domains necessitates "boundary regions" either between consecutive domains or bookending more sparsely-positioned domains, however the functional relevance of said boundary regions is still open to debate.

In their study of topological domains, Dixon *et al.* identified average enrichments over TAD boundary regions in both human and mouse for various features including CTCF and PolII. Boundaries were also enriched for signs of active transcription, such as with the histone modification H<sub>3</sub>k<sub>3</sub>6me<sub>3</sub>. These results, coupled with an observable enrichment for promoters at domain boundaries, have lead to the theory that boundaries may act as an additional layer of transcriptional control, however an alternative theory could be that looping between enhancer elements and promoters results in an observable boundary through C-method experiments. Another non-exclusive explanation is that if chromatin domains represent co-regulatory regions as is widely thought, boundaries themselves could be mere side-effects and as such of limited biological interest.

An obvious experiment to resolve these opposing theories would be to delete a predicted boundary region and test for local changes in both contacts and expression. Such an experiment was performed on a region of the human X-chromosome containing the genes encoding the dosage-compensation long non-coding RNAs Xist and Tsix, which are separated by a TAD boundary. <sup>[10]</sup> This study found that while histone modifications within the body of a TAD could be removed without affecting the structure, deletion of a boundary did have an effect and lead to increased intradomain contacts. <sup>[10]</sup> Surpsingingly however, this effect was not total and some observable barrier remained, lending evidence that TADs may be centrally constrained, rather than by their borders. <sup>[10]</sup>

A second experiment used CRISPR genome editing to link TAD boundary changes with limb development disorders, <sup>[11]</sup> indicating that boundary changes could provide an underlying explanation for pathogenic non-coding structural variants. <sup>[12]</sup> Similarly, domain boundaries on X-chromosomes were found to be weakened following the disruption of condensation binding sites. <sup>[13]</sup> Together these studies suggest a complex

scenario whereby TAD boundaries are an important structural feature, yet do not fully explain domain partitioning.

Computational analysis of boundaries has emerged during the time this work was completed. Border "strength", here defined by the ratio of total intra:inter-domain contacts, was found to correlate with increased occupancy of a combination of bound architectural proteins.<sup>[14]</sup>

Many questions remain about chromatin boundaries. For example, are the observed enrichments persistent across cell types and how do they compare across organisation strata, such as compartments and TADs? Through computational analysis of the set of boundaries re-called from published datasets, we can investigate these questions and probe boundary enrichments across a broad array of locus-level chromatin features.

#### 1.2 TAD AND COMPARTMENT BOUNDARIES

The mammalian genome is organized into TADs, predominantly self-interacting chromatin domains, with boundary regions reportedly associated with pronounced peaks and troughs of particular features within 500 kb of the predicted boundary. <sup>[2]</sup> Exploration of this phenomenon using a set of 24 mouse ESC chromatin features (and a smaller number of human ESC features) reportedly revealed enrichment peaks of CTCF, H3K4me3 and H3K36me3, as well as a pronounced dip in H3K9me3, suggesting that high levels of transcription may contribute to boundary formation. <sup>[2]</sup> However, it was unclear whether other features show unusual patterns in TAD boundary regions, and whether the constellation of features involved changes between cell types. The features associated with boundaries separating A and B compartments calculated from Hi-C eigenvectors have not been studied to our knowledge. The datasets assembled here, consisting of 35 matched chromatin features across three cell types, allow us to conduct the first comparative study of the constituents of human TAD and compartment boundary regions.

We derived TAD boundaries according to established methods (see Methods XX) for all three cell types under study. We then sought evidence for significantly enriched or depleted features at TAD boundary regions using a conservative approach (a nonparametric statistical test and Bonferroni multiple testing correction, see Methods XX).

Our findings confirmed the previously reported peaks (CTCF and POL2) and dip (H<sub>3</sub>K9me<sub>3</sub>) in ESC data, but also revealed substantial heterogeneity between cell types. CTCF binding was found enriched at TAD boundaries across all cell types, but other features, including H<sub>3</sub>K<sub>3</sub>6me<sub>3</sub> and H<sub>3</sub>K<sub>4</sub>me<sub>3</sub>, show dramatic peaks of enrichment in H<sub>1</sub> hESC cells that are not seen consistently in other cell types (Figure 6, Additional file 1: Figure S<sub>12</sub>). Although the dip in H<sub>3</sub>K9me<sub>3</sub> at TAD boundaries is seen in all



Figure 1: TAD boundary enrichments and depletions. 36 features were averaged over 1 Mb windows centred on TAD boundaries genome-wide ( $25 \times 40$  kb bins). Ribbons represent 95% confidence intervals of the mean at each position.

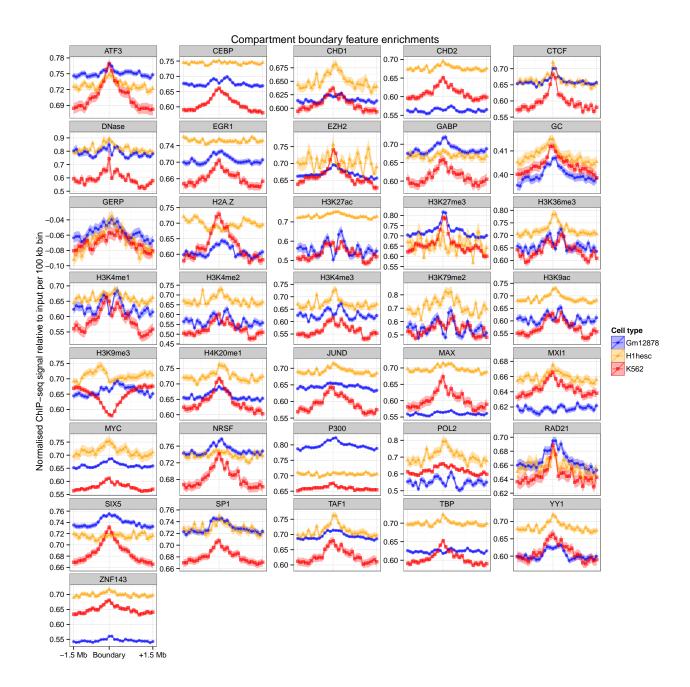


Figure 2: Compartment boundary enrichments and depletions. 36 features were averaged over 3 Mb windows centred on compartment boundaries genome-wide ( $30 \times 100$  kb bins). Ribbons represent 95% confidence intervals of the mean at each position.

cell types, the extent of the depletion varies and is weakest in H<sub>1</sub> hESC cells. Many other features show significant, though often modest, enrichments in a particular cell type. However, overall the complexity of TAD boundaries (measured as the number of strongly enriched features) is notably higher in H<sub>1</sub> hESC than in the other two, more differentiated, cell types (Figure 6), involving large increases in the binding of sequence specific factors such as SP<sub>1</sub> and JUND.

Across all three cell types several features demonstrate consistent and statistically significant patterns at TAD boundaries (Figure 6, Figure S12), including peaks associated with active transcription of genes (POL2, H3K9ac) and dips in H3K9me3, as previously reported. [2] However other novel feature peaks of interest emerge across cell types, such as peaks of H4K20me1, a modification previously implicated in chromatin compaction. [15] We also observe consistent increases in GC content at TAD boundaries, at a scale that is difficult to reconcile with the presence of smaller-scale features such as repeat elements or CpG islands (Additional file 1: Figure S12).

Where neighbouring genomic regions occupy contrasting A and B nuclear compartments, the disparity implies the presence of a boundary region. Putative compartment boundaries were identified by using an HMM to infer the state sequence of A/B compartments across the genome based on observed principal component eigenvectors. Analogously to the TAD boundary analysis we then sought significant enrichments or depletions in 36 chromatin features over these compartment boundaries (Figure 6, Figure S13). Compartment boundaries display similar spectra of enrichments to previously studied TAD boundaries [2] but at lower resolution, reflecting the different scales of these levels of organization (Figure 6B, Figure S13). Peaks associated with active promoters (POL2, TAF1, H3K9ac) are again evident. Parallel enrichments of CTCF, YY1 and H4K20me1 are also seen at compartment boundaries, as they were for TAD boundaries, in each cell type under study. In addition, compartment boundaries show enrichments of H3K79me2, which is known to play critical roles in cellular reprogramming. [16] Remarkably, H3K79me2 has also recently been shown to mark the borders of small (hundreds of bp) regions of open chromatin. [17] Thus there may be similarities in chromatin compaction boundaries at very different scales.

Certain features show intriguing contrasts between cell types the histone variant H2A.Z lacks any trace of enrichment at H1 hESC compartment boundaries, but is significantly enriched in the other two cell types (Figure 6A), consistent with reports describing H2A.Z relocation during cellular differentiation. [18] Compartment boundaries also show enrichment for the cohesin complex subunit RAD21 in the two hematopoietic cell types , and cohesin is another factor implicated in modulating nuclear architecture in partnership with CTCF. [19] Various other enrichments with very modest effect sizes are also evident at compartment boundaries (Figure 6B, Figure S13). In contrast to TAD boundaries, the composition of compartment boundaries appears least complex in H1 hESC, relative to the other two cell types. Overall compartment and TAD boundaries are associated with overlapping spectra of chromatin features

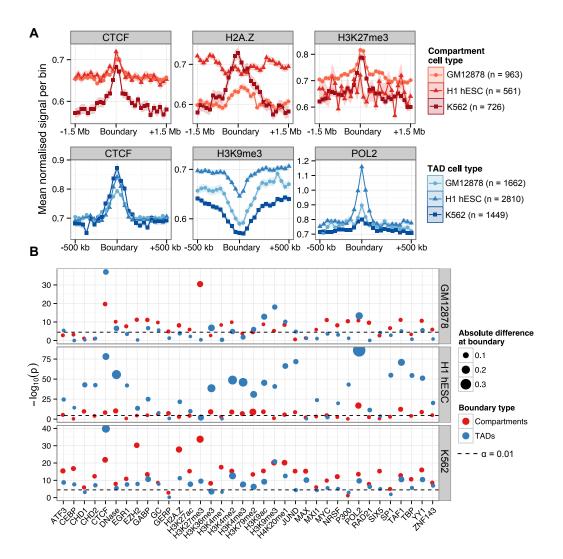


Figure 3: Compartment and TAD boundary enrichment summary in three human cell types. (A) Selected profiles for locus-level features are shown for TAD boundaries (CTCF, H<sub>3</sub>K9me<sub>3</sub> and POL<sub>2</sub>) and compartment boundaries (H<sub>2</sub>A.Z, H<sub>3</sub>K4me<sub>2</sub> and YY<sub>1</sub>), as a mean normalized ChIP-seq signal relative to input chromatin per bin ( $\pm 1$  standard error). TAD boundaries were examined over 40 kb bins over the 1 Mb flanking each boundary; compartment boundaries were examined over 100-kb bins over 3 Mb. (B) The significance of enrichment or depletion ( $-\log_{10}(p)$  two-tailed Mann–Whitney test) of a feature was calculated as the boundary bin relative to the ten most peripheral bins (five either side). Points are scaled by the absolute mean difference in signal over the boundary relative to the mean of peripheral bins. ChIP-seq, chromatin immunoprecipitation sequencing; TAD, topological domain.

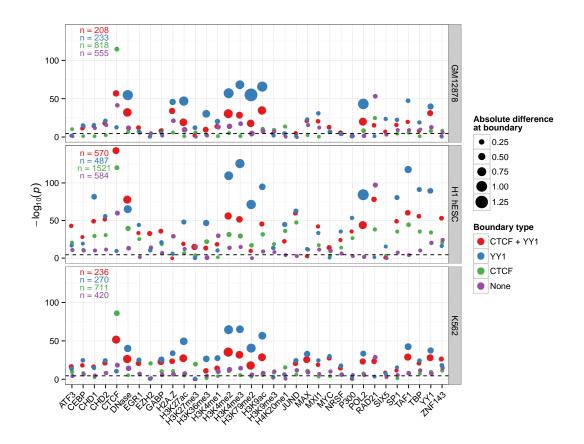


Figure 4: Distinct enrichments of CTCF and YY1 boundaries. TAD boundary feature enrichments are shown (as in Fig. 3) for boundaries split into classes based on specific enrichments: CTCF and YY1 groups are those boundaries with at least one ENCODE region peak<sup>[20]</sup> for their respective features, while CTCF + YY1 is the group of boundaries which had one or more overlapping peaks for these two factors. Boundaries in the none group has neither a CTCF or YY1 region peak called (but can still be enriched for their respective features in terms of raw signal).

across cell types. These involve DNA binding proteins implicated in chromosome architecture (CTCF, YY1, RAD21), but also implicate the initiation and repression of transcription as critical to boundary formation. However these two boundary classes occur at different scales, with patterns of informative features typically spanning regions up to 500 Kb for TAD boundaries, and patterns associated with compartment boundaries often spanning more than 1 Mb.

#### 1.2.1 CTCF and YY1

Significant peaks in YY1 are evident in all cell types, which is intriguing given the evidence that YY1 and CTCF cooperate to affect long distance interactions. <sup>[21]</sup> Cobinding of CTCF with YY1 has also been shown to identify a subset of highly conserved CTCF sites. <sup>[22]</sup> Co-binding of CTCF and YY1 may also therefore be a contributing

factor in the establishment of TAD boundaries, which appear to be broadly conserved across mammals. [2] To test this, we split our sets of TAD boundaries into those possessing ChiP-seq peaks (region peaks called by ENCODE [20]) for CTCF, YY1, both CTCF and YY1 (overlapping peaks) and neither. We then tested each boundary subset for genome-wide enrichments of the other features in our dataset (Figure S14). Unexpectedly, we found that boundaries marked by YY1 (without overlapping CTCF peaks) were generally most strongly-enriched for other features in our dataset. We also found that boundaries lacking both CTCF and YY1 peaks showed instead the strongest enrichments for RAD21 in each cell type (Figure S14), reinforcing previous findings that describe the distinct influences of CTCF and cohesin in organizing chromatin structure. [19,23,24]

### 1.2.2 Repeats

Dixon *et al.*'s study of TAD boundaries identified short interspersed element (SINE) repeats as being enriched over domain boundaries and suggested roles for these repeats in altering genome organisation, in line with prior evidence.<sup>[2,25]</sup> Interestingly, SINE elements are thought to be responsible for spreading CTCF binding sites through mammalian genomes<sup>[26]</sup> (thought not in primates<sup>[22]</sup>). Analysis of recent high-resolution Hi-C data again reported a SINE B2 link with CTCF loops in mice.<sup>[3]</sup> Together these results suggest repeats could be a key component in the makeup of domain boundaries.

To investigate this, we used the RepeatMasker<sup>[27]</sup> software package to call repeat classes and families in the hg19 and mm10 genome assemblies. Counts for each annotated feature were then average over boundaries as described previously (Methods XX).

At the level of repeat class, we corroborate the findings of Dixon *et al.*<sup>[2]</sup> that the majority of repeat classes show no enrichment or depletion at TAD boundaries, and we find that this also holds for compartment boundaries (Fig. 5). A notable exception is the short interspersed element (SINE) repeat class which appears to be enriched at TAD boundaries in each cell type. Testing the significance of this observed peak confirms this to be the case, with SINEs significantly enriched at TAD boundaries in each cell type, and borderline significant enrichments can also be observed at compartment boundaries (Fig. 6).

Repeat class profiles also suggest LINEs may be depleted over TAD boundaries and DNA repeats may be enriched at both boundary types (Fig. 5), however statistically these observations do not surpass our pre-defined significance threshold ( $\alpha = 0.05$ ) after multiple testing correction (Fig. 6).

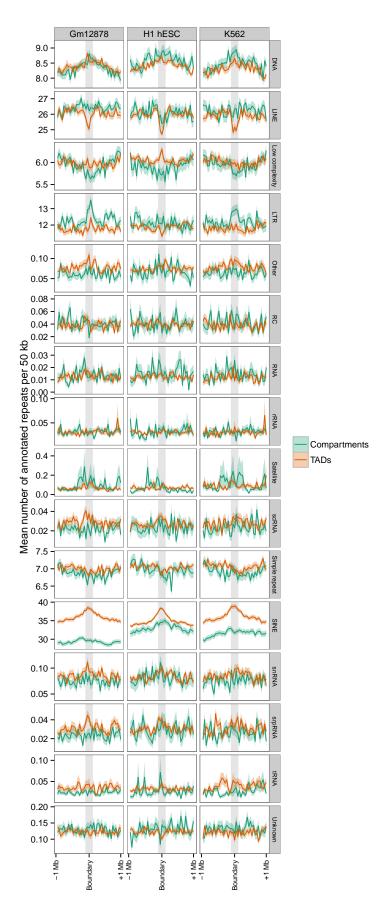


Figure 5: Repeat class average-o-grams over all TAD and compartment boundaries. RepeatMasker repeat annotations are counted per 50 kb for 1 Mb either side of each TAD and compartment boundaries. The mean count genome-wide is plotted with  $\pm 95\%$  confidence intervals.

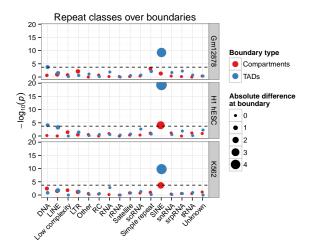


Figure 6: Significance and effect sizes of repeat class enrichments/depletions over boundaries. Boundary profiles (Fig. 5) were tested for enrichment or depletion of each factor at the boundary bin relative to peripheral non-boundary bins (see Methods XX). Bubble area is proportional to the raw effect size of an enrichment or depletion. The Bonferroni-corrected significance threshold is highlighted with a dashed line.

Repeat classes can be broken into smaller repeat families. Dixon *et al.*<sup>[2]</sup> reported that the Alu (or B<sub>1</sub> in mouse) repeat families are enriched over TAD boundaries. Again we can reproduce this finding and extend it to compartment boundaries (Fig. 7).

#### 1.3 DE NOVO BOUNDARY PREDICTION

We have shown TAD and compartment domain boundaries to be well-marked by a variety of features. Compartment boundaries are successfully predicted as a side-effect of modelling the continuous compartment profile eigenvector (Section XX) however a related measure of activity and repression does not exist for TADs.

We attempted to model TAD boundaries in a variety of ways: firstly a using a class-balanced classification framework and secondly through indirect models of directionality index and the downstream domain-caller HMM state. [2]

#### 1.4 METATAD BOUNDARIES

Our collaborators uncovered the concept of "metaTADs": sequential aggregations of adjacent and strongly-interacting TADs to form a hierarchy of domain organisation covering each chromosome.

MetaTADs are constructed simply by performing constrained heretical clustering based on inter-TAD contacts. That is, those two neighbour TADs that have the largest

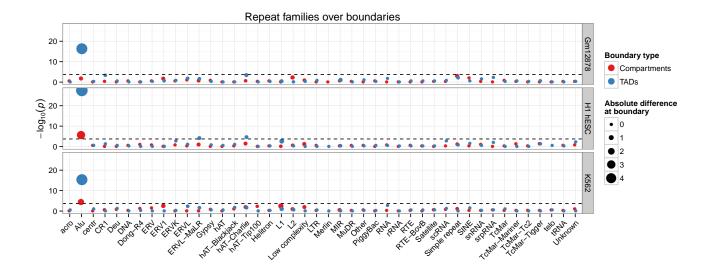


Figure 7: Significance and effect sizes of repeat family enrichments/depletions over boundaries. As per Fig. 6 but for a higher resolution repeat classification.

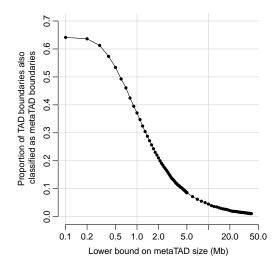
number of interTAD contacts are linked to form a metaTAD and this process is recursed until all TADs on a chromosome are joined into a tree-like network which fully describes the hierarchical nature of domain organisation.

My contribution to this work was to explore these newly-described metaTAD structures and perform boundary analysis as was done with TADs and compartments (Section 1.2). A testable hypothesis, for example, is that boundaries of larger metaTAD structures could display greater enrichments for boundary-defining features.

#### 1.4.1 MetaTAD / TAD boundary comparison

Due to the way metaTADs were constructed by our collaborators, by sequential aggregation of TADs (Methods XX), boundary comparisons between TADs and metaTADs are not completely straightforward. For one, every metaTAD boundary is, at a lower level, also a TAD boundary hence for a meaningful comparison we compared only a subset of metaTAD boundaries. Selection of this threshold involved a trade-off between maintaining a sufficient sample size of metaTADs and minimising the overlap between metaTAD and TAD boundaries, as a proportion of all TAD boundaries (Fig. 8).

From this calibration plot, a lower bound metaTAD size cut-off of 10 Mb was selected for comparison with TAD boundaries. This left a reasonable sample size of 263 metaTAD boundaries, while reducing the overlap with the set of all TAD boundaries to approximately 5% (Fig. 8). We also used an upper bound for size selection, based on observations by our collaborators that interactions between metaTADs larger than around 40 Mb were no higher than expected background signal (*data not shown*). In



**Figure 8: Calibration of metaTAD size selection bounds.** As the lower bound on metaTAD size is increased, the proportion of all TAD boundaries which are also metaTAD boundaries decreases.

practice, almost all boundaries making up metaTADs larger than 10 Mb are also present in those larger than 40 Mb, but as hierarchical clustering continued up until a whole chromosome level, this upper bound may exclude a small number of edge-case peripheral TADs which aggregated into chromsome-wide metaTADs without evidence of heightened intra-TAD interactions.

Next a comparison between metaTAD boundaries for metaTAD size (s) in the range 10 Mb < s < 40 Mb was performed. Our collaborators generated several ChIP-seq datasets, including for CTCF and three PolII variants, as well as expression data in the form of CAGE (Methods XX). We averaged these features over the set of all metaTAD and TAD boundaries and compared the average profiles of each (Fig. 9). These average profiles show heightened enrichment for PolII variants, CTCF and DNase, with non-overlapping 95% confidence intervals of the mean over the boundary bin. Increased enrichments of gene density and histone modificationH3K27me3 are also suggestive of a heightened prevalence at metaTAD boundaries relative to TAD boundaries (Fig. 9). The observable co-incidence of metaTAD boundaries and lamina associated domains (LADs) is explored further in Section ??.

Increased enrichment at metaTAD boundaries relative to TAD boundaries lends evidence to the functional importance of metaTADs, and suggests boundaries become increasingly well-demarcated at higher levels of organisation. However, if this is a genuine biological phenomenon, we'd expect the trend not just to be observable in a comparison between two selected sets, but to increase monotonically as we ascended the metaTAD hierarchy from TADs to chromosomes.

To test this, we reran that above boundary analysis (Fig. 9) but at a range of metaTAD size cut-offs (Fig. 10). Generally we find increasing enrichments in metaTAD

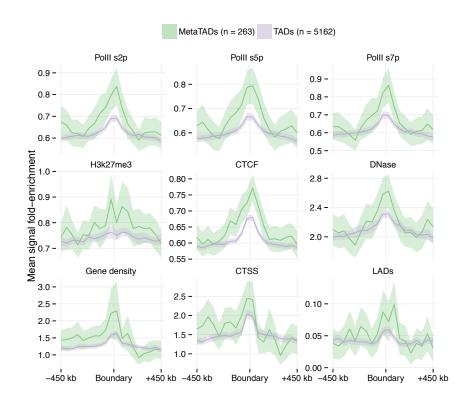


Figure 9: Large metaTADs show greater enrichments for an array of boundary features. Genome-wide profiles of epigenomic features and gene densities averaged over all TAD and metaTAD (10 – 40 Mb) boundaries (ribbons show 95% confidence intervals of the mean).

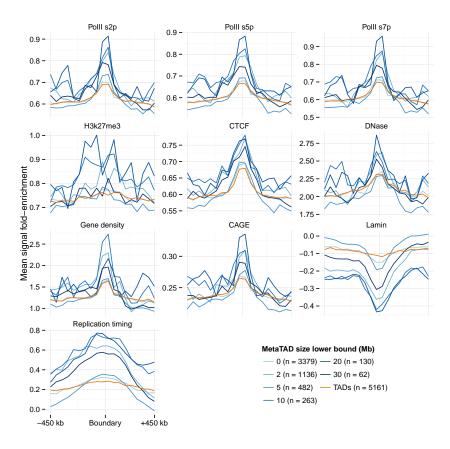


Figure 10: MetaTAD boundary enrichments and sample sizes with varying size selection. Placeholder

boundaries relative to TAD bounds through the range of lower bound cutoffs from 0 to 20 Mb, possibly with a slight decreased effect size at the highest cutoff of 30 Mb, where the sample size of boundaries decreases to just 62 (Fig. 10). This analysis strengthens the evidence for heightened functional enrichment of metaTAD boundaries (Figs. 9, 10) and suggests the metaTAD aggregation procedure is capturing boundaries of increasing strength, in terms of enrichment of boundary associated features (e.g. Fig. 1), through the metaTAD hierarchy.

## 1.4.2 Lamina associated domains

We report a coincidence of metaTAD boundaries and lamina associated domains (LADs), and at a greater level than that observed with smaller TADs (Fig. 9). This hints at an association between metaTADs and LADs which can be further investigated.

High resolution LAD data in mouse embryonic stem cells were retrieved from Peric-Hupkes *et al.*<sup>[28]</sup> in the form of continuous measures of lamin-B1 association produced by the DamID technique, known to reflect proximity to the nuclear lamin.<sup>[29]</sup> This

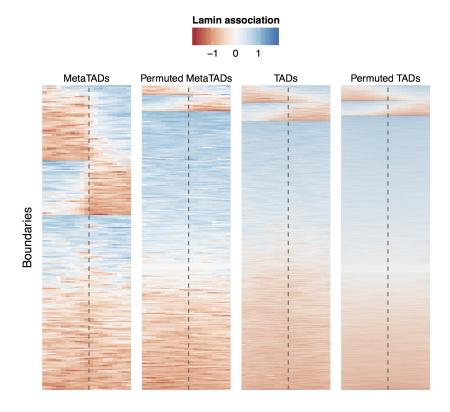


Figure 11: MetaTADs align with lamin associated domains. Heatmaps of LaminB1 association microarray probe intensity values over MetaTAD boundaries (from domains of size 10-40 Mb) and TAD boundaries, are displayed alongside examples of circularly-permuted boundaries. Profiles are shown  $\pm 450$  kb from each boundary.

measure of lamina association was then processed in windows around each metaTAD and TAD boundary, and profiles were combined to form a heatmap (Fig. 11).

Boundaries were seriated in order to separate out those that coincide with a LAD boundary (Methods XX) and we found a large number of metaTAD boundaries (43%) in our 10–40 Mb subset appeared to correspond to LAD boundaries (Fig. 11). The same comparison using TAD boundaries found a coincidence of just 12%. However LADs are large domains and there were over 5,000 TADs called in this Hi-C data by our collaborators, thus in absolute numbers of boundaries this is still represents a large overlap.

To test the significance of these observations, we apply a permutation-based statistical test where our observed coincidences are compared with those produced by 1,000 circular (per-chromosome) permutations (Methods XX). We found that the metaTAD boundary coincidence is around 2.7-fold increase above null expectation (observed: 42.6%; expected: 15.8%; empirical p-value:  $p < 1 \times 10^{-4}$ ). Meanwhile TAD boundaries were found to have a smaller, yet still significant, 1.2-fold increase in

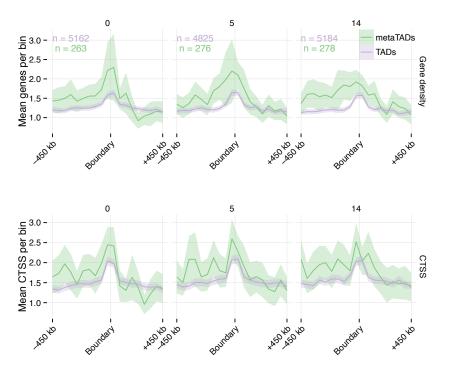


Figure 12: Observed enrichments persist over a time series. CAGE-defined active TSS (CTSS) were counted per 50 kb bin across each TAD and MetaTAD (10 – 40 Mb) boundary and averaged (ribbons show 95% confidence intervals of the mean). Gene densities refer to mean counts of annotated genes per bin, with an overlap of at least 250 bp. Peak heights suggest modestly stronger enrichments at MetaTAD boundaries relative to TAD boundaries.

coincidence with LAD boundaries relative to a null model (observed: 11.8%; expected: 9.5%; empirical p-value:  $p < 1 \times 10^{-4}$ ).

This result highlights again that metaTADs offer useful perspectives onto higher order genome organisation. In this case, it appears TADs will often neatly aggregate within LADs and together these make-up what we can observe as a metaTAD.

## 1.4.3 Boundaries over a time series

For the first time, our collaborator's applied the Hi-C technique (Methods XX) over a differentiation time course from mouse embryonic stem cells, to neural pre-cursors and finally fully-differentiated neuron cells. This data offers a unique perspective onto how higher order genome organisation varies during differentiation.

### 1.5 OTHER BOUNDARIES

#### 1.5.1 Giemsa bands

A recent analysis of Hi-C datasets examined the hierarchy of nuclear compartment and TAD organisation in human HeLa cells across the cell cycle. They found that interphase and metaphase chromatin structure are highly distinct, such that the TADs and compartments observed here are effectively abolished in metaphase. [30] This raises the question of how the structural organization seen in (and often shared between) interphase cells is inherited through the cell cycle.

Human Giemsa metaphase banding (G-band) pattern data have been integrated with the human genome assembly, and although such data are widely used, they are also necessarily of low resolution. [31] These G-band patterns are constant over human cell types at metaphase, but all traces of interphase higher order structure were reported to be absent at metaphase. [30] We would therefore expect no agreement between metaphase G-bands and the patterns of interphase TADs and A/B nuclear compartments defined here, over all three cell types.

We examined the genome wide similarity of all interphase domain structure boundaries to metaphase G-band boundaries, relative to an expected distribution derived by permutation (see Methods) (Figure S9). There is a significant, though extremely modest, excess of compartment boundaries within close proximity of Gband boundaries, such that 13.90% of compartment boundaries are within 500 kb of a G-band boundary (expectation = 10.50%, K-S test: D = 0.076, p  $< 3 \times 10^{-12}$ ). This is seen for compartment boundaries calculated for all three cell types independently. The genome wide overlap of compartment A and B regions with particular G-band classes is nonrandom, and suggests much greater correspondence. Regions assigned to compartment A are significantly over-represented within lighter staining (especially G-negative) bands, while compartment B regions are over-represented in the most darkly staining (G-positive) bands. Approximately 40% of the genome jointly occupies interphase compartment A as well as gneg/gpos25 metaphase G-bands, or occupies the interphase B compartment as well as gpos75/gpos100 at metaphase. Again, the same trends are seen significantly across all three cell types. This agreement is not unexpected given the broad differences in G-negative and G-positive bands, with contrasting gene density, GC content and replication timing [31] that is strongly reminiscent of the contrasts between interphase A and B compartments, [1] but to our knowledge has not been directly studied before. These data suggest that across the genome most fine structure, reflected in domain boundaries, is not well preserved between interphase and metaphase. However there is evidence for conservation of broader structural categories across a substantial fraction of the genome, which may reflect broad similarities in the degree of compaction seen at many regions across the cell cycle.

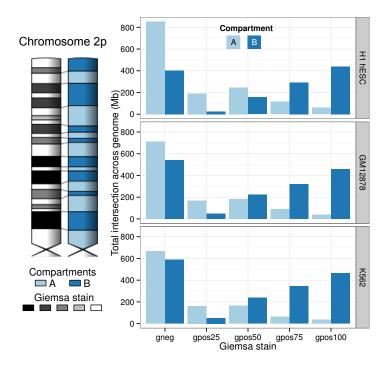


Figure 13: Giemsa-stain bands correspond to A/B compartments. Placeholder

## 1.5.2 Superboundaries

Thus far compartment and TAD boundaries have been considered separately, however it is of interest to consider how these boundary regions interact across scales. Open questions remain about the co-occurrence of these two boundary regions, and whether

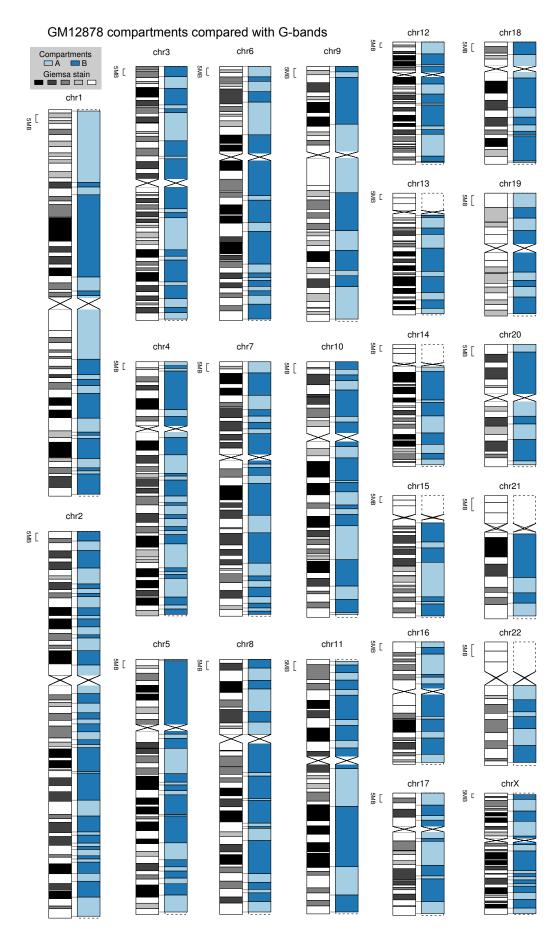


Figure 14: Genome-wide agreement between Giemsa bands and A/B compartments in the Gm12878 cell type. Placeholder

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