Protection Profile for General Purpose Operating Systems

Version: 4.3
2022-09-27
National Information Assurance Partnership

Revision History

Version	Date	Comment
4.3	2022-09-27	Added compatibility with MDM Agent, Bluetooth, and TLS Modules.Two factor authentication.Aligned with CNSA.
4.2.1	2019-04-22	Formatting changes as a result of PP evaluation
4.2	2018-05-22	Multiple Technical Decisions applied
4.1	2016-03-09	Minor updates - cryptographic modes
4.0	2015-08-14	Release - significant revision

Contents

1 Introduction

1.1 Overview

The scope of this Protection Profile (PP) is to describe the security functionality of operating systems in terms of [CC] and to define functional and assurance requirements for such products. An operating system is software that manages computer hardware and software resources, and provides common services for application programs. The hardware it manages may be physical or virtual.

1.2 Terms

The following sections list Common Criteria and technology terms used in this document.

1.2.1 Common Criteria Terms

Assurance	Grounds for confidence that a TOE meets the SFRs [CC].
Base Protection Profile (Base-PP)	Protection Profile used as a basis to build a PP-Configuration.
Collaborative Protection Profile (cPP)	A Protection Profile developed by international technical communities and approved by multiple schemes.
Common Criteria (CC)	Common Criteria for Information Technology Security Evaluation (International Standard ISO/IEC 15408).
Common Criteria Testing Laboratory	Within the context of the Common Criteria Evaluation and Validation Scheme (CCEVS), an IT security evaluation facility accredited by the National Voluntary Laboratory Accreditation Program (NVLAP) and approved by the NIAP Validation Body to conduct Common Criteria-based evaluations.
Common Evaluation Methodology (CEM)	Common Evaluation Methodology for Information Technology Security Evaluation.
Distributed TOE	A TOE composed of multiple components operating as a logical whole.
Extended Package (EP)	A deprecated document form for collecting SFRs that implement a particular protocol, technology, or functionality. See Functional Packages.
Functional Package (FP)	A document that collects SFRs for a particular protocol, technology, or functionality.
Operational Environment (OE)	Hardware and software that are outside the TOE boundary that support the TOE functionality and security policy.
Protection Profile (PP)	An implementation-independent set of security requirements for a category of products.
Protection Profile Configuration (PP-Configuration)	A comprehensive set of security requirements for a product type that consists of at least one Base-PP and at least one PP-Module.
Protection Profile Module (PP-Module)	An implementation-independent statement of security needs for a TOE type complementary to one or more Base-PPs.
Security Assurance Requirement (SAR)	A requirement to assure the security of the TOE.
Security Functional Requirement (SFR)	A requirement for security enforcement by the TOE.
Security Target (ST)	A set of implementation-dependent security requirements for a specific product.

Target of Evaluation (TOE)	The product under evaluation.
TOE Security Functionality (TSF)	The security functionality of the product under evaluation.
TOE Summary Specification (TSS)	A description of how a TOE satisfies the SFRs in an ST.

1.2.2 Technical Terms

Address Space Layout Randomization	An anti-exploitation feature which loads memory mappings into unpredictable locations. ASLR makes it more difficult for an attacker to redirect control to code that they have introduced into the address space of a process.
Administrator	An administrator is responsible for management activities, including setting policies that are applied by the enterprise on the operating system. This administrator could be acting remotely through a management server, from which the system receives configuration policies. An administrator can enforce settings on the system which cannot be overridden by non-administrator users.
Application	Software that runs on a platform and performs tasks on behalf of the user or owner of the platform, as well as its supporting documentation.
Application Programming Interface	A specification of routines, data structures, object classes, and variables that allows an application to make use of services provided by another software component, such as a library. APIs are often provided for a set of libraries included with the platform.
Credential	Data that establishes the identity of a user, e.g. a cryptographic key or password.
Critical Security Parameters	Information that is either user or system defined and is used to operate a cryptographic module in processing encryption functions including cryptographic keys and authentication data, such as passwords, the disclosure or modification of which can compromise the security of a cryptographic module or the security of the information protected by the module.
DAR Protection	Countermeasures that prevent attackers, even those with physical access, from extracting data from non-volatile storage. Common techniques include data encryption and wiping.
Data Execution Prevention	An anti-exploitation feature of modern operating systems executing on modern computer hardware, which enforces a non-execute permission on pages of memory. DEP prevents pages of memory from containing both data and instructions, which makes it more difficult for an attacker to introduce and execute code.
Developer	An entity that writes OS software. For the purposes of this document, vendors and developers are the same.
General Purpose Operating System	A class of OSes designed to support a wide-variety of workloads consisting of many concurrent applications or services. Typical characteristics for OSes in this class include support for third-party applications, support for multiple users, and security separation between users and their respective resources. General Purpose Operating Systems also lack the real-time constraint that defines Real Time Operating Systems which are typically used in routers, switches, and embedded devices.
Host-based Firewall	A software-based firewall implementation running on the OS for filtering inbound and outbound network traffic to and from processes running on the OS.
Hybrid Authentication	A hybrid authentication factor is one where a user has to submit a combination of a cryptographic token and a PIN or password and both must pass. If either factor fails, the entire attempt fails.
Operating System	Software that manages physical and logical resources and provides services for applications. The terms TOE and OS are interchangeable in this document.
Personal Identification Number	An authentication factor that is comprised of a set of numeric or alphabetic characters that may be used in addition to a cryptographic token to provide a hybrid authentication factor. At this time it is not considered as a stand-alone authentication mechanism. A PIN is distinct from a password in that the allowed character set and required length of a PIN is typically smaller than that of a password as it is designed to be input quickly.
Personally Identifiable Information	Any information about an individual maintained by an agency, including, but not limited to, education, financial transactions, medical history, and criminal or employment history and information which can be used to distinguish or trace an individual's identity, such as their name, social security number, date and place of birth, mother's maiden name, biometric records, etc., including any other personal information which is linked or linkable to an individual.

Sensitive Data	Sensitive data may include all user or enterprise data or may be specific application data such as PII, emails, messaging, documents, calendar items, and contacts. Sensitive data must minimally include credentials and keys. Sensitive data shall be identified in the OS's TSS by the ST author.
User	A user is subject to configuration policies applied to the operating system by administrators. On some systems under certain configurations, a normal user can temporarily elevate privileges to that of an administrator. At that time, such a user should be considered an administrator.

1.3 Compliant Targets of Evaluation

1.3.1 TOE Boundary

The TOE boundary encompasses the OS kernel and its drivers, shared software libraries, and some application software included with the OS. The applications considered within the TOE are those that provide essential security services, many of which run with elevated privileges. Applications which are covered by more-specific Protection Profiles cannot claim evaluation as part of the OS evaluation, even when it is necessary to evaluate some of their functionality as it relates to their role as part of the OS.

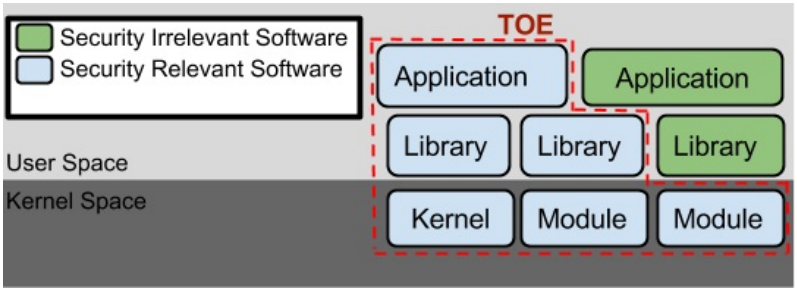

Figure 1: General TOE

1.3.2 TOE Platform

The TOE platform, which consists of the physical or virtual hardware on which the TOE executes, is outside the scope of evaluation. At the same time, the security of the TOE relies upon it. Other hardware components which independently run their own software and are relevant to overall system security are also outside the scope of evaluation.

1.4 Use Cases

Requirements in this Protection Profile are designed to address the security problems in at least the following use cases. These use cases are intentionally very broad, as many specific use cases exist for an operating system. These use cases may also overlap with one another. An operating system's functionality may even be effectively extended by privileged applications installed onto it. However, these are out of scope of this PP.

[USE CASE 1] End User Devices

The OS provides a platform for end user devices such as desktops, laptops, convertibles, and tablets. These devices may optionally be bound to a directory server or management server. As this Protection Profile does not address threats against data-at-rest, enterprises deploying operating systems in mobile scenarios should ensure that these systems include data-at-rest protection spelled out in other Protection Profiles. Specifically, this includes the Protection Profiles for Full Drive Encryption - Encryption Engine, Full Drive Encryption - Authorization Acquisition, and Software File Encryption. The Protection Profile for Mobile Device Fundamentals includes requirements for data-at-rest protection and is appropriate for many mobile devices.

[USE CASE 2] Server Systems

The OS provides a platform for server-side services, either on physical or virtual hardware. Many specific examples exist in which the OS acts as a platform for such services, including file servers, mail servers, and web servers.

[USE CASE 3] Cloud Systems

The OS provides a platform for providing cloud services running on physical or virtual hardware. An OS is typically part of offerings identified as Infrastructure as a Service (IaaS), Software as a Service (SaaS), and Platform as a Service (PaaS). This use case typically involves the use of virtualization technology which should be evaluated against the Protection Profile for Server Virtualization.

2 Conformance Claims

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3 Security Problem Definition

The security problem is described in terms of the threats that the OS is expected to address, assumptions about the operational environment, and any organizational security policies that the OS is expected to enforce.

3.1 Threats

T.LIMITED_PHYSICAL_ACCESS
An attacker may attempt to access data on the OS while having a limited amount of time with the physical device.

T.LOCAL_ATTACK
An attacker may compromise applications running on the OS. The compromised application may provide maliciously formatted input to the OS through a variety of channels including unprivileged system calls and messaging via the file system.

T.NETWORK_ATTACK
An attacker is positioned on a communications channel or elsewhere on the network infrastructure. Attackers may engage in communications with applications and services running on or part of the OS with the intent of compromise. Engagement may consist of altering existing legitimate communications.

T.NETWORK_EAVESDROP
An attacker is positioned on a communications channel or elsewhere on the network infrastructure. Attackers may monitor and gain access to data exchanged between applications and services that are running on or part of the OS.

3.2 Assumptions

A.PLATFORM
The OS relies upon a trustworthy computing platform for its execution. This underlying platform is out of scope of this PP.

A.PROPER_ADMIN
The administrator of the OS is not careless, willfully negligent or hostile, and administers the OS within compliance of the applied enterprise security policy.

A.PROPER_USER
The user of the OS is not willfully negligent or hostile, and uses the software in compliance with the applied enterprise security policy. At the same time, malicious software could act as the user, so requirements which confine malicious subjects are still in scope.

3.3 Organizational Security Policies

This document does not define any additional OSPs.

4 Security Objectives

4.1 Security Objectives for the TOE

O.ACCOUNTABILITY
Conformant OSes ensure that information exists that allows administrators to discover unintentional issues with the configuration and operation of the operating system and discover its cause. Gathering event information and immediately transmitting it to another system can also enable incident response in the event of system compromise.

O.INTEGRITY
Conformant OSes ensure the integrity of their update packages. OSes are seldom if ever shipped without errors, and the ability to deploy patches and updates with integrity is critical to enterprise network security. Conformant OSes provide execution environment-based mitigations that increase the cost to attackers by adding complexity to the task of compromising systems.

O.MANAGEMENT
To facilitate management by users and the enterprise, conformant OSes provide consistent and supported interfaces for their security-relevant configuration and maintenance. This includes the deployment of applications and application updates through the use of platform-supported deployment mechanisms and formats, as well as providing mechanisms for configuration and application execution control.

O.PROTECTED_COMMS
To address both passive (eavesdropping) and active (packet modification) network attack threats, conformant OSes provide mechanisms to create trusted channels for CSP and sensitive data. Both CSP and sensitive data should not be exposed outside of the platform.

O.PROTECTED_STORAGE
To address the issue of loss of confidentiality of credentials in the event of loss of physical control of the storage medium, conformant OSes provide data-at-rest protection for credentials. Conformant OSes also provide access controls which allow users to keep their files private from other users of the same system.

4.2 Security Objectives for the Operational Environment

OE.PLATFORM
The OS relies on being installed on trusted hardware.

OE.PROPER_ADMIN
The administrator of the OS is not careless, willfully negligent or hostile, and administers the OS within compliance of the applied enterprise security policy.

OE.PROPER_USER
The user of the OS is not willfully negligent or hostile, and uses the software within compliance of the applied enterprise security policy. Standard user accounts are provisioned in accordance with the least privilege model. Users requiring higher levels of access should have a separate account dedicated for that use.

4.3 Security Objectives Rationale

This section describes how the assumptions, threats, and organizational security policies map to the security objectives.

Table 1: Security Objectives Rationale

Threat, Assumption, or OSP	Security Objectives	Rationale
T.LIMITED_PHYSICAL_ACCESS	O.PROTECTED_STORAGE	The objective O.PROTECTED_STORAGE protects against unauthorized attempts to access physical storage used by the TOE.
T.LOCAL_ATTACK	O.INTEGRITY	The objective O.INTEGRITY protects against the use of mechanisms that weaken the TOE with regard to attack by other software on the platform.
	O.ACCOUNTABILITY	The objective O.ACCOUNTABILITY protects against local attacks by providing a mechanism to report behavior that may indicate a local attack is occurring or has occurred.
T.NETWORK_ATTACK	O.PROTECTED_COMMS	The threat T.NETWORK_ATTACK is countered by O.PROTECTED_COMMS as this provides for integrity of transmitted data.
	O.INTEGRITY	The threat T.NETWORK_ATTACK is countered by O.INTEGRITY as this provides for integrity of software that is installed onto the

		system from the network.
	O.MANAGEMENT	The threat T.NETWORK_ATTACK is countered by O.MANAGEMENT as this provides for the ability to configure the OS to defend against network attack.
	O.ACCOUNTABILITY	The threat T.NETWORK_ATTACK is countered by O.ACCOUNTABILITY as this provides a mechanism for the OS to report behavior that may indicate a network attack has occurred.
T.NETWORK_EAVESDROP	O.PROTECTED_COMMS	The threat T.NETWORK_EAVESDROP is countered by O.PROTECTED_COMMS as this provides for confidentiality of transmitted data.
	O.MANAGEMENT	The threat T.NETWORK_EAVESDROP is countered by O.MANAGEMENT as this provides for the ability to configure the OS to protect the confidentiality of its transmitted data.
A.PLATFORM	OE.PLATFORM	The operational environment objective OE.PLATFORM is realized through A.PLATFORM.
A.PROPER_ADMIN	OE.PROPER_ADMIN	The operational environment objective OE.PROPER_ADMIN is realized through A.PROPER_ADMIN.
A.PROPER_USER	OE.PROPER_USER	The operational environment objective OE.PROPER_USER is realized through A.PROPER_USER.

5 Security Requirements

This chapter describes the security requirements which have to be fulfilled by the product under evaluation. Those requirements comprise functional components from Part 2 and assurance components from Part 3 of [CC]. The following conventions are used for the completion of operations:

- **Refinement** operation (denoted by **bold text** or ~~strikethrough text~~): Is used to add details to a requirement (including replacing an assignment with a more restrictive selection) or to remove part of the requirement that is made irrelevant through the completion of another operation, and thus further restricts a requirement.
- **Selection** (denoted by *italicized text*): Is used to select one or more options provided by the [CC] in stating a requirement.
- **Assignment** operation (denoted by *italicized text*): Is used to assign a specific value to an unspecified parameter, such as the length of a password. Showing the value in square brackets indicates assignment.
- **Iteration** operation: Is indicated by appending the SFR name with a slash and unique identifier suggesting the purpose of the operation, e.g. "/EXAMPLE1."

5.1 Security Functional Requirements

5.1.1 Class: Cryptographic Support (FCS)

FCS_CKM.1 Cryptographic Key Generation (Refined)

FCS_CKM.1.1

The **OS** shall generate **asymmetric** cryptographic keys in accordance with a specified cryptographic key generation algorithm[**selection**:

- *RSA schemes using cryptographic key sizes of 3072-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.3*
- *ECC schemes using "NIST curves" P-384 and[**selection**: P-521, no other curves]that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.4*
- *FFC schemes using[**selection**: cryptographic key sizes of 3072-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.1, safe primes that meet the following: NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes"]*

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Application Note: The ST author will select all key generation schemes used for key establishment and entity authentication. When key generation is used for key establishment, the schemes in FCS_CKM.2 and selected cryptographic protocols must match the selection. When key generation is used for entity authentication, the public key is expected to be associated with an X.509v3 certificate.

If the OS acts only as a receiver in the RSA key establishment scheme, the OS does not need to implement RSA key generation.

Evaluation Activities

FCS_CKM.1
TSS

Guidance

Tests
The evaluator will ensure that the TSS identifies the key sizes supported by the OS. If the ST specifies more than one scheme, the evaluator will examine the TSS to verify that it identifies the usage for each scheme.

The evaluator will verify that the AGD guidance instructs the administrator how to configure the OS to use the selected key generation scheme(s) and key size(s) for all uses defined in this PP.

Evaluation Activity Note: The following tests may require the vendor to furnish a developer environment and developer tools that are typically not available to end-users of the OS.

- *Test 1[conditional, to be performed if*
  - *RSA schemes using cryptographic key sizes of 3072-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.3 is selected from FCS_CKM.1.1*
  - *RSA schemes using cryptographic key sizes of 3072-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.3 is selected from FCS_CKM.1.1*

]: ***Key Generation for FIPS PUB 186-4 RSA Schemes***
The evaluator will verify the implementation of RSA Key Generation by the OS using the

Key Generation test. This test verifies the ability of the TSF to correctly produce values for the key components including the public verification exponent e, the private prime factors p and q, the public modulus n and the calculation of the private signature exponent d. Key Pair generation specifies 5 ways (or methods) to generate the primes p and q. These include:

1. *Random Primes:*
   - *Provable primes*
   - *Probable primes*
2. *Primes with Conditions:*
   - *Primes p1, p2, q1,q2, p and q shall all be provable primes*
   - *Primes p1, p2, q1, and q2 shall be provable primes and p and q shall be probable primes*
   - *Primes p1, p2, q1,q2, p and q shall all be probable primes*

To test the key generation method for the Random Provable primes method and for all the Primes with Conditions methods, the evaluator must seed the TSF key generation routine with sufficient data to deterministically generate the RSA key pair. This includes the random seed(s), the public exponent of the RSA key, and the desired key length. For each key length supported, the evaluator shall have the TSF generate 25 key pairs. The evaluator will verify the correctness of the TSF's implementation by comparing values generated by the TSF with those generated from a known good implementation.

If possible, the Random Probable primes method should also be verified against a known good implementation as described above. Otherwise, the evaluator will have the TSF generate 10 keys pairs for each supported key length nlen and verify:

- $n = p \cdot q$,
- *p and q are probably prime according to Miller-Rabin tests,*
- *GCD(p-1,e) = 1,*
- *GCD(q-1,e) = 1,*
- $2^{16} \leq e \leq 2^{256}$ *and e is an odd integer,*
- $|p-q| > 2^{nlen/2 - 100}$,
- $p \geq 2^{nlen/2 -1/2}$,
- $q \geq 2^{nlen/2 -1/2}$,
- $2^{(nlen/2)} < d < LCM(p-1,q-1)$,
- $e \cdot d = 1 \bmod LCM(p-1,q-1)$.

- *Test 2[conditional, to be performed if*
  - *ECC schemes using "NIST curves" P-384 and[**selection**: P-521, no other curves]that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.4 is selected from FCS_CKM.1.1*

*]: **Key Generation for Elliptic Curve Cryptography (ECC)***

FIPS 186-4 ECC Key Generation Test

For each supported NIST curve, i.e., P-384 and P-521, the evaluator will require the implementation under test (IUT) to generate 10 private/public key pairs. The private key shall be generated using an approved random bit generator (RBG). To determine correctness, the evaluator will submit the generated key pairs to the public key verification (PKV) function of a known good implementation.

FIPS 186-4 Public Key Verification (PKV) Test

For each supported NIST curve, i.e., P-384 and P-521, the evaluator will generate 10 private/public key pairs using the key generation function of a known good implementation and modify five of the public key values so that they are incorrect, leaving five values unchanged (i.e., correct). The evaluator will obtain in response a set of 10 PASS/FAIL values.

- *Test 3[conditional, to be performed if*
  - *cryptographic key sizes of 3072-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.1 is selected from FCS_CKM.1.1*
  - *cryptographic key sizes of 3072-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Appendix B.1 is selected from FCS_CKM.1.1*

*]: **Key Generation for Finite-Field Cryptography (FFC)***

The evaluator will verify the implementation of the Parameters Generation and the Key Generation for FFC by the TOE using the Parameter Generation and Key Generation test. This test verifies the ability of the TSF to correctly produce values for the field prime p, the cryptographic prime q (dividing p-1), the cryptographic group generator g, and the calculation of the private key x and public key y.

The Parameter generation specifies 2 ways (or methods) to generate the cryptographic prime q and the field prime p:

- *Cryptographic and Field Primes:*
  - *Primes q and p shall both be provable primes*
  - *Primes q and field prime p shall both be probable primes*

and two ways to generate the cryptographic group generator g:

- *Cryptographic Group Generator:*
  - *Generator g constructed through a verifiable process*

- *Generator g constructed through an unverifiable process*

The Key generation specifies 2 ways to generate the private key x:

- *Private Key:*
  - *len(q) bit output of RBG where 1 ≤ x ≤ q-1*
  - *len(q) + 64 bit output of RBG, followed by a mod q-1 operation where 1 ≤ x ≤ q-1*

The security strength of the RBG must be at least that of the security offered by the FFC parameter set. To test the cryptographic and field prime generation method for the provable primes method and/or the group generator g for a verifiable process, the evaluator must seed the TSF parameter generation routine with sufficient data to deterministically generate the parameter set. For each key length supported, the evaluator will have the TSF generate 25 parameter sets and key pairs. The evaluator will verify the correctness of the TSF's implementation by comparing values generated by the TSF with those generated from a known good implementation. Verification must also confirm:

- *g != 0,1*
- *q divides p-1*
- $g^q \bmod p = 1$
- $g^x \bmod p = y$

for each FFC parameter set and key pair.

FCS_CKM.2 Cryptographic Key Establishment (Refined)

FCS_CKM.2.1

The OS shall **implement functionality to perform cryptographic key establishment** in accordance with a specified cryptographic key **establishment** method:[**selection**:

- *RSA-based key establishment schemes that meets the following: RSAES-PKCS1-v1_5 as specified in Section 7.2 of RFC 8017, "Public-Key Cryptography Standards (PKCS) #1: RSA Cryptography Specifications Version 2.2*"
- *Elliptic curve-based key establishment schemes that meets the following: NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"*
- *Finite field-based key establishment schemes that meets NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography"*

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Application Note: The ST author will select all key establishment schemes used for the selected cryptographic protocols.

The elliptic curves used for the key establishment scheme shall correlate with the curves specified in FCS_CKM.1.1. The domain parameters used for the finite field-based key establishment scheme are specified by the key generation according to FCS_CKM.1.1. The finite field-based key establishment schemes that conform to NIST SP 800-56A Revision 3 correspond to the "safe-prime" groups selection in FCS_CKM.1.1.

Evaluation Activities

FCS_CKM.2
TSS

Guidance

Tests

The evaluator will ensure that the supported key establishment schemes correspond to the key generation schemes identified in FCS_CKM.1.1 If the ST specifies more than one scheme, the evaluator will examine the TSS to verify that it identifies the usage for each scheme.

The evaluator will verify that the AGD guidance instructs the administrator how to configure the OS to use the selected key establishment scheme(s).

Evaluation Activity Note: The following tests require the developer to provide access to a test platform that provides the evaluator with tools that are typically not found on factory products.

Key Establishment Schemes

The evaluator will verify the implementation of the key establishment schemes supported by the OS using the applicable tests below.

- *Test 4[conditional, to be performed if*
  - *Elliptic curve-based key establishment schemes that meets the following: NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography" is selected from FCS_CKM.2.1*
  - *Elliptic curve-based key establishment schemes that meets the following: NIST Special Publication 800-56A Revision 3, "Recommendation for Pair-Wise Key Establishment*

Schemes Using Discrete Logarithm Cryptography" is selected from FCS_CKM.2.1

*]: **SP800-56A Key Establishment Schemes***

The evaluator will verify the OS's implementation of SP800-56A key agreement schemes using the following Function and Validity tests. These validation tests for each key agreement scheme verify that the OS has implemented the components of the key agreement scheme according to the specifications in the Recommendation. These components include the calculation of the discrete logarithm cryptography (DLC) primitives (the shared secret value Z) and the calculation of the derived keying material (DKM) via the Key Derivation Function (KDF). If key confirmation is supported, the evaluator will also verify that the components of key confirmation have been implemented correctly, using the test procedures described below. This includes the parsing of the DKM, the generation of MAC data and the calculation of MAC tag.

Function Test

The Function test verifies the ability of the OS to implement the key agreement schemes correctly. To conduct this test the evaluator will generate or obtain test vectors from a known good implementation of the OS's supported schemes. For each supported key agreement scheme-key agreement role combination, KDF type, and, if supported, key confirmation role- key confirmation type combination, the tester will generate 10 sets of test vectors. The data set consists of one set of domain parameter values (FCC) or the NIST approved curve (ECC) per 10 sets of public keys. These keys are static, ephemeral or both depending on the scheme being tested.

The evaluator will obtain the DKM, the corresponding OS's public keys (static and/or ephemeral), the MAC tag(s), and any inputs used in the KDF, such as the Other Information field OI and OS id fields.

If the OS does not use a KDF defined in SP 800-56A, the evaluator will obtain only the public keys and the hashed value of the shared secret.

The evaluator will verify the correctness of the TSF's implementation of a given scheme by using a known good implementation to calculate the shared secret value, derive the keying material DKM, and compare hashes or MAC tags generated from these values.

If key confirmation is supported, the OS will perform the above for each implemented approved MAC algorithm.

Validity Test

The Validity test verifies the ability of the OS to recognize another party's valid and invalid key agreement results with or without key confirmation. To conduct this test, the evaluator will obtain a list of the supporting cryptographic functions included in the SP800-56A Revision 3 key agreement implementation to determine which errors the OS should be able to recognize. The evaluator generates a set of 24 FCC or 30 ECC test vectors consisting of data sets including domain parameter values or NIST approved curves, the evaluator's public keys, the OS's public/private key pairs, MAC tag, and any inputs used in the KDF, such as the other info and OS id fields.

The evaluator will inject an error in some of the test vectors to test that the OS recognizes invalid key agreement results caused by the following fields being incorrect: the shared secret value Z, the DKM, the other information field OI, the data to be MACed, or the generated MAC tag. If the OS contains the full or partial (only ECC) public key validation, the evaluator will also individually inject errors in both parties' static public keys, both parties' ephemeral public keys and the OS's static private key to assure the OS detects errors in the public key validation function and/or the partial key validation function (in ECC only). At least two of the test vectors will remain unmodified and therefore should result in valid key agreement results (they should pass).

The OS will use these modified test vectors to emulate the key agreement scheme using the corresponding parameters. The evaluator will compare the OS's results with the results using a known good implementation verifying that the OS detects these errors.

RSAES-PKCS1-v1_5 Key Establishment Schemes

The evaluator will verify the correctness of the TSF's implementation of RSAES-PKCS1-v1_5 by using a known good implementation for each protocol selected in FTP_ITC_EXT.1 that uses RSAES-PKCS1-v1_5.

FFC Schemes using "safe-prime" groups (identified in Appendix D of SP 800-56A Revision 3)

The evaluator will verify the correctness of the TSF's implementation of "safe-prime" groups by using a known good implementation for each protocol selected in FTP_ITC_EXT.1 that uses "safe-prime" groups. This test must be performed for each "safe-prime" group that each protocol uses.

FCS_CKM_EXT.4 Cryptographic Key Destruction

FCS_CKM_EXT.4.1

The OS shall destroy cryptographic keys and key material in accordance with a specified cryptographic key destruction method[**selection**:

- *For volatile memory, the destruction shall be executed by a[**selection**:*

- *single overwrite consisting of[**selection**: a pseudo-random pattern using the TSF's RBG, zeroes, ones, a new value of a key, [**assignment**: any value that does not contain any CSP]]*
- *removal of power to the memory*
- *destruction of reference to the key directly followed by a request for garbage collection*

]

- *For non-volatile memory that consists of[**selection**:*
  - *destruction of all key encrypting keys (KEKs) protecting the target key according to , where none of the KEKs protecting the target key are derived*
  - *the invocation of an interface provided by the underlying platform that[**selection**:*
    - *logically addresses the storage location of the key and performs a[**selection**: single, [**assignment**: ST author defined multi-pass]]overwrite consisting of[**selection**: zeroes, ones, pseudo-random pattern, a new value of a key of the same size, [**assignment**: any value that does not contain any CSP]]*
    - *instructs the underlying platform to destroy the abstraction that represents the key*

  ]

]

].

Application Note: The interface referenced in the requirement could take different forms, the most likely of which is an application programming interface to an OS kernel. There may be various levels of abstraction visible. For instance, in a given implementation that overwrites a key stored in non-volatile memory, the application may have access to the file system details and may be able to logically address specific memory locations. In another implementation, that instructs the underlying platform to destroy the representation of a key stored in non-volatile memory, the application may simply have a handle to a resource and can only ask the platform to delete the resource, as may be the case with a platforms secure key store. The latter implementation should only be used for the most restricted access. The level of detail to which the TOE has access will be reflected in the TSS section of the ST.

Several selections allow assignment of a 'value that does not contain any CSP.' This means that the TOE uses some other specified data not drawn from a source that may contain key material or reveal information about key material, and not being any of the particular values listed as other selection options. The point of the phrase 'does not contain any CSP' is to ensure that the overwritten data is carefully selected, and not taken from a general 'pool' that might contain current or residual data that itself requires confidentiality protection.

For the selection destruction of all key encrypting keys (KEKs) protecting the target key according to , where none of the KEKs protecting the target key are derived, a key can be considered destroyed by destroying the key that protects the key. If a key is wrapped or encrypted it is not necessary to "overwrite" that key, overwriting the key that is used to wrap or encrypt the key used to encrypt/decrypt data, using the appropriate method for the memory type involved, will suffice. For example, if a product uses a KEK to encrypt a Data Encryption Key (DEK), destroying the KEK using one of the methods in FCS_CKM_EXT.4 is sufficient, since the DEK would no longer be usable (of course, presumes the DEK is still encrypted and the KEK cannot be recovered or re-derived.).

FCS_CKM_EXT.4.2

The OS shall destroy all keys and key material when no longer needed.

Application Note: For the purposes of this requirement, key material refers to authentication data, passwords, secret/private symmetric keys, private asymmetric keys, data used to derive keys, values derived from passwords, etc.

Key destruction procedures are performed in accordance with FCS_CKM_EXT.4.1.

Evaluation Activities

FCS_CKM_EXT.4
TSS
The evaluator examines the TSS to ensure it describes how the keys are managed in volatile memory. This description includes details of how each identified key is introduced into volatile memory (e.g. by derivation from user input, or by unwrapping a wrapped key stored in non-volatile memory) and how they are overwritten.

The evaluator will check to ensure the TSS lists each type of key that is stored in in non-volatile

memory, and identifies how the TOE interacts with the underlying platform to manage keys (e.g., store, retrieve, destroy). The description includes details on the method of how the TOE interacts with the platform, including an identification and description of the interfaces it uses to manage keys (e.g., file system APIs, platform key store APIs).

If the ST makes use of the open assignment and fills in the type of pattern that is used, the evaluator examines the TSS to ensure it describes how that pattern is obtained and used. The evaluator will verify that the pattern does not contain any CSPs.

The evaluator will check that the TSS identifies any configurations or circumstances that may not strictly conform to the key destruction requirement.

If the selection FCS_CKM_EXT.4.1 is included the evaluator will examine the TOE's keychain in the TSS and identify each instance when a key is destroyed by this method. In each instance the evaluator will verify all keys capable of decrypting the target key are destroyed in accordance with a specified key destruction method in FCS_CKM_EXT.4.1. The evaluator will verify that all of the keys capable of decrypting the target key are not able to be derived to reestablish the keychain after their destruction.

Guidance

Operational Guidance

There are a variety of concerns that may prevent or delay key destruction in some cases. The evaluator will check that the guidance documentation identifies configurations or circumstances that may not strictly conform to the key destruction requirement, and that this description is consistent with the relevant parts of the TSS and any other relevant Required Supplementary Information. The evaluator will check that the guidance documentation provides guidance on situations where key destruction may be delayed at the physical layer and how such situations can be avoided or mitigated if possible.

Some examples of what is expected to be in the documentation are provided here.

When the TOE does not have full access to the physical memory, it is possible that the storage may be implementing wear-leveling and garbage collection. This may create additional copies of the key that are logically inaccessible but persist physically. In this case, to mitigate this the drive should support the TRIM command and implements garbage collection to destroy these persistent copies when not actively engaged in other tasks.

Drive vendors implement garbage collection in a variety of different ways, as such there is a variable amount of time until data is truly removed from these solutions. There is a risk that data may persist for a longer amount of time if it is contained in a block with other data not ready for erasure. To reduce this risk, the operating system and file system of the OE should support TRIM, instructing the non-volatile memory to erase copies via garbage collection upon their deletion. If a RAID array is being used, only set-ups that support TRIM are utilized. If the drive is connected via PCI-Express, the operating system supports TRIM over that channel.

The drive should be healthy and contains minimal corrupted data and should be end-of-lifed before a significant amount of damage to drive health occurs, this minimizes the risk that small amounts of potentially recoverable data may remain in damaged areas of the drive.

Tests

- *Test 5: Applied to each key held as in volatile memory and subject to destruction by overwrite by the TOE (whether or not the value is subsequently encrypted for storage in volatile or non-volatile memory). In the case where the only selection made for the destruction method key was removal of power, then this test is unnecessary. The evaluator will:*
  1. *Record the value of the key in the TOE subject to clearing.*
  2. *Cause the TOE to perform a normal cryptographic processing with the key from Step #1.*
  3. *Cause the TOE to clear the key.*
  4. *Cause the TOE to stop the execution but not exit.*
  5. *Cause the TOE to dump the entire memory of the TOE into a binary file.*
  6. *Search the content of the binary file created in Step #5 for instances of the known key value from Step #1.*

  Steps 1-6 ensure that the complete key does not exist anywhere in volatile memory. If a copy is found, then the test fails.
- *Test 6: Applied to each key help in non-volatile memory and subject to destruction by the TOE. The evaluator will use special tools (as needed), provided by the TOE developer if necessary, to ensure the tests function as intended.*
  1. *Identify the purpose of the key and what access should fail when it is deleted. (e.g. the data encryption key being deleted would cause data decryption to fail.)*
  2. *Cause the TOE to clear the key.*
  3. *Have the TOE attempt the functionality that the cleared key would be necessary for.*

  The test succeeds if step 3 fails.
- *Test 7:*

  Tests 3 and 4 do not apply for the selection instructing the underlying platform to destroy the representation of the key as the TOE has no visibility into the inner workings and completely relies on the underlying platform.

  The following tests are used to determine if the TOE is able to request the platform to

overwrite the key with a TOE supplied pattern.

Applied to each key held in non-volatile memory and subject to destruction by overwrite by the TOE. The evaluator will use a tool that provides a logical view of the media (e.g., MBR file system):

1. *Record the value of the key in the TOE subject to clearing.*
2. *Cause the TOE to perform a normal cryptographic processing with the key from Step #1.*
3. *Cause the TOE to clear the key.*
4. *Search the logical view that the key was stored in for instances of the known key value from Step #1. If a copy is found, then the test fails.*

- *Test 8: Applied to each key held as non-volatile memory and subject to destruction by overwrite by the TOE. The evaluator will use a tool that provides a logical view of the media:*
  1. *Record the logical storage location of the key in the TOE subject to clearing.*
  2. *Cause the TOE to perform a normal cryptographic processing with the key from Step #1.*
  3. *Cause the TOE to clear the key.*
  4. *Read the logical storage location in Step #1 of non-volatile memory to ensure the appropriate pattern is utilized.*

  The test succeeds if correct pattern is used to overwrite the key in the memory location. If the pattern is not found the test fails.

FCS_COP.1/ENCRYPT Cryptographic Operation - Encryption/Decryption (Refined)

FCS_COP.1.1/ENCRYPT

The **OS** shall perform [*encryption/decryption services for data*] in accordance with a specified cryptographic algorithm **[selection:**

- ***AES-XTS (as defined in NIST SP 800-38E)***
- ***AES-CBC (as defined in NIST SP 800-38A)***
- ***AES-CTR (as defined in NIST SP 800-38A)***

]and[selection:

- ***AES Key Wrap (KW) (as defined in NIST SP 800-38F)***
- ***AES Key Wrap with Padding (KWP) (as defined in NIST SP 800-38F)***
- ***AES-CCMP-256 (as defined in NIST SP 800-38C and IEEE 802.11ac-2013)***
- ***AES-GCMP-256 (as defined in NIST SP 800-38D and IEEE 802.11ac-2013)***
- ***no other modes***

] and cryptographic key sizes 256-bit. ~~that meet the following: [assignment: list of standards]~~ .

Application Note: AES CCMP (which uses AES in CCM as specified in SP 800-38C) becomes mandatory and must be selected if the ST includes the PP-Module for Wireless LAN Clients, version 1.0.

For the second selection, the ST author should choose the mode or modes in which AES operates. For the third selection, the ST author should choose the key sizes that are supported by this functionality.

Evaluation Activities

FCS_COP.1/ENCRYPT
TSS

Guidance
The evaluator will verify that the AGD documents contains instructions required to configure the OS to use the required modes and key sizes.

Tests
The evaluator will execute all instructions as specified to configure the OS to the appropriate state. The evaluator will perform all of the following tests for each algorithm implemented by the OS and used to satisfy the requirements of this PP:

- *Test 9[conditional, to be performed if*
  - *AES-XTS (as defined in NIST SP 800-38E) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES-XTS (as defined in NIST SP 800-38E) is selected from FCS_COP.1.1/ENCRYPT*

  ]:

  XTS-AES Test

  The evaluator will test the encrypt functionality of XTS-AES for each combination of the following input parameter lengths:
  - *512 bit (for AES-256) key*
  - *Three data unit (i.e., plaintext) lengths. One of the data unit lengths will be a nonzero*

integer multiple of 256 bits, if supported. One of the data unit lengths will be an integer multiple of 256 bits, if supported. The third data unit length will be either the longest supported data unit length or 216 bits, whichever is smaller.

using a set of 100 (key, plaintext and 256-bit random tweak value) 3-tuples and obtain the ciphertext that results from XTS-AES encrypt.

The evaluator may supply a data unit sequence number instead of the tweak value if the implementation supports it. The data unit sequence number is a base-10 number ranging between 0 and 255 that implementations convert to a tweak value internally.

The evaluator will test the decrypt functionality of XTS-AES using the same test as for encrypt, replacing plaintext values with ciphertext values and XTS-AES encrypt with XTSAES decrypt.

- *Test 10[conditional, to be performed if*
  - *AES-CBC (as defined in NIST SP 800-38A) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES-CBC (as defined in NIST SP 800-38A) is selected from FCS_COP.1.1/ENCRYPT*

  ]:

  AES-CBC Known Answer Tests

  There are four Known Answer Tests (KATs), described below. In all KATs, the plaintext, ciphertext, and IV values will be 256-bit blocks. The results from each test may either be obtained by the evaluator directly or by supplying the inputs to the implementer and receiving the results in response. To determine correctness, the evaluator will compare the resulting values to those obtained by submitting the same inputs to a known good implementation.
  - *Test 10.1: To test the encrypt functionality of AES-CBC, the evaluator will supply a set of 5 plaintext values and obtain the ciphertext value that results from AES-CBC encryption of the given plaintext using a key value of all zeros and an IV of all zeros. The plaintext values will encrypted with a 256-bit all-zeros key. To test the decrypt functionality of AES-CBC, the evaluator will perform the same test as for encrypt, using 5 ciphertext values as input and AES-CBC decryption.*
  - *Test 10.2: To test the encrypt functionality of AES-CBC, the evaluator will supply a set of five 256-keys and obtain the ciphertext value that results from AES-CBC encryption of an all-zeros plaintext using the given key value and an IV of all zeros. To test the decrypt functionality of AES-CBC, the evaluator will perform the same test as for encrypt, using an all-zero ciphertext value as input and AES-CBC decryption.*
  - *Test 10.3: To test the encrypt functionality of AES-CBC, the evaluator will supply the a sets of key values described below and obtain the ciphertext value that results from AES encryption of an all-zeros plaintext using the given key value and an IV of all zeros. Key i will have the leftmost i bits be ones and the rightmost N-i bits be zeros, for i in [1,N]. To test the decrypt functionality of AES-CBC, the evaluator will supply the set of key and ciphertext value pairs described below and obtain the plaintext value that results from AES-CBC decryption of the given ciphertext using the given key and an IV of all zeros. The set of key/ciphertext pairs will have 256 256-bit key/ciphertext pairs. Key i in each set will have the leftmost i bits be ones and the rightmost N-i bits be zeros, for i in [1,N]. The ciphertext value in each pair will be the value that results in an all-zeros plaintext when decrypted with its corresponding key.*
  - *Test 10.4: To test the encrypt functionality of AES-CBC, the evaluator will supply the set of 256 plaintext values described below and obtain the ciphertext values that result from AES-CBC encryption of the given plaintext using a 256-bit key value of all zeros with an IV of all zeros. Plaintext valueiin each set will have the leftmostibits be ones and the rightmost 256-ibits be zeros, foriin [1,256].*

  To test the decrypt functionality of AES-CBC, the evaluator will perform the same test as for encrypt, using ciphertext values of the same form as the plaintext in the encrypt test as input and AES-CBC decryption.

  AES-CBC Multi-Block Message Test

  The evaluator will test the encrypt functionality by encrypting an i-block message where 1 < i ≤ 10. The evaluator will choose a key, an IV and plaintext message of length i blocks and encrypt the message, using the mode to be tested, with the chosen key and IV. The ciphertext will be compared to the result of encrypting the same plaintext message with the same key and IV using a known good implementation. The evaluator will also test the decrypt functionality for each mode by decrypting an i-block message where 1 < i ≤10. The evaluator will choose a key, an IV and a ciphertext message of length i blocks and decrypt the message, using the mode to be tested, with the chosen key and IV. The plaintext will be compared to the result of decrypting the same ciphertext message with the same key and IV using a known good implementation.

  AES-CBC Monte Carlo Tests

  The evaluator will test the encrypt functionality using a set of 100 plaintext, IV, and key 3-tuples. The keys, plaintext, and IV values are each 256-bits. For each 3-tuple, 1000 iterations will be run as follows:

```
# Input: PT, IV, Key
for i = 1 to 1000:
  if i == 1:
        CT[1] = AES-CBC-Encrypt(Key, IV, PT)
        PT = IV
  else:
```

```
CT[i] = AES-CBC-Encrypt(Key, PT)
PT = CT[i-1]
```

The ciphertext computed in the 1000th iteration (i.e., CT[1000]) is the result for that trial. This result will be compared to the result of running 1000 iterations with the same values using a known good implementation.

The evaluator will test the decrypt functionality using the same test as for encrypt, exchanging CT and PT and replacing AES-CBC-Encrypt with AES-CBC-Decrypt.

- *Test 11[conditional, to be performed if*
  - *AES-CTR (as defined in NIST SP 800-38A) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES-CTR (as defined in NIST SP 800-38A) is selected from FCS_COP.1.1/ENCRYPT*

]: ***AES-CTR Test***

Known Answer Tests (KATs)

There are four Known Answer Tests (KATs) described below. For all KATs, the plaintext, initialization vector (IV), and ciphertext values shall be 256-bit blocks. The results from each test may either be obtained by the validator directly or by supplying the inputs to the implementer and receiving the results in response. To determine correctness, the evaluator will compare the resulting values to those obtained by submitting the same inputs to a known good implementation.

- *Test 11.1: To test the encrypt functionality, the evaluator will supply 5 plaintext values and obtain the ciphertext value that results from encryption of the given plaintext using a 256-bit key value of all zeros and an IV of all zeros. To test the decrypt functionality, the evaluator will perform the same test as for encrypt, using the 5 ciphertext values as input.*
- *Test 11.2: To test the encrypt functionality, the evaluator will supply 5 256-bit key values and obtain the ciphertext value that results from encryption of an all zeros plaintext using the given key value and an IV of all zeros. To test the decrypt functionality, the evaluator will perform the same test as for encrypt, using an all zero ciphertext value as input.*
- *Test 11.3: To test the encrypt functionality, the evaluator will supply a set of key values described below and obtain the ciphertext values that result from AES encryption of an all zeros plaintext using the given key values and an IV of all zeros. The set of keys shall have shall have 256 256-bit keys. Keyishall have the leftmost i bits be ones and the rightmost 256-i bits be zeros, for i in [1, N]. To test the decrypt functionality, the evaluator will supply the set of key and ciphertext value pairs described below and obtain the plaintext value that results from decryption of the given ciphertext using the given key values and an IV of all zeros. The set of key/ciphertext pairs shall have 256 256-bit pairs. Keyishall have the leftmost i bits be ones and the rightmost 256-i bits be zeros for i in [1, N]. The ciphertext value in each pair shall be the value that results in an all zeros plaintext when decrypted with its corresponding key.*
- *Test 11.4: To test the encrypt functionality, the evaluator will supply the set of 256 plaintext values described below and obtain the two ciphertext values that result from encryption of the given plaintext using a 256 bit key value of all zeros, respectively, and an IV of all zeros. Plaintext value i in each set shall have the leftmost bits be ones and the rightmost 256-i bits be zeros, for i in [1, 256]. To test the decrypt functionality, the evaluator will perform the same test as for encrypt, using ciphertext values of the same form as the plaintext in the encrypt test as input.*

Multi-Block Message Test

The evaluator will test the encrypt functionality by encrypting an i-block message where 1 less-than i less-than-or-equal to 10. For each i the evaluator will choose a key, IV, and plaintext message of length i blocks and encrypt the message, using the mode to be tested, with the chosen key. The ciphertext shall be compared to the result of encrypting the same plaintext message with the same key and IV using a known good implementation. The evaluator will also test the decrypt functionality by decrypting an i-block message where 1 less-than i less-than-or-equal to 10. For each i the evaluator will choose a key and a ciphertext message of length i blocks and decrypt the message, using the mode to be tested, with the chosen key. The plaintext shall be compared to the result of decrypting the same ciphertext message with the same key using a known good implementation.

Monte-Carlo Test

For AES-CTR mode perform the Monte Carlo Test for ECB Mode on the encryption engine of the counter mode implementation. There is no need to test the decryption engine.

The evaluator will test the encrypt functionality using 100 plaintext/key pairs. Each key shall be 256-bit. The plaintext values shall be 256-bit blocks. For each pair, 1000 iterations shall be run as follows:

For AES-ECB mode

```
# Input: PT, Key

for i = 1 to 1000:
CT[i] = AES-ECB-Encrypt(Key, PT)
PT = CT[i]
```

The ciphertext computed in the 1000th iteration is the result for that trial. This result shall

be compared to the result of running 1000 iterations with the same values using a known good implementation.

- *Test 12[conditional, to be performed if*
  - *AES Key Wrap (KW) (as defined in NIST SP 800-38F) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES Key Wrap (KW) (as defined in NIST SP 800-38F) is selected from FCS_COP.1.1/ENCRYPT*

  ]:

  AES Key Wrap (AES-KW) and Key Wrap with Padding (AES-KWP) Test

  The evaluator will test the authenticated encryption functionality of AES-KW for EACH combination of the following input parameter lengths:
  - *256 bit key encryption keys (KEKs)*
  - *Three plaintext lengths. One of the plaintext lengths will be two semi-blocks (256 bits). One of the plaintext lengths will be three semi-blocks (192 bits). The third data unit length will be the longest supported plaintext length less than or equal to 64 semi-blocks (4096 bits).*

  using a set of 100 key and plaintext pairs and obtain the ciphertext that results from AES-KW authenticated encryption. To determine correctness, the evaluator will use the AES-KW authenticated-encryption function of a known good implementation.

  The evaluator will test the authenticated-decryption functionality of AES-KW using the same test as for authenticated-encryption, replacing plaintext values with ciphertext values and AES-KW authenticated-encryption with AES-KW authenticated-decryption.

- *Test 13[conditional, to be performed if*
  - *AES Key Wrap with Padding (KWP) (as defined in NIST SP 800-38F) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES Key Wrap with Padding (KWP) (as defined in NIST SP 800-38F) is selected from FCS_COP.1.1/ENCRYPT*

  ]:

  The evaluator will test the authenticated-encryption functionality of AES-KWP using the same test as for AES-KW authenticated-encryption with the following change in the three plaintext lengths:
  - *One plaintext length will be one octet. One plaintext length will be 20 octets (160 bits).*
  - *One plaintext length will be the longest supported plaintext length less than or equal to 512 octets (4096 bits).*

  The evaluator will test the authenticated-decryption functionality of AES-KWP using the same test as for AES-KWP authenticated-encryption, replacing plaintext values with ciphertext values and AES-KWP authenticated-encryption with AES-KWP authenticated-decryption.

- *Test 14[conditional, to be performed if*
  - *AES-CCMP-256 (as defined in NIST SP 800-38C and IEEE 802.11ac-2013) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES-CCMP-256 (as defined in NIST SP 800-38C and IEEE 802.11ac-2013) is selected from FCS_COP.1.1/ENCRYPT*

  ]:

  AES-CCM Tests

  The evaluator will test the generation-encryption and decryption-verification functionality of AES-CCM for the following input parameter and tag lengths:
  - *256 bit key*
  - *Two payload lengths. One payload length will be the shortest supported payload length, greater than or equal to zero bytes. The other payload length will be the longest supported payload length, less than or equal to 32 bytes (256 bits).*
  - *Two or three associated data lengths. One associated data length will be 0, if supported. One associated data length will be the shortest supported payload length, greater than or equal to zero bytes. One associated data length will be the longest supported payload length, less than or equal to 32 bytes (256 bits). If the implementation supports an associated data length of 2 16 bytes, an associated data length of 216 bytes will be tested.*
  - *Nonce lengths. The evaluator will test all nonce lengths between 7 and 13 bytes, inclusive, that are supported by the OS.*
  - *Tag lengths. The evaluator will test all of the following tag length values that are supported by the OS: 4, 6, 8, 10, 12, 14 and 16 bytes.*

  To test the generation-encryption functionality of AES-CCM, the evaluator will perform the following four tests:

  - *Test 14.1: For EACH supported key and associated data length and ANY supported payload, nonce and tag length, the evaluator will supply one key value, one nonce value and 10 pairs of associated data and payload values and obtain the resulting ciphertext.*
  - *Test 14.2: For EACH supported key and payload length and ANY supported associated data, nonce and tag length, the evaluator will supply one key value, one nonce value*

and 10 pairs of associated data and payload values and obtain the resulting ciphertext.
- *Test 14.3: For EACH supported key and nonce length and ANY supported associated data, payload and tag length, the evaluator will supply one key value and 10 associated data, payload and nonce value 3-tuples and obtain the resulting ciphertext.*
- *Test 14.4: For EACH supported key and tag length and ANY supported associated data, payload and nonce length, the evaluator will supply one key value, one nonce value and 10 pairs of associated data and payload values and obtain the resulting ciphertext.*

To determine correctness in each of the above tests, the evaluator will compare the ciphertext with the result of generation-encryption of the same inputs with a known good implementation.

To test the decryption-verification functionality of AES-CCM, for EACH combination of supported associated data length, payload length, nonce length and tag length, the evaluator will supply a key value and 15 nonce, associated data and ciphertext 3-tuples and obtain either a FAIL result or a PASS result with the decrypted payload. The evaluator will supply 10 tuples that should FAIL and 5 that should PASS per set of 15.

Additionally, the evaluator will use tests from the IEEE 802.11-02/362r6 document "Proposed Test vectors for IEEE 802.11 TGi", dated September 10, 2002, Section 2.1 AESCCMP Encapsulation Example and Section 2.2 Additional AES CCMP Test Vectors to further verify the IEEE 802.11-2007 implementation of AES-CCMP.

- *Test 15[conditional, to be performed if*
  - *AES-GCMP-256 (as defined in NIST SP 800-38D and IEEE 802.11ac-2013) is selected from FCS_COP.1.1/ENCRYPT*
  - *AES-GCMP-256 (as defined in NIST SP 800-38D and IEEE 802.11ac-2013) is selected from FCS_COP.1.1/ENCRYPT*

  ]:

  AES-GCMP Test

  The evaluator will test the authenticated encrypt functionality of AES-GCM for each combination of the following input parameter lengths:
  - *256 bit keys*
  - *Two plaintext lengths. One of the plaintext lengths will be a non-zero integer multiple of 256 bits, if supported. The other plaintext length will not be an integer multiple of 256 bits, if supported.*
  - *Three AAD lengths. One AAD length will be 0, if supported. One AAD length will be a non-zero integer multiple of 256 bits, if supported. One AAD length will not be an integer multiple of 256 bits, if supported.*
  - *Two IV lengths. If 96 bit IV is supported, 96 bits will be one of the two IV lengths tested.*

  The evaluator will test the encrypt functionality using a set of 10 key, plaintext, AAD, and IV tuples for each combination of parameter lengths above and obtain the ciphertext value and tag that results from AES-GCM authenticated encrypt. Each supported tag length will be tested at least once per set of 10. The IV value may be supplied by the evaluator or the implementation being tested, as long as it is known.

  The evaluator will test the decrypt functionality using a set of 10 key, ciphertext, tag, AAD, and IV 5-tuples for each combination of parameter lengths above and obtain a Pass/Fail result on authentication and the decrypted plaintext if Pass. The set will include five tuples that Pass and five that Fail.

  The results from each test may either be obtained by the evaluator directly or by supplying the inputs to the implementer and receiving the results in response. To determine correctness, the evaluator will compare the resulting values to those obtained by submitting the same inputs to a known good implementation.

  AES-GCMP Monte Carlo Tests

  The evaluator will test the authenticated encrypt functionality of AES-GCM for each combination of the following input parameter lengths:
  - *256 bit keys*
  - *Two plaintext lengths. One of the plaintext lengths will be a non-zero integer multiple of 256 bits, if supported. The other plaintext length will not be an integer multiple of 256 bits, if supported.*
  - *Three AAD lengths. One AAD length will be 0, if supported. One AAD length will be a non-zero integer multiple of 256 bits, if supported. One AAD length will not be an integer multiple of 256 bits, if supported.*
  - *Two IV lengths. If 96 bit IV is supported, 96 bits will be one of the two IV lengths tested.*

  The evaluator will test the encrypt functionality using a set of 10 key, plaintext, AAD, and IV tuples for each combination of parameter lengths above and obtain the ciphertext value and tag that results from AES-GCM authenticated encrypt. Each supported tag length will be tested at least once per set of 10. The IV value may be supplied by the evaluator or the implementation being tested, as long as it is known.

  The evaluator will test the decrypt functionality using a set of 10 key, ciphertext, tag, AAD, and IV 5-tuples for each combination of parameter lengths above and obtain a Pass/Fail result on authentication and the decrypted plaintext if Pass. The set will include five tuples

that Pass and five that Fail.

The results from each test may either be obtained by the evaluator directly or by supplying the inputs to the implementer and receiving the results in response. To determine correctness, the evaluator will compare the resulting values to those obtained by submitting the same inputs to a known good implementation.

FCS_COP.1/HASH Cryptographic Operation - Hashing (Refined)

FCS_COP.1.1/HASH

The **OS** shall perform [*cryptographic hashing services*] in accordance with a specified cryptographic algorithm **[selection:**

- ***SHA-256***
- ***SHA-384***
- ***SHA-512***

]and message digest sizes[selection:

- ***160 bits***
- ***256 bits***
- ***384 bits***
- ***512 bits***

] that meet the following: [*FIPS Pub 180-4*].

Application Note: The intent of this requirement is to specify the hashing function. The hash selection must support the message digest size selection. The hash selection should be consistent with the overall strength of the algorithm used.

Evaluation Activities

FCS_COP.1/HASH
TSS

Guidance

Tests

The evaluator will check that the association of the hash function with other application cryptographic functions (for example, the digital signature verification function) is documented in the TSS.

The TSF hashing functions can be implemented in one of two modes. The first mode is the byte-oriented mode. In this mode the TSF only hashes messages that are an integral number of bytes in length; i.e., the length (in bits) of the message to be hashed is divisible by 8. The second mode is the bit-oriented mode. In this mode the TSF hashes messages of arbitrary length. As there are different tests for each mode, an indication is given in the following sections for the bit-oriented vs. the byte-oriented test MACs. The evaluator will perform all of the following tests for each hash algorithm implemented by the TSF and used to satisfy the requirements of this PP.

The following tests require the developer to provide access to a test application that provides the evaluator with tools that are typically not found in the production application.

- *Test 16: Short Messages Test (Bit oriented Mode) - The evaluator will generate an input set consisting of m+1 messages, where m is the block length of the hash algorithm. The length of the messages range sequentially from 0 to m bits. The message text will be pseudorandomly generated. The evaluator will compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.*
- *Test 17: Short Messages Test (Byte oriented Mode) - The evaluator will generate an input set consisting of m/8+1 messages, where m is the block length of the hash algorithm. The length of the messages range sequentially from 0 to m/8 bytes, with each message being an integral number of bytes. The message text will be pseudorandomly generated. The evaluator will compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.*
- *Test 18: Selected Long Messages Test (Bit oriented Mode) - The evaluator will generate an input set consisting of m messages, where m is the block length of the hash algorithm. The length of theith message is 512 + 99·i, where 1 ≤ i ≤ m. The message text will be pseudorandomly generated. The evaluator will compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.*
- *Test 19: Selected Long Messages Test (Byte oriented Mode) - The evaluator will generate an input set consisting of m/8 messages, where m is the block length of the hash algorithm. The length of theith message is 512 + 8·99·i, where 1 ≤ i ≤ m/8. The message text will be pseudorandomly generated. The evaluator will compute the message digest for each of the messages and ensure that the correct result is produced when the messages are provided to the TSF.*
- *Test 20: Pseudorandomly Generated Messages Test - This test is for byte-oriented implementations only. The evaluator will randomly generate a seed that is n bits long, where n is the length of the message digest produced by the hash function to be tested. The*

evaluator will then formulate a set of 100 messages and associated digests by following the algorithm provided in Figure 1 of[SHAVS]. The evaluator will then ensure that the correct result is produced when the messages are provided to the TSF.

FCS_COP.1/KEYHMAC Cryptographic Operation - Keyed-Hash Message Authentication (Refined)

FCS_COP.1.1/KEYHMAC

The **OS** shall perform [*keyed-hash message authentication services*] in accordance with a specified cryptographic algorithm **[selection: *SHA-256, SHA-384, SHA-512*]with key sizes[assignment: *key size (in bits) used in HMAC*]and message digest** sizes[**selection**: *160 bits, 256 bits, 384 bits, 512 bits*]that meet the following: [*FIPS Pub 198-1 The Keyed-Hash Message Authentication Code and FIPS Pub 180-4 Secure Hash Standard*].

Application Note: The intent of this requirement is to specify the keyed-hash message authentication function used for key establishment purposes for the various cryptographic protocols used by the OS (e.g., trusted channel). The hash selection must support the message digest size selection. The hash selection should be consistent with the overall strength of the algorithm used for FCS_COP.1/HASH.

Evaluation Activities

FCS_COP.1/KEYHMAC
TSS

Guidance

Tests
The evaluator will perform the following activities based on the selections in the ST.

For each of the supported parameter sets, the evaluator will compose 15 sets of test data. Each set consists of a key and message data. The evaluator will have the OS generate HMAC tags for these sets of test data. The resulting MAC tags will be compared against the result of generating HMAC tags with the same key using a known-good implementation.

FCS_COP.1/SIGN Cryptographic Operation - Signing (Refined)

FCS_COP.1.1/SIGN

The **OS** shall perform [*cryptographic signature services (generation and verification)*] in accordance with a specified cryptographic algorithm **[selection:**

- ***RSA schemes using cryptographic key sizes of 2048-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 4***
- ***ECDSA schemes using "NIST curves" P-384 and[selection: P-521, no other curves]that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 5***

] ~~and cryptographic key sizes [assignment: cryptographic algorithm] that meet the following: [assignment: list of standards]~~ .

Application Note: The ST Author should choose the algorithm implemented to perform digital signatures; if more than one algorithm is available, this requirement should be iterated to specify the functionality. For the algorithm chosen, the ST author should make the appropriate assignments/selections to specify the parameters that are implemented for that algorithm.

Evaluation Activities

FCS_COP.1/SIGN
TSS

Guidance

Tests
The following tests require the developer to provide access to a test application that provides the evaluator with tools that are typically not found in the production application.

- *Test 21[conditional, to be performed if*
  - *ECDSA schemes using "NIST curves" P-384 and[**selection**: P-521, no other curves]that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 5 is selected from FCS_COP.1.1/SIGN*

]:

ECDSA Algorithm Tests

- *Test 21.1: ECDSA FIPS 186-4 Signature Generation Test. For each supported NIST curve (i.e., P-384 and P-521) and SHA function pair, the evaluator will generate 10 1024-bit long messages and obtain for each message a public key and the resulting signature values R and S. To determine correctness, the evaluator will use the signature verification function of a known good implementation.*
- *Test 21.2: ECDSA FIPS 186-4 Signature Verification Test. For each supported NIST curve (i.e., P-384 and P-521) and SHA function pair, the evaluator will generate a set of 10 1024-bit message, public key and signature tuples and modify one of the values (message, public key or signature) in five of the 10 tuples. The evaluator will verify that 5 responses indicate success and 5 responses indicate failure.*

- *Test 22[conditional, to be performed if*
  - *RSA schemes using cryptographic key sizes of 2048-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 4 is selected from FCS_COP.1.1/SIGN*
  - *RSA schemes using cryptographic key sizes of 2048-bit or greater that meet the following: FIPS PUB 186-4, "Digital Signature Standard (DSS)", Section 4 is selected from FCS_COP.1.1/SIGN*

  ]:

  RSA Signature Algorithm Tests

  - *Test 22.1: Signature Generation Test. The evaluator will verify the implementation of RSA Signature Generation by the OS using the Signature Generation Test. To conduct this test the evaluator must generate or obtain 10 messages from a trusted reference implementation for each modulus size/SHA combination supported by the TSF. The evaluator will have the OS use its private key and modulus value to sign these messages. The evaluator will verify the correctness of the TSF' signature using a known good implementation and the associated public keys to verify the signatures.*
  - *Test 22.2: Signature Verification Test. The evaluator will perform the Signature Verification test to verify the ability of the OS to recognize another party's valid and invalid signatures. The evaluator will inject errors into the test vectors produced during the Signature Verification Test by introducing errors in some of the public keys, e, messages, IR format, and/or signatures. The evaluator will verify that the OS returns failure when validating each signature.*

FCS_RBG_EXT.1 Random Bit Generation

FCS_RBG_EXT.1.1

The OS shall perform all deterministic random bit generation (DRBG) services in accordance with NIST Special Publication 800-90A using[**selection**:

- *Hash_DRBG (any)*
- *HMAC_DRBG (any)*
- *CTR_DRBG (AES)*

].

Application Note: NIST SP 800-90A contains three different methods of generating random numbers; each of these, in turn, depends on underlying cryptographic primitives (hash functions/ciphers). The ST author will select the function used and include the specific underlying cryptographic primitives used in the requirement or in the TSS. While any of the identified hash functions (SHA-224, SHA-256, SHA-384, SHA-512) are allowed for Hash_DRBG or HMAC_DRBG, only AES-based implementations for CTR_DRBG are allowed.

FCS_RBG_EXT.1.2

The deterministic RBG used by the OS shall be seeded by an entropy source that accumulates entropy from a[**selection**:

- *software-based noise source*
- *platform-based noise source*

]with a minimum of 256 bitsof entropy at least equal to the greatest security strength (according to NIST SP 800-57) of the keys and hashes that it will generate.

Application Note: For the first selection in this requirement, the ST author selects 'software-based noise source' if any additional noise sources are used as input to the DRBG.

In the second selection in this requirement, the ST author selects the appropriate number of bits of entropy that corresponds to the greatest security strength of the algorithms included in the ST. Security strength is defined in Tables 2 and 3 of NIST SP 800-57A. For example, if the implementation includes 3072-bit RSA (security strength of 128 bits), AES 256 (security strength 256

bits), and HMAC-SHA-256 (security strength 256 bits), then the ST author would select 256 bits.

Evaluation Activities

FCS_RBG_EXT.1
TSS

Guidance

Tests
Documentation will be produced - and the evaluator will perform the activities - in accordance with Appendix E - Entropy Documentation and Assessment and the Clarification to the Entropy Documentation and Assessment Annex.

In the future, specific statistical testing (in line with NIST SP 800-90B) will be required to verify the entropy estimates.

FCS_STO_EXT.1 Storage of Sensitive Data

FCS_STO_EXT.1.1

The OS shall implement functionality to encrypt sensitive data stored in non-volatile storage and provide interfaces to applications to invoke this functionality.

Application Note: Sensitive data will be identified in the TSS by the ST author, and minimally includes credentials and keys. The interface for invoking the functionality could take a variety of forms: it could consist of an API, or simply well-documented conventions for accessing credentials stored as files.

Evaluation Activities

FCS_STO_EXT.1
TSS
The evaluator will check the TSS to ensure that it lists all persistent sensitive data for which the OS provides a storage capability. For each of these items, the evaluator will confirm that the TSS lists for what purpose it can be used, and how it is stored. The evaluator will confirm that cryptographic operations used to protect the data occur as specified in FCS_COP.1/ENCRYPT.

Guidance
The evaluator will consult the developer documentation to verify that instructions exists on applications should securely store credentials.

Tests

5.1.2 Class: User Data Protection (FDP)

FDP_ACF_EXT.1 Access Controls for Protecting User Data

FDP_ACF_EXT.1.1

The OS shall implement access controls which can prohibit unprivileged users from accessing files and directories owned by other users.

Application Note: Effective protection by access controls may also depend upon system configuration. This requirement is designed to ensure that, for example, files and directories owned by one user in a multi user system can be protected from access by another user in that system.

Evaluation Activities

FDP_ACF_EXT.1
TSS
The evaluator will confirm that the TSS comprehensively describes the access control policy enforced by the OS. The description must include the rules by which accesses to particular files and directories are determined for particular users. The evaluator will inspect the TSS to ensure that it describes the access control rules in such detail that given any possible scenario between a user and a file governed by the OS the access control decision is unambiguous.

Guidance

Tests
The evaluator will create two new standard user accounts on the system and conduct the following tests:

- *Test 23: The evaluator will authenticate to the system as the first user and create a file within that user's home directory. The evaluator will then log off the system and log in as the second user. The evaluator will then attempt to read the file created in the first user's home directory. The evaluator will ensure that the read attempt is denied.*
- *Test 24: The evaluator will authenticate to the system as the first user and create a file within that user's home directory. The evaluator will then log off the system and log in as the second user. The evaluator will then attempt to modify the file created in the first user's home directory. The evaluator will ensure that the modification is denied.*
- *Test 25: The evaluator will authenticate to the system as the first user and create a file within that user's user directory. The evaluator will then log off the system and log in as the second user. The evaluator will then attempt to delete the file created in the first user's home directory. The evaluator will ensure that the deletion is denied.*
- *Test 26: The evaluator will authenticate to the system as the first user. The evaluator will attempt to create a file in the second user's home directory. The evaluator will ensure that the creation of the file is denied.*
- *Test 27: The evaluator will authenticate to the system as the first user and attempt to modify the file created in the first user's home directory. The evaluator will ensure that the modification of the file is accepted.*
- *Test 28: The evaluator will authenticate to the system as the first user and attempt to delete the file created in the first user's directory. The evaluator will ensure that the deletion of the file is accepted.*

5.1.3 Class: Security Management (FMT)

FMT_MOF_EXT.1 Management of security functions behavior

FMT_MOF_EXT.1.1

The OS shall restrict the ability to perform the function indicated in the "Administrator" column inFMT_SMF_EXT.1.1 to the administrator.

Application Note: The functions with an "X" in the "Administrator" column must be restricted to (or overridden by) the administrator in the TOE. The functions with an "O" in the "Administrator" column may be restricted to (or overridden by) the administrator when implemented in the TOE at the discretion of the ST author. For such functions, the ST author indicates this by replacing an "O" with an "X" in the ST.

Evaluation Activities

FMT_MOF_EXT.1
TSS
The evaluator will verify that the TSS describes those management functions that are restricted to Administrators, including how the user is prevented from performing those functions, or not able to use any interfaces that allow access to that function.

Guidance

Tests

The evaluator will also perform the following test.

- *Test 29: For each function that is indicated as restricted to the administrator, the evaluation will perform the function as an administrator, as specified in the Operational Guidance, and determine that it has the expected effect as outlined by the Operational Guidance and the SFR. The evaluator will then perform the function (or otherwise attempt to access the function) as a non-administrator and observe that they are unable to invoke that functionality.*

FMT_SMF_EXT.1 Specification of Management Functions

FMT_SMF_EXT.1.1

The OS shall be capable of performing the following management functions:.

Table 2: Management Functions

Status Markers:
O - Indicates that this function is optional for this role
M - Indicates that this function is mandatory for this role.

#	Management Function	Administrator	User
1	Enable/disable [**selection**: *screen lock*, *session timeout*]	M	O

2	Configure [**selection**: *screen lock, session*] inactivity timeout	M	O
3	import keys/secrets into the secure key storage	O	O
4	Configure local audit storage capacity	O	O
5	Configure minimum password length	O	O
6	Configure minimum number of special characters in password	O	O
7	Configure minimum number of numeric characters in password	O	O
8	Configure minimum number of uppercase characters in password	O	O
9	Configure minimum number of lowercase characters in password	O	O
10	Configure lockout policy for unsuccessful authentication attempts through [**selection**: *timeouts between attempts, limiting number of attempts during a time period*]	O	O
11	Configure host-based firewall	O	O
12	Configure name/address of directory server with which to bind	O	O
13	Configure name/address of remote management server from which to receive management settings	O	O
14	Configure name/address of audit/logging server to which to send audit/logging records	O	O
15	Configure audit rules	O	O
16	Configure name/address of network time server	O	O
17	Enable/disable automatic software update	O	O
18	Configure Wi-Fi interface	O	O
19	Enable/disable Bluetooth interface	O	O
20	Enable/disable [**assignment**: *list of other external interfaces*]	O	O
21	[**assignment**: *list of other management functions to be provided by the TSF*]	O	O

Application Note: The ST should indicate which of the optional management functions are implemented in the TOE. This can be done by copying the above table into the ST and adjusting the "Administrator" and "User" columns to "X" according to which capabilities are present or not present, and for which privilege level. The Application Note for FMT_MOF_EXT.1 explains how to indicate Administrator or User capability.

The terms "Administrator" and "User" are defined in the glossary. The intent of this requirement is to ensure that the ST is populated with the relevant management functions that are provided by the OS.

Sophisticated account management policies, such as intricate password complexity requirements and handling of temporary accounts, are a function of directory servers. The OS can enroll in such account management and enable the overall information system to achieve such policies by binding to a directory server.

Evaluation Activities

FMT_SMF_EXT.1
TSS

Guidance
The evaluator will verify that every management function captured in the ST is described in the operational guidance and that the description contains the information required to perform the management duties associated with the management function.

Tests
The evaluator will test the OS's ability to provide the management functions by configuring the operating system and testing each option selected from above. The evaluator is expected to test these functions in all the ways in which the ST and guidance documentation state the configuration can be managed.

The following EAs correspond to specific management functions.
Function 1
TSS

Guidance

Tests

Function 2
TSS

Guidance

Tests

Function 3 [CONDITIONAL]
TSS

Guidance

Tests

Function 4 [CONDITIONAL]
TSS

Guidance

Tests

Function 5 [CONDITIONAL]
TSS

Guidance

Tests

Function 6 [CONDITIONAL]
TSS

Guidance

Tests

Function 7 [CONDITIONAL]
TSS

Guidance

Tests

Function 8 [CONDITIONAL]
TSS

Guidance

Tests

Function 9 [CONDITIONAL]
TSS

Guidance

Tests

Function 10 [CONDITIONAL]
TSS

Guidance

Tests

Function 11 [CONDITIONAL]
TSS

Guidance

Tests

Function 12 [CONDITIONAL]
TSS

Guidance

Tests

Function 13 [CONDITIONAL]
TSS

Guidance

Tests

Function 14 [CONDITIONAL]
TSS

Guidance

Tests

Function 15 [CONDITIONAL]
TSS

Guidance

Tests

Function 16 [CONDITIONAL]
TSS

Guidance

Tests

Function 17 [CONDITIONAL]
TSS

Guidance

Tests

Function 18 [CONDITIONAL]
TSS

Guidance

Tests

Function 19 [CONDITIONAL]
TSS

Guidance

Tests

Function 20 [CONDITIONAL]
TSS

Guidance

Tests

Function 21 [CONDITIONAL]
TSS

Guidance

Tests

5.1.4 Class: Protection of the TSF (FPT)

FPT_ACF_EXT.1 Access controls

FPT_ACF_EXT.1.1

The OS shall implement access controls which prohibit unprivileged users from

modifying:

- Kernel and its drivers/modules
- Security audit logs
- Shared libraries
- System executables
- System configuration files
- [**assignment**: *other objects*]

.

FPT_ACF_EXT.1.2

The OS shall implement access controls which prohibit unprivileged users from reading:

- Security audit logs
- System-wide credential repositories
- [**assignment**: *list of other objects*]

.

Application Note: "Credential repositories" refer, in this case, to structures containing cryptographic keys or passwords.

Evaluation Activities

FPT_ACF_EXT.1
TSS

Guidance

Tests

The evaluator will create an unprivileged user account. Using this account, the evaluator will ensure that the following tests result in a negative outcome (i.e., the action results in the OS denying the evaluator permission to complete the action):

- *Test 30: The evaluator will attempt to read security audit logs generated by the auditing subsystem*
- *Test 31: The evaluator will attempt to read system-wide credential repositories*
- *Test 32: The evaluator will attempt to read any other object specified in the assignment*

FPT_ASLR_EXT.1 Address Space Layout Randomization

FPT_ASLR_EXT.1.1

The OS shall always randomize process address space memory locations with[**selection**: *8*, ***[assignment**: number greater than 8]*]bits of entropy except for[**assignment**: *list of explicit exceptions*].

Evaluation Activities

FPT_ASLR_EXT.1
TSS

Guidance

Tests

The evaluator will select 3 executables included with the TSF. If the TSF includes a web browser it must be selected. If the TSF includes a mail client it must be selected. For each of these apps, the evaluator will launch the same executables on two separate instances of the OS on identical hardware and compare all memory mapping locations. The evaluator will ensure that no memory mappings are placed in the same location. If the rare chance occurs that two mappings are the same for a single executable and not the same for the other two, the evaluator will repeat the test with that executable to verify that in the second test the mappings are different. This test can also be completed on the same hardware and rebooting between application launches.

FPT_SBOP_EXT.1 Stack Buffer Overflow Protection

FPT_SBOP_EXT.1.1

The OS shall[**selection**: *employ stack-based buffer overflow protections, not store parameters/variables in the same data structures as control flow values*].

Application Note: Many OSes store control flow values (i.e. return addresses) in stack data structures that also contain parameters and variables. For these OSes, it is expected that most of the OS, to include the kernel, libraries, and application software from the OS vendor be compiled with stack-based buffer overflow protection enabled. OSes that store parameters and variables separately from control flow values do not need additional stack protections.

Evaluation Activities

FPT_SBOP_EXT.1
TSS

Guidance

Tests
For stack-based OSes, the evaluator will determine that the TSS contains a description of stack-based buffer overflow protections used by the OS. These are referred to by a variety of terms, such as stack cookie, stack guard, and stack canaries. The TSS must include a rationale for any binaries that are not protected in this manner. The evaluator will also preform the following test:

- *Test 33: The evaluator will inventory the kernel, libraries, and application binaries to determine those that do not implement stack-based buffer overflow protections. This list should match up with the list provided in the TSS.*

For OSes that store parameters/variables separately from control flow values, the evaluator will verify that the TSS describes what data structures control values, parameters, and variables are stored. The evaluator will also ensure that the TSS includes a description of the safeguards that ensure parameters and variables do not intermix with control flow values.

FPT_TST_EXT.1 Boot Integrity

FPT_TST_EXT.1.1

The OS shall verify the integrity of the bootchain up through the OS kernel and[**selection**:

- *all executable code stored in mutable media*
- *[**assignment**: list of other executable code]*
- *no other executable code*

]prior to its execution through the use of[**selection**:

- *a digital signature using a hardware-protected asymmetric key*
- *a digital signature using an X509 certificate with hardware-based protection*
- *a hardware-protected hash*

].

Application Note: The bootchain of the OS is the sequence of software, to include the OS loader, the kernel, system drivers or modules, and system files, which ultimately result in loading the OS. The first part of the OS, usually referred to as the first-stage bootloader, must be loaded by the platform. Assessing its integrity, while critical, is the platform's responsibility; and therefore outside the scope of this PP. All software loaded after this stage is potentially within the control of the OS and is in scope.

The verification may be transitive in nature: a hardware-protected public key, X509 certificate or hash may be used to verify the mutable bootloader code which contains a key, certificate, or hash used by the bootloader to verify the mutable OS kernel code, which contains a key, certificate, or hash to verify the next layer of executable code, and so on. However, the way in which the hardware stores and protects these keys is out of scope.

If all executable code (including bootloader(s), kernel, device drivers, pre-loaded applications, user-loaded applications, and libraries) is verified, all executable code stored in mutable media should be selected.

If certificates are used, they can be hardware-protected trust store elements or leaf certificates in a certificate chain that terminates in a root CA which is an element of a hardware protected trust store. If the certificates themselves are not trust store elements, revocation information is expected to be available for each CA certificate in the chain that is not a trust element, in accordance to FIA_X509_EXT.1.

Evaluation Activities

FPT_TST_EXT.1
TSS
The evaluator will verify that the TSS section of the ST includes a comprehensive description of the boot procedures, including a description of the entire bootchain, for the TSF. The evaluator will ensure that the OS cryptographically verifies each piece of software it loads in the bootchain to include bootloaders and the kernel. Software loaded for execution directly by the platform (e.g. first-stage bootloaders) is out of scope. For each additional category of executable code verified before execution, the evaluator will verify that the description in the TSS describes how that software is cryptographically verified.

The evaluator will verify that the TSS contains a description of the protection afforded to the mechanism performing the cryptographic verification.

Guidance

Tests

The evaluator will also perform the following tests:

- *Test 34: The evaluator will perform actions to cause TSF software to load and observe that the integrity mechanism does not flag any executables as containing integrity errors and that the OS properly boots.*
- *Test 35: The evaluator will modify a TSF executable that is part of the bootchain verified by the TSF (i.e. Not the first-stage bootloader) and attempt to boot. The evaluator will ensure that an integrity violation is triggered and the OS does not boot (Care must be taken so that the integrity violation is determined to be the cause of the failure to load the module, and not the fact that in such a way to invalidate the structure of the module.).*
- *Test 36[conditional, to be performed if*
  - *a digital signature using an X509 certificate with hardware-based protection is selected from FPT_TST_EXT.1.1*

  ]: If the ST author indicates that the integrity verification is performed using public key in an X509 certificate, the evaluator will verify that the boot integrity mechanism includes a certificate validation according to FIA_X509_EXT.1 for all certificates in the chain from the certificate used for boot integrity to a certificate in the trust store that are not themselves in the trust store. This means that, for each X509 certificate in this chain that is not a trust store element, the evaluator must ensure that revocation information is available to the TOE during the bootstrap mechanism (before the TOE becomes fully operational).

FPT_TUD_EXT.1 Trusted Update

FPT_TUD_EXT.1.1

The OS shall provide the ability to check for updates to the OS software itself and shall use a digital signature scheme specified in FCS_COP.1/SIGN to validate the authenticity of the response.

Application Note: This requirement is about the ability to check for the availability of authentic updates, while the installation of authentic updates is covered by FPT_TUD_EXT.1.2. Use of the digital signature scheme ensures that an attacker cannot influence the response, regarding of whether updates are available.

FPT_TUD_EXT.1.2

The OS shall[**selection**: *cryptographically verify*, *invoke platform-provided functionality to cryptographically verify*]updates to itself using a digital signature prior to installation using schemes specified in FCS_COP.1/SIGN.

Application Note: The intent of the requirement is to ensure that only digitally signed and verified TOE updates are applied to the TOE.

Evaluation Activities

FPT_TUD_EXT.1
TSS

Guidance

Tests

For the following tests, the evaluator will initiate the download of an update and capture the update prior to installation. The download could originate from the vendor's website, an enterprise-hosted update repository, or another system (e.g. network peer). All supported origins for the update must be indicated in the TSS and evaluated.

- *Test 37: The evaluator will ensure that the update has a digital signature belonging to the vendor prior to its installation. The evaluator will modify the downloaded update in such a way that the digital signature is no longer valid. The evaluator will then attempt to install the modified update. The evaluator will ensure that the OS does not install the modified update.*
- *Test 38: The evaluator will ensure that the update has a digital signature belonging to the vendor. The evaluator will then attempt to install the update (or permit installation to continue). The evaluator will ensure that the OS successfully installs the update.*

FPT_TUD_EXT.2 Trusted Update for Application Software

FPT_TUD_EXT.2.1

The OS shall provide the ability to check for updates to application software and shall use a digital signature scheme specified in FCS_COP.1/SIGN to validate the authenticity of the response.

Application Note: This requirement is about the ability to check for authentic

updates, while the actual installation of such updates is covered by FPT_TUD_EXT.2.2. Use of the digital signature scheme ensures that an attacker cannot influence the response, regarding of whether updates are available.

FPT_TUD_EXT.2.2

The OS shall cryptographically verify the integrity of updates to applications using a digital signature specified by FCS_COP.1/SIGN prior to installation.

Evaluation Activities

FPT_TUD_EXT.2
TSS

Guidance

Tests

The evaluator will initiate an update to an application. This may vary depending on the application, but it could be through the application vendor's website, a commercial app store, or another system. All origins supported by the OS must be indicated in the TSS and evaluated. However, this only includes those mechanisms for which the OS is providing a trusted installation and update functionality. It does not include user or administrator-driven download and installation of arbitrary files.

- *Test 39: The evaluator will ensure that the update has a digital signature which chains to the OS vendor or another trusted root managed through the OS. The evaluator will modify the downloaded update in such a way that the digital signature is no longer valid. The evaluator will then attempt to install the modified update. The evaluator will ensure that the OS does not install the modified update.*
- *Test 40: The evaluator will ensure that the update has a digital signature belonging to the OS vendor or another trusted root managed through the OS. The evaluator will then attempt to install the update. The evaluator will ensure that the OS successfully installs the update.*

FPT_W^X_EXT.1 Write XOR Execute Memory Pages

FPT_W^X_EXT.1.1

The OS shall prevent allocation of any memory region with both write and execute permissions except for[**assignment**: *list of exceptions*].

Application Note: Requesting a memory mapping with both write and execute permissions subverts the platform protection provided by DEP. If the OS provides no exceptions (such as for just-in-time compilation), then "no exceptions" should be indicated in the assignment. Full realization of this requirement requires hardware support, but this is commonly available.

Evaluation Activities

FPT_W^X_EXT.1
TSS
The evaluator will inspect the vendor-provided developer documentation and verify that no memory-mapping can be made with write and execute permissions except for the cases listed in the assignment.

Guidance

Tests

The evaluator will also perform the following tests.

- *Test 41: The evaluator will acquire or construct a test program which attempts to allocate memory that is both writable and executable. The evaluator will run the program and confirm that it fails to allocate memory that is both writable and executable.*
- *Test 42: The evaluator will acquire or construct a test program which allocates memory that is executable and then subsequently requests additional write/modify permissions on that memory. The evaluator will run the program and confirm that at no time during the lifetime of the process is the memory both writable and executable.*
- *Test 43: The evaluator will acquire or construct a test program which allocates memory that is writable and then subsequently requests additional execute permissions on that memory. The evaluator will run the program and confirm that at no time during the lifetime of the process is the memory both writable and executable.*

5.1.5 Class: Audit Data Generation (FAU)

FAU_GEN.1 Audit Data Generation (Refined)

FAU_GEN.1.1

The **OS** shall be able to generate an audit record of the following auditable

events:

1. Start-up and shut-down of the audit functions;
2. All auditable events for the [*not specified*] level of audit; and [
3. - *Authentication events (Success/Failure);*
   - *Use of privileged/special rights events (Successful and unsuccessful security, audit, and configuration changes);*
   - *Privilege or role escalation events (Success/Failure);*
   - ***[selection**:*
     - *File and object events (Successful and unsuccessful attempts to create, access, delete, modify, modify permissions)*
     - *User and Group management events (Successful and unsuccessful add, delete, modify, disable, enable, and credential change)*
     - *Audit and log data access events (Success/Failure)*
     - *Cryptographic verification of software (Success/Failure)*
     - *Attempted application invocation with arguments (Success/Failure e.g. due to software restriction policy)*
     - *System reboot, restart, and shutdown events (Success/Failure)*
     - *Kernel module loading and unloading events (Success/Failure)*
     - *Administrator or root-level access events (Success/Failure)*
     - ***[assignment**: other specifically defined auditable events]*
     - .

   ]

].

FAU_GEN.1.2

The **OS** shall record within each audit record at least the following information:

1. Date and time of the event, type of event, subject identity (if applicable), and outcome (success or failure) of the event; and
2. For each audit event type, based on the auditable event definitions of the functional components included in the PP/ST,[**assignment**: *other audit relevant information*]

.

Application Note: The term *subject* here is understood to be the user that the process is acting on behalf of. If no auditable event definitions of functional components are provided, then no additional audit-relevant information is required.

Evaluation Activities

FAU_GEN.1
TSS

Guidance
The evaluator will check the administrative guide and ensure that it provides a format for audit records. Each audit record format type must be covered, along with a brief description of each field. The evaluator will ensure that the fields contains the information required.

Tests
The evaluator will test the OS's ability to correctly generate audit records by having the TOE generate audit records for the events listed in the ST. The evaluator will ensure the audit records generated during testing match the format specified in the administrative guide, and that the fields in each audit record provide the required information.

5.1.6 Class: Identification and Authentication (FIA)

FIA_AFL.1 Authentication failure handling (Refined)

FIA_AFL.1.1

The **OS** shall detect when[**selection**:

- ***[assignment**: positive integer number]*
- *an administrator configurable positive integer within**[assignment**: range of acceptable values]*

]unsuccessful authentication attempts occur related to **events with[selection:**

- ***authentication based on user name and password***
- ***authentication based on user name and a PIN that releases an asymmetric key stored in OE-protected storage***
- ***authentication based on X.509 certificates***

] .

FIA_AFL.1.2

When the defined number of unsuccessful authentication attempts for an account has been **met** , the **OS** shall: **[selection:** ***Account Lockout, Account Disablement, Mandatory Credential Reset, [assignment: list of actions]*** **]** .

Application Note: The action to be taken will be populated in the assignment of the ST and defined in the administrator guidance.

Evaluation Activities

FIA_AFL.1
TSS

Guidance

Tests

- *Test 44: The evaluator will attempt to authenticate repeatedly to the system with a known bad password. Once the defined number of failed authentication attempts has been reached the evaluator will ensure that the account that was being used for testing has had the actions detailed in the assignment list above applied to it. The evaluator will ensure that an event has been logged to the security event log detailing that the account has had these actions applied.*
- *Test 45: The evaluator will attempt to authenticate repeatedly to the system with a known bad certificate. Once the defined number of failed authentication attempts has been reached the evaluator will ensure that the account that was being used for testing has had the actions detailed in the assignment list above applied to it. The evaluator will ensure that an event has been logged to the security event log detailing that the account has had these actions applied.*
- *Test 46: The evaluator will attempt to authenticate repeatedly to the system using both a bad password and a bad certificate. Once the defined number of failed authentication attempts has been reached the evaluator will ensure that the account that was being used for testing has had the actions detailed in the assignment list above applied to it. The evaluator will ensure that an event has been logged to the security event log detailing that the account has had these actions applied.*

FIA_UAU.5 Multiple Authentication Mechanisms (Refined)

FIA_UAU.5.1

The **OS** shall provide the following authentication mechanisms **[selection:**

- ***authentication based on username and password***
- ***authentication based on username and a PIN that releases an asymmetric key stored in OE-protected storage***
- ***combination of authentication based on user name, password, and time-based one-time password***
- ***authentication based on X.509 certificates***
- ***for use in SSH only, SSH public key-based authentication as specified by the Functional Package for Secure Shell (SSH), version 1.0***

] to support user authentication.

Application Note: The for use in SSH only, SSH public key-based authentication as specified by the Functional Package for Secure Shell (SSH), version 1.0 selection can only be included, and must be included, if FTP_ITC_EXT.1.1 selects SSH as conforming to the Functional Package for Secure Shell (SSH), version 1.0 as a[**selection**: *client*, *server*].

FIA_UAU.5.2

The **OS** shall authenticate any user's claimed identity according to the[**assignment**: *rules describing how the multiple authentication mechanisms provide authentication*].

Evaluation Activities

FIA_UAU.5
TSS
The evaluator will ensure that the TSS describes the rules as to how each authentication mechanism specified in FIA_UAU.5.1 is implemented and used. Example rules are how the authentication mechanism authenticates the user (i.e. how does the TSF verify that the correct password or authentication factor is used), the result of a successful authentication (i.e. is the user input used to derive or unlock a key) and which authentication mechanism can be used at which authentication factor interfaces (i.e. if there are times, for example, after a reboot, that only specific authentication mechanisms can be used). Rules regarding how the authentication factors interact in terms of unsuccessful authentication are covered in FIA_AFL.1.

Guidance

The evaluator will verify that configuration guidance for each authentication mechanism is addressed in the AGD guidance.

Tests

For each authentication mechanism rule, the evaluator will ensure that the authentication mechanism(s) behave as documented in the TSS.

FIA_X509_EXT.1 X.509 Certificate Validation

FIA_X509_EXT.1.1

The OS shall implement functionality to validate certificates in accordance with the following rules:

- RFC 5280 certificate validation and certificate path validation
- The certificate path must terminate with a trusted CA certificate
- The OS shall validate a certificate path by ensuring the presence of the basicConstraints extension, that the CA flag is set to TRUE for all CA certificates, and that any path constraints are met.
- The TSF shall validate that any CA certificate includes "Certificate Signing" as a purpose the key usage field
- The OS shall validate the revocation status of the certificate using[**selection**: *OCSP as specified in RFC 6960*, *CRL as specified in RFC 8603*, *an OCSP TLS Status Request Extension (OCSP stapling) as specified in RFC 6066*, *OCSP TLS Multi-Certificate Status Request Extension (i.e., OCSP Multi-Stapling) as specified in RFC 6961*]with[**selection**: *no exceptions*, *[**assignment**: exceptional use cases and alternative status check]*]
- The OS shall validate the extendedKeyUsage field according to the following rules:
  - Certificates used for trusted updates and executable code integrity verification shall have the Code Signing Purpose (id-kp 3 with OID 1.3.6.1.5.5.7.3.3) in the extendedKeyUsage field.
  - Server certificates presented for TLS shall have the Server Authentication purpose (id-kp 1 with OID 1.3.6.1.5.5.7.3.1) in the extendedKeyUsage field.
  - Client certificates presented for TLS shall have the Client Authentication purpose (id-kp 2 with OID 1.3.6.1.5.5.7.3.2) in the EKU field.
  - S/MIME certificates presented for email encryption and signature shall have the Email Protection purpose (id-kp 4 with OID 1.3.6.1.5.5.7.3.4) in the EKU field.
  - OCSP certificates presented for OCSP responses shall have the OCSP Signing Purpose (id-kp 9 with OID 1.3.6.1.5.5.7.3.9) in the EKU field.
  - Server certificates presented for EST shall have the CMC Registration Authority (RA) purpose (id-kp-cmcRA with OID 1.3.6.1.5.5.7.3.28) in the EKU field. (conditional)

.

Application Note: FIA_X509_EXT.1.1 lists the rules for validating certificates. The ST author will select whether revocation status is verified using OCSP or CRLs. FIA_X509_EXT.2 requires that certificates are used for HTTPS, TLS, and DTLS; this use requires that the *extendedKeyUsage* rules are verified.

OCSP stapling and OCSP multi-stapling only support TLS server certificate validation. If other certificate types are validated, either OCSP or CRL should be claimed. If OCSP is not supported the EKU provision for checking the OCSP Signing purpose is met by default.

If the OS receives server certificates presented for EST, then the ST author should make the selection for EST in the SFR.

If the OS cannot perform revocation in accordance with one of the specified revocation methods, then the specific use cases where revocation checking is not possible must be described, along with any alternative to certificate status checking for each use case. For example, for the use case "update functions when network connections are not available, notice of a compromised certificate disables automatic updates."

FIA_X509_EXT.1.2

The OS shall only treat a certificate as a CA certificate if the *basicConstraints* extension is present and the CA flag is set to TRUE.

Application Note: This requirement applies to certificates that are used and processed by the TSF and restricts the certificates that may be added as trusted CA certificates.

Evaluation Activities

FIA_X509_EXT.1
TSS

Guidance

Tests

. The evaluator will create a chain of at least four certificates: the node certificate to be tested, two Intermediate CAs, and the self-signed Root CA.

- *Test 47: The evaluator will construct a certificate path, such that the certificate of the CA issuing the OS's certificate does not contain the basicConstraints extension. The validation of the certificate path fails.*
- *Test 48: The evaluator will construct a certificate path, such that the certificate of the CA issuing the OS's certificate has the CA flag in the basicConstraints extension not set. The validation of the certificate path fails.*
- *Test 49: The evaluator will construct a certificate path, such that the certificate of the CA issuing the OS's certificate has the CA flag in the basicConstraints extension set to TRUE. The validation of the certificate path succeeds.*

FIA_X509_EXT.2 X.509 Certificate Authentication

FIA_X509_EXT.2.1

The OS shall use X.509v3 certificates as defined by RFC 5280 to support authentication for TLS and[**selection**: *DTLS*, *HTTPS*, ***[assignment**: other protocols]*, *no other protocols*]connections.

Evaluation Activities

FIA_X509_EXT.2
TSS

Guidance

Tests

The evaluator will acquire or develop an application that uses the OS TLS mechanism with an X.509v3 certificate. The evaluator will then run the application and ensure that the provided certificate is used to authenticate the connection.

The evaluator will repeat the activity for any other selections listed.

5.1.7 Class: Trusted Path/Channels (FTP)

FTP_ITC_EXT.1 Trusted channel communication

FTP_ITC_EXT.1.1

The OS shall use[**selection**:

- *TLS as conforming to the Functional Package for Transport Layer Security (TLS), version 1.1 as a**[selection**: client, server]*
- *DTLS as conforming to the Functional Package for Transport Layer Security (TLS), version 1.1 as a**[selection**: client, server]*
- *IPsec as conforming to the PP-Module for Virtual Private Network (VPN) Clients, version 2.4*
- *SSH as conforming to the Functional Package for Secure Shell (SSH), version 1.0 as a**[selection**: client, server]*

]to provide a trusted communication channel between itself and authorized IT entities supporting the following capabilities:[**selection**: *audit server*, *authentication server*, *management server*, ***[assignment**: other capabilities]*]that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from disclosure and detection of modification of the channel data.

Application Note: The ST author must include the security functional requirements for the trusted channel protocol selected in FTP_ITC_EXT.1.1 in the main body of the ST.
Regardless of the selections made in this requirement, the TSF must be validated against the client TLS requirements in the Functional Package for Transport Layer Security (TLS), version 1.1. It must also be validated against additional requirements in the Functional Package for Transport Layer Security (TLS), version 1.1 if DTLS as conforming to the Functional Package for Transport Layer Security (TLS), version 1.1 as a[**selection**: *client*, *server*] or server selections are made.

If IPsec as conforming to the PP-Module for Virtual Private Network (VPN)

Clients, version 2.4 is selected, then FDP_IFC_EXT.1 must be included in the ST.

If SSH as conforming to the Functional Package for Secure Shell (SSH), version 1.0 as a[**selection**: *client*, *server*] is selected, the TSF must be validated against the Functional Package for Secure Shell (SSH), version 1.0 and the corresponding selection is expected to be made in FIA_UAU.5.1. The ST author must include the security functional requirements for the trusted channel protocol selected in FTP_ITC_EXT.1 in the main body of the ST.

Validation Guidelines:

Rule #2

Rule #3

Rule #4

Rule #5

Rule #6

Evaluation Activities

FTP_ITC_EXT.1
TSS

Guidance

Tests
The evaluator will configure the OS to communicate with another trusted IT product as identified in the second selection. The evaluator will monitor network traffic while the OS performs communication with each of the servers identified in the second selection. The evaluator will ensure that for each session a trusted channel was established in conformance with the protocols identified in the first selection.

FTP_TRP.1 Trusted Path

FTP_TRP.1.1

The **OS** shall provide a communication path between itself and[**selection**: *remote*, *local*]users that is logically distinct from other communication paths and provides assured identification of its endpoints and protection of the communicated data from [modification, disclosure].

Application Note: This requirement ensures that all remote administrative actions are protected. Authorized remote administrators must initiate all communication with the OS via a trusted path and all communication with the OS by remote administrators must be performed over this path. The data passed in this trusted communication channel is encrypted as defined in FTP_ITC_EXT.1.1. If local users access is selected and no unprotected traffic is sent to remote users, then this requirement is met. If remote users access is selected, the ST author must include the security functional requirements for the trusted channel protocol selected in FTP_ITC_EXT.1.1 in the main body of the ST.

FTP_TRP.1.2

The **OS** shall permit[**selection**: *the TSF*, *local users*, *remote users*]to initiate communication via the trusted path.

FTP_TRP.1.3

The **OS** shall require use of the trusted path for [[*all remote administrative actions*]].

Application Note: This requirement ensures that authorized remote administrators initiate all communication with the OS via a trusted path, and that all communication with the OS by remote administrators is performed over this path. The data passed in this trusted communication channel is encrypted as defined in FTP_ITC_EXT.1.

Evaluation Activities

FTP_TRP.1
TSS
The evaluator will examine the TSS to determine that the methods of remote OS administration are indicated, along with how those communications are protected. The evaluator will also confirm that all protocols listed in the TSS in support of OS administration are consistent with those specified in the requirement, and are included in the requirements in the ST.

Guidance
The evaluator will confirm that the operational guidance contains instructions for establishing

the remote administrative sessions for each supported method.

Tests

The evaluator will also perform the following tests:

- *Test 50: The evaluator will ensure that communications using each remote administration method is tested during the course of the evaluation, setting up the connections as described in the operational guidance and ensuring that communication is successful.*
- *Test 51: For each method of remote administration supported, the evaluator will follow the operational guidance to ensure that there is no available interface that can be used by a remote user to establish a remote administrative sessions without invoking the trusted path.*
- *Test 52: The evaluator will ensure, for each method of remote administration, the channel data is not sent in plaintext.*
- *Test 53: The evaluator will ensure, for each method of remote administration, modification of the channel data is detected by the OS.*

5.1.8 TOE Security Functional Requirements Rationale

The following rationale provides justification for each security objective for the TOE, showing that the SFRs are suitable to meet and achieve the security objectives:

Table 3: SFR Rationale

Objective	Addressed by	Rationale
O.ACCOUNTABILITY	FAU_GEN.1	Supports the objective by requiring that critical event information be gathered by the TOE.
O.INTEGRITY	FCS_COP.1/HASH	Supports the objective by requiring the TSF to implement hash algorithms that are used in support of protected communications.
	FCS_COP.1/KEYHMAC	Supports the objective by requiring the TSF to implement HMAC algorithms that are used in support of protected communications.
	FCS_COP.1/SIGN	Supports the objective by requiring the TSF to implement digital signature algorithms that are used in support of protected communications.
	FPT_ACF_EXT.1	Supports the objective by requiring the TSF restrict unprivileged users from changing critical components.
	FPT_BLT_EXT.1	FPT_BLT_EXT.1 supports the objective by requiring the TSF to disable certain Bluetooth profiles when they are inactive such that explicit user authorization is required to re-enable them.
	FPT_SRP_EXT.1	Supports the objective by requiring the TSF to implement a configurable allowlist mechanism.
	FPT_TST_EXT.1	Supports the objective by requiring the TSF to verify executable code critical to its operation.
	FPT_TUD_EXT.1	Supports the objective by requiring that the OS be able to check for critical updates.
	FPT_TUD_EXT.2	Supports the objective by requiring that the OS verify updates before applying them.
	FPT_W^X_EXT.1	Supports the objective by requiring the OS to executable only non-writable memory.
	FIA_AFL.1	Supports the objective by requiring the TSF to respond accordingly when the number of failed authentication attempts reaches a specified threshold.
	FIA_UAU.5	Supports the objective by requiring the OS to provide standard authentication mechanisms.
	FIA_X509_EXT.1	Supports the objective by requiring the TSF to validate certificates using industry standards.
O.MANAGEMENT	FMT_MOF_EXT.1	Supports this objective by requiring the TOE to restrict the ability to perform certain management functions to a privileged user.
	FMT_SMF_EXT.1	Supports this objective by requiring the TOE to implement specific management functions.

	FTA_TAB.1	Supports this objective by requiring the TOE to implement a trusted path between the itself and users.
O.PROTECTED_ COMMS	FCS_CKM.1	Supports this objective by requiring the TSF to generate asymmetric cryptographic keys to industry standards.
	FCS_CKM.2	Supports this objective by requiring the TSF to perform key establishment according to industry standards.
	FCS_CKM_EXT.4	Supports this objective by requiring the TSF to destroy key material according to industry standards.
	FCS_COP.1/ENCRYPT	Supports this objective by requiring the TSF to encrypt data according to industry standards
	FCS_COP.1/HASH	Supports this objective by requiring the TSF to hash data according to industry standards.
	FCS_COP.1/KEYHMAC	Supports this objective by requiring the TSF to perform keyed hashes according to industry standards.
	FCS_COP.1/SIGN	Supports this objective by requiring the TSF to cryptographically sign data according to industry standards.
	FCS_RBG_EXT.1	Supports this objective by requiring the OS to generate random bits according to industry standards.
	FDP_IFC_EXT.1	Supports this objective by requiring the TSF to be compatible with at least one VPN.
	FIA_X509_EXT.1	Supports the objective by requiring the TSF to validate certificates using industry standards.
	FIA_X509_EXT.2	Supports this objective by requiring the TSF to validate TLS and related encrypted connections with x509 certificates.
O.PROTECTED_ STORAGE	FCS_COP.1/ENCRYPT	Supports this objective requiring the OS to perform encryption according to industry stands.
	FCS_RBG_EXT.1	Supports this objective by requiring the OS to generate random bits according to industry standards.
	FCS_STO_EXT.1	Supports this objective by requiring the OS to provide encrypted storage.
	FDP_ACF_EXT.1	Supports this objective by requiring the OS to implement access controls.

5.2 Security Assurance Requirements

5.2.1 Class ADV: Development

The information about the TOE is contained in the guidance documentation available to the end user as well as the TSS portion of the ST. The TOE developer must concur with the description of the product that is contained in the TSS as it relates to the functional requirements. The evaluation activities contained in Section 5.1 Security Functional Requirements should provide the ST authors with sufficient information to determine the appropriate content for the TSS section.

ADV_FSP.1 Basic Functional Specification (ADV_FSP.1)

The functional specification describes the TSFIs. It is not necessary to have a formal or complete specification of these interfaces. Additionally, because TOEs conforming to this PP will necessarily have interfaces to the Operational Environment that are not directly invocable by TOE users, there is little point specifying that such interfaces be described in and of themselves since only indirect testing of such interfaces may be possible. For this PP, the activities for this family should focus on understanding the interfaces presented in the TSS in response to the functional requirements and the interfaces presented in the AGD documentation. No additional “functional specification” documentation is necessary to satisfy the evaluation activities specified. The interfaces that need to be evaluated are characterized through the information needed to perform the assurance activities listed, rather than as an independent, abstract list.

Developer action elements:

ADV_FSP.1.1D

The developer shall provide a functional specification.

ADV_FSP.1.2D

The developer shall provide a tracing from the functional specification to the

SFRs.

Note: As indicated in the introduction to this section, the functional specification is comprised of the information contained in the AGD_OPE and AGD_PRE documentation. The developer may reference a website accessible to application developers and the evaluator. The evaluation activities in the functional requirements point to evidence that should exist in the documentation and TSS section; since these are directly associated with the SFRs, the tracing in element ADV_FSP.1.2D is implicitly already done and no additional documentation is necessary.

Content and presentation elements:

ADV_FSP.1.1C

The functional specification shall describe the purpose and method of use for each SFR-enforcing and SFR-supporting TSFI.

ADV_FSP.1.2C

The functional specification shall identify all parameters associated with each SFR-enforcing and SFR-supporting TSFI.

ADV_FSP.1.3C

The functional specification shall provide rationale for the implicit categorization of interfaces as SFR-non-interfering.

ADV_FSP.1.4C

The tracing shall demonstrate that the SFRs trace to TSFIs in the functional specification.

Evaluator action elements:

ADV_FSP.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

ADV_FSP.1.2E

The evaluator shall determine that the functional specification is an accurate and complete instantiation of the SFRs.

Evaluation Activities

ADV_FSP.1

5.2.2 Class AGD: Guidance Documents

The guidance documents will be provided with the ST. Guidance must include a description of how the IT personnel verifies that the Operational Environment can fulfill its role for the security functionality. The documentation should be in an informal style and readable by the IT personnel. Guidance must be provided for every operational environment that the product supports as claimed in the ST. This guidance includes instructions to successfully install the TSF in that environment; and Instructions to manage the security of the TSF as a product and as a component of the larger operational environment. Guidance pertaining to particular security functionality is also provided; requirements on such guidance are contained in the evaluation activities specified with each requirement.

AGD_OPE.1 Operational User Guidance (AGD_OPE.1)

Developer action elements:

AGD_OPE.1.1D

The developer shall provide operational user guidance.

Note: The operational user guidance does not have to be contained in a single document. Guidance to users, administrators and application developers can be spread among documents or web pages. Rather than repeat information here, the developer should review the evaluation activities for this component to ascertain the specifics of the guidance that the evaluator will be checking for. This will provide the necessary information for the preparation of acceptable guidance.

Content and presentation elements:

AGD_OPE.1.1C

The operational user guidance shall describe, for each user role, the user-accessible functions and privileges that should be controlled in a secure processing environment, including appropriate warnings.

Note: User and administrator are to be considered in the definition of user role.

AGD_OPE.1.2C

The operational user guidance shall describe, for each user role, how to use the

available interfaces provided by the TOE in a secure manner.

AGD_OPE.1.3C

The operational user guidance shall describe, for each user role, the available functions and interfaces, in particular all security parameters under the control of the user, indicating secure values as appropriate.

Note: This portion of the operational user guidance should be presented in the form of a checklist that can be quickly executed by IT personnel (or end-users, when necessary) and suitable for use in compliance activities. When possible, this guidance is to be expressed in the eXtensible Configuration Checklist Description Format (XCCDF) to support security automation. Minimally, it should be presented in a structured format which includes a title for each configuration item, instructions for achieving the secure configuration, and any relevant rationale.

AGD_OPE.1.4C

The operational user guidance shall, for each user role, clearly present each type of security-relevant event relative to the user-accessible functions that need to be performed, including changing the security characteristics of entities under the control of the TSF.

AGD_OPE.1.5C

The operational user guidance shall identify all possible modes of operation of the TOE (including operation following failure or operational error), their consequences, and implications for maintaining secure operation.

AGD_OPE.1.6C

The operational user guidance shall, for each user role, describe the security measures to be followed in order to fulfill the security objectives for the operational environment as described in the ST.

AGD_OPE.1.7C

The operational user guidance shall be clear and reasonable.

Evaluator action elements:

AGD_OPE.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

AGD_OPE.1

AGD_PRE.1 Preparative Procedures (AGD_PRE.1)

Developer action elements:

AGD_PRE.1.1D

The developer shall provide the TOE, including its preparative procedures.

Content and presentation elements:

AGD_PRE.1.1C

The preparative procedures shall describe all the steps necessary for secure acceptance of the delivered TOE in accordance with the developer's delivery procedures.

AGD_PRE.1.2C

The preparative procedures shall describe all the steps necessary for secure installation of the TOE and for the secure preparation of the operational environment in accordance with the security objectives for the operational environment as described in the ST.

Evaluator action elements:

AGD_PRE.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

AGD_PRE.1.2E

The evaluator shall apply the preparative procedures to confirm that the TOE can be prepared securely for operation.

Evaluation Activities

AGD_PRE.1

5.2.3 Class ALC: Life-cycle Support

At the assurance level provided for TOEs conformant to this PP, life-cycle support is limited to end-user-visible aspects of the life-cycle, rather than an examination of the TOE vendor's development and configuration management process. This is not meant to diminish the critical role that a developer's practices play in contributing to the overall trustworthiness of a product; rather, it is a reflection on the information to be made available for evaluation at this assurance level.

ALC_CMC.1 Labeling of the TOE (ALC_CMC.1)

This component is targeted at identifying the TOE such that it can be distinguished from other products or versions from the same vendor and can be easily specified when being procured by an end user.

Developer action elements:

ALC_CMC.1.1D
The developer shall provide the TOE and a reference for the TOE.

Content and presentation elements:

ALC_CMC.1.1C
The application shall be labeled with a unique reference.

Evaluator action elements:

ALC_CMC.1.1E
The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ALC_CMC.1

ALC_CMS.1 TOE CM Coverage (ALC_CMS.1)

Developer action elements:

ALC_CMS.1.1D
The developer shall provide a configuration list for the TOE.

Content and presentation elements:

ALC_CMS.1.1C
The configuration list shall include the following: the TOE itself; and the evaluation evidence required by the SARs.

ALC_CMS.1.2C
The configuration list shall uniquely identify the configuration items.

Evaluator action elements:

ALC_CMS.1.1E
The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ALC_CMS.1

ALC_FLR.1 Basic Flaw Remediation (ALC_FLR.1)

Developer action elements:

ALC_FLR.1.1D
The developer shall document and provide flaw remediation procedures addressed to TOE developers.

Content and presentation elements:

ALC_FLR.1.1C
The flaw remediation procedures documentation shall describe the procedures used to track all reported security flaws in each release of the TOE.

ALC_FLR.1.2C
The flaw remediation procedures shall require that a description of the nature

and effect of each security flaw be provided, as well as the status of finding a correction to that flaw.

ALC_FLR.1.3C

The flaw remediation procedures shall require that corrective actions be identified for each of the security flaws.

ALC_FLR.1.4C

The flaw remediation procedures documentation shall describe the methods used to provide flaw information, corrections and guidance on corrective actions to TOE users.

Evaluator action elements:

ALC_FLR.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ALC_FLR.1

ALC_FLR.2 Flaw Reporting Procedures (ALC_FLR.2)

Developer action elements:

ALC_FLR.2.1D

The developer shall document and provide flaw remediation procedures addressed to TOE developers.

ALC_FLR.2.2D

The developer shall establish a procedure for accepting and acting upon all reports of security flaws and requests for corrections to those flaws.

ALC_FLR.2.3D

The developer shall provide flaw remediation guidance addressed to TOE users.

Content and presentation elements:

ALC_FLR.2.1C

The flaw remediation procedures documentation shall describe the procedures used to track all reported security flaws in each release of the TOE.

ALC_FLR.2.2C

The flaw remediation procedures shall require that a description of the nature and effect of each security flaw be provided, as well as the status of finding a correction to that flaw.

ALC_FLR.2.3C

The flaw remediation procedures shall require that corrective actions be identified for each of the security flaws.

ALC_FLR.2.4C

The flaw remediation procedures documentation shall describe the methods used to provide flaw information, corrections and guidance on corrective actions to TOE users.

ALC_FLR.2.5C

The flaw remediation procedures shall describe a means by which the developer receives from TOE users reports and enquiries of suspected security flaws in the TOE.

ALC_FLR.2.6C

The procedures for processing reported security flaws shall ensure that any reported flaws are remediated and the remediation procedures issued to TOE users.

ALC_FLR.2.7C

The procedures for processing reported security flaws shall provide safeguards that any corrections to these security flaws do not introduce any new flaws.

ALC_FLR.2.8C

The flaw remediation guidance shall describe a means by which TOE users report to the developer any suspected security flaws in the TOE.

Evaluator action elements:

ALC_FLR.2.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ALC_FLR.2

ALC_FLR.3 Systematic Flaw Remediation (ALC_FLR.3)

Developer action elements:

ALC_FLR.1D
The developer shall document and provide flaw remediation procedures addressed to TOE developers.

ALC_FLR.2D
The developer shall establish a procedure for accepting and acting upon all reports of security flaws and requests for corrections to those flaws.

ALC_FLR.3D
The developer shall provide flaw remediation guidance addressed to TOE users.

Content and presentation elements:

ALC_FLR.1C
The flaw remediation procedures documentation shall describe the procedures used to track all reported security flaws in each release of the TOE.

ALC_FLR.2C
The flaw remediation procedures shall require that a description of the nature and effect of each security flaw be provided, as well as the status of finding a correction to that flaw.

ALC_FLR.3C
The flaw remediation procedures shall require that corrective actions be identified for each of the security flaws.

ALC_FLR.4C
The flaw remediation procedures documentation shall describe the methods used to provide flaw information, corrections and guidance on corrective actions to TOE users.

ALC_FLR.5C
The flaw remediation procedures shall describe a means by which the developer receives from TOE users reports and enquiries of suspected security flaws in the TOE.

ALC_FLR.6C
The flaw remediation procedures shall include a procedure requiring timely response and the automatic distribution of security flaw reports and the associated corrections to registered users who might be affected by the security flaw.

ALC_FLR.7C
The procedures for processing reported security flaws shall ensure that any reported flaws are remediated and the remediation procedures issued to TOE users.

ALC_FLR.8C
The procedures for processing reported security flaws shall provide safeguards that any corrections to these security flaws do not introduce any new flaws.

ALC_FLR.9C
The flaw remediation guidance shall describe a means by which TOE users report to the developer any suspected security flaws in the TOE.

ALC_FLR.10C
The flaw remediation guidance shall describe a means by which TOE users may register with the developer, to be eligible to receive security flaw reports and corrections.

ALC_FLR.11C
The flaw remediation guidance shall identify the specific points of contact for all reports and enquiries about security issues involving the TOE.

Evaluator action elements:

ALC_FLR.1E
The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ALC_FLR.3

ALC_TSU_EXT.1 Timely Security Updates

This component requires the TOE developer, in conjunction with any other necessary parties, to provide information as to how the end-user devices are updated to address security issues in a timely manner. The documentation describes the process of providing updates to the public from the time a security flaw is reported/discovered, to the time an update is released. This description includes the parties involved (e.g., the developer, carriers(s)) and the steps that are performed (e.g., developer testing, carrier testing), including worst case time periods, before an update is made available to the public.

Developer action elements:

ALC_TSU_EXT.1.1D
: The developer shall provide a description in the TSS of how timely security updates are made to the TOE.

ALC_TSU_EXT.1.2D
: The developer shall provide a description in the TSS of how users are notified when updates change security properties or the configuration of the product.

Content and presentation elements:

ALC_TSU_EXT.1.1C
: The description shall include the process for creating and deploying security updates for the TOE software.

ALC_TSU_EXT.1.2C
: The description shall express the time window as the length of time, in days, between public disclosure of a vulnerability and the public availability of security updates to the TOE.

ALC_TSU_EXT.1.3C
: The description shall include the mechanisms publicly available for reporting security issues pertaining to the TOE.

Evaluator action elements:

ALC_TSU_EXT.1.1E
: The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ALC_TSU_EXT.1

5.2.4 Class ASE: ST Evaluation

As per ASE activities defined in [CEM].

ASE_CCL.1 Conformance Claims

Developer action elements:

ASE_CCL.1.1D
: The developer shall provide a conformance claim.

ASE_CCL.1.2D
: The developer shall provide a conformance claim rationale.

Content and presentation elements:

ASE_CCL.1.1C
: The conformance claim shall identify the edition of the CC to which the ST and the TOE claim conformance.

ASE_CCL.1.2C
: The conformance claim shall describe the conformance of the ST to CC Part 2 as either CC Part 2 conformant or CC Part 2 extended.

ASE_CCL.1.3C
: The conformance claim shall describe the conformance of the ST as either “CC Part 3 conformant” or “CC Part 3 extended”.

ASE_CCL.1.4C
: The conformance claim shall be consistent with the extended components definition.

ASE_CCL.1.5C

The conformance claim shall identify a PP-Configuration, or all PPs and security requirement packages to which the ST claims conformance.

ASE_CCL.1.6C

The conformance claim shall describe any conformance of the ST to a package as either package-conformant or package-augmented.

ASE_CCL.1.7C

The conformance claim shall describe any conformance of the ST to a PP as PP-Conformant.

ASE_CCL.1.8C

The conformance claim rationale shall demonstrate that the TOE type is consistent with the TOE type in the PP-Configuration or PPs for which conformance is being claimed.

ASE_CCL.1.9C

The conformance claim rationale shall demonstrate that the statement of the security problem definition is consistent with the statement of the security problem definition in the PP-Configuration, PPs and any functional packages for which conformance is being claimed.

ASE_CCL.1.10C

The conformance claim rationale shall demonstrate that the statement of security objectives is consistent with the statement of security objectives in the PP-Configuration, PPs, and any functional package for which conformance is being claimed.

ASE_CCL.1.11C

The conformance claim rationale shall demonstrate that the statement of security requirements is consistent with the statement of security requirements in the PP-Configuration, PPs, and any functional packages for which conformance is being claimed.

ASE_CCL.1.12C

The conformance claim for PP(s) or a PP-Configuration shall be exact, strict, or demonstrable or a list of conformance types.

ASE_CCL.1.13C

If the conformance claim identifies a set of Evaluation methods and Evaluation activities derived from CEM work units that shall be used to evaluate the TOE then this set shall include all those that are included in any package, PP, or PP-Module in a PP-Configuration to which the ST claims conformance, and no others.

Evaluator action elements:

ASE_CCL.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ASE_CCL.1

ASE_ECD.1 Extended Components Definition

Developer action elements:

ASE_ECD.1.1D

The developer shall provide a statement of security requirements.

ASE_ECD.1.2D

The developer shall provide an extended components definition.

Content and presentation elements:

ASE_ECD.1.1C

The statement of security requirements shall identify all extended security requirements.

ASE_ECD.1.2C

The extended components definition shall define an extended component for each extended security requirement.

ASE_ECD.1.3C

The extended components definition shall describe how each extended component is related to the existing CC components, families, and classes.

ASE_ECD.1.4C

The extended components definition shall use the existing CC components, families, classes, and methodology as a model for presentation.

ASE_ECD.1.5C

The extended components shall consist of measurable and objective elements such that conformance or nonconformance to these elements may be demonstrated.

Evaluator action elements:

ASE_ECD.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

ASE_ECD.1.2E

The evaluator shall confirm that no extended component may be clearly expressed using existing components.

Evaluation Activities

ASE_ECD.1

ASE_INT.1 ST Introduction

Developer action elements:

ASE_INT.1.1D

The developer shall provide an ST introduction.

Content and presentation elements:

ASE_INT.1.1C

The ST introduction shall contain an ST reference, a TOE reference, a TOE overview and a TOE description.

ASE_INT.1.2C

The ST reference shall uniquely identify the ST.

ASE_INT.1.3C

The TOE reference shall uniquely identify the TOE.

ASE_INT.1.4C

The TOE overview shall summarize the usage and major security features of the TOE.

ASE_INT.1.5C

The TOE overview shall identify the TOE type.

ASE_INT.1.6C

The TOE overview shall identify any non-TOE hardware/software/firmware required by the TOE.

ASE_INT.1.7C

For a multi-assurance ST, the TOE overview shall describe the TSF organization in terms of the sub-TSFs defined in the PP-Configuration the ST claims conformance to.

ASE_INT.1.8C

The TOE description shall describe the physical scope of the TOE.

ASE_INT.1.9C

The TOE description shall describe the logical scope of the TOE.

Evaluator action elements:

ASE_INT.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

ASE_INT.1.2E

The evaluator shall confirm that the TOE reference, the TOE overview, and the TOE description are consistent with each other.

Evaluation Activities

ASE_INT.1

ASE_OBJ.1 ST Objectives for the Operational Environment

Developer action elements:

ASE_OBJ.1.1D
The developer shall provide a statement of security objectives for the operational environment.

ASE_OBJ.1.2D
The developer shall provide a security objectives rationale for the operational environment.

Content and presentation elements:

ASE_OBJ.1.1C
The statement of security objectives shall describe the security objectives for the operational environment.

ASE_OBJ.1.2C
The security objectives rationale shall trace each security objective for the operational environment back to threats countered by that security objective, OSPs enforced by that security objective, and assumptions upheld by that security objective.

ASE_OBJ.1.3C
The security objectives rationale shall demonstrate that the security objectives for the operational environment uphold all assumptions.

Evaluator action elements:

ASE_OBJ.1.1E
The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ASE_OBJ.1

ASE_REQ.1 Stated Security Requirements

Developer action elements:

ASE_REQ.1.1D
The developer shall provide a statement of security requirements.

ASE_REQ.1.2D
The developer shall provide a security requirements rationale.

Content and presentation elements:

ASE_REQ.1.1C
The statement of security requirements shall describe the SFRs and the SARs.

ASE_REQ.1.2C
For a single-assurance ST, the statement of security requirements shall define the global set of SARs that apply to the entire TOE. The sets of SARs shall be consistent with the PPs or PP-Configuration to which the ST claims conformance.

ASE_REQ.1.3C
For a multi-assurance ST, the statement of security requirements shall define the global set of SARs that apply to the entire TOE and the sets of SARs that apply to each sub-TSF. The sets of SARs shall be consistent with the multi-assurance PP-Configuration to which the ST claims conformance.

ASE_REQ.1.4C
All subjects, objects, operations, security attributes, external entities and other terms that are used in the SFRs and the SARs shall be defined.

ASE_REQ.1.5C
The statement of security requirements shall identify all operations on the security requirements.

ASE_REQ.1.6C
All operations shall be performed correctly.

ASE_REQ.1.7C
Each dependency of the security requirements shall either be satisfied, or the security requirements rationale shall justify the dependency not being satisfied.

ASE_REQ.1.8C
The security requirements rationale shall demonstrate that the SFRs (in

conjunction with the security objectives for the environment) counter all threats for the TOE.

ASE_REQ.1.9C

The security requirements rationale shall demonstrate that the SFRs (in conjunction with the security objectives for the environment) enforce all OSPs.

ASE_REQ.1.10C

The security requirements rationale shall explain why the SARs were chosen.

ASE_REQ.1.11C

The statement of security requirements shall be internally consistent.

ASE_REQ.1.12C

If the ST defines sets of SARs that expand the sets of SARs of the PPs or PP-Configuration it claims conformance to, the security requirements rationale shall include an assurance rationale that justifies the consistency of the extension and provides a rationale for the disposition of any Evaluation methods and Evaluation activities identified in the conformance statement that are affected by the extension of the sets of SARs

Evaluator action elements:

ASE_REQ.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

Evaluation Activities

ASE_REQ.1

ASE_TSS.1 TOE Summary Specification

Developer action elements:

ASE_TSS.1.1D

The developer shall provide a TOE summary specification.

Content and presentation elements:

ASE_TSS.1.1C

The TOE summary specification shall describe how the TOE meets each SFR.

Evaluator action elements:

ASE_TSS.1.1E

The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

ASE_TSS.1.2E

The evaluator shall confirm that the TOE summary specification is consistent with the TOE overview and the TOE description.

Evaluation Activities

ASE_TSS.1

5.2.5 Class ATE: Tests

Testing is specified for functional aspects of the system as well as aspects that take advantage of design or implementation weaknesses. The former is done through the ATE_IND family, while the latter is through the AVA_VAN family. At the assurance level specified in this PP, testing is based on advertised functionality and interfaces with dependency on the availability of design information. One of the primary outputs of the evaluation process is the test report as specified in the following requirements.

ATE_IND.1 Independent Testing – Conformance (ATE_IND.1)

Testing is performed to confirm the functionality described in the TSS as well as the administrative (including configuration and operational) documentation provided. The focus of the testing is to confirm that the requirements specified in Section 5.1 Security Functional Requirements being met, although some additional testing is specified for SARs in Section 5.2 Security Assurance Requirements. The evaluation activities identify the additional testing activities associated with these components. The evaluator produces a test report documenting the plan for and results of testing, as well as coverage arguments focused on the platform/TOE combinations that are claiming conformance to this PP. Given the scope of the TOE and its associated evaluation evidence requirements, this component’s evaluation activities are covered by the evaluation activities listed for ALC_CMC.1. Testing is performed to confirm the functionality described in the TSS as well as the

administrative (including configuration and operational) documentation provided. The focus of the testing is to confirm that the requirements specified in Section 5.1 Security Functional Requirements being met, although some additional testing is specified for SARs in Section 5.2 Security Assurance Requirements. The evaluation activities identify the additional testing activities associated with these components. The evaluator produces a test report documenting the plan for and results of testing, as well as coverage arguments focused on the platform/TOE combinations that are claiming conformance to this PP. Given the scope of the TOE and its associated evaluation evidence requirements, this component's evaluation activities are covered by the evaluation activities listed for ALC_CMC.1. Testing is performed to confirm the functionality described in the TSS as well as the administrative (including configuration and operational) documentation provided. The focus of the testing is to confirm that the requirements specified in Section 5.1 Security Functional Requirements being met, although some additional testing is specified for SARs in Section 5.2 Security Assurance Requirements. The evaluation activities identify the additional testing activities associated with these components. The evaluator produces a test report documenting the plan for and results of testing, as well as coverage arguments focused on the platform/TOE combinations that are claiming conformance to this PP. Given the scope of the TOE and its associated evaluation evidence requirements, this component's evaluation activities are covered by the evaluation activities listed for ALC_CMC.1.

Developer action elements:

ATE_IND.1.1D
: The developer shall provide the TOE for testing.

Content and presentation elements:

ATE_IND.1.1C
: The TOE shall be suitable for testing.

Evaluator action elements:

ATE_IND.1.1E
: The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

ATE_IND.1.2E
: The evaluator shall test a subset of the TSF to confirm that the TSF operates as specified.

Evaluation Activities

ATE_IND.1

5.2.6 Class AVA: Vulnerability Assessment

For the current generation of this protection profile, the evaluation lab is expected to survey open sources to discover what vulnerabilities have been discovered in these types of products. In most cases, these vulnerabilities will require sophistication beyond that of a basic attacker. Until penetration tools are created and uniformly distributed to the evaluation labs, the evaluator will not be expected to test for these vulnerabilities in the TOE. The labs will be expected to comment on the likelihood of these vulnerabilities given the documentation provided by the vendor. This information will be used in the development of penetration testing tools and for the development of future protection profiles.

AVA_VAN.1 Vulnerability Survey (AVA_VAN.1)

Developer action elements:

AVA_VAN.1.1D
: The developer shall provide the TOE for testing.

Content and presentation elements:

AVA_VAN.1.1C
: The TOE shall be suitable for testing.

Evaluator action elements:

AVA_VAN.1.1E
: The evaluator shall confirm that the information provided meets all requirements for content and presentation of evidence.

AVA_VAN.1.2E
: The evaluator shall perform a search of public domain sources to identify potential vulnerabilities in the TOE.

AVA_VAN.1.3E
: The evaluator shall conduct penetration testing, based on the identified potential vulnerabilities, to determine that the TOE is resistant to attacks performed by an attacker possessing Basic attack potential.

Evaluation Activities

AVA_VAN.1

Appendix A - Optional Requirements

As indicated in the introduction to this PP, the baseline requirements (those that must be performed by the TOE) are contained in the body of this PP. This appendix contains three other types of optional requirements that may be included in the ST, but are not required in order to conform to this PP. However, applied modules, packages and/or use cases may refine specific requirements as mandatory.

The first type (A.1 Strictly Optional Requirements) are strictly optional requirements that are independent of the TOE implementing any function. If the TOE fulfills any of these requirements or supports a certain functionality, the vendor is encouraged to include the SFRs in the ST, but are not required in order to conform to this PP.

The second type (A.2 Objective Requirements) are objective requirements that describe security functionality not yet widely available in commercial technology. The requirements are not currently mandated in the body of this PP, but will be included in the baseline requirements in future versions of this PP. Adoption by vendors is encouraged and expected as soon as possible.

The third type (A.3 Implementation-based Requirements) are dependent on the TOE implementing a particular function. If the TOE fulfills any of these requirements, the vendor must either add the related SFR or disable the functionality for the evaluated configuration.

A.1 Strictly Optional Requirements

A.1.1 Class: TOE Access (FTA)

FTA_TAB.1 Default TOE access banners

FTA_TAB.1.1

Before establishing a user session, the **OS** shall display an advisory warning message regarding unauthorized use of the OS.

Evaluation Activities

FTA_TAB.1
TSS

Guidance

Tests
The evaluator will configure the OS, per instructions in the OS manual, to display the advisory warning message "TEST TEST Warning Message TEST TEST". The evaluator will then log out and confirm that the advisory message is displayed before logging in can occur.

A.2 Objective Requirements

A.2.1 Class: Protection of the TSF (FPT)

FPT_BLT_EXT.1 Limitation of Bluetooth Profile Support

FPT_BLT_EXT.1.1

The TSF shall disable support for[**assignment**: *list of Bluetooth profiles*]Bluetooth profiles when they are not currently being used by an application on the TOE and shall require explicit user action to enable them.

Application Note: Some Bluetooth services incur more serious consequences if unauthorized remote devices gain access to them. Such services should be protected by measures like disabling support for the associated Bluetooth profile unless it is actively being used by an application on the OS (in order to prevent discovery by a Service Discovery Protocol search), and then requiring explicit user action to enable those profiles in order to use the services. It may be further appropriate to require additional user action before granting a remote device access to that service.

For example, it may be appropriate to disable the OBEX Push Profile until a user pushes a button in an application indicating readiness to transfer an object. After completion of the object transfer, support for the OBEX profile should be suspended until the next time the user requests its use.

Evaluation Activities

FPT_BLT_EXT.1

TSS
The evaluator will ensure that the TSS lists all Bluetooth profiles that are disabled while not in use by an application and which need explicit user action in order to become enabled.

Guidance
There are no guidance evaluation activities for this component.

Tests

The evaluator will perform the following tests:

- *Test 54: The evaluator will perform this test with a test device that does not have a trust relationship with the TOE. While the service is not in active use by an application on the TOE, the evaluator will attempt to discover a service associated with a "protected" Bluetooth profile (as specified by the requirement) on the TOE via a Service Discovery Protocol search. The evaluator will verify that the service does not appear in the Service Discovery Protocol search results. Next, the evaluator shall attempt to gain remote access to the service from a device that does not currently have a trusted device relationship with the TOE. The evaluator will verify that this attempt fails due to the unavailability of the service and profile.*
- *Test 55: The evaluator will repeat Test 1 with a device that currently has a trusted device relationship with the TOE and verify that the same behavior is exhibited.*

FPT_SRP_EXT.1 Software Restriction Policies

FPT_SRP_EXT.1.1

The OS shall restrict execution to only programs which match an administrator-specified[**selection**:

- *file path*
- *file digital signature*
- *version*
- *hash*
- *[**assignment**: other characteristics]*

].

Application Note: The assignment permits implementations which provide a low level of granularity such as a volume. The restriction is only against direct execution of executable programs. It does not forbid interpreters which may take data as an input, even if this data can subsequently result in arbitrary computation.

Evaluation Activities

FPT_SRP_EXT.1
TSS
The evaluator will ensure that the description of the supported characteristics in the TSS is consistent with the SFR. The evaluator will also ensure that any characteristics specified by the ST-author are described in sufficient detail to understand how to test those characteristics.

Guidance
The evaluator will ensure that that the characteristics are described in sufficient detail for administrators to configure policies using them, and that the list of characteristics in the guidance is consistent with the information in the TSS.

Tests

There are two tests for each selection above.

- *Test 56: The evaluator will configure the OS to only allow code execution from the core OS directories. The evaluator will then attempt to execute code from a directory that is in the allowed list. The evaluator will ensure that the code they attempted to execute has been executed.*
- *Test 57: The evaluator will configure the OS to only allow code execution from the core OS directories. The evaluator will then attempt to execute code from a directory that is not in the allowed list. The evaluator will ensure that the code they attempted to execute has not been executed.*
- *Test 58: The evaluator will configure the OS to only allow code that has been signed by the OS vendor to execute. The evaluator will then attempt to execute code signed by the OS vendor. The evaluator will ensure that the code they attempted to execute has been executed.*
- *Test 59: The evaluator will configure the OS to only allow code that has been signed by the OS vendor to execute. The evaluator will then attempt to execute code signed by another digital authority. The evaluator will ensure that the code they attempted to execute has not been executed.*
- *Test 60: The evaluator will configure the OS to allow execution of a specific application based on version. The evaluator will then attempt to execute the same version of the application. The evaluator will ensure that the code they attempted to execute has been executed.*
- *Test 61: The evaluator will configure the OS to allow execution of a specific application based on version. The evaluator will then attempt to execute an older version of the*

application. The evaluator will ensure that the code they attempted to execute has not been executed.

- *Test 62: The evaluator will configure the OS to allow execution based on the hash of the application executable. The evaluator will then attempt to execute the application with the matching hash. The evaluator will ensure that the code they attempted to execute has been executed.*
- *Test 63: The evaluator will configure the OS to allow execution based on the hash of the application executable. The evaluator will modify the application in such a way that the application hash is changed. The evaluator will then attempt to execute the application with the matching hash. The evaluator will ensure that the code they attempted to execute has not been executed.*
- *Test 64: The evaluator will attempt to run an application that should be allowed based on the defined software restriction policy and ensure that it runs.*
- *Test 65: The evaluator will then attempt to run an application that should not be allowed the defined software restriction policy and ensure that it does not run.*

A.3 Implementation-based Requirements

This PP does not define any Implementation-based requirements.

Appendix B - Selection-based Requirements

As indicated in the introduction to this PP, the baseline requirements (those that must be performed by the TOE or its underlying platform) are contained in the body of this PP. There are additional requirements based on selections in the body of the PP: if certain selections are made, then additional requirements below must be included.

B.1 Class: User Data Protection (FDP)

FDP_IFC_EXT.1 Information flow control

The inclusion of this selection-based component depends upon selection in FTP_ITC_EXT.1.1.
This component may also be included in the ST as if optional.

FDP_IFC_EXT.1.1

The OS shall[**selection**:

- *provide an interface which allows a VPN client to protect all IP traffic using IPsec*
- *provide a VPN client that can protect all IP traffic using IPsec*

]with the exception of IP traffic required to establish the VPN connection and[**selection**: *signed updates directly from the OS vendor, no other traffic*].

Application Note: Typically, the traffic required to establish the VPN connection is referred to as "Control Plane" traffic, whereas the IP traffic protected by the IPsec VPN is referred to as "Data Plane" traffic. All Data Plane traffic must flow through the VPN connection and the VPN must not split-tunnel.

If no native IPsec client is validated or third-party VPN clients may also implement the required Information Flow Control, the first option must be selected. In these cases, the TOE provides an API to third-party VPN clients that allows them to configure the TOE's network stack to perform the required Information Flow Control.

If the TSF implements a native VPN client, then the ST author must select provide a VPN client that can protect all IP traffic using IPsec and includes the PP-Module for VPN Client as part of the ST.

In the future, this requirement may also make a distinction between the current requirement (which requires that when the IPsec trusted channel is enabled, all traffic from the TSF is routed through that channel) and having an option to force the establishment of an IPsec trusted channel to allow any communication by the TSF.

Evaluation Activities

FDP_IFC_EXT.1
TSS
The evaluator will verify that the TSS section of the ST describes the routing of IP traffic when a VPN client is enabled. The evaluator will ensure that the description indicates which traffic does not go through the VPN and which traffic does, and that a configuration exists for each in which only the traffic identified by the ST author as necessary for establishing the VPN connection (IKE traffic and perhaps HTTPS or DNS traffic) is not encapsulated by the VPN protocol (IPsec).

Guidance

Tests

The evaluator will perform the following test:

Appendix C - Extended Component Definitions

This appendix contains the definitions for all extended requirements specified in the PP.

C.1 Extended Components Table

All extended components specified in the PP are listed in this table:

Table 4: Extended Component Definitions

Functional Class	Functional Components
Class: Cryptographic Support (FCS)	FCS_CKM_EXT Cryptographic Key Handling FCS_RBG_EXT Random Bit Generation Services FCS_STO_EXT Storage of Special Data
Class: Identification and Authentication (FIA)	FIA_X509_EXT X.509 Certificate Validation
Class: Protection of the TSF (FPT)	FPT_ACF_EXT Access controls FPT_ASLR_EXT Address Space Layout Randomization FPT_BLT_EXT Limitation of Bluetooth Profile Support FPT_SBOP_EXT Stack Buffer Overflow Protection FPT_SRP_EXT Software Restriction Policies FPT_TST_EXT Integrity Tests FPT_TUD_EXT Trusted Update FPT_W^X_EXT Write XOR Execute
Class: Security Management (FMT)	FMT_MOF_EXT Management of security functions behavior FMT_SMF_EXT Specification of Management Functions
Class: Trusted Path/Channels (FTP)	FTP_ITC_EXT Trusted channel communication
Class: User Data Protection (FDP)	FDP_ACF_EXT Access Controls for User Data FDP_IFC_EXT Information flow control

C.2 Extended Component Definitions

C.2.1 Class: Cryptographic Support (FCS)

This PP defines the following extended components as part of the FCS class originally defined by CC Part 2:

C.2.1.1 FCS_CKM_EXT Cryptographic Key Handling

Family Behavior

This family defines requirements for handling cryptographic keys.

Component Leveling

C.2.1.2 FCS_RBG_EXT Random Bit Generation Services

Family Behavior

This family defines requirements for generating random bits

Component Leveling

C.2.1.3 FCS_STO_EXT Storage of Special Data

Family Behavior

This family defines requirements concerning the storage of certain types of data.

Component Leveling

C.2.2 Class: Identification and Authentication (FIA)

This PP defines the following extended components as part of the FIA class originally defined by CC Part 2:

C.2.2.1 FIA_X509_EXT X.509 Certificate Validation

Family Behavior

This family of requirements defines how the X.509 performs validation and what they should be used for.

Component Leveling

C.2.3 Class: Protection of the TSF (FPT)

This PP defines the following extended components as part of the FPT class originally defined by CC Part 2:

C.2.3.1 FPT_ACF_EXT Access controls

Family Behavior

This family of requirements defines the access controls to system resources.

Component Leveling

C.2.3.2 FPT_ASLR_EXT Address Space Layout Randomization

Family Behavior

This family of requirements defines the behavior of ASLR.

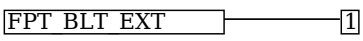
Component Leveling

C.2.3.3 FPT_BLT_EXT Limitation of Bluetooth Profile Support

Family Behavior

This family defines requirements for limiting Bluetooth capabilities without user action.

Component Leveling



FPT_BLT_EXT.1, Limitation of Bluetooth Profile Support, requires the TSF to maintain a disabled by default posture for Bluetooth profiles.

Management: FPT_BLT_EXT.1

There are no management activities foreseen.

Audit: FPT_BLT_EXT.1

There are no auditable events foreseen.

FPT_BLT_EXT.1 Limitation of Bluetooth Profile Support

Hierarchical to: No other components.

Dependencies to: No dependencies.

FPT_BLT_EXT.1.1

The TSF shall disable support for[**assignment**: *list of Bluetooth profiles*]Bluetooth profiles when they are not currently being used by an application on the TOE and shall require explicit user action to enable them.

C.2.3.4 FPT_SBOP_EXT Stack Buffer Overflow Protection

Family Behavior

This family of requirements defines the protections for the stack.

Component Leveling

C.2.3.5 FPT_SRP_EXT Software Restriction Policies

Family Behavior

This family of requirements defines how access to executes is restricted.

Component Leveling

C.2.3.6 FPT_TST_EXT Integrity Tests

Family Behavior

This family of requirements defines how the TOE validates the integrity of critical components.

Component Leveling

C.2.3.7 FPT_TUD_EXT Trusted Update

Family Behavior

This family of requirements defines how the TOE validates software updates.

Component Leveling

C.2.3.8 FPT_W^X_EXT Write XOR Execute

Family Behavior

This family of requirements defines how the TOE ensures that it executes only those items that are non-writable with specified exceptions.

Component Leveling

C.2.4 Class: Security Management (FMT)

This PP defines the following extended components as part of the FMT class originally defined by CC Part 2:

C.2.4.1 FMT_MOF_EXT Management of security functions behavior

Family Behavior

This family of requirements define the behavior of security function management.

Component Leveling

C.2.4.2 FMT_SMF_EXT Specification of Management Functions

Family Behavior

This family of requirements defines the management of security functions.

Component Leveling

C.2.5 Class: Trusted Path/Channels (FTP)

This PP defines the following extended components as part of the FTP class originally defined by CC Part 2:

C.2.5.1 FTP_ITC_EXT Trusted channel communication

Family Behavior

This family of requirements defines communication for trusted channels.

Component Leveling

C.2.6 Class: User Data Protection (FDP)

This PP defines the following extended components as part of the FDP class originally defined by CC Part 2:

C.2.6.1 FDP_ACF_EXT Access Controls for User Data

Family Behavior

This family defines requirements for controlling access to user data.

Component Leveling

C.2.6.2 FDP_IFC_EXT Information flow control

Family Behavior

This family of requirements defines how flows of information are controlled.

Component Leveling

Appendix D - Implicitly Satisfied Requirements

This appendix lists requirements that should be considered satisfied by products successfully evaluated against this PP. These requirements are not featured explicitly as SFRs and should not be included in the ST. They are not included as standalone SFRs because it would increase the time, cost, and complexity of evaluation. This approach is permitted by [CC] Part 1, 8.2 Dependencies between components.

This information benefits systems engineering activities which call for inclusion of particular security controls. Evaluation against the PP provides evidence that these controls are present and have been evaluated.

Requirement	Rationale for Satisfaction
FIA_UAU.1 - Timing of authentication	FIA_AFL.1 implicitly requires that the OS perform all necessary actions, including those on behalf of the user who has not been authenticated, in order to authenticate; therefore it is duplicative to include these actions as a separate assignment and test.
FIA_UID.1 - Timing of identification	FIA_AFL.1 implicitly requires that the OS perform all necessary actions, including those on behalf of the user who has not been identified, in order to authenticate; therefore it is duplicative to include these actions as a separate assignment and test.
FMT_SMR.1 - Security roles	FMT_MOF_EXT.1 specifies role-based management functions that implicitly defines user and privileged accounts; therefore, it is duplicative to include separate role requirements.
FPT_STM.1 - Reliable time stamps	FAU_GEN.1.2 explicitly requires that the OS associate timestamps with audit records; therefore it is duplicative to include a separate timestamp requirement.
FTA_SSL.1 - TSF-initiated session locking	FMT_MOF_EXT.1 defines requirements for managing session locking; therefore, it is duplicative to include a separate session locking requirement.
FTA_SSL.2 - User-initiated locking	FMT_MOF_EXT.1 defines requirements for user-initiated session locking; therefore, it is duplicative to include a separate session locking requirement.
FAU_STG.1 - Protected audit trail storage	FPT_ACF_EXT.1 defines a requirement to protect audit logs; therefore, it is duplicative to include a separate protection of audit trail requirements.
FAU_GEN.2 - User identity association	FAU_GEN.1.2explicitly requires that the OS record any user account associated with each event; therefore, it is duplicative to include a separate requirement to associate a user account with each event.
FAU_SAR.1 - Audit review	FPT_ACF_EXT.1.2requires that audit logs (and other objects) are protected from reading by unprivileged users; therefore, it is duplicative to include a separate requirement to protect only the audit information.

Appendix E - Entropy Documentation and Assessment

This appendix describes the required supplementary information for the entropy source used by the OS.

The documentation of the entropy source should be detailed enough that, after reading, the evaluator will thoroughly understand the entropy source and why it can be relied upon to provide sufficient entropy. This documentation should include multiple detailed sections: design description, entropy justification, operating conditions, and health testing. This documentation is not required to be part of the TSS.

E.1 Design Description

Documentation will include the design of the entropy source as a whole, including the interaction of all entropy source components. Any information that can be shared regarding the design should also be included for any third-party entropy sources that are included in the product.

The documentation will describe the operation of the entropy source to include, how entropy is produced, and how unprocessed (raw) data can be obtained from within the entropy source for testing purposes. The documentation should walk through the entropy source design indicating where the entropy comes from, where the entropy output is passed next, any post-processing of the raw outputs (hash, XOR, etc.), if/where it is stored, and finally, how it is output from the entropy source. Any conditions placed on the process (e.g., blocking) should also be described in the entropy source design. Diagrams and examples are encouraged.

This design must also include a description of the content of the security boundary of the entropy source and a description of how the security boundary ensures that an adversary outside the boundary cannot affect the entropy rate.

If implemented, the design description will include a description of how third-party applications can add entropy to the RBG. A description of any RBG state saving between power-off and power-on will be included.

E.2 Entropy Justification

There should be a technical argument for where the unpredictability in the source comes from and why there is confidence in the entropy source delivering sufficient entropy for the uses made of the RBG output (by this particular OS). This argument will include a description of the expected min-entropy rate (i.e. the minimum entropy (in bits) per bit or byte of source data) and explain that sufficient entropy is going into the OS randomizer seeding process. This discussion will be part of a justification for why the entropy source can be relied upon to produce bits with entropy.

The amount of information necessary to justify the expected min-entropy rate depends on the type of entropy source included in the product.

For developer provided entropy sources, in order to justify the min-entropy rate, it is expected that a large number of raw source bits will be collected, statistical tests will be performed, and the min-entropy rate determined from the statistical tests. While no particular statistical tests are required at this time, it is expected that some testing is necessary in order to determine the amount of min-entropy in each output.

For third-party provided entropy sources, in which the OS vendor has limited access to the design and raw entropy data of the source, the documentation will indicate an estimate of the amount of min-entropy obtained from this third-party source. It is acceptable for the vendor to "assume" an amount of min-entropy, however, this assumption must be clearly stated in the documentation provided. In particular, the min-entropy estimate must be specified and the assumption included in the ST.

Regardless of type of entropy source, the justification will also include how the DRBG is initialized with the entropy stated in the ST, for example by verifying that the min-entropy rate is multiplied by the amount of source data used to seed the DRBG or that the rate of entropy expected based on the amount of source data is explicitly stated and compared to the statistical rate. If the amount of source data used to seed the DRBG is not clear or the calculated rate is not explicitly related to the seed, the documentation will not be considered complete.

The entropy justification will not include any data added from any third-party application or from any state saving between restarts.

E.3 Operating Conditions

The entropy rate may be affected by conditions outside the control of the entropy source itself. For example, voltage, frequency, temperature, and elapsed time after power-on are just a few of the factors that may affect the operation of the entropy source. As such, documentation will also include the range of operating conditions under which the entropy source is expected to generate random data. It will clearly describe the measures that have been taken in the system design to ensure the entropy source continues to operate under those conditions. Similarly, documentation will describe the conditions under which the entropy source is known to malfunction or become inconsistent. Methods used to detect failure or degradation of the source will be included.

E.4 Health Testing

More specifically, all entropy source health tests and their rationale will be documented. This includes a description of the health tests, the rate and conditions under which each health test is performed (e.g., at start, continuously, or on-demand), the expected results for each health test, and rationale indicating why each test is believed to be appropriate for detecting one or more failures in the entropy source.

Appendix F - Acronyms

Acronym	Meaning
Base-PP	Base Protection Profile
CC	Common Criteria
CEM	Common Evaluation Methodology
cPP	Collaborative Protection Profile
EP	Extended Package
FP	Functional Package
OE	Operational Environment
PP	Protection Profile
PP-Configuration	Protection Profile Configuration
PP-Module	Protection Profile Module
SAR	Security Assurance Requirement
SFR	Security Functional Requirement
ST	Security Target
TOE	Target of Evaluation
TSF	TOE Security Functionality
TSFI	TSF Interface
TSS	TOE Summary Specification

Appendix G - Bibliography

Identifier	Title
[CC]	Common Criteria for Information Technology Security Evaluation - • Part 1: Introduction and General Model, CCMB-2017-04-001, Version 3.1 Revision 5, April 2017. • Part 2: Security Functional Components, CCMB-2017-04-002, Version 3.1 Revision 5, April 2017. • Part 3: Security Assurance Components, CCMB-2017-04-003, Version 3.1 Revision 5, April 2017.
[CEM]	Common Evaluation Methodology for Information Technology Security - Evaluation Methodology, CCMB-2017-04-004, Version 3.1, Revision 5, April 2017.
[CSA]	Computer Security Act of 1987, H.R. 145, June 11, 1987.
[NCSC]	National Cyber Security Centre - End User Device (EUD) Security Guidance
[OMB]	Reporting Incidents Involving Personally Identifiable Information and Incorporating the Cost for Security in Agency Information Technology Investments, OMB M-06-19, July 12, 2006.
[SHAVS]	The Secure Hash Algorithm Validation System, NIST, 22 July 2004
[x509]	Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile, May 2008.